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Simultaneous Enzymatic Transesterification and Esterification of an Acid Oil Using Fermented Solid as Biocatalyst

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Abstract This work studied the enzymatic synthesis of fatty acid ethyl esters (FAEE) for potential use as biodiesel via simultaneous esterification and transesterification of acid oil from macaúba in a solvent-free system. A fermented and dry babassu cake with lipase activity from *Rhizomucor miehei* was used as biocatalyst. FAEE content above 85% was achieved after 96 h of reaction with enzyme loading of 13 U per g of oil, 120 mmol of hydrous ethanol (95% ethanol and 5% water)/20 mmol of oil (molar ratio ethanol:oil of 6:1), at 40 °C. After two consecutive enzymatic reactions, 90.8 wt% FAEE content was obtained. These results demonstrate a promising transesterification/ esterification method for FAEE production from an acid and low-cost oil and the process has potential to decrease the costs of enzymatic biodiesel production.

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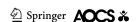
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Keywords Biodiesel · Transesterification · Esterification · Lipase · Fermented solid · *Acrocomia aculeata*

Introduction

Fatty acid mono-alkyl esters, currently produced in large scale by alkali-catalyzed transesterification of vegetable oil and fats with short chain alcohols such as methanol and ethanol, commonly serve as biodiesel [1, 2]. However, this conventional technology has negative aspects such as several downstream processes (to remove the alkaline catalyst from the product). Biodiesel industry has already making extensive use of low-cost/low-quality feedstocks [3]. However, most of the fatty acid alkyl esters that are possible source of biodiesel are still obtained from refined vegetable oils such as soybean and rapeseed oils. In this way the price of the raw material can correspond up to 80% of total biodiesel production cost, which contributes for the high biodiesel price [2].

Lipase-catalyzed processes have the potential to overcome the drawbacks of alkaline catalysis and have received growing interest because of the higher quality of products generated and the environmental benefits [4, 5]. Besides advantages of mild operating conditions and easier product purification [2], lipases catalyze both transesterification of triglycerides (TAG) and esterification of free fatty acids (FFA), which allows the application of cheaper feedstocks with high FFA content, including waste oils, unrefined vegetable oils, and animal fats. However, the high costs of the biocatalyst, especially when commercial enzymes are used, and the longer reaction times of enzymatic reactions, remain as drawbacks for the application of enzymes in biodiesel production [5]. Maximization of the biocatalyst reuse [6], use of whole-cells systems [7], and direct use of



fermented solids as biocatalysts [8–11] have been studied as alternatives to overcome this drawback and make enzymatic biodiesel more cost-competitive. The third strategy has advantages over the first two because it may use inexpensive and plentiful agro-industrial residues as solid substrate for the microorganism growth and lipase production, and it also avoids expensive steps of lipase extraction, purification and immobilization [8–11].

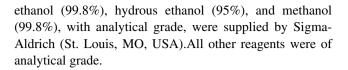
Macaúba (*Acrocomia aculeata*) is a palm native from South American tropical forests, and its productivity reaches between 1500 and 5000 kg of oil per hectare per year [4]. The fruit pulp oil is rich in oleic acid [4] which contributes to generate a good quality biodiesel. But this oil generally has a high acid value, which makes it inappropriate for use as food or feedstock in conventional biodiesel production process [1, 4]. However, the exploration of this alternative non-edible oil crop for biodiesel can offer opportunities for big and small-scale farmers and rural oil producers, improving the use of regional resources and bringing environmental, economic, and social benefits [1, 4].

In a previous work, our group has reported an enzyme/ enzyme hydroesterification process for biodiesel production from acid oil from macaúba pulp. The hydrolysis reaction was catalyzed by an enzyme obtained from dormant castor seedsand the esterification step was catalyzed by lipase from Rhizomucor miehei in the form of dry fermented solid [8]. The best result obtained in this system was 91% of esterification conversion after 8 h in a solventfree system [8]. In the present study, we explore another approach for fatty acid esters production which consists in the direct addition of alcohol to the acid oil using this dry fermented solid with lipase activity from R. miehei obtained by SSF as biocatalyst. The simultaneous transesterification of TAG and esterification of FFA allows obtaining methyl or ethyl fatty esters (for potential use as biodiesel) in a single reaction step, which represents an improvement of the previous process. The composition of the final product was performed according to the standard methodologies published by ASTM, International, and ABNT (Associação Brasileira de Normas Técnicas-Brazilian Technical Standards Association).

Materials and Methods

Raw Material and Reagents

The acid oil from macaúba (*A. aculeata*) pulp was obtained from the fruits processing company PETROVASF (Montes Claros, Brazil). Babassu (*Orbignya oleifera*) cake, a solid residue from the babassu oil industry, was kindly provided by Tobasa S.A. (Tocantinópolis, Brazil). Anhydrous



Analysis of the Acidity, Water Content, and Fatty Acid Profile from Macaúba Acid Oil

The acidity, i.e., the percentage of FFA (wt%) in the oil, was analyzed by titration with NaOH 0.04 mol/L using a Mettler DG 20 autotitrator. The acidity was established according to equation:

Acidity (% FFA) =
$$\frac{V \times M \times mm}{10 \times m}$$
,

where M is the NaOH concentration, V the volume of NaOH (mL), mm the molecular mass of the predominant fatty acid (g), and m is the sample mass (g).

The water content was determined by titration using a coulometric Karl Fischer titrator.

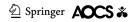
The fatty acid profile of macaúba oil was determined by gas chromatography after chemical methylation of oil. Fatty acid methyl esters (FAMEs) (1µL) were analyzed on a GC-2010 (Shimadzu Co., Kyoto, Japan) equipped with a flame ionization detector (FID) and an Omegawax capillary column (30 m \times 0.25 mm \times 0.25 µL). The detector and injector were set at 250 and 260 °C, respectively. The oven program was as follows: 200 °C for 5 min, then heated at 20 °C min $^{-1}$ to 260 °C and kept constant at 260 °C for 6 min. Helium was used as carrier gas at a 2 mL.min $^{-1}$ flow rate. The ester content (in mass percent) was determined by relative peak areas. A standard solution with 37 FAMEs of 37 different fatty acids (Supelco 37, Sigma-Aldrich) was used to identify the peaks in the chromatograms.

Biocatalyst Production

The fermented solid was obtained by solid-state fermentation (SSF) of babassu cake, using a strain of *R. miehei* (IDAC accession number 071113-01). Fermentations were carried out according to Aguieiras *et al.* [8], during 72 h. The fermented solids were dried in a lyophilizer until achieving a moisture content of less than 3 wt%, stored at 4 °C until use and named "dry fermented solid". Before use the solids were macerated to reduce the incidence of large particles.

Enzyme Activity Determination

Hydrolytic activity of the biocatalyst was measured using *p*-nitrophenyl-laurate (pNP-laurate) as substrate according to Gutarra *et al.* [12]. After fermentation and lyophilization, enzymes were extracted with phosphate buffer



(0.1 mol.L⁻¹, pH 7.0) as described by Gombert *et al.* [13]. The supernatant was used for hydrolytic activity determination. One unit (U) of hydrolytic activity was defined as the amount of enzyme that releases 1 μ mol of p-nitrophenol per minute under the assay conditions. The hydrolytic activity of the fermented solid used in this work was 43 U g⁻¹.

Simultaneous Alcoholysis and Esterification Reactions

Reactions were carried out in closed 20 mL batch reactors magnetically stirred and thermostated. The medium was solvent-free and composed of substrates (macaúba oil and alcohol) and biocatalyst. Reaction progress was monitored by taking samples at fixed intervals that were analyzed for methyl/ethyl ester content. Reactions were carried out in duplicateusing 15 g of macaúba oil and13 U of dry fermented solid per g of oil, at 40 °C during 96 h. This temperature was chosen due to good results obtained in previous work [8]. The influences of the following parameters were studied: molar ratio ethanol to oil, type of alcohol, strategy of ethanol addition (single or stepwise addition), and amount of water in the reaction medium.

Fatty Acid Ethyl/Methyl Esters Content Determination

The fatty acid ethyl/methyl esters content resulted from the enzymatic alcoholysis and esterification was determined on a GC-2010 (Shimadzu Co.) equipped with a flame ionization detector (FID) and an Omegawax capillary column (30 m \times 0.25 mm \times 0.25 μL). The CG conditions were the same used in the section "Analysis of the Acidity, Water Content and Fatty Acid Profile from Macaúba Acid Oil". Samples of 20 μL were diluted in 480 μL of an internal standard solution of methyl heptadecanoate (9 mg.mL $^{-1}$) in heptane and 1 μL was injected with a split ratio of 1:20. The ester content was quantified using the peak area of the internal standard. The analyses were performed in duplicate and the standard deviation was less than 5%.

Medium Scale Fatty Acid Ethyl Esters Production

Reactions were carried out in two steps in 200 mL closed and thermostated reactors under mechanical stirring. The first reaction was carried out for 96 h and the medium was composed of anhydrous ethanol and macaúba oil in a molar ratio of 6:1 (added ½ at 0 h and at 24 h). At the end of the reaction, the product was extracted with hexane, filtered in filter paper, dried over anhydrous sodium sulfate, and concentrated in a rotary evaporator to remove both hexane and ethanol. This product was then used in a second transesterification/esterification reaction, carried out in the same conditions of the first reaction and using a fresh fermented solid as biocatalyst. After 96 h, the

product was extracted with hexane and was subjected to the same purification steps of the first reaction. At fixed intervals, acidity and ethyl ester yield were determined. The composition of the final product was determined according to the following parameters: esters, free glycerol, total glycerol, monoglycerides, diglycerides, and triglycerides contents. These analyses were performed at Laboratory of Green Technologies (GREENTEC) located in the Federal University of Rio de Janeiro that is a laboratory licensed by ANP (National Agency of Petroleum, Natural Gas and Biofuels). FAEE-enriched product analyses were carried out according to the standard methodologies published by ASTM and ABNT. FFA content (acidity) was determined according to Sousa et al. [14] and water content was determined by titration using a coulometric Karl Fischer titrator. The results were compared with the values established by ANP Resolution N°45 of 25/08/2014 [15] which establishes the specifications necessary for the sale of biodiesel in Brazil.

Results and Discussion

Analysis of the Acidity, Water Content, and Fatty Acid Profile from Macaúba Acid Oil

Macaúba oil contained 8 wt% FFA was used for all experiments except for the FAEE-enriched product characterization, in which an oil with acidity of 29 wt%, from a different harvest, was used. Water content in the oils with 8 and 29 wt% FFA were 929 \pm 7.12 and 568 \pm 6.8 mg. kg⁻¹, respectively.

Table 1 shows that the macaúba acid oil had mainly oleic acid (67.3%).

The fatty acid composition of the raw material influences the physicochemical properties of the generated biodiesel fuel [16]. High concentrations of esters derived from saturated fatty acids improve the oxidation stability. However, high amount of long chain fatty acids leads to increase in cloud point and cold-filter plugging point. On

Table 1 Free fatty acid composition (wt%) of macaúba acid oil

Fatty acid	Composition (%)		
Lauric acid (C12:0)	0.6		
Palmitic acid (C16:0)	13.6		
Palmitoleic acid (C16:1)	2.2		
Stearic acid (C18:0)	2.6		
Oleic acid (C18:1)	67.3		
Linoleic acid (C18:2)	12.1		
Linolenic acid (C18:3n3)	1.6		

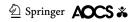
the other hand, a biodiesel with high quantities of unsaturated bonds is more chemically unstable, which causes low oxidation stability, degradation, and polymerization. A biodiesel with high quantities of monounsaturated esters presents better results as a fuel [16–18]. In view of this, macaúba oil can be considered a good choice as feedstock for biodiesel production, due to it high content of oleic acid.

The average molecular weight of macaúba oil, calculated from the fatty acid composition, was 870.5 g. mol⁻¹. This molecular weight neglects the FFA content of the oil.

Influence of Anhydrous Ethanol (99.8%) Concentration in Reaction Medium

The influence of the molar ratio of ethanol to oil using a fermented solid as biocatalyst was studied using the oil with 8 wt% of acidity and the following molar ratios: 3:1, 4:1, and 6:1. Anhydrous ethanol (99.8%) was added at the beginning of the reaction. As can be seen in Fig. 1, although the ester yield obtained after 96 h has been similar for the molar ratios 3:1 and 4:1, the initial rate obtained using the lowest molar ratio was higher. This result may be related to the denaturation of the lipase in the presence of high concentrations of this polar alcohol due its interaction with the water molecules necessary for the maintenance of the native lipase structure [5, 19, 20]. The lowest rate and final ester yield were obtained in the molar ratio 6:1.

To avoid lipase deactivation, and at the same time maintain an excess of alcohol to shift the reaction equilibrium toward FAEE synthesis, the addition of ethanol in steps was evaluated. Four feed conditions were studied: (1) ethanol addition in equal parts at times 0 and 6 h, with a final molar ratio equal to 4:1, (2) ethanol addition in equal parts at times 0 and 6 h, with a final molar ratio equal to 6:1, (3) ethanol addition in equal parts at times 0 and 24 h, with a final molar ratio equal to 6:1 and (4) 1/6 of the total ethanol volume added at 0, 1, and 2 h and 1/2 added at 4 h, with a final molar ratio equal to 6:1. The latter condition was chosen based on previous results obtained by our group in the esterification of FFA with ethanol [8]. The initial rates obtained in the conditions 1, 2, and 4 were similar, and ethyl esters contents above 75% were attained after 96 h. The addition of the total amount of ethanol at 0 and 6 h (condition 2) resulted in lower ester yields. The final molar ratio ethanol to oil of 6:1 with addition of ethanol at 0 and 24 h (condition 3) was chosen for further studies, since the rates obtained in this condition were slightly higher in relation to those obtained in the other conditions evaluated (Fig. 2).



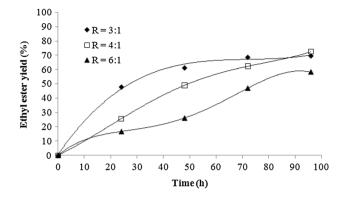


Fig. 1 Kinetic of transesterification reaction of macauba acid oil (8 wt% acidity) with different molar ratios of ethanol to oil (*R*). The reactions were conducted with anhydrous ethanol (99.8%) and 13 U of fermented solid per g of oil at 40 °C. Standard deviation <5%

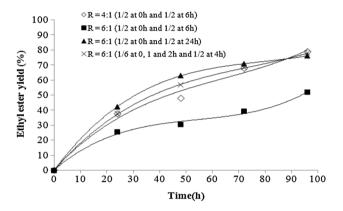


Fig. 2 Kinetic of transesterification reaction of macauba acid oil (8 wt% acidity) with stepwise ethanol addition. The reactions were conducted with anhydrous ethanol (99.8%) and 13 U of fermented solid per g of oil at 40 °C. "R" is molar ratio. Standard deviation <5%

Influence of the Type of Alcohol

The use of methanol and hydrous ethanol (5% water) as acyl acceptors was studied, and the results were compared with those previous results obtained using anhydrous ethanol (99.8%) (Fig. 3). The ester content obtained in the reactions carried out with methanol was 28% lower than that attained using ethanol as acyl acceptor; a behavior also observed for other biocatalysts [20, 21]. These results can be explained considering that methanol is a more polar solvent and can promote greater enzyme inactivation [21].

The reaction conducted with hydrous ethanol showed higher ester yields (85% in 96 h) than that with anhydrous ethanol (76% in 96 h). This result can be related to the high formation of the organic/aqueous interface required for enzyme activity in the presence of hydrous ethanol. Similar results were attained by Deng *et al.* [22] who reported that the immobilized lipases from *R. miehei* and *Thermomyces*

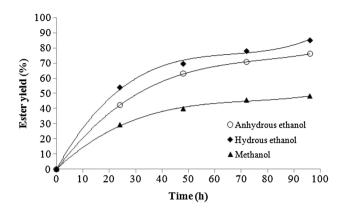


Fig. 3 Kinetic of transesterification reaction of macauba acid oil (8 wt% acidity) with methanol (99.8%), anhydrous ethanol (99.8%), and hydrous ethanol (95%). The reactions were conducted with 13 U of fermented solid per g of oil and alcohol:oil molar ratio of 6:1 (1/2 of alcohol added at 0 h and 1/2 added at 24 h), at 40 °C. Standard deviation <5%

lanuginosus showed better activity when ethanol (96% v/v) was used as acceptor in ethanolysis of sunflower oil when compared to anhydrous ethanol.

The use of homogenous alkaline catalysts to produce fatty acid alkyl esters requires water-free alcohol in order to avoid saponification and consequently obtain good separation of glycerol co-product. Industrially, most of the biodiesel produced worldwide is made using methanol, since the anhydrous methanol is relatively cheaper and more reactive when compared to the anhydrous ethanol [23]. Ethanol produced from biomass is an azeotropic mixture (95% ethanol and 5% water). So, its use in conventional biodiesel production requires dehydration, an expensive process that increases overall product costs and disfavors its application in the alkaline transesterification route [23]. Moreover, hydrous ethanol could be a good alternative for enzymatic biodiesel production because it is less toxic than methanol and is produced on a large scale from renewable sources, such as corn or sugarcane [2].

Influence of the Water Concentration in the Reaction Medium

Some studies reported that the addition of water in the reaction medium can improve the enzymatic transesterification rates [10, 24, 25]. The optimal concentration of water in enzymatic transesterification reactions varies widely according to the type of enzyme and the composition of the reaction medium. The previous results obtained with hydrous ethanol (Fig. 3) showed a positive influence of water in the enzymatic transesterification/esterification reactions. Therefore, the influence of this parameter in the reactions carried out with fermented

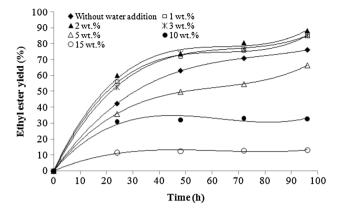


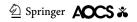
Fig. 4 Kinetic of transesterification reaction of macauba acid oil (8 wt% acidity) with different water concentrations (1, 2, 3, 5, 10, and 15 wt%). The reactions were conducted with anhydrous ethanol (99.8%), 13 U of fermented solid per g of oil and molar ratio ethanol:oil of 6:1 (1/2 of alcohol added at 0 h and 1/2 added at 24 h), at 40 °C. Standard deviation <5%

solid as biocatalyst was studied in more details in reactions carried with anhydrous ethanol (99.8% of ethanol). Water was added in the reaction medium in the following concentrations: 1, 2, 3, 5, 10, and 15 wt% (in relation to the mass of oil). The results are shown in Fig. 4.

The addition of 1, 2, and 3 wt% of water improved the ester yields compared to the reaction carried out using anhydrous ethanol without addition of water. An ester yield above 84% was obtained in 96 h, which was similar to that obtained using hydrous ethanol.

Water contents higher than 5 wt% promoted a reduction in the reaction rates and in the final ester yield. Water is substrate for hydrolysis reaction, thus when it presented in high concentrations the reaction equilibrium is shifted towards enzymatic hydrolysis of the ethyl esters. Thus, the results obtained showed that the presence of small amounts of water (<3%) has a positive effect in the transesterification/esterification reactions carried out using fermented solid as biocatalyst and macaúba acid oil (acidity of 8%) as raw material.

A macaúba oil with higher acidity (29 wt%) from a different harvest was also used for enzymatic FAEE-enriched product synthesis. A comparative study using anhydrous ethanol and hydrous ethanol showed that the results obtained in these two conditions were similar; contrary to the observed, when a raw material with lower acidity was used (additional data are given in the Electronic Supplementary Materials). Considering this result, for a raw material with higher FFA content, it is better to use an alcohol free of water since the water, by-product of the esterification reaction, is produced in higher amount.



Medium Scale Fatty Acid Ethyl Esters Production

The acid oil from macaúba pulp (29 wt% FFA) was used in two consecutive enzymatic transesterification/esterification reactions with anhydrous ethanol. After the first reaction (96 h), a product with 79% of ethyl ester content and acidity of 3% was attained.

The product of the first step was used as raw material in a second reaction to consume the residual TAG and FFA. The results of both reactions are presented in Fig. 5.

After 96 h of reaction 90.8% ester content was obtained. This result was similar to that attained by Fernandes *et al.* [9] and Liu *et al.* [10]. in a medium with co-solvent using fermented solid as catalyst. Although the total reaction time is long (two reactions of 96 h), it can be seen that, in accordance with reaction rates, the first reaction could have been carried out in 24 h, and the second stage, in 48 h (total of 72 h).

Although the transesterification route can be carried out in just one step and, therefore, is apparently simpler, the ester content obtained by simultaneous transesterification/ esterification was lower than that achieved in our previous study that employed a hydroesterification route [8]. Generally enzymatic transesterification reactions are slower and require long reaction times to obtain high yields compared to enzymatic esterifications. Fernandes *et al.* [9] obtained an ester yield of 94% after 18 h in esterification reactions of oleic acid with ethanol in organic reaction media catalyzed by lyophilized fermented solids containing *Burkholderia cepacia* lipase. The same fermented solid was also used as biocatalysts in transesterification reactions (ethanolysis) of soybean oil, and 40% conversion was obtained after 48 h, in a medium with co-solvent [26].

Figure 5 also shows that the reactions rates of the first reaction carried out using an oil with 29 wt% FFA were better than one showed the Fig. 2 (using an oil with 8 wt% of acidity). After 24 h, the ester yields obtained employing anhydrous ethanol were 42 and 70% for the reactions carried out using the oils with 8 (Fig. 2) and 29 wt% FFA (Fig. 5), respectively. In Fig. 5, the contribution of esterification to the formation of FAEE was higher, since it was used an oil with higher amount of FFA, which can explain this result. Moreover, some studies have reported that lipases exhibit higher activity in substrates with high FFA content due to the better solubility of alcohol in FFA, which prevents the toxic effects of the alcohol on the enzyme [27–30].

Furthermore, the effect of the glycerol by-product on the enzyme should be considered. Glycerol has low solubility in oil and high tendency to adsorb onto the enzyme surface, causing problems of mass transfer and leading to a decrease in reaction rate and operational stability of the biocatalyst [20]. Similarly, the accumulation of glycerol

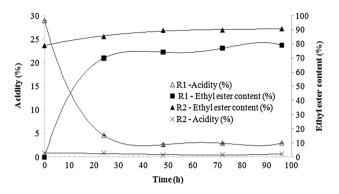


Fig. 5 Kinetic of transesterification reaction (ethyl ester content and acidity, i.e., FFA) of macauba acid oil (29 wt% acidity) with anhydrous ethanol (99.8%) in the first reaction (R1) and second consecutive reactions (R2). The reactions were conducted with 13 U of fermented solid per g of oil and molar ratio ethanol:oil of 6:1 (1/2 of alcohol added at 0 h and 1/2 added at 24 h), at 40 °C. Standard deviation <5%

on the biocatalyst surface may reduce the activity of the lipases in the fermented solid.

The final product had 1.4% FFA and a water content of 310 mg.kg⁻¹. Table 2 shows the composition of the crude FAEE-enriched product obtained by enzymatic transesterification/esterification and the respective specifications published by ANP Resolution 45 from 25/08/2014 [15].

Glycerol, monoglycerides, and diglycerides contents did not meet ANP specification, contrarily to the product obtained by the enzyme/enzyme hydroesterification [8]. It is important to note that, although the ASTM D6584 is concerned with analyzing of methyl esters, the same methodology can be used to analyze glycerides from ethyl esters, as previously reported [33]. The presence of glycerides (mono- and diglycerides) was expected, since ethyl esters were obtained by transesterification route and the crude product was not purified by downstream steps. Thus, a purification process would contribute to a better biodiesel quality.

Considering the current soybean oil price (US\$1100 per ton) and macaúba oil price (US\$600 to US\$800 per ton) and the well-developed ethanol industry in Brazil, besides the use of a low cost enzymatic biocatalyst [34], the process of this work would favor the use of the enzymatic method and of entirely renewable raw materials for obtaining FAEE-enriched product serving as a potential biodiesel source, which could be named a 100% green biodiesel [4].

Conclusions

This work demonstrated an enzymatic process for the FAEE production from a low-cost raw material substrate (acid oil) and enzymatic biocatalysts (fermented solid)

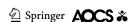


Table 2 Analysis of FAEE-enriched product serving as a potential biodiesel source obtained by enzymatic transesterification of the macaúba acid oil

Composition	Result	Resolution ANP N° 45 (25/08/2014)		
		Min.	Max.	Method
Ester content (wt%)	90.8	96.5	_	EN 14103 [31]
Free glycerol, max. (wt%)	0.124	_	0.02	ASTM D6584 [32]
Total glycerol, max. (wt%)	0.544	-	0.25	ASTM D6584 [32]
Monoglycerides, max. (wt%)	0.931	-	0.70	ASTM D6584 [32]
Diglycerides, max. (wt%)	1.161	_	0.20	ASTM D6584 [32]
Triglycerides, max. (wt%)	0.040	_	0.20	ASTM D6584 [32]

through simultaneous esterification of FFA and transesterification of TAG. The biocatalyst was able to convert oils with different acidities into ethyl esters (biodiesel) in a single reaction step. The use of hydrous ethanol is an economically viable alternative, since reduces costs with dehydration of ethanol, and provides the use of this biobased alcohol that is widely produced in countries such as Brazil and the USA. A FAEE content of 90% was reached and the composition of the final product was determined. Purification of the final biodiesel would help to meet the required quality for the product and to reduce the need of expensive additives. Since the high price of the commercial biocatalysts is one of the major obstacles for industrialization of enzymatic biodiesel synthesis, the process developed in this work shows promising results for FAEE production using a biocatalyst produced over an agro-industrial residue.

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