



# Predictive value of the hemoglobin–albumin–lymphocyte–platelet (HALP) index for ICU mortality in patients with acute exacerbations of chronic obstructive pulmonary disease (AECOPD)

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## Abstract

The combined index of hemoglobin, albumin, lymphocyte, and platelet (HALP) is a novel indicator reflecting systemic inflammation and nutritional status. To explore the relationship between HALP score and ICU mortality risk in patients with acute exacerbations of chronic obstructive pulmonary disease (AECOPD). A total of 1533 AECOPD patients from the eICU Collaborative Research Database (eICU-CRD) between 2014 and 2015 were included in this retrospective cohort study. Univariate and multivariate Cox proportional hazards models were utilized to investigate the association of HALP score, platelet-to-lymphocyte ratio (PLR) score, and lymphocyte-to-monocyte ratio (LMR) score with the ICU mortality risk in patients with AECOPD. Stratified analyses were performed based on patients' ICU admission type, body mass index (BMI), and Acute Physiology, Age and Chronic Health Evaluation IV (APACHE IV) score. Of these 1533 AECOPD patients, 123 (8.00%) patients died in the ICU. Low HALP score [hazard ratio (HR) = 1.69; 95% confidence interval (CI) 1.14–2.53] and low LMR score (HR = 1.60; 95% CI 1.07–2.39) were associated with an increased ICU mortality risk in patients with AECOPD after adjusting for all confounders. Stratified analyses indicated that low HALP score were still associated with a higher ICU mortality risk in patients admitted to ICU by emergency (HR = 1.81; 95% CI 1.11–2.96), obese patients (HR = 2.81; 95% CI 1.29–6.10), and patients with low APACHE scores (HR = 2.87; 95% CI 1.75–4.69). Low HALP score was associated with an increased risk of ICU mortality in patients with AECOPD, suggesting that the HALP score may be a novel prognostic predictor in patients with AECOPD.

**Keywords** Acute exacerbations of chronic obstructive pulmonary disease · Hemoglobin · Albumin · Lymphocyte · Platelet index · ICU mortality risk · Inflammation · Nutritional status

## Introduction

Chronic obstructive pulmonary disease (COPD) is a respiratory disease characterized by persistent respiratory symptoms and airflow limitation, and is closely related to airways, lung tissue, and systemic inflammatory responses [1]. COPD is the third leading cause of death worldwide, with approximately 3 million deaths each year [2]. Acute

exacerbations of COPD (AECOPD) are the leading cause of hospitalization and death in COPD patients [3, 4]. Considering the poor prognosis of patients with AECOPD, early and accurate assessment of individual mortality risk during acute exacerbation is critical for clinical management.

AECOPD is associated with increased airway and systemic inflammatory responses [4]. Previous studies have shown that inflammatory markers such as neutrophils and lymphocytes in peripheral blood are associated with the prognosis of COPD patients [5–7]. Inflammatory markers based on blood cell levels, such as the neutrophil to lymphocyte ratio (NLR) [8], the platelet to lymphocyte ratio (PLR) [9], and the lymphocyte to monocyte ratio (LMR) [10], have been used to predict the prognosis of patients with COPD. However, nutritional status also plays an important role in the prognosis of COPD patients [11, 12], and the above-mentioned inflammatory indicators cannot reflect the

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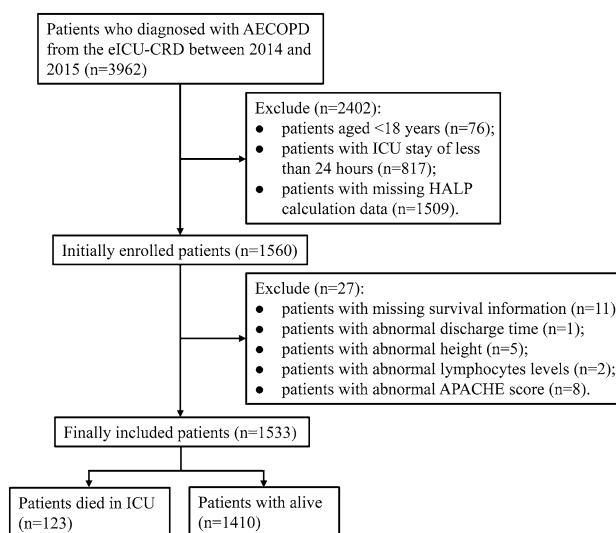
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nutritional status of patients. Malnutrition is a common and underestimated problem in COPD patients. As the disease progresses, factors such as tissue hypoxia, metabolic and intake imbalances, systemic inflammatory response, oxidative stress, and drug side effects lead to widespread nutritional problems in COPD patients [13], and the degree of airflow limitation is closely related to malnutrition [14]. A novel index, hemoglobin, albumin, lymphocyte, and platelet (HALP), was proposed to reflect the inflammatory and nutritional status of patients. Several studies have demonstrated that the HALP score was associated with survival in patients with esophageal squamous cell carcinoma [15], prostate cancer [16], acute ischemic stroke [17], and pancreatic cancer [18]. However, studies on the relationship between HALP score and survival in AECOPD patients have not been reported. Thus, we aimed to investigate the prognostic value of HALP score in patients with AECOPD based on a multicenter database.

## Methods

### Data source and study participants

Data for this retrospective cohort study were extracted from the eICU Collaborative Research Database (eICU-CRD) (<https://eicu-crd.mit.edu/gettingstarted/overview/>) between 2014 and 2015. The eICU-CRD is a public multicenter intensive care unit (ICU) database. To obtain permission to access the database, the author had taken a series of courses offered by the National Institutes of Health (NIH) and obtained authorization to access the eICU-CRD database after passing the necessary assessments. The eICU-CRD used stratified random sampling to select patients and contained 200,859 patient unit encounters for 139,367 unique patients admitted to 208 hospitals in the United States between 2014 and 2015. Data collected in the database includes vital signs, laboratory measurements, medications, Acute Physiology, Age, and Chronic Health Evaluation IV (APACHE IV) components, care plan information, admission diagnosis, patient history, time-stamped diagnoses from a structured problem list, and similarly chosen treatments [19]. Identification of patients with AECOPD in eICU-CRD was based on the International Classification of Diseases, Ninth and Ten Revision (ICD-9, ICD-10) codes (ICD-9:49.121, ICD-10: J441). The inclusion criteria were as follows: (1) patients aged  $\geq 18$  years; (2) patients who were diagnosed with AECOPD at ICU admission; (3) patients with ICU stay of at least 24 h. The exclusion criteria were as follows: (1) patients with missing key data,



**Fig. 1** The flow chart of study patient screening. *AECOPD* acute exacerbations of chronic obstructive pulmonary disease; *eICU-CRD* eICU Collaborative Research Database, *HALP* hemoglobin, albumin, lymphocyte, and platelet, *APACHE* Acute Physiology, Age, and Chronic Health Evaluation

such as hemoglobin, albumin, lymphocytes, platelet, etc.; (2) patients with missing survival information; (3) patients with abnormal data, such as height  $\leq 128$  cm, lymphocytes  $\leq 0$ , and APACHE score  $\leq 0$ , etc. Protocols of eICU-CRD were approved by the Institutional Review Board (IRB) of the Massachusetts Institute of Technology and informed consent was obtained from patients or their families.

### Outcomes

The primary outcome of this study was patient death within the ICU or discharge. The eICU-CRD only records the data of each patient per admission to the ICU, and there is no follow-up interval. For patients with multiple hospital admissions records, data were only collected for their first ICU admission.

### Data collection

The demographics, vital signs, patient history, and laboratory measurements data of patients were collected including age, sex (male and female), ethnicity (African-American, Asian, Caucasian, Hispanic, Native American, and others), type of ICU admission (emergency and non-emergency), body mass index (BMI) (underweight, normal, overweight,

**Table 1** Characteristics of patients with acute exacerbations of chronic obstructive pulmonary disease (AECOPD)

Variables	Total (n = 1533)	Survival (n = 1410)	Death (n = 123)	Statistics	P
<b>Sex, n (%)</b>					
Female	814 (53.10)	745 (52.84)	69 (56.10)	$\chi^2=0.483$	0.487
Male	719 (46.90)	665 (47.16)	54 (43.90)		
Age (years), mean $\pm$ SD	67.48 $\pm$ 10.79	67.04 $\pm$ 10.79	72.52 $\pm$ 9.43	$t=-5.45$	<0.001
<b>Ethnicity, n (%)</b>					
African American	122 (7.96)	119 (8.44)	3 (2.44)	–	0.122
Asian	9 (0.59)	9 (0.64)	0 (0.00)		
Caucasian	1295 (84.47)	1180 (83.69)	115 (93.50)		
Hispanic	46 (3.00)	44 (3.12)	2 (1.63)		
Native American	5 (0.33)	5 (0.35)	0 (0.00)		
Other/unknown	56 (3.65)	53 (3.76)	3 (2.44)		
<b>ICU admission type, n (%)</b>					
Emergency	1206 (78.67)	1123 (79.65)	83 (67.48)	$\chi^2=9.978$	0.002
Non-emergency	327 (21.33)	287 (20.35)	40 (32.52)		
<b>BMI (kg/m<sup>2</sup>), n (%)</b>					
Underweight	117 (7.63)	98 (6.95)	19 (15.45)	$\chi^2=16.094$	0.001
Normal	423 (27.59)	384 (27.23)	39 (31.71)		
Overweight	348 (22.70)	320 (22.70)	28 (22.76)		
Obesity	645 (42.07)	608 (43.12)	37 (30.08)		
<b>Coronary artery disease, n (%)</b>					
No	1454 (94.85)	1344 (95.32)	110 (89.43)	$\chi^2=8.025$	0.005
Yes	79 (5.15)	66 (4.68)	13 (10.57)		
<b>Congestive heart failure, n (%)</b>					
No	1200 (78.28)	1109 (78.65)	91 (73.98)	$\chi^2=1.450$	0.228
Yes	333 (21.72)	301 (21.35)	32 (26.02)		
<b>Atrial fibrillation, n (%)</b>					
No	1358 (88.58)	1261 (89.43)	97 (78.86)	$\chi^2=12.501$	<0.001
Yes	175 (11.42)	149 (10.57)	26 (21.14)		
<b>Vasopressor, n (%)</b>					
No	1423 (92.82)	1333 (94.54)	90 (73.17)	$\chi^2=77.555$	<0.001
Yes	110 (7.18)	77 (5.46)	33 (26.83)		
Heartrate (/min), mean $\pm$ SD	97.25 $\pm$ 21.62	96.96 $\pm$ 21.46	100.58 $\pm$ 23.24	$t=-1.78$	0.075
Respiratory rate (/min), mean $\pm$ SD	23.44 $\pm$ 7.48	23.43 $\pm$ 7.51	23.56 $\pm$ 7.20	$t=-0.19$	0.847
SPO <sub>2</sub> (%), mean $\pm$ SD	94.01 $\pm$ 7.46	94.21 $\pm$ 6.94	91.76 $\pm$ 11.66	$t=2.29$	0.024
SBP (mmHg), mean $\pm$ SD	131.13 $\pm$ 29.62	132.10 $\pm$ 29.12	119.93 $\pm$ 32.96	$t=4.40$	<0.001
DBP (mmHg), mean $\pm$ SD	74.04 $\pm$ 19.94	74.61 $\pm$ 19.88	67.54 $\pm$ 19.55	$t=3.79$	<0.001
Body temperature (°C), mean $\pm$ SD	37.03 $\pm$ 3.90	37.07 $\pm$ 4.06	36.63 $\pm$ 0.90	$t=3.21$	0.001
PO <sub>2</sub> (mmHg), M (Q <sub>1</sub> , Q <sub>3</sub> )	86.00 (67.00, 136.00)	86.00 (67.00, 135.00)	86.00 (64.00, 145.90)	$Z=0.043$	0.966
pH, mean $\pm$ SD	7.30 $\pm$ 0.13	7.30 $\pm$ 0.12	7.28 $\pm$ 0.20	$t=1.10$	0.274
Eosinophils (%), M (Q <sub>1</sub> , Q <sub>3</sub> )	0.30 (0.00, 1.20)	0.30 (0.00, 1.40)	0.00 (0.00, 1.00)	$Z=-3.841$	<0.001
RDW-CV (%), mean $\pm$ SD	15.53 $\pm$ 2.27	15.51 $\pm$ 2.23	15.71 $\pm$ 2.61	$t=-0.83$	0.407
Bilirubin (mg/dL), M (Q <sub>1</sub> , Q <sub>3</sub> )	0.50 (0.30, 0.70)	0.49 (0.30, 0.70)	0.50 (0.30, 0.80)	$Z=2.366$	0.018
Creatinine (mg/dL), M (Q <sub>1</sub> , Q <sub>3</sub> )	1.00 (0.75, 1.45)	1.00 (0.74, 1.41)	1.20 (0.80, 1.84)	$Z=2.977$	0.003
BUN (mg/dL), M (Q <sub>1</sub> , Q <sub>3</sub> )	21.00 (14.00, 32.00)	20.00 (14.00, 31.00)	30.00 (19.00, 40.00)	$Z=5.452$	<0.001
Glucose (mmol/L), M (Q <sub>1</sub> , Q <sub>3</sub> )	145.00 (117.00, 190.00)	145.00 (117.00, 187.00)	156.00 (123.00, 209.00)	$Z=1.810$	0.070
Bicarbonate (mmol/L), mean $\pm$ SD	30.31 $\pm$ 7.59	30.35 $\pm$ 7.55	29.92 $\pm$ 8.12	$t=0.60$	0.549
Sodium (mmol/L), mean $\pm$ SD	137.99 $\pm$ 5.04	137.99 $\pm$ 4.99	137.96 $\pm$ 5.64	$t=0.06$	0.948
Potassium (mmol/L), mean $\pm$ SD	4.39 $\pm$ 0.72	4.38 $\pm$ 0.72	4.49 $\pm$ 0.72	$t=-1.55$	0.120
Chloride (mmol/L), mean $\pm$ SD	98.96 $\pm$ 6.48	98.95 $\pm$ 6.42	99.07 $\pm$ 7.25	$t=-0.18$	0.856
Hemoglobin (g/L), mean $\pm$ SD	124.06 $\pm$ 23.02	124.80 $\pm$ 22.84	115.57 $\pm$ 23.44	$t=4.29$	<0.001

**Table 1** (continued)

Variables	Total (n = 1533)	Survival (n = 1410)	Death (n = 123)	Statistics	P
Albumin (g/L), mean ± SD	33.48 ± 5.92	33.83 ± 5.75	29.55 ± 6.48	t = 7.83	< 0.001
Lymphocyte (10 <sup>9</sup> /L), M (Q <sub>1</sub> , Q <sub>3</sub> )	1.01 (0.57, 1.70)	1.04 (0.59, 1.71)	0.81 (0.44, 1.50)	Z = - 2.891	0.004
Platelet (10 <sup>9</sup> /L), M (Q <sub>1</sub> , Q <sub>3</sub> )	221.00 (173.00, 282.00)	220.00 (173.00, 281.00)	225.00 (171.00, 294.00)	Z = 0.479	0.632
Monocyte (10 <sup>9</sup> /L), M (Q <sub>1</sub> , Q <sub>3</sub> )	0.71 (0.44, 1.04)	0.70 (0.44, 1.03)	0.76 (0.49, 1.14)	Z = 1.414	0.157
PLR, n (%)					
High	471 (30.72)	413 (29.29)	58 (47.15)	χ <sup>2</sup> = 16.961	< 0.001
Low	1062 (69.28)	997 (70.71)	65 (52.85)		
LMR, n (%)					
High	1259 (82.13)	1180 (83.69)	79 (64.23)	χ <sup>2</sup> = 29.187	< 0.001
Low	274 (17.87)	230 (16.31)	44 (35.77)		
APACHE IV score, n (%)					
High	177 (11.55)	127 (9.01)	50 (40.65)	χ <sup>2</sup> = 110.917	< 0.001
Low	1356 (88.45)	1283 (90.99)	73 (59.35)		
HALP, n (%)					
High	1176 (76.71)	1107 (78.51)	69 (56.10)	χ <sup>2</sup> = 31.812	< 0.001
Low	357 (23.29)	303 (21.49)	54 (43.90)		
Survival time (days), M (Q <sub>1</sub> , Q <sub>3</sub> )	5.78 (3.71, 8.41)	5.85 (3.75, 8.41)	4.71 (3.07, 8.58)	Z = - 1.922	0.055

*BMI* body mass index, *SPO<sub>2</sub>* saturation of peripheral oxygen, *SBP* systolic blood pressure, *DBP* diastolic blood pressure, *PO<sub>2</sub>* partial pressure of oxygen, *RDW-CV* coefficient of variation of red cell volume distribution width, *BUN* blood urea nitrogen, *PLR* platelet to lymphocyte ratio, *LMR* lymphocyte to monocyte ratio, *APACHE IV* Acute Physiology, Age, and Chronic Health Evaluation IV, *HALP* hemoglobin, albumin, lymphocyte, and platelet

and obesity), coronary artery disease (yes and no), congestive heart failure (yes and no), atrial fibrillation (yes and no), vasopressor (yes and no), heart rate, respiratory rate, saturation of peripheral oxygen (SPO<sub>2</sub>), systolic blood pressure (SBP), diastolic blood pressure (DBP), body temperature, partial pressure of oxygen (PO<sub>2</sub>), pH, eosinophils, coefficient of variation of red cell volume distribution width (RDW-CV), bilirubin, creatinine, blood urea nitrogen (BUN), glucose, bicarbonate, sodium, potassium, chloride, hemoglobin, albumin, lymphocyte, platelet, monocyte, APACHE score, and survival time.

## Definition

HALP score was calculated as hemoglobin level (g/L) × albumin level (g/L) × lymphocyte count (/L) / platelet count (/L) [18]. PLR score was determined by platelet count (/L) / lymphocyte count (/L). LMR score was calculated as lymphocyte count (/L) / monocyte count (/L). The HALP, PLR, and LMR scores were divided into high and low groups according to their cut-off values, respectively. Cut-off values for HALP, PLR, LMR, and APACHE scores were calculated with the *surv\_cutpoint* function, which is one of the functions in the *survminer* package of the R software. The cut-off value with the smallest *P* value calculated from the log-rank test for survival was determined as the optimal cut-off value. Cut-off values for HALP, PLR,

LMR, and APACHE scores were 9.086, 329.412, 0.75 and 81, respectively (Supplement Fig. 1).

## Missing data

Variables with more than 20% missing values were excluded. Variables with less than 20% missing values were imputed using multiple imputation and all missing data were filled with Monte Carlo method. Sensitivity analysis was performed between the data before and after imputation, and the results indicated that there was no statistically significant difference before and after data imputation (Supplement Table. 1).

## Statistical analysis

Continuous variables with normal distribution were described as mean ± standard deviation (SD), and Student's *t* test was used for comparison between groups. Continuous variables with skewed distribution were expressed as median and interquartile ranges [M (Q<sub>1</sub>, Q<sub>3</sub>)], and Wilcoxon rank-sum test was utilized for comparison between groups. Categorical variables were described as number and percentages [*n* (%)], and the comparison between groups using Chi-square test or Fisher's exact test.

Univariate and multivariate Cox proportional hazards model were utilized to investigate the association of HALP

**Table 2** Univariate Cox proportional hazards model of factors associated with ICU mortality risk in AECOPD patients

Variables	HR (95% CI)	P
Sex		
Female	Ref	
Male	0.90 (0.63–1.28)	0.549
Age	1.05 (1.03–1.07)	<0.001
Ethnicity		
African American	Ref	
Asian	–	0.984
Caucasian	3.28 (1.04–10.33)	0.042
Hispanic	1.43 (0.24–8.56)	0.697
Native American	–	0.986
Other/unknown	2.60 (0.52–12.92)	0.242
ICU admission type		
Emergency	Ref	
Non-emergency	1.51 (1.03–2.20)	0.033
BMI		
Underweight	1.87 (1.08–3.25)	0.025
Normal	Ref	
Overweight	0.89 (0.55–1.45)	0.652
Obesity	0.60 (0.38–0.94)	0.025
Coronary artery disease		
No	Ref	
Yes	2.01 (1.13–3.57)	0.018
Congestive heart failure		
No	Ref	
Yes	1.22 (0.81–1.82)	0.340
Atrial fibrillation		
No	Ref	
Yes	1.76 (1.14–2.71)	0.011
Vasopressor		
No	Ref	
Yes	2.78 (1.85–4.18)	<0.001
APACHE score		
High	Ref	
Low	0.24 (0.17–0.34)	<0.001
Heart rate	1.01 (1.01–1.01)	0.294
Respiratory rate	1.01 (0.98–1.03)	0.624
SPO <sub>2</sub>	0.98 (0.96–0.99)	<0.001
SBP	0.99 (0.98–0.99)	<0.001
DBP	0.98 (0.97–0.99)	<0.001
Body temperature	0.81 (0.67–0.98)	0.032
PO <sub>2</sub>	1.01 (1.01–1.01)	0.560
pH	0.58 (0.20–1.73)	0.331
Eosinophils	0.78 (0.65–0.94)	0.009
RDW-CV	1.02 (0.95–1.10)	0.603
Bilirubin	1.29 (1.06–1.56)	0.009
Creatinine	1.05 (0.92–1.20)	0.507
BUN	1.01 (1.01–1.02)	0.001
Glucose	1.01 (1.01–1.01)	0.361
Bicarbonate	0.99 (0.97–1.02)	0.870

**Table 2** (continued)

Variables	HR (95% CI)	P
Sodium	1.01 (0.97–1.03)	0.994
Potassium	1.09 (0.87–1.37)	0.447
Chloride	0.99 (0.97–1.02)	0.878
HALP		
High	Ref	
Low	2.36 (1.65–3.38)	<0.001
PLR		
High	Ref	
Low	0.55 (0.39–0.79)	0.001
LMR		
High	Ref	
Low	2.41 (1.66–3.49)	<0.001

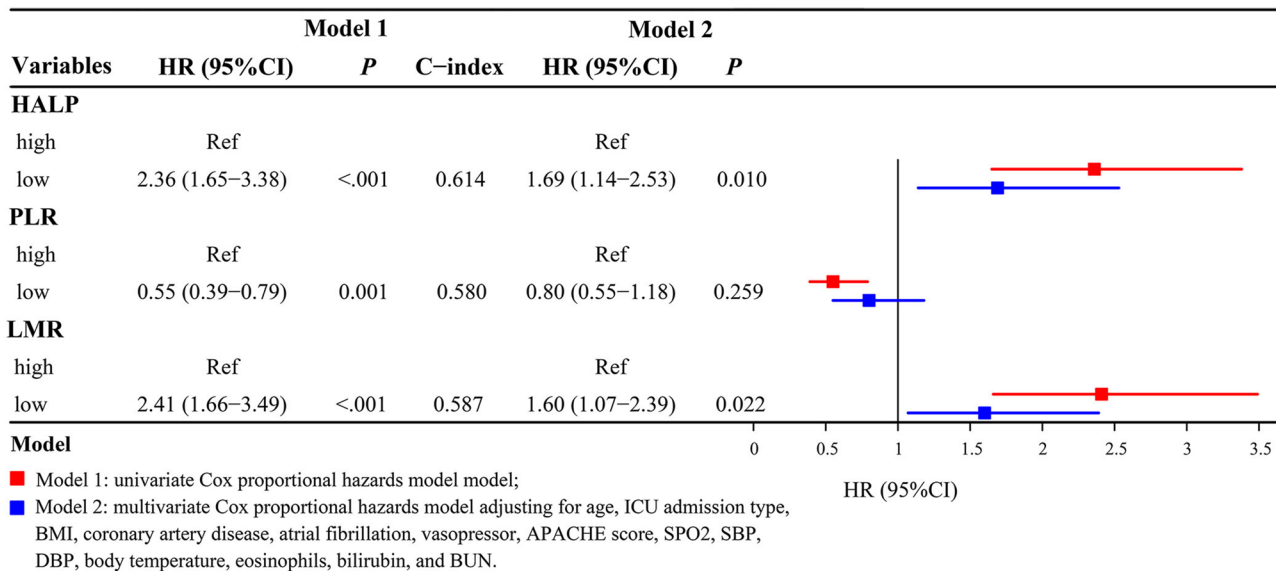
HR hazard ratio, 95% CI 95% confidence interval, BMI body mass index, SPO<sub>2</sub> saturation of peripheral oxygen, SBP systolic blood pressure, DBP diastolic blood pressure, PO<sub>2</sub> partial pressure of oxygen, RDW-CV coefficient of variation of red cell volume distribution width, BUN blood urea nitrogen, PLR platelet to lymphocyte ratio, LMR lymphocyte to monocyte ratio, APACHE IV Acute Physiology, Age and Chronic Health Evaluation IV, HALP hemoglobin, albumin, lymphocyte, and platelet

score, PLR score, and LMR score with the ICU mortality risk in patients with AECOPD. Variables with statistically significant differences in univariate analysis were incorporated into multivariate analysis. Stratified analyses were performed based on patients' ICU admission type, BMI, and APACHE score. Hazard ratio (HR) with 95% confidence interval (CI) and C-index were used for outcome assessment. *P* value < 0.05 was considered to be significant. Multiple imputation, Kaplan–Meier (K–M) curves and forest plots were completed with R 3.6.3 software (R Foundation for Statistical Computing, Vienna, Austria). Univariate and multivariate Cox proportional hazards models and C index calculation was performed by SAS 9.4 software (SAS Institute Inc., Cary, NC, USA).

## Results

### Characteristics of patients

A total of 3962 patients with AECOPD were extracted from the eICU-CRD. After screening, 2429 patients were excluded, including 76 patients under 18 years old, 817 patients with ICU stay less than 24 h, 1509 patients with missing HALP calculation data, 16 patients with abnormal data, and 11 patients with missing survival information. Finally, 1533 patients with AECOPD were included in this study (Fig. 1). Table 1 shows the characteristics of all included AECOPD patients. Among these 1533 patients, the mean age was 67.48 years, 814 (53.10%) were female,



**Fig. 2** Univariate and multivariate Cox proportional hazards models between HALP PLR and LMR and ICU mortality risk in AECOPD patients. HALP hemoglobin, albumin, lymphocyte, and platelet, PLR platelet to lymphocyte ratio, LMR lymphocyte to monocyte ratio

and 1206 (78.67%) patients admitted to ICU by emergency. The mean or median hemoglobin, albumin, lymphocyte, platelet, and monocyte levels were  $124.06 \pm 23.02$  g/L,  $33.48 \pm 5.92$  g/L,  $1.01 (0.57, 1.70) \times 10^9/L$ ,  $221.00 (173.00, 282.00) \times 10^9/L$ , and  $0.71 (0.44, 1.04) \times 10^9/L$ , respectively. The number of patients with high HALP ( $\geq 9.086$ ), PLR ( $\geq 329.412$ ), and LMR ( $\geq 0.75$ ) scores was 1176 (76.71%), 471 (39.72%), and 1259 (82.13%), respectively. At the end of follow-up, 123 (8.00%) patients died in the ICU and 1410 (92.00%) patients were discharged. The median time to discharge for patients in the survival group and death for those in the death group were 5.85 (3.75, 8.41) and 4.71 (3.07, 8.58) days, respectively.

Significant statistical differences between the survival group and the death group were observed in terms of age, type of ICU admission, BMI, coronary artery disease, atrial fibrillation, vasopressor, SPO<sub>2</sub>, SBP, DBP, body temperature, eosinophils, bilirubin, creatinine, BUN, hemoglobin, albumin, lymphocyte, PLR score, LMR score, APACHE score, and HALP score (all  $P < 0.05$ ).

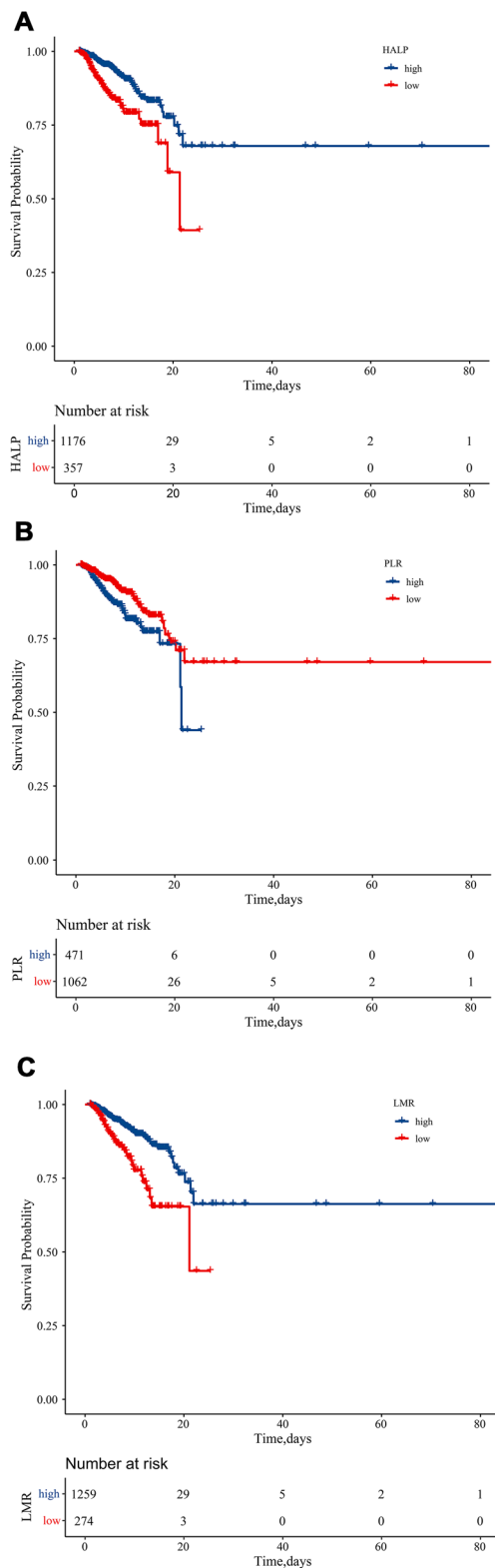
**Factors affected ICU mortality risk in patients with AECOPD**

Univariate Cox proportional hazards model showed that older age (HR = 1.05; 95% CI 1.03–1.0), Caucasian (HR = 3.28; 95% CI 1.04–10.33), non-emergency ICU admission (HR = 1.51; 95% CI 1.03–2.20), underweight (HR = 1.87; 95% CI 1.08–3.25), coronary artery disease (HR = 2.01; 95% CI 1.13–3.57), atrial fibrillation

(HR = 1.76; 95% CI 1.14–2.71), vasopressor (HR = 2.78; 95% CI 1.85–4.18), high bilirubin (HR = 1.29; 95% CI 1.06–1.56), high BUN (HR = 1.01; 95% CI 1.01–1.02), low HALP score (HR = 2.36; 95% CI 1.65–3.38), and low LMR score (HR = 2.41; 95% CI 1.66–3.49) may be associated with an increased ICU mortality risk in patients with AECOPD. Low APACHE score (HR = 0.24; 95% CI 0.17–0.34), high SPO<sub>2</sub> (HR = 0.98; 95% CI 0.96–0.99), SBP (HR = 0.99; 95% CI 0.98–0.99), DBP (HR = 0.98; 95% CI 0.97–0.99), body temperature (HR = 0.81; 95% CI 0.67–0.98), eosinophils levels (HR = 0.78; 95% CI 0.65–0.94), and low PLR score (HR = 0.55; 95% CI 0.39–0.79) may be related to a decreased risk of ICU mortality in patients with AECOPD (Table 2).

**Low HALP score associated with an increased risk of ICU mortality**

Figure 2 presents the association of HALP, PLR, and LMR with ICU mortality risk in AECOPD patients. Univariate Cox proportional hazards model (model 1) indicated that HALP, PLR, and LMR were related to ICU mortality risk in patients with AECOPD (all  $P < 0.001$ ). After adjusting for age, ICU admission type, BMI, coronary artery disease, atrial fibrillation, vasopressor, APACHE score, SPO<sub>2</sub>, SBP, DBP, body temperature, eosinophils, bilirubin, and BUN (model 2), low HALP score (HR = 1.69; 95% CI 1.14–2.53) and low LMR score (HR = 1.60; 95% CI 1.07–2.39) were associated with an increased ICU mortality risk in patients with AECOPD, while low PLR score (HR = 0.80; 95% CI



**Fig. 3** The Kaplan–Meier curves of HALP score, PLR score, and LMR score with ICU mortality in AECOPD patients. **A** HALP score; **B** PLR score; **C** LMR score

0.55–1.18) may be not related to ICU mortality risk. The K-M curves of HALP score, PLR score, and LMR score with ICU mortality in AECOPD patients were shown in Fig. 3.

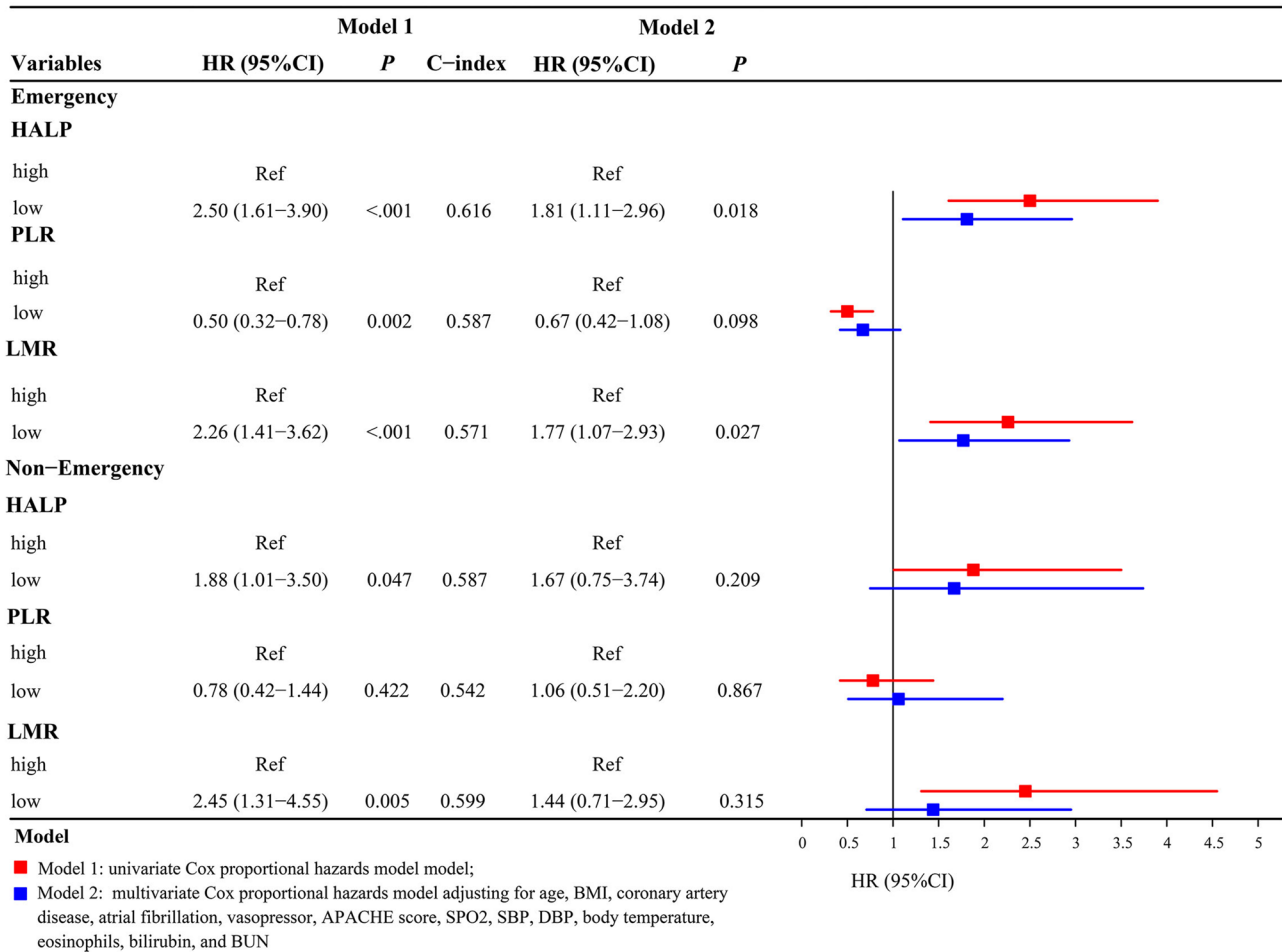
### Association between HALP score and ICU mortality risk classified by ICU admission type, BMI, and APACHE score

Among patients with emergency ICU admission, low HALP score (HR = 1.81; 95% CI 1.11–2.96) and low LMR score (HR = 1.77; 95% CI 1.07–2.93) were still related to a higher ICU mortality risk, while low PLR score ( $P = 0.098$ ) may not be associated with ICU mortality risk. Low HALP, LMR and PLR scores may not be related to ICU mortality risk in patients with non-emergency ICU admission (all  $P > 0.05$ ) (Fig. 4). In patients with different BMIs, low HALP, LMR, and PLR scores may not be associated with ICU mortality risk in both underweight and overweight patients (all  $P > 0.05$ ) (Fig. 5). And low LMR score (HR = 2.38; 95% CI 1.21–4.69) was associated with a higher ICU mortality risk in normal BMI patients, and low HALP score (HR = 2.81; 95% CI 1.29–6.10) was associated with a higher ICU mortality risk in obese patients. Among patients with different APACHE scores, low HALP, LMR, and PLR scores may not be related to ICU mortality risk in patients with high APACHE scores (all  $P > 0.05$ ) (Fig. 6). And low HALP score (HR = 2.87; 95% CI 1.75–4.69) and low LMR score (HR = 2.78; 95% CI 1.69–4.55) were associated with an increased ICU mortality risk in patients with low APACHE scores.

## Discussion

This study evaluated the prognostic value of the novel index HALP score in patients with AECOPD. AECOPD represents exacerbation of respiratory symptoms, reflecting worsening of underlying chronic airway inflammation. Our results found that low HALP score was associated with an increased risk of ICU mortality in patients with AECOPD. Subgroup analysis showed that the association between low HALP score and higher risk of ICU mortality may be more significant in patients admitted to ICU by emergency, obese patients, and patients with low APACHE scores.

Previous studies have shown that the HALP score is an important prognostic indicator for many diseases [15–18, 20]. The study by Cong et al. reported that a high HALP score was associated with longer progression-free survival in patients with esophageal squamous cell carcinoma [15]. Guo et al. demonstrated that the HALP score is an independent prognostic factor for progression-free survival after cytoreductive radical prostatectomy in patients with metastatic



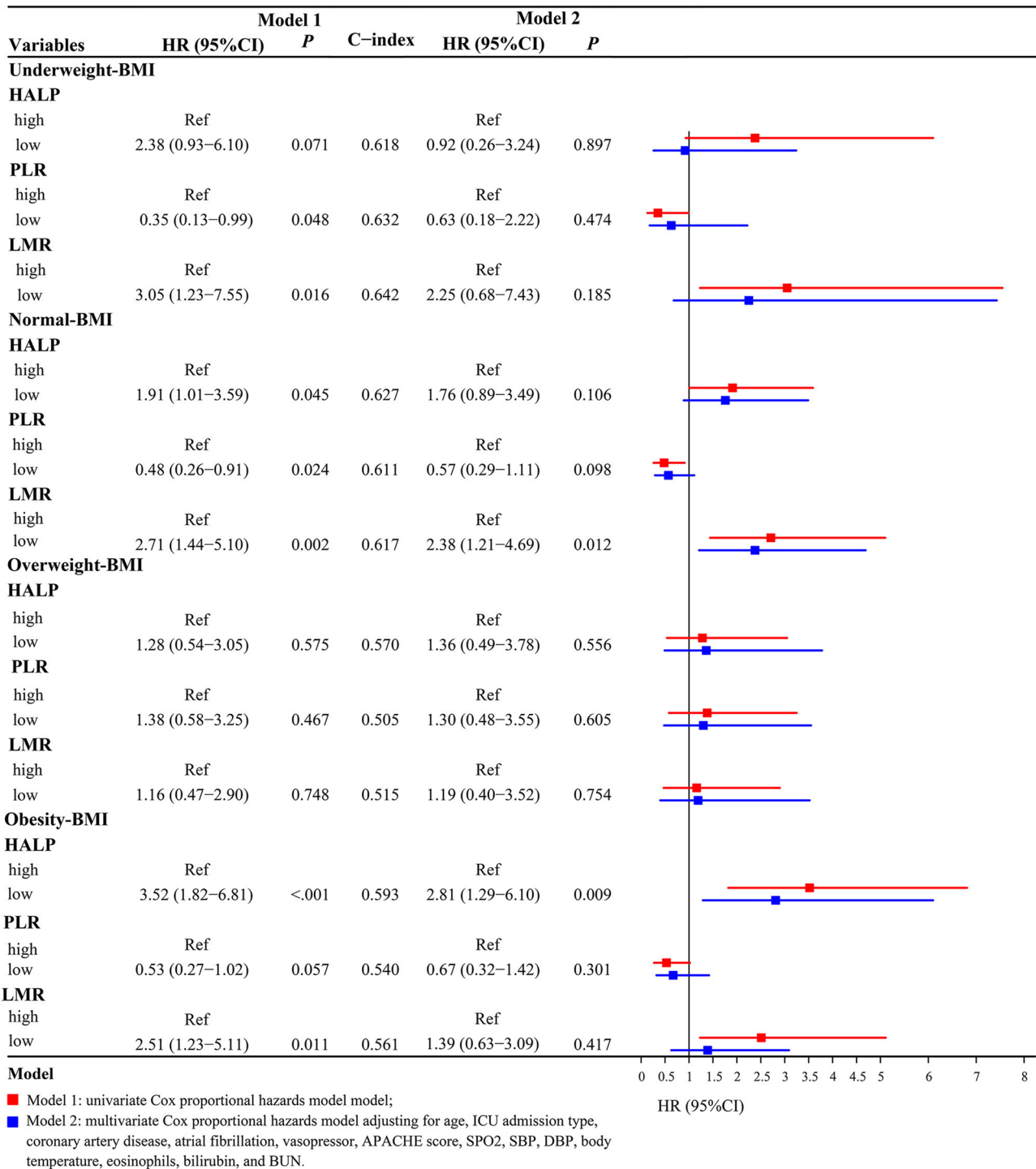
**Fig. 4** Cox proportional hazards models between HALP, PLR, and LMR and ICU mortality risk in AECOPD patients based on ICU admission type

prostate cancer [16]. Tian et al. found that increased HALP score was associated with a decreased risk of recurrent stroke and death within 90 days and 1 year after stroke onset in patients with acute ischemic stroke [17]. Our study was the first to explore the association between HALP score and ICU mortality risk in AECOPD patients, and our results found that low HALP score was associated with an increased risk of ICU mortality in patients with AECOPD. Furthermore, the association between low HALP score and higher risk of ICU mortality may be more significant in patients admitted to ICU by emergency, obese patients, and patients with low APACHE scores. The possible explanation for the more significant association between low HALP scores and ICU mortality risk in emergency department AECOPD patients was that emergency department AECOPD patients often present fairly advanced disease [21]. The association between low HALP scores and ICU mortality risk in obese populations may be related to the fact that FEV1 is lower in obese populations [22]. Among patients with different APACHE IV scores, the overall status of patients with low

APACHE IV scores was more stable, and the HALP score could well reflect the death risk of patients.

Systemic inflammation and immune response are the main factors influencing the prognosis and survival of patients with AECOPD [23]. Several studies have shown that nutrition and inflammation status parameters, including hemoglobin levels, albumin levels, lymphocyte counts, and platelet counts, are critical for survival in COPD [24–27]. Low levels of hemoglobin are associated with adverse response to treatment and worsening survival, especially in patients with advanced disease [28]. Serum albumin is routinely used to assess a patient’s nutritional status and visceral protein synthesis [29]. Low levels of serum albumin have also been identified as an independent risk factor for survival in COPD patients [26]. The counts of lymphocytes and platelets reflect the systemic inflammatory response and are novel biomarkers for the progression of COPD to AECOPD [27, 30]. The HALP score combines factors of malnutrition such as hemoglobin and albumin levels, and factors of inflammatory response such as lymphocyte and platelet

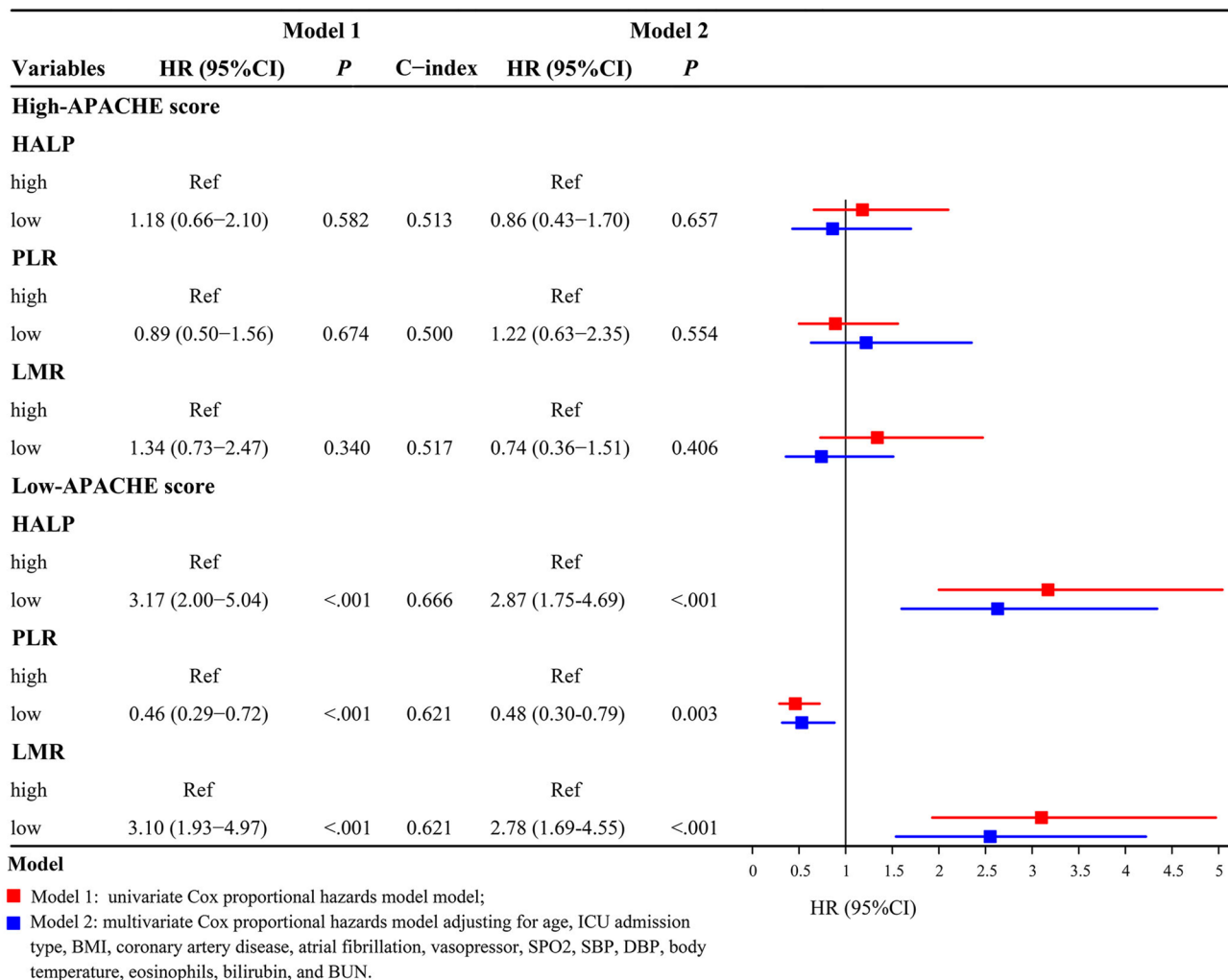




**Fig. 5** Cox proportional hazards models between HALP, PLR, and LMR and ICU mortality risk in AECOPD patients based on BMI

counts. The HALP score can reflect the inflammation-nutritional status of patients [17, 18]. Our results suggested that the HALP score had a good prognostic value for ICU mortality risk in patients with AECOPD. In addition, indicators

of hemoglobin level, albumin level, lymphocyte count, and platelet count were the most commonly used blood tests in clinical practice. The HALP score can be easily and



**Fig. 6** Cox proportional hazards models between HALP, PLR, and LMR and ICU mortality risk in AECOPD patients based on APACHE score

inexpensively applied in clinical practice to monitor the risk of ICU mortality in patients with AECOPD.

In this study we explored the relationship between HALP score and ICU mortality risk in AECOPD patients based on multicenter data from eICU-CRD. Then we further analyzed the association between HALP score and ICU mortality risk in AECOPD patients in different populations according to ICU admission type, BMI, and APACHE score. However, there were some limitations to this study. First, we only obtained laboratory indicators such as hemoglobin level, albumin level, lymphocyte count, and platelet count at admission, but did not explore the impact of dynamic changes in HALP scores at different stages on patient mortality. Second, the retrospective design of this study had certain biases, which may affect the accuracy of the results. Third, the effect of specific medication conditions such as corticosteroid use on patient survival was not considered

due to database limitations. Fourth, our study was based on the eICU database, and additional external data are needed to validate the predictive value of HALP scores for ICU mortality risk in patients with AECOPD.

### Conclusions

This study investigated the association between HALP score and ICU mortality risk in patients with AECOPD based on the multicenter database. Low HALP score was associated with an increased ICU mortality risk in patients with AECOPD, suggesting that the HALP score may be a novel prognostic predictor in patients with AECOPD. Future studies may focus on the impact of dynamically changing

HALP scores or HALP score trajectories on the prognosis of patients with AECOPD.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s11739-022-03132-4>.

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** Protocols of eICU-CRD were approved by the Institutional Review Board (IRB) of the Massachusetts Institute of Technology and informed consent was obtained from patients or their families.

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