



Palladium-catalyzed C(sp³)-C(sp²) coupling: synthesis of C(3)-arylphthalides

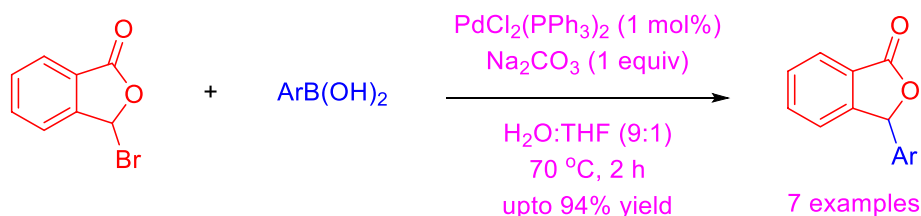
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Abstract

We have achieved an efficient synthesis of C(3)-arylphthalides by coupling C(3)-bromophthalides and arylboronic acids under palladium catalysis. The C(sp³)-C(sp²) coupling worked well in the presence of water to provide products in a high yield.

Graphical Abstract



Keywords Arylphthalides · Suzuki coupling · Palladium · Coupling reactions

Introduction

The phthalide (the C(3)-substituted 3*H*-isobenzofuran-1-one and reduced product of phthalic anhydride; highlighted in **1**, Fig. 1) structure is a medically privileged scaffold (Sadikogullari et al. 2022; Sharma et al. 2010). Molecules built on this scaffold display a wide range of pharmacological activities. The phthalide core is present in many natural products (Fig. 1) (Lin et al. 2005; Leon et al. 2017). Some of them exhibit extremely useful biological profiles (Beck and Chou 2007; Xioang et al. 2007; Mola et al. 2012a, b). For example, Isopestacin **1** isolated from the endophytic fungus *Pestalotiopsis microspora* is an antifungal agent and acts as an effective antioxidant towards both superoxide

and hydroxide free radicals (Strobel et al. 2002; Meshram et al. 2018). Cryphonectric acid **2** isolated from the fungus *Cryphonectria parasitica* is its most abundant natural product, constituting more than 20% of the crude extract (Arnone et al. 2002). It exhibits profound antibacterial activity (Kukreti et al. 2015; Kaur et al. 2020). Chryocolide **3** isolated from the Japanese vegetable and garland flower-bearing plant, *Chrysanthemum coronarium* exhibits plant growth-inhibiting and insect anti-feeding activity (Tada et al. 1984). Typhaphthalide (a benzylphthalide) **4** isolated from the rhizomes of *Typha capensis* is a part of the traditional medicines for venereal diseases, dysmenorrhea, diarrhoea, etc. (Shode et al. 2002). Corollosporine **5** isolated from the marine fungus *corollospora maritima*, shows antibacterial activity against *Staphylococcus aureus* and other microorganisms (Liberra et al. 1998; Ohzeki et al. 2001). In addition, several non-natural phthalides are emerging as medicinal drugs (Chen and Mori 2020; Luo et al. 2020). They found use in the treatment of circulatory disorders and heart diseases (Lei et al. 2019). Another important use of phthalides is their application as building blocks in organic synthesis (Awasthi et al. 2020; Karmakar et al. 2024; Mal and Pahari

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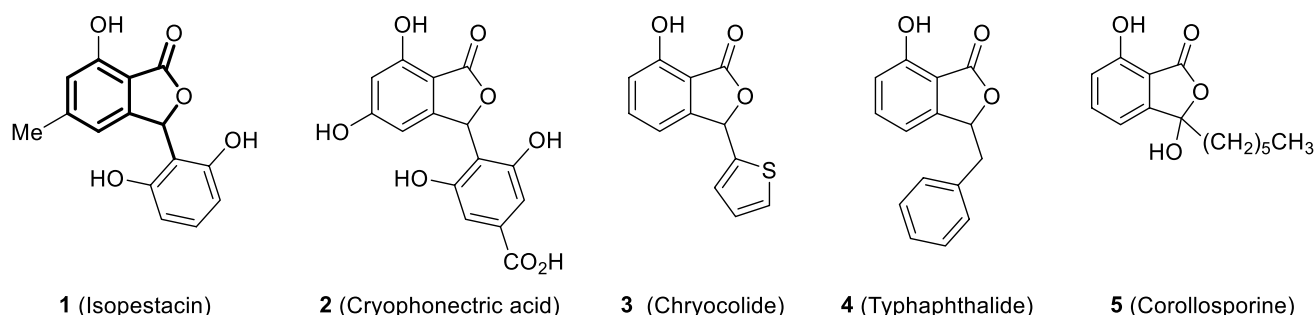


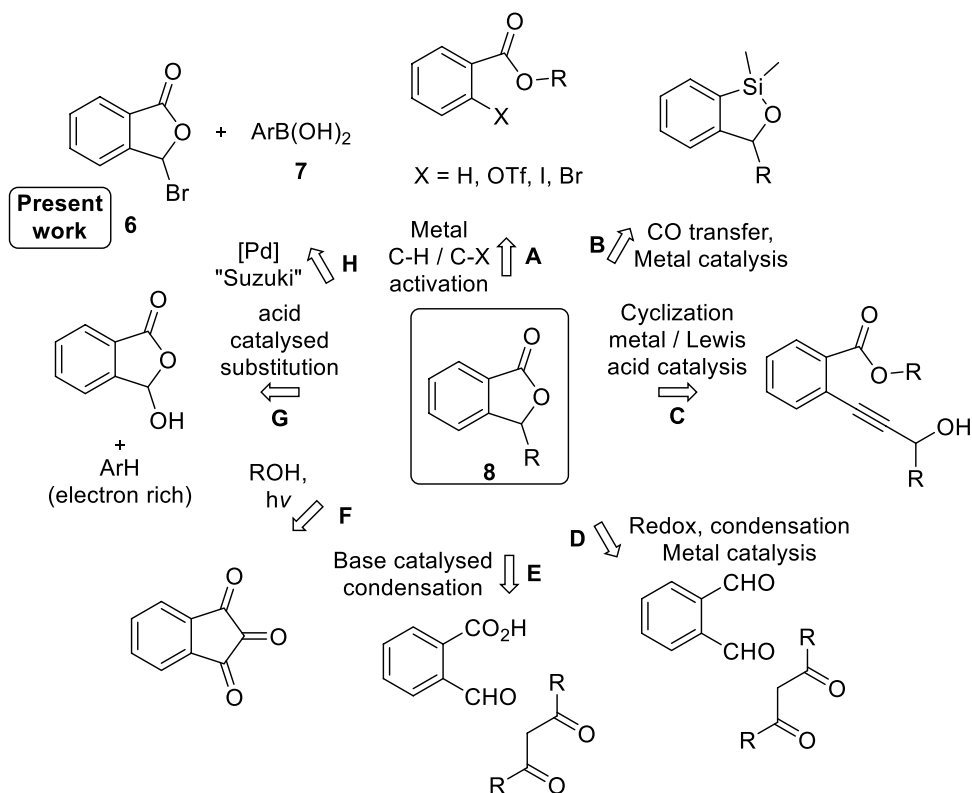
Fig. 1 Biologically active compounds containing phthalide nucleus

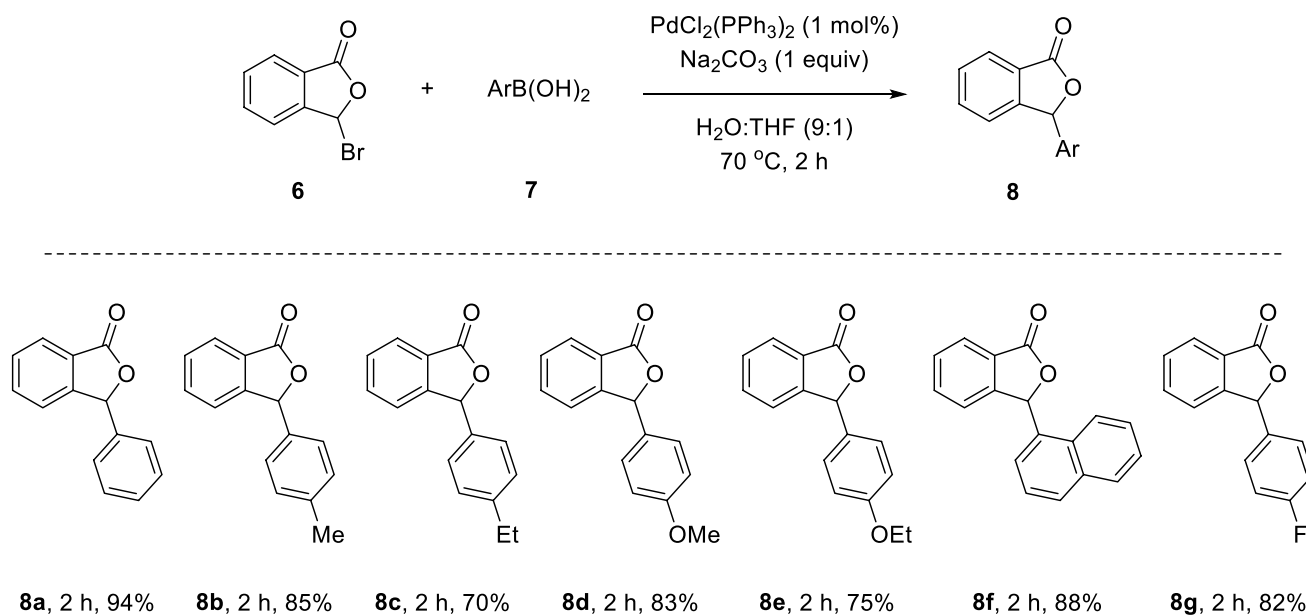
2007Rathwell et al. 2007). They have been used in the synthesis of several functionalized naphthalenes, anthracenes, and naphthacenes, including some natural products having these structural motifs (Mousavi et al. 2023; Rathwell and Brimble 2007; Hernandez et al. 2007; Sankara et al. 2023; Zhang et al. 2023).

During the last few decades, several methods (e.g. **A–G**, Scheme 1) have emerged for the synthesis of C(3)-arylphthalides (Shi and Li 2012). The majority of them (**A–D**) depend on metal catalysis to make the crucial C–C and C–O bonds during the benzofuran ring formation (Miura et al. 2018; Wang et al. 2021; Qiang et al. 2021; Ibraheem et al. 2021; Ye et al. 2010). Method **E** is a base-mediated condensation followed by lactone formation (Mola et al. 2012a;

b). Method **F** is an example of photochemical Norrish type I cleavage, loss of carbon monoxide, and finally reductive alkylation (Mor et al. 2007; Roscini et al. 2008). Method **G** is an acid-mediated substitution of the labile C(3) hydroxyl group in phthalide with nucleophiles that include electron-rich aromatic compounds (Canonne et al. 1988; Ortega et al. 2022). However, most of them suffer from one or more drawbacks, like the need for expensive metal catalysts, stoichiometric or excessive amounts of acids or bases, harsh conditions, or low functional group tolerance. Developing a simple and efficient method for 3-arylphthalide remains a highly desirable goal. Enormous importance of phthalides in medicinal chemistry and the increasing awareness of environmentally benign chemical production created the need for

Scheme 1 Different methods for the synthesis of C(3)-substituted phthalides





Scheme 2 Synthesis of C(3)-arylphthalides

the development of efficient, versatile, and scalable methods. In this context and in continuation of our efforts on the synthesis of phthalide mimics like isoindolinones (Mousavi et al. 2023) we have taken up the development of a new, facile, and scalable synthesis of C(3)-arylphthalides. We thought that readily available benzylic bromide **6** and arylboronic acids **7** would undergo palladium-catalyzed C(sp³)-C(sp²) Suzuki-type coupling to furnish C(3)-arylphthalides (method **H**, Scheme 1). We disclose details of the successful realization of this hypothesis towards several hitherto unknown phthalides. Most relevant to the present work are the findings of Zhang and Feng, who employed NiCl₂.glyme catalysed (10 mol%) coupling of **6** and **7** in presence of the chiral ligands (12 mol%) under stringent condition to form enantiomerically enriched C(3) aryl substituted phthalides **8** (Xu et al. 2021). Although chemical yield of **8** were good, enantiomeric excess was moderate.

Results and discussion

To test the concept and to optimization of the reaction conditions, we started our investigation with 3-bromoisobenzofuran-1(3*H*)-one **6** (Qiang et al. 2017) and phenylboronic acid **7** as the reactants to form the C(3)-phenylphthalide **8** (Scheme 2, Table 1). From the series of experiments conducted with equi-milli molar amounts of **6** and **7**, the one with PdCl₂(PPh₃)₂ as the catalyst (1 mol%), Na₂CO₃ (1 equiv) as the base, a mixture (9:1) of water and THF as the solvent, and 70 °C as the bath temperature provided the best yield (94%) of the product **8** (entry 1, Table 1). Alternate

reaction conditions invariably resulted in lower yields or there was no product even after 24 h. For example, the use of relatively inexpensive Pd(OAc)₂ as the catalyst (1 mol%; 19%; entry 2) (Liu et al. 2005; Saikra et al. 2015) or Pd/C (no reaction; entry 3) (Schmidt and Riemer 2014; Tagata and Nishida 2003) under the standard Suzuki coupling conditions furnished in the product in low or no yield. Switching the base from Na₂CO₃ to the relatively stronger and better water-soluble K₂CO₃ (1 equiv; 34%; entry 4) or relatively milder and better water-soluble KHCO₃ (1 equiv; 21%; entry 5) did not help. The reaction was significantly impacted by the choice of the solvent. We tried conducting the reaction in environmentally benign H₂O (59%, entry 6), an equal proportion of H₂O and THF (89%; entry 7), THF alone (68%, entry 8), 1,4-dioxane (53%, entry 9), or non-polar toluene (18%, entry 10), but the reactions did not give optimal yields. We concluded that the reaction requires water to proceed, however, water alone does not work to provide best yield of the product. The reaction was conducted as per entry 1 but at rt did not work (entry 11). Surprisingly, the yield of product **8** was lower when we employed a larger amount of the catalyst (10 mol%; 73% entry 12).

The workup of the reaction was simple. After completion of the reaction, the mixture of THF and water was recovered under reduced pressure. Filtration of the resulting viscous crude product as a solution of EtOAc (5 vol) through a pad of silica-gel followed by evaporation of the solvent and trituration by using *n*-pentane furnished the pure solid product with 94% yield. Notably, isolation of the product did not require column chromatography. We conducted this reaction on a deca-molar scale, and it worked without any event.

Table 1 Screening of reaction conditions for the synthesis of C(3)-arylphthalides

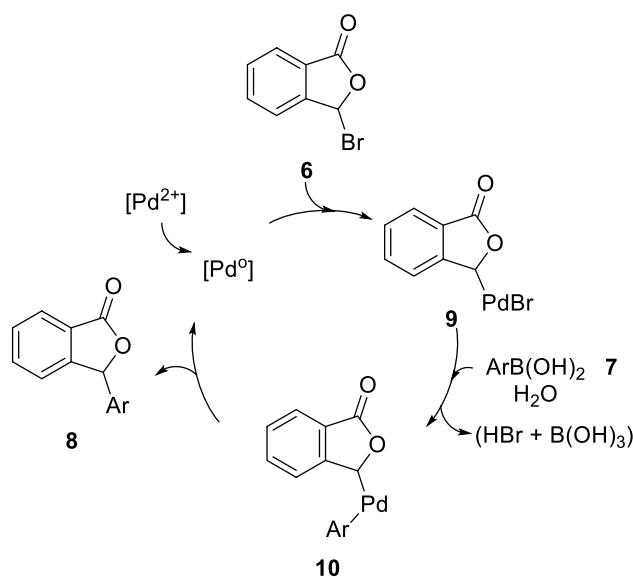
Entry	Variation from the standard conditions	Yield of 8a (%)
1	–	94
2	Pd(OAc) ₂ instead of PdCl ₂ (PPh ₃) ₂	19
3	Pd/C instead of PdCl ₂ (PPh ₃) ₂	nr
4	K ₂ CO ₃ instead of Na ₂ CO ₃	34
5	KHCO ₃ instead of Na ₂ CO ₃	21
6	H ₂ O instead of H ₂ O:THF (9:1)	59
7	H ₂ O:THF (1:1) instead of H ₂ O:THF (9:1)	89
8	THF instead of H ₂ O:THF (9:1)	68
9	1,4-dioxane instead of H ₂ O:THF (9:1)	53
10	Toluene instead of H ₂ O:THF (9:1)	18
11	rt instead of 70 °C	nr
12	10 mol% instead of 1 mol%	73

Reaction condition: 3-bromoisobenzofuran-1(3*H*)-one (0.92 mmol, 1.0 equiv), Phenylboronic acid (0.92 mmol, 1.0 equiv), Pd₂Cl₂(PPh₃)₂ (0.009 mmol, 1 mol%), Na₂CO₃ (0.92 mmol, 1.0 equiv), H₂O: THF(9:1, 20 mL), 70 °C, 2 h, 94%

The structure of the phthalide **8a** was assigned based on the spectroscopic (IR, ¹H NMR, ¹³C NMR, DEPT-135) and analytical (ESIMS HRMS) data.

After successfully unearthing optimal reaction conditions, we applied it to the reactions of **6** with six more arylboronic acids **7b–7g** to expand the scope towards the synthesis of six more C(3)-arylphthalides. As anticipated, the reaction of **6** with arylboronic acids **7b–7g** worked well under the optimized reaction conditions to provide the phthalides **8b–8g** as solids in excellent yields. Functional groups, such as methyl **8b**, ethyl **8c**, methoxy **8d**, ethoxy **8e**, naphthyl **8f**, and fluoro **8g** were tolerated in these conditions. The spectra IR and ¹H, ¹³C, DEPT NMR, and HRMS of **8b–8g** supported the assigned structures and they matched with those of the parent **8a**. In gist, we have demonstrated the generality of the palladium-catalyzed C(sp³)-C(sp²) Suzuki-type coupling to realize the C(3)-arylphthalides **8b–8g** in good to excellent yields. Notably, in all the cases, isolation of **8b–8g** did not require column chromatography for isolation.

A possible mechanism for the above Pd-catalyzed coupling reaction is given in Scheme 3. It is analogous to that of the earlier proposed mechanism for the Suzuki reaction. (Dalterio et al. 2021). The first step is the oxidative insertion of Pd(0) into C–Br of **6** to form **9**. Since the reaction did not take place at rt, the bromide **6** remained as such at this temperature, and the reaction took place at 70 °C, we think that the oxidative insertion of Pd⁰ into the C–Br bond is the



Scheme 3 Proposed mechanism for the Pd-catalyzed C(sp³)-C(sp²) coupling

rate-determining step. Transmetalation with concomitant elimination of B(OH)₃ and HBr with the involvement of a molecule of water leads to the intermediate **10**. Since the reaction worked best in H₂O:THF (9:1) we think that this combination is suitable for the solubility of the reactants,

catalyst, and base to afford good yield of the desired product **6**. The in situ generated acid (HBr) is trapped by Na₂CO₃ to form sodium bromide which precipitated from the reaction medium. Transformation of the intermediate **10** through C(sp³)-C(sp²) coupling led to **8** and regeneration of the [Pd⁰] catalyst.

Conclusion

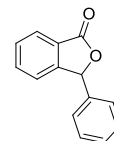
In conclusion, we have developed an efficient palladium-catalyzed C(sp³)-C(sp²) coupling for C(3)-arylphthalides from readily available C(3)-bromophthalides and arylboronic acids. The method offers several advantages such as easy handling, workup, environmentally benign conditions and excellent yields. The method allows the synthesis of a combinatorial library of medicinally important C(3)-arylphthalides.

Experimental

Materials and equipment

The progress of all reactions were monitored by TLC using a hexanes and ethyl acetate mixture as eluent. Column chromatography was performed on silica gel (100–200 mesh) using increasing percentages of ethyl acetate in hexanes. ¹H NMR (400 MHz), ¹³C NMR (100 MHz), and DEPT-135 spectra were recorded for CDCl₃ solutions on a Bruker Avance 400 spectrometer with TMS as the internal standard. Chemical shifts (in ppm) and coupling constants *J* are given in Hz. IR spectra were recorded as solid solutions in KBr on a Nicolet-6700 FT-IR spectrometer. High-resolution mass spectra (HRMS) were recorded on an Agilent 6350 B Q-TOF mass spectrometer using electrospray ionization mode. The melting points were recorded with an open-ended capillary tube with a VEEGO VMP-DS instrument and were uncorrected. Standard methods were used to dry organic solvents (Armarego and Chai 2003). Commercially obtained reagents were used after purification. Arylboronic acids, phthalic anhydride, and palladium salts were purchased from Sigma-Aldrich.

General procedure for the synthesis of 3-phenylisobenzofuran-1(3H)-one **8a**



To the reaction tube with 3-bromoisobenzofuran-1(3H)-one (200 mg, 0.92 mmol), phenylboronic acid (114 mg, 0.92 mmol), Na₂CO₃ (100 mg, 0.92 mmol), PdCl₂(PPh₃)₂ (6.4 mg, 0.009 mmol) a solution of 20 mL of H₂O and THF (9:1, v/v). The reaction tube was kept stirring at 70 °C for 2 h. After the completion of the reaction, as monitored by TLC, the solvent was evaporated under reduced pressure. The residue was passed through a pad of silica gel with the help of EtOAc (50 mL) to give 3-phenylisobenzofuran-1(3H)-one **8a** as a white solid (186 mg, 94% yield); mp 113 °C; IR (KBr, cm⁻¹) 3340, 1762, 1603, 1499, 1356, 1282, 1193, 1092, 1008, 913, 749, 696, 638; ¹H NMR (400 MHz, CDCl₃) δ 7.96 (d, *J* = 8 Hz, 1H), 7.65 (td, *J* = 7.5, 1.1 Hz, 1H), 7.55 (t, *J* = 7.5 Hz, 1H), 7.40–7.35 (m, 3H), 7.33 (dd, *J* = 7.7, 0.8 Hz, 1H), 7.27 (dd, *J* = 7.0, 3.0 Hz, 2H), 6.41 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 170.7 (C), 149.7 (C), 136.4 (C), 134.4 (CH), 129.49 (CH), 129.43 (CH), 129.0 (CH), 127.0 (CH), 125.7 (CH), 125.6 (CH), 122.9 (C), 82.8 (CH); HRMS (ESI) calcd for C₁₄H₁₁O₂ (M + H) 211.0759, found 211.0745.

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Declarations

Conflict of interest On behalf of all authors, the corresponding author states that there is no conflict of interest.

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