#### **ORIGINAL PAPER**



# Effect and optimization of NaOH combined with Fenton pretreatment conditions on enzymatic hydrolysis of poplar sawdust

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#### Abstract

In this study, the effects and mechanism of pretreatments of three types of chemical reagents combined with Fenton on poplar sawdust were studied and the optimization of enzymatic hydrolysis conditions was conducted using response surface methodology. The results showed that cellulase and hemicellulase had the best hydrolysis effect after NaOH-Fenton pretreatment, which were 63.73% and 29.29%, respectively. The optimal process of poplar substrate was to react in 1% NaOH at 100 °C for 1 h, then placed in the Fenton reaction system of 0.2 mmol Fe<sup>2+</sup> and 25 mmol H<sub>2</sub>O<sub>2</sub> for 7 h, and finally subjected to enzymatic hydrolysis for 72 h at 52 °C, with a liquid-to-solid ratio of 33 wt/vol and 15  $\mu$ L/g of  $\beta$ -glycosidase. Under this condition, the enzymatic hydrolysis rates of cellulase and hemicellulase reached 86.65% and 43.90%, respectively. In conclusion, the combination of NaOH and Fenton pretreatment can effectively promote the enzymatic hydrolysis of poplar sawdust, which has great potential in the production of cellulosic ethanol.

**Keywords** Poplar sawdust · Pretreatment of NaOH combined with Fenton · Enzymatic hydrolysis · Optimization · Response surface methodology

# Introduction

Growing energy demands and depletion of fossil fuels stimulated the search for biomass, thus promoting the production of fuels and chemicals from lignocellulose (Zhang et al. 2017; Shuo et al. 2018). Common lignocellulosic biomass materials, like corn stover (Li et al. 2016), bamboo (Kai et al. 2018), sugarcane bagasse (Rocha et al. 2014), and wheat straw (Farid et al. 2010), have been extensively studied. Lignocellulose mainly consists of cellulose, hemicellulose, and lignin, in which cellulose and hemicellulose can be hydrolyzed into reducing sugars, then converted into biofuels by enzymes or microbes (Galbe and Zacchi 2007). However, lignocellulose is difficult to degrade due to its complex structure and the presence of lignin (Zhou et al. 2014), which affects enzyme activity, fluid permeability, and enzyme accessibility (Jain and Vigneshwaran 2012), hindering the utilization of lignocellulosic materials. Therefore, pretreatment of the lignocellulose is necessary to break the

Ping Liu liuping@cau.edu.cn compact structure and assist in the removal of lignin, thus promoting the contact between the cellulose and enzymes or microbes (Jørgensen et al. 2007).

Pretreatment methods are generally divided into four kinds: chemical, physical, physical–chemical, and biological pretreatments are widely used (Mosier et al. 2005). Among the various chemical pretreatments, alkali pretreatment is the most common due to its prominent delignification ability (Silverstein et al. 2007). The NaOH pretreatment could reduce the lignin and hemicellulose contents and significantly improve the enzyme digestibility of the agave stalk (Yang and Pan 2012). Du et al. (Du et al. 2013) pretreated cotton straw with high-pressure-assisted NaOH, resulting in a reducing sugar yield of 0.293 g/g biomass and cellulose conversion of 45.82%. However, single NaOH pretreatment has its disadvantage: The effect of enzymatic hydrolysis became worse with the increase of lignin amount except for the low lignin content (Agbor et al. 2011).

Fenton is an advanced oxidation reagent which is used to serve for treating organic wastewater (Zhang et al. 2019), and the Fenton reaction could been used in lignocellulose pretreatment. After the Fenton pretreatment, cotton was degraded effectively and its susceptibility to cellulase was improved (Prateek and Nadanathangam 2012). The

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enzymatic saccharification effect of four different biomass feedstocks (miscanthus, switchgrass, corn stover, and wheat straw) after Fenton pretreatment showed an average increase of 212% in comparison with untreated control groups, which demonstrated the capacity of Fenton to improve the accessibility of cellulose to enzymes (Kato et al. 2014). In the reaction mechanism, Fenton reagent generates hydroxyl radical through the reaction of  $Fe^{2+}$  and  $H_2O_2$ , as given in Eq. 1, where  $Fe^{2+}$  act as the catalyst. The consumed  $Fe^{2+}$  is regenerated by the reaction of  $Fe^{3+}$  with  $H_2O_2$  as shown in Eq. 2. These free radicals could oxidize lignocellulose components (Nidheesh 2015; Yu-Cai et al. 2015). Fenton oxidation conditions are mild, and the treatment of alkali combined with Fenton has high potential in lignocellulose pretreatment. The sequential Fenton and dilute NaOH extraction has been applied to pretreat corn stover which changes the cellulose structure characteristics (porosity, morphology, and crystallinity) of the solid residues and enhances the enzymatic saccharification (Yu-Cai et al. 2015).

$$Fe^{2+} + H_2O_2 \rightarrow Fe^{3+} + OH^- + HO^-$$
 (1)

$$Fe^{3+} + H_2O_2 \rightarrow Fe^{2+} + H^+ + HO_2^{-}$$
 (2)

Cellulose can be hydrolyzed into glucose and other fermentable sugars after pretreatment. In general, common hydrolysis processes include acid hydrolysis and enzyme hydrolysis, and the latter has been widely used because of high hydrolysis efficiency and low environmental pollution (Kumar et al. 2015). The existing studies on the enzymatic hydrolysis conditions of pretreated biomass materials are mainly focused on the optimization of enzyme addition amount, process time, temperature, and pH. The optimal conditions for two-step alkaline-enzymatic hydrolysis are an NaOH concentration of 9%, enzyme ratio of 0.95, and time of 16 h (Łukajtis et al. 2018). In addition, lignocellulose digestibility is affected by liquid-to-solid ratio, buffer concentration, degree of crystallization, and porosity (Selig et al. 2011). When considering the effects of many factors, response surface methodology (RSM) is an effective tool for optimizing the process (Triveni et al. 2001). It can reduce the number of experiments needed to evaluate multiple parameters, and the experimental methodology forms a mathematical model to describe the whole process, in addition to analyzing the influence of independent variables. At present, RSM has been successfully used to optimize the enzymatic reaction conditions (Guan and Yao 2008).

In this study, poplar sawdust was treated with the combination of Fenton and dilute acid (HCl and  $H_2SO_4$ ), dilute alkali (ammonia and NaOH), and oxidants ( $H_2O_2$  and NaClO), respectively, to explore the differences of three chemical pretreatment methods on the removal ability of cellulose and hemicellulose in poplar sawdust and

subsequent enzymatic hydrolysis of cellulose. Considering the enzymatic hydrolysis rates of cellulase and hemicellulase as indicators, the effects of the concentration, temperature, and time of NaOH treatment as well as the  $H_2O_2$ and Fe<sup>2+</sup> additions on the enzymatic hydrolysis of sawdust were investigated to obtain the optimal NaOH-Fenton pretreatment conditions. Finally, the optimal enzymatic hydrolysis conditions under the optimal NaOH-Fenton pretreatment was identified using RSM. This study provides a reference for maximizing the hemicellulose and cellulose in poplar sawdust into fermentable sugars, reducing the cost of ethanol production, and fully improve the comprehensive utilization value of poplar sawdust.

# **Materials and methods**

#### **Materials and chemicals**

Poplar sawdust was obtained from a farm in the outskirts of Beijing. The raw material was smashed and sifted through a 20-mesh sieve, then dried to a constant weight. Through two-step acid hydrolysis, the contents of cellulose, hemicellulose, and lignin were determined to be 32.57%, 13.88%, and 32.11%, respectively.

The cellulase ( $\geq$  700 units/g, optimal pH 6),  $\beta$ -glucosidase ( $\geq$  2000 units/g, optimal pH 6), hemicellulase ( $\geq$  2500 units/g, optimal pH 4.5), and cycloheximide were purchased from Sigma (St. Louis, MO, USA). Tetracycline hydrochloride was obtained from Amresco (Solon, OH, USA). The glucose standard, xylose standard, and cellobiose are chromatographically pure, and all supplied from Exin Biotechnology Co., Ltd. (Shanghai, China). The other reagents used in the experiment were of analytical purity and were purchased from Beijing Chemical Works (Beijing, China).

# Different pretreatments of poplar sawdust (Zhao et al. 2021)

#### Fenton treatment

The tube was first loaded with 1 g of sawdust, 0.1 mmol  $FeSO_4.7$  H<sub>2</sub>O and 15 mmol 30% H<sub>2</sub>O<sub>2</sub>, and deionized water was added to 15 mL. 98% sulfuric acid was used to adjust the pH to 4. The tube was kept away from light for 10 h to complete the Fenton reaction. After the reaction, the mixture was filtered through a 200-mesh cell sieve, and the remaining sawdust was washed with 2% oxalic acid and deionized water.

#### Acid combined with Fenton treatment

Acid treatment was conducted with different concentration  $(1\% \cdot 2\% \cdot 3\% \cdot 4\% \cdot 5\%)$  of  $H_2SO_4$  or HCl at 1:15 wt/vol solid-to-liquid ratio at 80°C water for 2 h. After filtration, the remaining sawdust were subjected to Fenton reaction according to the above method.

#### Alkali combined with Fenton treatment

Poplar sawdust and dilute alkali solution of different concentration (NaOH was 1%, 2%, 3%, 4%, 5%, ammonia water was 5%, 10%, 15%, 20%) were reacted in a 75°C water bath for 2 h at a material-to-liquid ratio of 1:15 wt/vol. After solid–liquid separation, performing the Fenton reaction according to the above conditions.

#### **Oxidants combined with Fenton treatment**

 $H_2O_2$  treatment was to mix poplar sawdust and  $H_2O_2$  solution (1.5%  $\cdot$  2.5%  $\cdot$  3.5%  $\cdot$  4.5%  $\cdot$  5.5%) at a ratio of 1:15

of 65  $^{\circ}$ C. The retention time of glucose and xylose were 10.550 min and 11.000 min, respectively. Each sample was repeated three times and the average was used as the calculation result.

#### Calculation of enzymatic hydrolysis conversion Rate

After the pretreatment, the poplar sawdust and 25  $\mu$ L of hemicellulase were mixed with 30 mL acetate buffer (pH 4.8) in a 50-mL centrifugal tube and placed in a 70°C water bath for 1 d. Also, 60  $\mu$ g of cycloheximide and 80  $\mu$ g of tetracycline hydrochloride were added to avoid pH changes caused by microbial growth. When the reaction was complete, the tube reactor was cooled, and 60  $\mu$ L cellulase and 10  $\mu$ L  $\beta$ -glucosidase were added to perform the hydrolysis reaction at 120 rpm and 50°C for 3 d. Finally, the mixed contents were filtered through a 200-mesh cell sieve, and the enzymatic hydrolysate was collected to determine the contents of glucose and xylose for the calculation of enzymatic conversion rate of cellulose and hemicellulose. The calculation equations were as follows (Zhao et al. 2021).

Enzymatic hydrolysis conversion rate of cellulose (%) = 
$$\frac{C_1 \times V \times 0.90}{m \times W_1} \times 100$$
 (3)

Enzymatic hydrolysis conversion rate of hemicellulose (%) = 
$$\frac{C_2 \times V \times 0.88}{m \times W_2} \times 100$$
 (4)

wt/vol and incubated at 30 °C for 2 h. Fenton treatment is performed after filtration. NaClO treatment was conducted with NaClO solution ( $1.5\% \times 2.5\% \times 3.5\% \times 4.5\% \times 5.5\%$ ) at 1:15 solid-to-liquid ratio at 80°C water for 2 h. After filtration, the sawdust was subjected to Fenton treatment.

#### Determination of content of glucose and xylose

Refer to two-step acid hydrolysis, untreated poplar sawdust was used as samples (Sluiter et al. 2010). Step 1: 0.300 g sample was accurately weighed and mixed with 3.0 ml of 72% sulfuric acid at 30 °C for 1 h and swirled every 5–10 min. Step 2: 84 mL deionized water was added to sulfuric acid to dilute the concentration to 4%. After reacting at 121 °C for 1 h, the filtrate obtained by suction filtration was passed through a 0.22 µm filter membrane. The contents of glucose and xylose in filtrate were determined by highperformance liquid chromatography (HPLC, Agilent 1200, Palo Alto, CA, USA) system equipped with a refractive index detector, the corresponding protection column, and a Rezex ROA. The samples were eluted with 0.005 M H<sub>2</sub>SO<sub>4</sub> at a flow rate of 0.6 mL/min and a column temperature where  $C_1/C_2$  was the concentration of glucose/xylose in enzymatic hydrolysate (mg/mL), V was the total volume (mL), 0.90 was the conversion factor for glucose to equivalent cellulose, 0.88 was the conversion factor for xylose to equivalent hemicellulose, m was the quantity of poplar sawdust (mg), and  $W_1/W_2$  is the content of glucose/xylose in two-step acid hydrolysis.

### Optimization of NaOH treatment under fixed Fenton conditions

For the optimization of NaOH treatment temperature-concentration, poplar sawdust was mixed with dilute NaOH (1%, 2%, 3%, 4%, 5%) at 1:15 wt/vol solid-to-liquid ratio at 25 °C, 50 °C, 75 °C, and 100 °C for 2 h. Then, the Fenton reaction was performed under the conditions of 0.1 mmol FeSO<sub>4</sub>·7 H<sub>2</sub>O and 15 mmol 30% H<sub>2</sub>O<sub>2</sub> for 10 h.

For the optimization of NaOH treatment time, poplar sawdust and NaOH solution were incubated for 0.5 h, 1.0 h, 2.0 h, 3.0 h, and 4.0 h at the optimal NaOH concentration and temperature obtained in the previous

experiment. Then, Fenton treatment was carried out according to the above conditions.

For the circulation of alkali pretreatment solution, the liquid waste was collected after NaOH pretreatment, and the residual NaOH was determined by titration. According to the measured results, NaOH was added to the liquid waste to reach the initial concentration. The poplar sawdust was processed, and the liquid waste was collected again. The process was repeated.

# Optimization of Fenton treatment under fixed NaOH treatment conditions

The optimization of the Fenton reaction conditions was carried out under the optimal conditions of NaOH treatment obtained in the above experiment. For the optimization of  $H_2O_2$  addition amount, 1 g of alkali treated sawdust was mixed with 0.1 mmol FeSO<sub>4</sub>.7 H<sub>2</sub>O and different amounts of  $H_2O_2$  (5 mmol, 10 mmol, 15 mmol, 20 mmol, 25 mmol, and 30 mmol). Deionized water was added to adjust the materialto-liquid ratio to 1:15 wt/vol, and sulfuric acid was used to adjust the pH to 4. The tube was kept out of the light for 10 h to perform the Fenton reaction. For the optimization of Fe<sup>2+</sup> addition amount, the sawdust was mixed with 25 mmol  $H_2O_2$  and different amounts of FeSO<sub>4</sub>.7 H<sub>2</sub>O (0.05 mmol, 0.1 mmol, 0.2 mmol, 0.3 mmol, and 0.4 mmol), and the other operations were the same as above.

For the optimization of Fenton reaction time, 1 g of sawdust was mixed with the optimal addition amount of  $FeSO_4$ .7  $H_2O$  and  $H_2O_2$  obtained in the above experiment. Deionized water was added to adjust the material-to-liquid ratio to 1:15 wt/vol. Then, the mixture reacted away from the light for different times (1 h, 2 h, 3 h, 4 h, 5 h, 6 h, 7 h, 8 h, 9 h, 10 h, 11 h, and 12 h).

# Response surface optimization of enzymatic hydrolysis conditions

According to the Box–Behnken design and the preliminary test results, the enzymatic hydrolysis temperature, liquid-tosolid ratio, enzyme concentration, and reaction time were selected, and each factor was set at three levels to conduct response surface experiments at a total of 30 tests. The total reducing sugar obtained by enzymatic hydrolysis was taken as the response value to optimize the enzymatic hydrolysis of pretreated poplar sawdust. The reducing sugar content of the sample was determined by DNS method (Hu et al. 2008).



Fig. 1 Effects of dilute acid concentration on enzymatic hydrolysis of pretreated sawdust (C: cellulose; HC: hemicellulose)

# **Result and discussion**

# Comparison analysis of different treatments combined with Fenton on lignocellulose enzymatic hydrolysis at ordinary pressure

Dilute acid treatment is suitable for industrial application because of its weak corrosivity and easy recovery of acid. Figure 1 depicts the effect of pretreatment with different concentrations (1-5%) of H<sub>2</sub>SO<sub>4</sub> or HCl combined with Fenton on the enzymatic hydrolysis of sawdust. The conversion rates of cellulose and hemicellulose increased as the dilute acid concentration increased from 1 to 3%. When the concentration exceeded 3% and 4%, the enzymatic conversion rate of cellulose and hemicellulose slightly decreased. The study of Saha et al. showed that the wheat straw gave maximum sugar yield after 0.75% H<sub>2</sub>SO<sub>4</sub> pretreatment, and the fermentable sugar yield decreased with the increase of acid dose, which is consistent with our observation. This might because that as the concentration of acid increases, the sugars gradually degraded into furfural and HMF which could influence enzymatic hydrolysis of the sawdust, resulting in the decrease of the conversion of cellulose and hemicellulose (Bcs et al. 2005). The enzymatic hydrolysis efficiency of the dilute H<sub>2</sub>SO<sub>4</sub> was higher than that of the dilute HCl. When the concentration of dilute H<sub>2</sub>SO<sub>4</sub> was 3%, the enzymatic hydrolysis rates of cellulose and hemicellulose were 9.50% and 28.60% higher, respectively, than those of dilute HCl at the same concentration. This result shows that dilute HCl pretreatment causes indistinctive delignification with subtle structural changes in the fibers (Cristina and Silvia 2014). However, dilute  $H_2SO_4$  pretreatment can make hemicellulose more soluble, and the chemical structure in the solid residue changes, making the sawdust more porous and improving the cellulose accessibility of enzymes (Hsu et al. 2010; Alviraet al. 2010). Therefore, the combination of 3% H<sub>2</sub>SO<sub>4</sub> and Fenton is a better pretreatment condition



Fig. 2 Effects of oxidant concentration on enzymatic hydrolysis of pretreated sawdust (C: cellulose; HC: hemicellulose)



Fig. 3 Effects of dilute alkali concentration on enzymatic hydrolysis of pretreated sawdust (C: cellulose; HC: hemicellulose)

for lignocellulose, and the enzymatic hydrolysis rates of cellulose and hemicellulose reached 53.95% and 30.60%, respectively.

With the increase in oxidant concentration, the cellulose conversion rate gradually increased and tended to be stable at the concentration of 4.5%, while the enzymatic conversion rate of hemicellulose declined after the oxidant concentration exceeded 4.5% (Fig. 2). In addition, the enzymatic hydrolysis rates of cellulose and hemicellulose in the 4.5% H<sub>2</sub>O<sub>2</sub> group were 37.88% and 22.38%, respectively, 3.86% and 18.77% higher than those in the 4.5% NaClO group. Therefore, the pretreatment effect of  $H_2O_2$  was better than NaClO, which is consistent with previous research (Martelli-Tosi et al. 2017). Oxidants break down the network structure of the materials through peroxidative degradation of lignin, thereby improving the enzymatic hydrolysis efficiency of cellulose and hemicellulose. As the oxidability of HClO produced by NaClO was weaker than H<sub>2</sub>O<sub>2</sub>, its ability to oxidize lignin was weak and it is not as effective as  $H_2O_2$ 

in promoting enzymatic hydrolysis. In many cases the reaction of oxidant treatment is not selective, resulting in loss of hemicellulose and cellulose and therefore the pretreatment effect is inferior to dilute acid pretreatment (Hendriks and Zeeman 2009). In conclusion, in above pretreatment experiment of poplar sawdust with oxidants combined with Fenton, the pretreatment effect of 4.5% H<sub>2</sub>O<sub>2</sub>-Fenton was the best.

When the concentration of NaOH and ammonia was 3-4% and 15-20%, respectively, the hydrolysis rates of cellulose and hemicellulose reached the maximum (Fig. 3). The conversion rates of cellulose and hemicellulose under 15-20% ammonia pretreatment were much lower than that of 3-4% NaOH. However, the enzymatic conversion rates of cellulose and hemicellulose at 4% NaOH did not increase significantly compared with those at 3% NaOH. In consequence, 3% NaOH combined with Fenton reaction was the suitable pretreatment in this experiment, and the enzymatic hydrolysis efficiencies of cellulose and hemicellulose were 63.73% and 29.29%, respectively. Although ammonia treatment promotes lignocellulose enzymatic hydrolysis less than NaOH treatment, the commonly used ammonia explosion pretreatment requires high temperature and high pressure (Cha et al. 2014). In this experiment, the combined pretreatment of ammonia and Fenton was carried out at 75°C, which was more mild and safe.

Among the three types of chemical treatments, the cellulose conversion rate of 3% NaOH combined with Fenton pretreatment was the highest (63.73%), 68.25% and 18.11% higher than that of 4.5% H<sub>2</sub>O<sub>2</sub> and 3% H<sub>2</sub>SO<sub>4</sub>, respectively. The hemicellulose conversion rate at 3% NaOH (29.29%) was comparable to that of 3% H<sub>2</sub>SO<sub>4</sub> but 32.75% higher than of 4.5% H<sub>2</sub>O<sub>2</sub>. The effect of different kinds of bases on lignocellulosic biomass is the basis of alkali treatments, which may be the reason why diluted NaOH is more effective than ammonia (Mcmillan 1994; Fan et al. 1987). Solvation and saponification are the first reactions to occur in NaOH pretreatment. Solvation reaction can dissolve part of lignin into liquid components. Meanwhile, saponification of intermolecular ester bonds reduces the strength of hydrogen bonds between hemicellulose and lignin and increases porosity and surface area, causing the expansion of the lignocellulosic biomass and makes it easier for enzymes to access (Hendriks and Zeeman 2009; Zhao et al. 2021). NaOH pretreatment improved cellulose digestibility and it was more effective in the solubilization of lignin, exhibiting less dissolution of cellulose and hemicellulose than acid and oxidant processes (Carvalheiro et al. 2008). Moreover, compared with acid method, alkali treatment causes less sugar degradation, and caustic salts such as NaOH can be recovered and/or regenerated (Kumar et al. 2009). NaOH extraction can also change the crystalline state of cellulose thus reducing the degree of polymerization and crystallinity (Gregg and Saddler 1996;



Fig. 4 Effects of dilute alkali concentration on pretreated sawdust hydrolysis at different temperatures. a 25°C; b 50°C; c 75°C; d 100°C

Singh et al. 2015). Given the enzymatic hydrolysis efficiency after pretreatments, the combined pretreatment of dilute NaOH and Fenton reaction was determined as the optimal pretreatment process in this study, and the conditions were optimized in the subsequent experiments.

### Improvement in alkali pretreatment conditions of lignocellulose under fixed Fenton reaction

Figure 4a, b, c shows the enhancement of the enzymatic hydrolysis of poplar sawdust pretreated by dilute NaOH (0.5% to 4.0%) at 25°C, 50°C, and 75°C for 2 h, respectively. When the NaOH concentration was increased, the enzymatic hydrolysis efficiency of cellulose gradually increased at 25°C, 50°C, and 75°C and reached the maximum of 36.70%, 41.50%, and 61.93% at 3% NaOH, respectively, increasing by 31.00%, 19.60%, and 41.06% compared with 0.5% NaOH. The enzymatic conversion rate of cellulose decreased when the NaOH concentration was further increased to 4%. Similar to the cellulase hydrolysis rate, the enzymatic conversion rate of hemicellulase also increased with the increasing NaOH in the low concentration range, reaching the highest value of 27.90%, 28.05%,

and 37.40%, respectively, at 1% to 2% NaOH, and then gradually decreased. When the alkali treatment temperature continued to rise to 100°C, cellulose conversion rate was higher than at 25°C, 50°C, and 75°C (Fig. 4d). The enzymatic hydrolysis rate of cellulose reached a maximum of 66.45% at 1.0% NaOH under 100°C for 1 h and the further increase of NaOH did not significantly improve the cellulase hydrolysis rate. Hemicellulase hydrolysis rate also rose to the highest value of 33.70% at 1.0% NaOH. Therefore, the optimal concentration of NaOH at 100°C was 1.0%.

Alkali pretreatment removes lignin, reduces lignin molecular weight, and weakens the inhibition of lignin on cellulose hydrolysis to improve hydrolysis efficiency (Zhang et al. 2020). The cellulose enzymatic conversion rate at 100°C was much higher than other temperatures. Moreover, the cellulose conversion rate at 1.0% NaOH was 66.40%, which was 85.17%, 60.19%, and 9.05% higher than that at 25°C, 50°C, and 75°C, respectively. This result can be explained by the fact that high temperature alkali pretreatment breaks the fiber, leading to a loose arrangement, thus causing more serious physical structure damage to the substrate (Vijay et al. 2019). The 100°C NaOH pretreatment provides an efficient



Fig. 5 Effects of reaction time on pretreated sawdust hydrolysis



Fig. 6 Effects of circulation of cycling NaOH on pretreated sawdust hydrolysis

removal of lignin (Lloyd and Wyman 2005). In the pretreatment of NaOH combined with Fenton, the optimal condition was 1% NaOH at 100°C.

Figure 5 shows the results of the enzymatic hydrolysis rates of different reaction time with 1.0% NaOH at 100°C. The enzymatic hydrolysis rates of cellulose and hemicellulose reached a maximum of 68.64% and 32.60% at 1 h and 2 h, respectively. The enzymatic hydrolysis rates decreased gradually with the extension of reaction time. In dilute alkali combined with Fenton treatment, the optimum condition was 1.0% NaOH extraction at 100°C for 1 h.

Alkali pretreatment solution contains phenols produced after lignin degradation and residual NaOH, which is harmful to the environment and could cause the waste of NaOH. The results of the experiment on circulation of waste liquid are shown in Fig. 6. With the increase of cycle times, the enzymatic hydrolysis conversion rates decreased gradually. In the fourth cycle, the enzymatic hydrolysis rates of cellulose and hemicelluloses were 60.59% and 27.61%, respectively, which were 11.73% and 16.60% lower than the first cycle. As the cycle continued, the enzymatic hydrolysis



Fig.7 Effects of different  $H_2O_2$  addition on pretreated sawdust hydrolysis

H<sub>2</sub>O<sub>2</sub> addition (mmol)



Fig. 8 Effects of different Fe<sup>2+</sup> addition on pretreated sawdust hydrolysis

efficiency dropped. Taking production efficiency and cost into consideration, the waste liquid can be recycled for four times to reduce about 31% NaOH consumption.

### Improvement in Fenton reaction conditions of lignocellulose under fixed alkali pretreatment

 $H_2O_2$  is essential in the Fenton reaction because it is converted by Fe<sup>2+</sup> to generate hydroxyl radicals (Walling 1975). As shown in Fig. 7, at the fixed concentration of Fe<sup>2+</sup>, the enzymolysis efficiency of cellulose improved with the increase of  $H_2O_2$  in the range of 5–25 mmol, which was in accordance with the previous report (Jung et al. 2015). When the  $H_2O_2$  addition was 25 mmol, the enzymatic conversion rate of cellulose reached the highest value of 72.00%, and as the addition amount of  $H_2O_2$  continued to increase, it decreased instead. This result was attributed to the fact that excessive  $H_2O_2$  can oxidize Fe<sup>2+</sup> to Fe<sup>3+</sup>, thus inhibiting the production of hydroxyl radicals and affecting the oxidation



Fig. 9 Effects of reaction time on pretreated sawdust hydrolysis

capacity of Fenton. The enzymatic hydrolysis rate of hemicellulose increased slightly with the addition of  $H_2O_2$  and reached the maximum at 15 mmol. The effect of  $H_2O_2$  addition on the enzymolysis efficiency of hemicellulose was less significant than that of cellulose.

Figure 8 shows the effect of Fe<sup>2+</sup> addition on enzymatic hydrolysis of poplar sawdust. Specifically, with the increase of Fe<sup>2+</sup>, the cellulose conversion rate first rose and then decreased. When the amount of Fe<sup>2+</sup> was 0.2 mmol, the enzymatic hydrolysis conversion rate of cellulose reached a maximum of 75.60%, which was 53.90% higher than that at 0.05 mmol. The hemicellulase hydrolysis conversion rate also reached a peak value of 33.70% at 0.2 mmol Fe<sup>2+</sup>, which was 13.40% higher than that at 0.4 mmol. Therefore, the optimized addition amount of H<sub>2</sub>O<sub>2</sub> and Fe<sup>2+</sup> was 25 mmol and 20 mmol, respectively.

As shown in Fig. 9, the enzymatic hydrolysis conversion rates of cellulose and hemicellulose rose with the increase of reaction time in the first 7 h of Fenton, and the hydrolysis rates of cellulose and hemicellulose increased by 76.21% and 79.58% at 7 h, respectively, compared with that at 1 h. With a further extension of the reaction time after 7 h, the enzymatic hydrolysis rates did not ascend anymore and reached a plateau. This trend was consistent with a previous study (Zhang and Zhu 2016). Therefore, the appropriate time for Fenton reaction was 7 h.

# Optimization of sawdust enzymatic hydrolysis conditions after pretreatment of NaOH combined with Fenton

On the basis of previous experiments, the system consisted of 30 experiments according to the Box–Behnken center combination design principle. For the investigated variables, it was assumed that the boundary conditions are enzymatic hydrolysis temperature of 45°C, 50°C, and 55°C, liquid-to-solid ratio of 20 wt/vol, 30 wt/vol, and 40 wt/vol,

 
 Table 1
 Box–Behnken
 Design for
 Enzymatic
 Hydrolysis of
 Pretreated
 Poplar Sawdust and the Response Values

| No | A-Tem-<br>pera-<br>ture<br>(°C) | Liquid-to-<br>solid ratio<br>(wt/vol) | C-β-<br>glycosidase<br>(μL) | D-Time (h) | Content of total<br>reducing sugars<br>(mg) |  |
|----|---------------------------------|---------------------------------------|-----------------------------|------------|---|--|
| 1  | 45                              | 20                                    | 10                          | 60         | 187.77±0.21                                 |  |
| 2  | 55                              | 20                                    | 10                          | 60         | $225.99 \pm 0.33$                           |  |
| 3  | 45                              | 40                                    | 10                          | 60         | $185.40 \pm 0.57$                           |  |
| 4  | 55                              | 40                                    | 10                          | 60         | $256.02 \pm 0.33$                           |  |
| 5  | 50                              | 30                                    | 5                           | 48         | $215.07 \pm 0.18$                           |  |
| 6  | 50                              | 30                                    | 15                          | 48         | $197.61 \pm 0.52$                           |  |
| 7  | 50                              | 30                                    | 5                           | 72         | $272.23 \pm 0.34$                           |  |
| 8  | 50                              | 30                                    | 15                          | 72         | $301.25 \pm 0.12$                           |  |
| 9  | 45                              | 30                                    | 10                          | 48         | $162.23 \pm 0.80$                           |  |
| 10 | 55                              | 30                                    | 10                          | 48         | $170.35 \pm 0.33$                           |  |
| 11 | 45                              | 30                                    | 10                          | 72         | $217.12 \pm 0.23$                           |  |
| 12 | 55                              | 30                                    | 10                          | 72         | $271.20 \pm 0.11$                           |  |
| 13 | 50                              | 20                                    | 5                           | 60         | $243.37 \pm 0.46$                           |  |
| 14 | 50                              | 40                                    | 5                           | 60         | $240.37 \pm 0.08$                           |  |
| 15 | 50                              | 20                                    | 15                          | 60         | $249.67 \pm 0.72$                           |  |
| 16 | 50                              | 40                                    | 15                          | 60         | $253.72 \pm 0.16$                           |  |
| 17 | 45                              | 30                                    | 5                           | 60         | $176.56 \pm 0.88$                           |  |
| 18 | 55                              | 30                                    | 5                           | 60         | $233.26 \pm 0.15$                           |  |
| 19 | 45                              | 30                                    | 15                          | 60         | $194.56 \pm 0.53$                           |  |
| 20 | 55                              | 30                                    | 15                          | 60         | $243.88 \pm 0.62$                           |  |
| 21 | 50                              | 20                                    | 10                          | 48         | $189.20 \pm 0.18$                           |  |
| 22 | 50                              | 40                                    | 10                          | 48         | $212.37 \pm 0.58$                           |  |
| 23 | 50                              | 20                                    | 10                          | 72         | $272.98 \pm 0.49$                           |  |
| 24 | 50                              | 40                                    | 10                          | 72         | $266.78 \pm 0.61$                           |  |
| 25 | 50                              | 30                                    | 10                          | 60         | $251.44 \pm 1.23$                           |  |
| 26 | 50                              | 30                                    | 10                          | 60         | $258.39 \pm 0.85$                           |  |
| 27 | 50                              | 30                                    | 10                          | 60         | $250.76 \pm 0.77$                           |  |
| 28 | 50                              | 30                                    | 10                          | 60         | $253.41 \pm 1.55$                           |  |
| 29 | 50                              | 30                                    | 10                          | 60         | $262.23 \pm 0.92$                           |  |
| 30 | 50                              | 30                                    | 10                          | 60         | $250.11 \pm 0.36$                           |  |

 $\beta$ -glycosidase loading of 5 µL, 10 µL, and 15 µL, and the enzymatic hydrolysis time of 48 h, 60 h, and 72 h. The mass of the obtained reducing sugars was selected as a parameter representing the enzymatic hydrolysis efficiency. Table 1 shows the experimental design and the content of total reducing sugar obtained.

The effects of process parameters (temperature, liquidto-solid ratio, the  $\beta$ -glycosidase content, and the time of the enzymatic hydrolysis process) on the reducing sugars yield were examined. The relationships among four factors during the enzymatic hydrolysis process were determined by the quadratic multiple regression method. After performing analysis of variance (ANOVA) on the data in Table 1, the quadratic regression equation takes the following form, Table 2ANOVA for theOuadratic Model

| Source         | SS        | DF | MS        | F value | P value  | Statistical<br>signifi-<br>cance |
|----------------|-----------|----|-----------|---------|----------|----------------------------------|
| Model          | 36,129.35 | 14 | 2580.67   | 47.56   | < 0.0001 | **                               |
| А              | 6396.85   | 1  | 6396.85   | 117.90  | < 0.0001 | **                               |
| В              | 144.77    | 1  | 144.77    | 2.67    | 0.1263   | NS                               |
| С              | 259.75    | 1  | 259.75    | 4.79    | 0.0475   | *                                |
| D              | 17,231.61 | 1  | 17,231.61 | 317.60  | < 0.0001 | **                               |
| AB             | 262.44    | 1  | 262.44    | 4.84    | 0.0466   | *                                |
| AC             | 13.62     | 1  | 13.62     | 0.25    | 0.6248   | NS                               |
| AD             | 528.08    | 1  | 528.08    | 9.37    | 0.0081   | **                               |
| BC             | 30.53     | 1  | 30.53     | 0.56    | 0.4666   | NS                               |
| BD             | 215.65    | 1  | 215.65    | 3.97    | 0.0676   | NS                               |
| CD             | 540.10    | 1  | 540.10    | 9.95    | 0.0076   | **                               |
| A <sup>2</sup> | 10,133.66 | 1  | 10,133.66 | 186.77  | < 0.0001 | **                               |
| $\mathbf{B}^2$ | 209.70    | 1  | 209.70    | 3.86    | 0.0710   | NS                               |
| $C^2$          | 4.29      | 1  | 4.29      | 0.079   | 0.7829   | NS                               |
| $D^2$          | 746.85    | 1  | 746.85    | 13.77   | 0.0026   | **                               |
| Residual       | 705.33    | 13 | 54.26     |         |          |                                  |
| Lack of fit    | 604.22    | 10 | 60.42     | 1.79    | 0.3453   | NS                               |
| Pure error     | 101.11    | 3  | 33.70     |         |          |                                  |
| Total          | 36,989.03 | 29 |           |         |          |                                  |
|                |           |    |           |         |          |                                  |

 $P \le 0.01$  is highly significant, denoted by \*\*;  $P \le 0.05$  is significant, denoted by \*; P > 0.05 is not significant, denoted by NS; Correlation coefficient (R2) = 0.9809; adjusted R2 =0.9602; coefficient of variation (CV) = 3.17%; SS sum of squares; DF degree of freedom; MS mean square

(5)

$$\begin{split} Y &= -3540.54292 + 142.77567 \, A \\ &- 1.31592 \, B - 8.02400 \, C \\ &+ 2.17868 \, D + 0.16200 \, AB - 0.073800 \, AC \\ &+ 0.19150 \, AD + 0.055250 \, BC - 0.061187 \, BD \\ &+ 0.19367 \, CD - 1.53770 \, A^2 - 0.055300 \, B^2 \\ &- 0.031650 \, C^2 - 0.072474 \, D^2 \end{split}$$

where A, B, C, and D represent enzymatic hydrolysis temperature, liquid-to-solid ratio,  $\beta$ -glycosidase content, and the enzymatic hydrolysis time, respectively. Y represents reducing sugar yield.

The analysis of variance for the above model is shown in Table 2. The lack of fit was not significant (P=0.3453>0.05), and the model P value < 0.0001, showing that the model selected in the experiment was highly significant. In addition, the correlation coefficient  $(R^2)$  was 0.9809, indicating that the correlation between measured and predicted value was very high. The adjusted  $R^2$  was calculated for 0.9602, showing that 96.02% of the reducing sugar yield variability was explained by this statistical model, indicating that the model can predict the response. The relatively low coefficient of variation (CV) (3.17%) indicates a good repeatability of the experiments, so it can be used to predict the quality of total reducing sugar obtained by enzymatic hydrolysis.

In Table 2, the F test and its corresponding P values indicate the significance of the regression equation terms on reducing sugar yield. It was concluded from Table 2 that the effects of the primary term A, the interaction term AD, and the quadratic term of A on reducing sugar yield are highly significant (P < 0.01). It is because the essence of cellulase is protein, which needs proper hydrolysis temperature. The effects of enzymatic hydrolysis temperature (A) and time (D) on the reducing sugar yield of poplar sawdust are shown in Fig. 10a. According to the shape of the response surface, the optimum temperature of enzymatic hydrolysis was from 48 to 52°C, and the yield of reducing sugar obtained was lower above or below this range. When the temperature was lower than 47°C, the surface dropped steeply, indicating that the lower temperature had a greater effect on the recovery of reducing sugar. Figure 10a, b, c shows that compared with the duration temperature of the enzymatic hydrolysis process, the trend of reducing sugar yield with liquid-to-solid ratio,  $\beta$ -glycosidase content, or enzymatic hydrolysis time changed slowly.

The optimum conditions of cellulase hydrolysis were further predicted within the test range. A reaction temperature of 51.63°C, liquid-to-solid ratio of 33.00 wt/vol,



Fig. 10 Surface plot of the combined effects of temperature and reaction time on the reducing sugar yield. a AB; b AC; c AD; d BC; e BD; f CD

 $\beta$ -glycosidase content of 14.56 µL/g substrates, and a reaction time of 71.97 h were the optimal conditions. Considering the experimental operation, the conditions were set to a reaction temperature of 52°C, a liquid-to-solid ratio of 33 wt/vol, a  $\beta$ -glucosidase content of 15 µL/g substrate, and a reaction time of 72 h. Under this condition, the predicted reducing sugar yield is 302.49 mg/g substrates after enzymatic hydrolysis. After three confirmatory tests, the total reducing sugar measured was 301.47 mg/g substrates, which was very close to the predicted value. The conversion rates of cellulose and hemicellulose were 86.65% and 43.90% respectively, which were higher than those before optimization. Therefore, this model is effective and practical for the enzymatic hydrolysis of lignocellulose after the pretreatment of NaOH combined with Fenton.

# Conclusion

In order to realize the effective conversion of poplar sawdust to fuel ethanol, the effect and mechanism of different chemical pretreatments of poplar sawdust were studied, and the pretreatment and enzymatic hydrolysis conditions were optimized. The enzymatic hydrolysis effects after various pretreatments are that NaOH-Fenton >  $H_2SO_4$ -Fenton > HCl-Fenton > ammonia-Fenton >  $H_2O_2$ -Fenton > NaClO-Fenton, and the cellulase and hemicellulase hydrolysis rates of poplar sawdust treated

with dilute NaOH-Fenton were 63.73% and 29.29% before optimization, respectively. The results showed that dilute alkali treatment had less cellulose loss and lower degradation degree of reducing sugar compared with diluted acid treatment and oxidant treatment. Moreover, the alkali liquor can be recycled, which has high environmental benefit. The optimum pretreatment conditions of NaOH combined with Fenton were as follows: 1% NaOH extraction at 100°C for 1 h and subsequent 25 mmol  $H_2O_2$  and 0.2 mmol  $Fe^{2+}$ for a Fenton reaction period of 7 h. The optimized enzymatic hydrolysis was performed at a reaction temperature of 52°C, a  $\beta$ -glycosidase content of 15  $\mu$ L/g substrates, a liquid-to-solid ratio of 33 wt/vol and a reaction time of 72 h after the NaOH-Fenton pretreatment. The cellulose and hemicellulose conversion rates were 86.65% and 43.90%, respectively, significantly higher than those before optimization.

This study explored a suitable pretreatment method for poplar sawdust to promote the degradation of lignocellulose in biomass feedstock. Optimization of the conditions of pretreatment and enzymatic hydrolysis effectively improves the utilization of lignocellulose, which lays the foundation for subsequent research on its conversion to biofuels. The structural characterization of pretreated poplar sawdust needs to study to further clarify its mechanism, and the recycling of alkali black liquor will also be considered in the future. Authors' contributions All authors contributed to the study conception and design. Material preparation, data collection, and analysis were completed by FL, XL, and YL. The first draft was written by LF PL contributed to the experimental design. XL, YL, XZ, and ZZ reviewed and revised the manuscript. All authors read and approved the final manuscript.

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Code availability Not applicable.

#### Declarations

**Conflicts of interest** The authors declare that they have no conflict of interest.

**Consent to participate** Informed consent was obtained from all individual participants included in the study.

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