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Optimization of microwave‑assisted extraction of bioactive compounds from a tea blend

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Abstract

Although tea is the second most consumed beverage in the world, its benefts is not fully achieved because its bioactive compounds are not efficiently extracted from the plant. In this work the extraction of bioactive compounds from a tea blend, composed of 40% green tea, 40% white tea, 10% mint and 10% peppermint, was investigated using a microwave-assisted technique. The efects of ethanol concentration, extraction time, and liquid/solid ratio on the total phenolic content, total favonoid content and antioxidant potential were quantifed. An optimization study was also performed to identify the conditions that enable the maximum extraction of phenolic and favonoids contents, with a maximum antioxidant capacity. The predicted optimal conditions included a 38.8% ethanol concentration, 3 min of extraction, and a 184.1 mL/g liquid/solid ratio. HPLC analyses were also performed to identify the major antioxidant compounds and the results confrmed the high antioxidant potential of the studied tea blend.

Keywords Tea · Microwave extraction · Antioxidant compounds

Introduction

The teas obtained from *Camellia sinensis,* a plant originating from Asia, are popular all over the world and are widely consumed for their excellent taste and benefcial health efects. These benefts are due to their bioactive compounds, including polyphenols [\[1](#page-9-0)], flavonoids, and caffeine, among others. Many studies [[2–](#page-9-1)[4\]](#page-9-2) have confrmed the high concentration of bioactive compounds in *Camellia sinensis* leaves and interest in these teas is mainly due to their free radical scavenging activities. The blending of diferent teas together to produce a fnal product has been increasingly consumed as a specifc product, because it is possible to create a wellbalanced favor using diferent origins and characters.

The extraction process used in the production of tea can significantly change the composition and bioactivity of

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the extracts, since most techniques have some drawbacks, including the thermal degradation of some compounds or the excessive extraction time required [[5–](#page-9-3)[7\]](#page-9-4). Alternative extraction techniques, including ultrasound and microwave assisted extraction, have been studied due to their high productivity, yield, and selectivity, as well as their short processing times, improved quality, reduced physical and chemical hazards and environmental friendliness $[8-12]$ $[8-12]$. In addition to these new extraction techniques, the choice of solvent is also very important [[13\]](#page-9-7). Extractions performed using safe solvents that reduce the amount of energy consumed have often been sought by researchers. Ethanol has been recommended by the US Food and Drug Administration for use as an extraction solvent because of its low toxicity.

Microwave-assisted extraction (MAE) is a green extraction technique that offers an attractive alternative to conventional approaches [[14](#page-9-8)]. MAE involves heating the solvent by the absorption of microwave energy by polar molecules [[15\]](#page-9-9). Microwaves accelerate the energy transfer and promote the disruption of weak hydrogen bonds, facilitating solvent penetration, which results in leaching of the target analyte through rapid extraction solvent entry into the material matrix [[16\]](#page-9-10).

This work, for the first time investigated the extraction of bioactive compounds from a tea blend using microwave-assisted extraction (MAE). The blend of teas used in this study was composed of 40% green tea, 40% white tea, 10% mint and 10% peppermint, which has unique and specific characteristics. The effects of the ethanol (solvent) concentration (C), extraction time (t), and liquid/solid ratio (R) on the total phenolic content, total favonoid content and antioxidant potential were quantifed by regression analysis. An optimization study was also performed, for the frst time for the extraction process of a blend of tea, using the desirability technique [[17\]](#page-9-11) to identify the best extraction conditions. The main bioactive compounds obtained under optimum operating conditions were identifed using high-performance liquid chromatography with an ultraviolet detector (HPLC-UV).

Materials and methods

Materials

The tea blend used in the experiments was provided by Provanza, a Brazilian company that manufactures cosmetics and resells teas. By weight, the tea blend is composed of 40% green tea, 40% white tea, 10% mint and 10% peppermint. The blend sheets were crushed, sieved (0.425 mm), and then stored in 500 g metallic packaging, tightly closed. The tea blend had a moisture content of 7.76 ± 0.17 g per 100 g of wet sample.

Sensory analysis was carried out to assemble this blend of teas, since *Camellia sinensis* teas have an astringent favor and are not always pleasant to the palate. Mixtures of teas should be capable of deliver a product with a greater amount of bioactive and more pleasant tastes and smells for the consumer. Thus, the bioactive compounds present in individual tea, was considered to choose the blend composition. Some studies of conventional extractions [[18–](#page-9-12)[20\]](#page-9-13) from the literature show results of bioactive compounds and antioxidant activity of the individual teas used in our blend. Table [1](#page-1-0) shows results of Total phenolic content (TPC) and IC_{50} from the extracts of the each tea of the studied blend.

It can be seen in Table [1](#page-1-0) that the white tea and green tea (*Camellia sinensis* teas) had the highest values of TPC.

Therefore these teas were used in the highest and same proportion in the blend. Similarly, mint and peppermint are plants of the same family and have similar bioactive compounds contents. To quantify the proportion of mint and peppermint in this tea, a sensory test was performed, in which the product that most pleased the palate and smell was the mixture with 10% mint and 10% peppermint, consequently the remaining 80% were formed by 40% white tea and 40% green tea.

pH and water activity

The pH of the tea blend was determined using 15 g of crushed sample in 100 mL of distilled water. The mixture was kept in a magnetic stirrer from Fisatom and model 751 for 30 min. Subsequently, the solution was centrifuged, and the supernatant had its pH measured using an ION PH-300 device. The water activity was measured at 25 °C using a Novasina LabSwift equipment.

Extraction procedures

Extraction of the antioxidant compounds from the tea blend was performed in a Panasonic microwave oven model NN-SF560WRUK with a frequency 2450 Hz and 229 W of power. The device operate with a fxed frequency (2450 Hz). The power was chosen based on preliminary tests, in which the power of 229 W led to the best results of the bioactive compounds in the extracts. Table [2](#page-1-1) shows an example of these preliminary results. The oven was adapted by connecting it to a condensing system. During the tests, the material was protected from exposure to light. The following

Table 2 Results of the MAE at diferent microwave powers. Tests performed at ethanol concentration of 50%, process time of 2 min, and liquid/solid ratio of 100 mL/g (central point)

	Power TPC (mg) $_{\text{GAE}}$.100g ⁻¹)	TFC $(mg_{\text{rutin}} \cdot 100g^{-1})$ $IC_{50} (\mu g.mL^{-1})$	
114 W	$643.69 + 10.55$	$8.40 + 0.03$	5.12 ± 0.08
229 W	$1852.13 + 30.34$	$10.22 + 0.04$	$1.48 + 0.02$
399 W	816.96 ± 13.38	$9.34 + 0.04$	$3.83 + 0.06$

 mg_{GAE}/g (mg of gallic acid per gram)

independent variables were analyzed: ethanol concentration (C), process time (t), and liquid/solid ratio (R). For the liquid/solid ratio (R), the volume of the hydroethanolic solution was kept constant at 40 mL and for ethanol concentration (C) was considered mass percentage of ethanol in water (grams of ethanol/100g of solution). After extraction, the fltrate was used to determine the content of bioactive compounds, and the flter-retained mass was investigated by scanning electron microscope (SEM) analysis.

Experimental design

The experimental conditions were determined using a central composite design (CCD) [[21](#page-9-15)]. To analyze the three independent variables (C, t, and R), we conducted a total of 17 experiments, with three of them at the center. The response surface technique was used to ft prediction equations for the studied responses and to identify the best extraction conditions. The analyzed responses included the total phenolic and favonoid compound contents (TPC and TFC) and the antioxidant potential. Table [3](#page-2-0) shows the various levels used in the experimental design.

The experimental results were analyzed using regression techniques [[22](#page-9-16)]. We calculated the effects of the independent variables on each dependent variable and determined the signifcant parameters by an analysis of variance (ANOVA). The adequacies of the ftted equations were evaluated based on the values of the correlation coefficients, F and p. Next, we performed multi-response optimization using the desirability function [\[17\]](#page-9-11). To verify the suitability of this methodology, an additional experiment was conducted under the identifed optimized conditions and the obtained values were compared with those calculated by the prediction equations.

Bioactive compounds

The extraction responses analyzed in this work included the Total phenolic content (TPC), Total favonoid content (TFC) and the 2,2-diphenyl-1-picryl-hydrazyl (DPPH) radical scavenging activity.

The TPC was measured using the Folin-Ciocalteu assay [\[23](#page-9-17)]. Gallic acid monohydrate (99%) was used as a standard for preparing the calibration curve and the TPC in the extract was presented in terms of milligram gallic acid equivalent

Table 3 Levels (real and coded) of the experimental design

Variable	Levels				
	-1.682	-1	0	$+1$	$+1.682$
t (min)	0.3	1.0	2.0	3.0	3.7
R (mL/g)	15.9	50.0	100.0	150.0	184.1
$C(\%)$	0.0	20.0	50.0	80.0	100.0

(GAE) per 100 grams of dry weight. The aluminum chloride colorimetric assay $[24]$ $[24]$ $[24]$ was used to measure the TFC, with rutin hydrate (94%) as a standard. The TFC was presented in terms of mg of rutin per 100 g of dry weight. In addition to these bioactives compounds determination, the DPPH (radical scavenging activity) analyses were also performed, as an indicative of antioxidant capacity. The DPPH radical scavenging assay, as described by Brand-Williams et al. [[25](#page-9-19)], was used to evaluate the antioxidant potential of the extracts. A solution containing 40 mL of methanol 50%, 40 mL of acetone 70% and 20 mL of distilled water was prepared as standard. The control solution was prepared using the standard solution and DPPH solution without any extract, and methanol was used for the baseline correction. An appropriate dilution of the DPPH solution was used as negative control, i.e., control sample in place of the sample. The IC_{50} of the tea blend was measured using the spectrophotometric method (at 515 nm). The IC_{50} is the amount of an antioxidant required to decrease the initial DPPH concentration by 50%, whereby a lower IC_{50} value indicates a greater overall efectiveness of the antioxidant [\[26](#page-9-20)]. This response was presented in terms of µg of DPPH per mL of extract.

HPLC characterization

The extract generated under optimum conditions was analyzed using HPLC-UV to identify the main bioactive compounds. The obtained extract was concentrated by rotary evaporation, and then frozen for 1 h at -70 °C and subjected to a lyophilization for 24 h [[27](#page-9-21)]. Then, the extract was redissolved in methanol, after which it was fltered through a 0.22-µm microporous membrane. The analyses were performed in a Shimadzu HPLC (model LC-20A) equipped with a Discovery HS C18 column.

The compounds were identifed using the methodology described by Wang et al. [[28\]](#page-10-0). The run time was 30 min, the mobile phase fow rate was 1.0 mL/min, and the temperature was 30 °C. The mobile phase used comprised methanol/ water/orthophosphoric acid in the proportion 20/79.9/0.1 by volume, respectively. The phenolic acids, cafeine and favonoid compounds were identifed by comparing our results with standards for each identifed compound based on the retention time.

Microstructure evaluation

To determine the effect of the extraction process on the material, the microstructure of the tea blend was examined using a SEM (Carlzeiss, EVOMA10). The SEM images were recorded at 10 kV and a magnifcation of 500 ×. Prior to scanning, we fxed the tea blends on specifc carbon flm holders and coated them with gold [\[29](#page-10-1)].

Results and discussion

Experimental results

Table 4 Results of the central

composed design

The tea blend had a pH of 5.52 ± 0.08 and a water activity of 0.583 \pm 0.06. Table [4](#page-3-0) shows the TPC, TFC and IC₅₀ results for each run of the experimental design. All three responses showed great sensitivity to variations in the operating conditions.

The lowest TPC (354.0 mg $_{GAF}/100 \text{ g}$) was obtained in Run 11, which was performed at the lowest liquid/solid ratio $(R = 15.9$ mL/g), and an intermediate ethanol concentration $(C = 50\%)$ and processing time $(t = 2 \text{ min})$. The highest TPC (2476.8 mg $_{GAF}/100$ g) was obtained in run 12, which was also performed at an intermediate extraction time $(t = 2$ min) and ethanol concentration $(C = 50\%)$, but at the highest liquid/solid ratio ($R = 184.1$ mL/g).

The TFC for all the experimental runs ranged from 5.51 mg of rutin/100 g (run 14) to 2476.8 mg of rutin/100 g (run 7). The highest TFC was obtained in run 7, which was performed with a liquid/solid ratio $R = 150$ mL/g, an extraction time of 3 min, and a low ethanol concentration $(C = 20\%)$. Run 14, which yielded the lowest TFC, was performed at intermediate R (100 mL/g) and t (2 min) levels, but at the highest ethanol concentration ($C = 100\%$). Run 14 also yielded the highest IC_{50} (2.35 µg/mL) and hence the lowest antioxidant activity. The best antioxidant activity (lowest IC_{50}) was obtained in run 10, which was performed with a liquid/solid ratio $R =$ 150 mL/g, the maximum extraction time ($t = 3.7$ min), and

an intermediate ethanol concentration $(C = 50\%)$. According to Reynertson et al. [\[30](#page-10-2)], the extracts can be classifed into 4 groups according to its IC_{50} value, as follows: very active extracts with IC_{50} < 50 µg/mL, moderately active extracts with IC_{50} between 50 and 100 µg/mL, slightly active extracts with IC_{50} between 100 and 200 μ g/mL and inactive extracts with IC_{50} > 200 µg/mL. On this basis, for all operating conditions, the extracts from the tea blend studied in this work can be classifed as very active.

Due to the nonlinear effects and different behaviors arising from the effects of the independent variables on each response, it is not possible to clearly identify the best operating conditions only by these results. Therefore, we performed an optimization study to identify the best operating conditions, by simultaneously considering all three responses.

Statistical analysis

To quantify the effects of the independent variables on each response, regression equations (Eq. [4\)](#page-4-0) were obtained. In these regressions, the studied variables (t, R and C) were coded using the following equations:

$$
x_1 = \frac{t(\text{min}) - 2.0}{1.0} \tag{1}
$$

$$
x_2 = \frac{R(mL/g) - 100.0}{50.0}
$$
 (2)

TPC (mg_{GAE}.100 g⁻¹); TFC (mg_{rutin}.100 g⁻¹); IC₅₀ (µg.mL⁻¹)

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$$
x_3 = \frac{C(\%) - 50.0}{30.0} \tag{3}
$$

where t is in min, R is in mL/g and C is in $%$

$$
y = \beta_0 + \sum_{i=1}^n \beta_i x_i + \sum_{i=1}^n \beta_{ii} x_i^2 + \sum_i \sum_j \beta_{ij} x_i x_j (i < j) \quad (4)
$$

Where y is the specifc dependent variable (either TPC, TFC or IC₅₀), β_0 is the independent parameter_, and β_i , β_{ii} and β_{ii} are the respective coefficients related to the linear, quadratic, and interaction efects.

Table [5](#page-4-1) shows the regression results for the three responses. The quality of the regression equations was analyzed by ANOVA, R-Squared and residual analyses*.* We found the fit of all the responses to be adequate, with $r^2 =$ 0.927 (TPC), 0.943 (TFC) and 0.957 (IC₅₀). The residual analysis indicated random, independent residuals with normal distribution (mean zero and constant variance). Figure [1](#page-5-0) shows the response surfaces obtained by the prediction equations (Equation 4 and Table [5\)](#page-4-1).

The linear effect of the liquid/solid ratio (R) was positive and significant for TPC and TFC and negative for IC_{50} (positive for antioxidant activity). A high liquid/solid ratio yields less concentrated solutions, which favors the mass transfer process from solute to solvent. The positive efect of R on the extraction of the bioactive compounds can also be observed in Fig. [1a](#page-5-0), c, f and g. The parameters (Table [5\)](#page-4-1) related to the extraction time (t) show a strong quadratic efect of this variable, which indicates that a prolonged exposure to elevated temperatures can favor the degradation of the bioactive compounds [\[31](#page-10-3)] and that very short processing times may not be sufficient for the extraction of these compounds. This outcome can also be seen in Fig. [1a](#page-5-0), b, d and e. Furthermore, it is possible to verify that there are signifcant interactions between the time variable and the two other

independent variables. The parameters related to ethanol concentration (C) indicate that an increase in C reduces the TPC, TFC and the antioxidant activity (increase IC_{50}), but also has a nonlinear efect. This trend also can be observed in Fig. [1a](#page-5-0), c and f. Therefore, diluted ethanol is more efective in extracting phenolic compounds than a pure organic solvent because the addition of water in organic solvents creates a more polar medium, which facilitates the extraction of polyphenols. In addition, these compounds occur naturally as glycosides and the presence of sugars makes them more water soluble [[32\]](#page-10-4).

Optimization study

An optimization procedure was conducted to simultaneously maximize TPC and TFC and minimize the IC_{50} (maximize the antioxidant capacity). However, this condition is determined for a multi-response optimization, which means it is not necessarily optimal for each individual response. The multi-response optimization was performed using the desirability function [\[17](#page-9-11)]. Figure [2](#page-6-0) shows the results of the desirability analysis, in which it can be seen that the optimum conditions (in coded values) were: $x_1 = 1.0092$, $x_2 = 1.682$ and $x_3 = -0.3925$. Converted to the original units, the optimized independent variables are $t = 3.01$ min, $R = 184.1$ mL/g and $C = 38.24\%$.

To validate the results of the optimization study, an additional experiment was performed using the optimal conditions. Table [6](#page-6-1) shows the experimental results obtained under optimized conditions and those calculated by the prediction equations (Eq. 4 and Table [5](#page-4-1)). All experimental measurements were performed in triplicate. We can see that the values obtained in the confrmatory experiment agree well with those predicted by the proposed equations.

Table 5 Regression results for TPC, TFC and IC_{50}

 $\overline{\mathbf{b}}$

 $\left(\mathbf{c} \right)$

Fig. 1 Response surface results for TPC, TFC and IC_{50}

Table 6 Results of the MAE under optimized conditions (predicted and experimental)

Figure [3](#page-7-0) shows the TPC, TFC and IC_{50} results obtained in the 17 CCD experiments, as well as those obtained under optimized conditions, with the horizontal line indicating the responses obtained under the optimized conditions. We can see that the results obtained in the experiment performed using the conditions indicated by the multi-response optimization study led to the highest TFC and lowest IC_{50} (highest antioxidant capacity), as compared with the results of the experiments performed in the experimental design. With respect to the TPC, the value obtained in the optimal multiresponse condition was higher than those obtained in most of the CCD experiments (except for run 12).

SEM results

Figure [4](#page-8-0) shows the microstructure of the tea blend observed by SEM, before (a) and after (b) extraction performed at optimal conditions. It can be seen in Fig. [4](#page-8-0)b that the conditions used in this extraction promoted a breakdown of the cell wall, probably due to cavitation, which facilitated the release of the compounds. Lou et al. [\[33\]](#page-10-5) emphasized that the use of high temperatures in the extraction process implies a higher vapor pressure, the formation of more bubbles, and therefore greater cell disruption.

HPLC results

Figure [5](#page-8-1) shows the HPLC chromatogram for the tea blend extract obtained at the optimized conditions, in which we can see the presence of the epigallocatechin gallate (EGCG), epicatequin (EC), epigallocatechin (EGC), cafeine and gallic acid (GAE). The tea blend used in this work was composed of 80% leaves of the *Camellia sinensis* plant and the chromatogram indicates a signifcant presence of EGCG,

as also reported by Saito et al. [\[34\]](#page-10-6), who investigated the extraction of green tea. Toschi et al. [[35\]](#page-10-7) showed that green tea extract is composed mostly of the catechins EGCG and EGC. According to these authors the high concentrations of EGCG and EGC gives green tea extract its great antioxidant capacity. Table [7](#page-8-2) shows the concentrations of the main compounds identifed by HPLC analysis.

The HPLC results of the tea blend obtained in the present work, under optimized conditions, were compared with those obtained by Wang et al. [\[28](#page-10-0)] (considering the concentration of each compound and the mass of tea used), which determined the content of catechins, cafeine and gallic acid present in green tea by HPLC using an isocratic elution system. Table [8](#page-8-3) shows both results, in which it can be seen the higher content of GAE, EGC, Caffeine, EGCG and EC in the extracts obtained with the extraction methodology used in the present work.

Conclusions

In this work, the microwave-assisted extraction (MAE) with hydroalcoholic solutions was for the frst time used for extraction of bioactive compounds from a tea blend. The results showed that this extraction technique is a good alternative for a tea blend, because it is a green technology and that yields high levels of antioxidant compounds in its extract.

Our statistical analyses showed that the ethanol concentration, liquid/solid ratio and processing time play an important role in the extraction of bioactive compounds from a tea blend, that consists of 40% green tea, 40% white tea, 10% mint, and 10% peppermint by weight. The results indicated that the optimal extraction levels were: 184.1 mL/g liquid/solid ratio, 3 min of microwave extraction and a 38.24% ethanol concentration. These conditions involve a shorter processing time than other extraction techniques, as well as lower processing costs, because 61.76% of the solvent used is water. The optimal conditions led to a TPC of 2164.75 \pm 97.61 mg _{GAE} /100 g, a TFC of 12.71 \pm 0.18 mg _{rutin}/100 g and an IC₅₀ of 0.773 ± 0.006 μg/mL.

HPLC analyses enabled the identifcation of important antioxidant compounds, including epigallocatechin gallate, epicatechin, epigallocatechin, cafeine and gallic acid, which are associated with disease prevention.

Fig. 3 TPC, TFC and IC_{50} from the CCD and under optimum condition

Fig. 4 SEM images of tea blend before MAE (**a**) and after MAE, in the optimized condition (**b**)

Compound	Amount mg/100 g dry tea	Standard deviation
Gallic Acid (GAE)	38.90	1.42
Epigallocatechin (EGC)	411.32	6.80
Caffeine	353.64	26.06
Epigallocatechin Gallate (EGCG)	764.53	50.51
Epicatechin (EC)	552.18	22.67

Table 8 Comparison of HPLC results under optimized condition and Wang et al. [\[28\]](#page-10-0).

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Compliance with ethical standards

Conflict of interest The author(s) declared no potential conficts of interest with respect to the research, authorship, and/or publication of this article.

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