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Characterizing and predicting smoldering temperature variations based on non‑linear mixed efects models

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Abstract Underground fres are slow spreading, long-lasting and low temperature smoldering combustion without fames, mainly occurring in peatlands and wetlands with rich organic matter. The spread of the smoldering is maintained by heat released during combustion and monitoring this is an important approach to detect underground fres. The Daxing'an Mountains region is a hotspot for underground fres in northeast China. This study examined a *Larix gmelinii* plantation in the Tatou wetlands of the Daxing'an Mountains and determined the maximum temperature variation of humus of varying particle sizes, and the temperature rising process based on non-linear mixed efects models by an indoor combustion experiment. Maximum combustion temperatures up to 897.5 °C, increased with humus depth; among the three models tested, Richard's equations were best for characterizing temperature variations; a non-linear equation with three parameters had the highest accuracy in ftting the combustion temperature variations with varying humus particle sizes. These results are informative for

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² Forestry College, Beihua University, 3999 Binjiang East Road, Jilin City 132013, Jilin, People's Republic of China predicting temperature variations and provide technical support for underground fre monitoring.

Keywords Underground fre · NLME modeling · Smoldering temperature · Daxing'an mountains

Introduction

Forest fres are classifed into surface, canopy, and underground fres. Underground fres occur less frequently than the other types, but the damage can be signifcant (Watts and Kobziar [2013](#page-10-0)). Underground forest fre is slow spreading, long-lasting and having low temperature smoldering combustion without fame (Ohlemiller [1985;](#page-10-1) Rein [2013\)](#page-10-2). These fres cause fatal damage to plant roots (Page et al. [2002](#page-10-3); Davies et al. [2013\)](#page-9-0), destruction of soil structure and ground collapse (Hadden et al. [2013\)](#page-9-1), and higher greenhouse gas emissions than other categories of forest fres (Turetsky et al. [2004\)](#page-10-4). Forested peatlands and wetlands with rich organic matter, such as those in boreal and tropical forests, are prone to underground fre (Huang et al. [2016](#page-9-2)). Soil carbon in these forests accounts for 25% of carbon reserves on land and plays essential roles in ecosystem stability, biodiversity maintenance, and hydrological cycling (Page et al. [2011](#page-10-5)). Therefore, large underground fres will substantially impact global climate, ecology, and human health (Davies et al. [2013;](#page-9-0) Hu et al. [2018](#page-9-3)). Wetlands were previously assumed to be fre-resistant due to their high humidity (Kuhry [1994](#page-9-4)). However, recent studies reported that smoldering has been widely observed in wetlands (Turetsky et al. [2004;](#page-10-4) Shetler et al. [2008\)](#page-10-6). Quantitative studies in the feld on smouldering events are seldom conducted as they are challenging due to their randomness and unpredictability (Davies et al. [2013](#page-9-0)). Therefore, combustion studies simulating underground fres are often carried out in the laboratory (Huang et al. [2015\)](#page-9-5).

Large-scale underground fres have been recorded in Indonesia, Russia, Spain, and in other countries in recent years (Page et al. [2002](#page-10-3); Cancellieri et al. [2012;](#page-9-6) Turetsky et al. [2015](#page-10-7); Pastor et al. [2018](#page-10-8)). Underground fres are caused by surface fres or lightning (Davies [2016](#page-9-7); Restuccia et al. [2017](#page-10-9)) with strong concealment and always accompanied by surface fires. There are considerable difficulties in monitoring and extinguishing them (Rein et al. [2008a](#page-10-10), [b\)](#page-10-11). Their behavior is signifcantly diferent from other types of fres (Rein [2013\)](#page-10-2), and could occur with a fuel moisture content of 100% or higher (Reardon et al. [2007;](#page-10-12) Lin et al. [2019\)](#page-9-8), and persist through heavy rains, weather changes, extinguishing of surface fres, and may last for months or even years (Zaccone et al. [2014\)](#page-10-13). The spread of an underground fre is maintained by heat released during combustion (Pastor et al. [2018\)](#page-10-8), which is key to monitoring (Hartford and Frandsen [1992](#page-9-9)). Studies of smoldering have mainly focused on combustion characteristics (Pastor et al. [2018\)](#page-10-8), contributing factors (Achtemeier [2006](#page-9-10); Wang et al. [2017](#page-10-14)), combustion spread (Huang and Rein [2017](#page-9-11)), carbon release (Davies et al. [2013](#page-9-0); Hu et al. [2018](#page-9-3)) and other aspects.

Non-linear mixed efects (NLME) modeling was frst proposed by Sheiner and Beal [\(1980](#page-10-15)), which included both fxed and random efects parameters, and could identifying average prediction and individual prediction by calculating the estimated value of random efect parameters (Leites and Robinson [2004;](#page-9-12) Dorado et al. [2006](#page-9-13); Yang et al. [2009\)](#page-10-16). A mixed-efects model is a statistical method used in forestry, agriculture, ecology, biomedicine, sociology, economics, and in other felds (Calegario et al. [2005](#page-9-14)). In forestry, NLME modeling has been used in the studies of tree heights and diameters, growth processes, average growth, and basal area (Budhathoki et al. [2008](#page-9-15); Mehtatalo et al. [2014](#page-10-17); Ciceu et al. [2020](#page-9-16)) but has been seldom applied to forest fres. The mechanism of underground fre smoldering is complicated, so its occurrence and development are difficult to predict. The gradually rising temperature of a smoldering fre is like the growth process of a tree, so NLME modeling is applicable to smoldering. NLME modeling can achieve prediction accuracy with fewer independent variables and is suitable for studies on underground fre.

The frequency of underground fres in the boreal forests has increased in recent years (Sinclair et al. [2020](#page-10-18)), due to global climate change and the impact of human activities (Turetsky et al. [2015\)](#page-10-7). The coniferous forests in the Daxing'an Mountains region, the southernmost margin of the Far Eastern Siberia boreal forests into China, is characterized by the largest number of underground fres in the country. The growth of *Larix gmelinii (*Rupr.) Rupr. planted in the Tatou wetlands of the Daxing'an Mountains has been poor because of the geographical and climatic conditions,

and the decomposition of fallen branches and leaves slow so that the content of organic matter in humus increased, providing abundant fuel for underground fres. Rising temperature is one of the stages during the smoldering (Pastor et al. [2018](#page-10-8)); one of these is the buildup of temperatures causing signifcant damages. However, this process is easily monitored. This study focused on the process of rising temperatures in a *Larix gmelinii* plantation in the Tatou wetlands, analyzed smoldering temperature variations of humus of different particle sizes, and developed a method to predict temperatures of underground fres by non-linear mixed efects (NLME) modeling to provide support for underground fre monitoring and control.

Materials and methods

Study area

Forest fres in the Daxing'an Mountains region occur frequently; the area burned is largest in China. The study area is in the Jiagedaqi Forest Management Technology Promotion Station (123°57′–124°0′ E, 50°20′–50°23′ N), located in the northwest of Heilongjiang province, on the southeast slopes of the Daxing'an Mountains (Fig. [1](#page-2-0)a). The region has a continental monsoon climate with four distinctive seasons, a changeable climate and large diurnal and seasonal temperature diferences. Annual average temperatures are−1 to 2 °C, the annual efective accumulative temperature $1800-2000$ °C, the frost-free period 90-120 days, and annual rainfall 450–500 mm. The technology promotion station was founded in 1973, 15 km to the south of the Jiagedaqi region, the north and west portions of the station is connected with the Dongfeng Forest Station of the Jiagedaqi Forestry Bureau. The total area of the station is 7326 ha² . The main species in the study area are *Larix gmelinii*, *Quercus mongolica* Fisch, *Betula platyphylla* Suk., *Populus davidiana* Dode, and *Betula dahurica* Pallas (Tang et al. [2022](#page-10-19)).

Sample collection and processing

Three 30 $m \times 20$ m sample fields were established and three $0.5 \text{ cm} \times 0.5 \text{ cm}$ quadrats selected (sampling depth was the 46-cm thickness of the humus layer) in the diagonals according to Pastor ([2018](#page-10-8)) (Fig. [1](#page-2-0)b, c). All the humus in the quadrats was collected, and after removal of surface litter and in the humus layer, taken to the laboratory, stored in kraft paper sacks and dried for 48 h at 105 °C to remove as much moisture as possible. As an uneven distribution of humus particles will affect the temperature measurements accuracy (Huang and Rein [2017\)](#page-9-11), the dried humus was ground and sieved into particle sizes

of \leq 20 mesh (moisture content 0.28%), \leq 40 mesh (moisture content 0.49%), ≤ 60 mesh (moisture content 0.43%), and \leq 80 mesh (moisture content 0.49%) for the combustion experiments(Fig. [1d](#page-2-0)).

Combustion experiments

The combustion furnace was designed for studying a onedimensional downward combustion process (Fig. [2\)](#page-2-1) (Huang

Fig. 2 Schematic diagram of the smoldering combustion process

and Rein [2017](#page-9-11)). The unit was cylindrical (20- cm high with 10- cm walls) of aluminum silicate ceramic fber with excellent heat insulation. A K-type thermocouple (30 cm $\log x$ 2 mm diameter) recorded temperature variations of the humus during combustion. The data were transmitted to a laptop by data acquisition module composed of a 16-channel NI9213 voltage acquisition board card and DAQ-9174 case (4 card slots), with temperature measurement accuracy < 0.25 °C. The data acquisition software (Labview2018) recorded temperature variations collected by the thermocouples. A far-infrared heating plate (30 cm long \times 20 cm wide \times 5 cm thick) was used as an ignition device. A temperature control meter between the far-infrared heating plate and the power supply kept the temperature of the heating plate constant.

Humus of diferent particle sizes were placed in the combustion furnace at ambient temperatures and the shape of the particles maintained as much as possible. Holes were arranged every 3 cm on the side of combustion furnace and K-type thermocouples inserted into the humus through the holes. The K-type thermocouples and data acquisition module were connected temperature variation data transmitted to the laptop every 10 s. To ensure combustion continued after removing the heating plate (ignition device), heating time and temperature were set to 1.5 h and 500 °C. A 2-cm gap between the heating plate and combustion furnace allowed for air fow.

Non‑linear mixed efects model

The general form of a non-linear mixed effects model is:

$$
T_i = f(\varphi_i, t_i) + \varepsilon_i
$$

\n
$$
\varphi_i = A_i \beta + B_i b_i
$$

\n
$$
\varepsilon_i \sim N(0, \sigma^2) \quad b_i \sim N(0, D)
$$
\n(1)

where T_i is the dependent variable of subject i and refers to the predicted combustion temperature; *f* is the actual value, specific parameter vector φ_i and variable value vector t_i of the diferentiable function in the subject, and refers to actual combustion temperature of the underground fire; β is the p -dimensional fixed effect parameter vector; b_i is the q -dimensional random efect parameter vector; *D* is the covariance matrix between random effects; A_i and B_i are correlation

matrices with appropriate dimensions $(0 \text{ or } 1)$; t_i is the independent matrix; ε_i is the random error vector associated with T_i ; and, σ^2 is the covariance matrix of the random error.

Base model

Logistic, Richards, and Korf nonlinear models, widely used in forestry to predict the tree growth, height, and DBH (Calama and Montero [2004;](#page-9-17) Rijal [2012;](#page-10-20) Sharma et al. [2016](#page-10-21); Pan et al. [2020](#page-10-22)) (Table [1](#page-3-0)), were selected as the base models to study temperature variations in the combustion process.

Model selection and validation

The base model was selected according to the values of Akaike's Information Criterion-(AIC) and Schwarz's Bayesian Information Criterion-(BIC). Model accuracy was evaluated with diferent parameters according to the values of Root Mean Square Error (RMSE). Smaller values of AIC and BIC indicate the better ftting degree of the equation; smaller values of RMSE indicates the higher accuracy of the model (Akaike [1974\)](#page-9-18).

$$
AIC = -2\ln L + 2p\tag{2}
$$

$$
BIC = -2\ln L + p\ln n \tag{3}
$$

$$
RMSE = \sqrt{\frac{\sum_{i=1}^{n} (T_{ij} - \hat{T}_{ij})^2}{n - 1}}
$$
(4)

where T_{ij} is the actual value, \hat{T}_{ij} the predicted value, *n* the sample amount, *L* the maximum likelihood (ML) value, and *p* is the number of model parameters.

Statistical analysis

Excel and Origin were used to analyze the combustion temperature variation data with diferent humus particle sizes and from diferent depths, and the relationship between the maximum temperature and the combustion depth; NLMIXED and NLINE modules of Statistical Analysis

Table 1 Base models

 T_{ij} is temperature at depth *j* with time *t* of particle size i ; β_1 , β_2 , β_3 are the parameters of the equation; b_{i1} , b_{i2} , b_{i3} are random effect parameters corresponding to β_1 , β_2 , β_3 ; ϵ_{ij} is the random error

System-(SAS) were used to select, ft, and verify the nonlinear mixed efect models.

Results

Maximum combustion temperature variations of humus of diferent particle sizes

There was no flame or spark during humus smoldering, and the surface was carbon black and the deep layer brick red after combustion. The maximum combustion temperature of diferent particle sizes increased with depth. There was a positive linear relationship between depth and temperature ($P < 0.05$) in both particle ≤ 40 mesh size and ≤ 80 mesh size. The equations were $y = 435.68 + 27.87 x$ and $y=453.82+21.82 x$ respectively. The highest combustion temperature of all particle sizes was at the 15-cm depth with particles \leq 40 mesh size (897.5 °C), the highest combustion temperature with particle ≤ 80 mesh size was 844.9 °C.

There was a positive linear relationship between depth and temperature $(P < 0.01)$ in both particle ≤ 20 mesh size and ≤ 60 mesh size; the equations were *y*=474.76+17.42 *x* and *y*=468.84+20.21*x,* respectively. Combustion temperature variations with particle size≤20 mesh at diferent depths fuctuated the lowest, the highest combustion temperature was 741.6 °C and the lowest was 543.3 °C. The highest combustion temperature with particle size \leq 60 mesh was 780.4 °C and the lowest was 553.3 °C (Fig. [3](#page-4-0)).

Selection and validation of NLME models

The NLME models were selected based on 60% of the humus combustion data with diferent particle sizes. AIC, BIC evaluated the approximation based on the three models. The AIC (135,343) and BIC (135,373) values of the Richards equation with diferent particle sizes were the smallest. It may be concluded that Richards equation provided the best approximation and was therefore chosen as the basic equation for the relationship between humus combustion temperature and time (Table [2\)](#page-5-0).

Richards equations of combustion temperature variation were set with no parameter mixing, and one, two, and three parameters mixing for diferent particle sizes. All equations with diferent parameters were convergent, and the RMSE values of models with mixed parameters were smaller than the traditional models. The precision of models with mixed parameters was higher than the conventional models. The RMSE value of the three parameters was the least, therefore, the accuracy of Richards equation with three parameters mixing was the highest (Table [3](#page-5-1)).

Fig. 3 Maximum combustion temperature of humus for diferent depths and particle sizes; lower panel are photos of humus after combustion

Table 2 Fit statistics

Particle size/mesh	Model	AIC	BIC
≤ 20	Logistic	136,412	136,442
	Richards	135,343	135,373
	Korf	135,435	135,465
< 40	Logistic	130,938	130,968
	Richards	129,637	129,666
	Korf	129,825	129,855
≤ 60	Logistic	143,822	143,851
	Richards	142,650	142,680
	Korf	142,774	142,804
≤ 80	Logistic	131,762	131,791
	Richards	131,430	131,459
	Korf	131.823	131,853

Mixed‑efects model approximation of Richards equation

The relationship between combustion temperature and time at diferent depths with diferent particle sizes was ftted by Richards equation with three parameters mixing. According to the temperature variation, β_1, β_2 and β_3 were the estimated values of equations at 15- cm depth for each particle size, and b_{ii} was the parameter mixing effect at different depths (Table [4\)](#page-6-0). The approximation of Richards equations with diferent particle sizes is shown in Fig. [4](#page-8-0)

Discussion and conclusions

Variation characteristics of humus combustion temperature

Peatland and humus are the main combustibles of underground fres, and peatland fres is of wide concern for the frequent occurrence of large-scale fres (Davies et al. [2013](#page-9-0)). Commercial peat moss with its homogenous texture and consistent components has been widely used in characterizing smoldering and contributing factors, instead of using peatlands in the feld (Huang and Rein [2017](#page-9-11)). More researchers have started using underground combustibles to approximate reality (Pastor et al. [2018\)](#page-10-8). In this study, actual humus from the Tatou wetlands of the Daxing'an Mountains was used to study temperature variations of vertical smoldering, and the results are reliable and highly applicable.

Smoldering combustion of humus is sustained by the heat released by itself. The maximum temperature was 897.5 °C in this study, close to 900 °C of a similar study using peat (Huang et al [2015](#page-9-5)). Most studies have reported that the maximum temperature of peat smoldering was around 600 °C (Bar-Ilan et al. [2004](#page-9-19); Huang and Rein [2017](#page-9-11)), lower than

Table 3 Approximation results of mixed-efect models with diferent parameters

Particle size	Parameter	Mixed-effects	RMSE	P value
\leq 20 mesh	No parameter mix- ing		97.53	< 0.001
	One parameter	β_1	66.21	< 0.001
	mixing	β_2	39.36	< 0.001
		β_3	23.08	< 0.001
	Two parameters mixing	$\beta_1 \beta_2$	19.63	< 0.001
		$\beta_1 \beta_3$	19.91	< 0.001
		B_2 β_3	20.38	< 0.001
	Three parameters mixing	$\beta_1 \beta_2 \beta_3$	17.48	< 0.001
\leq 40 mesh	No parameter mix- ing		101.83	< 0.001
	One parameter mixing	β_1	105.33	< 0.001
		β_2	47.09	< 0.001
		β_3	27.24	< 0.001
	Two parameters mixing	$\beta_1 \beta_2$	21.49	< 0.001
		$\beta_1 \beta_3$	22.36	< 0.001
		B_2 β_3	23.57	< 0.001
	Three parameters mixing	$\beta_1 \beta_2 \beta_3$	19.51	< 0.001
≤ 60 mesh	No parameter mix- ing		94.43	< 0.001
	One parameter mixing	β_1	65.22	< 0.001
		β_2	40.93	< 0.001
		β_3	24.14	< 0.001
	Two parameters	β_1 β_2	24.25	< 0.001
	mixing	$\beta_1 \beta_3$	20.14	< 0.001
		β_2 β_3	20.77	< 0.001
	Three parameters mixing	$\beta_1 \beta_2 \beta_3$	18.46	< 0.001
\leq 80 mesh	No parameter mix- ing		103.81	< 0.001
	One parameter	β_1	71.84	< 0.001
	mixing	β_2	38.15	< 0.001
		β_3	26.39	< 0.001
	Two parameters	$\beta_1 \beta_2$	19.71	< 0.001
	mixing	$\beta_1 \beta_3$	22.39	< 0.001
		β_2 β_3	22.89	< 0.001
	Three parameters mixing	$\beta_1 \beta_2 \beta_3$	18.89	< 0.001

our result. Using diferent experiment devices and materials might account for the diference. It could also refect the diferent temperatures of humus and peat smoldering. The mass loss of combustibles was small, and the humus afterwards was brick red due to sufficient burning and high temperatures (Fig. [3](#page-4-0)) and in addition, there was no ash after combustion, only a thin layer of carbonized humus. The heat release was impeded by the humus of the upper layers when the fre was spreading downwards, so the temperature

Table 4 Fitting results of Richards equation

Table 4 (continued)

of humus smoldering was higher and increased with depth. But the mass loss of combustibles in peat smoldering was larger according to Huang and Rein [\(2014](#page-9-20)). Without being impeded by upper layers, the heat released quickly so the temperature was lower than the humus.

Combustion depth could go to more than 50 cm (Ballhom et al. [2009](#page-9-21)). However, the combustion depth was found to be deeper due to the increased aridity of peatlands and wetlands due to global warming (Turetsky et al. [2015\)](#page-10-7). The combustion process is also affected by oxygen levels, so when oxygen decreases as depth increases, combustion might be extinguished. This observation requires further study.

Applicability of NLME in smoldering temperature variation

Unlike surface and canopy fres, the heat released in underground fres is the manifestation of the smoldering process, which is also the important basis for fre monitoring and fghting. Fire intensity and spread rate are both predicted by the heat released (Rein et al. [2008a](#page-10-10), [b;](#page-10-11) Kirschke et al. [2013](#page-9-22)); the heat released, and temperature change of the ground surface are useful for judging the occurrence of underground fres and the direction for digging fre breaks. Therefore, thermos physical models are essential tools for studying the mechanism of underground fre occurrence and development (Huang and Rein [2014\)](#page-9-20), and an important basis for fre monitoring and fghting.

This study was based on NLME modeling to predict temperatures of underground fres and focused on the prediction of temperature during smoldering. Simple statistical models are better for prediction research, and NLME is better than ordinary regression models. Many statistical models have been applied to forest fre prediction (Bem et al. [2018;](#page-9-23) Nadeem et al. [2020](#page-10-23)) and have increased prediction accuracy. The variation of temperatures during underground fre combustion showed a slow rise at the beginning, then a rapid rise, and fnally, a stable temperature after reaching the maximum temperature. The change curves of the three basic models in this paper are "S" type, which are consistent with the change curves of underground fres, so the models could be applied to the prediction of temperatures during these fres.

In this study, well-replicated underground fre temperatures with varying depths and particle sizes were studied (Hall and Bailey [2001](#page-9-24)). NLME modeling was shown to be signifcantly superior in handling longitudinal, multilevel and replicated data (Calegario et al. [2005](#page-9-14)). Richards equations exhibited the best applicability in ftting humus combustion temperatures after evaluating three models and have been widely used owing to their biological signifcance, strong adaptability, and high accuracy (Li and Zhang [2010\)](#page-9-25). Hang et al. [\(1997](#page-9-26)) and Hall and Bailey ([2001\)](#page-9-24) also found high accuracy of Richards equations, consistent with the results of our study.

Fig. 4 Approximation of Richards equations of temperature and time at diferent depths with diferent humus particle sizes

Conventionally, the least square method was used to estimate model parameters of multilevel data, but this method tended to use data lacking independence, time correlation and space heterogeneity, and would lead to large prediction errors (Mensah et al. [2018](#page-10-24)). NLME modeling has higher accuracy (Sharma et al. [2016\)](#page-10-21) through set up, fxed efect, and random efect parameters (Kalle [2009](#page-9-27)). Based on the results of RMSE in this study, the accuracy of models with mixing parameters were much higher than traditional nonlinear models, and the accuracy increased as the number of parameters increased. Ciceu et al. [\(2020\)](#page-9-16) also reported the high precision of NLME models, although the parameters were diferent due to the research contents and basic models. Meng et al. ([2009\)](#page-10-25) found that a NLME model with two parameters was highly accurate. Pan et al. ([2020\)](#page-10-22) reported that one with three parameters had high precision.

Prospect of using NLME modeling in forest fre research

Studies with mixed effects models are emerging research areas in forestry (Calegario et al. [2005\)](#page-9-14), but their applications in forest fre research are rarely reported. Studies of forest fres, based on multi-level aspects, such as combustible characteristics, fre forecasting and fre behavior, should refect both overall change trend and individual diferences, so NLME has more advantages (Guillermo et al. 2006). NLME also has the advantages of high reliability, fexibility and accuracy (Sharma et al. [2016\)](#page-10-21), and have great application potential in the study of forest fre.

Declarations

Conficts of interest The authors declare no conficts of interest.

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