



Influence of the Surface State on the Adherence of the Coating: Case of an Alumina Coating Plasma Sprayed on SiC Composites

S. Costil, S. Lukat, C. Verdy, and C. Coddet

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In thermal spraying, adherence between the coating and the substrate appears as the fundamental point. To favor a good interaction between both, it is often necessary to clean and prepare the substrate surface. Conventionally, solvents and sand blasting are applied to remove the contaminants and increase the surface roughness for a mechanical anchorage. However, according to the substrate nature (ceramic) or the substrate morphology, it can be prejudicial to apply a mechanical treatment because of peeling of the surface or a decrease in the global properties. Then, to obtain an appropriate preparation, several techniques can be investigated, such as water jet, ice blasting, and heat treatment; as well, laser ablation can be an interesting technology to prepare the substrate surface. The aim of this work was to study the modifications induced by 10 ns single or cumulative pulses of a Q-switched Nd:YAG near-infrared laser and its influence on the interface adhesion. The case of an alumina coating sprayed on a ceramic matrix composite (CMC) was studied. In these conditions, the laser treatment seems favorable from the adherence viewpoint according to the mechanical effect (induced by a conelike structure) and the chemical effect.

Keywords adherence, alumina coating, ceramic matrix composite, laser treatment, plasma spraying, SiC

1. Introduction

Among all the specifications of industrial applications, surface treatment can be adequate to provide the necessary mechanical strength with a protective surface layer with a different structure and/or chemical composition. Specific elements can then be added into the substrate surface to enhance the material environmental resistance (corrosion, wear, high temperature, etc.). However, whatever the specification, coating-to-substrate adhesion is the most important property. Conventionally, surface degreasing (by applying solvents to remove organic contaminants) and grit blasting (by spraying corundum) are

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S. Costil, S. Lukat, C. Verdy, and C. Coddet, LERMPS, Université de Technologie de Belfort-Montbéliard, 90 010 Belfort Cedex, France. Contact e-mail: sophie.costil@utbm.fr.

carried out in two steps prior to spraying operation to ensure a mechanical anchorage of the molten particles to the substrate. However, according to the substrate nature and particularly considering the sensitivity to grit blasting especially in case of a brittle element (formation of microcracks), it is not suitable to apply such treatment in all cases because of a peeling of the surface or a decrease of the global properties (Ref 1). To bypass such disadvantages, some new treatments are also studied. Processes such as water jet, chemical treatment, and photonic cleaning present interesting potential (Ref 2-6). In recent years, short-pulse lasers have become suitable tools for a lot of cleaning applications because of their ability to deliver a high power per unit area to surface on a workpiece without damaging the bulk properties (power density of about 10^8 W/cm² with a short pulse duration of 10 ns) (Ref 7-9). Layers of oxides, carbon, and oils generally constitute a surface and have to be removed before final use. The standard amount of laser energy necessary to remove contaminants is below the threshold value of substrate modifications and damages. However, the heterogeneity of the pollution, overlapping of laser spot in order to treat a large surface, means that laser irradiation concerns both contaminated and clean surfaces. Conversion of absorbed energy via collisional processes into heat is one of the important processes that occur during interaction. Nevertheless, the duration of the entire ablation process does not exceed 10^{-10} s, which avoids significant heat conduction in the material and then reduces the heat-affected zones. The cleaning effect of the surface is also required without material degradation.

Then, for applications of laser cleaning before coating, basic research on the effects of laser cleaning and on surface state before spraying is needed. The aim of this work was to study the modifications, induced by 10 ns single or cumulative laser impacts of a Q-switched pulsed Nd:YAG laser emitting in the near infrared ($\lambda = 1064$ nm). These investigations were carried out on silicon carbide composite, and the adhesion of Al_2O_3 coating generated by atmospheric plasma spraying after laser surface preparation was investigated. For these experiments, the influence of the number of laser pulses was studied.

2. Experimental Procedure

2.1 Materials

A ceramic matrix composite (CMC) composed of SiC matrix and SiC fibers was chosen as substrate for all the experiments. The reinforcement part is composed of SiC woven fibers presenting a morphology of $14\ \mu\text{m}$ in diameter and 500 in number with a fiber volume fraction of 35%. The ceramic composite is composed of several superposed layers and the matrix penetrated by chemical vapor infiltration (CVI). The material presents an external layer composed of $50\ \mu\text{m}$ thick element of the SiC matrix with a stoichiometric chemical composition. It consists of closing all the open porosities on the surface. Because of the brittleness of the material, SiC composites were treated without any preparation (in their initial state after the elaboration) and present a specific surface appearance (Fig. 1). Square samples $25\ \text{mm}$ long and $4.5\ \text{mm}$ thick were alumina plasma sprayed coated after a preliminary surface preparation with a pulsed laser treatment.

A Medicoat (Medicoat, Etupes, France) pure alumina powder (Al_2O_3 Medipure), presenting a particle size in the range of $22\text{--}45\ \mu\text{m}$, was chosen as feedstock material.

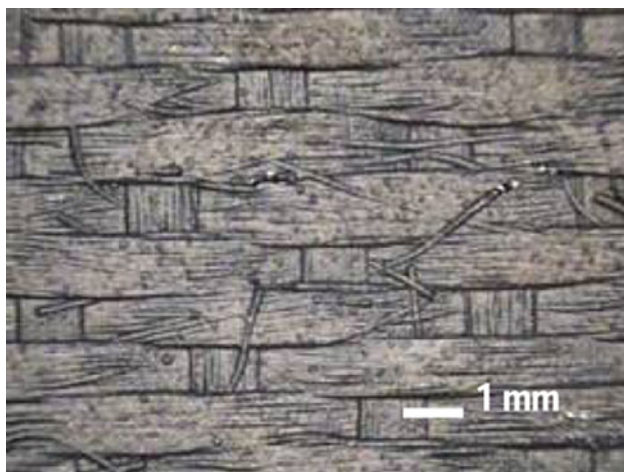


Fig. 1 Microscopic observation of the SiC/SiC composite surface

2.2 Spraying Operations

The plasma sprayed coatings were deposited using a Sulzer-Metco F4 plasma gun, an Ar/ H_2 gas combination, and an argon carrier gas flow. Some specific parameters were applied to deposit the coatings as mentioned in Table 1.

During spraying operation, specimens were fixed on a sample holder mounted on the flange of the robot and moved in front of the spraying torch. Several passes in front of the system are necessary to reach the proper coating thickness and adjusted according to the spraying system to obtain $250\ \mu\text{m}$.

To improve the coating adherence to the SiC substrates, specific steps were carried out on the substrate before spraying. As developed for the PROTAL[®] process, a laser ablation was performed on the surfaces. For this, a Q-switched pulsed Nd:YAG laser operating in the near-infrared wavelength ($1064\ \text{nm}$) with a pulse duration of $10\ \text{ns}$ and an average power of $80\ \text{W}$ (Quantel, Les Ulis, France, Laserblast 2000) was used. The laser beam is delivered through fiber optics to a specific laser head. Then, the laser treatment prior to spraying operation is characterized by a homogeneous rectangular ($8 \times 4\ \text{mm}$) energy distribution (“top hat”) (Fig. 2). A perfect cleaning step can also be operated on all the surfaces that have to be covered by the molten sprayed particles.

After identifying the optimized laser parameter for cleaning the silicon carbide surface before thermal spraying, the influence of cumulative laser impacts was studied. The irradiations consisted in cumulative laser pulses at $10\ \text{Hz}$ frequency up to 1 and 250 pulses with an energy density of $2\ \text{J}/\text{cm}^2$. The influence of these pre-treatments was also estimated from a surface morphology viewpoint as well as from the coating adhesion.

2.3 Characterization

An analysis was carried out on the material at three levels. The first level concerns the characterization of the SiC surface morphology after laser treatment as well as the interface quality after plasma sprayed alumina. Samples were then investigated by scanning electron microscopy using a JEOL JSM-5800 LV with acceleration voltage of $5\ \text{kV}$.

Table 1 Selected processing parameters for the atmospheric plasma spraying (APS) of alumina powder

Parameter	Value
Arc current intensity, A	600
Effective power, kW	24
Argon flow rate, slpm	46
Hydrogen flow rate, slpm	14
Feedstock injector diameter, mm	1.8
Feedstock carrier gas flow rate, argon slpm	3.6
Feedstock mass rate, g/min	30
Spray distance, mm	125
Scanning step, mm	6
Scanning velocity, mm/s	600

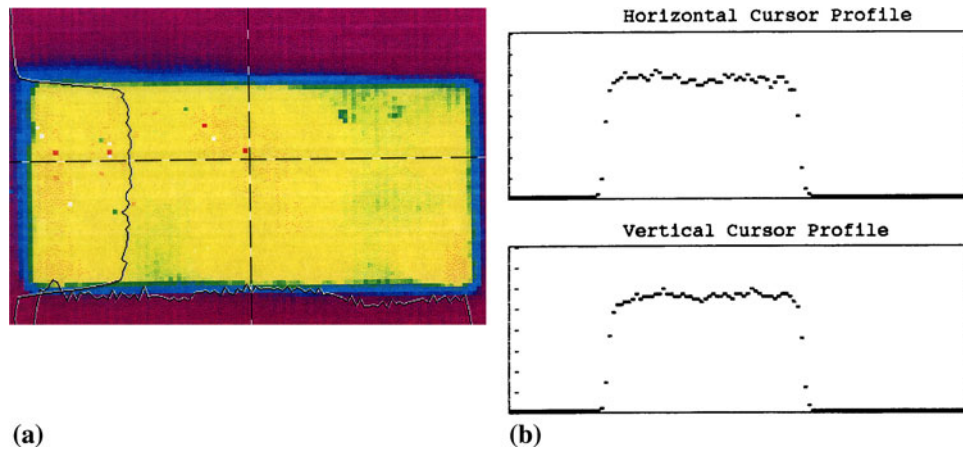


Fig. 2 Laser surface preparation system implemented in the PROTAL[®] process. (a) Rectangular shape with the uniform intensity profile delivers by the laser head and (b) top hat energy profile

Table 2 Selected processing parameters for the polishing steps of the samples

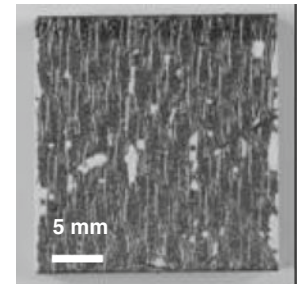
Abrasive supports	Rotating speed, rd/min	Strength, a.u.	Time, min
Diamond plate (P120, 150 μm) lubricated by water	150	6	Until flatness
Diamond plate (P360, 40 μm) lubricated by water	150	4	3
Woven fibers lubricated by diamond suspension (1 μm)	150	4	20

The second level of analyses concerns the chemical composition of the materials by implementing energy dispersive spectrometry (EDS) and Raman microspectrometry (RMS). The EDS experiments were carried out with a spectrometer IGJ 068-1015 (Princeton Gamma-Tech Inc., Rocky Hill, NJ) linked to the SEM microscope with a working energy of 5 keV. It permits estimation of the different elements that compose the surface. Raman microspectrometry was used to further investigate the structural composition of the surface (precision of 1 μm^2). RMS experiments were conducted using a spectrometer Ramanator U1000 (Horiba Jobin Yvon SAS, Villeneuve d'Ascq, France) with a wavelength of 514.5 nm and an effective power of 20 mW.

In the third level, the evaluation of the adherence between the coating and the substrate was investigated. Nevertheless, because of the particular morphology of the substrates (convex structure with nodules due to the fibers), it was not possible to implement the conventional adherence tests (ASTM C 633 adherence test or interfacial indentation test). Moreover, the interfacial adhesion between the ceramic composite and the external layer (composed of pure matrix) is very low. Then, only a qualitative estimation was also developed by SEM observations. Samples were observed just after spraying operations or after a thermal cyclic solicitation. For this, a thermal investigation under atmospheric conditions was carried out

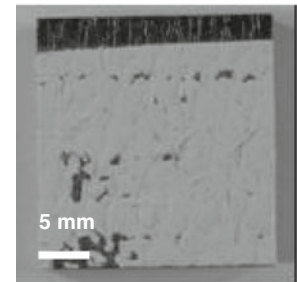
0 laser impact before

plasma spraying



100 laser impacts

before plasma spraying



250 laser impacts

before plasma spraying

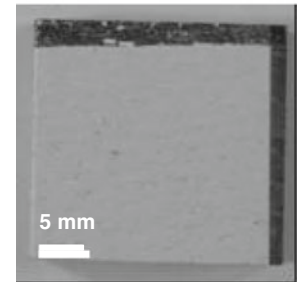


Fig. 3 Macroscopic observations of alumina plasma coatings sprayed on CMC surfaces after a laser treatment with cumulative impacts (laser energy density of 2 J/cm²)

implementing a thermal treatment in an oven (Pyrox, Rambouillet, France) at 1100 °C for 30 min. The materials were subjected to 60 cyclic investigations (30 min at 25 °C and 30 min at 1100 °C) with a constant heating and cooling rate equal to 8 °C/min. To estimate the adherence of the coating, microscopic observations have also been

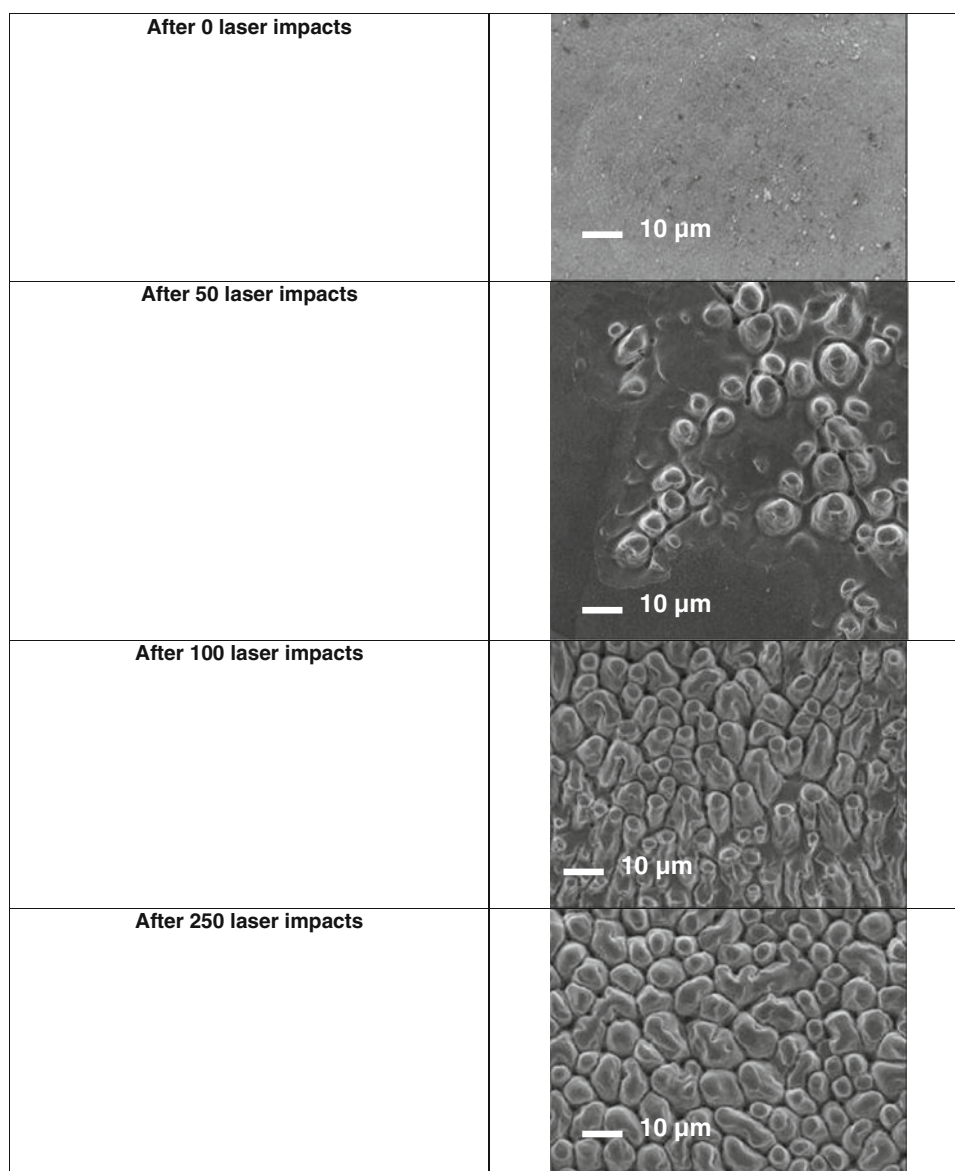


Fig. 4 SEM observations after laser treatment at 2 J/cm^2 with several numbers of successive impacts

realized after the cyclic thermal experiments on the cross section.

After cutting (with a constant speed) and epoxy infiltration (cold impregnation technique under vacuum), samples were polished following standard metallographic techniques (prepolishing and diamond slurry polishing) on an automatic polisher. All the details of the polishing steps were fixed to ensure the repeatability of the results (Table 2).

3. Results and Discussion

The aim of this work was to investigate the adhesion of an alumina coating on a silicon carbide composite. Thus, first experiments considered the alumina spraying

efficiency on a clean (solvent only) composite surface without laser treatment. Macroscopic observations revealed an unsuitable interaction between both materials (substrate and coating) because no coating on the CMC surface was obtained. The surface morphology with a large convex structure ($R_a = 1\text{--}2 \mu\text{m}$) and some nodules spread all over does not permit a sufficient mechanical anchorage between the alumina coating and the CMC substrate as well as specific interaction. Then, a surface activation has to be realized to complete the material affinity without any damage of the surface. The option selected with this study concerns the laser treatments. To avoid all the disadvantages of sand blasting (peeling of the surface), a laser preparation was carried out implementing cumulative laser impacts before plasma spraying. The results of alumina coatings sprayed on CMC surfaces according to the number of laser pulses are presented (Fig. 3).

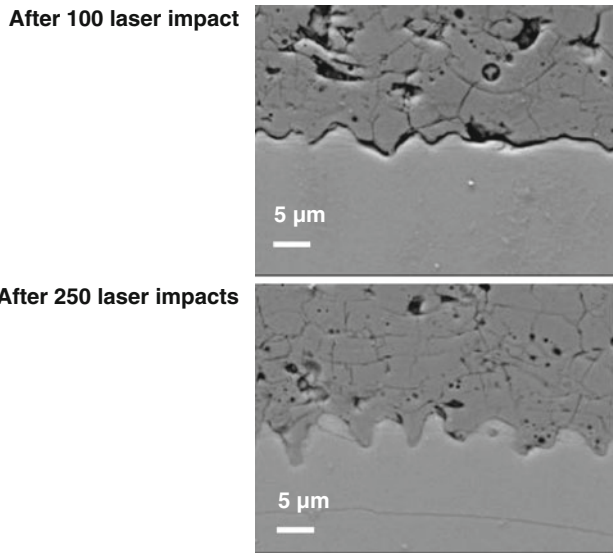


Fig. 5 Cross-section SEM observations of alumina coatings sprayed on CMC surfaces after several cumulative laser impacts (laser energy density of 2 J/cm^2)

An improvement of the coating morphology, which is more and more uniform when increasing the number of laser impacts, can easily be observed. As observed in Fig. 4, a conelike structure appeared progressively with the number of laser impacts (Ref 10-12). As measured from the SEM cross-section observations, the maximum height of the peaks varies from 2 to $5 \mu\text{m}$. The geometric surface state can then be characterized by a roughness bigger than the initial state of the composite, which tends to improve the interfacial adherence. Such tendency can particularly be confirmed by microscopic observations on the cross section of the samples (Fig. 5). When increasing the intensity of the laser treatment, an increase of the substrate surface roughness becomes visible. No fracture can be noticed at the interface treated by 250 laser impacts whereas cracks can be detected after 100 laser pulses. In samples prepared with a fixed procedure (Table 2), such variations can only be linked to the laser treatment efficiency rather than the cutting, grinding, and polishing process.

A correlation between the surface roughness and the coating/substrate interface quality can also be easily detected as demonstrated by several authors (Ref 13-16). As illustrated, a good adhesion of the alumina coating on the SiC substrate can be observed when the substrate surface is rough, whereas cracks can be noticed for a smooth surface (Fig. 6).

If the dimensions of the peaks are lower than the characteristics of the particle (in particular the diameter), a mechanical anchorage can be suggested to improve the interface adhesion. The microscopic observations (Fig. 7) have shown some peaks with a micrometric size compared with the sprayed particles (splats) with a diameter of $90 \mu\text{m}$ (Ref 17). Then, alumina particles can benefit from several peaks to be linked to the substrate surface. Nevertheless, due to the laser treatment, the silicon carbide

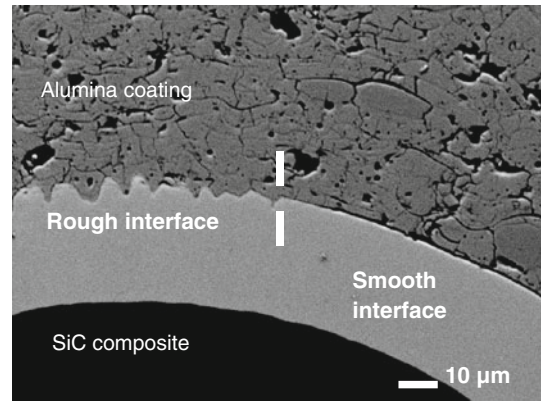


Fig. 6 SEM observation on the cross section of an alumina coating sprayed on SiC composite surface. Influence of the surface roughness on the interface quality

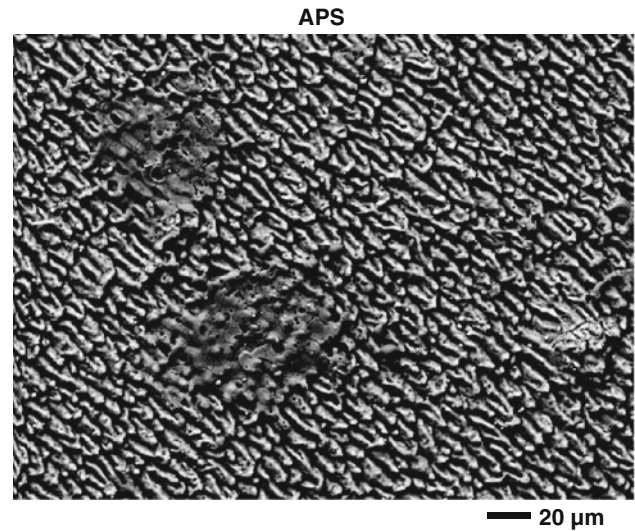


Fig. 7 SEM observation of alumina splats plasma sprayed on laser treated SiC composite surface (after 250 laser impacts)

surface undergoes a change from a chemical aspect viewpoint. By implementing EDS analyses on the initial surface and on the peaks, an evolution of the surface composition elements has been observed after the laser treatment (Fig. 8). According to the Monte-Carlo simulations (CASINO v2.42 software), a volume depth of $0.15 \mu\text{m}$ was estimated corresponding to the analysis of the extreme surface of the material (and to one peak). The spectra reveal mainly the elements of carbon, silicon, and oxygen. Of course, the quantitative analysis of the light elements is not adapted by such a technology, but a qualitative evaluation is acceptable. Then, after a normalization of the results to the silicon peak of the spectrum, a lower content of carbon at the extreme surface of the peak (and then after the laser treatment) has been noticed. By Raman microspectrometry (RMS) analysis, an evolution of the material structure has also been detected at the surface of the peak (Ref 17). Indeed, the optical system used for the experiments (lateral resolution around

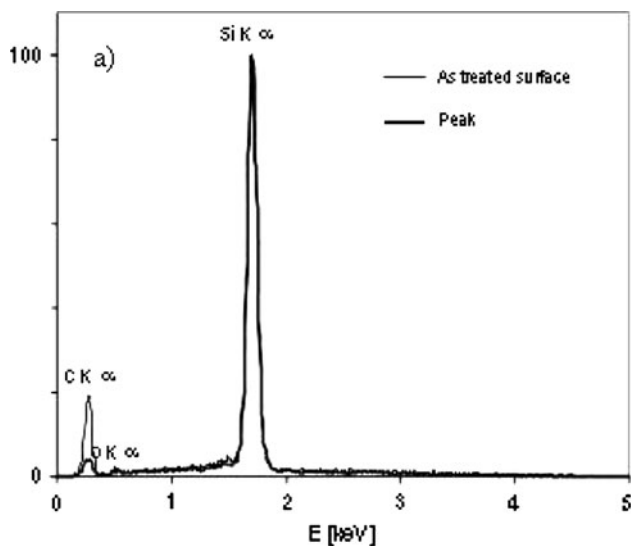


Fig. 8 EDS spectra of CMC material before and after the laser treatment at 2 J/cm² and 250 pulses

1 μm with an interaction depth of 15 nm) induces analyses of the extreme surface and more precisely of the peak. Then, by decreasing the peak width before and after the treatment, an improvement of the crystalline structure of the material can be observed on the peak surface. More precisely, a perfect crystalline pure silicon structure induced by the laser process can be noticed. Because of the plasma formation, which occurred during the ablation process, the silicon carbide can be dissociated, inducing the generation of carbon dioxide gas (which is dissipated during the process) and silicon (which condensates on the extreme surface of the cones) (Ref 18, 19). Then, an evolution of the surface wettability as well as the interaction mode can be considered. As demonstrated by several authors, interactions between alumina and silicon carbide were detected according to the environmental temperature (promoting new arrangements between main elements) (Ref 20-22) or to the presence of oxide layer (which can easily be imagined with thermal spraying process under atmospheric conditions) (Ref 23). Moreover, the wettability of the silicon carbide surface by the alumina particle seems difficult because of the low surface energy of the substrate ($\Delta G^\circ = -800$ kJ/mol) (Ref 24-26). Nevertheless, as illustrated previously (Fig. 4), whatever the surface modifications induced by the laser irradiation, the chemical aspect is not sufficient to explain the improvement of the interface adhesion. A combination between surface roughness and physicochemical interaction seems necessary to permit the contact between both materials.

If these results were as favorable as possible from the coating substrate adherence viewpoint (the interface observations appear favorable), it was not possible to estimate the adherence value. Then, to obtain first a qualitative approach, thermal solicitations by cyclic treatment were investigated on both materials (substrate and coating). Figure 9 illustrates the SEM observations on the sample cross sections after thermal treatment.

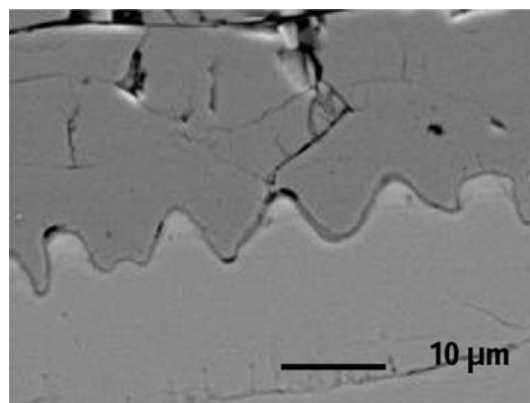


Fig. 9 SEM observation on the cross sections of alumina coating sprayed on CMC after the cyclic thermal treatment

No influence of temperature can be detected at the interface. No cracks at the interface as well as inside the coating were observed. More precisely, however, a thin intermediate layer between the alumina and the SiC substrate was revealed. Because samples were prepared as usual (with the automatic procedure and where no intermediate layers were observed previously), a real modification induced by the thermal treatment can be supposed. This layer presents a good interaction with both materials (alumina and silicon carbide). EDS analyses carried out in the transverse direction (from the coating to the substrate) confirmed such tendency (Fig. 10).

4. Conclusion

The aim of this study was to observe the influence of a laser cumulative treatment on the interface quality between a coating and a substrate. The case of an alumina coating plasma sprayed on a ceramic matrix composite (CMC SiC/SiC) was particularly studied. If no coating was realized on a clean rough machined SiC material (by using solvent), the laser cumulative treatment has demonstrated a significant effect on the adhesion process. According to the number of laser impacts, the alumina coating appeared more and more adherent to the substrate. By SEM observations on the substrate surface, formation of micrometric peaks (cones) that tend to progress on the surface by increasing the number of laser impacts could be observed. Then, an improvement of the coating/substrate interaction (adherence) occurs that was observed by SEM analyses on the cross sections. The more the roughness increases by increasing the laser treatment, the more adherence there is. A mechanical anchorage may occur at the alumina/silicon carbide interface to facilitate the material bonding. However, such phenomenon does not correspond to the only factor influencing the adhesion effect. A chemical modification of the substrate surface (decrease of the carbon element at the extreme surface of the peaks) was demonstrated by implementing EDS and RMS analyses. Then, a combination of both factors

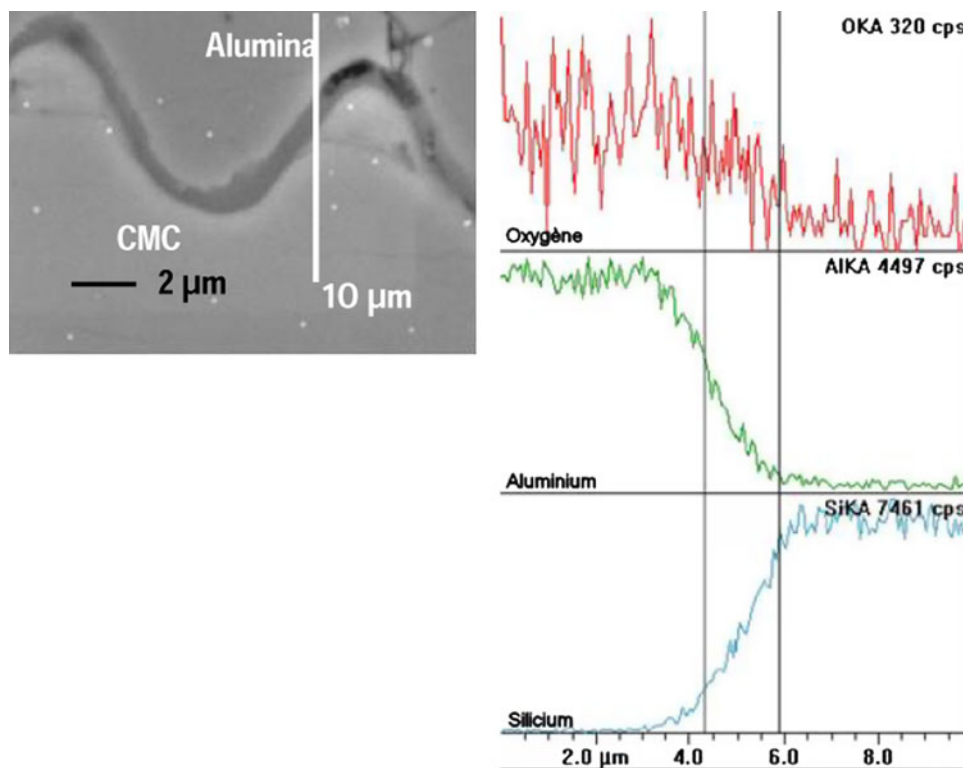


Fig. 10 EDS elementary profile at the interface between alumina coating and silicon carbide substrate after thermal treatment

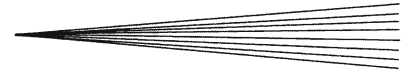
(morphological and chemical) seems to control the interaction between alumina particles and SiC substrate. An intermediate oxide layer, developed after cyclic thermal treatments, tends to confirm the influence of the chemical interaction on the interface adherence.

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