

# Physical Model Based on Data-Driven Analysis of Chemical Composition Effects of Friction Stir Welding

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Variations in chemical compositions can lead to changes in the mechanical properties during friction stir welding (FSW). To facilitate control over the final mechanical properties of the friction stir weld, the relationship between the chemical compositions and final mechanical properties must be investigated. An artificial neural network was used for a data-driven analysis of the effects that chemical compositions have on the mechanical properties of FSW. A precipitate evolution model was implemented to examine the detailed contributions of different elements to the final mechanical properties. Experiments with different chemical compositions were conducted to validate the established models. Through both numerical and experimental analyses, it was determined that the yield strength in the stir zone increased with an increase in Mg/Si owing to the formation of Mg<sub>2</sub>Si. The mechanical properties also increased with Si, Mg, and Cu contents in the solid solution. The mechanical properties decreased with an increase in the Fe and Mn contents owing to the formation of an intermetallic compound  $\alpha$ -Al<sub>x</sub>(MnFe)<sub>y</sub>Si<sub>z</sub>. The final mechanical properties were determined by both the welding temperature and chemical compositions. By utilizing a physical model based on a data-driven analysis, the mechanical properties could be optimally controlled.

| Keywords | aluminum alloy, chemical composition, friction stin |
|----------|---|
|          | welding, mechanical property                        |

## 1. Introduction

The final quality of a friction stir weld is controlled by many factors, including the rotational speed, transverse speed, penetration depth, tool geometry, and tilt angle (Ref 1-7). A higher rotational speed or lower transverse speed in friction stir welding (FSW) can lead to an increase in the welding temperature (Ref 8-10). An increase in the welding temperature can lead to a higher solution of precipitates for aluminum alloys during the heating process (Ref 11). During the cooling process, the precipitates can nucleate and grow. A higher volume fraction of precipitates with small average particle sizes (in nanoscale) can lead to an increase in the hardness, as well as the yield strength, of the final friction stir welds (Ref 12, 13). Although the design of welding parameters can optimize the weld quality in FSW, experimental tests reveal that the mechanical properties of friction stir welds can vary even under the same (or similar) welding parameters. Abdulstaar et al. (Ref 14) found that when the rotational and transverse speeds are 1200 rpm and 0.8 mm/s, respectively, the hardness is approximately 60 HV in the stir zone during the FSW of AA6061. When the rotational and transverse speeds are 1200 rpm and 0.7 mm/s, respectively, Fadaeifard et al. (Ref 15) found that the average hardness in the nugget zone is 59.85

HV. Liu and Ma (Ref 16) demonstrated the effect of rotational speed on the hardness in the stir zone during the FSW of AA6061. When the rotational speed is 1200 rpm and the transverse speed is 3.3 mm/s, the hardness in the stir zone ranges from 59.6 to 76.6 HV. However, when the rotational and transverse speeds are decreased to 1000 rpm and 1.7 mm/s, respectively, the hardness in the stir zone during the FSW of AA6061 is only 47 HV in the as-weld state (Ref 11). For AA6063, the hardness in the stir zone ranges from 40 to 45 HV when the rotational speed is changed from 800 to 1220 rpm (Ref 17). As evidenced, the hardness in the stir zone during FSW can vary depending upon the welding conditions. Even under the same or similar welding conditions, the hardness of the welded material can vary because of changes in the chemical compositions. It is essential to investigate how chemical compositions affect the final mechanical properties of elements that undergo FSW. The determination of these internal relationships relies on statistical and theoretical analyses.

An artificial neural network (ANN) is an efficient tool to analyze the effects of the chemical compositions during FSW. Through a data-driven analysis, the correlation between the chemical compositions and final mechanical properties can be established. This method has been successfully applied to processing techniques. Wang et al. (Ref 18, 19) constructed multilevel data-driven surrogate models based on extensive computational data with limited experimental data to predict microstructural evolutions in additive manufacturing. Big databased analytics were used by Majeed et al. (Ref 20) to optimize the production performance in additive manufacturing. Yan et al. (Ref 21) optimized numerous influential factors to present a comprehensive material model of the process–structure– property relationships present in additive manufacturing.

Although many beneficial studies focus on a data-driven analysis in various manufacturing industries, the combination of a data-driven analysis with FSW is lacking. The problem lies in the combination of a physical model with a data-driven

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analysis, which must be resolved to apply this method to FSW. The internal relationships between the input welding parameters and the output welding quality are already established through many useful methods. The Monte Carlo model allows the welding parameters to be linked to the recrystallized grain morphologies (Ref 22-24). A precipitate evolution model (PEM) allows the welding parameters to be linked with the final mechanical properties, including the hardness and yield strength (Ref 11, 25, 26). For defect-free FSW, it is necessary to establish the direct relationship between the input variables and the final mechanical properties, especially the effects of various chemical compositions on the mechanical properties, which should be studied in detail. Doing so will allow various manufacturing industries to facilitate control over the final quality of FSW.

# 2. Experimental Procedure

Three specimens of 6xxx aluminum alloys with different chemical compositions were friction stir welded using an FSW machine. Electron-dispersive spectroscopy (EDS) was used to measure the chemical compositions of the specimens. The chemical compositions are summarized in Table 1. The dimensions of the specimens were  $200 \times 110 \times 4$  mm. H13 steel was used, and it had a shoulder diameter of 12 mm and a conical pin. The diameter of the pin ranged from 3 mm at the tip to 4 mm at the top. The length of the pin was 3.8 mm, which was slightly shorter than the weld thickness to ensure defect-free welding. The rotational speed was 800 rpm, and the transverse speed was 200 mm/min. An infrared radiation thermometer (IRT) system was used to measure the welding temperatures. A Vickers hardness tester was used to measure the hardness distributions of different chemical compositions in the friction stir weld. The equipment used for experiment is shown in Fig. 1.

# 3. Model Descriptions

### 3.1 Moving Heat Source Model

At present, many numerical models can be used to simulate FSW, e.g., fully coupled thermomechanical model (Ref 9), adaptive re-meshing model (Ref 27, 28), CFD model (Ref 29, 30), and moving heat source model (Ref 31-33). The moving heat source model was established with the ABAQUS subroutine DFLUX to simulate the heat generated by friction between the welding tool and the specimen. Both the introduction and application of the moving heat source model have been discussed in detail in previous studies (Ref 31-33). To calculate

Table 1Chemical compositions of specimens (wt.%)

| Specimen | Mg   | Si   | Cu   | Fe   | Mn   | Al    |
|----------|------|------|------|------|------|-------|
| No. 1    | 0.85 | 0.64 | 0.26 | 0.54 | 0.00 | 97.42 |
| No. 2    | 0.88 | 0.61 | 0.23 | 0.17 | 0.04 | 97.93 |
| No. 3    | 0.82 | 0.82 | 0.51 | 0.71 | 0.15 | 96.8  |

the input power of the moving heat source model, we used the following formula (Ref 34):

$$P_{\rm s} = \eta \frac{2}{3} \pi \mu p \omega \left( r_{\rm s}^3 + 3 l_{\rm p} r_{\rm p}^2 \right), \tag{Eq 1}$$

where  $\eta$  is the frictional heat ratio flowing into the welded components and was taken at 0.39,  $\mu$  is the friction coefficient (0.5), p is the contact pressure that was lower than the actual flow stress of the material at the working temperature (Ref 35),  $\omega$  is the rotational speed of the welding tool,  $r_s$  is the radius of the shoulder,  $r_p$  is the radius of the pin at the top, and  $l_p$  is the length of the pin. The values of  $\omega$ ,  $r_s$ ,  $r_p$ , and  $l_p$  were identical to the experimental settings. The boundary conditions for the convective heat transfer were as follows:

$$k\frac{\partial T}{\partial n} = h(T - T_{\rm a}),\tag{Eq 2}$$

where k is the thermal conductivity and h is the convective heat transfer coefficient, as stated in the literature (Ref 36).  $T_a$  is the ambient temperature (20 °C). Using the moving heat source model, the temperature history of each point (mesh point) on the specimen was calculated under various conditions.

### 3.2 PEM

During FSW, the microstructure changed with a variation in temperature, leading to a change in the mechanical properties. The strengthening mechanism of the Al alloys originated from four items, which were grain size, dislocation density, precipitates, and a solid solution. The direct contribution from grain size was insignificant in comparison with those of the other three items (Ref 26). The precipitates provided the main contribution to the calculation of the yield stress (Ref 11). The PEM included the nucleation, dissolution, and coarsening of the precipitates. The nucleation rate was expressed as follows (Ref 11):

$$j = j_0 \exp\left[-\left(\frac{A_0}{RT}\right)^3 \left(\frac{1}{\ln(\bar{C}/C_e)}\right)^2\right] \exp\left(-\frac{Q_d}{RT}\right), \quad (\text{Eq 3})$$



**Fig. 1** Friction stir welding (FSW) machine and Vickers hardness tester. (a) Friction stir welding machine, (b) Vickers hardness tester



Fig. 2 Back-propagation (BP) artificial neural network (ANN) model workflow

where  $j_0$  is a pre-exponential term and was taken at  $9.66 \times 10^{34}$  s/m<sup>3</sup>.  $A_0$  is a parameter related to the energy barrier for nucleation (16.22 kJ/mol). *R* (8.314 J/K/mol) is the universal gas constant, *T* is the temperature,  $\bar{C}$  is the mean solute content in the matrix, and  $C_e$  is the equilibrium solute content at the particle/matrix interface.  $Q_d$  is the activation energy for diffusion and was taken at 130 kJ/mol. The rate of growth, or dissolution of the precipitates, was calculated as follows:

$$v = \frac{C - C_i D}{C_p - C_i r},\tag{Eq 4}$$

where  $C_i$  is the solute concentration at the particle/matrix interface,  $C_p$  is the concentration of the element within the particle and was taken at 63.4 wt.%, *D* is the diffusion coefficient, and *r* is the particle radius. The relationship between  $C_i$  and  $C_e$  was the following:

$$C_i = C_{\rm e} \exp\left(\frac{2\gamma V_{\rm m}}{rRT}\right),\tag{Eq 5}$$

where  $\gamma$  is the particle/matrix interfacial energy (0.2) and  $V_{\rm m}$  is the molar volume of the precipitates (3.95  $\times$  10<sup>-5</sup> m<sup>3</sup>/mol).

The contribution to the yield strength from the hardening precipitates was calculated as follows:

$$\sigma_P = \frac{M}{b\bar{r}} \left(2\beta G b^2\right)^{-1/2} \left(\frac{3f}{2\pi}\right)^{1/2} \left(\frac{\sum_i N_i F_i}{\sum_i N_i}\right)^{3/2}, \qquad (\text{Eq } 6)$$

where *M* is the Taylor factor (3.1), *b* is the magnitude of the Burgers vector ( $2.84 \times 10^{-10}$ ), and  $\bar{r}$  is the mean particle radius.  $\beta$  is a constant representing the dislocation line tension and was taken at 0.36. *G* is the shear modulus of the aluminum matrix ( $2.7 \times 10^{10}$  N/m<sup>3</sup>), *f* is the volume fraction, and  $N_i$  is the number density of particles that belonged to a given size class ( $r_i$ ).  $F_i$  is the function of the particle radius  $r_i$ . When  $r_i$  was smaller than the critical radius  $r_c$ ,

$$F_i = 2\beta G b^2 \left(\frac{r_i}{r_c}\right). \tag{Eq 7}$$

When  $r_i$  was larger than the critical radius  $r_c$ ,

$$F_i = 2\beta G b^2. \tag{Eq 8}$$

Some of the Mg, Si, and Cu elements existed in the solid solution as solutes. Therefore, the contribution from the solid solution to the yield strength was expressed as follows:

$$\sigma_{\rm ss} = k_{\rm Mg} C_{\rm Mg}^{2/3} + k_{\rm Si} C_{\rm Si}^{2/3} + k_{\rm Cu} C_{\rm Cu}^{2/3}, \qquad ({\rm Eq} \ 9)$$

where  $C_{Mg}$ ,  $C_{Si}$ , and  $C_{Cu}$  are the concentrations of Mg, Si, and Cu, respectively, in the solid solution. The corresponding scaling factors are  $k_{Mg}$ ,  $k_{Si}$ , and  $k_{Cu}$  and were taken at 66.3, 29, and 46.4 MPa/wt.%<sup>2/3</sup>, respectively.

In the 6xxx series aluminum alloys, a portion of the Si elements can be combined with Fe and Mn to form  $\alpha$ -Al<sub>x</sub>(MnFe)<sub>y</sub>Si<sub>z</sub> (Ref 37, 38). The formation of this intermetallic compound is frequently affected by the processing technology and chemical composition of the matrix. Unlike Mg<sub>2</sub>Si, the

Table 2 Data for training ANN model of peak welding temperature

| Rotational speed, rpm | Welding speed, mm/min | Thickness, mm | Shoulder diameter, mm | Peak temperature, °C | Ref. |
|-----------------------|-----------------------|---------------|-----------------------|----------------------|------|
| 390                   | 141.8                 | 8.13          | 25.4                  | 475                  | 42   |
| 500                   | 140                   | 6             | 24                    | 471                  | 43   |
| 300                   | 120                   | 6.4           | 9.6                   | 425                  | 44   |
| 400                   | 120                   | 6.4           | 9.6                   | 433                  | 44   |
| 650                   | 120                   | 6.4           | 9.6                   | 454                  | 44   |
| 1000                  | 120                   | 6.4           | 9.6                   | 472                  | 44   |
| 1200                  | 200                   | 3             | 10                    | 511.8                | 45   |
| 1500                  | 118                   | 5             | 15                    | 496                  | 46   |
| 900                   | 200                   | 6             | 24                    | 439                  | 47   |
| 1200                  | 200                   | 6             | 24                    | 467                  | 47   |
| 1400                  | 200                   | 6             | 24                    | 482                  | 47   |
| 1400                  | 400                   | 6             | 24                    | 477                  | 47   |
| 1400                  | 600                   | 6             | 24                    | 472                  | 47   |
| 800                   | 30                    | 8             | 24                    | 481                  | 48   |
| 1400                  | 800                   | 5             | 16                    | 462.7                | 49   |
| 1200                  | 600                   | 5             | 16                    | 435                  | 50   |
| 1200                  | 800                   | 5             | 16                    | 420                  | 50   |
| 573                   | 60                    | 10            | 12.5                  | 456                  | 50   |

larger size of  $\alpha$ -Al<sub>x</sub>(MnFe)<sub>y</sub>Si<sub>z</sub> indicates it cannot directly contribute to the calculation of the yield strength. Myhr et al. calculated the effect of this compound on the mechanical properties in the form of  $\alpha$ -Al<sub>15</sub>(MnFe)<sub>3</sub>Si<sub>3</sub> (Ref 39). However, other experiments (Ref 40) show that the yield strength is reduced when the Fe content is increased. This phenomenon is supported by the concept of effective Si content in the solid solution (Ref 39):

$$C_{\rm Si}^{\rm eff} = C_{\rm Si} - 0.33 * (C_{\rm Fe} + C_{\rm Mn}),$$
 (Eq 10)

where  $C_{\text{Fe}}$  and  $C_{\text{Mn}}$  are the concentrations of Fe and Mn, respectively, in the solid solution.

The yield strength was expressed as follows:

$$\sigma_{\rm y} = \sigma_0 + \sigma_{\rm ss} + \sigma_{\rm p}, \tag{Eq 11}$$

where the contribution from the grain size of pure Al to the yield strength ( $\sigma_0$ ) is taken as 10 MPa (Ref 25, 26).

### 3.3 ANN Model

Data-driven methods can be applied to process mechanics for the prediction of product quality in engineering (Ref 18, 19, 41). The classic response surface method, Gaussian process model, and ANN are generally used in a data-driven design. In this study, a three-layer back-propagation (BP) ANN was employed to build the surrogate model. A traditional three-layer BP ANN is composed of an input layer, a hidden layer, and an output layer, as shown in Fig. 2.  $x_{li}$  is the data related to the input parameters, while  $y_l$  is the data related to the output parameters. *L* is the number of data groups, *m* is the number of input parameters. The input data from the input layer are summed with the weight ( $\omega_{ij}$ ) between the input layer and the hidden layer. The calculated results of each neuron in the hidden layer are shown as follows:

$$H_{lj} = g\left(\sum_{i}^{m} x_{li}\omega_{ij} + a_{lj}\right), \tag{Eq 12}$$

where g is the activation function and  $a_{lj}$  is the threshold value. Similarly,  $H_{lj}$  on the neurons in the hidden layer was further transmitted to the output layer, and the calculation results ( $O_{lk}$ ) were then obtained through the output layer as follows:

$$O_{lk} = g\left(\sum_{j}^{n} H_{lj}\omega_{jk} + b_{lk}\right), \tag{Eq 13}$$

where  $\omega_{jk}$  is the weight between the hidden layer and the output layer and  $b_{lk}$  is the threshold value.

The data training was completed by updating the connection weight between each layer. Only the adjacent neurons in the two layers were influenced by one another. The weight updating formula used was the following:

$$\begin{cases}
\omega_{ij(\text{new})} = \omega_{ij(\text{old})} + \eta \frac{\partial E}{\partial \omega_{ij(\text{old})}} \omega_{ij(\text{old})}, \\
\omega_{jk(\text{new})} = \omega_{jk(\text{old})} + \eta \frac{\partial E}{\partial \omega_{jk(\text{old})}} \omega_{jk(\text{old})},
\end{cases}$$
(Eq 14)

where  $\eta$  is the learning efficiency. The update to the threshold was the following:

$$\begin{cases} a_{lj(\text{new})} = a_{lj(\text{old})} + \eta \frac{\partial E}{\partial a_{lj(\text{old})}} a_{ij(\text{old})} \\ b_{lk(\text{new})} = b_{lk(\text{old})} + \eta \frac{\partial E}{\partial b_{lk(\text{old})}} b_{lk(\text{old})}. \end{cases}$$
(Eq 15)

After updating the weights and thresholds, the above process was repeated with a new dataset until all the data had been trained. We calculated the performance indicators to determine the end of the training:

$$E = \frac{1}{L} \sum_{l=1}^{L} \left(\frac{1}{2}\right) \sum_{k=1}^{O} \left(y_{lk} - O_{lk}\right)^2$$
(Eq 16)

If E was less than or equal to the accuracy, the training was completed. Otherwise, we retrained with updated thresholds and weights until accuracy was met.

Table 3 Input data for training ANN model of mechanical properties

| No. | Mg, wt.% | Si, wt.% | Cu, wt.% | Mn, wt.% | Fe, wt.% | Peak temperature, °C | Ref.              |
|-----|----------|----------|----------|----------|----------|----------------------|-------------------|
| 1.  | 0.9400   | 0.5300   | 0.2000   | 0.0061   | 0.4000   | 447.9356             | 51                |
| 2.  | 0.9400   | 0.5000   | 0.2000   | 0.0060   | 0.4000   | 482.6922             | 52                |
| 3.  | 0.9200   | 0.6500   | 0.2900   | 0.7000   | 0.3200   | 446.8285             | 53                |
| 4.  | 0.9910   | 0.5740   | 0.1990   | 0.0380   | 0.3500   | 426.4920             | 54                |
| 5.  | 1.0300   | 0.7400   | 0.3100   | 0.0800   | 0.1900   | 455.9699             | 55                |
| 6.  | 0.9200   | 0.5700   | 0.2100   | 0.0300   | 0.1700   | 491.5189             | 56                |
| 7.  | 0.8400   | 0.5400   | 0.2400   | 0.0100   | 0.4000   | 481.9583             | 57                |
| 8.  | 1.0000   | 0.7000   | 0.4000   | 0.1000   | 0.7000   | 563.3883             | 58                |
| 9.  | 1.0700   | 0.5800   | 0.2400   | 0.1000   | 0.3200   | 427.8641             | 59                |
| 10. | 0.9900   | 0.5900   | 0.2300   | 0.0090   | 0.1200   | 501.8456             | 60                |
| 11. | 0.9600   | 0.5800   | 0.2800   | 0.0300   | 0.4100   | 467.6291             | 61                |
| 12. | 0.6900   | 0.9100   | 0.0620   | 0.5600   | 0.2300   | 481.9583             | 57                |
| 13. | 0.7000   | 1.3000   | 0.1200   | 0.7000   | 0.5100   | 496.0129             | 62                |
| 14. | 0.9200   | 1.3100   | 0.0430   | 0.5800   | 0.2400   | 406.2821             | 63                |
| 15. | 0.7800   | 0.9500   | 0.0800   | 0.4800   | 0.3900   | 570.9107             | 64                |
| 16. | 0.5900   | 0.9600   | 0.0100   | 0.4500   | 0.1900   | 499.4842             | 65                |
| 17. | 0.8000   | 1.0500   | 0.0400   | 0.6800   | 0.2600   | 505.7730             | 66                |
| 18. | 1.1800   | 0.8900   | 0.3100   | 0.4000   | 0.4000   | 444.3964             | 67                |
| 19. | 0.7000   | 1.0000   | 0.0700   | 0.5100   | 0.0800   | 469.4877             | 68                |
| 20. | 1.1100   | 1.2100   | 0.0958   | 0.4850   | 0.3780   | 423.5751             | Calculated by PEM |
| 21. | 0.9300   | 1.1300   | 0.0965   | 0.6530   | 0.2270   | 423.5751             | •                 |
| 22. | 1.0300   | 1.0100   | 0.0166   | 0.9490   | 0.4800   | 423.5751             |                   |
| 23. | 0.9000   | 0.8400   | 0.0971   | 0.8750   | 0.1140   | 423.5751             |                   |
| 24. | 0.6300   | 1.1800   | 0.0958   | 0.9760   | 0.2760   | 423.5751             |                   |
| 25. | 0.7800   | 1.1800   | 0.0491   | 0.4930   | 0.2530   | 423.5751             |                   |
| 26. | 0.7600   | 0.8000   | 0.0802   | 0.4210   | 0.4060   | 423.5751             |                   |
| 27. | 0.6000   | 0.8200   | 0.0747   | 0.5980   | 0.1690   | 423.5751             |                   |
| 28. | 0.8600   | 0.7500   | 0.3490   | 0.0990   | 0.2373   | 423.5751             |                   |
| 29. | 0.8500   | 0.6400   | 0.1970   | 0.0250   | 0.3294   | 423.5751             |                   |
| 30. | 0.8900   | 0.4500   | 0.2720   | 0.0190   | 0.1599   | 423.5751             |                   |
| 31. | 1.0200   | 0.4900   | 0.2610   | 0.0750   | 0.3471   | 423.5751             |                   |
| 32. | 1.1600   | 0.4400   | 0.3120   | 0.1440   | 0.1111   | 423.5751             |                   |
| 33. | 1.1300   | 0.7300   | 0.3270   | 0.0520   | 0.1969   | 423.5751             |                   |
| 34. | 0.8300   | 0.4530   | 0.2123   | 0.0989   | 0.2779   | 423.5751             |                   |
| 35. | 0.9700   | 0.4300   | 0.1653   | 0.0103   | 0.1268   | 423.5751             |                   |
| 36. | 1.0600   | 0.4400   | 0.2908   | 0.1388   | 0.3801   | 423.5751             |                   |
| 37. | 1.0900   | 0.5100   | 0.1553   | 0.0059   | 0.0138   | 423.5751             |                   |
| 38. | 1.1500   | 0.5700   | 0.2162   | 0.1486   | 0.1755   | 423.5751             |                   |

To avoid data singularity, the input data of the neural network needed to be normalized:

$$x' = \frac{x - x_{\min}}{x_{\max} - x_{\min}},$$
 (Eq 17)

where x is the original data and  $x_{max}$  and  $x_{min}$  are the maximum and minimum values of the data, respectively. Similarly, the output of the trained neural network needed to be normalized:

$$l' = \frac{l - l_{\min}}{l_{\max} - l_{\min}},\tag{Eq 18}$$

where l' is the normalized output value and  $l_{max}$  and  $l_{min}$  are the maximum and minimum values of the original output data.

The Levenberg–Marquardt algorithm was used for the training in Eq 12. During the training process, the log-sigmoid function was used for the activation functions between layers. The log-sigmoid function formula is as follows:

$$g(x) = \frac{1}{1 + e^{-x}}.$$
 (Eq 19)

# 4. Physical Model Based on Data-Driven Analysis and Experimental Validation

The combination of a physical model with a data-driven analysis was a key component of this study. The data came from both the PEM and the FSW experiment. Because the variations in the mechanical properties were directly related to the PEM, the mechanical changes under different conditions could be explained in theory. A comparison of the experimental and numerical results could validate the proposed physical model based on a data-driven analysis. Because the welding temperature determines the microstructural evolutions, both the peak temperature and mechanical property were predicted by the physical model based on the data-driven analysis. The weight and thresholds in the ANN model of the peak welding temperature were trained by experimental data from the literature (Ref 42-50), as shown in Table 2. The weight and threshold values in the ANN model of the mechanical property were trained by both experimental data and the PEM results, as shown in Tables 3 and 4.

| Table 4 | Output data | for training | ANN model o | f mechanical | properties |
|---------|-------------|--------------|-------------|--------------|------------|
|---------|-------------|--------------|-------------|--------------|------------|

| Number | Centerline, HV | <i>D</i> /4, HV | <i>D</i> /2, HV | Ref.              |
|--------|----------------|-----------------|-----------------|-------------------|
| 1.     | 80.90          | 73.08           | 82.87           | 51                |
| 2.     | 80.34          | 82.24           | 65.59           | 52                |
| 3.     | 56.32          | 58.04           | 70.55           | 53                |
| 4.     | 66.28          | 69.64           | 54.65           | 54                |
| 5.     | 89.26          | 97.94           | 105.59          | 55                |
| 6.     | 74.75          | 75.33           | 65.33           | 56                |
| 7.     | 79.48          | 73.94           | 83.82           | 57                |
| 8.     | 62.59          | 60.84           | 70.45           | 58                |
| 9.     | 69.01          | 70.38           | 72.82           | 59                |
| 10.    | 77.76          | 83.48           | 70.45           | 60                |
| 11.    | 76.52          | 79.32           | 76.71           | 61                |
| 12.    | 77.38          | 83.67           | 73.19           | 57                |
| 13.    | 76.57          | 76.05           | 73.99           | 62                |
| 14.    | 72.06          | 74.12           | 87.53           | 63                |
| 15.    | 84.02          | 78.34           | 85.44           | 64                |
| 16.    | 71.82          | 73.79           | 84.85           | 65                |
| 17.    | 77.98          | 84.85           | 85.58           | 66                |
| 18.    | 83.04          | 83.53           | 77.06           | 67                |
| 19.    | 83.76          | 79.79           | 74.23           | 68                |
| 20.    | 83.94          | 85.80           | 99.86           | Calculated by PEM |
| 21.    | 78.07          | 79.44           | 90.64           | 5                 |
| 22.    | 68.41          | 69.76           | 80.74           |                   |
| 23.    | 68.60          | 69.72           | 83.17           |                   |
| 24.    | 70.69          | 72.32           | 88.78           |                   |
| 25.    | 74.58          | 76.64           | 96.86           |                   |
| 26.    | 68.27          | 70.03           | 88.27           |                   |
| 27.    | 65.20          | 66.77           | 82.48           |                   |
| 28.    | 74.97          | 75.87           | 92.53           |                   |
| 29.    | 68.85          | 69.64           | 87.55           |                   |
| 30.    | 66.76          | 68.42           | 85.59           |                   |
| 31.    | 63.50          | 65.04           | 80.65           |                   |
| 32.    | 64.70          | 66.27           | 82.13           |                   |
| 33.    | 81.08          | 82.94           | 97.03           |                   |
| 34.    | 60.87          | 62.36           | 76.99           |                   |
| 35.    | 64.44          | 66.08           | 82.95           |                   |
| 36.    | 56.00          | 57.24           | 69.26           |                   |
| 37.    | 68.70          | 69.67           | 84.91           |                   |
| 38.    | 64.41          | 66.40           | 88.69           |                   |



Fig. 3 Mg and Si contents in 6xxx series Al alloys

The contents of the Mg and Si in the 6xxx series Al alloys are shown in Fig. 3. Under the same welding conditions, the Mg, Si, and other elements could be different for the same materials. This was the primary reason that the final mechanical property could be different even after FSW for the same material under the same welding conditions. Therefore, the effects of the chemical compositions on the final mechanical properties must be clarified.

The peak temperature measured by the IRT system is 430.3 °C, as shown in Fig. 4(a). The peak temperature predicted by the ANN model is 423.6 °C, and the calculated peak temperature by finite element model (FEM) is 426.3 °C. Compared with the experimental data, the errors of the peak temperature predicted by ANN model and FEM are 1.56% and 0.93%, respectively. The comparison shows the validity of the FEM and ANN model.

We measured the chemical compositions of the three specimens by EDS, as shown in Fig. 5(a-c). The test data from the Vickers hardness tester were compared with the data of the trained ANN model, as shown in Fig. 5(d). The locations for the hardness measurements were 0 mm, D/4, and D/2 from the centerline. The errors between the experimental data and the ANN model ranged from 0.49 to 6.46%. The comparison demonstrated the validity of both the established and trained ANN models with respect to hardness.



Fig. 4 Comparison of experimental and numerical temperature fields. (a) Experimental temperature field and (b) numerical temperature field



Fig. 5 Chemical compositions measured by EDS and comparison between experimental and numerical results



Fig. 6 Effect of Cu on mechanical properties. (a) Comparison of yield strength in different Cu contents predicted by ANN model and PEM. (b) Effect of Cu content on  $\sigma_0$ ,  $\sigma_s$  and  $\sigma_p$ 

### 5. Results and Discussion

The contribution of various elements in the solid solution to the yield strength ( $\sigma_{ss}$ ) as well as the contribution of the precipitates to the yield strength ( $\sigma_p$ ) could be calculated using the PEM. A linear relation between the hardness and yield strength was found using the following equation (Ref 26):

$$\sigma_v = 3.03 \,\mathrm{HV} - 48.48.$$
 (Eq 20)

Because of this linear relation, the yield strength could be calculated based on the predicted hardness by the data-driven model. Moreover, the yield strength could be predicted by the PEM, according to Eq 11. This allowed for the opportunity to connect data with the physical model. As indicated by Eq 11, the yield strength included contributions from pure aluminum  $(\sigma_0)$ , precipitates, and other elements in the solid solution, among which the latter two were the main contributors to the yield strength in the 6xxx series Al alloys. The yield strength corresponded with different Cu contents in which the contents of Mg, Si, Fe, and Mn remained unchanged in the 6xxx series Al alloys, as shown in Fig. 6. The yield strength that was calculated based on the data-driven model was the same as the yield strength calculated by the PEM. The contributions of the pure aluminum and two strengthening mechanisms to the yield strength are shown in Fig. 6(b). With a 0.2 to 0.4 wt.% increase in the Cu content,  $\sigma_{SS}$  increased from 39.56 to 48.80 MPa, and  $\sigma_{\rm p}$  remained unchanged. The contribution of the solid solution to the yield strength increased from 24.67 to 28.78%. On the other hand, the contribution of the precipitates to the yield strength decreased from 69.09 to 65.33%. The Cu element only existed in the solid solution and did not affect the nucleation or dissolution of the Mg<sub>2</sub>Si. Increasing or decreasing the Cu content only affected a change in  $C_{Cu}$  of Eq 9.

The effects of the Mg and Si contents on the mechanical properties in higher Si contents are shown in Fig. 7. With a 1.0 to 1.2 wt.% increase in the Si content,  $\sigma_p$  remained unchanged, as shown in Fig. 7(b). The contribution of the solid solution to the yield strength increased from 21.81 to 27.06%, and the yield strength increased 7.18%. Under ideal conditions in which the relationship between the Mg and Si in the 6xxx series

aluminum alloys was  $C_{Mg} / C_{Si}^{eff} = 1.732$ , the Mg and Si could completely react to form Mg<sub>2</sub>Si, and no separate Mg and Si are remaining in matrix. When the Si content was higher, a part of the remaining Si existed in the solid solution after all the Mg combined with the Si to generate Mg<sub>2</sub>Si particles. The relationship between the Mg and Si contents was  $C_{Mg}/C_{Si}^{eff} < 1.732$ . This was the reason that  $\sigma_p$  remained unchanged when the Si content increased, as in the case of higher Si content.

Figure 7(d) shows the corresponding  $\sigma_p$  and  $\sigma_{ss}$  when the Si content remained unchanged and the Mg content was increased from 1.0 to 1.2 wt.%. With an increase in Mg, the formation of Mg<sub>2</sub>Si led to a decrease in the Si content in the solid solution, and  $\sigma_{\rm ss}$  decreased from 40.32 to 29.31 MPa. Throughout this process, the nucleation rate was frequently affected by the temperature and mean solute content in the solid solution, as shown in Eq 3. With an increase in Mg, the mean solute content of Mg in the solid solution increased accordingly, and the nucleation rate also increased. This typically caused further generation of precipitate  $Mg_2Si$  particles. Figure 7(e) shows the particle number distribution of the Mg2Si particles corresponding to the different contents of Mg. The particle number distribution corresponding to the three contents was notably similar from approximately 0 nm to 5 nm. These particles prevented dislocation motion by dislocation shear. From 5 to 12.5 nm, we observed that the number of particles corresponding to the different radii increased with an increase in Mg content. Because of the large sizes of these particles, when the dislocations interacted with the particles, the dislocations followed the Orowan mechanism and produced dislocation rings around the particles. Additionally,  $\sigma_{\rm p}$  increased from 134.54 to 154.23 MPa when larger particles were produced with an increase in Mg content.

The effects of Mg and Si on the mechanical properties in higher Mg contents are shown in Fig. 8. When the Mg content increased,  $\sigma_p$  remained unchanged. However,  $\sigma_{ss}$  increased slightly owing to the increase in Mg content in the solid solution. The contribution of the solid solution to the yield strength increased from 44.74 to 46.28%, and the yield strength increased 2.88%. When the Mg remained unchanged at 1.0 wt.% and the Si increased from 0.4 to 0.6 wt.%,  $\sigma_{ss}$ 



Fig. 7 Effects of Mg and Si on mechanical properties in higher Si contents



(a) Comparison of yield strength in different Mg contents predicted by ANN and PEM



(b) Effect of Mg content on  $\sigma_0$ ,  $\sigma_s$  and  $\sigma_p$ 









Fig. 8 Effects of Mg and Si on mechanical properties in higher Mg contents



(a) Comparison of yield strength in different Fe contents in higher Si contents



(b) Effect of Fe content on  $\sigma_0$ ,  $\sigma_s$  and  $\sigma_p$  in higher Si contents



contents in higher Mg contents

Fig. 9 Effect of Fe on mechanical properties

| Table 5 | Summary | of cher | nical com | position | effects | on m | echanical | prop | perties |
|---------|---------|---------|-----------|----------|---------|------|-----------|------|---------|
|         |         |         |           |          |         |      |           |      |         |

| Element                      | Element content,<br>wt.% | Contribution of solid solution to yield strength, % | Contribution of precipitates to yield strength, % | Yield strength<br>changes |
|------------------------------|--------------------------|---|---|---------------------------|
| Cu                           | $0.2 \rightarrow 0.4$    | $24.67 \rightarrow 28.78$                           | $69.09 \rightarrow 65.32$                         | ↑ 5.76%                   |
| Si (excessive Si content)    | $1.0 \rightarrow 1.2$    | $21.81 \rightarrow 27.06$                           | $72.78 \rightarrow 67.89$                         | ↑ 7.18%                   |
| Mg (excessive Si content)    | $1.0 \rightarrow 1.2$    | $21.81 \rightarrow 15.14$                           | $72.78 \rightarrow 79.69$                         | ↑ 4.70%                   |
| Si (excessive Mg content)    | $0.4 \rightarrow 0.6$    | $44.74 \rightarrow 24.64$                           | $48.31 \rightarrow 69.91$                         | ↑ 27.56%                  |
| Mg (excessive Mg<br>content) | $1.0 \rightarrow 1.2$    | $44.74 \rightarrow 46.28$                           | $48.31 \rightarrow 46.95$                         | ↑ 2.88%                   |
| Fe (excessive Si<br>content) | $0.1 \rightarrow 0.5$    | $28.73 \rightarrow 25.49$                           | $66.02 \rightarrow 69.03$                         | ↓ 4.35%                   |
| Fe (excessive Mg<br>content) | $0.1 \rightarrow 0.5$    | $25.72 \rightarrow 34.55$                           | 68.68 → 59.03                                     | ↓ 12.79%                  |

decreased from 64.31 to 45.18 MPa and  $\sigma_{\rm p}$  increased from 69.44 to 128.20 MPa. As the Si content increased, additional Mg<sub>2</sub>Si particles were generated, as shown in Fig. 8(e). With an increase in Si, more precipitate particles were generated, resulting in a decrease in  $C_{\rm Mg}$ . The contribution of the solid solution to the yield strength decreased from 44.74 to 24.64%, and the contribution of the precipitates to the yield strength increased from 48.31 to 69.90%.

When the Fe increased from 0.1 to 0.5 wt.% and the Si content was higher, the yield strength decreased slightly, as shown in Fig. 9(a). Furthermore,  $\sigma_{ss}$  decreased from 54.76 to 46.47 MPa, and  $\sigma_{\rm p}$  remained unchanged, as shown in Fig. 9(b). When the Mg content was higher,  $\sigma_{ss}$  increased from 45.92 to 53.80 MPa and  $\sigma_p$  decreased from 122.63 to 91.92 MPa, as shown in Fig. 9(d). Increasing Fe promoted the generation of the intermetallic compound Al<sub>x</sub>(FeMn)<sub>y</sub>Si<sub>z</sub>, which was observed in Ref 69. Because of the relatively larger size of this compound, it did not directly contribute to the material strengthening. However, as indicated by Eq 10, the content of effective Si in the solid solution was affected during the formation process of the compound Al<sub>x</sub>(FeMn)<sub>v</sub>Si<sub>z</sub>. Moreover, the formation of Mg<sub>2</sub>Si was affected because of a decrease in the effective Si content. Therefore, the Mg content in the solid solution could be increased, leading to an overall increase in the contribution of the solid solution to the yield strength.

Table 5 is a summary of the effects of chemical compositions on the mechanical properties. It was determined that the precipitates played a key role in the yield strength within a reasonable range of chemical compositions. Although an increase in Mg improved the contribution of the precipitates to the yield strength when the content of Si was higher, it also reduced the contribution of the solid solution to the yield strength. The yield strength was improved more effectively by increasing the Si. When the Mg content was higher, an increase in Si improved the yield strength by up to 27.56%. However, when the Si was higher in content, an increase in Mg only improved the yield strength by up to 4.7%.

## 6. Conclusion

- 1. In the 6xxx series Al alloys, increased Cu content improved the contribution of the solid solution to the yield strength. Meanwhile, the contribution of the precipitates to the yield strength was not affected by a change in Cu content.
- 2. When the Si content was higher, an increase in Si content improved the contribution of the solid solution to the yield strength. With an increase in Mg content, the contribution of the solid solution to the yield strength increased and the contribution of the hardening precipitates to the yield strength decreased.
- 3. When the content of Mg was higher, increasing the Si content improved the contribution of the hardening precipitates to the yield strength and the contribution of the solid solution to the yield strength decreased. The increase in Mg content improved the contribution of the solid solution to the yield strength; however, it did not affect the contribution of the hardening precipitates to the yield strength.
- 4. When the content of Si was higher, an increase in Fe content reduced the contribution of the solid solution to

the yield strength. When the Mg content was higher, increasing the Fe content reduced the contribution of the hardening precipitates to the yield strength and increased the contribution of the solid solution to the yield strength.

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### Data Availability Statement

The raw/processed data required to reproduce these findings cannot be shared at this time because of technical or time limitations.

### **Conflict of interest**

The authors declare that they have no conflicts of interest.

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