







## Trends in major and minor meteorological variables and their influence on reference evapotranspiration for mid Himalayan region at east Sikkim, India

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**Abstract:** Estimation of evapotranspiration (ET) for mountain ecosystem is of absolute importance since it serves as an important component in balancing the hydrologic cycle. The present study evaluates the performance of original and location specific calibrated Hargreaves equation (HARG) with the estimates of Food and Agricultural Organization (FAO) Penman Monteith (PM) method for higher altitudes in East Sikkim, India. The results show that the uncalibrated HARG model underestimates  $ET_o$  by  $0.35 \text{ mm day}^{-1}$  whereas the results are significantly improved by regional calibration of the model. In addition, this paper also presents the variability in the trajectory associated with the climatic variables with the changing climate in the study site. Non-parametric Mann-Kendall (MK) test was used to investigate and understand the mean monthly trend

of eight climatic parameters including reference evapotranspiration ( $ET_o$ ) for the period of 1985 - 2009. Trend of  $ET_o$  was estimated for the calculations done by FAO PM equation. The outcomes of the trend analysis show significant increasing ( $p \leq 0.05$ ) trend represented by higher Z-values, through MK test, for net radiation ( $R_n$ ), maximum temperature ( $T_{\max}$ ) and minimum temperature ( $T_{\min}$ ), especially in the first months of the year. Whereas, significant ( $0.01 \geq p \leq 0.05$ ) decreasing trend in vapor pressure deficit (VPD) and precipitation ( $P$ ) is observed throughout the year. Declining trend in sunshine duration, VPD and  $ET_o$  is found in spring (March - May) and monsoon (June - November) season. The result displays significant ( $0.01 \leq p \leq 0.05$ ) decreasing  $ET_o$  trend between (June - December) except in July, exhibiting the positive relation with VPD followed by sunshine duration at the station. Overall, the study emphasizes the importance of trend analysis of  $ET_o$  and other climatic

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variables for efficient planning and managing the agricultural practices, in identifying the changes in the meteorological parameters and to accurately assess the hydrologic water balance of the hilly regions.

**Keywords:** Reference evapotranspiration ( $ET_o$ ); Climatic variables; Trend analysis; Mann-Kendall's test; Monthly variation; East Sikkim hilly region

## Introduction

Climate variability along with its consequence on hydrologic cycle and water distribution is of extreme concern, due to their conjoint relationship on each other and therefore it is being considered as the topic of interest in recent research trend (Schwartz and Randall 2003). Under global warming, climate change is expected to alter the hydrological cycle and all its components including evapotranspiration ( $ET_o$ ) at both global and regional scale (Jung et al. 2010). In the past few decades, the global mean surface temperature has increased substantially up to  $0.12^\circ\text{C}$  in 2012 relative to mean temperature of 1951, furthermore it is projected to increase by  $0.3^\circ\text{C}$  -  $0.7^\circ\text{C}$  in 2035 under different emission scenarios (Stocker et al. 2013). Consequently, climatic variables which have strong direct and implicit relation with the temperature such as vapour pressure deficit (VPD), sunshine duration, evaporation ( $E$ ), evapotranspiration ( $ET_o$ ), precipitation ( $P$ ), wind speed and relative humidity ( $R_H$ ) are also considerably affected. More than 50% of solar energy which is absorbed by earth surface is used for evaporating the water (Trenberth et al. 2009). Since  $ET_o$  is directly linked to these climatic variables, alteration in these variables along with increased temperature can significantly influence the trend and magnitude of  $ET_o$  (Irmak et al. 2012).

$ET_o$  is an essential component in hydrologic water balance which plays a key role in estimation of crop water requirement, irrigation and drainage design, reservoir operation and potential rain-fed agricultural production (Dinpashoh 2006; Todorovic et al. 2013). Thus, an accurate estimation of  $ET_o$  is crucial to investigate the changes in the meteorological variables as well as for better understanding and projecting the impact of these changes on the water resources. Several

studies investigated the changes in climatic variables and their influence on the changing trend of  $ET_o$  (Chattopadhyay and Hulme 1997; Zhang et al. 2007; Grundstein 2008; Elnesret al. 2010). Declining trend of  $ET_o$  is attributed to decrease in maximum temperature and precipitation (Moonen et al. 2002), whereas sunshine duration and wind speed considered as the principle cause affecting  $ET$  in some studies (Gao et al. 2007).

A large number of empirical and semi-empirical methods have been used in calculating  $ET_o$  in various studies. However Penman-Monteith (PM) equation for estimating  $ET_o$ , proved well in numerous studies and has been applied globally (Smith 2000; Kashyap and Panda 2001; Temesgen et al. 2005; Allen et al. 2006; Irmak et al. 2012; Todorovic et al. 2013). The determination of  $ET_o$  using FAO PM method does not involve any regional or local calibration given that all physiological and aerodynamic parameters are available and also has been validated at different environments using accurate lysimeter measurements (Berti et al. 2014). It is a physical process based approach where the calculation requires numerous data including air temperature, humidity, wind speed, solar radiation and minor variables such as soil heat flux and vapor pressure deficit. No doubt that in the developing nations these data are not available and specifically in the mountain ecosystem it is incomprehensible to have a good network of meteorological stations. Considering all these factors, Allen et al. (1998) suggested the use of Hargreaves (HARG) equation for estimating  $ET_o$  in data sparse regions. The HARG equation (Hargreaves and Samani 1985) is a temperature based model which estimates the  $ET_o$  while considering the maximum and minimum temperature along with the extra-terrestrial solar radiation.

The HARG model has been applied at various regions of the world (Berti et al. 2014; Pandey et al. 2014; Murugappan et al. 2011; Hargreaves and Allen 2003; Droogers and Allen 2002) due to its simplicity however; the original HARG method has failed to perform well at many regions relative to the FAO PM method. For instance, in the cold humid regions, HARG method overestimated the  $ET_o$  over FAO PM method (Trajkovic 2007; Itenfisu et al. 2003). On contrary, for the hot and humid regions,  $ET_o$  was underestimated

(Sivaprakasam et al. 2011) along with the coastal regions (Bautista et al. 2009). Furthermore, literature suggests that a comprehensive study considering the comparison of the FAO PM and the original HARG method and local calibration has not been applied in the Himalayan ecosystem and therefore it is of utmost importance given the existence of data limited conditions in the region.

Despite the progress in studies indicating the changing trend and magnitude of climatic variables including  $ET_o$ , a precise understanding of the climatic characteristics of the mountain region is still limited. This is due to dearth of observations adequately distributed in time and space as well as insufficient theoretical attention given to the complex interaction of weather and climate phenomena in mountains at spatial scales (Beniston et al. 1994). For instance, Sikkim is a small mountainous state of North-East (NE) India, highly vulnerable to the water-induced disasters such as glacial lake outbursts resulting in devastating flash floods, because of its location in the eastern Himalayan periphery and its economic underdevelopment (Debnath et al. 2012; Jain et al. 2013). Studies also show an abrupt change in the climatic parameters in the hilly region (Gordon et al. 2008). Jhajharia et al. (2009) found the alteration in the pan evaporation due to changing sunshine duration and wind speed. Any changes to an already fragile environment may pose severe threat to the natural and social-economic system as well as affects the geomorphologic process of the region (Gautam et al. 2003; Marchenko et al. 2007; Hongfeng et al. 2009). Therefore, there is an urgent need for a special attention to be given, to these mountain ecosystems, in particular with respect to the possible impact of the climate change. In order to ascertain statistically significant trends in the climatic variables, Mann-Kendall (MK) test (Mann 1945; Kendall 1975) has been used progressively at various regions at global and regional scale (Yue and Hashino 2003; Burn et al. 2004; Hongfeng et al. 2009; Kumar and Jain 2011; Jain et al. 2013).

The present study focuses on the changing pattern of the climate in the hilly region with an objective to analyse the trend of the climatic variables, directly and indirectly influencing the trend of the  $ET_o$ . Furthermore, keeping in view the data limited estimation of  $ET_o$ , the present study also presents a comprehensive comparison of the

uncalibrated and locally calibrated HARG equation with the FAO PM method. In particular, the trend of the meteorological parameter indicates the changing climate, which strongly governs the hydrologic cycle of the mountainous region and is highly important for the agricultural activities.

## 1 Materials and Methods

### 1.1 Study area description

The present study was conducted for East Sikkim district of Sikkim state of India with latitude and longitude ranging from 27°11' to 27°44'N and 88°29' to 88°48'E respectively. The selected meteorological station is located at a latitude and longitude of 27°37'N and 88°37'E in NE of India with an elevation of 1370 m above sea level (Figure 1). Due to its location in the Eastern Himalayas, the climate ranges between subtropical to high alpinines. However, the region also experiences the humid hot summer, severe monsoon and mild winter at lower elevations. The mean annual temperature ranges between 9°C -

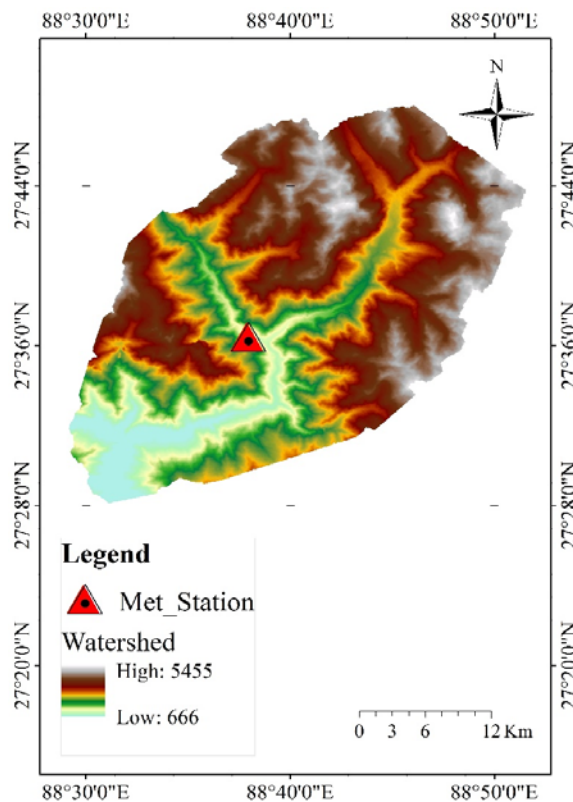


Figure 1 Map showing location of study area.

24°C and the rainfall varies from 2200 – 3900 mm in the region (Deb et al. 2015). The region is also drained by river Brahmaputra and its tributaries, with an average discharge of approximately 19,200 m<sup>3</sup> s<sup>-1</sup> (Jain et al. 2013).

Although, more than 60% of the land in NE India is covered by forests yet, agricultural activities dominate the region. The farmers of Sikkim generally grow the fruit crops in the upper elevations followed by cereal crops like rice and maize in middle and lower elevations respectively. The productivity of the crop also depends on the available moisture and nutrients in the soil. The soil texture varied from sandy loam to Clay, influencing the water holding and water retention properties of the soil in the study area (Deb et al. 2014).

### 1.2 Meteorological data

The climatic data was obtained from the Indian Council of Agricultural Research (ICAR) for NE hilly region of India. The daily time series of the meteorological data extending for the period of 25 years (1985-2009) includes eight variables namely, rainfall (*P*), relative humidity (*R<sub>H</sub>*), maximum temperature (*T<sub>max</sub>*), minimum temperature (*T<sub>min</sub>*), sunshine duration (hr), net radiation (*R<sub>n</sub>*), soil heat flux (*G*) and vapor pressure deficit (*VPD*). Missing data of all the meteorological variables ranging from January to October 2000 contributing to 3.33% of the total data for the station was filled by developing regression equations using Statistical Package for Social Sciences (SPSS) and Microsoft Excel among the observed values and the global gridded dataset obtained from National Oceanic and Atmospheric Administration (<http://www.esrl.noaa.gov/psd/data/gridded/>).

### 1.3 ETo estimation

The FAO PM equation was used to estimate the standard *ET<sub>o</sub>* (mm day<sup>-1</sup>) for the study station. The equation was given by Allen et al. (1998) as below;

$$ET_{O,PM} = \frac{0.408\Delta(R_n - G) + \gamma\left(\frac{900}{t + 273}\right)u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (1)$$

Where, *ET<sub>o,PM</sub>* is the reference evapotranspiration in mm day<sup>-1</sup>,  $\Delta$  is the slope of the saturation vapor pressure function (kPa °C<sup>-1</sup>), *R<sub>n</sub>* is the net radiation at the crop surface (MJ m<sup>-2</sup> day<sup>-1</sup>), *G* soil heat flux density (MJ m<sup>-2</sup> day<sup>-1</sup>), *T* the air temperature at 2 m height (°C), *u<sub>2</sub>* the wind speed at 2 m height (m s<sup>-1</sup>), *e<sub>s</sub>* the vapor pressure of the air at saturation (kPa), *e<sub>a</sub>* the actual vapor pressure (kPa) and  $\gamma$  is the psychrometric constant (kPa °C<sup>-1</sup>), *g* may be ignored for daily time step computation. *R<sub>n</sub>* was estimated from total incoming solar radiation measurements as given in Eq 2.

$$R_n = \frac{12(60)}{\pi} G_{sc} d_r [(\omega_2 - \omega_1) \sin\varphi \times \sin\delta + \cos\varphi \times \cos\delta \times (\sin\omega_2 - \sin\omega_1)] \quad (2)$$

Where, *R<sub>n</sub>* is the extra-terrestrial radiation in the hour (or shorter) period in MJ m<sup>-2</sup> hour<sup>-1</sup>, *G<sub>sc</sub>* is solar constant = 0.0820 MJ m<sup>-2</sup> min<sup>-1</sup>, *d<sub>r</sub>* is inverse relative distance between earth and sun calculated as in Eq. 3,  $\delta$  is solar declination as in Eq. 4,  $\varphi$  is the latitude of the location in radian,  $\omega_1$  and  $\omega_2$  are the solar time angle at the beginning and end of the period respective which is calculated as Eqs. 5 and 6.

$$d_r = 1 + 0.033\cos\left(\frac{2\pi}{365}J\right) \quad (3)$$

$$\delta = 0.409\sin\left(\frac{2\pi}{365}J - 1.39\right) \quad (4)$$

$$\omega_1 = \omega - \frac{\pi t_1}{24} \quad (5)$$

$$\omega_2 = \omega + \frac{\pi t_1}{24} \quad (6)$$

Where,  $\omega$  is the solar time angle at midpoint of hourly or shorter period in radians and is calculated as in Eq. 7, *t<sub>1</sub>* is the length of the calculation period in hours

$$\omega = \frac{\pi}{12} [(t + 0.06667(L_z - L_m) + S_c) - 12] \quad (7)$$

Where, *t* is the standard clock time at the midpoint of the period in hour, *L<sub>z</sub>* is the longitude of the centre of the local time zone [degrees west of Greenwich], *L<sub>m</sub>* is longitude of the measurement site [degrees west of Greenwich], *S<sub>c</sub>* is seasonal correction for solar time in hours and is calculated as in Eq. 8.

$$S_c = 0.1645\sin(2b) - 0.1255\cos(b) - 0.025\sin(b) \quad (8)$$

$$b = \frac{2\pi(J-81)}{364} \quad (9)$$

Where, *J* is number of days in the year.

In this paper, FAO PM method was used as a replacement for the measured *ET<sub>o</sub>* data and it is

considered as the standard procedure in the absence of the lysimeter data (Trajkovic 2007).

The daily  $ET_o$  using HARG equation was calculated as following Eq. 10 given by Hargreaves et al. (1985).

$$ET_{0,Harg} = H_A \times R_e(T + 17.8) \times \Delta T^{H_E} \quad (10)$$

Where,  $ET_{0,Harg}$  represents the reference evapotranspiration estimated by HARG method in mm day<sup>-1</sup>,  $H_A$  and  $H_E$  are the empirical parameters (standard values at global scale:  $H_A = 0.0023$  and  $H_E = 0.5$ ),  $R_e$  is the water equivalent of the terrestrial radiation (mm day<sup>-1</sup>),  $T$  is the mean temperature ( $(T_{min}+T_{max})/2^\circ\text{C}$ ) and  $\Delta T$  is the difference between  $T_{max}$  and  $T_{min}$ .  $R_e$  was calculated according to Shuttleworth (1993) and is given in Eq. 11.

$$R_e = 15.392d_r(\omega_s \sin\phi \sin\delta + \cos\phi \cos\delta \sin\omega_s) \quad (11)$$

Where,  $d_r$  is inverse relative distance between earth and sun calculated as in Eq. 3,  $\delta$  is solar declination as in Eq. 4,  $\phi$  is the latitude of the site,  $\omega_s$  is the sunset hour angle in radians and is calculated as in Eq. 12.

$$\omega_s = \arccos[-\tan\phi \tan\delta] \quad (12)$$

The first set of  $ET_o$  estimation by the HARG equation was done by the globally assessed values of  $H_A$  and  $H_E$  which are mentioned above. The regional calibration was done by manual calibration process in which 32 iterations were done considering the monthly average daily  $ET_o$  for the period of 1985 – 1999. The parameter values ( $H_A$  and  $H_E$ ) were altered independently keeping one as constant and changing the other to obtain the best fit curve [linear with maximum coefficient of determination (Eq. 13)] with the outputs calculated by FAO PM method. The iteration was started from the standard global values of  $H_A$  and  $H_E$ .

The mean daily  $ET_o$  calculated from FAO PM method was compared with those of calculated from the original (uncalibrated) HARG model for the period of 1985 – 2009 whereas, for the site specific calibrated HARG method, the comparison was done for the period of 2000 – 2009 since the data ranging from 1985 – 1999 was used for model calibration.

#### 1.4 Model output evaluation

The outputs of  $ET_o$  from the original and the

calibrated HARG method was compared to that of calculated by PM method based on the coefficient of determination ( $R^2$ ), mean bias error (MBE) and root mean square error (RMSE).

Coefficient of determination is defined as the square of correlation coefficient; it gives the proportion of variance of one variable that is predictable from the other variable as given by Willmott et al. (1985).

$$R^2 = \frac{\sum Si.Mi - \sum Si.\sum Mi}{\sqrt{\sum Si^2 - (\sum Si)^2} \times \sqrt{\sum Mi^2 - (\sum Mi)^2}} \quad (13)$$

MBE is used to indicate the over and underestimation of the model outputs given by Fekete et al. (2004) and is calculated as in Eq. 14.

$$MBE = \frac{1}{n} \sum_{i=1}^n (Si - Mi) \quad (14)$$

RMSE is used to test the goodness of fit and is dependent on the sample variance and sample size and is calculated as in Eq. 15.

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (Si - Mi)^2} \quad (15)$$

Where  $Si$  and  $Mi$  are the simulated values of  $ET_o$  by HARG method and the PM method respectively;  $n$  is the number of months considered (12).

#### 1.5 Trend analysis

The trends of eight meteorological variables were also investigated by using the non-parametric test method. Although both parametric and non-parametric tests are used most commonly in trend detection (Kundzewicz and Robson 2004; Zhang et al. 2007), but owing to the meteorological time series data that more often exhibit departure from the normality; the non-parametric tests are reasonably more suitable than parametric test (Khattak et al. 2011). Whereas, the parametric test generally assumes the normal distribution of data and whose power can be greatly reduced in case of skewed data. Hence, Mann-Kendall's test is considered as one of the most famous non parametric test for identifying the changing trend in the time series data of the meteorological parameters used in numerous case studies (Chen and Xu 2005; Pasquini et al. 2006; Burn and Hesch 2007). The test does not assume any prior knowledge of the distribution of the data, and is, therefore, more robust than the equivalent parametric tests (Honfeng et al. 2009). The Mann-Kendall test was performed to comprehend the

trajectory of the mean monthly data of meteorological parameters and reference evapotranspiration of the station. The test mainly considers rank of the data and therefore, compares each value of the time series with the remaining values in the sequential order (Hirsch et al. 1982). The test statistic  $S$  is given by the Eq. 16.

$$S = \sum_{k=1}^{n-1} \sum_{j=k+1}^n \text{sign}(x_j - x_k) = \begin{cases} +1 & \text{if } (x_j - x_k) > 0 \\ 0 & \text{if } (x_j - x_k) = 0 \\ -1 & \text{if } (x_j - x_k) < 0 \end{cases} \quad (16)$$

Where  $x_j$  and  $x_k$  are the sequential data values, and  $n$  is the length of the dataset. For a time series  $X = \{x_1, x_2, \dots, x_n\}$ , the null hypothesis  $H_0$  states that there are a sample of  $n$  independent and identically distributed random variables (Yu et al. 1993). The alternative hypothesis  $H_1$  of a two-sided test is that the distribution of  $x_k$  and  $x_j$  are not identical for all  $k, j \leq n$  with  $k \neq j$ . However, a positive value of  $S$  indicates an upward trend, and a negative value indicates a down-ward trend. With the assumption that the data are independent and identically distributed, the mean and variance of the  $S$  statistic in Eq. 16 above are given by Eq. 17.

$$V_0(S) = \frac{n \times (n-1) \times (2n+5)}{18} \quad (17)$$

Where,  $n$  is the number of observations. The existence of tied ranks (equal observations) in the data results in a reduction of the variance of  $S$  to become Eq. 18.

$$V_0(S) = \frac{n \times (n-1) \times (2n+5)}{18} - \frac{\sum_{j=1}^m t_j \times (t_j-1) \times (2t_j+5)}{18} \quad (18)$$

Where,  $m$  is the number of groups of tied ranks, each with  $t_j$  tied observations.

Furthermore, the significance of the test was also tested by calculating the standardized statistic test ( $Z$ ) in Eq. 19.

$$z = \begin{cases} \frac{S-1}{\sqrt{\text{Var}(S)}} & \text{if } S > 0 \\ 0 & \text{if } S = 0 \\ \frac{S+1}{\sqrt{\text{Var}(S)}} & \text{if } S < 0 \end{cases} \quad (19)$$

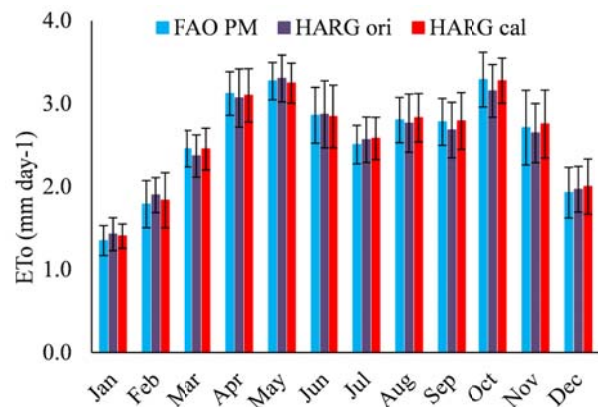
Where, ( $Z$ ) is the Mann-Kendall test statistic that follows a standard normal distribution with mean 0 and variance 1. The  $Z$  value can be related to a p-

value of a specific trend. A p-value is a measure of evidence against the null hypothesis of no change thus smaller the p-value, the greater the strength of evidence against the null hypothesis (Khattak et al. 2011). Consequently, a two-sided test for trend, at a selected significance level ( $\alpha$ ), the null hypothesis of no trend is rejected if the absolute value of  $Z$  is greater than  $Z_{\alpha/2}$ .

## 2 Results

### 2.1 FAO PM, original and calibrated HARG ETO

The average value to the  $ET_0$  calculated by FAO PM method ranges from 1.34 to 3.29 mm day<sup>-1</sup> and a mean value of 2.57 mm day<sup>-1</sup>, with a maximum standard deviation of 0.45 mm day<sup>-1</sup> for the month of November (Figure 2). On average, the uncalibrated HARG method underestimates the  $ET_0$  by 12.9% of average daily value. On contrary, the regional calibration of the HARG method yields to overestimation of  $ET_0$  by 4.1% on daily basis.



**Figure 2** Average estimated daily reference  $ET_0$  for all months using FAO PM, uncalibrated and calibrated HARG method for the study station.

In order to improve the estimation of the HARG equation, both parameters were calibrated for the study site. Calibration of  $H_A$  yields average value of 0.00201 whereas; the calibrated value of  $H_E$  reflects 0.521. The uncalibrated results obtained from HARG method illustrates very poor relation with  $R^2$  ranging from 0.45 to 0.74 and maximum RMSE of 0.27 mm day<sup>-1</sup> relative to the FAO PM method (Table 1). Furthermore, the  $ET_0$  estimated by the original HARG method implies

underestimation ranging from 0.01 to 0.11 mm day<sup>-1</sup>. It is also worth to note that the underestimation is mostly observed for the first half of the year followed by an overestimation ranging from 0.03 to 0.14 mm day<sup>-1</sup> for the latter half. Similarly, the RMSE ranged from 0.15 to 0.27 mm day<sup>-1</sup> with a repetition of 0.24 mm day<sup>-1</sup> observed for February, March and April indicating the higher variability on *ET<sub>o</sub>* estimation for the dry period. Also, lower *R*<sup>2</sup> is observed for the dry period (January to May) illustrating the inability of the model to capture the *ET<sub>o</sub>* at good accuracy. However, a better *R*<sup>2</sup> is observed for the latter half of the year ranging from 0.60 to 0.75. This is probably due to the failure of the model to apprehend the low values of *ET<sub>o</sub>* for the study site due to the physiological complexity of the area and the model structure simplicity. On contrast, the regional calibration resulted in modification of the *ET<sub>o</sub>* estimates. Greater magnitudes of *R*<sup>2</sup> (0.77 to 0.89) along with low values of MBE (0.02 to -0.07 mm day<sup>-1</sup>) and RMSE (0.11 to 0.18 mm day<sup>-1</sup>) are observed for the present study.

### 2.2 Trend analysis of major meteorological variables

The trend result of the climatic variables influencing the reference evapotranspiration (*ET<sub>o</sub>*) of the NE hilly region situated in the humid state Sikkim is given in the following sections. The Z value of the non-parametric Mann-Kendall's test reveals the series of trends in the meteorological variables for the period of 1985-2009.

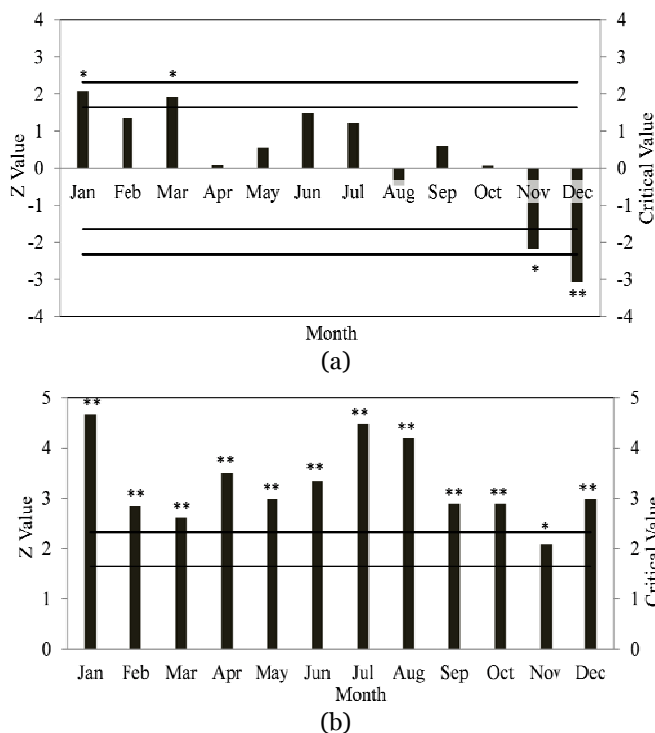
#### 2.2.1 Trend of air temperature

The Z value of mean monthly maximum air temperature shows significant ( $p \leq 0.05$ ) increasing trend for the month of January and March. In contrast the significant declining trend is observed in the month of November ( $p \leq 0.05$ ) and December ( $p \leq 0.01$ ) with z value ranges between -2.0 to -3.5, while rest of the month does not show any significant trend for the remaining months of the year (Figure 3a). This implies that the trend of maximum air

**Table 1** Performance evaluation of uncalibrated and calibrated HARG method of *ET<sub>o</sub>* calculation at monthly mean daily time step

Month	Uncalibrated			Calibrated		
	MBE (mm day <sup>-1</sup> )	RMSE (mm day <sup>-1</sup> )	<i>R</i> <sup>2</sup>	MBE (mm day <sup>-1</sup> )	RMSE (mm day <sup>-1</sup> )	<i>R</i> <sup>2</sup>
January	-0.08	0.16	0.52	-0.05	0.15	0.79
February	-0.11	0.24	0.45	-0.05	0.18	0.77
March	0.09	0.24	0.56	0.01	0.13	0.84
April	0.05	0.24	0.56	0.02	0.15	0.79
May	-0.03	0.19	0.61	0.02	0.13	0.82
June	-0.01	0.15	0.74	0.01	0.13	0.87
July	-0.05	0.22	0.62	-0.07	0.12	0.82
August	0.03	0.18	0.75	-0.02	0.11	0.84
September	0.09	0.20	0.69	-0.01	0.14	0.84
October	0.14	0.25	0.60	0.01	0.12	0.87
November	0.06	0.27	0.66	-0.04	0.15	0.89
December	-0.04	0.17	0.71	-0.07	0.15	0.84

**Notes:** MBE = mean bias error; RMSE =root mean square error.



**Figure 3** The trend of Z statistics for the mean monthly (a) maximum and (b) minimum temperature for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level; \*\* indicates significance at  $p \leq 0.05$  probability level).

temperature shows a minor increasing trend for the winter months for the period of 25 years. However, the mean monthly minimum air temperature indicates a significant rising (positive) trend at  $p \leq 0.01$  for every month of the year, with the Z value above 2.0 (Figure 3b). Thus the mean

monthly minimum air temperature illustrate increasing trend at the faster rate than the mean monthly maximum air temperature for the study site.

**2.2.2 Trend of sunshine duration**

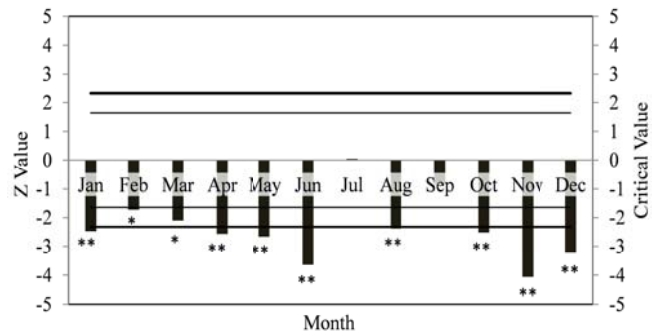
The sunshine duration is often used to estimate the solar radiation from the extra-terrestrial radiation. The observed mean monthly sunshine duration for every month of the year shows a decreasing trend throughout the year except for an insignificant positive trend in the month of July and negative in September. The decreasing trend is highly significant for most of the months except for February and March at  $p \leq 0.01$ , whereas maximum Z values are observed for the month of June (-3.64), November (-4.07) and December (-3.22) at a significance level of  $p \leq 0.05$ . Figure 4 indicates the significant decreasing trend in sunshine duration for 25 year of the period with the Z values ranging from -1.5 to -4.0.

**2.2.3 Trend of relative humidity**

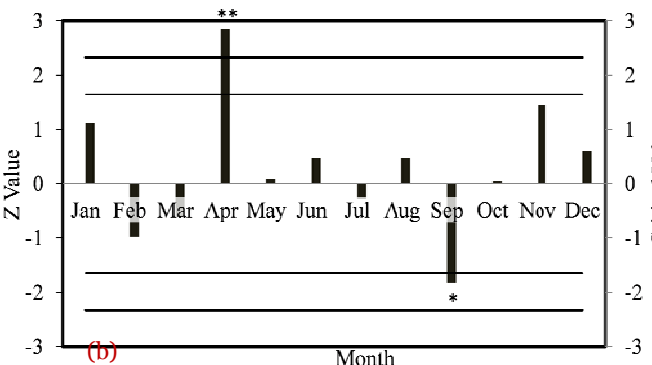
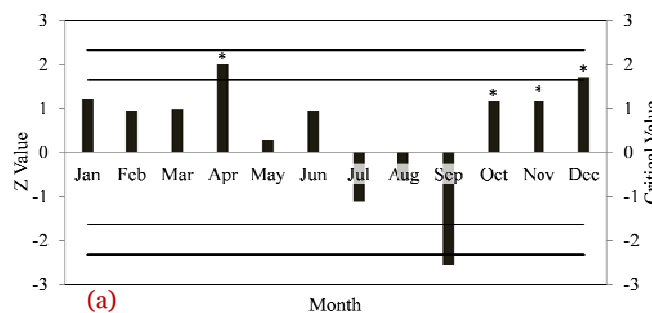
The result for maximum and minimum relative humidity also show highly significant increasing trends for the month of April (Z value > 2.0) and highly significant declining trend for September (Z value < -1.5) at  $p \leq 0.01$  for the minimum relative humidity; however for the maximum relative humidity no significant trend is observed. Figure 5a illustrates the non-significant increasing trend for most of the months except for April, October, November and December where a significant increasing trend is observed at a significance level of  $p \leq 0.01$  and no trend is observed for July and August. On the other hand, the minimum relative humidity shows significant increasing trend for the month of April at significance level of  $p \leq 0.05$  and decreasing trend at significance level of  $p \leq 0.01$  for the month of September; whereas, the remaining does not display any significant trend (Figure 5b).

**2.2.4 Trend in precipitation (P)**

Trend analysis of monthly precipitation indicates a significant ( $p \leq 0.01$ ) decrease in the rainfall for the month of January and increase for



**Figure 4** The trend of Z statistics for the mean monthly sunshine duration for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level; \*\* indicates significance at  $p \leq 0.05$  probability level).



**Figure 5** The trend of Z statistics for the mean monthly (a) maximum and (b) minimum relative humidity for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level; \*\* indicates significance at  $p \leq 0.05$  probability level).

the month of April with Z value greater 1.8. However, an insignificant declining trend is observed for the months of February, May and September with overall negative Z values (Figure 6). The month of March, June, July, August, October, November and December also exhibits the insignificant increasing trend (positive Z values). However, the Mann Kendall's test indicates the substantial increase and slight decrease in precipitation in the region whereas, the heavy



precipitation received in the month of January and April.

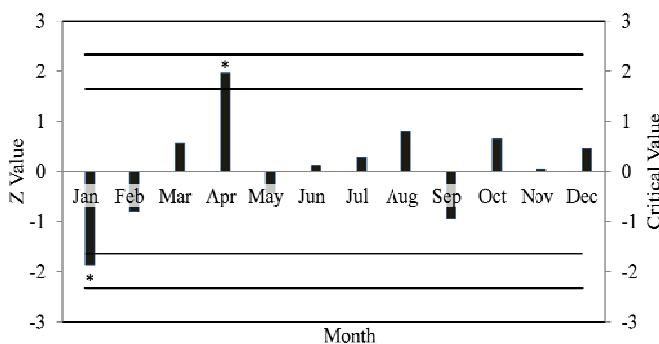
### 2.3 Trend analysis of the minor meteorological variables

#### 2.3.1 Trend of net radiation ( $R_n$ )

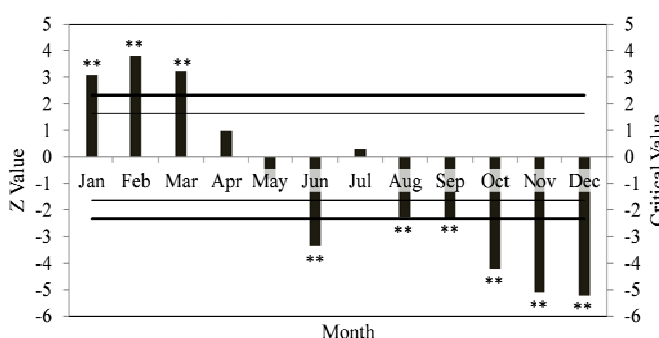
The net radiation, also known as the net flux shows the range of the difference in the incoming and outgoing radiation to and from the earth surface and the atmosphere. It is also termed as the total energy that can influence the climate of the region. In the present study, a significant declining trend in the net radiation is observed for most of the months in the latter half of the year (Figure 7). The declining trend is significant at  $p \leq 0.05$  for the month of June and August to December at  $0.01 \leq p \leq 0.05$ . The area also exhibits the highly significant increasing trend ( $p \leq 0.05$ ) of net radiation for the month of the January to March with their Z values greater than 3.0. Overall, the result indicates the decreasing trend in net radiation, consequently reducing the rate of evapotranspiration by altering the heat flux which has major contribution in altering evaporation of an area (Wales-Smith, 1980). This decrease in  $R_n$  also marks the onset of monsoon (south-west) from the month of May in the region. Although the area experiences cold and humid climate with rainfall throughout the year, the rainy season begins from the month of May and continues till October.

#### 2.3.2 Trend of the Vapor Pressure Deficit (VPD)

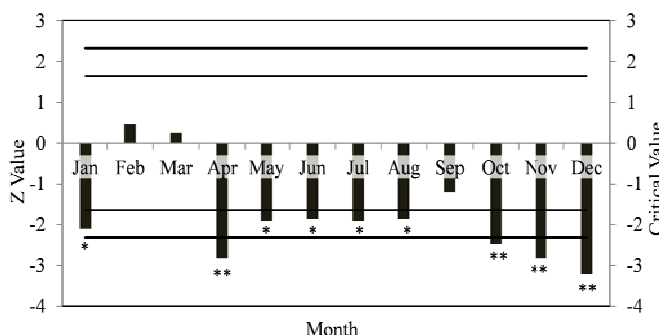
The rate of evapotranspiration has explicit relationship with VPD (Eq. 1). Hence, the increase and decrease in the VPD also influence the upward and downward trend of evapotranspiration. In the present study, VPD shows declining trend throughout the year (Figure 8). The significant decreasing ( $p \leq 0.05$ ) trend in VPD can be observed for most of the months except for February, March and September where no trend is observed. The substantial increase in the maximum relative humidity pragmatic at the meteorological station in East Sikkim can be attributed to the falling trend in the VPD since it



**Figure 6** The trend of Z statistics for the mean monthly precipitation for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level).



**Figure 7** The trend of Z statistics for the mean monthly Net Radiation ( $R_n$ ) for 25 years (1985-2009) (\*\* indicates significance at  $p \leq 0.05$  probability level).



**Figure 8** The trend of Z statistics for the mean monthly vapor pressure deficit for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level; \*\* indicates significance at  $p \leq 0.05$  probability level).

represents the moisture holding capacity of the air implying at saturation, VPD is reduced.

#### 2.3.3 Trend of the soil heat flux (G)

The soil heat flux (G) is a measure of the amount of energy moving into and out of the soil for a period of time. The obtained result illustrates the downward trend of G at  $p \leq 0.01$ , especially for the month of May and November (Figure 9).

However, no significant trend was observed for other months in the year. In general, the overall result implies the decreasing trend for most of the month with Man Kendall's Z value ranges between 0 to -1.7.

### 2.4 Trend analysis of reference evapotranspiration (ET<sub>o</sub>)

The study indicates a substantial decrease in the reference evapotranspiration (ET<sub>o</sub>) in the later part of the year. The months of June and August to December reveals a significant falling trend (Figure 10). More specifically, August and September attributes to declining trend in ET<sub>o</sub> at 99% confidence level however, the months of June, October, November and December shows the declining trend at 95% confidence level. There are several contributing factors namely relative humidity, sunshine duration, net radiation, VPD, maximum and minimum temperature affects the ET<sub>o</sub> trend as given in Eq. 1. In addition, the observed trend of precipitation affecting the water demand of the crops by altering the antecedent moisture content of the soil and therefore externally contributes to the observed trend of ET<sub>o</sub> as observed in our case. Thus, changes in these factors directly and indirectly affects the reference evapotranspiration in general. Higher increase in the temperature and the declining trend of rainfall for the months of February and March can be attributed to the increasing trend of ET<sub>o</sub> for the corresponding months.

### 3 Discussion

Sensitivity of regional climate to climate change in the mountainous region (Hongfeng et al. 2009; Nogues-Bravo et al. 2007), attracts attention of researchers at a global scale to conduct climate studies in higher altitudes. Recent observations of the regional climate change at various parts of the world have brought into consideration the need of local scale studies and the dependence of climate on elevation (Khattak et al. 2011; Bolch 2007). Studies from different regions of the world has illustrated contrasting results at various mountain regions with certain mountains showing increasing

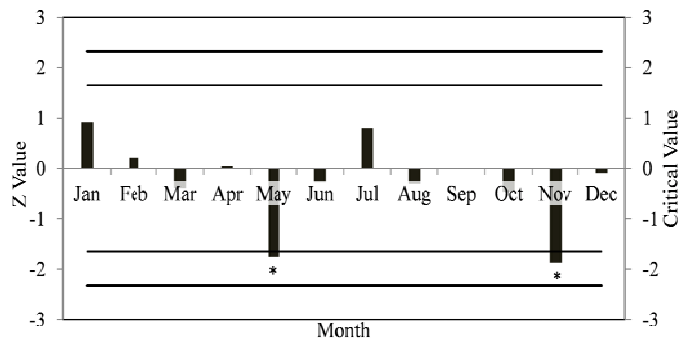


Figure 9 The trend of Z statistics for the mean monthly soil heat flux for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level).

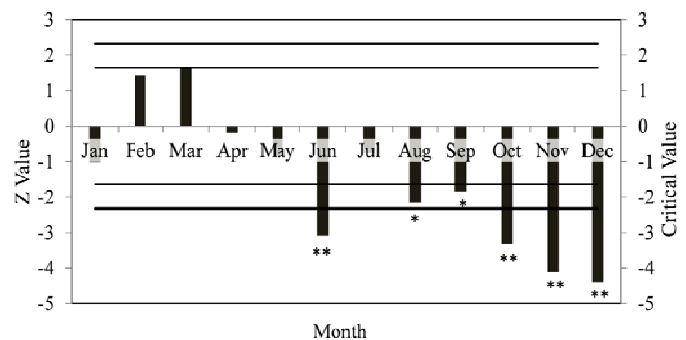


Figure 10 The trend of Z statistics for the mean monthly reference evapotranspiration for 25 years (1985-2009) (\* indicates significance at  $p \leq 0.01$  probability level; \*\* indicates significance at  $p \leq 0.05$  probability level).

temperature at higher elevations (Casimiro et al. 2012; Khattak et al. 2011), interestingly Giese and MoBig (2004), in their study determined the lowering trend of temperature at higher elevations (Bolch 2007).

Studies on comparison of different methods of ET<sub>o</sub> estimation suggests with data limited conditions, simpler models can be used however, the reliability of the outputs are location specific where in some regions the daily computed ET<sub>o</sub> is underestimated (Raziei and Pereira 2013) and overestimated (Berti et al. 2014) compared to either lysimeter or the FAO PM method of calculation. Therefore, in order to use a less data intensive model, extreme care is to be taken to represent the results for further impact assessment studies or management plans (Yoder et al. 2005). The present study reflects the combination of under and overestimation of ET<sub>o</sub> for different months in the higher altitude of Himalayan Mountains where, regional calibration of the HARG method also yield to deviation in the

magnitude of  $ET_o$ . However, better correlation is observed in the  $ET_o$  estimates using the calibrated HARG method compared to the uncalibrated case. Forcing the model to suit the target study site by calibrating the parameters definitely increases the  $R^2$  however; it fails to improve the MBE significantly since the proportion of variance of the modelled estimate can be closer to the actual one however, the over and underestimation for a particular day can vary significantly. Similar results were also reported for Florida and South Bulgaria by Martinez and Thepadia (2010), Popova et al. (2006) respectively where adjustment of parameters in context of regional suitability yield into better estimates.

Previous studies on trend analysis for the regions with similar physiographic and climatic conditions shows a significant increasing trend in minimum temperature at annual scale with a rate of  $0.07^\circ\text{C}/\text{year}$ ; however, at monthly scale both minimum and maximum temperature shows increasing trend for all months throughout the year. Furthermore, it was also concluded that the mean summer and monsoon rainfall shows a significant declining trend in magnitude (Rathore et al. 2013). In a separate study by Rahman et al. (2012), it was illustrated that the average number of rainy days and the magnitude of annual rainfall at the station has reduced to  $0.72$  days/year and  $17.77$  mm/year respectively for 2001-10 relative to 1991-2000. In addition, for mean annual temperature, the latter decade has experienced an increase of  $0.81^\circ\text{C}$  relative to the earlier implying the expected changes in local climate in the region in future.

A further study on rainfall at a coarser scale in the Northeast India by employing Sen's slope method and using meteorological data over 100 years illustrate a significant declination in the mean monthly rainfall for the month of June in whole Sikkim. Similarly for the months of March, August and September also a reduction in trend is observed however the shift is insignificant (Jain et al. 2013). Moreover, the annual analysis of the rainfall reveals that the region experiences insignificant trends. Nonetheless, all these studies indicate an illustrative region scale study and therefore limiting the station scale study which has not been applied before in the region.

Our study suggests, changes in the maximum and minimum temperature of the region, impacts

various other climatic variables such as: VPD, evaporation from surface and vegetation,  $ET$ ,  $P$  and  $RH$ . Although,  $T_{max}$  and  $T_{min}$  both shows slightly increasing trend especially in the beginning and middle of the year, but the trend of  $T_{min}$  is rising faster than  $T_{max}$  at the station. This can be due to the increase in cloudiness in the region caused by increase in quantity of aerosol in the atmosphere. Aerosol scatters and absorbs the incoming solar radiation thus directly influencing the earth's radiation budget and climate. Indirectly aerosols modify the size of cloud particle in lower atmospheres which lead to long wave surface warming at night and day time cooling due to aerosols-solar radiation interaction (Huang et al. 2006), attributing to increase in mean monthly minimum temperature ( $T_{min}$ ) (Seetharam 2008). The mean monthly sunshine duration shows a significant declination due to mechanisms such as increasing aerosol, changes in cloud types and the presence of greenhouse gases, either generated locally or transported from elsewhere (Stanhill 1998). A significant decline in  $ET_o$  is also observed in most of the months, except in February and March. Increased  $R_n$ ,  $T_{max}$ ,  $T_{min}$  and VPD followed by slightly decreased  $P$  and sunshine hours, resulted in higher  $Z$  value of  $ET_o$  in the month of February and March. In addition, increased relative humidity also plays vital role in influencing the VPD as it reduces the moisture holding capacity of the air due to high saturation level. The declining trend in  $ET_o$  can be due to the significant increase in relative humidity in most part of the year at the observed station, which reduces the rate of evaporation from the atmosphere (Jhajharia et al. 2010). Evidently,  $ET_o$  shows downward trend in the months with relatively low VPD indicating the direct relation of  $ET_o$  with VPD. Irmak et al. (2012) also found the similar results in his study and states that generally  $ET_o$  is highly sensitive to the increase and decrease in VPD. Increasing  $P$  reduces the driving energy ( $R_n$ ,  $T_{max}$  and VPD), which is a primary source of evaporation and thus extensively affecting  $ET_o$ . Dwindling evaporative energy can be attributed to reduction in incoming short wave radiation ( $R_s$ ) due to increased cloudiness, contributing to the reduced number of sunshine hours. On the other hand the significant decreasing trend in  $G$  can be attributed to the spatial and surface cover since an area with dense vegetation

cover can significantly reduce the incoming solar radiation at the surface relative to a bare land. This further reduces the amount of energy (solar radiation) available to the soil thus also limiting the ability of transferring the energy to and from the soil surface (Yang et al. 1999). For instance, the magnitude of  $G$  varies from near 0 in dense crop cover on the daily basis in temperate zone, to nearly 50% of  $R_n$  (hourly) with no vegetation in a semiarid region (Lal 2006). Conversely,  $ET_o$  also demonstrates negative relation with  $R_{max}$  and  $R_{min}$  showing an increasing trend, owing to an increase in the air temperature and rainfall at the station, which augment the moisture in the atmosphere; this was also evident in some of the studies in India (Chattopadhyay and Hulme 1997).

#### 4 Conclusion

The present study highlighted a critical comparison of the FAO PM method along with uncalibrated and calibrated HARG method of  $ET_o$  estimation. The uncalibrated HARG equation tends to underestimate the  $ET_o$  by  $0.35 \text{ mm day}^{-1}$  with maximum value of  $0.83 \text{ mm day}^{-1}$  relative to the FAO PM method. The regional calibration of the parameters  $H_A$  and  $H_E$  results in better estimation with an average underestimation of  $0.16 \text{ mm day}^{-1}$  and maximum of  $0.28 \text{ mm day}^{-1}$ . Although, this may lead to consistent underestimation of the irrigation needs for the crops, however; considering the results obtained by the calibrated HARG and FAO PM method of  $ET_o$  estimation, earlier one can be recommended for the mountain ecosystem in the absence of sufficient data.

In addition, the analysis of the monthly trend of eight climatic variables by using Man-Kendal's test implies four out of eight climatic parameters

showing mild increasing trend in most of the months ( $T_{max}$ ,  $T_{min}$ ,  $R_H$ ,  $P$ ), especially in the winter (December-February) and monsoon (June - November). Conversely, a downward trend in sunshine duration, VPD and  $ET_o$  is observed in spring (March - May) and monsoon (June - November) in East Sikkim. However,  $R_n$  in the area is noted to increase between January to February and significantly ( $p \leq 0.05$ ) decrease in the latter half of the year except for July.  $ET_o$  at the station displayed a significant ( $0.01 \leq p \leq 0.05$ ) declining trend between June to December. Consequently, the area also displayed rising trend in  $ET_o$  for the months of February and March.  $ET_o$  is also observed to exhibit positive relation with VPD following similar trend in the corresponding periods of the year. Although the climatic parameters in this study were locally analysed, however; the obtained results could be used extensively for better planning in agriculture areas, irrigation management and maintaining the hydrologic balance of the mountainous region.

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