

# $\alpha$ -Fetoprotein-to-Total Tumor Volume Ratio Predicts Post-operative Tumor Recurrence in Hepatocellular Carcinoma

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## Abstract

**Background/Aims** Serum  $\alpha$ -fetoprotein (AFP) and total tumor volume (TTV) are important factors linked with post-operative tumor recurrence in hepatocellular carcinoma (HCC) patients. We investigated the role of a new prognostic marker, AFP-to-TTV ratio, in predicting HCC recurrence.

**Methods** A total of 655 HCC patients undergoing resection were analyzed.

**Results** In the multivariate logistic model, serum AFP level [odds ratio (OR) 32.459,  $p=0.012$ ] and TTV (OR 0.006,  $p=0.01$ ) were independently associated with a higher AFT/TTV ratio. The 1-, 3-, and 5-year tumor recurrence rates were 29 %, 55 %, and 68 %, respectively. In the Cox proportional hazards model, alcoholism (hazard ratio [HR], 1.354,  $p=0.028$ ), international normalized ratio of prothrombin time  $\geq 1.01$  (HR, 1.349,  $p<0.001$ ), multiple nodules (HR, 1.381,  $p=0.004$ ), main tumor diameter  $\geq 4$  cm (HR, 1.535,  $p=0.001$ ), macrovascular invasion (HR, 1.362,  $p=0.016$ ), and AFP/TTV ratio  $\geq 1.5$  (HR, 1.49,  $p<0.001$ ) were independently associated with tumor recurrence. In subgroup analysis, a higher AFP/TTV ratio was significantly associated with tumor recurrence in patients characterized by macrovascular invasion, TTV $\geq 40$  cm<sup>3</sup>, or main tumor diameter  $\geq 4$ cm (all  $p=0.001$ ).

**Conclusion** The AFP/TTV ratio, a newly proposed marker for predicting post-operative tumor recurrence in HCC, is a feasible surrogate and may be useful in selecting super-high-risk patients for tumor recurrence.

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**Keywords**  $\alpha$ -Fetoprotein · Hepatocellular carcinoma · Recurrence · Total tumor volume

## Abbreviations

AFP	$\alpha$ -Fetoprotein
AFP/TTV	AFP-to-TTV ratio
Anti-HCV	Antibody against HCV
BUN	Blood urea nitrogen
CT	Computed tomography
CTP	Child–Turcotte–Pugh
ECOG	Eastern Cooperative Oncology Group
HBsAg	Hepatitis B surface antigen
HBV	Hepatitis B virus
HCC	Hepatocellular carcinoma
HCV	Hepatitis C virus
HR	Hazard ratio
INR	International normalized ratio
MELD	Model for end-stage liver disease
MRI	Magnetic resonance imaging
OR	Odds ratio
PT	Prothrombin time

RR Risk ratios  
TTV Total tumor volume

## Introduction

Hepatocellular carcinoma (HCC) is a common malignancy accounting for 500,000 deaths per year.<sup>1</sup> Surgical resection is considered as a curative treatment for HCC as well as the first treatment option for patients with preserved liver function, smaller tumor size, and no evidence of distant metastasis.<sup>2,3</sup> For surgical patients, post-operative tumor recurrence is often seen, and approximately half of the patients who undergo partial hepatectomy will develop tumor recurrence within 3 years of surgery.<sup>4–6</sup> Notably, tumor recurrence may adversely affect the survival of HCC patients and result in a devastating outcome. Early identification of patients who are at risk of tumor recurrence and providing intensive surveillance program for these patients may improve their survival.

Pre-operative serum  $\alpha$ -fetoprotein (AFP) concentration has been shown as an important predictor of tumor recurrence and long-term survival in HCC patients.<sup>4,5,7–10</sup> In addition, some cancer staging systems, such as the Cancer of the Liver Italian Program<sup>11</sup> and Taipei integrated scoring system,<sup>12</sup> showed that incorporation of serum AFP level into the prognostic model may provide additional prognostic benefit in outcome prediction. On the other hand, abundant studies comprehensively reviewed the role of tumor burden in predicting tumor recurrence.<sup>4–8,13,14</sup> A recently reported marker, the calculated total tumor volume (TTV), may more accurately describe tumor burden as opposed to the current standard of number and size of tumor nodules of HCC in terms of outcome prediction.<sup>12,15,16</sup>

Serum AFP level highly correlates with the extent of tumor burden in HCC, and both factors play a crucial role in predicting tumor recurrence after curative treatment. However, the contribution and interaction of these two factors in association with post-operative tumor recurrence is not clear. Very few studies to date have specifically evaluated the influence of serum AFP level in relation to tumor burden on cancer recurrence. Moreover, whether higher serum AFP levels in patients with the same tumor burden pose additional risk in tumor recurrence is unknown. In an attempt to investigate the role of serum AFP concentration after abolishing the confounding effect of tumor burden on tumor recurrence, in this study, we have analyzed serum AFP level per TTV, or AFP-to-TTV ratio, in HCC patients undergoing surgical resection.

## Patients and Methods

### Patients

Between 2002 and 2012, patients who were newly diagnosed as HCC and received surgical resection as the primary treatment in Taipei Veterans General Hospital, a hospital providing primary to tertiary medical care to the residents of northern Taiwan, were prospectively identified and retrospectively analyzed in this study. The baseline information, including patient demographics, etiology of underlying liver disease, number and size of tumor(s), serum biochemistries, severity and complications of liver cirrhosis, and performance status, was collected at the time of diagnosis. This study conformed with the standards of the Declaration of Helsinki and current ethical guidelines.

### Diagnosis and Definitions

We established the pre-operative diagnosis of HCC by histology or based on the findings of typical radiological features in at least two imaging examinations including ultrasound, contrast-enhanced dynamic computed tomography (CT), magnetic resonance imaging (MRI), and hepatic arterial angiography, or confirmed by a single positive imaging technique associated with serum AFP level >400 ng/mL.<sup>17,18</sup> Ascites was diagnosed by abdominal sonography or computed tomography. The underlying etiology of HCC was related to hepatitis B virus (HBV) infection if serological detection of hepatitis B surface antigen (HBsAg) was positive (RIA kits, Abbott Laboratories, North Chicago, IL). Hepatitis C virus (HCV) infection was diagnosed if patients were seropositive for antibody against HCV (anti-HCV) by a second-generation enzyme immunoassay (Abbott Laboratories). Alcoholism was diagnosed in subjects with a documented history of alcohol excess of at least 40 g alcohol daily for 5 years or more.<sup>19</sup> Performance status was assessed by using the Eastern Cooperative Oncology Group (ECOG) performance scale: 0 (asymptomatic) to 4 (confined to bed). The Child–Turcotte–Pugh (CTP) classification was evaluated based on serum levels of albumin and bilirubin, prothrombin time prolongation, and the severity of ascites and encephalopathy. The MELD score was calculated by using the equation:  $9.57 \times \log_e[\text{creatinine}(\text{mg/dL})] + 3.78 \times \log_e[\text{bilirubin}(\text{mg/dL})] + 11.2 \times \log_e[\text{international normalized ratio (INR)}] + 6.43$ ,<sup>20</sup> where the minimal values were set to 1.0 for calculation purposes. The value of creatinine was set to 4.0 mg/dL for calculation for patients with serum creatinine level more than 4.0 mg/dL. Macrovascular invasion was defined by the presence of adjacent thrombus to the tumor in portal vein with blurring boundary confirmed by at least two imaging modalities.<sup>21</sup> All patients were

treatment-naïve, and none had received specific anti-cancer treatment at the time of diagnosis.

### Treatment Indication

Patients with HCC selected for surgical resection were (1) patients with tumor involving no more than three Healey's segments, (2) patients with preserved liver function and had less than 25 % retention of indocyanine green 15 min after injection, and (3) patients who had no main portal vein trunk involvement or distant metastasis. The tumors were completely removed macroscopically in surgical procedure and were sent for gross and microscopic examinations. The number of tumor nodules was documented by histology, and the measurements of the tumor size were based on the largest dimension of the resected specimen. All patients had a surgical margin free of tumor.

### Serum AFP Level, TTV, and AFP-to-TTV Ratio (AFP/TTV)

The number and size of tumor nodules were recorded after surgical resection. TTV was calculated as the sum of all tumor nodule volume, and each tumor nodule volume is calculated as  $4/3 \times 3.14 \times (\text{maximum radius of the tumor nodule in cm})^3$  as previously described.<sup>12</sup> The AFP/TTV ratio was defined as pre-operative serum AFP concentration per unit TTV and was calculated in each study patient.

### Tumor Recurrence

The patients received regular follow-up every 3 months after resection until tumor recurrence or dropout from the follow-up program. Post-operative follow-up program included ultrasound scan and measurement of serum AFP every 3 months and contrast-enhanced dynamic CT every 6 months or when necessary. MRI and/or hepatic angiography were done as supplemental imaging studies. Intrahepatic tumor recurrence is considered when a new hepatic lesion was detected by ultrasound and confirmed by contrast enhancement in arterial phase and wash out in venous phase in dynamic CT scan or MRI, or showing high tumor vascularity ("tumor stain") in hepatic angiography and with progressive elevation of serum AFP levels. Extrahepatic tumor recurrence was defined by development of new lesions not seen in previous image studies. Once tumor recurrence was confirmed, hospitalization for further diagnosis and treatment was arranged.

### Statistical Methods

The Chi-squared test or Fisher's exact test (two-tailed) was used to compare categorical data. The Mann–Whitney *U* test

and Kruskal–Wallis test was used to compare continuous variables between groups of two and of three or more. The possible risk factors associated with a higher AFP/TTV ratio were investigated by using the logistic regression analysis. Factors which were significant ( $p < 0.05$ ) in the univariate analysis were introduced into multivariate logistic model to determine the adjusted odds ratio (OR). The Kaplan–Meier method with a log-rank test was applied to compare the survival distributions of different groups of patients. For continuous variables, the median of each variable was used as the cutoff to dichotomize patients in the survival analysis. Factors which were significant ( $p < 0.05$ ) in the univariate analysis were introduced into multivariate Cox proportional hazards model to determine the adjusted hazard ratio (HR). For all comparisons, a *p* value less than 0.05 was considered statistically significant.

## Results

### Patient Characteristics

A total of 655 HCC patients who received surgical resection were identified and analyzed. All patients had histologically confirmed HCC. Of the study patients (Table 1), the mean age was 60 years, and 81 % of them were male. The most common etiology of chronic liver disease was chronic hepatitis B (60 %), followed by chronic hepatitis C (18 %), alcoholism (3 %), and dual virus infection (3 %). Most patients had single tumor nodule (72 %) and had a main tumor diameter more than 4 cm (55 %). The mean serum AFP level was 6,804 ng/mL, and the mean TTV was 375 cm<sup>3</sup>.

### Distribution of Serum AFP Concentrations According to TTV

Figure 1a, b demonstrates the distribution of serum AFP level according to different TTV categories in all patients and in patients with AFP/TTV < 1.5 and  $\geq 1.5$ , respectively. As TTV increased, there was a significant increase in serum AFP level in all study patients ( $p < 0.001$ ). When the patients were stratified according to the AFP/TTV ratio, an increase in TTV was significantly associated with a higher serum AFP level in both groups of patients with AFP/TTV < 1.5 and  $\geq 1.5$  (both  $p < 0.001$ ).

### Factors Associated with Increased AFP/TTV Ratio

The comparison of the baseline demographics in patients with AFP/TTV < 1.5 and  $\geq 1.5$  is shown in Table 2. Patients characterized by a higher AFP/TTV ratio were younger ( $p = 0.001$ ), of female gender ( $p < 0.001$ ), with underlying viral hepatitis B or C ( $p = 0.003$ ), and had lower serum BUN level

**Table 1** Baseline demographics

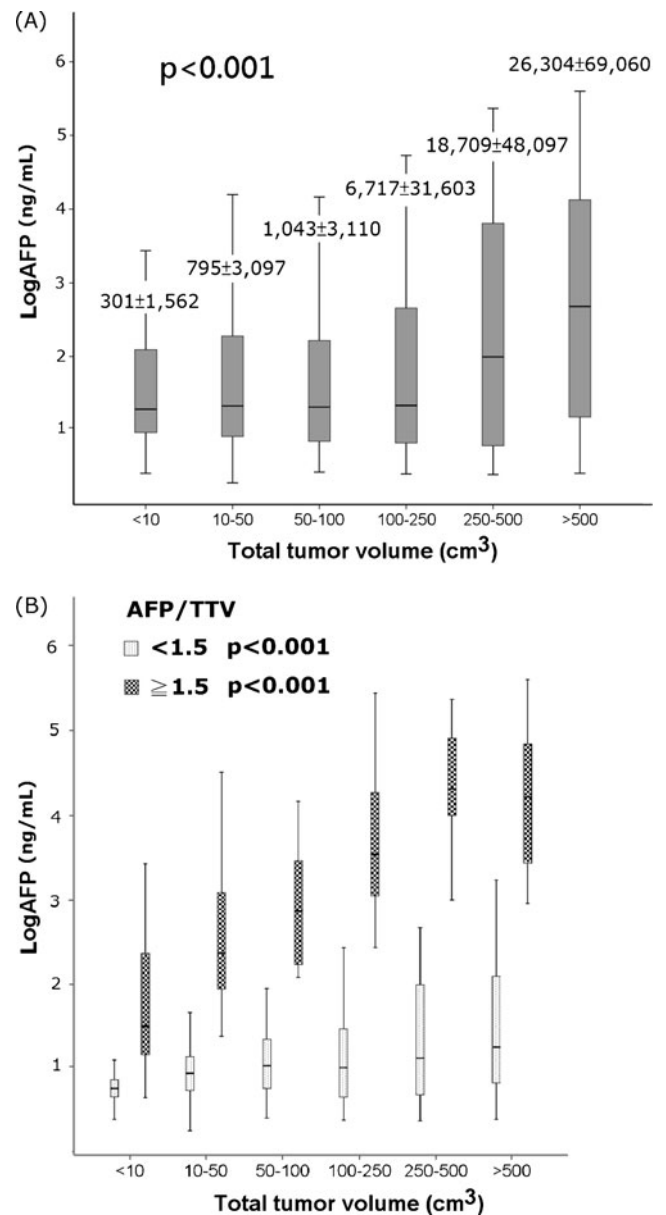
Number of patients	655
Age (years, mean±SD)	60±13
Male/female (%)	81/19
Etiology of chronic liver disease (%)	
HBV only	396 (60)
HCV only	115 (18)
HBV+HCV only	20 (3)
Alcohol only	21 (3)
Multiple etiologies and others	103 (16)
Serum biochemistry (mean±SD)	
Albumin (g/dL)	4.0±0.5
Bilirubin (mg/dL)	0.9±0.9
Blood urea nitrogen (mg/dL)	16±8
Creatinine (mg/dL)	1.1±0.8
INR of prothrombin time	1.0±0.1
Alanine aminotransferase (U/L)	75±114
Sodium (mmol/L)	140±3
α-Fetoprotein [ng/mL, mean±SD (median; range)]	6,804±33,779 (26.6; 0.95-397470)
Performance status 0/1/2/3/4 (%)	76/17/5/2/0
CTP class	
A/B (%)	93/7
Mean CTP score (mean±SD)	5.4±0.7
MELD score	
<8/8–12/12–16/>16 (%)	64/30/5/2
Score (mean±SD)	8.1±2.2
Number and size of tumor (%)	
Single/multiple	72/28
<4 cm/≥4 cm	45/55
Total tumor volume (cm <sup>3</sup> )	
<10/10–50/50–100/100–250/250–500/>500 (%)	27/28/9/13/8/16
Value (mean±SD)	375±719
Macrovascular invasion (%)	242 (37)

CTP Child–Turcotte–Pugh, HBV hepatitis B virus, HCV hepatitis C virus, INR international normalized ratio, MELD model for end-stage liver disease, SD standard deviation

( $p < 0.001$ ), lower serum creatinine level ( $p = 0.001$ ), higher serum AFP level ( $p < 0.001$ ), single tumor nodule ( $p < 0.001$ ), and lower TTV ( $p < 0.001$ ). In the multivariate logistic model, serum AFP level (OR 32.459,  $p = 0.012$ ) and TTV (OR 0.006,  $p = 0.010$ ) were the only two independent risk factors associated with a higher AFP/TTV ratio.

#### Factors Associated with Tumor Recurrence

During the follow-up time of  $26 \pm 23$  months, 363 (55 %) patients developed tumor recurrence. The 1-, 3-, and 5-year tumor recurrence rates were 29 %, 55 %, and 68 %, respectively. Factors that were possibly associated with post-operative



**Fig. 1** Box-plot of the distribution of serum AFP level according to TTV in all patients (a), and patients stratified by AFP/TTV (b). The median is identified by a line inside the box. The bottom and top of the box are the 25th and 75th percentile (the lower and upper quartiles, respectively), and the ends of the whiskers indicate the minimum and maximum. There was significant increase in serum AFP level with increasing TTV in all patients ( $p < 0.001$ ) and in patients with AFP/TTV  $< 1.5$  and  $\geq 1.5$  (both  $p < 0.001$ )

tumor recurrence are shown in Table 3. Patients with alcoholism ( $p = 0.009$ ), higher serum INR of prothrombin time (PT) ( $p = 0.007$ ), multiple tumor nodules ( $p = 0.004$ ), larger tumor diameter ( $p < 0.001$ ), worse performance status ( $p = 0.017$ ), macrovascular invasion ( $p < 0.001$ ), and higher AFP/TTV ratio ( $p = 0.035$ , Fig. 2a) had a significantly increased risk of tumor recurrence in the univariate survival analysis.

**Table 2** Univariate and multivariate analyses of the variables associated with AFP/TTV <1.5 and ≥1.5

	Univariate analysis			Multivariate analysis		
	AFP/TTV <1.5	AFP/TTV ≥1.5	<i>p</i> value	OR	95 % CI	<i>p</i> value
N	326	329				
Age [years, mean±SD (median)]	62±13 (63)	58±13 (58)	0.001			
Male/female (%)	87/13	75/25	<0.001			
HBV/HCV/Alcoholism/others (%)	48/14/4/34	54/21/2/23	0.003			
Albumin [g/dL, mean±SD (median)]	4.0±0.5 (4.0)	4.0±0.5 (4.0)	0.852			
Bilirubin [mg/dL, mean±SD (median)]	0.8±0.5 (0.7)	1.0±1.1 (0.8)	0.05			
BUN [mg/dL, mean±SD (median)]	17±8 (16)	15±8 (14)	<0.001			
Creatinine [mg/dL, mean±SD (median)]	1.1±0.5 (1.0)	1.1±1.0 (0.9)	0.001			
INR of PT [mean±SD (median)]	1.02±0.08 (1.01)	1.04±0.13 (1.02)	0.101			
ALT [U/L, mean±SD (median)]	76±134 (44)	74±90 (48)	0.086			
Sodium [mmol/L, mean±SD (median)]	140±3 (140)	139±3 (140)	0.495			
AFP [ng/mL, mean±SD (median)]	44±144 (8)	13,503±46,741 (289)	<0.001	32.459	2.178–483.774	0.012
ECOG 0/1/2/3/4 (%)	73/18/7/2/0	79/16/4/1/0	0.124			
CTP score (mean±SD)	5.4±0.6 (5)	5.4±0.8 (5)	0.866			
MELD score [mean±SD (median)]	8.1±2.1 (7.3)	8.1±2.4 (7.3)	0.403			
Single/multiple tumor nodule(s) (%)	71/29	73/27	0.552			
Main tumor size <4/≥4cm (%)	31/69	58/42	<0.001			
TTV [cm <sup>3</sup> , mean±SD (median)]	332±673 (82)	211±529 (17)	<0.001	0.006	0.000–0.287	0.01
With/without macrovascular invasion (%)	61/39	65/35	0.221			

AFP  $\alpha$ -fetoprotein, AFP/TTV  $\alpha$ -fetoprotein-to-total tumor volume ratio, ALT alanine transaminase, BUN blood urea nitrogen, CI confidence interval, CTP Child–Turcotte–Pugh, ECOG Eastern Cooperative Oncology Group, HBV hepatitis B virus, HCV hepatitis C virus, INR international normalized ratio, MELD model for end-stage liver disease, OR odds ratio, PT prothrombin time, SD standard deviation, TTV total tumor volume

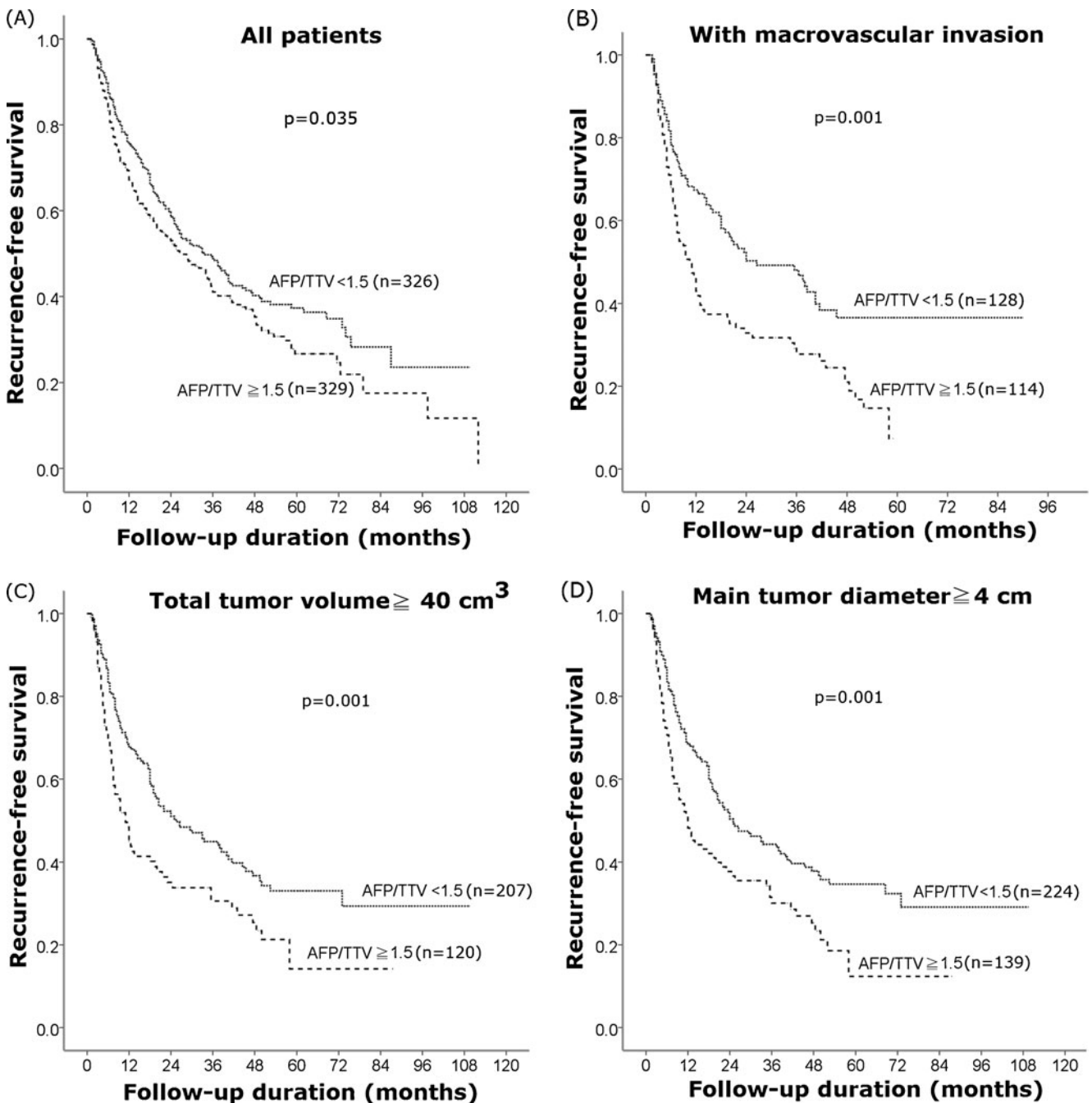
**Table 3** Univariate and multivariate Cox regression analyses for post-operative tumor recurrence in HCC patients

	N	Univariate analysis			Multivariate analysis		
		1-year recurrence (%)	3-year recurrence (%)	<i>p</i> value	HR	95 % CI	<i>p</i> value
Sex (male/female)	529/126	28/32	55/55	0.625			
Age (<60/≥60 years)	332/323	32/25	57/53	0.231			
HBsAg (negative/positive)	233/422	23/31	52/57	0.104			
Anti-HCV (negative/positive)	500/155	30/23	54/58	0.441			
Alcoholism (no/yes)	553/102	28/33	53/66	0.009	1.354	1.032–1.775	0.028
Albumin (<4.0/≥4.0 g/dL)	286/369	30/28	55/55	0.548			
Bilirubin (<0.7/≥0.7 mg/dL)	334/321	26/31	52/58	0.068			
Creatinine (<1.0/≥1.0 mg/dL)	346/309	30/28	55/55	0.85			
INR of PT (<1.01/≥1.01)	305/350	23/34	49/61	0.007	1.349	1.095–1.661	0.005
Sodium (<140/≥140 mmol/L)	296/359	32/26	54/56	0.998			
No. of tumor (single/multiple)	471/184	26/35	53/60	0.004	1.381	1.106–1.726	0.004
Tumor size (<4/≥4cm)	292/363	17/39	48/61	<0.001	1.535	1.183–1.992	0.001
Performance status (0/1–4)	496/159	27/34	53/63	0.017			
Macrovascular invasion (No/Yes)	413/242	20/44	51/61	<0.001	1.362	1.060–1.751	0.016
AFP/TTV (<1.5/≥1.5)	326/329	25/33	51/59	0.035	1.49	1.195–1.857	<0.001

Each variable was dichotomized into two groups by the median (shown in the parentheses). The forepart of the parentheses was set as the reference group in the multivariate analysis

AFP/TTV  $\alpha$ -fetoprotein-to-total tumor volume ratio, anti-HCV antibody against hepatitis C virus, CI confidence interval, HBsAg hepatitis B surface antigen, HR hazard ratio, INR international normalized ratio, No. number, PT prothrombin time





**Fig. 2** The distribution of tumor recurrence-free survival in all patients (a), patients with macrovascular invasion (b), TTV≥40 cm<sup>3</sup> (c), and main tumor diameter≥4cm (d). Patients with AFP/TTV<1.5 had a significantly better recurrence-free survival than patients with AFP/

TTV≥1.5 in all patients (p=0.035; a), and in subgroups of patients with macrovascular invasion, TTV≥40 cm<sup>3</sup>, and main tumor diameter≥4cm (all p=0.001; b–d)

In the Cox multivariate model, independent factors associated with a higher risk of tumor recurrence included alcoholism (HR, 1.354, p=0.028), serum INR of PT≥1.01 (HR, 1.349, p=0.005), multiple tumor nodules (HR, 1.381, p=0.004), main tumor diameter ≥4cm (HR, 1.535, p=0.001), macrovascular invasion (HR, 1.362, p=0.016), and AFP/TTV≥1.5 (HR, 1.49, p<0.001).

#### Tumor Recurrence in Relation to the AFP/TTV Ratio in Different Subgroups of Patients

The mean and median AFP/TTV ratio in patients with and without macrovascular invasion and different groups of TTV, AFP level, size, and number of tumor are demonstrated in Table 4. There was a significant higher AFP/TTV ratio

**Table 4** Association of AFP/TTV ratio and tumor recurrence between patients with AFP/TTV<1.5 and ≥1.5 in different subgroups of patients

		AFP/TTV mean±SD (median)	AFP/TTV	N	1-year recurrence rate (%)	3-year recurrence rate (%)	p value
Macrovascular invasion	Absent	40.8±167.4 <sup>a</sup> (1.74)	<1.5 ≥1.5	198 215	19 20	50 52	0.732
	Present	40.8±136.3* (0.85)	<1.5 ≥1.5	128 114	33 54	52 72	0.001
TTV (cm <sup>3</sup> )	<40	49.0±186.3** (3.04)	<1.5 ≥1.5	119 209	12 20	44 53	0.11
	≥40	32.5±119.2** (0.25)	<1.5 ≥1.5	207 120	32 55	55 69	0.001
AFP (ng/mL)	<27	1.5±5.0** (0.25)	<1.5 ≥1.5	261 69	22 14	49 52	0.934
	≥27	80.6±215.1** (13.02)	<1.5 ≥1.5	65 260	37 38	62 61	0.934
Tumor size (cm)	<4	52.6±196.2** (3.48)	<1.5 ≥1.5	102 190	9 21	41 52	0.145
	≥4	31.3±114.5** (0.32)	<1.5 ≥1.5	224 139	32 52	56 70	0.001
Tumor number	Single	39.3±166.8*** (13.71)	<1.5 ≥1.5	231 240	23 29	49 57	0.102
	Multiple	44.5±127.0*** (0.59)	<1.5 ≥1.5	95 89	28 44	55 65	0.131

\* $p=0.035$ , \*\* $p<0.001$ , \*\*\* $p=0.639$  levels of statistical significance

AFP  $\alpha$ -fetoprotein, AFP/TTV  $\alpha$ -fetoprotein-to-total tumor volume ratio, SD standard deviation, TTV total tumor volume

in patients with lower TTV ( $p<0.001$ ), higher serum AFP level ( $p<0.001$ ), smaller tumor size ( $p<0.001$ ), and no macrovascular invasion ( $p=0.035$ ).

Further analyses show that, among the subgroups of patients with macrovascular invasion, TTV  $\geq 40$  cm<sup>3</sup>, and main tumor size  $\geq 4$  cm, a higher AFP/TTV ratio was significantly associated with a higher tumor recurrence rate (all  $p=0.001$ ; Fig. 2b–d).

## Discussion

Serum AFP level and tumor burden are well-established parameters linked with post-operative tumor recurrence in HCC patients.<sup>4,8,13</sup> Serum AFP concentrations often increase concomitantly with the increase of tumor burden. In order to accurately delineate tumor behavior after adjusting the confounding effect of tumor burden and determine the interaction between these two factors, we systematically investigated the role of serum AFP level per unit TTV for the prediction of tumor recurrence in this study. Our results show that the newly proposed AFP/TTV ratio had unique clinical characteristics and independently correlated with post-operative tumor recurrence in HCC patients. A higher ratio may be a useful marker to identify patients at increased risk of tumor recurrence.

In this study, serum AFP level and TTV were exclusively the only two independent factors linked with a higher AFP/TTV ratio in the multivariate analysis, indicating that other factors, such as age, gender, the severity of liver cirrhosis, and performance status are only associated factors and not directly relevant to the increased AFP/TTV ratio. An interesting finding is that serum AFP concentration markedly increased with increasing TTV, implying that the tumor behavior may change with a larger tumor burden, and the magnitude of the change was more prominent in patients with AFP/TTV ratio  $\geq 1.5$  in comparison to those with a lower ratio (Fig. 1b). The interplay between serum AFP level and TTV may have important clinical implications and provide useful prognostic information.

In the survival analysis, patients with AFP/TTV  $\geq 1.5$  had 49 % increased risk of tumor recurrence in comparison to patients with AFP/TTV  $< 1.5$ . Consistent with previous studies,<sup>4,5</sup> our results infer that AFP expression represents a distinct tumor behavior which is closely linked with disease progression. Nevertheless, this finding does not necessarily exclude the individual prognostic value of AFP and TTV for outcome prediction as reported from previous studies.<sup>4,5,22</sup> Importantly, the finding that the AFP/TTV ratio may predict tumor recurrence was more apparent in patients known to have high risk of tumor recurrence, characterized by macrovascular invasion, TTV  $\geq 40$  cm<sup>3</sup>, and main tumor size  $\geq 4$  cm. Interestingly, our data indicate

that, as TTV increases, serum AFP level increases logarithmically (Fig. 1a), resulting in an increase of serum AFP exceeding the extent of increase of TTV. Consequently, serum AFP level and AFP/TTV are both determinant factors in tumor recurrence in patients with large tumor burden. In a similar way, as supported by a previous study,<sup>23</sup> the extent of the increase of serum AFP also exceeds the increase of tumor burden in the subgroup of patients with macrovascular invasion. In this regard, the AFP/TTV ratio may have additional value in predicting tumor recurrence especially in patients with larger tumor burden and macrovascular invasion.

The 1-, 3-, and 5-year tumor recurrence rates in our study were 29 %, 55 %, and 68 %, respectively. Previous studies provided comparable results exhibiting 25–32 %, 40–53 %, and 52–81 % recurrence rates at 1, 3, and 5 years of surgical resection, respectively.<sup>4,5,24–26</sup> The findings that serum INR of PT, number of tumor nodules, main tumor diameter, and presence of macrovascular invasion were crucial predictors for tumor recurrence are consistent with most published literatures and suggest that the stage of liver fibrosis, tumor burden, and vascular invasion are important determinants.<sup>4,5,27–29</sup> Another novel finding of our study is that alcoholism was also identified an influential factor associated with tumor recurrence. Supporting evidence showed that alcohol use in patients with viral hepatitis is associated with an accelerated progression of liver damage, earlier development of cirrhosis, and higher incidence of HCC formation.<sup>30–35</sup> In our previous study, patients with viral hepatitis and concomitant alcoholism were found to develop HCC 3–4 years earlier than patients without alcohol consumption.<sup>36</sup> Taken together, de novo carcinogenesis may be an explanatory mechanism for a higher tumor recurrence rate in patients with alcoholism.

This study has a few possible limitations. HBV is the most common etiology of HCC in Taiwan. This feature is different from most Western countries, where HCV infection and alcoholism are the predominant causes of chronic liver disease. It is our concern whether our results can be readily applied to patients in the Western countries. Another limitation is that the calculation of TTV was based on the assumption that all tumor nodules were spherical. Therefore, TTV could be slightly overestimated in patients with non-spherical tumor nodules.

In conclusion, the aggressiveness of tumor behavior in HCC, as indicated by the interaction between serum AFP level and TTV, is closely associated with tumor occurrence. The AFP/TTV ratio, a newly proposed marker for predicting post-operative tumor recurrence in HCC, is a feasible surrogate and may provide additional information in selecting super-high-risk patients for tumor recurrence. Intensive post-operative surveillance program and appropriate preventive measures, such as adjuvant chemotherapy or targeted therapy,

should be considered in these patients and warrant further studies to clarify.

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