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Random noise attenuation using a structure‑oriented adaptive singular value decomposition

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Abstract

Singular value decomposition (SVD) is an efficient method to suppress random noise in seismic data. The performance of noise attenuation is typically afected by choosing the rank of the estimated signal using SVD. That the rank is fxed limits noise attenuation especially for a low signal-to-noise ratio data. Therefore, we propose a modifed approach to attenuate random noise based on structure-oriented adaptively choosing singular values. In this approach, we frst estimate dominant local slopes, predict other traces from a reference trace using the plane-wave prediction and construct a 3D seismic volume which is composed of all predicted traces. Then, we remove noise from a 2D profile whose traces are predicted from different reference traces via adaptive SVD flter (ASVD), which adaptively chooses the rank of estimated signal by the singular value increments. Finally, we stack every 2D denoised profle to a stacking denoised trace and reconstruct the 2D denoised seismic data which are composed of all stacking denoised traces. Synthetic data and feld data examples demonstrate that the proposed structure-oriented ASVD approach performs well in random noise suppression for the low SNR seismic data with dipping and hyperbolic events.

Keywords Adaptive singular value decomposition · Singular value increments · Random noise attenuation · Plane-wave prediction

Introduction

Random noise has always been one of the important factors afecting the quality of seismic data. One of the main tasks of seismic data processing is to attenuate it. Many scholars have put forward and developed numerous efective approaches for random noise attenuation such as predict flter (Canales [1984;](#page-15-0) Liu and Liu [2011;](#page-15-1) Liu et al. [2012](#page-15-2); Naghizadeh and Sacchi [2012\)](#page-15-3), median flter (Liu et al. [2006](#page-15-4);

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Zheng et al. [2017\)](#page-15-5), empirical mode decomposition (EMD) (Cai et al. [2011;](#page-15-6) Chen et al. [2017](#page-15-7); Liu et al. [2018\)](#page-15-8), edgepreserving fltering (Yuan et al. [2018b](#page-15-9)) and some methods based on transform including the wavelet transform (Yang et al. [2017\)](#page-15-10), the seislet transform (Fomel and Liu [2010](#page-15-11)) and sparsity dictionary (Beckouche and Ma [2014\)](#page-15-12).

Singular value decomposition (SVD) fltering is a simple and powerful tool in random noise attenuation based on extracting the essential coherency components. Its performance of removing background noise is better than other denoising methods in seismic data with continuous unconficting events (Bekara and Mirko [2007](#page-15-13)). Freire ([1988](#page-15-14)) used it in the time-space (t-x) domain to separate noise with the upgoing and downgoing waves especially when the events are horizontal. Cadzow fltering (Trickett [2002](#page-15-15); Trickett et al. [2003\)](#page-15-16) can separate linear dipping coherent events from random noise because the rank of the Hankel matrix which is built from each frequency slice of linear events in the frequency-space (f-x) domain is equivalent to the number of their diferent slopes. Cadzow fltering is expanded to multiple dimensions (Oropeza and Sacchi [2011;](#page-15-17) Naghizadeh and Sacchi [2013](#page-15-18),), called multichannel singular spectrum analysis (MSSA), via embedding–deducting and restructuring the Hankel matrix in the f-x domain for 3D seismic volumes. Kreimer and Sacchi [\(2012](#page-15-19)) represented the spatial data at one frequency slice by a high-order tensor for denoising and interpolating of curved events. Huang et al. [\(2015](#page-15-20)) developed the MSSA algorithm by a damping factor controlling the degree of residual noise attenuation. However, the frequency-space (f-x) domain SVD methods, such as Cadzow fltering and MSSA, need to ft the assumption of a few linear events. To meet approximately linear and denoise efectively, Yuan and Wang [\(2011](#page-15-21)) presented that the seismic data in the t-x domain are preprocessed with a sliding window before using Cadzow fltering (called local Cadzow fltering). The denoising performance of the method is afected by the parameter of window length which is set more subjectively and experientially.

Local SVD (LSVD) (Bekara and Mirko [2007](#page-15-13)) is utilized by laterally aligning all coherent signal along dip direction within t-x domain local window. The method is not limited by the linear assumption. A structure-oriented SVD approach (SOSVD) (Gan et al. [2015](#page-15-22)) can enhance useful refections via fattening predicted seismic events according to the estimated local dips. Although the structure-oriented-type approach requires prior fattening, which complicates the process, it has two advantages. First, both rank reduction of SVD and stacking can reduce noise. Second, without the limit of a uniform slope in processed windows, it is more effective in handling hyperbolic events and complex structures. However, the design that the rank of the estimated signal is fxed limits the denoised performance of SOSVD. If it can be adjusted according to the signal-to-noise ratio of the data, the efect of noise reduction will be improved.

In this paper, we propose a structure-oriented adaptive SVD (named SOASVD) approach for random noise attenuation. Firstly, we review the theory of SVD, analyze the distribution of singular values corresponding to random noise and put forward the concept of adjacent singular value increment and the method of adaptively choosing the rank of estimated signal. Then, we introduce the prediction of plane waves and combine it with ASVD for random noise attenuation of seismic data. Finally, we use the synthetic and feld examples to compare the proposed algorithm with f-x deconvolution, f-x EMD, LSVD and local Cadzow fltering, and draw some conclusions.

Theory

SVD

Seismic data **D** consist of useful signal **S** and random noise **N**, which is:

$$
\mathbf{D} = \mathbf{S} + \mathbf{N} \tag{1}
$$

where the size of matrixs **D**, **S** and **N** is $N \times M$, *M* represents the number of seismic traces and *N* represents the number of time samples in the processed window. The SVD of matrix **D** can be expressed as (Vrabie et al. [2004\)](#page-15-23):

$$
\mathbf{D} = \mathbf{U} \sum \mathbf{V}^T = \sum_{k=1}^R \sigma_k \mathbf{u}_k^T
$$
 (2)

where $\mathbf{U} = [\mathbf{u}_1, \mathbf{u}_2, ..., \mathbf{u}_k, ..., \mathbf{u}_R], \ \mathbf{V} = [\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_k, ..., \mathbf{v}_R],$ \mathbf{u}_k and \mathbf{v}_k are the eigenvectors, the matrix $\mathbf{u}_k \mathbf{v}_k^T$ is the eigenimages of DD^T , $\Sigma = diag[\sigma_1, \sigma_2, ..., \sigma_k, ..., \sigma_R]$, $\sigma_1 \geq \sigma_2 \geq \ldots \sigma_k \geq \sigma_R$, σ_k is the singular value and *R* is rank of **D**. Equation ([2\)](#page-1-0) means that seismic data **D** include *R* eigenimages weighted by the corresponding singular values. Equation [\(2\)](#page-1-0) can be also represented as (Freire [1988](#page-15-14); Lu [2006\)](#page-15-24) :

$$
\mathbf{D} = \sum_{k=1}^{r} \sigma_k u_k v_k^T + \sum_{k=r+1}^{R} \sigma_k u_k v_k^T
$$
 (3)

where *r* is the rank of estimated seismic signal. Therefore, seismic data can be approximately divided into the seismic signal and random noise.

To efectively attenuate noise in seismic data using SVD method, we need to solve two problems. Firstly, we should obtain the events as horizontal as possible in processed windows. Secondly, the rank *r* needs to vary with the SNR of the seismic section. It is often set as 1 or 2 (Bekara and Mirko [2007\)](#page-15-13), but it is only suitable for a single horizontal event with the fxed SNR. Lu ([2006](#page-15-24)) suggests that it is given via the ratio of the stacking energy to the energy of the whole data. Freire [\(1988](#page-15-14)) refers that an abrupt change in the signal eigenvalues magnitude is easily distinguished from more gradual change in those of noise. However, the assumption is not proved and how to choose *r* based on the assumption is not given.

Singular value distribution of random noise

Assuming that the mean of random noise N is zero and its variance is σ^2 , normally, it is considered that all singular values σ_{ni} for **N** are equal to $\sqrt{N\sigma}$. However, the singular value of noise σ_{ni} is also calculated by the equation:

$$
\mathbf{n}\mathbf{n}^{T} \simeq \begin{bmatrix} \sum_{j=1}^{N} n_{1,j}^{2} & 0 & \cdots & \cdots & 0 \\ 0 & \ddots & 0 & \cdots & 0 \\ \vdots & 0 & \sum_{j=1}^{N} n_{i,j}^{2} & 0 & \vdots \\ 0 & \cdots & 0 & \ddots & 0 \\ 0 & \cdots & \cdots & 0 & \sum_{j=1}^{N} n_{M,j}^{2} \end{bmatrix}
$$
(4)

where $\sigma_{n}^2, \dots, \sigma_{n}^2, \dots, \sigma_{n}^2$ is the descending order of the collection $\left\{\sum_{j=1}^{N} n_{1,j}^{2}, \ldots, \sum_{j=1}^{N} n_{i,j}^{2}, \ldots, \sum_{j=1}^{N} n_{M,j}^{2}\right\}$ � Defining a statistic variable $X = \sum_{j=1}^{N} n_{i,j}^2 / \sigma^2$, *X* yields χ^2 distribution with the degree of freedom of *N*. When *N* is relatively large, then *X* approximately yields a normal distribution with the mean of *N* and variance of 2*N*. Taking $Y = (X - N)/2N$, *Y* yields a standard normal distribution. If *p* denotes probability, u_n and u_n denote the quantile and upper quantile of *Y*. The relationship between u_p and u_a can be described as:

$$
u_p = \begin{cases} -u_a & 0 < p < 0.5, \ p = a \\ 0 & p = 0.5 \\ u_a & 0.5 < p < 1, \ p = 1 - a \end{cases} \tag{5}
$$

According to Yamauchi approximation, we have

$$
u_a \approx \sqrt{z(2.0611786 - 5.7262204/(z + 11.640595))}
$$
 (6)

where $z = -\ln(4a(1 - a))$. Therefore, the relationship between u_{yn} and p can be written as:

$$
u_{xp} = \sqrt{2N}u_p + N\tag{7}
$$

where u_{x} represents the quantile of X and the relationship between u_{np} and p can be written as:

$$
u_{np} = \sigma \sqrt{\sqrt{2N}u_p + N}
$$
 (8)

where u_{np} represents the quantile of σ_{ni} . Equations [\(5](#page-2-0)), ([6\)](#page-2-1) and ([8\)](#page-2-2) describe the relationship between u_{np} and p . It is equivalent to the relationship between σ_{ni} and the index *i*. We plot the curve of u_{np} with p ($N = 100$ and $\sigma = 0.1778$) in Fig. [1.](#page-2-3) It indicates that: 1) All singular values σ_{ni} are larger than zero and the mean value is about $\sqrt{N\sigma}$. 2) u_{np} is approximately linear with *p* from 0.1 to 0.9; in other words,

Fig. 1 The relationship between u_{np} and p

the slope of u_{nn} can be regarded as a constant. Therefore, the difference of adjacent singular values of N can be considered as a constant. 3) Both the slope of u_{np} and the mean of σ_{ni} increase with σ and *N*. So we define the difference between two adjacent singular values as the singular value increment:

$$
\Delta \sigma_i = \sigma_i - \sigma_{i+1} \tag{9}
$$

Then, we have the following equation:

$$
\Delta \overline{\sigma}_{ni} \approx (\sigma_{n1} - \sigma_{nN})/(N - 1) < \sigma_{n1}/(N - 1) \tag{10}
$$

where $\Delta \overline{\sigma}_{ni}$, σ_{n1} and σ_{nN} are the mean of adjacent singular value increments, the maximum singular value and the minimum singular value corresponding to random noise, respectively.

Adaptive SVD

Diferent from random noise, seismic signal may be reconstructed from only a few of the frst eigenimages because of their high correlation. The high correlation has been commonly utilized to seismic data processing (Yuan et al. [2018a](#page-15-25); Ma et al. [2018](#page-15-26); Shi et al. [2018](#page-15-27)). Increments of adjacent singular values corresponding to seismic signal can be characterized by the following equation:

$$
\Delta \sigma_{sm} > \sigma_{s1}/(N-1) \tag{11}
$$

where $\Delta \sigma_{sm}$ and σ_{s1} are the maximum adjacent singular value increment and the maximum singular value corresponding to seismic signal, respectively. Comparing Eqs. (10) (10) and (11) (11) , it is derived:

$$
\Delta \sigma_{sm} / \Delta \overline{\sigma}_{ni} > \sigma_{s1} / \sigma_{n1}
$$
\n(12)

Equation ([12\)](#page-2-6) indicates that the seismic events can be better separated from noise by choosing the rank *r* by the singular value increments than the singular values. The *r* is varied with the singular value increments corresponding to seismic signal and noise using ASVD algorithm. The main fowchart of ASVD is as follows:

- 1. Determine a threshold by the mean of singular value increments corresponding to noise;
- 2. Determine the rank *r* through comparing the frst few singular value increments with the threshold.

Prediction of plane waves

The input matrix of ASVD algorithm should be adjusted as horizontal as possible, so we apply the prediction of plane waves to it. The local plane diferential equation is expressed as:

$$
\frac{\partial D}{\partial x} + \alpha \frac{\partial D}{\partial t} = 0 \tag{13}
$$

Fig. 2 Demonstration for SOASVD method

where α is the local seismic dip. The solution of Eq. ([13\)](#page-2-7) can be given as (Fomel [2002](#page-15-28); Liu et al. [2015](#page-15-29)):

$$
\mathbf{d}_{(x+1)} = \mathbf{d}_{(x)} \mathbf{p}_{(x \to x+1)} \tag{14}
$$

where $\mathbf{d}_{(x)}$ is the data of trace *x*. $\mathbf{p}_{(x \to x+1)}$ represents a prediction matrix from trace *x* to trace $x + 1$ and is a function of α . The local seismic dip α is optimized by solving the following least-squares minimization problem:

Fig. 3 Hyperbolic-events synthetic seismic example

$$
\tilde{\alpha} = \arg min \|\mathbf{W}(\alpha)\mathbf{D}\|_{2}^{2}
$$
(15)
where $\mathbf{W}(\alpha) = \begin{bmatrix} \mathbf{I} & 0 & 0 & \cdots & 0 \\ -\mathbf{p}_{(1\rightarrow 2)} & \mathbf{I} & 0 & \cdots & 0 \\ 0 & -\mathbf{p}_{(2\rightarrow 3)} & \mathbf{I} & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & -\mathbf{p}_{(N-1\rightarrow N)} & \mathbf{I} \end{bmatrix},$

I is the identity matrix. Let $\tilde{\mathbf{D}}_1$ represent a collection of predicted traces from the reference trace \mathbf{d}_1 , \mathbf{D}_1 can be calculated (Fomel [2002\)](#page-15-28):

$$
\tilde{\mathbf{D}}_1^T = \mathbf{P}_{(1\to i)}^T \mathbf{d}_1
$$
\n(16)

where $\tilde{\mathbf{D}}_1 = [\tilde{\mathbf{d}}_{(1\rightarrow 1)}, \tilde{\mathbf{d}}_{(1\rightarrow 2)}, \tilde{\mathbf{d}}_{(1\rightarrow 3)}, \cdots, \tilde{\mathbf{d}}_{(1\rightarrow N)}]$ and ${\bf P}_{(1\rightarrow i)} = [{\bf I}, {\bf p}_{(1\rightarrow 2)}, {\bf p}_{(1\rightarrow 3)}, \cdots, {\bf p}_{(1\rightarrow N)}].$ Then, we can predict $\tilde{\mathbf{D}}_i$ from a reference trace *i* (*i* = 1, 2, …, *N*). Therefore, a 3D predicted volume from a 2D seismic data is created. A 2D profle of the 3D predicted volume is composed of predicted traces $\tilde{\mathbf{d}}_{(1\to x)}$, $\tilde{\mathbf{d}}_{(2\to x)}$, $\tilde{\mathbf{d}}_{(3\to x)}$, \cdots , $\tilde{\mathbf{d}}_{(N\to x)}$, which have high similarity with the primitive trace *x*.

SOASVD denoising

The ASVD is applied to the 2D profle with approximate fat events. It is a structure-oriented ASVD (SOASVD) denoising approach. The detailed steps are shown below:

- 1. Estimate dominant local slopes.
- 2. Predict other traces from a reference trace i ($i = 1, ..., N$) by applying plane-wave destruction flter which are designed by using the estimated slope. A 3D seismic volume is composed of all predict traces.
- 3. Apply ASVD flter to a 2D profle of the 3D seismic volume for denoising.
- 4. Stack the output of step 3).
- 5. Repeat steps 3) and 4) until all 2D profles are processed and stacked.

Figure [2](#page-3-0) demonstrates the process of SOASVD. Figure [2a](#page-3-0) is the original noisy model. Figure [2](#page-3-0)b is the estimated dip feld of noisy model. A front view of Fig. [2](#page-3-0)c is the predicted traces from a reference trace applying the plane-wave destruction flter, and a profle view of Fig. [2](#page-3-0)c is the prediction of a primitive trace from all reference traces. Figure [2](#page-3-0)d is the partial profle view which is close to the primitive trace. Figure [2e](#page-3-0) is the denoised result of Fig. [2d](#page-3-0) using ASVD method. Figure [2f](#page-3-0) is the fnal denoised result of Fig. [2](#page-3-0)a, and every trace in the fgure is formed by the stacked trace of a denoised 2D profle.

Examples

Synthetic examples

To test the performance of the proposed algorithm, we use two synthetic examples in this section. The frst example is a simple seismic profle including fve hyperbolic events. The data consist of 81 traces with a sampling rate of 4 ms. The total time is 1.5 s. The slopes of fve events become gradually smaller from the top to the bottom. The amplitudes of five events are $3, 2.5, 2, 1.5$ and 0.8 from the top to the bottom, respectively. The clean data and noisy data with SNR of −4.074 dB are shown in Fig. [3](#page-4-0). For f-x deconvolution, f-x EMD and local Cadzow fltering, the range of frequency is set from 2 Hz to 75 Hz. For local Cadzow fltering, LSVD and LASVD, we use the sliding window consisting of 20 traces and 50 time samples. For local Cadzow fltering and

Fig. 4 Comparison of denoised data for the hyperbolic-events synthetic example

Fig. 5 Comparison of removed noise for the hyperbolic-events synthetic example

Fig. 6 Cross-correlation of denoised data and, respectively, removed noise for the hyperbolic-events synthetic example

Fig. 7 Conficted linear synthetic seismic profle

LSVD, we set the rank *r* to be 2. For LASVD and SOASVD, we set the threshold as $4 \triangle \overline{\sigma}_{ni}$. The denoised results using f-x deconvolution, f-x EMD, LSVD, local Cadzow fltering, LASVD and SOASVD algorithm are shown in Fig. [4.](#page-5-0) We can see that the denoised result using f-x deconvolution (Fig. [4](#page-5-0)a) has obvious residual noise. Compared with local Cadzow fltering, LSVD, f-x EMD and f-x deconvolution, LASVD and SOASVD are more efective in removing noise. The removed noise sections are displayed in Fig. [5.](#page-6-0) We can also observe five hyperbolic leakage events from the removed noise section using f-x deconvolution in Fig. [5a](#page-6-0) and some visible leakage energy to the high slope event for LSVD (Fig. [5](#page-6-0)c) and local Cadzow fltering (Fig. [5](#page-6-0)d). There are little visible events using f-x EMD (Fig. [5](#page-6-0)b), LASVD (Fig. [5](#page-6-0)e) and SOASVD approach (Fig. [5f](#page-6-0)). To measure the leakage energy, we evaluate the cross-correlation sections between the denoised data and the corresponding removed noise shown in Fig. [6.](#page-7-0) The cross-correlation section in Fig. [6](#page-7-0)f illustrates that the leakage energy using SOASVD approach is least. For numerically comparing the denoising performances of these approaches, we evaluate the SNR of results processed with fve approaches and list them in Table [1.](#page-14-0) The SNR of input noisy data in experiment one is −4.074 dB. The SNR using f-x deconvolution, f-x EMD, LSVD, local Cadzow filtering, LASVD and SOASVD approaches is 5.780 dB, 6.634 dB, 6.542 dB,7.237 dB, 9.709 dB and 12.047dB, respectively. The SNR of input noisy data in experiment two is −6.974 dB, and the SNR after processing using these approaches is 4.785 dB, 4.75 dB, 4.897 dB, 5.785 dB, 7.741 dB and 9.238 dB, respectively. SOASVD approach yields the best result for noise attenuation.

The second synthetic example contains conficting linear events. The clean data (Fig. [7a](#page-8-0)) include one horizontal event and two dipping events. The noisy data are shown in Fig. [7c](#page-8-0) after adding random noise (Fig. [7b](#page-8-0)). Figures [8](#page-9-0), [9](#page-10-0) and [10](#page-11-0) show the denoised results, the removed noise sections and their corresponding cross-correlation sections. The denoised results (Fig. [8](#page-9-0)a–d) by using f-x deconvolution, f-x EMD, LSVD and local Cadzow fltering are still contaminated by a certain amount of noise. Compared

Fig. 8 Comparison of denoised data for the conficted linear synthetic example

with them, Fig. [8e](#page-9-0), f shows that random noise is largely suppressed. The removed noise (Fig. [9a](#page-10-0)–c) still has visible coherent events. From Figures [8f](#page-9-0), [9f](#page-10-0) and [10](#page-11-0)f, it can be observed that SOASVD has the best performance in removing noise and preserving the useful signal except leaking a little energy in conficted point of events. The processed result using the local orthogonalization method (Fomel [2007](#page-15-30); Chen and Fomel [2015\)](#page-15-31) is shown in Fig. [11.](#page-12-0)

Fig. 9 Comparison of removed noise for the conficted linear synthetic example

Fig. 10 Cross-correlation of denoised data and, respectively, removed noise for the conficted linear synthetic example.

Fig. 11 SOASVD orthogonalization for the conficted linear synthetic example

Comparing Fig. [11](#page-12-0)b, c with Figs. [9](#page-10-0)f, [10f](#page-11-0) illustrates that the leaked useful signal in conficted point is efectively retrieved.

Field data example

To further demonstrate the performance of SOASVD in practice, we choose the 2D profle (Fig. [12a](#page-13-0)) from western China. There are 57 traces with a sampling rate of 1 ms. It can be observed that strong random noise is present in data. After applying f-x deconvolution, f-x EMD, LSVD, local Cadzow fltering and SOASVD methods, the denoised result and the removed noise are shown in Figs. [12](#page-13-0) and [13,](#page-14-1) respectively. Random noise around at about 0.2 s is efectively attenuated by using f-x deconvolution, f-x EMD, LSVD and local Cadzow fltering approaches, while their performance is poor at about 0.3 s–0.5 s. Figures [12](#page-13-0)f and [13e](#page-14-1) show the information of events is well preserved and noise is suppressed using SOASVD method. It is noted that the dominant local slopes are estimated from Fig. [12](#page-13-0)b.

Limitations and future work

The SOASVD approach has its own limitations. The main limitation is that seismic events are attenuated or distorted at the crossed points because the predicted traces have lower similarity with the primitive trace in the region of the crossed points. Although the performance has improved by using the local orthogonalization, the investigation of noise attenuation regarding crossed points may be the subject of our future work.

Fig. 12 Comparison of denoised results for feld data

Conclusions

We put forward a approach to attenuate random noise using structure-oriented adaptive singular value decomposition (SOASVD). With the approach, each trace is extended to a fat 2D profle via predicting the trace from its neighboring traces. After noise is attenuated, the predicted 2D profle is stacked as one trace. Random noise of the predicted fat 2D profle is attenuated by using ASVD flter which can adaptively choose the rank of the estimated signal according

(e) SOASVD.

Fig. 13 Comparison of denoised results for feld data

Table 1 Comparison of SNR using diferent approaches

to the adjacent singular value increment and the SNR of processed windows. Synthetic and feld data examples demonstrate that, compared with f-x deconvolution, f-x EMD, LSVD and local Cadzow fltering, the proposed approach can obtain the best performance in suppressing random noise and preserving the useful signals for the low SNR data with dipping and hyperbolic events .

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