ORIGINAL PAPER

# **A** *(***<sup>4</sup> <sup>3</sup>***)***−approximation algorithm for a special case of the two machine flow shop problem with several availability constraints**

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**Abstract** In this paper, we deal with a special case of the two-machine flow shop scheduling problem with several availability constraints on the second machine, under the resumable scenario. We develop an improved algorithm with a relative worst-case error bound of 4/3.

**Keywords** Flow shop scheduling · Availability constraint · Approximation algorithms · Error bound

## **1 Introduction**

While most papers dealing with the scheduling field assume that the machines are available all the time, a growing number of works are considering that the machines are subject to breakdown. Authors differentiate between different models, the *semiresumable* (*sr*) case that if a job cannot be finished before the next down period of a machine then the job will have to partially restart when the machine has become available again. This model contains two special cases: namely the  $resumable(r)$ when the job can be continued without any penalty and the *nonresumable*(*nr*) case when the job needs to totally restart.

In this paper, we tackle a special case of the two-machine flow shop scheduling problem with several availability constraints (holes for short) on the second machine. In our case, we consider that the last hole starts before the optimal completion time ( $C^{joh}$ ) of all the jobs when considering the  $F_2||C_{\text{max}}$  problem. The objective is to find a schedule of *n* given jobs that minimizes the total completion time (i.e. the

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makespan). Each job  $J_i$  is composed by two operations  $O_{iA}$  and  $O_{iB}$ , which have to be processed on two machines *A* and *B*. Each machine can process at most one job at a time. Machine *A* is assumed to be always available. While machine *B* is unavailable during *q* holes and the precise time of each hole is known in advance. Besides, all jobs are supposed to be resumable. Following Kubzin et al. [\[7\]](#page-9-0), we will use the notation  $F_2|h(q_A, q_B), T|C_{\text{max}}$  to denote a two-machine flow shop problem with  $q_A$ (respectively  $q_B$ ) holes on machine  $A(B)$  under scenario  $T \in \{r, sr, nr\}$ . Extending this notation, we will denote our special case  $F_2|h(0, q)$ ,  $r$ ,  $s_q < C^{joh}|C_{\text{max}}$ , where  $s_q$ is the start time of the last hole on machine *B*.

While the well-known two-machine flow shop problem can be solved by Johnson's algorithm  $[5]$  $[5]$ , Lee  $[8, 9]$  $[8, 9]$  $[8, 9]$  showed that the problem with an availability constraint is NP-hard, and proposed a  $(\frac{3}{2})$ -approximation algorithm for the  $F_2|h(0, 1), sr|C_{\text{max}}$ problem; and a 2-approximation algorithm for the  $F_2|h(1, 0), sr|C_{\text{max}}$ . Better approximation algorithms have been proposed for the resumable scenario. In this respect, Breit [\[1](#page-9-4)] gives a  $(\frac{5}{4})$ -approximation algorithm for the  $F_2|h(0, 1), r|C_{\text{max}}$ problem, while Cheng and Wang [\[3](#page-9-5)] propose a  $(\frac{4}{3})$ -approximation algorithm for the  $F_2|h(1,0),r|C_{\text{max}}$ . Finally, it has been shown that the two-machine flow shop with a single hole admits a PTAS under the semiresumable scenario [\[7](#page-9-0)] and a FPTAS under the resumable scenario [\[10\]](#page-9-6).

Concerning the two-machine flow shop problem with a variable number of holes, it has been shown to be NP-hard in the strong sense [\[6\]](#page-9-7). Furthermore, the problem under the resumable scenario, has been proven to be non-approximable within a fixed factor in polynomial time provided that there is at least one hole on the second machine  $[6, 9]$  $[6, 9]$ . Under the semiresumable and nonresumable scenarios, Breit et al. [\[2](#page-9-8)] prove that the single machine problem with two holes is also non-approximable within a fixed factor in polynomial time. This means that the  $F_2|h(q, 0), r|C_{\text{max}}$  problem is the only configuration of the two-machine flow shop problem with several holes that may admit a fixed factor approximation algorithm, for which Kubzin et al. [\[7\]](#page-9-0) propose a  $(\frac{3}{2})$ -approximation algorithm. In this context, Cheng and Wang [\[4](#page-9-9)] propose a  $(\frac{5}{3})$ -approximation algorithm for the particular configuration of the semiresumable two-machine flow shop problem with consecutive two holes (one on each machine).

The remainder of this paper is organized as follows. Section [2](#page-1-0) introduces some notations and definitions. In Sect. [3,](#page-3-0) we state our approximation algorithm and analyze its worst-case behavior.

#### <span id="page-1-0"></span>**2 Notation and basic definitions**

We will use the following notation.

 $J = \{J_1, \ldots, J_n\}$ : the set of jobs.

 $\pi = \langle \pi(1), \ldots, \pi(n) \rangle$ : a permutation schedule where  $\pi(i)$  is the *i*th job in  $\pi$ .

 $a_i, b_i$ : processing times for  $J_i$  on  $A$  and  $B$  respectively.

*q* : number of holes. Without loss of generality we assume that no two holes overlap.  $s_k$ ,  $t_k$ : start and finish time of hole  $k, k \in \{1, \ldots, q\}$ . We assume that  $s_1 < s_2 < \cdots < s_q$ .  $h_k = t_k - s_k$ : length of hole *k*.

 $S_{ij}(\pi)$  and  $C_{ij}(\pi)$ : the start and finish time of operation  $O_{ij}$ ,  $i \in \{1, ..., n\}$ ,  $j \in$ 

 ${A, B}$  in a permutation  $\pi$ . We will drop the reference to the schedule  $\pi$  whenever no confusion can arise.

 $C_{\text{max}}(\pi)$ : the makespan of  $\pi$ .

 $\pi^*$ : an optimal solution.

 $C_{\text{max}}^{\star}$ : the optimal makespan.

 $C^{job}$ : the optimal makespan for  $F_2||C_{\text{max}}$ . It is evident that

$$
C^{\text{job}} \le C_{\text{max}}^{\star}.\tag{1}
$$

We also define  $a(Q) = \sum_{J_k \in Q} a_k, b(Q) = \sum_{J_k \in Q} b_k$  for a non-empty set *Q* of jobs.

Furthermore, for a given job  $\pi(k)$  in  $\pi$  we define  $H_{\pi(k)} = \sum_{h_i \in I} h_i$ , where  $I =$  ${h_i | s_i > S_{\pi(k)B}}$ , and  $H_T = \sum_{1 \le i \le q} h_i$ .

We note that it is sufficient to consider permutation schedules for the  $F_2|h(0, q), r|$ *C*<sub>max</sub> [\[7](#page-9-0)]. Furthermore, we assume that all operations are started as early as possible.

The special case that we consider assumes that  $s_q < C^{joh}$ , and necessarily, hole *q* will affect all schedules. Hence

$$
b(J) + H_T \le C_{\text{max}}^{\star}.
$$
 (2)

<span id="page-2-1"></span>We also note that the assumed restriction ( $s_q < C^{joh}$ ), is reasonable, as in many situations, *C*joh can be considered as an approximation to the scheduling horizon.

In order to calculate the makespan of a given schedule  $\pi$ , we have to search for the job  $\pi(u)$  which starts the last busy period on machine *B*. One of the following two conditions must be realized (see Fig. [1\)](#page-2-0).

<span id="page-2-2"></span>Condition1  $C_{\pi(u)A} = S_{\pi(u)B}$ . Hence

$$
C_{\max}(\pi) = C_{\pi(u)A} + \sum_{i=u}^{n} b_{\pi(i)} + H_{\pi(u)}.
$$
 (3)

 $b_{\pi(u)}$ 



<span id="page-2-0"></span>**Fig. 1** Two shapes of schedule  $\pi$ 

 $\boldsymbol{B}$ 

<span id="page-3-1"></span>Condition2 There exists a hole *r* such that  $s_r \leq C_{\pi(u)A} < t_r$ . Hence

$$
C_{\max}(\pi) = t_r + \sum_{i=u}^{n} b_{\pi(i)} + H_{\pi(u)}.
$$
 (4)

While the general  $F_2|h(0, q), r|C_{\text{max}}$  problem is not polynomially approximable within a fixed factor  $[9]$  $[9]$ , the next result shows that it is not the case for our special case.

**Theorem 1** *If*  $\pi$  *is a solution to*  $F_2|h(0, q)$ *, r,*  $s_q < C^{\text{job}}|C_{\text{max}}$ *, then*  $C_{\text{max}}(\pi)/C_{\text{max}}^*$ ≤2*.*

*Proof* Let  $\pi(u)$  be the job which starts the last busy period on machine *B*. If  $\pi(u)$ follows (condition1), then using [\(2\)](#page-2-1) and [\(3\)](#page-2-2) we have  $C_{\text{max}}(\pi) = C_{\pi(u)A} + \sum_{i=u}^{n} b_{\pi(i)} +$  $H_{\pi(u)} \le a(J) + b(J) + H_T \le 2C_{\text{max}}^*$ .

Otherwise ( $\pi(u)$  follows (condition2)), using [\(2\)](#page-2-1) and [\(4\)](#page-3-1), we obtain  $C_{\text{max}}(\pi)$  =  $t_r + \sum_{i=u}^{n} b_{\pi(i)} + H_{\pi(u)} \leq C_{\max}^{\star} + b(J) + H_T \leq 2C_{\max}^{\star}.$ 

We now recall the following rule for the two-machine flow shop problem. *Ratio Rule* (*RR*) : *J<sub>i</sub>* precedes *J<sub>j</sub>* if  $b_i/a_i > b_j/a_j$ . If  $b_i/a_i = b_j/a_j$ , we break the tie arbitrarily.

#### <span id="page-3-0"></span>**3 An improved heuristic**

We introduce now a  $\left(\frac{4}{3}\right)$  –approximation algorithm for the  $F_2|h(0, q), r, s_q < C^{j \text{oh}}|$ *C*max problem.

### **Algorithm H:**

- (i) Let  $J_x$  and  $J_y$  be two jobs with the largest and the second largest processing time on *A*, respectively, i.e.  $\min\{a_x, a_y\} \ge a_i$  for  $i = 1, \ldots, n, i \ne x, i \ne y$ , and  $a_x \geq a_y$ .
- (ii) Sequence the jobs of *J* according to *RR*. Call the resulting schedule  $\pi_0$ . And let  $C_H = C_{\text{max}}(\pi_0)$ .
- (iii) For  $p = 1, \ldots, n$ , sequence the jobs in the same sequence as that in step (ii) except that  $J_x$  is scheduled in the position  $p$ . Call the corresponding schedule  $\pi_p$  and let  $C_H = \min\{C_H, C_{\max}(\pi_p)\}\)$ . (Note that  $\pi_p(p) = J_x$ ).
- (iv) Sequence arbitrarily the jobs of  $J\setminus\{J_x, J_y\}$ . Then sequence  $J_x$  and  $J_y$  as the last two jobs such that the completion time of the last one is minimized. Call the resulting schedule  $\pi_{n+1}$  and let  $C_H = \min\{C_H, C_{\max}(\pi_{n+1})\}.$

It is clear that Algorithm *H* can be executed in  $O(n \log n)$  time.

<span id="page-3-2"></span>Before giving the worst-case error bound of algorithm *H*, we establish the following two lemmas which will be used in the subsequent analysis.

**Lemma 1** *For schedule*  $\pi_p(0 \leq p \leq n)$ *, let*  $J_y = \pi_p(u)$  *be the job which starts the last busy period on machine B in*  $\pi_p$ . Given an optimal solution  $\pi^*$ , let  $J_z = \pi^*(u')$ *be a job in*  $\pi^*$  *such that*  $S_{zA}(\pi^*) \leq S_{yA}(\pi_p) \leq C_{zA}(\pi^*)$ *.* 

(i) *For schedule*  $\pi_p(1 \leq p \leq n)$ *, assume that*  $J_x = \pi_p(p) = \pi^*(p')$ *. If*  $(p \geq u$  *and*  $p' \ge u'$ ) or ( $p' < u$  and  $p' < u'$ ) then

$$
\sum_{i=u}^{n} b_{\pi_p(i)} + H_{\pi_p(u)} \leq \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}.
$$

(ii) *For schedule*  $\pi_0$  *we have* 

$$
\sum_{i=u}^{n} b_{\pi_0(i)} + H_{\pi_0(u)} \leq \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}.
$$

*Proof* (i) We note  $E = {\pi_p(i) | u \le i \le n}, F = {\pi^*(i) | u' \le i \le n}$  and  $G = E \cap F$ .

<span id="page-4-0"></span>By definition of job  $J_z$ , we have  $a(E) \le a(F)$ , then

$$
a(E \backslash G) \le a(F \backslash G). \tag{5}
$$

It is clear that whether ( $p \ge u$  and  $p' \ge u'$ ) or ( $p < u$  and  $p' < u'$ ), we have  $J_x \notin E \backslash G$  and  $J_x \notin F \backslash G$ . As the jobs in  $\pi_p$ , except  $J_x$ , are scheduled according to *RR*, then we have  $b_y/a_y \ge b_i/a_i \,\forall J_i \in E \setminus G$ , and  $b_y/a_y \le b_i/a_i \,\forall J_i \in G$  $F \setminus G$ . Hence, and using [\(5\)](#page-4-0), we derive that

$$
\sum_{J_i \in E \setminus G} b_i = \sum_{J_i \in E \setminus G} a_i \left(\frac{b_i}{a_i}\right)
$$
\n
$$
\leq \sum_{J_i \in E \setminus G} a_i \left(\frac{b_y}{a_y}\right)
$$
\n
$$
\leq \sum_{J_i \in F \setminus G} a_i \left(\frac{b_y}{a_y}\right)
$$
\n
$$
\leq \sum_{J_i \in F \setminus G} a_i \left(\frac{b_i}{a_i}\right) = \sum_{J_i \in F \setminus G} b_i.
$$

<span id="page-4-1"></span>Hence  $b(E \backslash G) \leq b(F \backslash G)$  and so

$$
\sum_{i=u}^{n} b_{\pi_p(i)} \le \sum_{i=u'}^{n} b_{\pi^*(i)}.
$$
 (6)

As  $J_y$  starts a busy period on machine *B*, then [\(6\)](#page-4-1) implies that  $S_{zB}(\pi^*) \leq$  $S_{yB}(\pi_p)$ , for otherwise  $\pi^*$  is not optimal. Hence  $H_{\pi_p(u)} \leq H_{\pi^*(u')}$  and we obtain

$$
\sum_{i=u}^{n} b_{\pi_p(i)} + H_{\pi_p(u)} \leq \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}.
$$

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<span id="page-5-1"></span>**Fig. [2](#page-5-0)** Schedule  $\pi_p$  satisfying Lemma 2

(ii) Given that all jobs in  $\pi_0$  are scheduled according to RR, using a similar argument as in (i), it should be easy to show the result.  $\square$ 

<span id="page-5-0"></span>**Lemma 2** *One of the following two conditions must be realized.*

- *–*  $J_x$  *is the first job in*  $\pi^*$ .
- *– There exists a schedule*  $\pi_p$ ,  $2 \leq p \leq n$ , such that  $S_{\pi_p(p-1)A}(\pi_p) < S_{xA}(\pi^*) \leq$  $S_{X,A}(\pi_p)$ .

*Proof* Suppose that  $J_x$  is not the first job in  $\pi^*$ . We consider the schedule  $\pi_n$  (where *J<sub>x</sub>* is scheduled last). Let  $\pi_n(p)$  be the first job of  $\pi_n$  such that  $S_{\pi_n(p)A}(\pi_n) \geq S_{xA}(\pi^*)$ (see Fig. [2\)](#page-5-1). It is then easy to see that schedule  $\pi_p$  obtained by scheduling  $J_x$  in position *p* is such that  $S_{\pi_p(p-1)A}(\pi_p) < S_{xA}(\pi^*) \leq S_{xA}(\pi_p)$  (see Fig. [2\)](#page-5-1).

The worst-case performance of algorithm *H* is given by Theorem [2.](#page-5-2)

<span id="page-5-2"></span>**Theorem 2** *For the F*<sub>2</sub> $|h(0, q)$ *, r*, *s<sub>q</sub>*  $\lt C^{joh}|C_{\text{max}}$  *problem, the relative worst-case error bound of algorithm H is given by*  $C_H/C_{\text{max}}^{\star} \leq 4/3$ .

*Proof* Let  $Q = \{J_i \in J | a_i \ge C_{\text{max}}^{\star}/3\}$ . Although it is NP-hard to calculate  $C_{\text{max}}^{\star}$ , it is obvious that  $|Q| \leq 3$ . Furthermore, the unique configuration that satisfies  $|Q| = 3$ , is to have 3 jobs such that  $a_1 = a_2 = a_3 = C_{\text{max}}^{\star}/3$ ,  $b_3 = 0$  and the rest of the jobs such that  $a_i = 0$ . Considering that this case is obvious, in the remainder of the proof we assume that  $|Q| \leq 2$ .

We are going to consider the following 3 cases.

*Case 1*  $|Q| = 0$ .

<span id="page-5-3"></span>We consider the schedule  $\pi_0$ . Let  $J_y = \pi_0(u)$  be the job which starts the last busy period on machine *B*. And let  $J_z = \pi^*(u')$  be a job in  $\pi^*$  such that  $S_{zA}(\pi^*) \leq$  $S_{yA}(\pi_0) \leq C_{zA}(\pi^*)$ . From Lemma [1\(](#page-3-2)ii) we have

$$
\sum_{i=u}^{n} b_{\pi_0(i)} + H_{\pi_0(u)} \le \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}.
$$
 (7)



<span id="page-6-1"></span>**Fig. 3** Schedules  $\pi_0$  and  $\pi^*$ 

<span id="page-6-0"></span>If  $J_v$  satisfies (condition1), then form [\(3\)](#page-2-2) and [\(7\)](#page-5-3) we obtain

$$
C_{\max}(\pi_0) = C_{yA}(\pi_0) + \sum_{i=u}^{n} b_{\pi_0(i)} + H_{\pi_0(u)}
$$
  
\n
$$
\leq S_{yA}(\pi_0) + a_y + \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}
$$
  
\n
$$
\leq C_{zA}(\pi^*) + a_y + \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}.
$$
\n(8)

Given the position of  $J_z$  in  $\pi^*$ , we have  $C_{zA}(\pi^*) + \sum_{i=u'}^n b_{\pi^*(i)} + H_{\pi^*(u')} \leq C_{\max}^*$ . Hence, using [\(8\)](#page-6-0) we obtain  $C_{\text{max}}(\pi_0) \le C_{\text{max}}^{\star} + a_y$ . As by assumption  $a_y < C_{\text{max}}^{\star}/3$ , then  $C_{\text{max}}(\pi_0) \leq 4C_{\text{max}}^{\star}/3$ .

If  $J_v$  satisfies (condition2), two cases are to be considered (see Fig. [3\)](#page-6-1)

*Case 1-1*  $S_{zB}(\pi^*) \ge t_r$ . In this case it is easy to verify that  $t_r + \sum_{i=u'}^n b_{\pi^*(i)} +$  $H_{\pi^*(u')} \leq C_{\max}^*$ . Hence, and using [\(4\)](#page-3-1) and [\(7\)](#page-5-3), we obtain  $C_{\max}(\pi_0) = t_r + \sum_{i=1}^{n} b_{\pi_0(i)} +$  $H_{\pi_0(u)} \leq C_{\max}^{\star}$ .

*Case 1-2*  $S_{zB}(\pi^*) < s_r$ . We note  $\delta = s_r - S_{zB}(\pi^*)$ . By construction we have  $\delta \leq$  $a_y \leq C_{\max}^{\star}/3$ , and  $t_r + \sum_{i=u'}^{n} b_{\pi}(i_i) + H_{\pi}(u') - \delta \leq C_{\max}^{\star}$ . Hence and using [\(4\)](#page-3-1) and [\(7\)](#page-5-3) we have

$$
C_{\max}(\pi_0) = t_r + \sum_{i=u}^n b_{\pi_0(i)} + H_{\pi_0(u)}
$$
  
\n
$$
\leq t_r + \sum_{i=u'}^n b_{\pi^*(i)} + H_{\pi^*(u')} - \delta + \delta
$$
  
\n
$$
\leq C_{\max}^* + \delta
$$
  
\n
$$
\leq 4C_{\max}^* / 3.
$$

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*Case* 2  $|Q| = 1$  (i.e.  $Q = \{J_x\}$ ).

We note  $J_x = \pi^*(p') = \pi_p(p)$ . We consider step (iii) of the algorithm. According to Lemma [2,](#page-5-0) one of the following two cases must be realized.

*Case 2-1* There exists a schedule  $\pi_p$ ,  $2 \leq p \leq n$ , such that  $S_{\pi_p(p-1)A}(\pi_p)$  $S_{\text{xA}}(\pi^{\star}) \leq S_{\text{xA}}(\pi_p)$  (see Fig. [2\)](#page-5-1).

*Case 2-2*  $J_x$  is the first job in  $\pi^*$ .

We consider separately each case.

*Case 2-1* Let  $J_v = \pi_p(u)$  be the job which starts the last busy period on machine *B* in schedule  $\pi_n$ .

If  $J_y \neq J_x$ , let  $J_z = \pi^*(u')$  be a job in  $\pi^*$  such that  $S_{zA}(\pi^*) \leq S_{yA}(\pi_p) \leq$  $C_{zA}(\pi^{\star}).$ 

It should be clear that if  $p \ge u$  then  $p' \ge u'$ , and if  $p < u$  then  $p' < u'$ . Thus, from Lemma [1\(](#page-3-2)i) we obtain  $\sum_{i=u}^{n} b_{\pi_p(i)} + H_{\pi_p(u)} \le \sum_{i=u'}^{n} b_{\pi^*(i)} + H_{\pi^*(u')}$ . Using exactly the same argument as in case 1, we derive  $C_{\text{max}}(\pi_p) \leq C_{\text{max}}^* + a_y \leq 4C_{\text{max}}^* / 3$ .

Otherwise,  $J_y = J_x = \pi_p(p)$ . Let  $J_z = J_x = \pi^*(p')$ . We have  $S_{zA}(\pi^*) \leq$  $S_{yA}(\pi_p) \leq C_{zA}(\pi^*)$  then from Lemma [1\(](#page-3-2)i) we obtain

$$
\sum_{i=p}^{n} b_{\pi_p(i)} + H_{\pi_p(p)} \le \sum_{i=p'}^{n} b_{\pi^*(i)} + H_{\pi^*(p')}.
$$
 (9)

<span id="page-7-1"></span><span id="page-7-0"></span>If  $J_x$  follows (condition1) then using [\(3\)](#page-2-2) and [\(9\)](#page-7-0) we obtain

$$
C_{\max}(\pi_p) = C_{xA}(\pi_p) + \sum_{i=p}^{n} b_{\pi_p(i)} + H_{\pi_p(p)}
$$
  
\n
$$
\leq S_{\pi_p(p-1)A}(\pi_p) + a_{\pi_p(p-1)} + a_x + \sum_{i=p'}^{n} b_{\pi^*(i)} + H_{\pi^*(p')}
$$
  
\n
$$
\leq S_{xA}(\pi^*) + a_{\pi_p(p-1)} + a_x + \sum_{i=p'}^{n} b_{\pi^*(i)} + H_{\pi^*(p')}.
$$
 (10)

Given the position of  $J_x$  in  $\pi^*$ , we have  $S_{xA}(\pi^*) + a_x + \sum_{i=p'}^n b_{\pi^*(i)} + H_{\pi^*(p')} \leq$  $C_{\text{max}}^{\star}$ . Hence, using [\(10\)](#page-7-1) we obtain

$$
C_{\max}(\pi_p) \le C_{\max}^{\star} + a_{\pi_p(p-1)} \le 4C_{\max}^{\star}/3.
$$

If  $J_x$  follows (condition2), as in case 1, we consider the following two cases:

*Case 2-1-1*  $S_{xB}(\pi^*) \ge t_r$ . In this case it is easy to verify that as in case 1-1, *t Case* 2-1-1  $S_{xB}(\pi^*) \ge t_r$ . In this case it is easy to verify that as in case 1-1,  $t_r + \sum_{i=p'}^n b_{\pi^*(i)} + H_{\pi^*(p')} \le C_{\max}^*$ . Hence, and using [\(4\)](#page-3-1) and [\(9\)](#page-7-0), we obtain  $C_{\max}(\pi_p) =$  $t_r + \sum_{i=p}^{n} b_{\pi_p(i)} + H_{\pi_p(p)} \leq C_{\max}^{\star}.$ 

*Case 2-1-2*  $S_{xB}(\pi^*) < s_r$ . We again consider  $\delta = s_r - S_{xB}(\pi^*)$ . We have

$$
\delta = s_r - S_{xB}(\pi^*)
$$
  
\n
$$
\leq C_{xA}(\pi_p) - C_{xA}(\pi^*)
$$
  
\n
$$
\leq S_{xA}(\pi_p) - S_{xA}(\pi^*)
$$
  
\n
$$
\leq a_{\pi_p(p-1)} \leq C_{\max}^*/3,
$$

and  $t_r + \sum_{i=p'}^{n} b_{\pi^*(i)} + H_{\pi^*(p')} - \delta \leq C_{\text{max}}^*$ . Hence and using [\(4\)](#page-3-1) and [\(9\)](#page-7-0) we have

$$
C_{\max}(\pi_p) = t_r + \sum_{i=p}^{n} b_{\pi_p(i)} + H_{\pi_p(p)}
$$
  
\n
$$
\leq t_r + \sum_{i=p'}^{n} b_{\pi^*(i)} + H_{\pi^*(p')} - \delta + \delta
$$
  
\n
$$
\leq C_{\max}^{\star} + \delta \leq 4C_{\max}^{\star}/3.
$$

*Case 2-2* We consider  $\pi_1$  where  $J_x$  is scheduled first as in  $\pi^*$ . Let  $J_y = \pi_1(u)$  be the job which starts the last busy period on machine *B* in schedule  $\pi_1$ .

If  $J_y \neq J_x$  then using Lemma [1\(](#page-3-2)i), it can be shown that  $C_{\text{max}}(\pi_1) \leq C_{\text{max}}^* + a_{\pi_1(u)} \leq C_{\text{max}}^*$  $4C_{\text{max}}^{\star}/3$ . Otherwise we have  $C_{\text{max}}(\pi_1) = a_x + b(J) + H_{\pi_1(1)} = a_x + b(J) + H_{\pi^*(1)} \le$  $C_{\text{max}}^{\star}$ .

*Case*  $3 |Q| = 2$ .

<span id="page-8-0"></span>In this case, we have

$$
a(J \setminus Q) = a(J) - a(Q) \le C_{\text{max}}^{\star}/3. \tag{11}
$$

We consider the schedule  $\pi_{n+1}$ . Let  $J_v = \pi_{n+1}(u)$  be the job which starts the last busy period on machine *B*.

If  $J_v \notin Q$ , then it should be clear that either  $J_v$  follows (condition1) or (condition2) we have  $C_{\text{max}}(\pi_{n+1}) \le a(J \setminus Q) + b(J) + H_T$ . Hence, using [\(2\)](#page-2-1) and [\(11\)](#page-8-0) we obtain  $C_{\max}(\pi_{n+1}) \leq 4C_{\max}^{\star}/3.$ 

Otherwise  $J_y \in Q$ . As the jobs of Q are scheduled such as to minimize the total completion time, then the completion time of jobs in *Q* considered alone should be no greater than  $C_{\text{max}}^*$ . Hence we have  $C_{\text{max}}(\pi_{n+1}) \le a(J \setminus Q) + C_{\text{max}}^* \le 4C_{\text{max}}^*/3$ .

We then conclude that

$$
C_H = \min_{0 \le p \le n+1} \{ C_{\text{max}}(\pi_p) \} \le 3 C_{\text{max}}^{\star}/2
$$

The proof is complete.

Although we are not able to show that the bound is tight, the following instance shows that the bound cannot be better than 5/4. We consider the following problem

instance with  $n = z + 2$  and  $q = 1$ . Let  $a_1 = a_2 = a_3 = z$ ,  $b_1 = b_2 = z$ ,  $b_3 = 1$ ,  $a_i = b_i = 1$  for  $4 \le i \le n$ ,  $s_1 = z$ ,  $t_1 = 2z - 1$  where  $z > 2$ . Without loss of generality, we set  $J_x = J_2$  and  $J_y = J_3$ .

It is clear that step (ii) may result in schedule  $\pi_0 = \langle J_1, J_4, \ldots, J_n, J_2, J_3 \rangle$  with  $C_{\text{max}}(\pi_0) = 5z - 1.$ 

For  $p = 1, \ldots, n$ , step (iii) may result in schedules  $\pi_1 = \langle J_2, J_1, J_4, J_5, \ldots, J_n, J_3 \rangle$ ,  $\pi_2 = \langle J_1, J_2, J_4, J_5, \ldots, J_n, J_3 \rangle$ ,  $\pi_3 = \langle J_1, J_4, J_2, J_5, \ldots, J_n, J_3 \rangle$ , ... and  $\pi_n =$  $\langle J_1, J_4, J_5, \ldots, J_n, J_3, J_2 \rangle$  with  $C_{\text{max}}(\pi_p) = 5z - 1$  for  $p = 1, \ldots, n$ .

Step (iv) may result in schedule  $\pi_{n+1} = \pi_0$  with  $C_{\text{max}}(\pi_{n+1}) = 5z - 1$ . Hence  $C_H = 5z - 1$ . However the optimal solution is  $\pi^* = \langle J_4, \ldots, J_n, J_1, J_2, J_3 \rangle$  with  $C_{\text{max}}^{\star} = 4z$ . We see that  $C_H / C_{\text{max}}^{\star}$  goes to 5/4 as *z* approaches to infinity.

#### <span id="page-9-4"></span>**References**

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