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Adjusting the state of pitch anode for efective oxidation with suppressed graphitization and enhanced Na storage performances

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Abstract

Pitch has captured extensive interest as an anode material for sodium-ion batteries due to the abundant resources, low cost, and improvable reversible capacity through oxidation; however, not all the oxidations are efective. Here, a precise lowtemperature pyrolysis strategy is successfully adopted to afrm the efective oxidation precursor. The results indicate that adjusting the state of pitch before oxidation can realize diferent structural conversions and Na storage performances during high-temperature carbonization. Carbonized pristine pitch (P-1400) and carbonized oxidized pitch, whose precursor is pyrolyzed at 600 °C (P600-N+S-1400), both show graphite-like microcrystallite structures and deliver reversible capacities 92.4 and 93.1 mAh g^{-1} (at 20 mA g^{-1}) with 48.8 and 47.6% initial coulombic efficiencies, respectively. In comparison, carbonized oxidized pitch, whose precursor is pyrolyzed at 400 \degree C (P400-N + S-1400), displays a typical amorphous structure and increases the reversible capacity to 315.1 mAh g^{-1} (at 20 mA g^{-1}) with 61.4% initial coulombic efficiency. Only incompletely carbonized pitch can have efective oxidation with suppressed graphitization and enhanced electrochemical performances.

Keywords Incompletely carbonized pitch · Sodium-ion battery · Oxidation · Suppressed graphitization · Enhanced electrochemical performances

Introduction

Sodium-ion batteries (SIBs) have similar working principles and components to lithium-ion batteries (LIBs). Abundant resources, low costs, and safety performances make SIB promising in the feld of large-scale energy storage [[1,](#page-8-0) [2](#page-8-1)]. Surprisingly, graphite, which is a kind of carbon anode with excellent electrochemical performances and mature commercial application in LIB $[3]$, is difficult to insert/extract for $Na⁺$ due to thermodynamics $[4, 5]$ $[4, 5]$ $[4, 5]$ $[4, 5]$. It prompts researchers to pay more attention to other carbon materials [\[6](#page-8-5)[–18](#page-8-6)]. Compared with hard carbon with a higher specifc capacity but higher cost, researchers are still searching for betterperformed soft carbon through tuning and optimizing the structures [\[19](#page-8-7)[–23\]](#page-8-8).

Many precursors have been used to synthesize soft carbon, including petroleum coke, pitch, plastics, tar, and most aromatic compounds [\[7](#page-8-9), [24–](#page-8-10)[29\]](#page-9-0). Among them, pitch has a low price [[30](#page-9-1)]. However, pitch is also abundant with oligomers of alkylated polycyclic aromatic hydrocarbons. The direct pyrolysis of pitch at high temperatures results in soft carbon with near parallel stacked carbon sheets and a relatively high graphitization degree, which is unsuitable for Na⁺ insertion/extraction [[31\]](#page-9-2).

Fortunately, it has been reported that oxidation can efectively stabilize the molecule structure of pitch and prevent its softening through forming the cross-linking networks during carbonization [\[32](#page-9-3)[–36](#page-9-4)]. It results in more disordered crystalline structures with enlarged interlayer spacing, which exhibits the typical Na storage behavior of hard carbon. Based on previous researches [[6,](#page-8-5) [32,](#page-9-3) [37](#page-9-5), [38\]](#page-9-6), the formation of crosslinking networks is favored by the presence of oxygen or shortage of hydrogen in the raw carbon materials. During the early stage of carbonization, carbon materials with much hydrogen can constantly form hydrocarbon decomposition products, which will prevent carbon materials from solid state and remain relatively mobile, inducing a continual

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reduction and destruction of cross-links. By contrast, if the carbon residue remains few hydrogens after the frst mass loss at the early stage of carbonization, the voids formed by the gas escape would not be able to collapse, leading to solid-state carbonization and non-graphitization at further high temperatures [\[37–](#page-9-5)[39\]](#page-9-7).

Recently, Qi et al. presented an in situ $Mg(NO_3)_2.6H_2O$ solidifcation strategy for pitch to convert the fusion-state into solid-state carbonization [[40\]](#page-9-8). The oxygen species and solid products generated from the decomposition of $Mg(NO_3)_2.6H_2O$ retarded the melting and reordering of pitch. Furthermore, the comparison experiment of $Fe(NO₃)₃·9H₂O$ proposed that not all the oxidations are efective. Only by providing oxygen within an appropriate temperature range can the excess hydrogen be neutralized, preventing the melting at the initial stage of pitch carbonization. Compared with the traditional oxidation method, this research provides a new thought that using the continuous decomposition of $Mg(NO_3)_2.6H_2O$ can supply oxygen during carbonization. Nevertheless, it also raises a question: how to reach the appropriate oxidation temperature range for pitch?

Here, a series of pitch-based carbon for SIB anodes via low-temperature pyrolysis, oxidation, and high-temperature carbonization were prepared. A precise low-temperature pyrolysis strategy, which adjusted the pyrolysis temperature over a wide range of $0 \sim 800$ °C, was adopted to affirm the efective oxidation precursor. The results reveal that adjusting the state of pitch before oxidation can realize diferent structural conversions and Na storage performances during high-temperature carbonization. Only by oxidizing incompletely carbonized pitch can the non-graphitizing carbon be formed after carbonization, exhibiting the superior reversible capacity and initial coulombic efficiency (ICE) for SIB.

Experimental

Material synthesis

The pristine pitch (P, Canrd) was frstly heated under argon treatment at diferent temperatures (200 °C, 300 °C, 400 °C, 500 °C, 600 °C, and 800 °C) for 2 h to get different states of pitch-based carbon (P, P200, P300, P400, P500, P600, and P800). Then, pitch-based carbon was dispersed in the mixture of sulfuric acid $(H_2SO_4, 95.0 \sim 98.0\%)$ and nitric acid (HNO₃, $65.0 \sim 68.0\%$), respectively, keeping V(H₂SO₄)/ $V(HNO₃) = 1:2$. After stirring at 50 °C for 12 h, washing with deionized water, and heating at 80 °C for 24 h, the oxidation products $(P-N + S, P200-N + S, P300-N + S,$ P400-N + S, P500-N + S, P600-N + S, and P800-N + S) were obtained. Finally, the dried oxidation products were annealed at 1400 °C for 2 h under flowing argon, and the target products $(P-N + S-1400, P200-N + S-1400,$ P300-N + S-1400, P400-N + S-1400, P500-N + S-1400, $P600-N+S-1400$, and $P800-N+S-1400$) were synthesized. The optimal carbonization temperature was 1400 °C with the best electrochemical performances after oxidation [\[35](#page-9-9)].

As the pitch-based carbon in this experiment was heated twice, namely low-temperature pyrolysis and high-temperature carbonization, carbonized pitches with different pyrolysis temperatures and an identical carbonization temperature were tested to confrm whether pyrolysis temperature afects the electrochemical performances of pitch. Comparing the galvanostatic charge/discharge curves (the first cycle, 20 mA g^{-1}) in Fig. S1, it showed that the reversible capacities of carbonized pitches with diferent pyrolysis temperatures were nearly the same. Therefore, diferent pyrolysis temperatures did not afect the experimental data in this paper.

Material characterization

High-resolution transmission electron microscopy (HRTEM) and selected area electron difraction (SAED) measurements were performed on a JEOL-F200. X-ray difraction (XRD) was analyzed on a Rigaku Ultima IV. Raman spectra were obtained on a Thermo Scientifc DXR. Fourier transform infrared spectroscopy (FTIR) measurements were performed on a Frontier NIR. X-ray photoelectron spectra (XPS) were performed on a Shimadzu AXIS Supra. Thermogravimetry (TG) and diferential scanning calorimeter (DSC) were carried on an STA 449 F5.

Electrochemical measurements

The electrochemical tests were conducted on CR2025 coin cells at ambient temperature. The working electrode was prepared by spreading the homogeneous slurry onto a copper foil, which consisted of 80% active materials, 10% acetylene black, and 10% PVDF in *N*-methyl-2-pyrrolidone. Sodium metal was utilized as the counter electrode in SIB, and potassium metal was utilized as the counter electrode in potassium-ion battery (PIB). Glass fber flters (GF/D) were used as the separator. The electrolyte in SIB was 1 M NaClO₄ with 2% fuoroethylene carbonate (FEC) additive dissolved in a mixture of ethylene carbonate, dimethyl carbonate, and ethyl methyl carbonate (EC/DMC/EMC=1:1:1, vol%). The electrolyte in PIB was 0.8 M KPF_6 in a mixture of ethylene carbonate and diethyl carbonate ($EC/DEC = 1:1$, vol%). The coin cells were assembled in an Ar-flled glovebox (Mikrouna, H_2O , O_2 < 0.1 ppm). Galvanostatic charge/discharge curves, rate performances, and cycling performances were obtained within the potential range of $3.00 \sim 0.01$ V (vs. Na⁺/ Na) and $3.00 \sim 0.01$ V (vs. K⁺/K) on a Land CT2001A battery tester. Cyclic voltammetry (CV) and electrochemical impedance spectroscopy (EIS) were conducted using a CHI604E electrochemical workstation.

Results and discussion

To analyze the structural conversions from oxidation, HRTEM, XRD, and Raman analyses of P400-N+S-1400, $P600-N + S-1400$, and $P-1400$ were performed. Apparent long-range-ordered parallel carbon layers are shown in HRTEM images (Fig. [1a, c\)](#page-2-0), which reveal the high graphitization degrees of $P-1400$ and $P600-N+S-1400$. SAED patterns show sharp difraction rings, which also demonstrate the graphitic structures of P-1400 and P600-N + S-1400 [[37,](#page-9-5) [41](#page-9-10)]. Oppositely, $P400-N+S-1400$ in Fig. [1b](#page-2-0) has a different microstructure. The disordered turbostratic structure with curved carbon layers becomes evident over the entire area, giving rise to a large number of voids due to layer shifting or folding with each other from the atomic mobility. Moreover, the difraction ring in the corresponding SAED pattern is blurred, indicating the disordered structure of $P400-N+S-1400$ [[42](#page-9-11), [43](#page-9-12)].

XRD patterns (Fig. [1d\)](#page-2-0) of $P400-N + S-1400$ and P600-N + S-1400 are also different. P400-N + S-1400 has a broad diffraction peak at $2\theta \approx 23.46^{\circ}$ (Table [1\)](#page-2-1), corresponding to the (002) plane, which is a characteristic of a

Fig. 1 HRTEM images of **a** P-1400, **b** P400-N+S-1400, and **c** P600-N+S-1400. **d** XRD patterns and **e** Raman spectra of P-1400, P400-N + S-1400, and P600-N + S-1400. **f** TG curve of pitch under N_2 atmosphere

Samples	(002) peak $(°)$	d_{002} (nm)	I_D/I_G	$C1s$ (at.%)	$O1s$ (at.%)	$N1s$ (at.%)	Atomic $(\%)$		
							$C-O$	$C=O$	$C-OH$
P ₄₀₀	24.76	0.359	0.55	95.59	4.41	$\overline{}$	0.54	0.58	0.52
P600	25.52	0.349	0.61	90.94	9.96	٠	1.04	1.67	1.18
$P400-N + S$	23.24	0.382	0.69	70.67	21.87	7.46	1.71	3.44	3.59
$P600-N + S$	25.46	0.350	0.71	81.75	15.56	2.69	0.98	3.84	1.35
$P400-N + S-1400$	23.46	0.379	1.07	89.93	10.07	٠			
$P600-N + S-1400$	25.74	0.346	0.99	93.53	6.47	٠			
$P-1400$	25.80	0.345	0.99	95.27	4.73	٠			

Table 1 Physical parameters of samples from XRD, Raman, and XPS

typical amorphous structure. In contrast, the (002) peak of $P600-N + S-1400$ is quite sharp and symmetric, which is similar to P-1400, revealing graphite-like microcrystallite structures [\[37](#page-9-5), [41](#page-9-10), [43](#page-9-12), [44](#page-9-13)]. The carbon layer spacings (d_{002}) calculated from the XRD data are shown in Table [1,](#page-2-1) which are consistent with the HRTEM results (Figs. [1a–c](#page-2-0) and S2- 4). XRD patterns of other carbonized oxidized pitches are shown in Fig. S5. $P-N + S-1400$, $P200-N + S-1400$, and $P300-N + S-1400$ all indicate typical amorphous structures, the same with $P400-N + S-1400$. $P800-N + S-1400$ is graphitized just like P-1400 and $P600-N + S-1400$. $P500-N + S-1400$ is likewise graphitized, whereas the intensity of (002) peak is much lower than that of P-1400 and $P600-N+S-1400$, indicating $P500-N+S-1400$ is at the transition stage from a highly disordered structure to graphite-like structure. Raman spectra are presented in Fig. [1e.](#page-2-0) The intensity of D band is stronger than that of G band in $P400-N+S-1400$, while the situations are entirely inverse for P-1400 and P600-N + S-1400, which confirms the higher disorder degree of $P400-N+S-1400$ [[35\]](#page-9-9).

TG curves (Fig. [1f](#page-2-0)) were obtained to understand the state conversion of pitch. Weight loss is slight up to 300 °C due to the water desorption and the loss of methylene hydrogen. Evident weight loss is observed between 300 and 560 °C, indicating that pitch decomposes rapidly during this temperature as processes like molecule volatilization, hydrocarbon skeleton cleavage, as well as functional group partially abstraction may occur. After 560 °C, a polymerization reaction occurs and molecules are rearranged [[45\]](#page-9-14). The weight loss becomes less apparent, revealing the carbonization of pitch has been completed [[35\]](#page-9-9).

Pitch is a kind of soft carbon, which is easy to convert amorphous carbon into graphite when the temperature is above 2500 \degree C [[37\]](#page-9-5). The above results reveal that not all the oxidations can restrain the graphitization of pitch at high temperatures. Only incompletely carbonized pitch can maintain the amorphous structure after oxidation and carbonization, just like P, P200, P300, and P400. When pitch is carbonized at 500 °C, P500 is at the transition stage and its carbonized oxidized pitch is graphitized with low intensity.

When pitch is completely carbonized, such as P600 and P800, carbonized oxidized pitch turns to a graphite-like microcrystallite structure.

The changes caused by the introduction of oxygen were further analyzed. XRD patterns in Fig. [2a](#page-3-0) show that (002) peak of P400 is poorly crystallized, and a small bump is in the range of $10 \sim 20^{\circ}$. Compared with P400, P600 has a right shift (002) peak, and the bump is disappeared. It indicates that P400 is incompletely carbonized and P600 is completely carbonized [[46](#page-9-15)], which is in correspondence with TG analysis. Compared with P400, (002) peak of P400-N + S shifts to the left and retains an amorphous structure, demonstrating an increased interlayer spacing of $P400-N+S$ (Table [1](#page-2-1)). Unlike P400 series of carbon, only minute diferences of (002) peaks are noticed between P600 and P600-N + S. In addition, typical broad amorphous carbon difraction peaks corresponding to the (002) plane are all displayed in XRD patterns for pitch-based carbon and oxidized pitchbased carbon, indicating that the overall structure does not change after oxidation. Raman spectra in Fig. [2b](#page-3-0) present that the values of intensity ratio $(I_D/I_C$, Table [1\)](#page-2-1) for P400, P400-N + S, P600, and P600-N + S are 0.55, 0.69, 0.61, and 0.71, respectively, indicating that the oxidized pitch-based carbon has higher I_D/I_G values, which is an evolution of local structure from ordered to disordered after oxidation [[35\]](#page-9-9).

FTIR (Fig. $3a$) measurement was conducted to affirm the existing state of oxygen. The peaks at around 3436 cm−1 correspond to the O–H stretching vibration of hydroxyl groups and residual water. The peaks at approximately 1735 cm^{-1} represent carboxyl/carbonyl stretching groups. Besides, the peaks occurring at 1343 cm⁻¹ are due to the O–H bending deformation of C–OH groups, and the C–O stretching vibrations are detected at 1032 cm−1 [[47](#page-9-16)]. It can be noticed in P400 series of carbon and P600 series of carbon that oxygencontaining groups such as $C = 0$, $C = 0$, and $C = 0$ H are all increased after oxidation, while the intensities are diferent. It could be tentatively concluded that the introduction of oxygen is mainly in the form of carboxyl after oxidation [\[48](#page-9-17), [49](#page-9-18)]. Oxygen-containing groups are both introduced into $P400-N+S$ and $P600-N+S$, just in different amounts.

Fig. 3 a FTIR spectra and **d** XPS survey spectra of P400, P600, P400-N+S, and P600-N+S. High-resolution O1s spectra of **b** P400, **c** P400-N+S, **e** P600, and **f** P600-N+S

XPS was collected to further understand the nature of corresponding bonds. XPS survey spectra (Fig. [3d\)](#page-4-0) show that the content of O[1](#page-2-1)s in P400-N + S is 21.87 at.% (Table 1), which is higher than that in P400 (4.41 at.%). High-resolu-tion O1s spectra of P400-N + S (Fig. [3c\)](#page-4-0) changes to higher binding energy than that of P400 (Fig. [3b\)](#page-4-0), with three peaks denoting $C = 0$, $C = 0$, and $C = 0$ H being deconvoluted. The amounts of C–O, C = O, and C–OH in P400 are 0.54, 0.58, and 0.52 at.%, while those in P400-N + S are 1.71, 3.44, and 3.59 at.%, respectively. It demonstrates that $P400-N+S$ contains more oxygen-containing groups than P400, especially $C = O$ and $C-OH$ [[35](#page-9-9), [47\]](#page-9-16). Compared to P600, the content of O1s in $P600-N+S$ has a rise, which is from 9.96 to 15.56 at.%. The amounts of $C = O$ and $C-OH$ in P600 series of carbon also increase from 1.67 and 1.18 (Fig. [3e\)](#page-4-0) to 3.84 and 1.35 at.% (Fig. [3f\)](#page-4-0) after oxidation, respectively. In addition, the content of O1s in $P400-N + S-1400$ and $P600-N+S-1400$ is both higher than that in P-1400, which is 10.07, 6.47, and 4.73 at.%, respectively. Therefore, oxygen is picked up and mainly presents in carboxyl after oxidation. The growths of oxygen-containing groups are quite huge in $P400-N+S$, while those in $P600-N+S$ are barely visible.

In conclusion, the oxidation efects on P400 series of carbon and P600 series of carbon are diverse. Lots of carboxyl are introduced into $P400-N+S$ after oxidation, resulting in more small molecules (such as H_2O , CO, and CO_2) volatilizing with the decomposition of oxygen-containing groups during the high-temperature carbonization [[40,](#page-9-8) [46,](#page-9-15) [50](#page-9-19)]. The

loss of more hydrogen contributes $P400-N+S-1400$ to form cross-linking networks and remain the amorphous structure [[35,](#page-9-9) [37,](#page-9-5) [39](#page-9-7)]. Oppositely, oxidation has a limited effect on $P600-N + S-1400$. The completely carbonized pitch $P600$ has achieved fusion-state carbonization. Decreasing the hydrogen of P600 through oxidation to convert the fusionstate into solid-state carbonization is impossible. Most of the oxygen-containing groups introduced into $P600-N + S$ after oxidation are decomposed into volatile substances during the high-temperature carbonization, and the rest causes a slight increase of oxygen in $P600-N+S-1400$. The carbonization process of P600 series of carbon is still a gradual graphitization of pitch at high temperatures.

The verifed structure variations undoubtedly infuence electrochemical performances. CV curves at different scanning rates of P-1400, P400-N + S-1400, and $P600-N + S-1400$ are shown in Fig. $4a-c$. The curves of P-1400 and P600-N + S-1400 are quite distinct from those of $P400-N + S-1400$. P-1400 and $P600-N + S-1400$ have wide humps as the redox peaks, which are particularly evident at high scanning rates. The redox peaks of $P400-N + S-1400$ are a pair of sharp peaks at about 0.1 V, which is similar to the CV curve of a typical hard carbon anode, indicating the Na storage in $P400-N + S-1400$ has changed apparently and resembles the mechanism of hard carbon. Moreover, Fig. [4d, f](#page-5-0) suggests that P-1400 and $P600-N + S-1400$ have linear relations between peak current (I_n) and the square root of scanning rate $(v^{1/2})$, which

Fig. 4 CV curves at different scanning rates of **a** P-1400, **b** P400-N+S-1400, and **c** P600-N+S-1400. Corresponding $I_p \sim v^{1/2}$ relationship of **d** P-1400, **e** P400-N+S-1400, and **f** P600-N+S-1400

conforms to the Randles–Sevcik equation [\[51](#page-9-20)]. The corresponding I_p -*v*^{1/2} relationship of P400-N + S-1400 (Fig. [4e\)](#page-5-0) cannot ft linearly, revealing the unlike difusion behavior of Na+.

Galvanostatic discharge/charge measurements (Fig. $5a$) conducted between 3.00 and 0.01 V versus Na⁺/ Na at a rate of 20 mA g^{-1} were observed. The obtained P400-N + S-1400, P600-N + S-1400, and P-1400 deliver

Fig. 5 a Galvanostatic charge/discharge curves (the frst cycle), **b** charge capacities (the third cycle) from the sloping-voltage and plateau-voltage regions, **c** CV curves (the frst cycle), **d** EIS, **e** rate performances, and **f** cycling stabilities of P-1400, P400-N+S-1400, and P600-N+S-1400

reversible capacities of 315.1, 93.1, and 92.4 mAh g^{-1} with 61.4, 47.9, and 48.8% ICE, respectively. P-1400 and $P600-N + S-1400$ basically show the sloping region, corresponding to the $Na⁺$ interlayer intercalation due to the graphite-like structure and wide humped redox peaks of soft carbon $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$ $[6, 24, 26, 44]$. P400-N + S-1400 displays the sloping and 0.1 V plateau regions. Based on the mechanism of hard carbon [\[52,](#page-9-21) [53](#page-9-22)], the plateau region results from interlayer intercalation, and the sloping region is associated with defect adsorption. Galvanostatic discharge/charge curves of other carbonized oxidized pitches are shown in Fig. S6. After oxidation and carbonization, incompletely carbonized pitches enhance the Na storage performances and other carbonized pitches have limited improvement, corresponding to the microstructure analysis.

The dependence of the reversible capacity and the corresponding contribution from the sloping and 0.1 V plateau regions on diferent samples are plotted in Fig. [5b.](#page-5-1) The plateau capacity of P400-N + S-1400 is 189.2 mAh g^{-1} at 20 mA g^{-1} , accounting for 59.7% of its total reversible capacity, which is much higher than that of $P600-N+S-1400$ and P-1400. It reveals that the plateau region contributes to the enhanced reversible capacity of $P400-N+S-1400$ [\[35](#page-9-9)].

Correspondingly, the CV curves of $P400-N + S-1400$, P600-N + S-1400, and P-1400 at 0.1 mV s⁻¹ (Fig. [5c](#page-5-1) and Fig. S7-9) show a regular evolution. All the curves have an irreversible 1.1 V reduction peak, which is generally attributed to the electrolyte decomposition and the formation of a solid electrolyte interface (SEI) flm on the electrode surface $[25]$ $[25]$. Among the CV curves, P400-N + S-1400 has a pair of obvious 0.1 V redox peaks, indicating a high plateau capacity [\[6](#page-8-5)]. As P-1400 and P600-N + S-1400 are graphitized carbon, an overall higher sodiation potential of the quasi-plateau induces the reversible reduction peak at around 0.3 V [[24\]](#page-8-10).

EIS analysis (Fig. [5d](#page-5-1)) was carried out between 10 mHz and 100 kHz by applying an AC perturbation signal of 5 mV to check the impedances of cells with $P400-N + S-1400$, $P600-N + S-1400$, and P-1400 anode materials. The corresponding Nyquist plots of $P600-N + S-1400$ and P-1400 are nearly the same, both possessing larger semicircles than those of $P400-N + S-1400$. It indicates that the cell with $P400-N + S-1400$ anode has a smaller internal resistance. resulting from the decomposition of electrolytes and formation of the SEI flm, which is consistent with the improved ICE [\[35](#page-9-9), [54](#page-9-23)].

The rate capability of $P400-N + S-1400$, P600-N + S-1400, and P-1400 is shown in Fig. [5e.](#page-5-1) The reversible capacity of P400-N+S-1400 maintains 91.7% of the initial reversible capacity at 50 mA g**[−]**¹ , remains 26.9% of the initial reversible capacity at 500 mA g**[−]**¹ , and recovers 98.3% of the initial reversible capacity at 20 mA g⁻¹ after experiencing 2000 mA g**[−]**¹ , indicating an inferior rate capability, which is in accord with the performance of typical hard carbon anode. As for the cycling stabilities (Fig. [5f](#page-5-1)), the performances of all the samples seem close to each other, maintaining around 90% of the initial reversible capacity at 20 mA g⁻¹ over 100 cycles.

Nevertheless, it is worth noting that the electrochemical performances of $P600-N + S-1400$ and P-1400 are not selfsame, although the low capacity makes the improvement not apparent. As graphitized carbon is better for the storage of K^{+} [[55–](#page-9-24)[63](#page-9-25)], the improvement of P600-N + S-1400 anode was measured in PIB. In Fig. $6a$, P600-N + S-1400 and P-1400 deliver reversible capacities 252.6 and 233.6 mAh g⁻¹ (at 20 mA g⁻¹) with 56.9 and 51.8% ICE in PIB, respectively. With an increased rate, the reversible capacity of P-1400 downs faster than $P600-N+S-1400$ and even stops at 1000 mA g^{-1} . P600-N + S-1400 recovers the capacity of 20 mA g−1 after experiencing 1000 mA g−1 high rate discharge, however, with a serious capacity fading in the later cycles. The capacity fading of $P600-N + S-1400$ and P-1400 during cycling at 200 mA g^{-1} (Fig. [6b\)](#page-6-0) are obvious, like other carbon anodes in PIB [\[56](#page-9-26), [59,](#page-9-27) [64](#page-9-28)[–67](#page-10-0)]. It is clear that oxidation improves the electrochemical performances of $P600-N+S-1400$ in PIB, corresponding to the results of oxygen in XPS since oxygen can be seen as a doping to improve K^+ transport and increase storage sites [\[59\]](#page-9-27). Obviously, oxidation has a slight efect on the microstructure of $P600-N + S-1400$, making it not exactly identical with P-1400 and resulting in the corresponding variation in electrochemical performances.

Linking the interpretation of microstructure with Na storage performances, it is affirmed that the state of pitch before oxidation plays a key role in suppressing the graphitization and improving the electrochemical performances. After oxidation and high-temperature carbonization, the incompletely carbonized pitch has superior Na storage performances with an amorphous structure. Oppositely, the completely carbonized pitch is gradually graphitized, inducing the reversible capacity similar to that of the carbonized pristine pitch.

Specifically (Fig. [7](#page-7-0)), when the pyrolysis temperature is lower than complete carbonization temperature (T_n) , pitch turns to incompletely carbonized pitch with basically constant structure. After oxidation, a mass of oxygen-containing groups are introduced, inducing an oxygen-enriched carbon precursor. During the high-temperature carbonization, the precursor frst liberates more volatile substances to lose hydrogen, forming a strongly cross-linked, open-structured, non-graphitizing carbon. As the carbonization temperature gradually increases, the pitch-based carbon maintains the non-graphitizing structure until the heating fnishes and exhibits the outstanding reversible capacity and ICE.

On the contrary, when the pyrolysis temperature is higher than T_p , pitch turns to completely carbonized pitch and begins to form ordered carbon layers due to excess hydrogen. The subsequent oxidation is too late to transform the fusion-state into solid-state carbonization, only increasing a number of oxygen-containing groups, most of which are also decomposed into volatile substances during the high-temperature carbonization. It is a process of gradually graphitized for pitch with limited oxygen increase and electrochemical performances improvement.

Conclusions

A series of pitch-based carbon for SIB anodes via low-temperature pyrolysis, oxidation, and high-temperature carbonization were prepared. We adopted a precise low-temperature pyrolysis strategy, which adjusted the pyrolysis temperature over a wide range of $0 \sim 800$ °C, to affirm the effective oxidation precursor. The microstructure of pitch-based carbon was characterized by HRTEM, XRD, Raman, TG, FTIR, and XPS. The Na storage behaviors and performances were evaluated by galvanostatic charge/discharge, CV, cycle, rate, and EIS measurements. Based on the evolution of the Na storage behavior with the microstructure, the following insights about the carbonized oxidized pitch are proposed. First, not all the oxidations can restrain the graphitization of pitch and enhance Na storage performances, which is only efective for incompletely carbonized pitch. Second, whether or not oxidation is efective, oxygen is introduced into carboxyl in diferent amounts. Third, when the precursor is incompletely

Fig. 7 Schematic comparison of carbonized pristine pitch and carbonized oxidized pitch

carbonized pitch, the carbonized oxidized pitch is an amorphous structure, whose electrochemical performances have been greatly improved with a 0.1 V voltage plateau. Fourth, when the precursor is completely carbonized pitch, the carbonized oxidized pitch is a graphite-like microcrystallite structure with limited effect on the electrochemical performances in SIB.

The "only incompletely carbonized pitch can be efective oxidized" behavior more clearly illustrates the nature of oxidation to suppress the graphitization and enhance Na storage performances. Carbon material can remain an amorphous structure at high temperature as long as the hydrogen content is reduced before complete carbonization. Oxidation should not be the only way to lose hydrogen through releasing volatile substances. The introduction of other elements to lose hydrogen through releasing volatiles with hydrogen is worthy of further exploration and research, which presents a new insight into the design and development of advanced soft carbon anode materials for SIB.

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