



# Diketopiperazines from *Batnamyces globulariicola*, gen. & sp. nov. (Chaetomiaceae), a fungus associated with roots of the medicinal plant *Globularia alypum* in Algeria

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## Abstract

Eight diketopiperazines including five previously unreported derivatives were isolated from an endophytic fungus cultured from the medicinal plant *Globularia alypum* collected in Algeria. The strain was characterised by means of morphological studies and molecular phylogenetic methods and was found to represent a species of a new genus in the Chaetomiaceae, for which we propose the name *Batnamyces globulariicola*. The taxonomic position of the new genus, which appears phylogenetically related to *Stolonocarpus* and *Madurella*, was evaluated by a multi-locus genealogy and by morphological studies in comparison to DNA sequence data reported in the recent monographs of the family. The culture remained sterile on several culture media despite repeated attempts to induce sporulation, and only some chlamydospores were formed. After fermentation in submerged culture and extraction of the cultures with organic solvents, the major secondary metabolites of *B. globulariicola* were isolated and their chemical structures were elucidated by extensive spectral analysis including nuclear magnetic resonance (NMR) spectroscopy, high-resolution electrospray ionisation mass spectrometry (HRESIMS), and electronic circular dichroism (ECD) measurements. The isolated compounds were tested for their biological activities against various bacteria, fungi, and two mammalian cell lines, but only three of them exhibited weak cytotoxicity against KB3.1 cells, but no antimicrobial effects were observed.

**Keywords** Diketopiperazines · New genus · New species · Phylogenetic methods · Sordariomycetes

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## Introduction

In recent years, it has been demonstrated that fungal endophytes are playing an important role in most ecosystems of the world. These fungi, which colonise their host plants without causing any disease symptoms, have been shown to represent various known and new phylogenetic lineages (Blackwell and Vega 2018).

Moreover, several studies have led to the isolation and structure elucidation of important secondary metabolites from endophytic fungi, thus raising the prospect of using such organisms as alternative sources of lead compounds for development of new drugs or agrochemical pesticides (Suryanarayanan et al. 2009; Bills and Gloer 2016). Moreover, it is now also being attempted to use these organisms as biocontrol agents and biofertilisers (Hyde et al. 2019; White et al. 2019).

In our ongoing search for bioactive fungal metabolites from fungi associated with Algerian medicinal plants (Noumeur et al. 2017; Teponno et al. 2017), 12 secondary metabolites including five previously unreported diketopiperazines (1–5) were isolated from the culture of a fungus that was obtained from *Globularia alypum* (Plantaginaceae) using a well-established protocol that has been used for the isolation of endophytic fungi for several decades. The producer organism turned out to be new to Science and is formally described in the present paper. Moreover, the isolation of its secondary metabolites, and their structure elucidation and their preliminary biological characterisation are reported.

## Material and methods

### Origin and isolation of the strain

Fresh healthy roots of the medicinal plant *Globularia alypum* (Plantaginaceae) were collected in June 2015 from Ain Touta (Batna, Algeria). Along with other cultures, strain CBS 144474 was isolated according to established protocols involving surface disinfection (Noumeur et al. 2017; Teponno et al. 2017) and was maintained in liquid nitrogen at the HZI culture collection, Braunschweig, Germany. Morphology and macroscopic features of the culture were determined on several different culture media including yeast-malt-glucose (YMG; Fig. 1), see Richter et al. (2016), and all other the standard media that are currently in use for induction of sporulation of Sordariomycetes, i.e. potato dextrose agar (PDA), oatmeal agar (OA), synthetic nutrient-poor agar (SNA), and malt extract agar (MEA) (cf. Crous et al. 2009; Wang et al. 2019b). Cardinal temperature requirements for growth were only checked on YMG at temperatures ranging from 24 to 43 °C.

## Molecular analysis and sequencing

Genomic DNA extraction from the fungal colonies growing on YMG was performed using an EZ-10 Spin Column Genomic DNA Miniprep kit (Bio Basic Canada Inc., Markham, Ontario, Canada) as specified by the manufacturer. The internal transcribed spacer 1 and 2 including the intervening 5.8S nrDNA (ITS), the 28S nrDNA (LSU) including the D1/D2 domains, a part of the DNA-directed RNA polymerase II second largest subunit gene (*RPB2*), and the  $\beta$ -tubulin gene (*TUB2*) were selected for phylogenetic inference. PCR and generation of DNA sequences followed the procedure outlined by Wendt et al. (2018).

After a BLAST result based on GenBank data, which allocated the new fungus to the Chaetomiaceae, the phylogenetic affinities were studied based on an analysis of a combined ITS, LSU, *RPB2*, and *TUB2* dataset in comparison with DNA sequence data reported in the recent monographs of the family (Wang et al. 2016a, b, 2019a, b). Alignments were made using the web interface MAFFT v.7 (Katoh and Standley 2013), followed by manual adjustments with MEGA v. 6 (Tamura et al. 2013). Phylogenetic analysis was performed using maximum likelihood (ML) and Bayesian inference (BI) approaches under RAxML-HPC2 on XSEDE 8.2.10 (Stamatakis 2014) using the Cipres Science gateway portal (Miller et al. 2010) and MrBayes v. 3.2.6 (Ronquist et al. 2012), respectively. For BI, the best evolutionary model for each locus was determined using MrModeltest v. 2.0 (Nylander 2004). The maximum likelihood analysis used the GTRGAMMA model. Obtained trees were viewed in FigTree v. 1.1.2 (Rambaut 2009) and subsequently visually prepared and edited in Adobe® Illustrator® CS6. Confident branch support is defined as Bayesian posterior probabilities (PP)  $\geq$  0.95 and maximum likelihood bootstrap values (ML-BS)  $\geq$  70%.

### Instrumentation

Optical rotations were determined with a Perkin Elmer (Überlingen, Germany) 241 MC polarimeter (using the sodium D line and a quartz cuvette with a 10-cm path length and 0.5-mL volume). Circular dichroism (CD) spectra were recorded on a JASCO spectropolarimeter, model J-815 (JASCO, Pfungstadt, Germany). Nuclear magnetic resonance (NMR) spectra were recorded on a Bruker (Bremen, Germany) 500 MHz Avance III spectrometer with a BBFO (plus) SmartProbe ( $^1\text{H}$  500 MHz,  $^{13}\text{C}$  125 MHz) and a Bruker 700 MHz Avance III spectrometer with a 5-mm TCI cryoprobe ( $^1\text{H}$  700 MHz,  $^{13}\text{C}$  175 MHz), locked to the deuterium signal of the solvent. Chemical shifts are given in parts per million (ppm) and coupling constants in Hertz (Hz). Spectra were measured at 24.8 °C in  $\text{CD}_3\text{OD}$ , acetone- $d_6$ , and deuterated chloroform; chemical shifts were referenced to residual solvent signals with resonances at  $\delta_{\text{H/C}}$  3.31/49.15 for

CD<sub>3</sub>OD,  $\delta_{H/C}$  2.05/29.92 for acetone-*d*<sub>6</sub>, and  $\delta_{H/C}$  7.24/77.23 for deuterated chloroform. High-performance liquid chromatography coupled with diode array detector and mass spectrometric detection (HPLC-DAD/MS) analysis was performed using an amaZon speed ETD ion trap mass spectrometer (Bruker Daltonics) in positive and negative ionisation modes. The mass spectrometer was coupled to an Agilent 1260 series HPLC-UV system (Agilent Technologies) (Santa Clara, CA, USA) (column 2.1 × 50 mm, 1.7 μm, C18 Acquity uPLC BEH (Waters); solvent A: H<sub>2</sub>O + 0.1% formic acid; solvent B: acetonitrile (ACN) + 0.1% formic acid; gradient: 5% B for 0.5 min, increasing to 100% B in 20 min, maintaining isocratic conditions at 100% B for 10 min, flow = 0.6 mL/min, UV-vis detection 200–600 nm). High-resolution electrospray (HR-ESI) MS spectra were recorded on a maXis ESI TOF mass spectrometer (Bruker Daltonics) (scan range *m/z* 100–2500, rate 2 Hz, capillary voltage 4500 V, dry temperature 200 °C), coupled to an Agilent 1200 series HPLC-UV system (column 2.1 × 50 mm, 1.7 μm, C18 Acquity uPLC BEH (Waters); solvent A: H<sub>2</sub>O + 0.1% formic acid; solvent B: ACN + 0.1% formic acid; gradient: 5% B for 0.5 min, increasing to 100% B in 19.5 min, maintaining 100% B for 5 min, FR = 0.6 mL/min, UV-vis detection 200–600 nm). The molecular formulas were calculated including the isotopic pattern (Smart Formula algorithm). Preparative HPLC purification was performed at room temperature on an Agilent 1100 series preparative HPLC system (ChemStation software (Rev. B.04.03 SP1); binary pump system; column: Kinetex 5u RP C18 100 Å, dimensions 250 × 21.20 mm; mobile phase: ACN + 0.05% trifluoroacetic acid (TFA) (solvent B) and water + 0.05% TFA (solvent A); flow rate 20 mL/min; diode-array UV detector; 226 fraction collector).

### Fermentation, extraction, and isolation

Pieces of well-colonised agar of strain CBS 144474 from YMG plates were inoculated in Q61/2 medium (Chepkirui et al. 2019) in a 500-mL Erlenmeyer flask containing 200 mL of media and incubated at 23 °C for 7 days. This seed culture was used to inoculate 25 other flasks (5 L) of the same medium composition after homogenisation with a Heidolph Silent Crusher. The flasks were incubated at 23 °C under constant shaking at 140 rpm on a rotary shaker for 12 days. After separation of the mycelia by vacuum filtration, the supernatant was extracted with ethyl acetate (EtOAc). The EtOAc fraction was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated under vacuum to yield 590 mg of extract. The wet mycelia were extracted twice with acetone, then with methanol in an ultrasonic bath at 40 °C for 30 min. The resulting solution was evaporated to yield an aqueous phase, which was further extracted with EtOAc (3 × 500 mL). After drying over anhydrous Na<sub>2</sub>SO<sub>4</sub>, the EtOAc fraction was concentrated under

vacuum to yield 416.7 mg of crude extract. In the meantime, pieces of a well-grown YMG agar plate of strain CBS 144474 were inoculated in a YMG medium (Richter et al. 2016), in a 500-mL Erlenmeyer flask containing 200 mL of media, and incubated at 23 °C for 8 days. The homogenised seed culture was used to inoculate 25 other flasks (5 L) of the same medium composition. The flasks were incubated at 23 °C under constant shaking at 140 rpm on a rotary shaker for 11 days. After separation, the supernatant and the mycelia were extracted as described above to give 408.5 and 512.8 mg of extracts, respectively.

The crude supernatant extract from the fermentation in Q61/2 medium (ca. 400 mg) was purified by preparative HPLC using a gradient of 25–50% solvent B for 40 min, 50–100% B for 10 min, and 100% B for 10 min. The fractions were combined according to UV absorption at 220, 280, and 325 nm and concurrent HPLC-MS analyses. Compounds **5** (0.9 mg; Rt = 11.12 min), **1** (1 mg; Rt = 13.80 min), **2** (0.8 mg; Rt = 15.40 min), **8** (0.8 mg; Rt = 21.34 min), **3** (1.2 mg; Rt = 25.42 min), **6** (1.4 mg; Rt = 29.84 min), **7** (0.7 mg; Rt = 32.16 min), **4** (0.7 mg; Rt = 36.12 min), and **9** (0.5 mg; Rt = 38.69 min) were eluted.

The supernatant extract from the fermentation in YMG medium (ca. 400 mg) was also purified by preparative HPLC. The gradient used was 5–35% solvent B for 40 min, 35–100% solvent B for 20 min, and 100% B for 10 min. The fractions were combined according to UV absorption at 220, 280, and 325 nm to yield compounds **10** (8.7 mg; Rt = 18.17 min), **11** (9.3 mg; Rt = 19.69 min), and **12** (3.7 mg; Rt = 23.11 min).

### Summary of spectral data for the new compounds (1–5)

(3*R*,6*Z*)-3-Thiomethyl-6-[4-*O*-[(2*E*)-4-hydroxy-3-methylbut-2-enyl]benzylidene]piperazine-2,5-dione (**1**): yellowish gum;  $[\alpha]_D^{25} + 22.0$  (*c* 0.05, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ): 204 (4.37), 229 (4.26), 323 nm (4.34); CD (*c* 0.5 mg/mL, EtOH)  $\lambda_{max}$  309 (+), 235 nm (+); <sup>1</sup>H NMR (CD<sub>3</sub>OD, 700 MHz) and <sup>13</sup>C NMR (CD<sub>3</sub>OD, 175 MHz) data (see Table 1); HRESIMS: *m/z* 349.1217 [M + H]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>21</sub>N<sub>2</sub>O<sub>4</sub>S<sup>+</sup>, 349.1217).

(3*R*,6*Z*)-3-Thiomethyl-6-[4-*O*-[(2*Z*)-4-hydroxy-3-methylbut-2-enyl]benzylidene]piperazine-2,5-dione (**2**): yellowish gum;  $[\alpha]_D^{25} + 37.5$  (*c* 0.04, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ): 203 (4.13), 229 (3.97), 323 nm (3.96); CD (*c* 0.5 mg/mL, EtOH)  $\lambda_{max}$  316 (+), 237 nm (+); <sup>1</sup>H NMR (CD<sub>3</sub>OD, 700 MHz) and <sup>13</sup>C NMR (CD<sub>3</sub>OD, 175 MHz) data (see Table 1); HRESIMS: *m/z* 349.1217 [M + H]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>21</sub>N<sub>2</sub>O<sub>4</sub>S<sup>+</sup>, 349.1217).

(3*R*,6*Z*)-3-Hydroxy-6-[4-*O*-(3-methylbut-2-enyl)benzylidene]piperazine-2,5-dione (**3**): yellowish gum;  $[\alpha]_D^{25} + 26.7$  (*c* 0.06, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ): 206 (4.22), 224 (4.26), 321 nm (4.15); CD (*c* 0.5 mg/mL, EtOH)  $\lambda_{max}$  309 (+), 228 nm (+); <sup>1</sup>H NMR (CD<sub>3</sub>OD,

**Table 1**  $^{13}\text{C}$  and  $^1\text{H}$  NMR spectroscopic data of compounds 1–3 in methanol- $d_4$ 

Position	1		2		3	
	$\delta_{\text{C}}$ , type	$\delta_{\text{H}}$	$\delta_{\text{C}}$ , type	$\delta_{\text{H}}$	$\delta_{\text{C}}$ , type	$\delta_{\text{H}}$
1		10.17 s <sup>a</sup>		10.17 s <sup>a</sup>		9.92 s <sup>a</sup>
2	166.0, C	/	166.0, C	/	167.0, C	/
3	59.6, CH	4.97 s	59.6, CH	4.97 s	76.1, CH	5.09 s
4		9.03 br s <sup>a</sup>		9.02 br s <sup>a</sup>		8.92 d 3.9 <sup>a</sup>
5	163.5, C	/	163.5, C	/	163.8, C	/
6	125.3, C	/	125.3, C	/	125.4, C	/
7	119.3, CH	6.87 s	119.3, CH	6.86 s	119.5, CH	6.80 s
8	126.8, C	/	126.8, C	/	126.8, C	/
9/9'	132.1, CH	7.45 d (8.6)	132.1, CH	7.45 d (8.6)	132.1, CH	7.46 d (8.7)
10/10'	116.4, CH	7.00 d (8.6)	116.5, CH	7.00 d (8.6)	116.4, CH	6.98 d (8.7)
11	160.9, C	/	160.0, C	/	160.9, C	/
12	65.8, CH <sub>2</sub>	4.68 br d (6.4)	65.3, CH <sub>2</sub>	4.67 br d (6.4)	66.1, CH <sub>2</sub>	4.58 br d (6.6)
13	120.8, CH	5.73 m	123.5, CH	5.57 m	121.1, CH	5.47 m
14	141.5, C	/	141.8, C	/	139.1, C	/
15	67.9, CH <sub>2</sub>	3.99 s	21.5, CH <sub>3</sub>	1.85 br d (1.1)	26.0, CH <sub>3</sub>	1.79 s
16	14.2, CH <sub>3</sub>	1.76 s	61.8, CH <sub>2</sub>	4.16 s	18.3, CH <sub>3</sub>	1.76 s
17	13.1, CH <sub>3</sub>	2.26 s	13.1, CH <sub>3</sub>	2.26 s		

<sup>a</sup> Measured in DMSO- $d_6$ 

700 MHz) and  $^{13}\text{C}$  NMR (CD<sub>3</sub>OD, 175 MHz) data (see Table 1); HRESIMS:  $m/z$  303.1334 [M + H]<sup>+</sup> (calcd for C<sub>16</sub>H<sub>19</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, 303.1339).

(3*R*,6*Z*)-3-Thiomethyl-6-[4-*O*-(3-methylbut-2-enyl)benzylidene]piperazine-2,5-dione (**4**): yellowish gum;  $[\alpha]_D^{25} + 48.6$  ( $c$  0.035, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\epsilon$ ): 202 (4.19), 229 (4.02), 324 nm (4.00); CD ( $c$  0.5 mg/mL, EtOH)  $\lambda_{\text{max}}$  310 (+), 230 nm (+);  $^1\text{H}$  NMR (CD<sub>3</sub>OD, 700 MHz) and  $^{13}\text{C}$  NMR (CD<sub>3</sub>OD, 175 MHz) data (see Table 2); HRESIMS:  $m/z$  355.1088 [M + Na]<sup>+</sup> (calcd for C<sub>17</sub>H<sub>20</sub>N<sub>2</sub>NaO<sub>3</sub>S<sup>+</sup>, 355.1092), 687.2282 [2 M + Na]<sup>+</sup>.

(3*S*,6*R*)-3,6-Bisthiomethyl-6-[4-*O*-[(2*Z*)-4-hydroxy-3-methylbut-2-enyl]phenylmethyl]piperazine-2,5-dione (**5**): yellowish gum;  $[\alpha]_D^{25} + 33.3$  ( $c$  0.045, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\epsilon$ ): 208 (4.16), 226 (4.06), 275 nm (3.38); CD ( $c$  0.5 mg/mL, EtOH)  $\lambda_{\text{max}}$  315 (+), 248 (−), 220 nm (−);  $^1\text{H}$  NMR (CD<sub>3</sub>OD, 700 MHz) and  $^{13}\text{C}$  NMR (CD<sub>3</sub>OD, 175 MHz) data (see Table 2); HRESIMS:  $m/z$  397.1249 [M + H]<sup>+</sup> (calcd for C<sub>18</sub>H<sub>25</sub>N<sub>2</sub>O<sub>4</sub>S<sub>2</sub><sup>+</sup>, 397.1250), 419.1068 [M + Na]<sup>+</sup> (calcd for C<sub>18</sub>H<sub>24</sub>N<sub>2</sub>O<sub>4</sub>S<sub>2</sub>Na<sup>+</sup>, 419.1075).

### Screening for biological activities

The antimicrobial activity and the in vitro cytotoxicity (IC<sub>50</sub>) were evaluated according to our previously reported procedures (Sandargo et al. 2018; Teponno et al. 2017). Briefly, minimum inhibitory concentrations (MICs) in  $\mu\text{g/mL}$  of the

isolated compounds were determined by serial dilution assays against *Schizosaccharomyces pombe* DSM 70572, *Pichia anomala* DSM 6766, *Mucor hiemalis* DSM 2656, *Candida albicans* DSM 1665, *Rhodotorula glutinis* DSM 10134,

**Table 2**  $^{13}\text{C}$  and  $^1\text{H}$  NMR spectroscopic data of compounds 4 (MeOH- $d_4$ ) and 5 (Acetone- $d_6$ )

Position	4		5	
	$\delta^{13}\text{C}$ , type	$\delta^1\text{H}$	$\delta^{13}\text{C}$ , type	$\delta^1\text{H}$
2	166.1, C	/	165.2, C	/
3	59.6, CH	4.97 s	59.0, CH	5.01 s
5	163.5, C	/	165.1, C	/
6	125.2, C	/	69.0, C	/
7	119.4, CH	6.87 s	43.0, CH <sub>2</sub>	2.94 d (13.5) 3.60 d (13.5)
8	126.7, C	/	128.1, C	/
9/9'	132.1, CH	7.44 d (8.6)	133.1, CH	7.26 d (9.0)
10/10'	116.5, CH	6.99 d (8.6)	115.4, CH	6.83 d (9.0)
11	161.0, C	/	159.3, C	/
12	66.1, CH <sub>2</sub>	4.58 br d (6.6)	64.8, CH <sub>2</sub>	4.61 br d (6.3)
13	121.1, CH	5.46 m	122.7, CH	5.47 m
14	139.1, C	/	141.3, C	/
15	26.0, CH <sub>3</sub>	1.79 s	61.5, CH <sub>2</sub>	4.15 s
16	18.3, CH <sub>3</sub>	1.76 s	21.4, CH <sub>3</sub>	1.81 s
17	13.1, CH <sub>3</sub>	2.26 s	10.6, CH <sub>3</sub>	1.36 s
18			13.4, CH <sub>3</sub>	2.23 s

*Micrococcus luteus* DSM 1790, *Bacillus subtilis* DSM 10, *Escherichia coli* DSM 1116, *Staphylococcus aureus* DSM 346, *Mycobacterium smegmatis* ATCC 700084, *Chromobacterium violaceum* DSM 30191, and *Pseudomonas aeruginosa* DSM PA14. The assays were carried out in 96-well microtiter plates in YMG media for filamentous fungi and yeast and EBS for bacteria. Gentamycin, kanamycin, nystatin, and oxytetracycline were used as positive control, and the negative control was methanol. The cytotoxicity against HeLa cells KB3.1 and mouse fibroblasts L929 cells was determined by using the MTT (2-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) method in 96-well microplates. The cell lines were cultured in DMEM (Gibco). Briefly, 60- $\mu$ L aliquots of serial dilutions from an initial stock of 1 mg/mL in MeOH of the test compounds were added to 120- $\mu$ L aliquots of a cell suspension ( $5 \times 10^4$  cells/mL) in 96-well microplates. After 5 days of incubation, an MTT assay was performed, and the absorbance was measured at 590 nm using an ELISA plate reader (Victor). The concentration at which the growth of cells was inhibited to 50% of the control ( $IC_{50}$ ) was obtained from the dose-response curves. Epothilone B was used as a positive control, while methanol was used as a negative control.

## Results and discussion

### Taxonomy

The fungus was isolated as sterile mycelia without any reproductive structures, and its identification by phenotypic characters was impossible by conventional methods. The morphology and macroscopic features of the culture on the plate were determined on YMG, PDA, and OA.

### Molecular phylogeny

The concatenated alignment consisted of 65 taxa including representatives of 35 genera in the Chaetomiaceae (cf. Table 3). Five isolates representing four species of the family Podosporaceae were selected as outgroups. The alignment contained 3055 characters (including gaps) and is composed of four partitions: 858 characters for *RPB2*, 961 characters for *TUB2*, 664 characters for ITS, and 572 characters for LSU. Of the total characters, 1573 were constant, 1220 were parsimony-informative, and 262 were parsimony-uninformative. For the Bayesian inference, the GTR+I+G model was selected as optimal for *RPB2*, *TUB2*, ITS, and LSU based on the result of the MrModeltest. Isolate CBS 144474 was located in a separate clade along with representatives of the genera *Stolonocarpus*, *Madurella*, and *Canariomyces* (ML-BS = 100%, PP = 0.99), but could not be accommodated in either

of these genera (Fig. 2). Therefore, a novel genus *Batnamyces* is proposed.

### *Batnamyces* Noumeur, gen. nov. MB 832844

**Etymology**—in reference to the town in Algeria where the type was collected.

**Diagnosis**—Differs from the genera *Canariomyces*, *Stolonocarpus*, and *Madurella*, to which it appears phylogenetically most closely related, in the absence of sexual features and conidiogenous structures, except for producing terminal chains of hyphal chlamydospores.

**Type species:** *Batnamyces globulariicola* Noumeur, sp. nov. MB 832845 (Fig. 1)

**Typus:** Algeria, Batna, Ain Touta from roots of *Globularia alypum* Plantaginaceae, June 2015, S. R. Noumeur (holotype CBS H-23624), ex-type culture in CBS 144474; GenBank Acc nos of DNA sequences: MT075917 (ITS/LSU), MT075918 (*RPB2*), MT075919 (*TUB2*).

**Colonies** on YMG and OMA at 23 °C spread over the whole 9-cm Petri dish after 14 days while they attain a diameter of 53 mm on PDA, initially appearing dark brown, then becoming covered with white patches with age (Fig. 1b). Mycelium on SNA, with brown, thick-walled, smooth, branched, septate, 5–6  $\mu$ m diam hyphae, giving rise to hyaline, thin-walled, smooth, branched, septate, 1.5–2  $\mu$ m diam hyphae. Colonies remained sterile on SNA, PDA, OA, and MEA (see images in [Supplementary information](#)). After several transfers onto new OA agar plates, oval, chlamydospores (5–12  $\times$  8  $\mu$ m) were formed in short chains, arising from the hyphal tips (Fig. 1e). Even after several months of subcultivation, no other conidiogenous structures or sexual morph were observed either in the old or the newly inoculated plates. The growth maximum was determined to be 28–30 °C, and no growth was observed at 37 °C.

**Notes**—The new genus *Batnamyces* is primarily defined based on its molecular phylogeny, since we neither observed the characteristic structures of the asexual nor sexual morph of the species in this genus. Its classification in the family was inferred from the molecular phylogeny that was established on the basis of a multi-locus genealogy comprising representatives of all important genera of Chaetomiaceae. The genera *Batnamyces*, *Canariomyces*, *Madurella*, and *Stolonocarpus* formed a single lineage (Fig. 2). *Canariomyces* species typically produce non-ostiolate ascomata together with single-celled conidia arising from reduced conidiophores that are reduced to a hyphal cell (cf. figs. 19–22 in Wang et al. 2019b). *Madurella* species usually produce only sterile (non-sporulating) hyphae and sparse aerial mycelium, growing restrictedly in culture and often producing buff, cinnamon, sienna, or orange exudates diffusing into the agar (cf. fig. 18 in Wang et al. 2019b). On the other hand, *Stolonocarpus* is characterised by non-ostiolate ascomata arising from a

**Table 3** Details of strains used in this study

Current name	Culture accession number <sup>1</sup>	GenBank accession numbers <sup>2</sup>				References
		ITS	LSU	<i>RPB2</i>	<i>TUB2</i>	
<b>Chaetomiaceae</b>						
<i>Achaetomium globosum</i>	CBS 332.67 T	KX976570	KX976695	KX976793	KX976911	Wang et al. 2016a
<i>Achaetomium strumarium</i>	CBS 333.67 T	AY681204	AY681170	KC503254	AY681238	Cai et al. 2006, Wang et al. 2016a
<i>Acrophialophora nainiana</i>	CBS 100.60 T	MK926793	MK926793	MK876755	MK926893	Wang et al. 2019b
	CBS 417.67	MK926794	MK926794	MK876756	MK926894	Wang et al. 2019b
<i>Amesia atrobrunnea</i>	CBS 379.66 T	JX280771	JX280666	KX976798	KX976916	de Hoog et al. 2013, Wang et al. 2016a
<i>Amesia nigricolor</i>	CBS 600.66 T	KX976578	KX976703	KX976802	KX976920	Wang et al. 2016a
<i>Arcopilus aureus</i>	CBS 153.52	KX976582	KX976707	KX976806	KX976924	Wang et al. 2016a
<i>Arcopilus flavigenus</i>	CBS 337.67 T	KX976587	KX976712	KX976811	KX976929	Wang et al. 2016a
<i>Batnamyces globulariicola</i>	CBS 144474 T					Present study
<i>Botryotrichum murorum</i>	CBS 163.52	KX976591	KX976716	KX976815	KX976933	Wang et al. 2016a
<i>Botryotrichum piluliferum</i>	CBS 654.79	KX976597	KX976722	KX976821	KX976939	Wang et al. 2016a
<i>Brachychaeta variospora</i>	CBS 414.73 T	MK926797	MK926797	MK876759	MK926897	Wang et al. 2019b
<i>Canariomyces microsporus</i>	CBS 276.74 T	MK926799	MK926799	MK876760	MK926899	Wang et al. 2019b
	CBS 161.80	MK926800	MK926800	MK876761	MK926900	Wang et al. 2019b
<i>Canariomyces notabilis</i>	CBS 548.83 T	MK926802	MK926802	MK876763	MK926902	Wang et al. 2019b
<i>Carteria arctostaphyli</i>	CBS 229.82 T	MK926807	MK926807	MK876767	MK926907	Wang et al. 2019b
<i>Chaetomium elatum</i>	CBS 142034 T	KX976612	KX976733	KX976832	KX976954	Wang et al. 2016a
<i>Chaetomium globosum</i>	CBS 160.62 T	KT214565	KT214596	KT214666	KT214742	Wang et al. 2016b
<i>Collariella bostrychodes</i>	CBS 163.73	KX976641	KX976738	KX976837	KX976983	Wang et al. 2016a
<i>Collariella robusta</i>	CBS 551.83 T	KX976652	KX976747	KX976846	KX976994	Wang et al. 2016a
<i>Collariella virescens</i>	CBS 148.68 T	KX976654	KX976749	KX976848	KX976996	Wang et al. 2016a
<i>Condenascus tortuosus</i>	CBS 610.97	MK926817	MK926817	MK876777	MK926917	Wang et al. 2019b
<i>Corynascus sepedonium</i>	CBS 111.69 T	HQ871751	KX976777	HQ871827	KX977027	van den Brink et al. 2012, Wang et al. 2016a
<i>Chrysanthotrichum allolentum</i>	CBS 644.83 T	MK926808	MK926808	MK876768	MK926908	Wang et al. 2019b
<i>Chrysanthotrichum lentum</i>	CBS 339.67 T	MK926809	MK926809	MK876769	MK926909	Wang et al. 2019b
<i>Chrysocorona lucknowensis</i>	CBS 727.71 eT	MK926813	MK926813	MK876773	MK926913	Wang et al. 2019b
	CBS 385.66	MK926816	MK926816	MK876776	MK926916	Wang et al. 2019b
<i>Corynascella humicola</i>	CBS 337.72 T	KX976656	KX976751	KX976850	KX976998	Wang et al. 2016a
	CBS 379.74	KX976657	KX976752	KX976851	KX976999	Wang et al. 2016a
<i>Dichotomopilus funicola</i>	CBS 159.52 T	GU563369	GU563354	KX976856	JF772461	Wang et al. 2016a
<i>Dichotomopilus indicus</i>	CGMCC 3.14184 T	GU563367	GU563360	KX976861	JF772453	Wang et al. 2016a
<i>Floropilus chiversii</i>	CBS 558.80 T	MK926818	MK926818	MK876778	MK926918	Wang et al. 2019b
<i>Humicola fuscoatra</i>	CBS 118.14 T	LT993579	LT993579	LT993498	LT993660	Wang et al. 2019a
<i>Hyalosphaerella fragilis</i>	CBS 456.73 T	KX976693	KX976791	MK876779	KX977042	Wang et al. 2019b
<i>Madurella fahalii</i>	CBS 129176 T	MK926819	MK926819	MK876780	MK926919	Wang et al. 2019b
<i>Madurella mycetomatis</i>	CBS 109801 T	MK926820	MK926820	MK876781	MK926920	Wang et al. 2019b
<i>Madurella pseudomycetomatis</i>	CBS 129177 T	MK926821	MK926821	MK876782	MK926921	Wang et al. 2019b
<i>Madurella tropicana</i>	CBS 201.38 T	MK926824	MK926824	MK876785	MK926924	Wang et al. 2019b
	CBS 206.47	MK926825	MK926825	MK876786	MK926925	Wang et al. 2019b
<i>Melanocarpus albomyces</i>	CBS 638.94 T	KX976679	KX976773	KX976886	KX977021	Wang et al. 2016a
	CBS 747.70	KX976680	KX976774	KX976887	KX977022	

**Table 3** (continued)

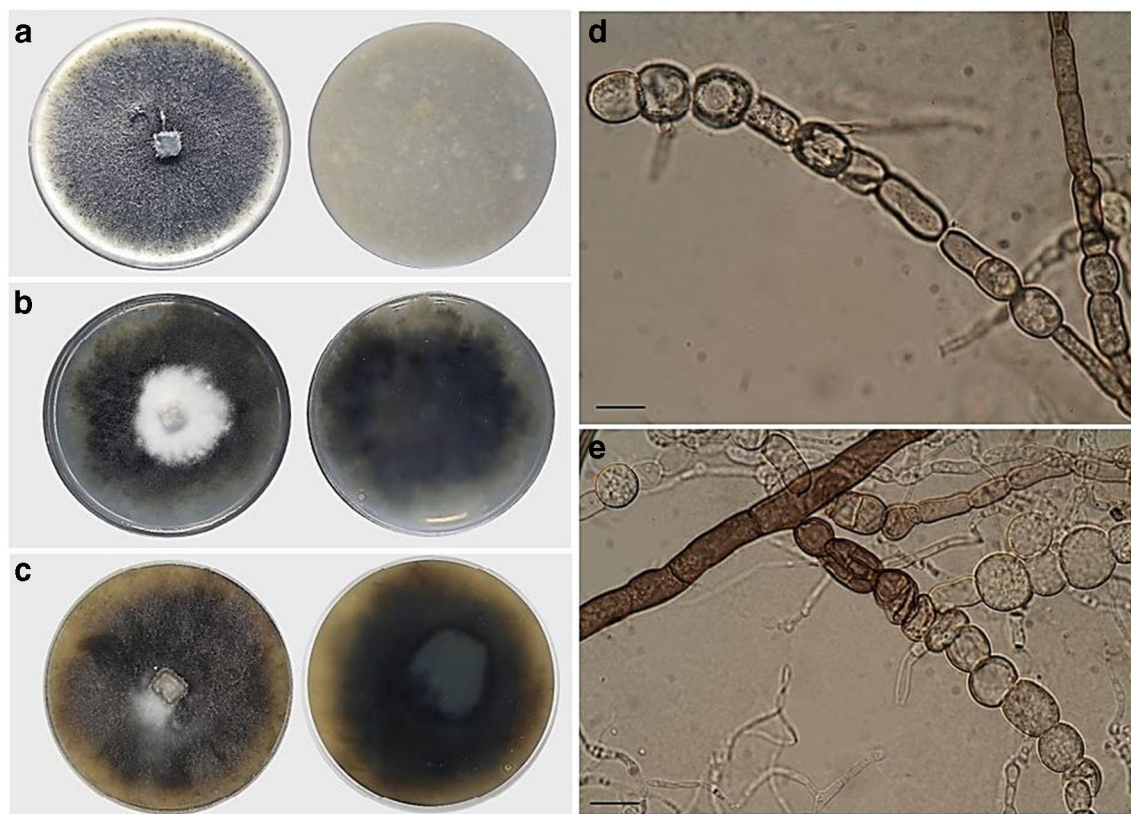
Current name	Culture accession number <sup>1</sup>	GenBank accession numbers <sup>2</sup>				References
		ITS	LSU	<i>RPB2</i>	<i>TUB2</i>	
<i>Microthielavia ovispora</i>	CBS 165.75 T	MK926826	MK926826	MK876787	MK926926	Wang et al. 2016a
<i>Myceliophthora lutea</i>	CBS 145.77 T	HQ871775	KM655351	HQ871816	KX977026	Wang et al. 2019b
<i>Mycothermus thermophilus</i>	CBS 625.91 T	LT993604	LT993604	LT993523	LT993685	van den Brink et al. 2012, Wang et al. 2016a
<i>Ovatospora medusarum</i>	CBS 148.67 T	KX976684	KX976782	KX976897	KX977032	Wang et al. 2019a
<i>Ovatospora mollicella</i>	CBS 583.83 T	KX976685	KX976783	KX976898	KX977033	Wang et al. 2016a
<i>Parathielavia hyrcaniae</i>	CBS 353.62 T	KM655329	KM655368	KM655401	KX977043	Wang et al. 2016a
<i>Parathielavia kuwaitensis</i>	CBS 945.72 T	KM655332	KM655371	KM655404	KX977044	van den Brink et al. 2015, Wang et al. 2016a
<i>Pseudothielavia terricola</i>	CBS 165.88 T	KX976694	KX976792	MK876795	KX977045	van den Brink et al. 2015, Wang et al. 2016a
	CBS 487.74	MK926834	MK926834	MK876796	MK926934	Wang et al. 2019b
<i>Remersonia thermophila</i>	CBS 645.91	LT993611	LT993611	LT993530	LT993692	Wang et al. 2019a
<i>Staphylotrichum coccosporum</i>	CBS 364.58 T	LT993620	LT993620	LT993539	LT993701	Wang et al. 2019a
<i>Stellatospora terricola</i>	CBS 811.95 T	MK926835	MK926835	MK876797	MK926935	Wang et al. 2019b
<i>Stolonocarpus gigasporus</i>	CBS 112062 T	MK926836	MK926836	MK876798	MK926936	Wang et al. 2019b
<i>Subramaniula thielavioides</i>	CBS 122.78 T	KP862597	KP970654	KP900670	KP900708	Wang et al. 2016a
<i>Thermothielavioides terrestris</i>	CBS 117535 T	MK926837	MK926837	MK876799	MK926937	Wang et al. 2019b
	CBS 492.74	MK926838	MK926838	MK876800	MK926938	Wang et al. 2019b
<i>Thermothelomyces heterothallica</i>	CBS 202.75 T	HQ871771	KM655354	HQ871798	KX977025	van den Brink et al. 2012, Wang et al. 2016a
<i>Trichocladium asperum</i>	CBS 903.85 T	LT993632	LT993632	LT993551	LT993713	Wang et al. 2019a
<i>Trichocladium griseum</i>	CBS 119.14 T	LT993639	LT993639	LT993558	LT993720	Wang et al. 2019a
Podosporaceae						
<i>Cladorrhinum foecundissimum</i>	CBS 180.66 T	MK926856	MK926856	MK876818	MK926956	Wang et al. 2019b
<i>Podospora fimicola</i>	CBS 482.64 T	MK926862	MK926862	MK876824	MK926962	Wang et al. 2019b
	CBS 990.96	MK926863	MK926863	MK876825	MK926963	Wang et al. 2019b
<i>Triangularia anserina</i>	CBS 433.50	MK926864	MK926864	MK876826	MK926964	Wang et al. 2019b
<i>Triangularia bambusae</i>	CBS 352.33 T	MK926868	MK926868	MK876830	MK926968	Wang et al. 2019b

stolon-like mycelium and covered by pigmented hypha-like hairs (fig. 41 in Wang et al. 2019b). The genus *Batnamyces* is more similar to *Canariomyces* and *Stolonocarpus* than to *Madurella* with respect to the morphology of the colonies and mycelia but can be easily distinguished from them by the lack of reproductive structures. Since the ex-type strain of *Batnamyces* was obtained by using an isolation procedure, which is well established for endophytes, from an endemic plant in an area that has never been studied intensively for the biodiversity of its mycobiota, it did not come as a surprise that no reproductive structures are produced. After all, it is pretty well known that endophytic fungi often do not produce any propagules. However, we isolated the fungus only one

time and can therefore not be sure about its actual lifestyle. Poor statistic support (PP < 0.95; ML-BS = 85%) also implied that *B. globulariicola* was not a member of either *Madurella* or *Stolonocarpus*.

### Isolation and structure elucidation of compounds 1–5

Fractionation of crude ethyl acetate extracts from the culture of *Batnamyces globulariicola* in Q61/2 and YMG media led to the isolation and structure elucidation of 5 new 2,5-diketopiperazines (1–5) together with seven known metabolites identified by spectroscopic analysis and comparison with literature data, such as Sch 54796 6 (Chu et al. 1993; Usami



**Fig. 1** Morphological characteristics of the new genus *Batnamyces*. **a** Colonies obverse and reverse on PDA at 23 °C after 21 days. **b** Colonies obverse and reverse on OM at 23 °C after 21 days. **c** Colonies

obverse and reverse on YMG at 23 °C after 21 days. **d** and **e** chlamydo-spore-like structures formed at hyphal tips. Scale bars **d**, **e** = 10 μm

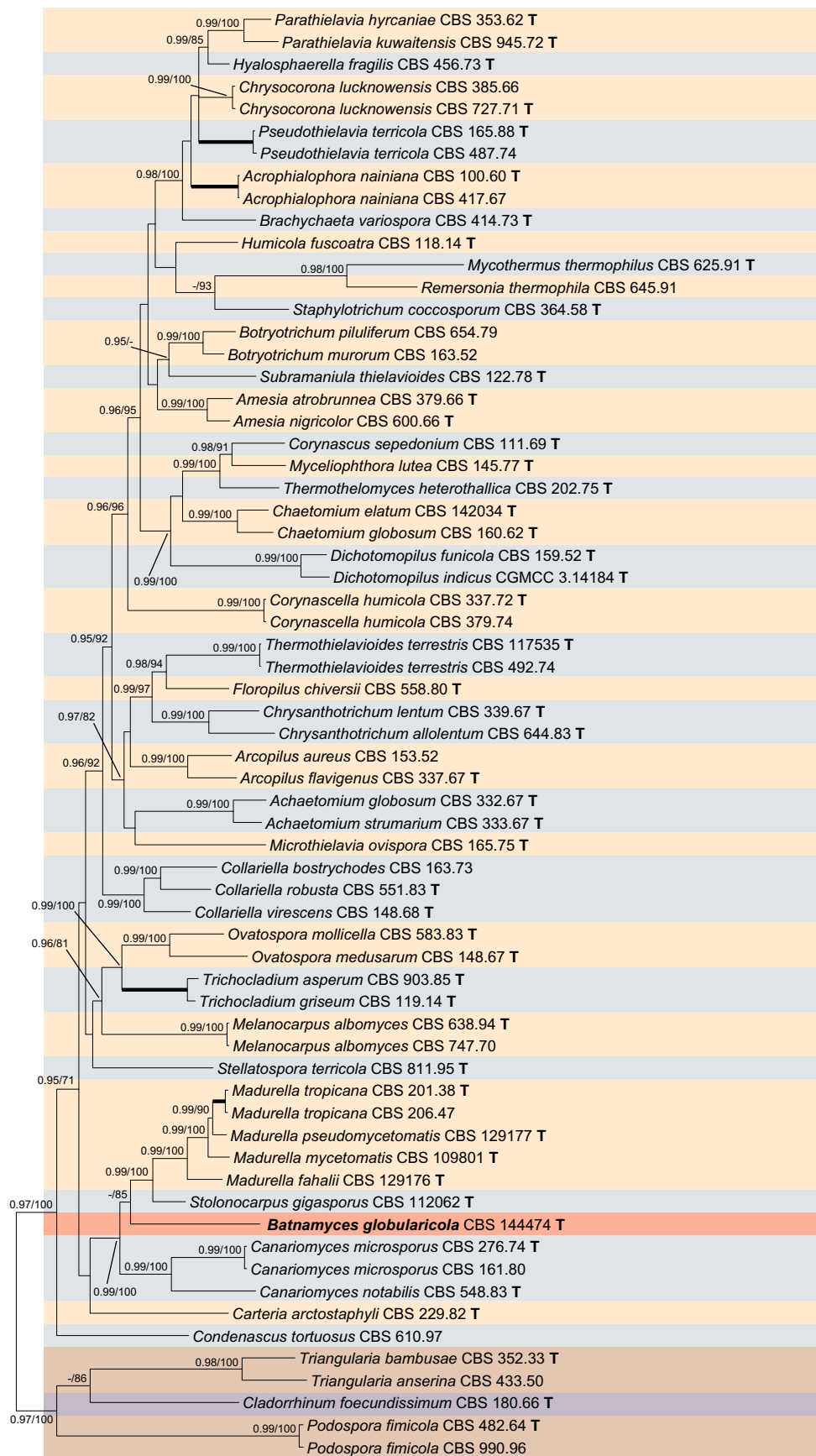
et al. 2002), Sch 54794 **7** (Chu et al. 1993; Usami et al. 2002), cyclo-(glycyl-L-tyrosyl)-3,3-dimethylallyl ether **8** (Koolen et al. 2012), 4-*O*-(3-methylbut-2-enyl)benzoic acid **9** (Nozawa et al. 1989), L-Pro-L-Ile **10** (Ren et al. 2010), L-Pro-L-Leu **11** (Ren et al. 2010; Sansinenea et al. 2016), and L-Pro-L-Phe **12** (Sansinenea et al. 2016) (Fig. 3).

Compound **1** was isolated as a yellowish gum. Its molecular formula  $C_{17}H_{20}N_2O_4S$  was deduced from the HRESIMS which exhibited the pseudomolecular ion peak at  $m/z$  349.1217  $[M + H]^+$  (calcd for  $C_{17}H_{21}N_2O_4S^+$ , 349.1217). This was confirmed by the ESIMS ion cluster at  $m/z$  371.11  $[M + Na]^+$  and a prominent ion fragment and 301  $[M + H - 48]^+$  revealing the loss of a methanethiol ( $CH_3SH$ ) unit (Chu et al. 1993). Its  $^1H$  NMR spectrum displayed resonances for an AA'BB' spin system at  $\delta_H$  7.45 (d,  $J = 8.6$  Hz, H-9, and H-9') and 7.00 (d,  $J = 8.6$  Hz, H-10, and H-10') suggesting the presence of a 1,4-disubstituted benzene ring in the molecule (Table 1). It also showed a signal assigned to a vinyl proton  $\delta_H$  6.87 (H-7) and a set of resonances depicted at  $\delta_H$  4.68 (brd,  $J = 6.4$  Hz, H-12), 5.73 (m, H-12), 3.99 (s), 3.99 (s, H-15), and 1.76 (s, H-16) attributed to an *O*-isoprenol group. Other signals were those of a thiomethyl singlet at  $\delta_H$  2.26 (s, H-17) and a methine singlet at  $\delta_H$  4.97 (s, H-3). The downfield shift of the latter revealed its bis-heteroatom connectivity (Chu et al.

1997) (Table 1). The  $^{13}C$  NMR spectrum showed two amidocarbonyl carbon signals characteristic of a diketopiperazine core at  $\delta_C$  166.0 (C-2) and 163.5 (C-5) (Chu et al. 1993; Guimarães et al. 2010; Fu et al. 2011; Fan et al. 2017). It also displayed resonances at  $\delta_C$  125.3 (C-6), 119.3 (C-7), 126.8 (C-8), 132.1 (C-9, C-9'), 116.4 (C-10, C-10'), and 160.9 (C-11) evidencing the presence of an oxybenzylidene moiety. In addition, the signals of two methylenes at  $\delta_C$  66.8 (C-12) and 67.9 (C-15), a methine at  $\delta_C$  120.8 (C-13), a methyl at  $\delta_C$  14.2 (C-13), and a quaternary carbon at  $\delta_C$  141.5 (C-14) were assigned to the *O*-isoprenol group. The remaining signals were those of a methine at  $\delta_C$

**Fig. 2** Phylogenetic tree resulting from a maximum likelihood analysis of the concatenated *RPB2*, *TUB2*, ITS, and LSU sequence data sets. The confidence values are indicated at the notes: bootstrap proportions from the ML analysis above branches, and the posterior probabilities from the Bayesian analysis below branches. “-” means lacking statistical support (< 70% for bootstrap proportions from ML or MP analyses; < 0.95 for posterior probabilities from Bayesian analyses). The branches with full statistical support (MP-BS = 100%; ML-BS = 100%; PP = 1.0) are indicated by thickened branches. Genus clades are discriminated with boxes of different colours. The scale bar shows the expected number of changes per site. The tree is rooted with members of the Podosporaceae. “T” after the respective DNA sequences are derived from ex-type (including ex-epitype and ex-neotype) specimens





0.2

59.6 (C-3) and the thiomethyl group at  $\delta_C$  13.1 (C-17) (Table 1). The location of the thiomethyl group at C-3 was further evidenced by the HMBC correlation observed between thiomethyl protons signal at  $\delta_H$  2.26 (s, H-17) and the carbon at  $\delta_C$  59.6 (C-3). Furthermore, the HMBC correlation from H-12 ( $\delta_H$  4.68) to carbon C-11 ( $\delta_C$  160.9) confirmed that the *O*-isoprenol group was linked at C-11 (Fig. 4). Careful examination of the  $^1H$ - $^1H$  COSY, HSQC, and HMBC spectra proved that **1** was related to Sch 56396, a metabolite produced by the fungus *Tolypocladium* sp. (Chu et al. 1997), the main difference was the hydroxylation of one methyl of the isopentenyl group to form **1**. The *E* geometry for the  $\Delta^{13,14}$  double bond was determined from the NOESY correlation depicted between the olefinic proton H-13 ( $\delta_H$  5.73) and the methylene protons H-15 ( $\delta_H$  3.99). To solve the stereochemistry of  $\Delta^{6,7}$  double bond, a NOESY spectrum was measured in DMSO- $d_6$ . The lack of NOESY correlation between NH-1 depicted at  $\delta_H$  10.17 (s) and the vinyl proton H-7 ( $\delta_H$  6.87) was in favour of the *Z* configuration. This was further confirmed by the NOESY correlation depicted between NH-1 ( $\delta_H$  10.17 s) and H-9/H-9' ( $\delta_H$  7.45, d, 8.6). The absolute configuration at C-3 was determined to be *R* by comparison of the experimental ECD of **1** (Fig. S9, Supporting information) with the calculated ECD spectra for the four stereoisomers (3*R*,6*E*; 3*S*,6*E*; 3*R*,6*Z*; 3*S*,6*Z*) of a related compound (Guimarães et al. 2010). Although the absorption on the experimental

spectrum was not intense, the Cotton effects of **1** were in accordance with the experimental Cotton effects of 3*R*,6*Z* stereoisomer especially with positive absorption in the regions of 200–240 nm and 275–350 nm, respectively. The structure of compound **1** was unambiguously elucidated as (3*R*,6*Z*)-3-thiomethyl-6-[4-*O*-[(2*E*)-4-hydroxy-3-methylbut-2-enyl]benzylidene]piperazine-2,5-dione.

Metabolite **2** was obtained as a yellowish gum. It possessed the same molecular formula as **1** as evidenced by the HRESIMS which showed a protonated molecular ion peak at  $m/z$  349.1217 [ $M + H$ ]<sup>+</sup> (calcd for  $C_{17}H_{21}N_2O_4S^+$ , 349.1217) despite the fact that both compounds had different retention times. The  $^1H$  and  $^{13}C$  NMR spectra of **2** (Table 1) were closely related to those of **1**, especially for signals of the diketopiperazine core and the oxybenzylidene moiety. The only difference was the downfield or upfield shifts of some  $^1H$  and  $^{13}C$  signals probably due to the change of configuration at the  $\Delta^{13,14}$  double bond. This configuration was deduced to be *Z* from careful analysis of the NOESY spectrum which exhibited a cross-peak correlation between the methylene protons at  $\delta_H$  4.67 (brd,  $J = 6.4$  Hz, H-12) and 4.16 (s, H-16). The NOESY correlation between the olefinic proton H-13 ( $\delta_H$ , 5.57, m) and the methyl protons H-15 ( $\delta_H$  1.85) was also depicted. In view of determining the configuration of the  $\Delta^{6,7}$  double bond, the NOESY spectrum of **2** was also measured in DMSO- $d_6$ . The NOESY correlation observed

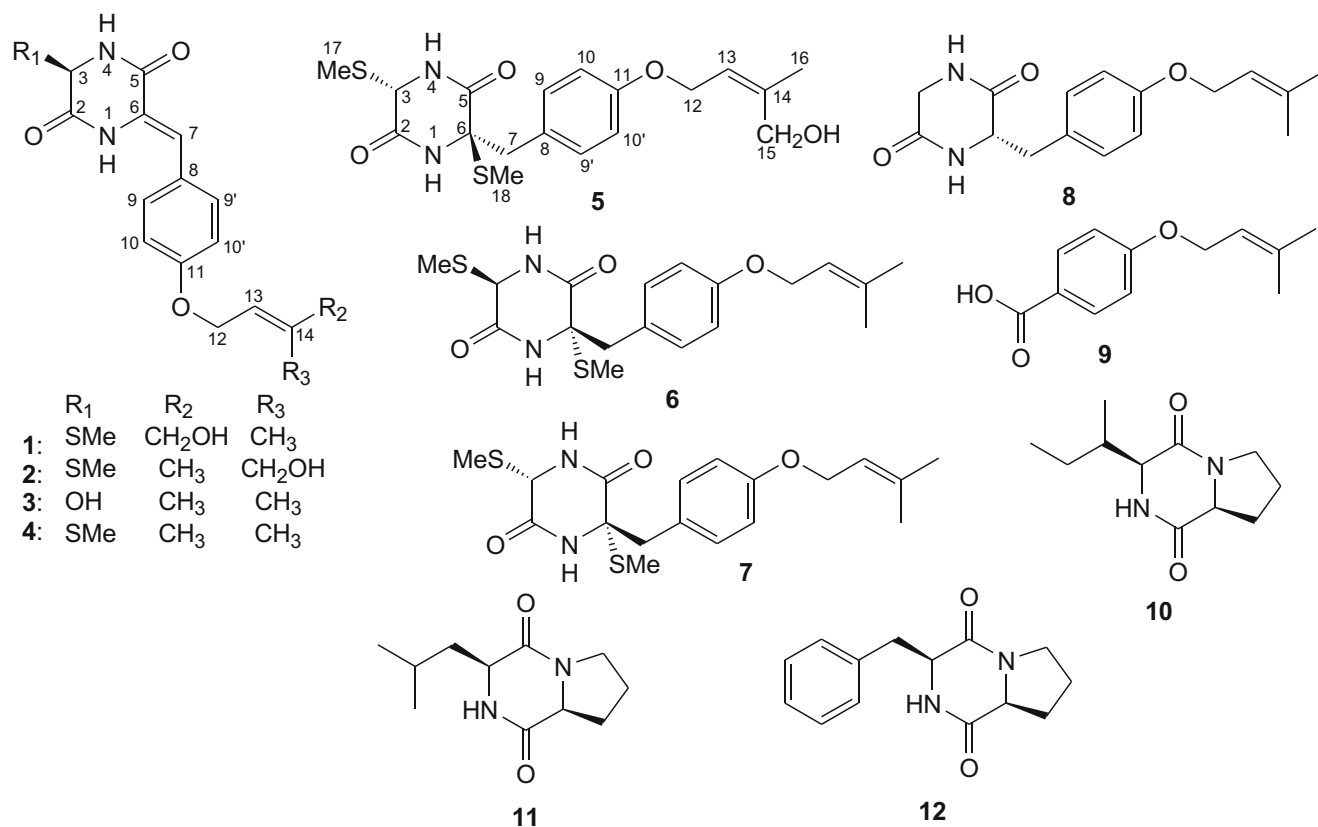
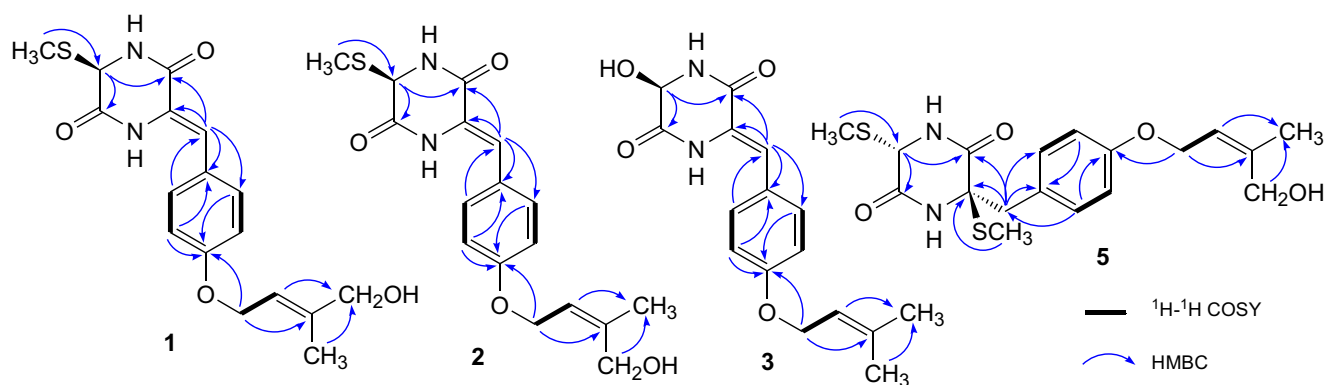


Fig. 3 Structures of compounds 1–12 isolated from the culture of *Batamyces globulariicola*



**Fig. 4** Selected  $^1\text{H}$ - $^1\text{H}$  COSY and HMBC correlations for compounds **1–3** and **5**

between the NH-1 proton signal ( $\delta_{\text{H}}$  10.17) and H-9/H-9' ( $\delta_{\text{H}}$  7.45, d,  $J = 8.6$ ) showed that  $\Delta^{6,7}$  has the same geometry in metabolites **1** and **2**. The experimental ECD spectrum of **2** (Fig. S18, Supporting information) was nearly identical to that of **1**, leading to the deduction of the *3R* absolute configuration for **2**. The structure of metabolite **2** was thus concluded as (3*R*,6*Z*)-3-thiomethyl-6-[4-*O*-[(2*Z*)-4-hydroxy-3-methylbut-2-enyl]benzylidene]piperazine-2,5-dione.

Compound **3** was obtained as a yellowish gum. Its molecular formula was determined as  $\text{C}_{16}\text{H}_{18}\text{N}_2\text{O}_4$  from the HRESIMS analysis which showed the pseudomolecular ion at  $m/z$  303.1334 [ $\text{M} + \text{H}$ ] $^+$  (calcd for  $\text{C}_{16}\text{H}_{19}\text{N}_2\text{O}_4^+$ , 303.1339). Its  $^1\text{H}$  NMR spectrum exhibited resonances for an AA'BB' spin system at  $\delta_{\text{H}}$  7.46 (d,  $J = 8.7$  Hz, H-9, and H-9') and 6.98 (d,  $J = 8.7$  Hz, H-10, and H-10') suggesting a *para*-disubstituted aromatic ring in the structure. It also showed signals for a vinyl proton at  $\delta_{\text{H}}$  5.47 (m, H-13), an oxymethylene at  $\delta_{\text{H}}$  4.58 (brd,  $J = 6.6$  Hz, H-12), and two vinyl connected methyl singlets at  $\delta_{\text{H}}$  1.79 (H-15) and 1.76 (H-16) characteristic of an *O*-isoprenyl moiety. Signals of the vinyl proton H-7 and the methine proton H-3 were observed at  $\delta_{\text{H}}$  6.80 (s) and 5.09 (s), respectively. The  $^{13}\text{C}$  NMR spectrum exhibited signals of two amidocarbonyl carbons at  $\delta_{\text{C}}$  167.0 (C-2) and 163.8 (C-5). Those characteristic of the oxybenzylidene moiety were observed at  $\delta_{\text{C}}$  125.4 (C-6), 119.5 (C-7), 126.8 (C-8), 132.1 (C-9, C-9'), 116.4 (C-10, C-10'), and 160.9 (C-11). The remaining resonances depicted at  $\delta_{\text{C}}$  66.1 (C-12), 121.1 (C-13), 139.1 (C-14),  $\delta_{\text{C}}$  26.0 (C-15), and 18.3 (C-16) confirmed the presence of the *O*-prenyl group in the molecule (Table 1). The downfield shift of C-3 in metabolite **3** ( $\delta_{\text{C}}$  76.1) with respect to compounds **1** and **2** ( $\delta_{\text{C}}$  59.6) suggested the presence of an OH group at C-3 in **3** instead of the thiomethyl moiety. This was further supported by mass analysis and the absence of the thiomethyl signal on the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra. The structure was confirmed by a comprehensive analysis of the 2D NMR data, particularly  $^1\text{H}$ - $^1\text{H}$  COSY, HSQC, and HMBC spectra (Fig. 4). The configuration of the  $\Delta^{6,7}$  double bond was determined to be *Z* by the NOESY spectrum measured in  $\text{DMSO-}d_6$  on which correlations were observed between NH-1 and H-9 (or H-9') but not between NH-1 ( $\delta_{\text{H}}$  9.92, s) and H-7 ( $\delta_{\text{H}}$

6.80, s). The ECD spectrum of **3** (Fig. S27, Supporting information) indicated the same absolute configuration at C-3 (*R*) as for metabolites **1** and **2**. The structure was finally concluded as (3*R*,6*Z*)-3-hydroxy-6-[4-*O*-(3-methylbut-2-enyl)benzylidene]piperazine-2,5-dione.

The molecular formula of **4** also obtained as a yellowish gum was deduced to be  $\text{C}_{17}\text{H}_{20}\text{N}_2\text{O}_3\text{S}$  from the HRESIMS which showed ion clusters [ $\text{M} + \text{Na}$ ] $^+$  at  $m/z$  355.1088 (Calcd 355.1087) and [ $2\text{M} + \text{Na}$ ] $^+$  at  $m/z$  687.2283 (Calcd 687.2281). The NMR data (Table 2) showed similarities with the previously described metabolites **1–3**. Its  $^1\text{H}$ -NMR spectrum exhibited in addition to signals of the diketopiperazine and the oxybenzylidene moieties two olefinic methyl resonances at  $\delta_{\text{H}}$  1.76 and 1.79, an oxygenated methylene doublet at  $\delta_{\text{H}}$  4.58 ( $J = 6.6$  Hz), and a vinyl proton signal at  $\delta_{\text{H}}$  5.46 (m) characteristic of a  $\gamma,\gamma$ -dimethylallyloxy moiety (Sritularak and Likhitwitayawuid 2006). Careful examination of the  $^1\text{H}$ - $^1\text{H}$  COSY, HSQC, and HMBC spectra proved that **4** was similar to Sch 56396 previously isolated from the fermentation broth of the fungus *Tolypocladium* sp. (Chu et al. 1997), but the only difference was on the sign of their optical rotations. Compound **4** showed a positive optical rotation, while the optical rotation of Sch 56396 was negative, confirming that they are stereoisomers. The *Z* configuration of the  $\Delta^{6,7}$  was deduced from the chemical shift of H-7 ( $\delta_{\text{H}}$  6.87) in comparison with those of the same proton in compounds **1–3**, since it was reported that the (*Z*)-vinyl proton of the 6-benzylidene-substituted piperazine-2,5-diones is farther downfield than the (*E*)-vinyl proton because of the deshielding effect of the 5-ketone (Fu et al. 2011). Since the configuration of the chiral centre C-3 of Sch 56396 was not determined, we measured the ECD spectrum of **4** (Fig. S36, Supporting information) and its comparison with those of compounds **1–3** allowed us to assign the *3R* configuration. Compound **4** was then elucidated as (3*R*,6*Z*)-3-thiomethyl-6-[4-*O*-(3-methylbut-2-enyl)benzylidene]piperazine-2,5-dione, the stereoisomer of Sch 56396.

Compound **5** was obtained as a yellow gum from methanol. Its HRESIMS showed ion clusters at  $m/z$  397.1249 [ $\text{M} +$

$\text{H}]^+$  and 419.1068  $[\text{M} + \text{Na}]^+$  consistent with the molecular formula of  $\text{C}_{18}\text{H}_{24}\text{N}_2\text{O}_4\text{S}_2$  (calcd for  $\text{C}_{18}\text{H}_{25}\text{N}_2\text{O}_4\text{S}_2^+$ , 397.1250; calcd for  $\text{C}_{18}\text{H}_{24}\text{N}_2\text{O}_4\text{S}_2\text{Na}^+$ , 419.1075). The presence of two thiomethyl groups was confirmed by the ion fragments depicted at  $m/z$  349.1215  $[\text{M} + \text{H} - 48]^+$  and 301.1177  $[\text{M} + \text{H} - 2 \times 48]^+$  revealing the loss of two methanethiol ( $\text{CH}_3\text{SH}$ ) units (Chu et al. 1993). Its  $^1\text{H}$  NMR spectrum showed in addition to the signals of the *O*-isoprenoltyrosine moiety at  $\delta_{\text{H}}$  7.26 (d,  $J = 9.0$  Hz, H-9, and H-9'), 6.83 (d,  $J = 9.0$  Hz, H-10, and H-10'), 4.61 (brd,  $J = 6.3$  Hz, H-12), 5.47 (m, H-12), 4.15 (s, H-15), and 1.81 (s, H-16) those of two thiomethyl groups at  $\delta_{\text{H}}$  1.36 (s, H-18) and 2.23 (s, H-17) as well as a methylene group observed as an AX spin system at  $\delta_{\text{H}}$  2.94 (d,  $J = 13.5$ , H-7A) and 3.60 (d,  $J = 13.5$ , H-7X) (Table 2). Careful examination of the  $^{13}\text{C}$ ,  $^1\text{H}$ - $^1\text{H}$  COSY, HSQC, and HMBC spectra proved metabolite **5** to have the same planar structure as meromutides A and B recently isolated after pleiotropic activation of natural products in *Metarhizium robertsii* by deletion of a histone acetyltransferase (Fan et al. 2017). The *Z* geometry for the  $\Delta^{13,14}$  double bond was determined from the NOESY correlation depicted between the methylene protons at  $\delta_{\text{H}}$  4.61 (brd,  $J = 6.4$  Hz, H-12) and 4.15 (s, H-16). Compound **5** with the *Z* geometry of the olefinic double bond can possess 4 stereoisomers (3*S*,6*S*), (3*R*,6*S*), (3*S*,6*R*), and (3*R*,6*R*). Up to now, only two of them, namely meromutide A (3*S*,6*S*) and meromutide B (3*R*,6*S*) were isolated and characterised. Its absolute configuration was determined by careful comparison of the chemical shifts for both protons and carbons of the thiomethyl groups linked at C-3 and C-6 of its known stereoisomers. For meromutide A, these chemical shifts were as follows: C-3 ( $\delta_{\text{H}}$  2.24;  $\delta_{\text{C}}$  13.9) and C-6 ( $\delta_{\text{H}}$  2.30;  $\delta_{\text{C}}$  13.4), while for meromutide B, they were C-3 ( $\delta_{\text{H}}$  2.21;  $\delta_{\text{C}}$  10.4) and C-6 ( $\delta_{\text{H}}$  1.20;  $\delta_{\text{C}}$  12.9) (Fan et al. 2017). The chemical shifts of the corresponding protons and carbons of the thiomethyl groups at C-3 and C-6 in compound **5** (also measured in methanol-*d*<sub>6</sub>) were different from those of the known stereoisomers (( $\delta_{\text{H}}$  1.36;  $\delta_{\text{C}}$  10.6) and ( $\delta_{\text{H}}$  2.23;  $\delta_{\text{C}}$  13.4), respectively) indicating a change of configuration in one of the chiral centres. On the other hand, since metabolite **5** is the C-15 hydroxyl derivative of Sch54796 (**6**) and Sch54794 (**7**) possessing the known (3*R*,6*S*) and (3*S*,6*S*) configurations, its absolute configuration at C-6 must be *R* as supported by the positive optical rotation (+33.3, MeOH) in comparison with those of the known congeners (Sch54796 (−25, DMSO) and Sch54794 (−70, DMSO); see Chu et al. (1993). Furthermore, the NOESY correlation depicted between H-3 ( $\delta_{\text{H}}$  5.01, s) and CH<sub>3</sub>-18 (2.23, s) revealed the *trans*-orientation of the two thiomethyl groups on the diketopiperazine ring. To further confirm the 3*S*,6*R* configuration, we compared the ECD spectrum of metabolite **5** with that of fusaperazine, a related thiodiketopiperazine possessing a 3*R*,6*R* configuration obtained from a marine algae-derived fungus *Penicillium* sp. KMM 4672 (Yurchenko et al. 2019).

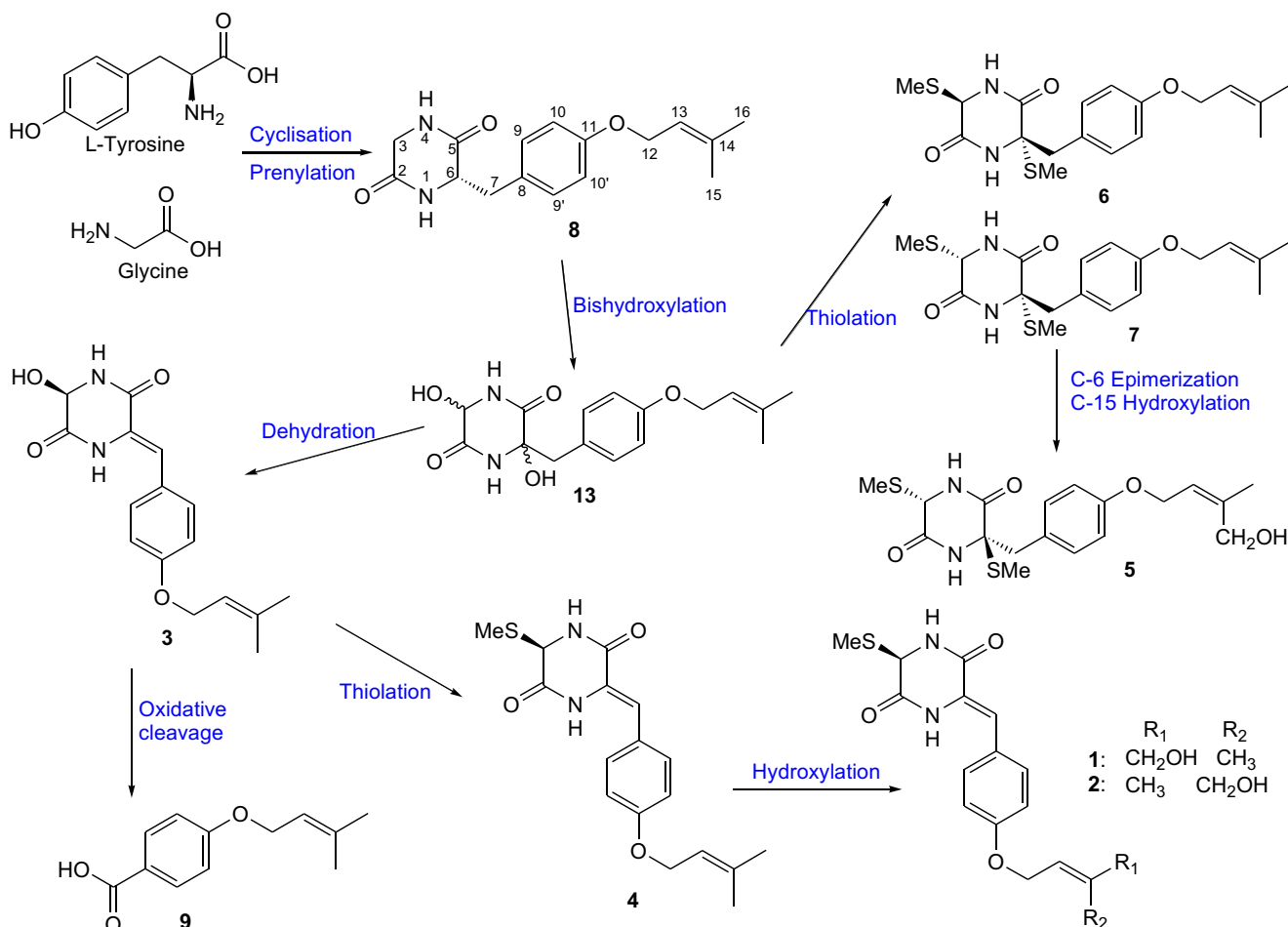
Both compounds exhibited a negative Cotton effect between 220 and 240 nm, probably due to the common 6*R* configuration. However, a negative Cotton effect was observed on the ECD spectrum of compound **5** between 240 and 290 nm while a positive Cotton effect was depicted in the same zone of the ECD spectrum of fusaperazine. The structure of **5** was finally elucidated as (3*S*,6*R*)-3,6-bisthiomethyl-6-[4-*O*-[(2*Z*)-4-hydroxy-3-methylbut-2-enyl]phenylmethyl]piperazine-2,5-dione.

Diketopiperazines are cyclic peptides produced by bacteria and fungi arising from the cyclisation of two or more amino acids catalysed either by two-modular non-ribosomal peptide synthetases or by cyclodipeptide synthases (Huang et al. 2014; Brockmeyer and Li 2017). Since the isolated compounds are biogenetically related, their biosynthetic relationships were proposed. Compounds **10**, **11**, and **12** could be obtained from the cyclisation of L-proline and L-isoleucine, L-proline and L-leucine, and L-proline and L-phenylalanine, respectively. While working on the biosynthesis of the epidithiodiketopiperazine gliotoxin, Scharf et al. 2011 discovered that a specialised glutathione *S*-transferase (GliG) plays a key role in C-S bond formation (sulfurisation) and that bishydroxylation of the diketopiperazine by oxygenase (GliC) is a prerequisite for glutathione adduct formation. Cyclisation of glycine and L-tyrosine followed by *O*-prenylation affords cyclo-(glycyl-L-tyrosyl)-3,3-dimethylallyl ether **8** which could undergo bishydroxylation by oxygenase GliC to yield the intermediate **13** (not isolated). Thiolation of **13** in the presence of GliG could afford Sch 54796 (**6**) and Sch 54794 (**7**). The C-6 epimerisation of Sch 54794 (**7**) followed by hydroxylation at C-15 could lead compound **5**. The intermediate **13** could also undergo dehydration to afford metabolite **3** which could give compound **9** after an oxidative cleavage of the C-6–C-7 double bond. Thiolation of metabolite **3** could also lead compound **4**, which could undergo hydroxylation of one of the prenylmethyl groups to give **1** and **2** (Fig. 5).

## Biological activities

Since some derivatives of diketopiperazines were reported to display significant antibiotic, antitumor, and immunosuppressant properties (Ameur et al. 2004), while others show a wide range of biological effects in cell cycle progression (Cui et al. 1996), the isolated compounds were tested for their antimicrobial and cytotoxic activities against various bacteria, fungi, and two mammalian cell lines, but only weak cytotoxic activity was observed for metabolites **1**, **4**, and **9** against KB3.1 cells. The evaluation of these compounds in additional bioassays is presently underway.

In general, *Batnamyces globulariicola* belongs to a group of Chaetomiaceae that has been poorly studied for secondary metabolites, suggesting that it will be worthwhile to examine



**Fig. 5** Proposed biogenetic pathway for the formation of compounds 1–9 from glycine and *L*-tyrosine

further strains that appear phylogenetically related for the production of diketopiperazines and other secondary metabolites. The lack of suitable morphological features for the classification of these fungi using a polythetic approach could thus be compensated by chemotaxonomic methodology, as recently accomplished for some genera of the Xylariales (cf. Samarakoon et al. 2020; Wittstein et al. 2020).

## Conclusion

*Batnamyces globulariicola* was only isolated once among several hundreds of cultures that inhabited the rhizosphere of the host plant during the course of a PhD thesis (Noumeur 2018). We selected this strain out of many others that were isolated concurrently because it turned out to represent a hitherto unknown phylogenetic lineage among the Sordariales. We were at first unsure whether to report the fungus as an “unknown member” of the Chaetomiaceae, along with the secondary metabolites, but finally decided to name the taxon, and place it in its phylogenetic context, as part of an ongoing taxonomic study (Wang et al. 2019b). It was rather surprising

to see that it still did not fit in any of the known genera of the Chaetomiaceae even by comparison with the latest monographic work.

We are aware of the potential pitfalls regarding the classification of such a single strain as a member of a monotypic genus, and in particular the requests by some members of the mycological community that more than one culture needs to be deposited to justify the formal description of a new taxon. However, such arguments mostly come from scientists who are working with ubiquitous fungal genera that make up the bulk of new species in the large classes of Eurotiomycetes, Sordariomycetes, and Dothideomycetes, where ex-type strains as well as large numbers of congeneric isolates are readily available.

We cannot be absolutely certain whether our new fungus really represents an endophyte, because it was only isolated once. However, a sterile mycelium could hardly have survived the applied, rather harsh root disinfection procedure unless it forms persistent propagules which may have survived the chemical treatment. Nevertheless, we cannot exclude that it is heterothallic and that a sexual state may exist in nature. Even though we did not observe sporulation of the culture,

aside from the production of chlamydospores, we cannot exclude that it forms persistent propagules in its natural habitat that we have not been able to induce in the laboratory. There remains a chance that a yet unknown stage of the fungus has persisted in a soil particle that was attached to the roots, and it should be attempted to re-isolate *Batnamyces* from other parts of the plant. The taxonomy of other fungi that were already frequently isolated from similar biotopes, such as the so-called dark septate root endophytes, which mostly belong to the Dothideomycetes (Knapp et al. 2015; Bonfim et al. 2016), poses a similar challenge. Their taxonomy cannot be resolved by using a morphocentric approach based on conidiogenous structures but must rely on molecular methods. Even here, a chemotaxonomic approach would be interesting to pursue to attain complementary phenotype-derived data.

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## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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