CHEST RADIOLOGY



# Flat detector C-arm CT-guided transthoracic needle biopsy of small (≤2.0 cm) pulmonary nodules: diagnostic accuracy and complication in 100 patients

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#### Abstract

*Purpose* This study aimed at assessing the usefulness of flat detector cone-beam CT virtual navigation-guided transthoracic needle biopsy of small ( $\leq 2.0$  cm) pulmonary nodules in terms of diagnostic accuracy and complications to evaluate the diagnostic performance and complications of small pulmonary nodules under cone-beam CT (CBCT) guidance.

*Materials and methods* A total of 100 patients with 100 solid lung nodules were retrospectively enrolled to undergo transthoracic needle biopsy (TNB) procedures. The mean diameter of lesions was 1.25 cm  $\pm$  0.39 (range 0.50–2.00 cm). The needle path was carefully planned and calculated on the CBCT virtual navigation guidance system, which acquired 3D CT-like cross-sectional images. Diagnostic performance, complication rate, and patient radiation exposure were investigated.

*Results* The technical success rate of TNB under iGuide CBCT virtual navigation system was 99 % (99/100). The sensitivity, specificity, and accuracy of TNB of small nodules under iGuide CBCT virtual navigation guidance were 98.7 % (77/78), 90.5 % (19/21), and 97.0 % (96/99),

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<sup>1</sup> Department of Interventional Radiology, The First Affiliated Hospital of Zhengzhou University, Zhengzhou 450052, Henan, People's Republic of China respectively. The number of pleural passages with coaxial needle, biopsies, and CBCT acquisitions were  $1.09 \pm 0.32$ ,  $1.20 \pm 0.47$ , and  $3.06 \pm 1.35$ , respectively. Complications occurred in 22 (22 %) cases. The mean total procedure time was 12.84 min  $\pm$  3.74, resulting in a mean exposure dose of 7.6 mSv  $\pm$ 3.1.

Conclusions Flat detector Cone-beam CT-guided TNB is a highly accurate and safe diagnostic method for small ( $\leq 2.0$  cm) lung nodule.

**Keywords** Interventional · Biopsy · Lung · Computer applications-3D

## Introduction

Transthoracic needle biopsy (TNB) is a well-established and commonly used procedure in the work-up of pulmonary nodules, regardless of nodule size [1, 2]. Although TNB can be performed with fluoroscopic or ultrasonographic guidance, CT and CT fluoroscopy-guided TNB have been widely performed in the diagnosis of pulmonary nodules [3]. However, conventional CT guidance has limitations in the lack of real-time monitoring and gantry tilting for a more accessible needle pathway to the target lesion [4-6]. To overcome the disadvantages of conventional CT guidance, CT fluoroscopy has been introduced, and this modality enables real-time monitoring of target lesions and gantry tilting [7]. Hiraki et al. [4] showed the overall accuracy of CT fluoroscopy-guided PTNB was 95.2 %. Nevertheless, limitations include the small gantry bore, radiation exposure to operators, and limited imaging plane orientation [8, 9].

Along with the development of CBCT, a novel technique for TNB guidance recently emerged. It combines advanced

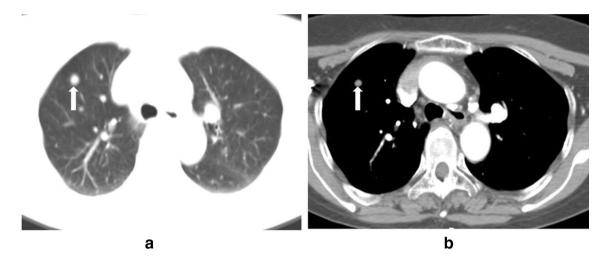


Fig. 1 A 66-year-old patient; preoperative CT showed a right upper pulmonary nodule (size 0.50 cm)

needle path planning with 3D CBCT images. The CBCT systems offer real-time visualization of TNB procedures and more flexibility in the orientation of the detector system around the patient compared to traditional CT systems [11, 12]. Therefore, the TNB procedures assisted by advanced 3D needle guidance systems can be performed in a sterile workspace with flexible system angulation capability as well as instantaneous fluoroscopic feedbacks [13]. This technique offers high spatial resolution of less than 1 mm, as well as contrast resolution of 10 HU, which is adequate for lung imaging as lung inherently has a high contrast (soft tissue against air). In August 2009, Artis zeego, the multi-axis system based on robotic technology from Siemens was introduced into our department, where was the first installation unit in China. With its high image quality and its advanced applications such as syngo DynaCT, syngo iPilot and syngo iGuide help to improve the workflow. This paper describes our preliminary experience with TNB for small (<2.0 cm) pulmonary nodules biopsy under iGuide CBCT guidance system.

#### Materials and methods

#### Patients

This retrospective study was approved by the institutional review board of The First Affiliated Hospital of Zhengzhou University, and additional informed consent was obtained from all individual participants for whom identifying information is included in this article. From January 2010 to January 2014, a total of 100 patients with 100 solid pulmonary nodules were retrospectively enrolled to undergo TNB procedures. 100 consecutive patients (69 males and 31 females, mean age 53.08  $\pm$  13.23 years; age range

19–88 years) with CT-confirmed solid pulmonary lesions ( $\leq$ 2.0 cm) were retrospectively enrolled in this study. The lesion size was recorded as the maximum diameter in the image data by one chest radiologist (Han XW, 30 years of experience in image guided TNB).

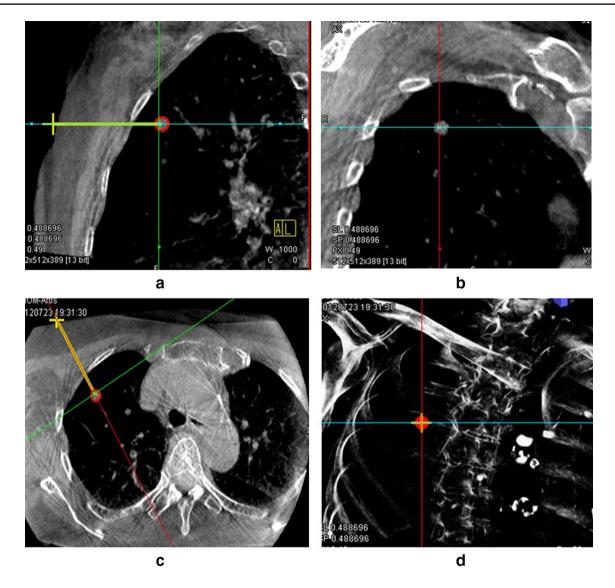
#### **Image acquisition**

As the first step, 3D CBCT images of the patients were acquired with a rotational angiographic system (Artis Zeego,  $30 \times 40$  cm FD detector, Siemens Healthcare, Forchheim Germany). During the image acquisition, the region of interest was positioned in the isocenter and the C-arm rotated 200 degrees in 8 s. As an output, a total number of 397 projection images were generated with an X-ray dose of 0.36  $\mu$ Gy/frame, projection increment 0.5°, 1-k matrix, zoom factor 0, and field of view 480 mm. With the detector used, the imaged volume covered had a cylindrical shape with a height of 185 mm, a diameter of 225 mm, and a voxel size of 0.4 mm. The patients stopped breathing for the entire 8 s 3D CBCT image acquisition.

The resulting raw projection images were then automatically transferred to a workstation (Syngo X Workplace, Siemens Healthcare) for 3D volume reconstruction. As a result, the CBCT images were reconstructed with 5 mm thickness and presented in axial, sagittal and coronal orientations. The time from the end of the data acquisition to the presentation of multiplanar images on the workstation ranged between 43 and 45 s.

#### Needle path planning and guidance procedure

As the second step, the needle path was planned on the same workstation using commercially available software (Syngo iGuide, Siemens Healthcare). Figures 1, 2, 3 and 4



**Fig. 2** CBCT orthogonal multiplanar images with graphics showing planned needle path (*yellow line*) into target lesion (*red circle*) ( $\mathbf{a}$ - $\mathbf{c}$ ). The *cross* indicated the skin entry site and the *circle* indicated the tar-

get lesion site. The needle position relative to the anatomical structures was displayed in 3D using volume rendering technique (d)

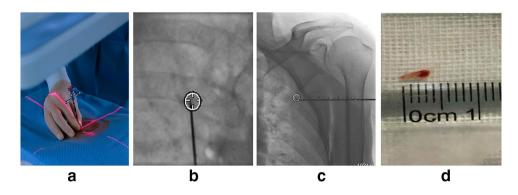


Fig. 3 The laser navigation system on the Flat-panel can quickly locate the needle point on skin, Thus the skin entry point could be determined (a). Real-time fluoroscopic images in bull's eye view (b) and progression views (c). The needle was advanced along the

planned needle path (*dotted line*) from skin entry site (*white cross*) to target lesion site (*white circle*). Sufficient tissue sample was obtained (**d**)

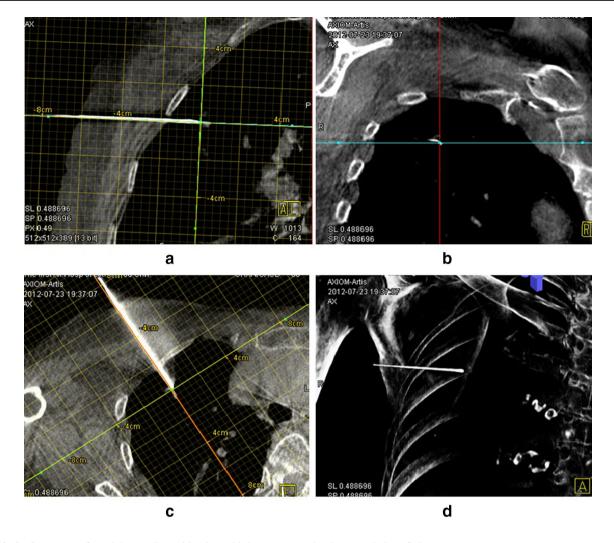


Fig. 4 CBCT scan confirmed the needle position in multiplanar (a-c) and volume rendering (d) images

demonstrated this procedure for a 0.5-cm in diameter pulmonary lesion, and Figs. 5, 6, 7, and 8 demonstrated this procedure for a 2.0-cm in diameter pulmonary lesion. The reconstructed 3D volume was first loaded. In the orthogonal multiplanar images, the skin entry point and target lesion positions were manually selected and marked by a cross and a circle, respectively. A virtual path was then generated with its angulations and length calculated and displayed. All three multiplanar images were automatically aligned to the defined path to provide in-plane views (Figs. 2, 6). This procedure could be iteratively performed, modified, and reviewed until a satisfying path was obtained.

In order to use the planned path to align the needle in actual 3D space, the virtual path was then projected and superimposed onto the live fluoroscopic images and displayed on a dedicated live monitor (Figs. 3b, c, 7a, b). The software automatically calculated the C-arm angulations, table motion, image zoom, and then controlled the C-arm

moving to reach the desired position. First, the C-arm rotated to the Bull's Eye View, where the C-arm was angulated in the way that the cross and the circle displayed on the live monitor completely matched and the central X-ray beam was aligned with the planned path (Figs. 3b, 7b). The laser navigation system on the Flat-panel can quickly locate the needle point on skin, Thus the skin entry point could be determined (Fig. 3a). The needle orientation was adjusted until both the tip and hub of the needle in the fluoroscopic image were superimposed and located at the centre of the circle and the cross. Second, after the skin entry point and needle orientation were determined, the needle was advanced under fluoroscopy until the planned target lesion position was reached. The C-arm was rotated back and forth to two different angles subsequently to monitor the needle progression. These two angles provided lateral views (progression view) of the planned needle path and helped to ensure that the needle was advanced along it

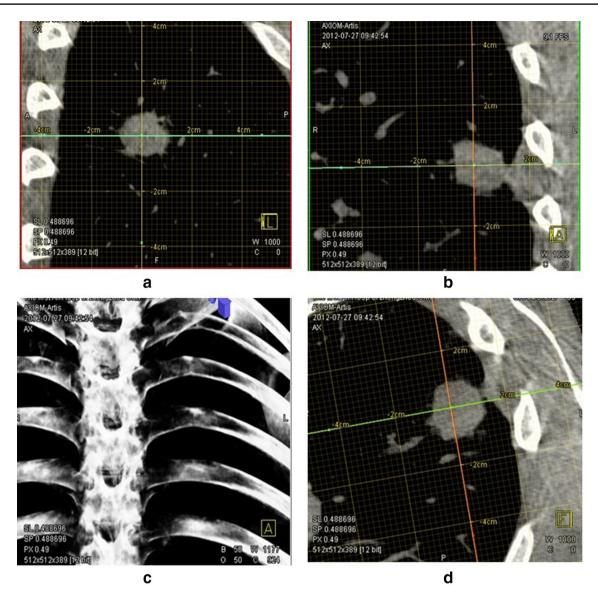


Fig. 5 Another 78-year-old man; preoperative CBCT showed a left upper pulmonary nodule with a pulmonary mass (size 2.0 cm)

(Figs. 3c, 7b). Third, a 3D scan was acquired to confirm the final position of the needle (Figs. 4, 8).

#### **PTNB** technique

TNBs were performed by or under the supervison of one chest radiologist (Han XW, 30 years of experience in image guided TNB). The patient was placed in either supine or prostrate position and local anaesthesia was given (1 % lidocaine,  $\leq$ 10 ml). A 16-gauge needle (Quick-Core, Cook Medical Inc., Bloomington, IN, USA) was advanced along the planed path under real-time fluoroscopy. For sampling, the stylet was removed from the guiding needle and replaced by a biopsy needle, and then approximately

0.3–2.0 cm of sample tissue was taken. A cytopathologist was not present, and our criterion was that the total samples; length was more than 0.3 cm to meet the needs of the pathological sections. This procedure could be repeated until sufficient tissue sample was obtained. After sufficient tissue samples were obtained, the coaxial introducer was removed with a position change by placing the patient biopsy side down to reduce the complication rate of pneumothorax [14]. Thereafter, post procedure CT images were acquired to identify procedure-related complications. The patients remained in the hospital for 24 h for observation after the procedure. In case serious symptoms in vital signs or clinical status occurred, repeated imaging and if necessary treatment was performed.

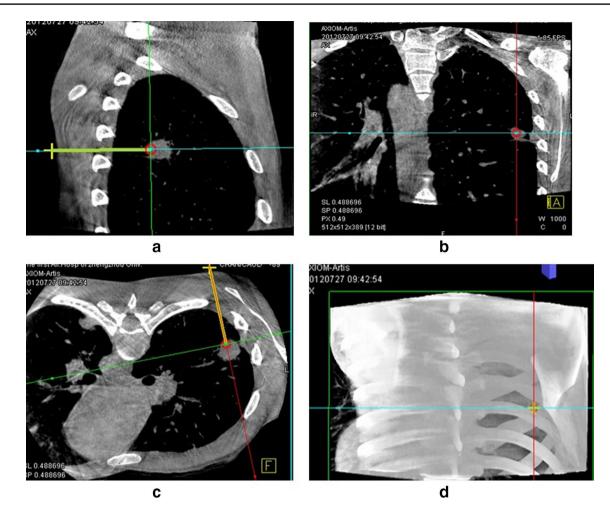
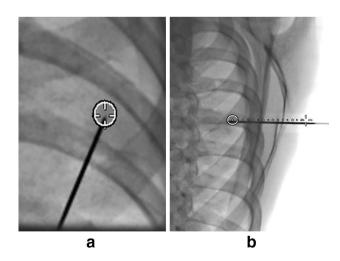


Fig. 6 CBCT orthogonal multiplanar images with graphics showing planned needle path (*yellow line*) into target lesion (*red circle*) (a-c). The *cross* indicated the skin entry site and the *circle* indicated the tar-

get lesion site. The needle position relative to the anatomical structures was displayed in 3D using volume rendering technique  $({\bf d})$ 



**Fig. 7** Real-time fluoroscopic images in bull's eye view (**a**) and progression views (**b**). The needle was advanced along the planned needle path (*dotted line*) from skin entry site (*white cross*) to target lesion site (*white circle*)

## **Post-biopsy evaluation**

After obtaining sufficient tissue, the coaxial introducer was removed. Post-biopsy complications were investigated with post-procedural CBCT.

### **Procedural records**

We recorded several factors during TNB: the patients' positions during PTNB, the number of pleural passages, the number of biopsies, the number of CT acquisitions, and total procedure time (defined as the duration from local anaesthesia injection to the end of post-procedure CBCT). Technical success was defined as appropriate location of the coaxial needle within target nodules on procedural CBCT images and adequate tissue sampling on visual inspection. We recorded the total coaxial introducer dwelling time. We also recorded radiation exposure dose during the entire procedure (fluoroscopy

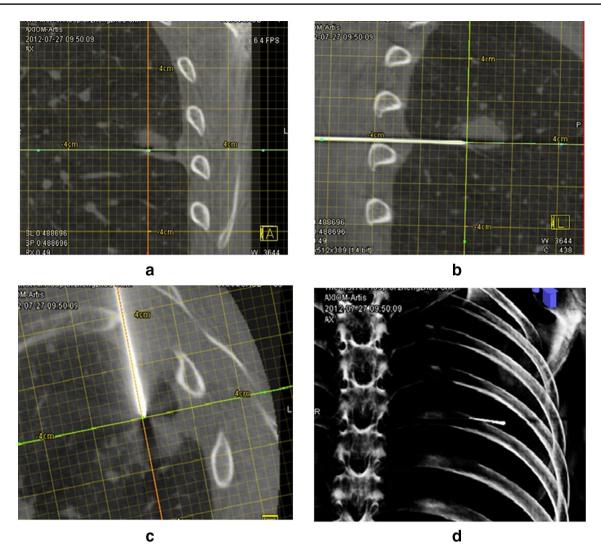


Fig. 8 CBCT scan confirmed the needle position in multiplanar (a-c) and volume rendering (d) images

dose and CBCT dose), which was given by the SIEMENS zeego angiography series after the interventional procedure.

#### Pathological results, diagnostic accuracy

The pathological reports of biopsy specimens, surgical specimens, or follow-up images were reviewed to evaluate the final diagnosis of target nodules. Final diagnosis was confirmed in four ways: (1) if the patient underwent surgical resection, the pathological report decided the final diagnosis; (2) if the pathological result of biopsy demonstrated a malignant or a specific benign pathology such as hamartoma or tuberculosis, it was accepted as the final diagnosis; (3) in the cases of nonspecific benign pathology (negative for malignancy, chronic inflammation, etc.), follow-up CT helped to decide whether the lesion would be truly or falsely benign, or indeterminate. If the lesion decreased 20 % or more in diameter, we considered the final diagnosis as benign [15]. (4) If a nodule of nonspecific benign pathology did not show sufficient interval decrease in size nor had follow-up images, its final diagnosis was defined as indeterminate. Any PTNB-related complication such as pneumothorax or haemoptysis was also recorded. Pneumothorax was evaluated with post-procedural CBCT and follow-up chest radiographs during the hospitalization.

All data analyses were performed using Excel 2010 (Microsoft, Redmond, WA) and SPSS software (version 13.0; SPSS, Chicago). Numeric data are reported as the mean  $\pm$  standard deviation.

# Results

# Nodule characteristics, procedural records and radiation doses

The mean size of nodules was 1.25 cm  $\pm$  0.39, ranging from 0.50 to 2.00 cm. Among the 100 nodules, 97 nodules

were solid and three ground-glass nodules (GGNs). 65 nodules were located in the right lobe and 35 nodules in the left lobe. The patients underwent TNB in the supine position in 69 cases and in the prone position in 31 cases. The number of pleural passages with coaxial needle, biopsies and CBCT acquisitions was  $1.09 \pm 0.32$  (range 1–3),  $1.20 \pm 0.47$ (range 1–3), and  $3.06 \pm 1.35$  (range 2–8), respectively. Mean total procedure time was 12.84 min  $\pm$  3.74 (range 6-20 min). The technical success rate of TNB under iGuide CBCT virtual navigation system was 99.0 % (99/100). One patient with severe chronic obstructive pulmonary disease and a 0.78-cm lesion developed severe pneumothorax with a volume of approximately 50 % during the TNB procedure. This patient was not get adequate tissue sampling on visual inspection and was treated with chest tube drainage due to severe difficulty breathing. We recorded the case as technical failure. The total coaxial introducer dwelling time in our study was 8.2 min  $\pm$  3.4. The overall procedural time ranged from 6 to 20 min, resulting in a mean exposure dose of 7.6 mSv  $\pm$  3.1.

# Pathological results, diagnostic accuracy and complications

Detailed pathological results are listed in Table 1. Among the 99 nodules, 76 nodules were malignant and 21 benign. The 76 malignant lesions consisted of lung adenocarcinomas (n = 48), squamous cell carcinomas (n = 15), small cell lung cancers (n = 10), metastases (n = 2), mesothelioma of pleura (n = 2) and pulmonary blastoma (n = 1). The 21 malignant lesions consisted of lung inflammations (n = 7), tuberculosis (n = 5), hamartoma (n = 2) and carcinoid (n = 2). There were three patients misdiagnosed. One patient's initial biopsy results were squamous cell carcinoma (nodule size = 1.0 cm), but was later confirmed as an adenosquamous carcinoma by post-operative pathological outcome. The initial biopsy of the other two patients was interpreted as lung inflammation. Both nodules (nodule size = 0.79 cm and 1.66 cm) were enlarged more than 20 % in size on follow-up chest CT after 2 months. TNB procedures were performed again and the both patients were confirmed with lung adenocarcinoma.

Finally, the sensitivity, specificity and accuracy of TNB of very small nodules under the Siemens Artis Zeego iGuide CBCT virtual navigation guidance were 98.7 % (77/78), 90.5 % (19/21) and 97.0 % (96/99), respectively. One patient with severe chronic obstructive pulmonary disease and a 0.78-cm lesion developed severe pneumothorax with a volume of approximately 50 % during the TNB procedure. This patient was not get adequate tissue sampling on visual inspection and was treated with chest tube drainage due to severe difficulty breathing. We recorded the case as technical failure. Another patient with ground-glass nodule

 Table 1
 Pathologic outcomes of the patients who underwent TNB

 procedure with Siemens Artis Zeego iGuide CBCT needle guidance
 system

Total no. of patient	100		
The technical success rate (%)	99 (99)		
Specificity (%)	19 (90.5)		
Accuracy (%)	95 (96)		
Pneumothorax rate (%)	10 (10)		
Hemoptysis rate (%)	12 (12)		
Malignant	78	Benign	21
Lung adenocarcinoma	48 (61.5)	Lung inflammation	7 (43.8)
Squamous cell carci- noma	15 (19.2)	Pulmonary tuberculosis	5 (32.2)
Small cell lung cancer	10 (12.8)	Hamartoma	2 (12.5)
Mesothelioma of pleura	2 (2.6)	Carcinoid	2 (12.5)
Metastases	2 (2.6)		
Pulmonary blastoma	1 (1.3)		

developed post-operative pneumothorax with volume about 30 % and hence underwent chest tube drainage. There were other eight patients with pneumothorax volume below 5 %, and no specific treatment was given as the patients were asymptomatic and clinically stable. Post-operative hemoptysis occurred in 12 patients, but the symptom was self-limiting and disappeared within 3 days.

### Discussion

With the increasing utilisation of chest CT in clinical practice as well as in lung cancer screenings, early lung cancers manifesting as small nodules have been detected more frequently than ever before. So, the need for accurate diagnosis of these small lung nodules with a high suspicion of malignancy is evident [16, 17].

Initial experiences suggested that CBCT-guided TNB could be a useful, safe and accurate procedure for diagnosis of indeterminate lung nodules, with possible advantages over fluoro-CT-guided biopsy [10, 18]. It is well known that limited access due to a closed CT gantry increases the difficulty of TNB and increases radiation exposure for the operator, whereas the greater working space provided by the C-arm cone-beam system facilitates needle placement [10, 19]. The CBCT system offers advanced needle planning under real-time needle guidance, using a combination of 3D images and fluoroscopy, with good angulation and rotation. Another advantage of CBCT is the improved resolution of images, which have superior contrast, without distortion, compared to fluoro-CT images [20]. Moreover, under CT guidance the needle path can be followed only on

a single plane during needle insertion, a limitation in comparison with the 3D images offered by CBCT [10].

Our study shows that the technical success rate of TNB for small nodule (<2.0 cm) under iGuide Cone-beam CT virtual navigation system was 99.0 %, and diagnostic accuracy rate of 97.0 %. We observed 1 (1 %) case of technical failure in our study, whereas Hiraki et al. [4] observed six (0.6 %). In consideration of the previously mentioned diagnostic performance and technical failure rate of TNBs, we believe that CBCT-guided TNB is a highly accurate diagnostic method for use with pulmonary lesions [4, 21]. Previously, Ohno et al. [1] and Wallace et al. [13] reported the accuracy of CT-guided TNB of small nodules as only 52 and 88 %, respectively. And even in the case of CTfluoroscopy-guided PTNB, the diagnostic accuracy of these small nodules has been reported to be 92.7 % [22]. In our study diagnostic accuracy was 97.0 % for lesions 2.0 cm or smaller. This compares favourably with the Hiraki et al. [4] and JY Choo et al. studies [17], in which diagnostic accuracy was 92.7 and 98.0 %, respectively. So, flat detector C-arm CT-guided TNB is a highly accurate and safe diagnostic method for small ( $\leq 2.0$  cm) lung nodule. In fact, Chiara et al. [23] reported that lesion size and safety of the method showed no significant differences between two groups of patients divided by lesion size (<3 and >3 cm). We believe that the advantages of cone-beam CT guidance, such as real-time imaging guidance and great flexibility in entry site selection, can contribute to high diagnostic accuracy in small lesions. The "bull's eye view" provided by the real-time fluoroscopy capability of the CBCT virtual navigation system aligns the detector, the skin entry site and the target, contributing to a more accurate and safer biopsy. As an example, this system can help us avoid adjacent structures such as the rib, bronchus or vessels. Furthermore, the total coaxial introducer dwelling time in our study was only 8.2 min  $\pm$  3.4, which was shorter than that of TNB under CT-fluoroscopy guidance in another previous study  $(10.9 \pm 8.2 \text{ min})$  [6] and shorter than that under conventional CT guidance (mean 14.4–23.8 min) [24, 25].

The pneumothorax rate 10 % (10/100) was found to be lower than CT or CTF-guided TNB procedure. With respect to TNB-related complications, pneumothorax has been reported to occur in approximately 25–40 % of overall lung biopsy cases [21]. The incidence of TNB-related pneumothorax was low in our study. We believe that this low incidence of pneumothorax was possible as the virtual navigation system enabled us to select a safer and more accurate targeting route in navigating the needle approach to the target. In addition, the coaxial needle technique also played a significant role in the reduction of complications by avoiding repeated pleural punctures or passages. Of the ten patients who had pneumothorax, five patients (50 %) had pulmonary emphysema along the needle pathway, and this may suggest that considering emphysema in the needle pathway would be more likely to lead to the occurrence of pneumothorax. Post-operative hemoptysis occurred in 12 patients, but the symptom was self-limiting and disappeared within 3 days. Interestingly, Among the 100 nodules, There patients with ground-glass nodules all developed post-operative haemoptysis. Maybe ground-glass feature of nodules significantly increased the haemoptysis rate. We think that the relatively loose compactness of these nodules may have negatively affected the natural compression of injured tissue after biopsy and that patent airways and blood vessels within the lesion could also increase the risk of haemoptysis after cutting needle biopsy.

There were three cases (3.0 %) misdiagnosed in the study. One patients' initial biopsy results were squamous cell carcinoma (nodule size = 1.0 cm), but was later confirmed as an adenosquamous carcinoma by post-operative pathological outcome. The initial biopsy of the other two patients was interpreted as lung inflammation. Both nodules (nodule size = 0.79 and 1.66 cm) were enlarged by more than 20 % in size on follow-up chest CT after 2 months. TNB procedures were performed again and both the patients were confirmed with lung adenocarcinoma. A negative result always poses a diagnostic challenge. A nonspecific benign result does not exclude malignancy, and further evaluation is required. The lesion may be malignant, but the sample obtained may lie outside the nodule borders or come from a necrotic area, thus preventing pathologists from establishing a correct diagnosis. Clinical and radiographic follow-up are warranted. If further growth occurs after a non-specific benign diagnosis is obtained with TNB, repeat biopsy or resection is indicated [26].

In terms of radiation dose, a mean exposure dose was 7.2 mSv  $\pm$  3.1. In the study by Chiara et al. [23] that dealt with pulmonary nodules with C-arm cone-beam CT, the total dose was equal to 11.62 mSv. Braak et al. [27] performed 92 biopsies in 88 patients with a similar guidance and reported a dose value equal to 9.95 mSv. On the basis of our results and the data from the literature regarding lung biopsies performed with CBCT guidance, despite the limited amount of currently available data and the lack of homogeneity, a slight reduction in dose could be hypothesised with the use of iGuide guidance. It could reduce the total dose delivered because it can reduce the number of CBCT scans. While, in the Hwang et al. study [15] that dealt with cone-beam CT-guided biopsy, the mean effective dose was 4.6 mSv. Less dose (comparing with 7.2mSv in our study) can be explained that we usually performed three CBCT scans per case at least, whereas Hwang et al. [15] performed cone-beam CT scans only twice and did not perform a postprocedural cone-beam CT scan. Radiation dose in our study is similar to that of standard chest CT (7 mSv) [28], the radiation dose of cone-beam CT guided

biopsy may not be a substantial problem, although a continuous effort must be made to reduce the radiation dose through use of a small field of view or collimation.

The limitations of this technique should be also mentioned. As patient movements may result in motion artr, facts and affect the registration accuracy of the projected path, the key factor for achieving successful needle guidance is to generate high-quality 3D CBCT images. To this aim, during the imaging procedure, the patient should be well stabilized. However, this requirement may not be fulfilled and limits its applications for some patients who have difficulty sustaining a breath hold for the duration of imaging. Another main limitation of the study is the small sample size (100 patients) that limits the statistical power.

In conclusion, flat detector C-arm CT-guided TNB is a highly accurate and safe diagnostic method for small ( $\leq 2.0$  cm) lung nodule.

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#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical standards** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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