



# Place in Therapy of Cyclin-Dependent Kinase 4/6 Inhibitors in Breast Cancer: A Targeted Literature Review

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## Abstract

Cyclin-dependent kinase 4 and 6 inhibitors (CDK4/6i) are the preferred regimen for patients with hormone receptor-positive and human epidermal growth factor receptor 2-negative (HR+/HER2-) advanced or metastatic breast cancer. However, the optimal treatment sequencing for CDK4/6i with other available therapeutic options is unclear. We conducted a targeted literature review to identify the current evidence on CDK4/6i treatment patterns in patients with breast cancer. The search was initially conducted in October 2021 and subsequently updated in October 2022. Biomedical databases and gray literature were searched, and bibliographies of included reviews were screened for relevant studies. The search identified ten reviews published since 2021 and 87 clinical trials or observational studies published since 2015. The included reviews discussed CDK4/6i usage with or without endocrine therapy (ET) in first-line and second-line treatment for patients with HR+/HER2- advanced or metastatic breast cancer, followed by ET, chemotherapy, or targeted therapy with ET. Clinical studies reported similar treatment sequences consisting of ET, chemotherapy, or targeted therapy with ET prior to CDK4/6i with ET, followed by ET monotherapy, chemotherapy, targeted therapy with ET, or continued CDK4/6i with ET. Current evidence suggests CDK4/6i are effective for HR+/HER2- advanced or metastatic breast cancer in earlier lines of therapy. Efficacy of CDK4/6i as measured by progression-free survival and overall survival was similar within a line of therapy regardless of the type of prior therapy. Survival on different post-CDK4/6i treatments was also similar within the same line of therapy. Additional research is needed to investigate the optimal place in therapy of CDK4/6i and the sequencing of treatments following progression on CDK4/6i.

## 1 Introduction

Breast cancer is the most commonly diagnosed cancer worldwide and is the leading cause of cancer-related mortality in women [1]. In 2020, there were 2.3 million newly diagnosed breast cancer cases and 685,996 deaths from breast cancer globally [1]. Breast cancer is a heterogeneous disease classified by disease stage and by four major subtypes based on molecular markers for hormone receptors (HR; i.e., estrogen receptor [ER] and progesterone receptor [PR]) and human epidermal growth factor receptor 2 (HER2) [2–5]. The most prevalent molecular subtype is

HR positive and HER2 negative (HR+/HER2-), accounting for approximately 70% of cases in the USA and Canada [2–4]. Other subtypes include HR+/HER2+, HR-/HER2+, and HR-/HER2- (triple negative). Therapeutic options for breast cancer (e.g., surgery, chemotherapy, endocrine therapy [ET], and targeted therapy) vary depending on patient and disease-specific characteristics, including molecular subtype and disease stage [6].

The emergence of the cyclin-dependent kinase 4/6 inhibitors (CDK4/6i) has transformed the treatment landscape for patients with HR+/HER2- advanced or metastatic breast cancer (aBC/mBC). Since the US Food and Drug Administration (FDA) approved the first-in-class CDK4/6i, palbociclib, in 2015 [7], each of the currently approved CDK4/6i (palbociclib, ribociclib, and abemaciclib) has demonstrated clinical effectiveness and a well-tolerated safety profile when combined with ET to treat HR+/HER2- aBC/mBC in first-line (1L) and second-line (2L) settings [8–15]. As a result, CDK4/6i are a preferred 1L option for unresectable, HR+/HER2- aBC/mBC, and a preferred 2L option in patients

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## Key Points

Based on a targeted literature review on the place in therapy of cyclin-dependent kinase 4 and 6 inhibitors (CDK4/6i) in breast cancer published since 1 January, 2015, patients with hormone receptor-positive and human epidermal growth factor receptor 2-negative (HR+/HER2-) advanced or metastatic breast cancer commonly receive CDK4/6i in first-line or second-line treatment in combination with endocrine therapy, chemotherapy, or everolimus.

The evidence suggests that CDK4/6i are generally more effective in earlier lines of therapy for the treatment of HR+/HER2- advanced or metastatic breast cancer, regardless of prior treatment received.

CDK4/6i in combination with endocrine therapy is the preferred first-line treatment option for patients with HR+/HER2- advanced or metastatic breast cancer, and the preferred second-line option in patients without prior exposure to CDK4/6i in the metastatic setting.

More research is needed to investigate optimal treatment sequencing of CDK4/6i rechallenging and treatment patterns following progression on CDK4/6i.

without prior exposure to CDK4/6i in the metastatic setting [6, 16, 17]. Additionally, after demonstrating efficacy in treating HR+/HER2- early breast cancer (eBC) [18, 19], abemaciclib was the first CDK4/6i to receive FDA approval (October 2021) for adjuvant treatment of HR+/HER2- eBC [20]. Alternative treatments for endocrine-resistant breast cancer include other targeted therapies, such as the mammalian target of rapamycin inhibitor (mTORi) everolimus, and regimens using targeted therapy in combination with chemotherapy [6, 16, 17]. Overall, treatment selection is based on patient characteristics, guideline-recommended therapy, as well as physician and patient preferences [6, 16, 17]. Given the availability of various targeted treatments for breast cancer, ongoing research on genomic biomarkers aims to support the development of a personalized approach to treatment selection [17, 21].

Although CDK4/6i have established a clear clinical benefit when used to treat HR+/HER2- mBC, the optimal treatment sequencing for CDK4/6i and other available therapeutic options, particularly post-CDK4/6i treatment, is unclear. Following progression on CDK4/6i, treatment options include chemotherapy, ET monotherapy, alternative targeted therapies (e.g., mTORi, phosphoinositide 3-kinase inhibitor, poly (ADP-ribose) polymerase inhibitor [PARPi]), or continued CDK4/6 inhibition [16]. Establishing optimal treatment

sequencing is critical for maximizing clinical benefit and improving patient outcomes.

In this study, we summarized the key current treatment guidelines and recommendations for CDK4/6i treatment for aBC/mBC and conducted a targeted literature review (TLR) to examine the current evidence on CDK4/6i treatment patterns in breast cancer across various disease stages and molecular subtypes. A TLR was conducted to provide a formal and focused review of the literature based on a structured search using selected key terms and phrases. We focused on the place in therapy of CDK4/6i for breast cancer, the clinical effectiveness of CDK4/6i across various treatment patterns, and of therapeutic options following CDK4/6i progression. Additionally, we summarized the treatment characteristics of patients who received CDK4/6i across various treatment modalities, as well as ongoing clinical trials investigating treatment options post-CDK4/6i progression.

## 2 Methods

The TLR was conducted on 15 October, 2021 to identify systematic reviews, narrative reviews, and clinical studies (i.e., clinical trials and observational studies) relevant to CDK4/6i treatment patterns. In comparison to a systematic literature review, this study provides a focused review of the most current literature while being less time intensive. The MEDLINE® biomedical databases including Epub ahead of print, in-process, other non-indexed citations, and daily status records were searched using the Ovid® interface, from the year 1946 up to 14 October, 2021. The database search strategy was developed and executed by an experienced information specialist, who provided comprehensive and thorough documentation of the search strategy and results. In addition to biomedical databases, gray literature sources were searched manually (13 and 14 October, 2021), including clinical trial registries (ClinicalTrials.gov, World Health Organization registry, European Union Drug Regulating Authorities Clinical Trials Database) and Google Scholar. Additionally, the bibliographies of included reviews were searched for references relevant to CDK4/6i treatment patterns. An updated search was conducted on 19 October, 2022 using the same search terms and strategy to include newly published evidence since the initial search date (i.e., 1946 up to 18 October, 2022). The complete search strategies for both the original and updated search are detailed in the Electronic Supplementary Material (ESM).

Study selection was based on pre-specified Population, Intervention, Comparison, Outcomes and Study design (PICOS) criteria (Table 1). Importantly, the PICOS criteria used to screen identified studies were set a priori. Clinical studies published since 1 January, 2015 were included. Systematic and narrative reviews published since 1 January,

2018 were initially included for screening. Given that over 30 relevant reviews were identified up to the search date (15 October, 2021), we further limited the search to only include reviews published since 1 January, 2021 to focus on the most recent reviews on the topics of interest.

Screening was conducted by a single reviewer at two levels. First, the titles and abstracts of records were reviewed for relevance based on the PICOS criteria. Then, the full text of records included after the first level of screening were reviewed in detail for formal inclusion in the review and data extraction.

Key details about study design and characteristics, baseline patient characteristics, clinical outcomes, treatment sequences investigated, post-CDK4/6i treatments and reported outcomes, and any quality-of-life outcomes captured in included studies were extracted by a single reviewer into a data extraction form in Microsoft Excel. To visually compare clinical outcomes across studies, bubble charts were created in Microsoft Excel using the extracted median overall survival (mOS) and median progression-free survival (mPFS) data, organized by study and line of therapy. In each bubble chart, study cohorts were presented along the X-axis with a unique reference number assigned to each cohort. The size of each bubble was used

to represent the sample size in each cohort, and the bubbles were color coded by the treatment class received.

Clinical guidelines for the treatment of aBC/mBC published by the National Comprehensive Cancer Network<sup>®</sup> (NCCN<sup>®</sup>), American Society of Clinical Oncology (ASCO 2021), European Society for Medical Oncology (ESMO 2021), and the Arbeitsgemeinschaft Gynäkologische Onkologie (AGO) German Gynecological Oncology Group were also reviewed and summarized [6, 16, 17, 22].

## 3 Results

### 3.1 Review of Clinical Guidelines

Multiple breast cancer treatment guidelines are available for clinicians who treat breast cancer. Here, we summarize the most recent and relevant recommendations for the use of CDK4/6i as presented in key guidelines by the NCCN<sup>®</sup> in 2022, ASCO in 2021, ESMO in 2021, and the AGO in 2022 [6, 16, 17, 22].

**Table 1** Population, intervention, comparison, outcomes and study design (PICOS) criteria

Criteria	Inclusion criteria	Exclusion criteria
Population	Adult patients aged $\geq 18$ years diagnosed with breast cancer within and across disease stages (early and metastatic) and by molecular subtypes <sup>a</sup>	Only patients aged $< 18$ years All other diseases
Intervention/comparators	Various treatment patterns/strategies of CDK4/6i Use as first-line vs second-line or later Subsequent therapy following CDK4/6i use in metastatic breast cancer	Studies that do not discuss treatment patterns/strategies of CDK4/6i <sup>b</sup>
Outcomes	Characteristics of patients who received CDK4/6i for metastatic breast cancer across all potential treatment patterns Clinical outcomes of patients who received various CDK4/6i treatment strategies for metastatic breast cancer	Studies that do not report any relevant outcomes <sup>b</sup>
Study design	Peer-reviewed publications, including: Narrative and systematic literature reviews (initial search conducted for reviews since 1 January, 2018; then further limited to since 1 January, 2021 because of a large number of reviews identified after 2018) Clinical studies (e.g., RWE studies, clinical trials) (since 1 January, 2015) Gray literature Conference abstracts (since 1 January, 2018) Clinical trial registry records (since 1 January, 2015)	Narrative and systematic literature reviews published prior to 2018 Conference abstracts published prior to 2018 Clinical studies and clinical trial registry records published prior to 2015
Language	English	Non-English

CDK4/6i cyclin-dependent kinase 4/6 inhibitor, ER estrogen receptor, HER2 human epidermal growth factor receptor 2, RWE real-world evidence, TNBC triple-negative breast cancer

<sup>a</sup>Includes all molecular subtypes of breast cancer (e.g., ER+/-, HER2+/-, TNBC; i.e., luminal A/B, HER2 enriched, basal breast cancer)

<sup>b</sup>Excludes clinical trials that evaluate the efficacy and safety of CDK4/6i but do not report treatment patterns or place in therapy of CDK4/6i across multiple lines of therapy

### 3.1.1 Advanced or Metastatic Breast Cancer

All aforementioned guidelines recommend CDK4/6i for the treatment of patients with HR+/HER2– aBC/mBC [6, 16, 17, 22]. Notably, none of the included treatment guidelines name specific CDK4/6i treatments, but recommend the class broadly, as there have been no head-to-head clinical trials to date comparing the three approved CDK4/6i, and the efficacy of each appear to be similar [6, 16, 17, 22]. In patients with HR+/HER2– recurrent or stage IV disease who are postmenopausal (or premenopausal receiving ovarian ablation or suppression), the NCCN Clinical Practice Guidelines in Oncology recommend systemic therapy with CDK4/6i in combination with an aromatase inhibitor (AI) or fulvestrant as a preferred regimen in 1L, 2L, and subsequent-line therapy [5]. The NCCN Clinical Practice Guidelines in Oncology also note that data supporting treatment with continued CDK4/6i regimens upon progression on CDK4/6i are limited; therefore, CDK4/6i are recommended for patients without prior exposure to CDK4/6i treatment. Recent ASCO and ESMO guidelines provide more specific recommendations for CDK4/6i use: CDK4/6i combined with a non-steroidal AI is recommended for treatment-naïve patients in the mBC setting (i.e., in 1L) with HR+ mBC who are postmenopausal or premenopausal with chemical ovarian function suppression, and for male patients who are receiving a gonadotropin-releasing hormone analog [16, 17]. Additionally, CDK4/6i combined with fulvestrant is recommended either in 1L or after one prior line of chemotherapy in the metastatic setting for patients who experience progression on an AI or recurrence within 1 year of receiving an AI, and with no prior exposure to CDK4/6i in the metastatic setting [16, 17]. The ESMO guidelines also suggest CDK4/6i treatment could be continued after progression on prior CDK4/6i after a treatment-free interval of at least 12 months [16]. CDK4/6i combined with ET is also acceptable as a subsequent therapy for patients who have not received CDK4/6i in 1L and have progressive disease [16]. Additionally, ESMO guidelines recommend that ET alone in the first-line setting should be reserved for the small group of patients with comorbidities or a performance status that prevents the use of CDK4/6i.

### 3.1.2 Targeted Therapies for Genetic Subtypes

The NCCN, ASCO, ESMO, and AGO treatment guidelines all include similar treatment recommendations for patients with aBC/mBC with specific genetic mutations. Alpelisib with fulvestrant is recommended as a targeted therapy for patients with PIK3CA-mutated tumors, and PARPi (i.e., olaparib or talazoparib) is recommended for patients with

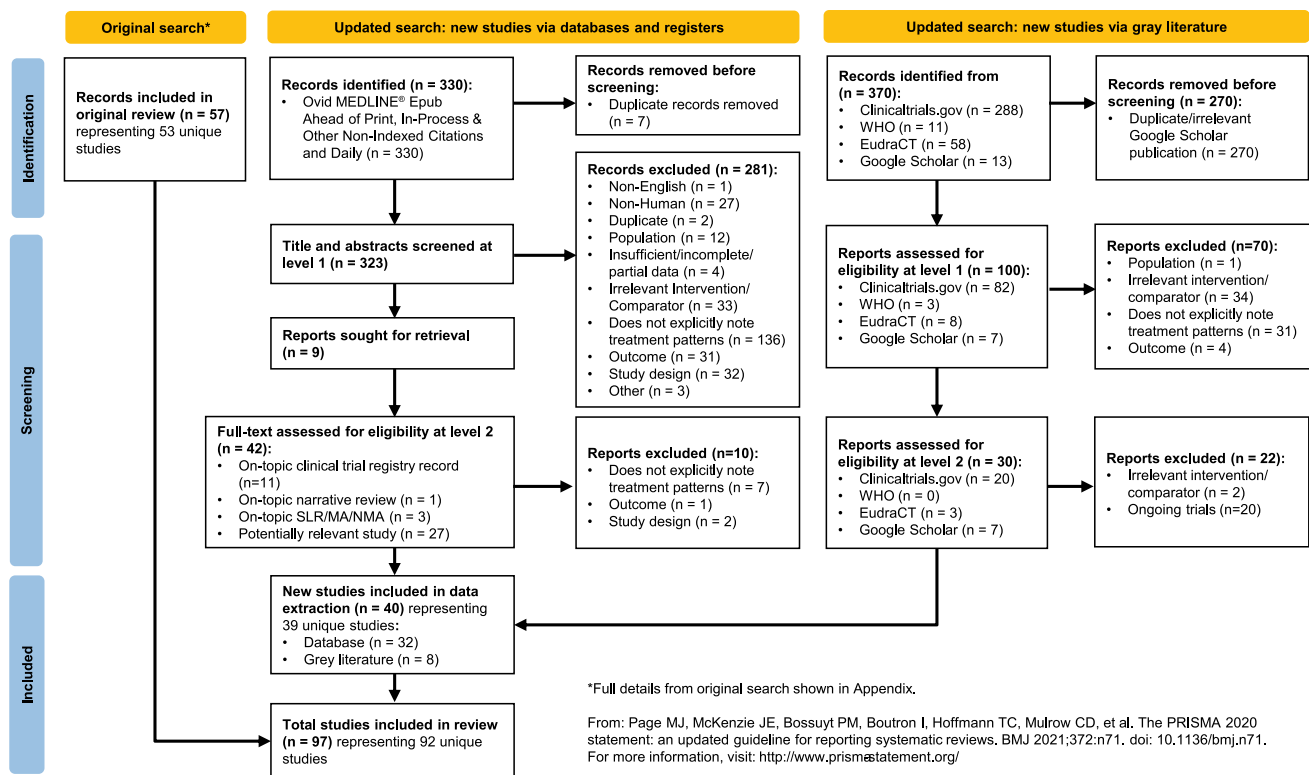
germline BRCA1/BRCA2 (gBRCA1/2)-mutated tumors [6, 16, 17, 22]. Similarly, subsequent treatment options following progression on CDK4/6i in the ESMO guidelines include alpelisib with fulvestrant for PIK3CA-mutated tumors, PARPi for gBRCA1/2-mutated tumors, everolimus-based regimens, ET, and chemotherapies; however, the guidelines note that the optimal treatment sequence is uncertain post-CDK4/6i and depends on several factors, such as patient response to prior therapies, product availability, and patient preference [16]. Additionally, ASCO and AGO guidelines note there is evidence to suggest ESR1 mutations result in resistance to or reduced efficacy of AIs and tamoxifen; therefore, fulvestrant may be a more beneficial treatment in this population [17, 22].

## 3.2 Targeted Literature Review Results

Our initial TLR identified 1223 records from the biomedical database searches, and 383 records from the gray literature searches. The updated search identified an additional 330 records from the biomedical database searches, and additional 370 records from the gray literature sources. The study selection process, including the numbers of records included and excluded at each step of the screening process, is summarized in the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram in Fig. 1. The complete list of studies included based on a full-text review is presented in the ESM. In summary, a total of 97 publication records, representing 92 unique relevant studies, were identified and extracted. These studies include nine narrative reviews, one systematic literature review, and 87 clinical studies (71 full-text publications, 13 abstracts, and 3 clinical trial records).

## 3.3 Summary of Reviews

In total, ten reviews (nine narrative and one systematic review) were identified for inclusion. The list of reviews identified and the study and patient characteristics of each review are presented in the ESM. Consistent with treatment guidelines, the reviews confirmed CDK4/6i combined with ET as the preferred regimen for HR+/HER2– aBC/mBC (typically in 1L but could apply across all lines of therapy) [ESM]. While different treatment sequences were discussed across the reviews, there was consensus on treatment with CDK4/6i combined with ET in 1L; following progression on CDK4/6i, a targeted therapy combined with ET, or ET or chemotherapy alone, was the most common treatment sequence received by patients in the aBC/mBC setting based on response to prior therapy and patient preference (ESM). Additionally, a 2021 review by Loibl et al. summarized the phase III clinical trials that, at the time, were investigating the use of CDK4/6i combined with ET as a treatment for



**Fig. 1** Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) study selection flow diagram. *EudraCT* European Union Drug Regulating Authorities Clinical Trials Database,

*WHO* World Health Organization. *MA* meta-analysis, *NMA* network meta-analysis, *SLR* systematic literature review. Source: adapted from The PRISMA 2020 statement [101]

patients with eBC (PENELOPE-B, PALLAS, monarchE, and NATALEE) [23–27]. Results from these ongoing trials will shed further light on the efficacy and optimal sequencing of CDK4/6i in eBC in addition to aBC/mBC.

Subsequent treatment recommendation and selection following progression on CDK4/6i depends on a variety of factors, such as prior treatments, response to those therapies, and patient preference. Targeted treatment regimens were recommended for use in specific patient populations based on the mutation or biomarker status, such as alpelisib combined with ET for patients with aBC/mBC with phosphoinositide 3-kinase inhibitor-mutated tumors, or olaparib and talazoparib for patients with aBC/mBC with a germline BRCA1/2 mutation. Many ongoing clinical studies are investigating therapeutic options for the treatment of HR+/HER2– aBC/mBC post-CDK4/6i progression, with nearly 50 ongoing clinical trials and observational studies of post-CDK4/6i therapies identified in our review (ESM). Overall, the reviews suggested that optimal treatment sequencing with CDK4/6i is in combination with ET in 1L or for the treatment of patients with no prior history of CDK4/6i treatment. Based on the currently available clinical data, optimal treatment selection following progression on CDK4/6i-based therapies remains uncertain.

### 3.4 Summary of Clinical Studies

A total of 87 clinical studies (i.e., clinical trials and observational studies) were identified for inclusion. An overview of the characteristics of these studies is provided in Table 2. Of 87 studies, 71 studies were presented in full-text journal articles; 13 were presented in abstracts only, and three were completed trials indexed on the trial registry, ClinicalTrials.gov. Most studies (66 out of 87) were retrospective observational studies, six were prospective or prospective-retrospective observational studies, and 12 were clinical trials. Nearly all (80 out of 87) studies were based on patient data collected between 2017 and 2022, according to the end of the data collection period or follow-up reported in each study. The geographical scope of the studies included Europe, the Americas, and Asia, with most of the studies conducted in Europe (14 out of 87) and North America (36 out of 87). Nearly all studies investigated treatment patterns in patients with HR+/HER2– aBC/mBC, in alignment with the FDA-approved indications for CDK4/6i up to the search date [7, 20, 28], with the exception of one clinical trial that investigated a novel CDK4/6i dalpiciclib combined with pyrotinib and letrozole in the HR+ HER2+ population [29]. Additionally, the studies

**Table 2** Included clinical study characteristics

Characteristic	Number of studies
<b>Total</b>	<b>87</b>
<b>Publication type</b>	
Full journal article	71
Abstract	13
Clinicaltrials.gov record	3
<b>Study type</b>	
Clinical trial	12
Observational (retrospective)	66
Observational (prospective)	6
Observational (prospective-retrospective)	3
<b>Year of data collection end</b>	
Pre-2015	0
2015–16	4
2017–18	32
2019–20	36
2021–current	12
NR	3
<b>Region of investigation</b>	
Europe	14
North America	36
South America	3
Asia	23
International	6
NR	5
<b>Breast cancer subtype</b>	
Early BC	0
Metastatic BC	87
HR+/HER2 <sup>-a</sup>	83
HR+/HER2 <sup>+a</sup>	1
HR-/HER2 <sup>+</sup>	0
HR-/HER2 <sup>-</sup>	0
ER+ (HER2 status not specified)	1
NR (metastatic but subgroup not specified)	2
<b>Metastatic breast cancer type<sup>b</sup></b>	
De novo	20
Recurrent	11
Distant metastases	3
NR	70
<b>Number of metastatic sites<sup>b</sup></b>	
< 3	18
≥ 3	19
NR	69
<b>Visceral/bone disease<sup>b</sup></b>	
Visceral disease	45
Bone/bone marrow only	43
NR	34
<b>CDK4/6i line of therapy</b>	
1L only	1

**Table 2** (continued)

Characteristic	Number of studies
2L only	5
3L+ only	0
Multiple lines <sup>c</sup>	73
1L+	62
2L+	11
3L+	0
NR/reported post-CDK4/6i only	8
<b>Post-CDK4/6i therapy evaluated<sup>b</sup></b>	
ET only	26
CT only	24
mTORi-based only	12
CDK4/6i-based only	16
Combination of mTORi- and CDK4/6i-based therapies	1
Regimen unspecified <sup>d</sup>	11
NR	41

# number of lines of therapy, 1L first line, 2L second line, 3L third line, CDK4/6i cyclin-dependent kinase 4/6 inhibitor, CT chemotherapy, ER estrogen receptor, ET endocrine therapy, HER2 human epidermal growth factor receptor 2, HR hormone receptor, mBC metastatic breast cancer, mTORi mammalian target of rapamycin inhibitor, NR not reported

<sup>a</sup>HR+ includes studies reported as ER+ only

<sup>b</sup>Studies that reported characteristic for multiple categories were counted in each category

<sup>c</sup>Studies were grouped into the most appropriate category; groups are mutually exclusive. For example, a study reported CDK4/6i in 1L, 2L, and 3L+ settings would be counted as 1L+

<sup>d</sup>Including targeted therapy, best supportive care, and investigational drugs

evaluated CDK4/6i in lines of therapy that ranged from 1L to third line (3L) and beyond, with 73 out of 87 studies reporting outcomes in multiple lines of therapy. Of the 73 studies that investigated CDK4/6i in multiple lines of therapy, 62 studies focused on 1L and later, 11 studies focused on 2L and later, while no studies exclusively investigated CDK4/6i in 3L and later. Many of the studies investigated or reported on post-CDK4/6i treatments, including chemotherapy monotherapy in 24 studies (28%), ET monotherapy in 26 studies (30%), and targeted therapies in 29 studies (33%; CDK4/6i in 16 studies, mTORi in 12 studies, and CDK4/6i combined with mTORi in 1 study).

### 3.5 CDK4/6i Treatment Patterns and Efficacy

#### 3.5.1 Treatment Patterns

CDK4/6i treatment usage by line of therapy was reported by several studies conducted in Europe, the USA, and

Japan [30–34]. A large observational study by Davie et al. in 2021 reported CDK4/6i treatment usage in over 2000 patients with HR+/HER2– aBC from France, Germany, Spain, Italy, the UK, and the USA using data collected by the Adelphi Real World Disease Specific Programme™ from March to June 2017. This study reported that CDK4/6i plus ET was received by 10% of patients in 1L, and 8% of patients in 2L; the majority of patients received ET only (54% in 1L and 39% in 2L), followed by chemotherapy only (21% in 1L and 23% in 2L) [30]. The study by Meegdes et al. in 2021 reported on CDK4/6i treatment usage in Dutch patients with HR+/HER2– aBC based on data from the Southeast Netherlands Advanced Breast cancer (SONABRE) Registry from 2009 to 2018. This study found that since August 2017 when CDK4/6i were reimbursed in the Netherlands, CDK4/6i combined with ET was received by 31% of 214 patients in 1L, and by 44% of 71 patients in 2L with no prior exposure to CDK4/6i [31]. Additionally, CDK4/6i combined with ET usage gradually increased over time from 2014 onward in all lines reported (i.e., 1L–3L), while the use of chemotherapy, ET, and mTORi decreased [31]. An observational study by Cui et al. in 2021 investigated CDK4/6i treatment usage in nearly 4000 women diagnosed with HR+/HER2– mBC in the USA between 1 January, 2013 and 31 January, 2019 based on data from the Flatiron Health database. In this study, in the 1L and 2L settings, 42.1% and 40.4% of patients received CDK4/6i-based regimens, respectively [32]. A retrospective study in Germany of data from the real-world registry PRAEGNANT reported a dramatic increase in CDK4/6i usage over time in the 1L setting for mBC, from 14.1% in 2016 when the first CDK4/6i was approved there in November, to 72.2% in 2022 [34]. A study of Japanese patients with aBC who received palbociclib based on claims data found that palbociclib was initially prescribed more commonly in 2L and later in 2017, and became more common as a 1L treatment steadily over time from 22.7% from December 2017 to June 2018, to 42.6% from July to December 2020 [33]. Overall, these data suggest a temporal trend of increased CDK4/6i use globally since their approval, particularly as an earlier line treatment for aBC/mBC. This is also consistent with the guidelines' recommendations discussed above as CDK4/6i is becoming the standard option at 1L.

Of the 87 clinical studies, only two reported a detailed breakdown of the proportion of patients receiving different CDK4/6i treatment sequences across two lines of therapy for the treatment of mBC [35, 36] (ESM). The study by Goldschmidt et al. in 2018 reported a detailed breakdown of treatment sequences received by 147 patients with HR+/HER2– mBC treated with at least two lines of therapy based on data from 64 community oncologists in the USA collected between February and June 2017. Overall, a CDK4/6i-based

regimen was received by 52.4% of patients in 1L and by 42.9% of patients in 2L [35]. The most common sequence was AI in 1L followed by CDK4/6i combined with fulvestrant in 2L, which 13.6% of patients received. Other commonly used sequences included chemotherapy followed by CDK4/6i combined with AIs (10.3%), CDK4/6i combined with AIs followed by chemotherapy (8.8%), and CDK4/6i combined with AIs followed by everolimus combined with AIs (8.8%) [35]. The study by Basile et al. in 2021 also investigated treatment patterns in 1L and 2L in 717 women with HR+/HER2– mBC who were treated between 2008 and 2020 in two Italian oncology departments. In this patient population, CDK4/6i combined with ET was received by 20% of patients in 1L and 8% of patients in 2L [36]. In the 1L setting, 27% of patients received chemotherapy followed by ET or chemotherapy in 2L, and 35% received ET in 1L followed by ET or chemotherapy in 2L. Only 3% of the cohort received CDK4/6i combined with ET in 1L followed by chemotherapy in 2L, 3% of patients received CDK4/6i combined with ET in 1L followed by ET in 2L, and 6% of patients received ET in 1L followed by CDK4/6i combined with ET in 2L [36].

Two studies compared the clinical effectiveness of different CDK4/6i treatment sequences (ESM) [36, 37]. Basile et al. reported that patients receiving CDK4/6i combined with chemotherapy in 1L followed by chemotherapy in 2L had significantly worse OS than those receiving CDK4/6i combined with ET in 1L followed by ET in 2L (hazard ratio: 6.95,  $p = 0.011$ ) [36]. Another study by Jeong et al. in 2021 of a cohort of 88 patients with HR+/HER2– mBC in South Korea showed that patients who received CDK4/6i combined with ET in 1L followed by everolimus plus exemestane in 2L had longer mOS than patients who received the inverse sequence (46.8 vs 38.9 months;  $p = 0.151$ ) [37].

### 3.5.2 Clinical Effectiveness

Forty clinical studies reported mPFS and 23 studies reported mOS of patients receiving CDK4/6i by line of therapy. Survival outcomes were reported from 1L to fourth line and beyond, and several studies reported outcomes for multiple lines of therapy. Median PFS and mOS outcomes reported in each study are summarized in Tables 3 and 4, respectively, along with the CDK4/6i treatment regimen and prior treatment received. To investigate the potential link between treatment sequence and survival, we grouped the data by the treatment class received prior to CDK4/6i, which included chemotherapy, ET, targeted therapy, and a mixture of therapies. Overall, clinical studies showed that the use of CDK4/6i-based regimens for aBC/mBC in 1L (Fig. 2) or 2L (Fig. 3) was associated with longer mPFS than in later lines of therapy beyond 2L (Fig. 4 for 2L+ to 3L and Fig. 5 for 3L+). Median PFS by line of therapy ranged

from a maximum of 36.7 months in 1L to a minimum of 3.4 months in 3L or later (Table 3). Some studies reported mPFS and mOS grouped across multiple lines of therapy in which CDK4/6i was used; therefore, these were categorized separately (e.g., both 1L and 2L). Results from these studies followed the same trend of worse mPFS with later lines of therapy. Additionally, the type of therapy received in the line prior to CDK4/6i in the aBC/mBC setting did not appear to impact the mPFS within a line of therapy (Figs. 3, 4, 5). Overall, mOS by line of therapy generally showed a trend consistent with mPFS (Figs. 6, 7, 8). Median OS by line of therapy ranged from a maximum of 61.7 months in 1L to a minimum of 13 months in 3L or later (Table 4). However, mOS was reported in fewer studies and was not reached in many studies, thus the mOS trend was less conclusive.

In addition, 19 studies reported an association of specific clinical characteristics with clinical outcomes for patients receiving CDK4/6i (ESM). Common factors that are more likely to be associated with better efficacy include lower Eastern Cooperative Oncology Group performance status (vs higher), bone-only metastasis (vs visceral), receipt of fewer prior therapy lines (vs more), no prior chemotherapy (vs yes), no prior ET (vs yes), and treatment with CDK4/6i in earlier lines of therapy (vs later). Princic et al. was the only study that reported predictors of subsequent systemic therapy type following CDK4/6i progression. For example, patients who were rechallenged on a subsequent CDK4/6i-based regimen, compared with those who received subsequent chemotherapy, were likely to be older, have bone-only metastasis (vs visceral), have an AI as the prior CDK4/6i treatment partner (vs fulvestrant), have received any prior chemotherapy, and have lower breast cancer-related costs. Additionally, patients who were rechallenged on a subsequent CDK4/6i-based regimen were less likely to have received prior CDK4/6i for longer than 6 months compared with patients who received subsequent chemotherapy. Patients with recurrent (vs de novo) disease who received ET prior to metastasis were also less likely to receive subsequent ET than chemotherapy [38]. In support of the trend of PFS data by line of therapy shown in Figs. 2, 3, 4 and 5, Zhong et al. reported that a greater survival benefit was shown in patients who received palbociclib as 1L or 2L treatment for aBC based on univariate analyses; additionally, no prior chemotherapy for aBC,  $\leq 1$  line of prior ET, no primary resistance to ET, a fewer number of visceral metastasis sites, and no liver metastasis were significantly associated with a greater survival benefit [39]. Interestingly, Whitaker et al. reported an association between receiving CDK4/6i in 1L and OS in real-world populations by ethnicity. Patients who received CDK4/6i as part of 1L and 2L treatment had a similar mOS across ethnic groups; however, the non-Hispanic Black patient subgroup who received CDK4/6i only at 2L

but not at 1L had worse OS after 2L initiation compared with White patients [40].

### 3.6 Post-CDK4/6i Treatment Patterns and Efficacy

Treatments following progression on CDK4/6i were investigated or reported across various treatment lines in 41 clinical studies (ESM). Studies that investigated or reported the first subsequent therapy received after CDK4/6i treatment primarily evaluated therapies used in 2L or 3L settings but ranged up to fourth line and beyond. Endocrine therapy was the most frequently investigated or reported post-CDK4/6i therapy, where it was used as monotherapy in 21 studies, in combination with chemotherapy in two studies, and in combination with targeted therapies in 11 studies. Chemotherapy was the second most investigated or reported post-CDK4/6i treatment, where it was used as a monotherapy in 20 studies. Use of targeted therapies post-CDK4/6i was investigated or reported in 22 studies, of which ten investigated or reported the use of everolimus-based combinations, including the TRINITI-1 clinical trial that investigated everolimus in combination with ribociclib and exemestane [41]. Rechallenging with a subsequent CDK4/6i-based regimen following CDK4/6i was investigated or reported in ten studies. Specifically, abemaciclib following initial palbociclib was investigated in two studies [42–44]. A study by Eziokwu et al. investigated the use of palbociclib combined with ET or abemaciclib combined with ET following progression on treatment with palbociclib combined with ET [45]. Two studies reported the use of investigational drugs as subsequent therapy following CDK4/6i; however, the specific treatment(s) used were not reported [48, 49].

Median PFS for post-CDK4/6i treatments was reported in 24 studies and mOS was reported in 14 studies, as presented in Tables 5 and 6. Efficacy of subsequent chemotherapy, ET, targeted therapies such as mTORi or alternate CDK4/6i treatment, or a mixture of these treatments following progression on CDK4/6i is shown in Fig. 9a for mPFS and Fig. 9b for mOS. Median PFS for 2L post-CDK4/6i treatments ranged from 3.25 months on fulvestrant to 17.7 months on either ET or ET combined with targeted agents [50, 51]. In 2L and later lines of therapy, most studies had a similar mPFS with a range from 1.8 months on standard-of-care therapies to 9.1 months on everolimus [52, 53]. One study conducted in a cohort of 30 patients with HR+/HER2– mBC reported a relatively higher mPFS of 11.8 months among patients rechallenged with a subsequent CDK4/6i-based regimen (palbociclib combined with ET or abemaciclib combined with ET) [45]. Despite differences in subsequent therapy type, similar mPFS was observed for most studies in the 2L and later setting. In 3L, mPFS ranged from 4.7 months on chemotherapy [51] to 10.3 months on eribulin [54]. In the 3L and later line setting, mPFS ranged



Table 3 mPFS of CDK4/6i treatments by LoT

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Agrawal, 2021 [63]	Retrospective observational	HR+/HER2- mBC	115	IL	Palbociclib + AI	N/A	20.2	NR	1
Liu, 2020 [64]	Retrospective observational	HR+/HER2- mBC	42	IL	Palbociclib + ET	N/A	14.7	NR	2
Rath, 2021 [65]	Retrospective observational	HR+/HER2- mBC <sup>a</sup>	22	IL	Palbociclib or ribociclib + ET	N/A	21.1	16.36-NE	3
Zhang, 2021 [66]	Retrospective observational	HR+/HER2- aBC	88	IL	Palbociclib + ET	N/A	19.8	NR	4
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2- aBC	132	IL	CDK4/6i + ET	N/A	24.7	11.9-NE	5
Neven, 2021 [68]	Clinical trial	HR+/HER2- aBC	265	IL	Abemaciclib + fulvestrant	N/A	15.45	NR	6
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	61	IL	Palbociclib + ET	N/A	14	9.5-25	7
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	32	IL	Palbociclib + letrozole	N/A	15.1	7.2-25	8
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	29	IL	Palbociclib + fulvestrant	N/A	13.5	6.5-18	9
Fountzilas, 2020 [47]	Prospective retrospective observational	HR+/HER2- aBC/ mBC	149	IL	Palbociclib or ribociclib	N/A	18.7	13.5-NE	10
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2- mBC	14	IL	Palbociclib + letrozole	NA	13.3	3.5-NE	11
Lin, 2021 [71]	Retrospective observational	HR+/HER2- aBC/ mBC	233	IL	Palbociclib + AI	N/A	21.2	17.9-NE	12
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	57	IL	Palbociclib + letrozole	N/A	15.1	12.3-NE	13
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	34	IL	Palbociclib + fulvestrant	N/A	11.6	8.2-NE	14
Petracci, 2020 [48]	Prospective observational	HR+/HER2- aBC	63	IL	Palbociclib + ET	N/A	36.7	18.1-42.6	15
Xi, 2019 [51]	Retrospective Observational	mBC	42	IL	Palbociclib + HT	N/A	20.7	NR	16
Choong, 2022 [73]	Retrospective Observational	HR+/HER2- mBC	91	IL	Palbociclib + ET	NA	28.2	19.6-34.9	17
Endo, 2022 [74]	Retrospective Observational	HR+/HER2- aBC	41	IL	CDK4/6i + ET	NA	30	NR	18

Table 3 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Engler, 2022 [34]	Retrospective Observational	HR+/HER2- mBC	474	IL	CDK4/6i + ET	NA	20.4	17.1–24.1	19
Fernandez-Cuerva [75]	Retrospective Observational	HR+/HER2- mBC	23	IL	Palbociclib + ET	NA	Not reached	NR	20
Gharib, 2022 [76]	Prospective Retrospective	HR+/HER2- aBC	30	IL	Palbociclib + letrozole	NA	22.98	NA	21
Gousis, 2022 [77]	Retrospective Observational	HR+/HER2- mBC	22	IL	CDK4/6i + AI before CT	NA	15.9	NR	22
Gousis, 2022 [77]	Retrospective Observational	HR+/HER2- mBC	12	IL	CDK4/6i + AI before ET	NA	22.4	NR	23
Ha, 2022 [78]	Retrospective Observational	HR+/HER2- aBC	708	IL	Palbociclib + AI	NA	17.4	NR	24
Mo, 2022a [79]	Retrospective Observational	ER+/HER2- mBC	48	IL	Palbociclib + ET	NA	14	11.6–16.6	25
Li, 2022 [80]	Retrospective observational	HR+/HER2- aBC	9	IL	Palbociclib + letrozole	NA	16	NR	26
Li, 2022 [80]	Retrospective observational	HR+/HER2- aBC	19	IL	Palbociclib + fulvestrant	NA	17.6	NR	27
Marschner, 2022 [81]	Prospective Observational	HR+/HER2- aBC	113	IL	CDK4/6i	NA	6.8	NR	28
Sampedro-Gimeno, 2022 [82]	Retrospective observational	aBC/mBC	73	IL	Palbociclib + ET	NA	22	NR	29
Shen, 2022 [83]	Ambispective Observational	HR+/HER2- aBC/mBC	83	IL	Palbociclib + ET	NA	21	14.79–27.21	30
Yildirim, 2022 [84]	Retrospective observational	HR+/HER2- mBC	12	IL	CDK4/6i + GnRH + fulvestrant/AI	NA	Not reached	NR	31
Zhang, 2022 [29]	Clinical trial	HER2+/HR+ mBC	7	IL	Dalpiciclib + pyrotinib + letrozole	NA	Not reached	NR	32
Zhong, 2022 [39]	Retrospective Observational	HR+/HER2- mBC	64	IL	Palbociclib + ET	NA	Not reached	NR	33
Fernandez-Cuerva, 2022 [75]	Retrospective observational	HR+/HER2- aBC	53	IL+	Palbociclib + ET	NR	14.4	6.2–22.2	34
Mycock, 2022 [85]	Retrospective Observational	HR+/HER2- aBC/mBC	170	IL-2L+	Palbociclib + ET	NR	Not reached	NR	35
Lin, 2021 [71]	Retrospective observational	HR+/HER2- aBC/mBC	48	IL-2L	Palbociclib + fulvestrant	ET	11.5	7.0–NE	36

Table 3 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	43	1L-3L	Palbociclib + ET	CT	5.9	3.7-11	37
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	52	1L-3L	Palbociclib + ET	ET	4.5	3.3-5.5	38
Agrawal, 2021 [63]	Retrospective observational	HR+/HER2- mBC	73	2L	Palbociclib + fulvestrant	CT <sup>b</sup>	12	NR	39
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	39	2L	Palbociclib + fulvestrant	CT <sup>b</sup>	12.3	8.66-NE	40
Fountzilas, 2020 [47]	Prospective retrospective observational	HR+/HER2- aBC/mBC	94	2L	Palbociclib or ribociclib	CT, ET	12	9.6-NE	41
Zhong, 2022 [39]	Retrospective observational	HR+/HER2- aBC	14	2L	Palbociclib + ET	CT, ET	16.9	NR	42
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2- mBC	18	2L	Palbociclib + letrozole	CT, ET <sup>b</sup>	6.3	4.2-12.6	43
Zhang, 2021 [66]	Retrospective observational	HR+/HER2- aBC	39	2L	Palbociclib + ET	CT, ET <sup>c</sup>	10	NR	44
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2- aBC	71	2L	CDK4/6i + ET	CT, ET <sup>d</sup>	7.8	5.8-15.4	45
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	51	2L	Palbociclib + ET	CT, ET <sup>b,e</sup>	11.7	6.8-17.5	46
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	28	2L	Palbociclib + letrozole	CT, ET <sup>b,e</sup>	13	6.5-17.5	47
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	23	2L	Palbociclib + fulvestrant	CT, ET <sup>b,e</sup>	11.5	5.8-17	48
Choong, 2022 [73]	Retrospective observational	HR+/HER2- aBC	45	2L	Palbociclib + ET	CT/ET	19.8	15.7-29.6	49
Endo, 2022 [74]	Retrospective observational	HR+/HER2- mBC	33	2L	CDK4/6i + ET	CT/ET	11.9	NR	50
Li, 2022 [80]	Retrospective observational	HR+/HER2- mBC	8	2L	Palbociclib + letrozole	CT/ET	12.6	NR	51
Li, 2022 [80]	Retrospective observational	HR+/HER2- mBC	7	2L	Palbociclib + fulvestrant	CT/ET	14.1	NR	52
Shen, 2022 [83]	Ambispective observational	HR+/HER2- aBC/mBC	41	2L	Palbociclib + ET	CT/ET	14	10.45-17.55	53
Basile, 2021 [36]	Retrospective observational	HR+/HER2- mBC	40	2L	CDK4/6i + ET	ET	12.26	NR	54
Neven, 2021 [68]	Clinical trial	HR+/HER2- aBC	170	2L	Abemaciclib + fulvestrant	ET	17.39	NR	55

Table 3 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Ha, 2022 [78]	Retrospective observational	HR+/HER2- mBC	380	2L	Palbociclib + fulvestrant	AI	10	8.4–11.8	56
Zhang, 2022 [29]	Clinical trial	HER2+/HR+ MBC	8	2L	Dalpiciclib + pyrotinib + letrozole	ET, CT, Targeted	10.8	1.8–13.7	57
Wander, 2021 [42]	Retrospective observational	HR+/HER2- mBC	70	2L	Abemaciclib + anti-estrogen	Palbociclib	5.1	3.2–7.6	58
Wander, 2021 [42]	Retrospective observational	HR+/HER2- mBC	17	2L	Abemaciclib	Palbociclib	5.4	1.9–NE	59
Wander, 2021 [42]	Retrospective observational	HR+/HER2- mBC	87	2L	Abemaciclib ± anti-estrogen	Palbociclib	5.3	3.5–7.8	60
Eziokwu, 2019 [45]	Retrospective observational	HR+/HER2- mBC	30	2L	CDK4/6i (palbociclib, abemaciclib) + ET	Palbociclib + letrozole/fulvestrant/other AI	11.8	5.34–13.13	61
Martin, 2022b [87]	Retrospective observational	HR+/HER2- mBC	308	2L	CDK4/6i	CDK4/6i	8.25	NR	62
Albanell, 2022 [88]	Clinical trial	HR+/HER2- mBC	24	2L	Palbociclib + ET	Palbociclib-based therapy	3.2	1.8–7.5	63
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	31	2L	Palbociclib + letrozole	NR	10.5	7.05–NE	64
Xi, 2019 [51]	Retrospective observational	mBC	50	2L	Palbociclib + HT	NR	12.8	NR	65
Fernandez-Cuerva, 2022 [75]	Retrospective observational	HR+/HER2- mBC	23	2L	Palbociclib + ET	NR	13.3	4.1–22.4	66
Sampedro-Gimeno, 2022 [82]	Retrospective observational	aBC/mBC	73	2L	Palbociclib + ET	NR	13	NR	67
Kessler, 2020 [89]	Retrospective observational	aBC/mBC	68	2L–3L	CDK4/6i	CT	14.73	10.86–18.59	68
Mo, 2022a [79]	Retrospective observational	ER+/HER2- mBC	56	2L–3L	Palbociclib + ET	NR	10	7.1–12.9	69
Rath, 2021 [65]	Retrospective observational	HR+/HER2- mBC <sup>a</sup>	79	2L+	Palbociclib or ribociclib + ET	CT, ET	5.98	4.96–7.89	70
Petracci, 2020 [48]	Prospective observational	HR+/HER2- aBC	44	2L+	Palbociclib + ET	CT, ET <sup>b</sup>	24.2	12.0–32.7	71
Bardia, 2021b [41]	Clinical trial	HR+/HER2- aBC/mBC	95	2L+	EXE + ribociclib + EVE	CT, ET, CDK4/6i	5.7	3.6–9.1	72
Wander, 2019 [43]	Retrospective observational	HR+/HER2- mBC	58	2L+	Abemaciclib ± ET	Palbociclib	5.8	3.4–8.0	73

Table 3 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Jeong, 2021 [37]	Retrospective observational	HR+/HER2- mBC	33	2L+	Palbociclib or abemaciclib + fulvestrant	EVE + EXE	4.8	3.4–6.3	74
Liu, 2020 [64]	Retrospective observational	HR+/HER2- mBC	88	2L+	Palbociclib + ET	CT, ET, EVE <sup>b</sup>	7.4	NR	75
Seki, 2022 [56]	Retrospective observational	ER+/HER2- mBC	25	2L–4L+	Abemaciclib + ET post-palbociclib	Palbociclib + ET	5.3	3.082–7.518	76
Albanell, 2022 [88]	Clinical trial	HR+/HER2- mBC	32	2L+	Palbociclib + ET	Palbociclib-based	2.6	1.8–6.7	77
Gharib, 2022 [76]	Prospective retrospective	HR+/HER2- aBC	30	2L+	Palbociclib + letrozole	NR	15.94	NR	78
Martin, 2022a [90]	Clinical trial	HR+/HER2- mBC	149	2L+	Palbociclib + fulvestrant	NR	17.8	15.1–19.7	79
Yildirim, 2022 [84]	Retrospective observational	HR+/HER2- mBC	13	2L+	CDK4/6i + GnRH + fulvestrant/AI	NR	10	3.9–16.09	80
Zhang, 2021 [66]	Retrospective observational	HR+/HER2- aBC	24	3L	Palbociclib + ET	CT, ET <sup>c</sup>	6.1	NR	81
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2- aBC	41	3L	CDK4/6i + ET	CT, ET <sup>d</sup>	4.2	3.0–14.5	82
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	32	3L+	Palbociclib + fulvestrant	CT <sup>b</sup>	6.4	4.23–11	83
Fountzilias, 2020 [47]	Prospective retrospective observational	HR+/HER2- aBC/mBC	117	3L+	Palbociclib or ribociclib	CT, ET	7.4	5.4–12.2	84
Zhong, 2022 [39]	Retrospective observational	HR+/HER2- aBC	15	3L+	Palbociclib + ET	CT, ET	4.9	NR	85
Li, 2022 [80]	Retrospective observational	HR+ HER2- mBC	6	3L+	Palbociclib + letrozole	NR	8.3	NR	86
Li, 2022 [80]	Retrospective observational	HR+ HER2- mBC	5	3L+	Palbociclib + fulvestrant	NR	5	NR	87
Shen, 2022 [83]	Ambispective observational	HR+ HER2- mBC	66	3L+	Palbociclib + ET	NR	7	5.79–8.21	88
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2- mBC	94	3L+	Palbociclib + letrozole	CT, ET <sup>b</sup>	3.9	2.6–5.1	89
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	70	3L+	Palbociclib + ET	CT, ET <sup>b,e</sup>	6.7	4.2–15	90
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	30	3L+	Palbociclib + letrozole	CT, ET <sup>b,e</sup>	7.5	5.2–15	91

Table 3 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mPFS (months)	CDK4/6i mPFS 95% CI	Reference number (Figs. 2, 3, 4, 5)
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	40	3L+	Palbociclib + fulvestrant	CT, ET <sup>be</sup>	6	4.2-11	92
Varella, 2019 [72]	Retrospective observational	HR+ aBC/mBC	85	3L+	Palbociclib + letrozole	NR	4.2	3.7-5.6	93
Xi, 2019 [51]	Retrospective observational	mBC	108	3L+	Palbociclib + HT	NR	4	NR	94
Fernandez-Cuerva, 2022 [75]	Retrospective observational	HR+ HER2- aBC/mBC	7	3L+	Palbociclib + ET	NR	6.0	0.9-11.1	95
Liu, 2020 [64]	Retrospective observational	HR+/HER2- mBC	19	4L+	Palbociclib + ET	CT, ET, EVE <sup>b</sup>	4.4	NR	96
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	66	4L+	Palbociclib + ET	ET	5.8	3.6-7.4	97
Kessler, 2020 [89]	Retrospective observational	aBC/mBC	20	4L+	CDK4/6i	CT	7.66	0.05-15.27	98
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	75	4L+	Palbociclib + ET	CT	4.3	3.3-5.5	99
Mo, 2022a [79]	Retrospective observational	ER+/HER2- mBC	44	4L-5L	Palbociclib + ET	NR	6.2	3-9.5	100
Mo, 2022a [79]	Retrospective observational	ER+/HER2- mBC	38	6L+	Palbociclib + ET	NR	3.4	0.8-6.1	101

#L number of lines of therapy, 1L first line, 2L second line, 3L third line, 4L fourth line, 5L fifth line, aBC advanced breast cancer, AI aromatase inhibitor, CDK4/6i cyclin-dependent kinase 4/6 inhibitor, CI confidence interval, CT chemotherapy, ER estrogen receptor, ET endocrine therapy, EVE everolimus, EXE exemestane, GnRH gonadotropin-releasing hormone, HER2 human epidermal growth factor receptor 2, HR hormone receptor, LoT line of therapy, mBC metastatic breast cancer, mOS median overall survival, mPFS median progression-free survival, N number, N/A not applicable, NE not estimable, NR not reported, PR progesterone receptor

<sup>a</sup>Includes ER+ and/or PR

<sup>b</sup>Study only reported % of patients who received this prior treatment. Prior treatment received for remaining patients was not reported

<sup>c</sup>CT included anthracyclines, taxanes, anthracyclines + taxanes, fluorouracil + adriamycin + cyclophosphamide, capecitabine/fluorouracil/thiotepa/cisplatin + vinorelbine; ET included SERMs, AI, SERMs followed by AI

<sup>d</sup>ET included fulvestrant-based, tamoxifen-based, or AI monotherapy; CT type not reported

<sup>e</sup>CT included taxane, capecitabine, vinorelbine, eribulin, and other; ET included AI, everolimus, and fulvestrant

Table 4 mOS of CDK4/6i treatments by LoT

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mOS (months)	CDK4/6i mOS 95% CI	Reference number (Figs. 6, 7, 8)
Rath, 2021 [65]	Retrospective observational	HR+/HER2- mBC <sup>a</sup>	22	1L	Palbociclib or ribociclib + ET	N/A	NE	NE	1
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2- aBC	132	1L	CDK4/6i + ET	N/A	NE	NR	2
Neven, 2021 [68]	Clinical trial	HR+/HER2- aBC	265	1L	Albemaciclib + fulvestrant	N/A	46.63	NR	3
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2- mBC	61	1L	Palbociclib + ET	N/A	28	NR	4
Fountzilias, 2020 [47]	Prospective-retrospective observational	HR+/HER2- aBC/mBC	149	1L	Palbociclib or ribociclib	N/A	NE	24.2-NE	5
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2- mBC	14	1L	Palbociclib + letrozole	N/A	NE	7.0-NE	6
Collins, 2021 [91]	Retrospective observational	HR+/HER2- mBC, gBRCAm	36	1L	Palbociclib ± ET	N/A	26	22-NE	7
Collins, 2021 [91]	Retrospective observational	HR+/HER2- mBC, gBRCAwt	293	1L	Palbociclib ± ET	N/A	51	NE	8
Collins, 2021 [91]	Retrospective observational	HR+/HER2- mBC, gBRCAwt/unknown	1160	1L	Palbociclib +/- ET	N/A	51	37-51	9
Petracci, 2020 [48]	Prospective observational	HR+/HER2- aBC	73	1L	Palbociclib + ET	N/A	NE	NR	10
Yildirim, 2022 [84]	Retrospective observational	HR+ and HER2- mBC (male)	12	1L	CDK4/6i + GnRH + fulvestrant/AI	NA	NE	NR	11
Engler, 2022 [34]	Prospective	HR+/HER2- aBC	474	1L	CDK4/6i + ET	NA	61.2	43.4-NE	12
Choong, 2022 [73]	Retrospective observational	HR+ and HER2- mBC	91	1L	CDK4/6i + ET	NA	61.7	56-NE	13
Gao, 2021 [92]	Retrospective observational	HR+ and HER2- aBC/mBC	1305	1L	CDK4/6i + ET	NA	NE	50.9-NE	14
Ha, 2022 [78]	Retrospective observational	HR+ and HER2- aBC	708	1L	Palbociclib + AI	NA	44.3	41.5-NE	15
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	43	1L-3L	Palbociclib + ET	CT	NE	15.4-NE	16
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	52	1L-3L	Palbociclib + ET	ET	14.2	8.4-18.7	17
Ha, 2022 [78]	Retrospective observational	HR+ and HER2- aBC	380	2L	Palbociclib + fulvestrant	AI	32.3	28.1-37.4	18

Table 4 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mOS (months)	CDK4/6i mOS 95% CI	Reference number (Figs. 6, 7, 8)
Basile, 2021 [36]	Retrospective observational	HR+/HER2-mBC	40	2L	CDK4/6i + ET	ET	61.38	NR	19
Neven, 2021 [68]	Clinical trial	HR+/HER2-aBC	170	2L	Abemaciclib + fulvestrant	ET	51.29	NR	20
Collins, 2021 [91]	Retrospective observational	HR+/HER2-mBC, gBRCAm	27	2L	Palbociclib ± ET	ET <sup>b</sup>	26	13-NE	21
Collins, 2021 [91]	Retrospective observational	HR+/HER2-mBC, gBRCAwt	253	2L	Palbociclib ± ET	ET <sup>b</sup>	35	27-43	22
Collins, 2021 [91]	Retrospective observational	HR+/HER2-mBC, gBRCAwt/unknown	922	2L	Palbociclib ± ET	ET <sup>b</sup>	31	28-35	23
Wander, 2021 [42]	Retrospective observational	HR+/HER2-mBC	87	2L	Abemaciclib ± anti-estrogen therapy	Palbociclib	17.2	13.2-NE	24
Eziokwu, 2019 [45]	Retrospective observational	HR+/HER2-mBC	30	2L	CDK4/6i (palbociclib, abemaciclib) + ET	Palbociclib + letrozole, fulvestrant or other AI	45.4 <sup>f</sup>	NR	25
Fountzilas, 2020 [47]	Prospective retrospective observational	HR+/HER2-aBC/mBC	94	2L	Palbociclib or ribociclib	CT, ET	29.9	16-NE	26
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2-mBC	18	2L	Palbociclib + letrozole	CT, ET <sup>d</sup>	NE	19.8-NE	27
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2-aBC	71	2L	CDK4/6i + ET	CT, ET <sup>c</sup>	NE	NR	28
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2-mBC	51	2L	Palbociclib + ET	CT, ET <sup>d,e</sup>	18	NR	29
Choong, 2022 [73]	Retrospective observational	HR+ and HER2-mBC	45	2L	CDK4/6i + ET	CT/ET	64	44.6-NE	30
Whitaker, 2022 [40]	Retrospective observational	HR+/HER2-mBC, non-Hispanic White	1594	2L	CDK4/6i	CDK4/6i/CT/ET	34.5	31.3-36.9	31
Whitaker, 2022 [40]	Retrospective observational	HR+/HER2-mBC, non-Hispanic Black	221	2L	CDK4/6i	CDK4/6i/CT/ET	25.1	22.4-30.9	32
Whitaker, 2022 [40]	Retrospective observational	HR+/HER2-mBC, Hispanic/Latino	180	2L	CDK4/6i	CDK4/6i/CT/ET	51.4	37.6-NE	33
Whitaker, 2022 [40]	Retrospective observational	HR+/HER2-mBC, other/unknown Race	413	2L	CDK4/6i	CDK4/6i/CT/ET	35.8	32.2-40.2	34



Table 4 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mOS (months)	CDK4/6i mOS 95% CI	Reference number (Figs. 6, 7, 8)
Kessler, 2020 [89]	Retrospective observational	aBC/mBC	68	2L–3L	CDK4/6i	CT	NE	NR	35
Martin, 2022a [90]	Clinical trial	HR+/HER2–mBC	149	2L+	Palbociclib + fulvestrant	AI, CT	31.1	25.3–38.4	36
Rath, 2021 [65]	Retrospective observational	HR+/HER2–mBC <sup>a</sup>	79	2L+	Palbociclib or ribociclib + ET	CT, ET	20.2	14.1–NE	37
Petracci, 2020 [48]	Prospective observational	HR+/HER2–aBC	49	2L+	Palbociclib + ET	CT, ET <sup>d</sup>	NE	NR	38
Bardia, 2021b [41]	Clinical trial	HR+/HER2–aBC/mBC	95	2L+	EXE + ribociclib + EVE	CT, ET, CDK4/6i	NE	NR	39
Mycock, 2022 [85]	Retrospective observational	HR+/HER2–aBC	170	2L+	Palbociclib + ET	CT, ET, targeted therapy	NE	NR	40
Yildirim, 2022 [84]	Retrospective observational	HR+/HER2–mBC	13	2L+	CDK4/6i + GnRH + fulvestrant/AI	NR	NE	NR	41
Albanell, 2022 [88]	Clinical trial	HR+ HER2–mBC	32	2L+	Palbociclib + ET	Palbociclib + ET	23.9	16.4–NE	42
Schneeweiss, 2020 [67]	Clinical trial	HR+/HER2–aBC	41	3L	CDK4/6i + ET	CT, ET <sup>c</sup>	18.9	15.6–NE	43
Palumbo, 2021 [69]	Retrospective observational	HR+/HER2–mBC	70	3L+	Palbociclib + ET	CT, ET <sup>d,e</sup>	13	NR	44
Fountzilas, 2020 [47]	Prospective retrospective observational	HR+/HER2–aBC/mBC	117	3L+	Palbociclib or ribociclib	CT, ET	20.4	18.7–23.8	45
Brufsky, 2019 [70]	Retrospective observational	HR+/HER2–mBC	94	3L+	Palbociclib + letrozole	CT, ET <sup>d</sup>	15.3	12.1–23.5	46
Collins, 2021 [91]	Retrospective observational	HR+/HER2–mBC, gBRCAm	22	3L+	Palbociclib ± ET	CT, ET, EVE + EXE <sup>g</sup>	15	11–NE	47
Collins, 2021 [91]	Retrospective observational	HR+/HER2–mBC, gBRCAwt	228	3L+	Palbociclib ± ET	CT, ET, EVE + EXE <sup>g</sup>	26	23–33	48
Collins, 2021 [91]	Retrospective observational	HR+/HER2–mBC, gBRCAwt/unknown	801	3L+	Palbociclib ± ET	CT, ET, EVE + EXE <sup>g</sup>	22	20–25	49
Kessler, 2020 [89]	Retrospective observational	aBC/mBC	20	4L+	CDK4/6i	CT	NE	NR	50
Battisti, 2019 [86]	Retrospective observational	HR+/HER2–aBC	75	4L+	Palbociclib + ET	CT	13.4	9.5–17.7	51

Table 4 (Continued)

Reference	Study design	Patient population	Patient (N)	CDK4/6i LoT	CDK4/6i regimen received	Prior treatment	CDK4/6i mOS (months)	CDK4/6i mOS 95% CI	Reference number (Figs. 6, 7, 8)
Battisti, 2019 [86]	Retrospective observational	HR+/HER2- aBC	66	4L+	Palbociclib + ET	ET	17.7	13.3-NE	52

#L number of lines of therapy. 1L first line, 2L second line, 3L third line, 4L fourth line, aBC advanced breast cancer, AI aromatase inhibitor, CDK4/6i cyclin-dependent kinase 4/6 inhibitor, CI confidence interval, CT chemotherapy, ER estrogen receptor, ET endocrine therapy, EVE everolimus, EXE exemestane, GnRH gonadotropin-releasing hormone, HER2 human epidermal growth factor receptor 2, HR hormone receptor, LoT line of therapy, mBC metastatic breast cancer, mPFS median progression-free survival, mOS median overall survival, N number, N/A not applicable, NE not estimable, NR not reported, OS overall survival, PR progesterone receptor

<sup>a</sup>Includes ER+ and/or PR+

<sup>b</sup>Top agents included letrozole, anastrozole, fulvestrant, tamoxifen

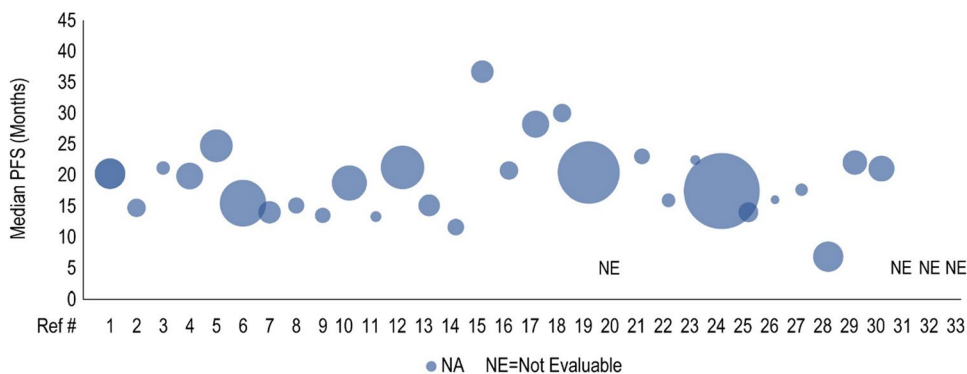
<sup>c</sup>ET included fulvestrant-based, tamoxifen-based, or AI monotherapy; CT type not reported

<sup>d</sup>Study only reported % of patients who received this prior treatment. Prior treatment received for remaining patients were not reported

<sup>e</sup>CT included taxane, capecitabine, vinorelbine, eribulin, and other; ET included AI, everolimus, and fulvestrant

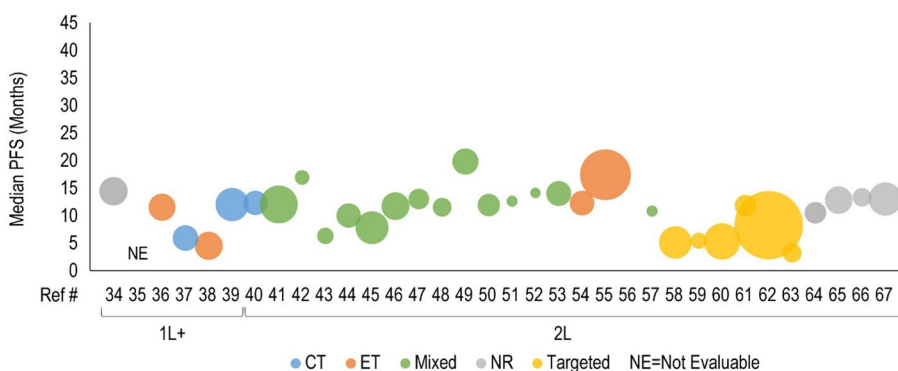
<sup>f</sup>Unclear if OS was calculated from the start of first- or second-line therapy

<sup>g</sup>Top agents included fulvestrant, EVE + EXE, letrozole, capecitabine, anastrozole, and paclitaxel



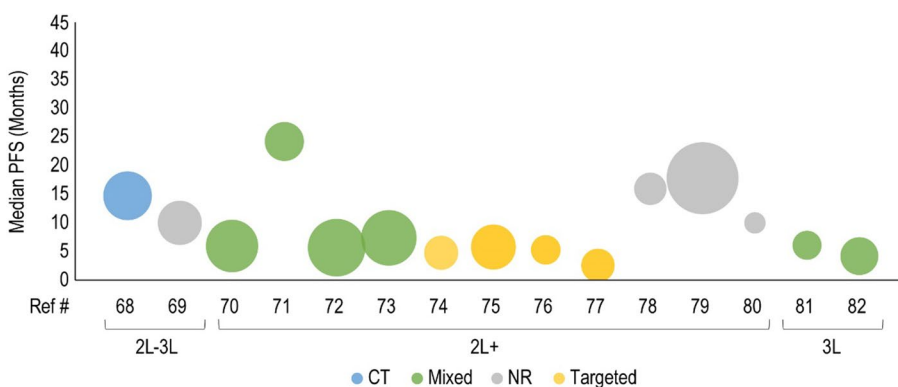
**Fig. 2** Median progression-free survival (PFS, months) for cyclin-dependent kinase 4/6 inhibitor treatment are presented in the first-line setting. Each included patient population is presented along the X-axis

with a unique reference number. The size of each bubble represents the sample size. A complete list of included studies and reported PFS is presented in Table 3. Ref # reference number



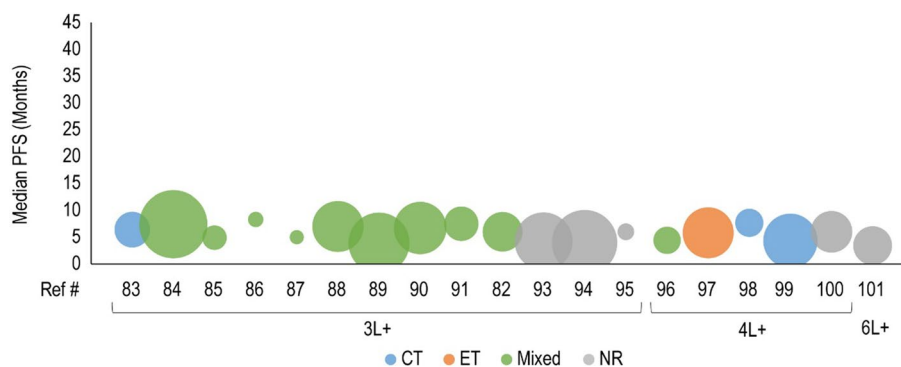
**Fig. 3** Median progression-free survival (PFS, months) for cyclin-dependent kinase 4/6 inhibitor treatments are presented in the first-line (1L) to second-line (2L) setting. Each included patient population is presented along the X-axis with a unique reference number. The size of each bubble represents the sample size. Data were further

grouped and color coded by the treatment received prior to cyclin-dependent kinase 4/6 inhibitor treatment. A complete list of included studies and reported PFS is presented in Table 3. #L number of lines of therapy, CT chemotherapy, ET endocrine therapy, NR not reported, Ref # reference number



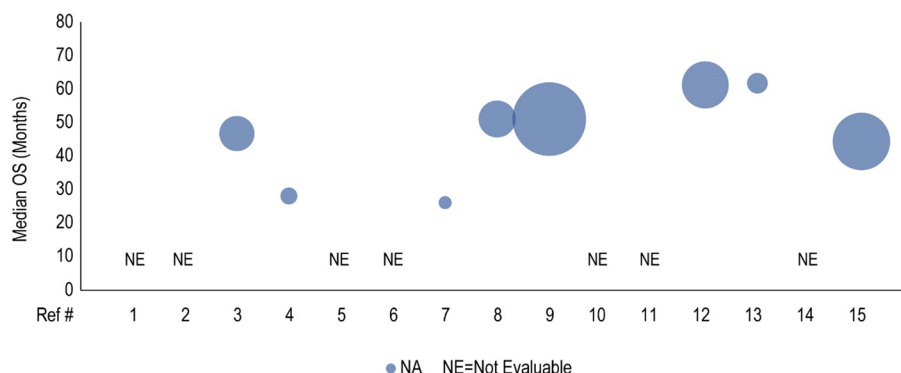
**Fig. 4** Median progression-free survival (PFS, months) for cyclin-dependent kinase 4/6 inhibitor treatment are presented in the second-line plus (2L+) to third-line (3L) setting. Each included patient population is presented along the X-axis with a unique reference number. The size of each bubble represents the sample size. Data were further

grouped and color coded by the treatment received prior to cyclin-dependent kinase 4/6 inhibitor treatment. A complete list of included studies and reported PFS is presented in Table 3. #L number of lines of therapy, CT chemotherapy, NR not reported, Ref # reference number



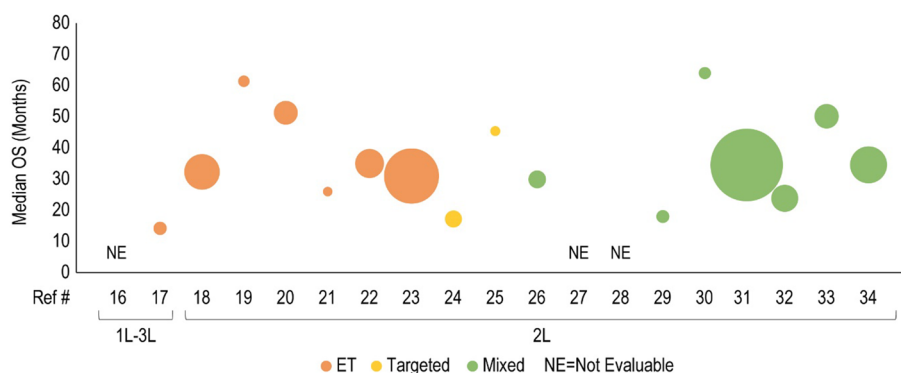
**Fig. 5** Median progression-free survival (PFS) for cyclin-dependent kinase 4/6 inhibitor treatment are presented in the third-line plus (3L+) setting. Each included patient population is presented along the X-axis with a unique reference number. The size of each bubble represents the sample size. Data were further grouped and color coded by

the treatment received prior to cyclin-dependent kinase 4/6 inhibitor treatment. A complete list of included studies and reported PFS are presented in Table 3. #L number of lines of therapy, 4L fourth line, 6L sixth line, CT chemotherapy, ET endocrine therapy, NR not reported, Ref # reference number



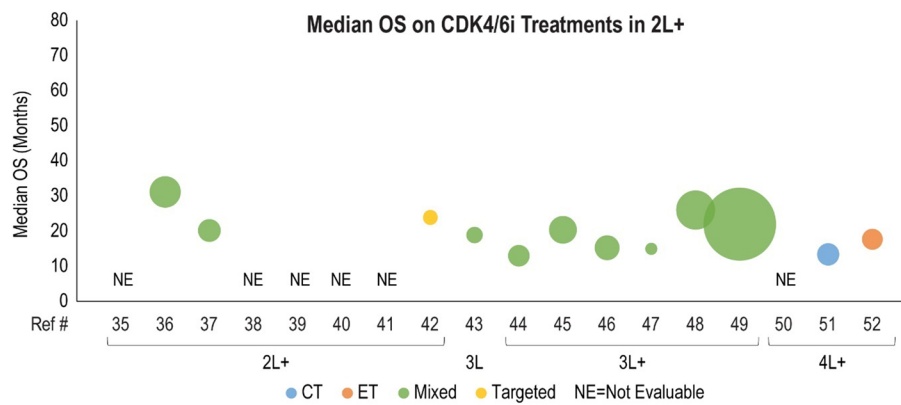
**Fig. 6** Median overall survival (OS, months) for cyclin-dependent kinase 4/6 inhibitor treatment is presented in the first-line setting. Each included patient population is presented along the X-axis with a unique reference number. The size of each bubble represents the

sample size. A complete list of included studies and reported OS is presented in Table 4. #L number of lines of therapy, Ref # reference number



**Fig. 7** Median overall survival (OS, months) for cyclin-dependent kinase 4/6 inhibitor treatment is presented in the first-line plus (1L+) to second-line (2L) setting. Each included patient population is presented along the X-axis with a unique reference number. The size of each bubble represents the sample size. Data were further grouped

and color coded by the treatment received prior to cyclin-dependent kinase 4/6 inhibitor treatment. A complete list of included studies and reported OS is presented in Table 4. #L number of lines of therapy, 3L third line, ET endocrine therapy, Ref # reference number



**Fig. 8** Median overall survival (OS, months) for cyclin-dependent kinase 4/6 inhibitor (CDK4/6i) treatment is presented in the second line plus (2L+) setting. Each included patient population is presented along the X-axis with a unique reference number. The *size* of each *bubble* represents the sample size. Data were further grouped and

color coded by the treatment received prior to CDK4/6i treatment. A complete list of included studies and reported OS is presented in Table 4. #L number of lines of therapy, 1L first line, 3L third line, 4L fourth line, CT chemotherapy, ET endocrine therapy, Ref # reference number

from 1.9 months on TTC-352, a selective human ER partial agonist [55] to 6.2 months on chemotherapy [56]. Overall trends in mPFS by treatment type in the 3L or later lines subgroups were unclear because of the limited data. In two studies that reported mPFS in fourth line and later lines, mPFS was very similar between three treatments, with a range from 4 months on eribulin to 4.2 months on ET alone or in combination with targeted agents [51, 54].

Median OS was either not reached or reported from the start of 1L in many studies (Table 6). Therefore, the mOS trend was less conclusive. Available evidence suggests that post-CDK4/6i targeted treatments and mixed treatment regimens had a similar mOS within the 2L and later lines subgroup that ranged from 13.6 months on ET to 37.4 months with everolimus-based therapy [53, 57]. Median OS in 3L was reported by a single study as 11.8 months for chemotherapy, ET, or everolimus combined with exemestane [58]. One study reported post-CDK4/6i mOS in 3L and later lines as 23.9 months for chemotherapy, ET, or targeted therapy [59]. Overall, there are insufficient data to make clear conclusions about optimal post-CDK4/6i treatment sequencing; however, the current evidence available suggests that no major differences in mOS exist across treatment types following progression on CDK4/6i.

### 3.7 Ongoing Treatment Sequencing and Post-CDK4/6i Clinical Studies

While not formally included in our TLR on CDK4/6i treatment patterns, we identified and summarized ongoing clinical studies investigating CDK4/6i-based treatment sequencing or therapies following progression on CDK4/6i. A total of 48 relevant ongoing clinical trials were identified, including six phase I trials, six phase II trials, 15 phase II trials, and 8 phase III trials. Thirteen

ongoing observational studies of post-CDK4/6i treatments were also identified. These studies are summarized by order of trial phase in the ESM. The search identified one phase III clinical trial (SONIA; NCT03425838), which is currently recruiting patients to investigate the efficacy of CDK4/6i plus letrozole or anastrozole in 1L followed by fulvestrant in 2L, compared with letrozole or anastrozole in 1L followed by CDK4/6i combined with fulvestrant in 2L [60]. The other 47 ongoing clinical trials are investigating treatments following progression on CDK4/6i, including various targeted therapies (e.g., CDK7 inhibitor SY-5609, AKT inhibitor ipatasertib, and phosphoinositide 3-kinase/mTOR inhibitor gedatolisib), as well as rechallenging on a subsequent CDK4/6i-based regimen. Comparators in ongoing studies include placebo, ET, and treatment of a physician's choice. The ongoing observational studies are non-interventional and are investigating treatment patterns in various countries around the world in patients who initiated treatment with CDK4/6i (most commonly specified as palbociclib-based therapy) with and without evidence of disease progression (ESM).

## 4 Discussion

This review sought to provide a comprehensive summary of the current literature on CDK4/6i treatment patterns in breast cancer, including recent reviews and clinical studies. This review represents the most recent data in this rapidly evolving field based on an updated search in October 2022. We identified several reviews that described the preferred treatment strategies for the HR+/HER2- aBC/mBC patient population based on currently available results from clinical

Table 5 mPFS of post-CDK4/6i treatments by LoT

Reference	Study design	Patient population	Subsequent therapy com- position	Patient (N)	Subsequent LoT	Subsequent mPFS (months)	Reference number (Fig. 9a)
Xi, 2019 [51]	Retrospective observa- tional	HR+/HER2- mBC	CT	7	2L	NE	1
Gousis, 2022 [77]	Retrospective observa- tional	HR+/HER2- mBC	CT	22	2L	5.9	2
Martin, 2022a (U114)	Retrospective observa- tional	HR+/HER2- mBC	CT	249	2L	3.71	3
Mougalian, 2019 [54]	Retrospective observa- tional	HR+/HER2- mBC	Eribulin (CT)	121	2L	9.7	4
Martin, 2022b [87]	Retrospective observa- tional	HR+/HER2- mBC	CDK4/6i	308	2L	8.25	5
Marschner, 2022 [81]	Retrospective observa- tional	HR+/HER2- mBC	CT, ET, CDK4/6i, PARPi	937	2L	4.3	6
Xi, 2019 [51]	Retrospective observational	HR+/HER2- mBC	ET, ET + targeted agents	7	2L	17.7	7
Martin, 2022b [87]	Retrospective observa- tional	HR+/HER2- mBC	Fulvestrant	84	2L	3.25	8
Gousis, 2022 [77]	Retrospective observa- tional	HR+/HER2- mBC	ET	12	2L	4.3	9
Martin, 2022b [87]	Retrospective observa- tional	HR+/HER2- mBC	Everolimus	99	2L	3.32	10
Bidard, 2022 [52]	Clinical trial	HR+/HER2- aBC	SOC (ET)	238	2L-3L	1.8	11
Hayama, 2022 [57]	Retrospective observa- tional	HR+/HER2- mBC	CT	32	2L-5L+	6.6	12
Liu, 2020 [64]	Retrospective observa- tional	HR+/HER2- mBC	CT	37	2L+	5.1	13
Zhong, 2022 [39]	Retrospective observa- tional	HR+/HER2- aBC	CT	10	2L-3L+	8.3	14
Liu, 2020 [64]	Retrospective observa- tional	HR+/HER2- mBC	ET	19	2L+	3.9	15
Bardia, 2021a [93]	Phase I clinical	ER+/HER2- aBC or mBC	Elaeestrant	26	2L+	3.8	16
Bidard, 2022 [52]	Clinical trial	HR+/HER2- aBC	Elaeestrant	239	2L-3L	2.8	17
Zhong, 2022 [39]	Retrospective observa- tional	HR+/HER2- aBC	ET	4	2L-3L+	3.3	18
Hayama, 2022 [57]	Retrospective observa- tional	HR+/HER2- mBC	ET	20	2L-5L+	3.8	19
Wander, 2019 [43]	Retrospective observa- tional	HR+/HER2- mBC	Abemaciclib	58	2L+	5.8	20

Table 5 (Continued)

Reference	Study design	Patient population	Subsequent therapy com- position	Patient (N)	Subsequent LoT	Subsequent mPFS (months)	Reference number (Fig. 9a)
Wander, 2021 [42]	Retrospective observa- tional	HR+/HER2- mBC	Abemaciclib	87	2L+	5.3	21
Eziokwu, 2019 [45]	Retrospective observa- tional	HR+/HER2- mBC	CDK4/6i + ET	30	2L+	11.8	22
Seki, 2022 [56]	Retrospective observa- tional	HR+/HER2- mBC	Abemaciclib + ET	25	2L-4L+	5.3	23
Jeong, 2021 [37]	Retrospective observa- tional	HR+/HER2- mBC	EVE + EXE	51	2L+	6	24
Hayama, 2022 [57]	Retrospective observa- tional	HR+/HER2- mBC	EVE + EXE	34	2L-5L+	4	25
Mo, 2022a [79]	Retrospective observa- tional	HR+/HER2- mBC	EVE + EXE	79	2L-5L+	6.2	26
Cook, 2021 [94]	Retrospective observa- tional	HR+ mBC	EVE + EXE	17	2L+	3.6	27
Dhakal, 2020 [95]	Retrospective observa- tional	HR+/HER2- mBC	EVE	41	2L+	4.2	28
Bardia, 2021b [41]	Phase I/II clinical	HR+/HER2- aBC/mBC	EXE + ribociclib + EVE	96	2L+	5.7	29
Kitano, 2022 [53]	Retrospective observa- tional	HR+/HER2- mBC	Everolimus	13	2L+	9.1	30
Zhou, 2022 [96]	Retrospective observa- tional	HR+/HER2- mBC	Tucidinosat	44	2L-3L	4.5	31
Lim, 2022 [97]	Clinical trial	HR+/HER2- mBC	Letrozole + lenvatinib	47	2L+	6.2	32
Li, 2021 [71]	Retrospective observa- tional	HR+/HER2- mBC	CT, ET	200	2L+	5.5	33
Mougalian, 2019 [54]	Retrospective observa- tional	HR+/HER2- mBC	Eribulin (CT)	111	3L	10.3	34
Xi, 2019 [51]	Retrospective observa- tional	HR+/HER2- mBC	CT	14	3L	4.7	35
Seki, 2022 [56]	Retrospective observa- tional	HR+/HER2- mBC	CT	12	3L-5L+	6.2	36
Dudek, 2020 [55]	Phase I clinical	ER+ mBC	TTC-352 (ET)	15	3L+	1.9	37
Xi, 2019 [51]	Retrospective observa- tional	HR+/HER2- mBC	ET, ET, + targeted agents	9	3L	9.3	38
Mougalian, 2019 [54]	Retrospective observa- tional	HR+/HER2- mBC	Eribulin (CT)	28	4L+	4	39
Xi, 2019 [51]	Retrospective observa- tional	HR+/HER2- mBC	CT	49	4L+	4.1	40

Table 5 (Continued)

Reference	Study design	Patient population	Subsequent therapy com-position	Patient (N)	Subsequent LoT	Subsequent mPFS (months)	Reference number (Fig. 9a)
Xi, 2019 [51]	Retrospective observational	HR+/HER2- mBC	ET, ET, + targeted agents	16	4L+	4.2	41

#L number of lines of therapy, 2L second line, 3L third line, 4L fourth line, 5L fifth line, aBC advanced breast cancer, AI aromatase inhibitor, BC breast cancer, CDK4/6i cyclin-dependent kinase 4/6 inhibitor, CT chemotherapy, ET endocrine therapy, EVE everolimus, EXE exemestane, HER2 human epidermal growth factor receptor 2, HR hormone receptor, LoT line of therapy, mBC metastatic breast cancer, NR not reported

trials, and studies that reported current treatment patterns with CDK4/6i and associated clinical outcomes. Overall, these reviews offered conclusions that align with key breast cancer treatment guidelines, with CDK4/6i combined with ET as the preferred regimen in 1L or 2L for patients with HR+/HER2- aBC/mBC if CDK4/6i was not previously received in this setting. However, optimal treatment sequencing and treatment selection following disease progression on a CDK4/6i-based regimen are still unclear.

Several clinical studies reported detailed CDK4/6i treatment patterns by line of therapy. Notably, the study by Basile et al. reported a relatively smaller proportion of patients who received CDK4/6i combined with ET in 1L or 2L compared with the study by Goldschmidt et al. that also reported the proportion of patients who received CDK4/6i treatment patterns across two lines of therapy [36]. This is likely owing to differences in data collection periods, as data were collected in the Basile et al. study between 2008 and 2020, while data were collected in the Goldschmidt et al. study between February and June 2017; given CDK4/6i were approved in Europe between 2016 and 2018, the lower CDK4/6i usage in Basile et al. may be due to inclusion of the earlier period in which CDK4/6i had not yet been approved. Similarly, the relatively lower CDK4/6i usage reported in the study by Davie et al. is likely also related to the study's data collection period, which occurred in 2017 when CDK4/6i were newly approved in Europe [30].

The clinical evidence suggested that the use of CDK4/6i in earlier lines of therapy for aBC/mBC (i.e., 1L–2L) resulted in relatively higher mPFS, and the limited data suggest a similar trend for mOS. However, a general trend of decreasing mOS with an increasing line of therapy is expected as this may partially be because patients who do not respond to multiple lines of therapy are likely to have more severe disease. The ongoing clinical studies identified in the literature showed there are very few studies specifically investigating CDK4/6i in the context of treatment sequencing (e.g., CDK4/6i in 1L vs in 2L); however, emerging clinical data from ongoing studies of post-CDK4/6i treatments may inform optimal treatment selection following progression on CDK4/6i. Despite the limited evidence, current data suggest survival outcomes are similar between post-CDK4/6i use of chemotherapy, ET, targeted treatments, or mixed treatments across treatment lines. Additionally, there was some variation in mPFS and mOS observed by lines of therapy that may be partially attributed to differences in study design and patient characteristics among the included studies.

The TLR described in this study was conducted in a transparent and thorough manner that followed PRISMA guidelines where possible, such as using a detailed and structured search strategy, a priori PICOS criteria, and a structured two-level screening process. Several limitations



**Table 6** Median OS of post-CDK4/6i treatments by LoT

Reference	Study design	Patient population	Subsequent therapy com- position	Patient (N)	Subsequent LoT	Subsequent mOS (months)	Reference number (Fig. 9b)
Fountzilas, 2020 [47]	Retrospective/prospective observational	HR+/HER2- aBC/mBC	CT, ET, CDK4/6i + ET	149	2L	NE	1
Giridhar, 2019 [58]	Retrospective observational	ER+ mBC	CT, ET, EVE + EXE	37	2L	NE	2
Martin, 2022b [87]	Retrospective observational	HR+/HER2- mBC	CDK4/6i	308	2L	35.7	3
Hayama, 2022 [57]	Retrospective observational	HR+/HER2- mBC	ET	20	2L-5L+	13.6	4
Hayama, 2022 [57]	Retrospective observational	HR+/HER2- mBC	CT	32	2L-5L+	19.5	5
Wander, 2021 [42]	Retrospective observational	HR+/HER2- mBC	Abemaciclib	87	2L+	17.2	6
Dhakal, 2020 [95]	Retrospective observational	HR+/HER2- mBC	Everolimus	41	2L+	18.7	7
Zhou, 2022 [96]	Retrospective observational	HR+/HER2- mBC	Tucidimostat	44	2L+	14	8
Hayama, 2022 [57]	Retrospective observational	HR+/HER2- mBC	EVE + EXE	34	2L-5L+	34.5	9
Mo, 2022b [98]	Retrospective observational	HR+/HER2- mBC	Everolimus + exemestane	79	2L-5L+	24.3	10
Cook, 2021 [94]	Retrospective observational	HR+ mBC	EVE + EXE	17	2L+	15.6	11
Bardia, 2021b [41]	Phase I/II clinical	HR+/HER2- aBC/mBC	EXE + ribociclib + EVE	96	2L+	NE	12
Kitano, 2022 [53]	Retrospective observational	HR+/HER2- mBC	Everolimus	13	2L+	37.4	13
Li, 2021 [99]	Retrospective observational	HR+/HER2- mBC	CT, ET	200	2L+	NE	14
Petracci, 2020 [48]	Prospective observational	HR+/HER2- aBC	CT, ET, palliative care, investigational drug	50	2L+	15.6	15
Giridhar, 2019 [58]	Retrospective observational	ER+ mBC	CT, ET, EVE + EXE	24	3L	11.8	16
Rossi, 2019 [59]	Phase II clinical (TRENd)	HR+/HER2- mBC	CT, ET, targeted therapy	105	3L+	23.9	17
Basile, 2021 [36]	Retrospective observational	HR+/HER2- mBC	CT	29	2L	20.35 <sup>a</sup>	N/A
Basile, 2021 [36]	Retrospective observational	HR+/HER2- mBC	ET	19	2L	NE <sup>a</sup>	N/A

Table 6 continued

Reference	Study design	Patient population	Subsequent therapy com- position	Patient (N)	Subsequent LoT	Subsequent mOS (months)	Reference number (Fig. 9b)
Jeong, 2021 [37]	Retrospective observa- tional	HR+/HER2– mBC	EVE	51	2L+	46.8 <sup>a</sup>	N/A
Rozenblit, 2021 [100]	Retrospective observa- tional	HR+/HER2– mBC	EVE + EXE	273	2L	37.7 <sup>a</sup>	N/A
Rozenblit, 2021 [100]	Retrospective observa- tional	HR+/HER2– mBC	EVE + EXE	245	3L	59.2 <sup>a</sup>	N/A

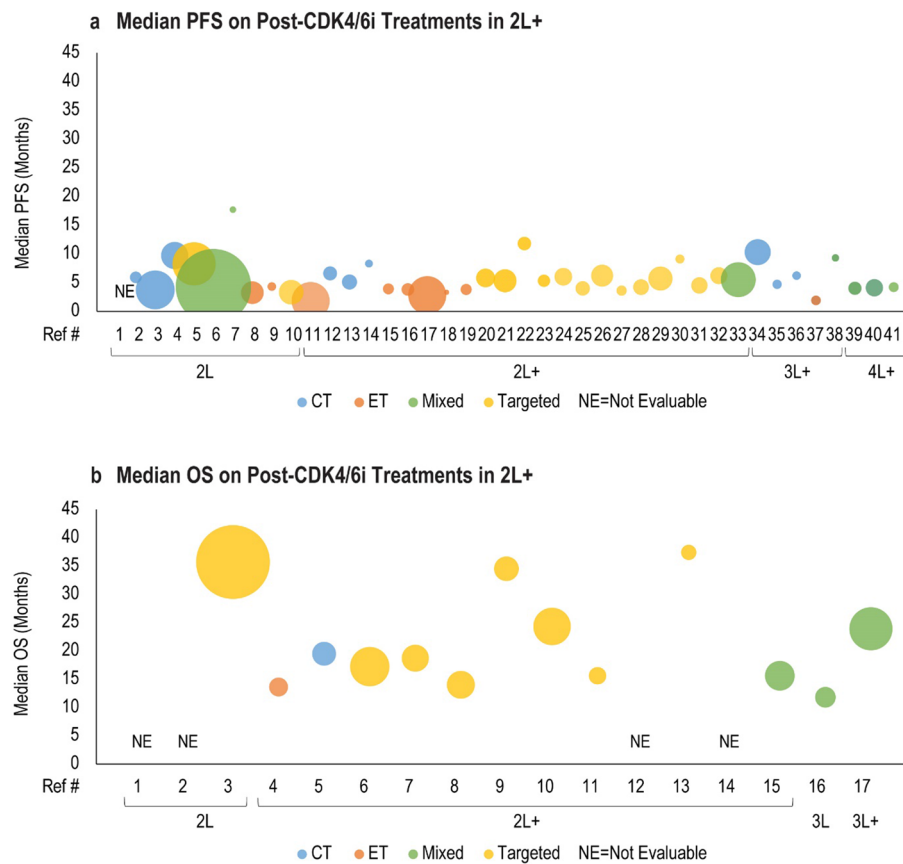
#L number of lines of therapy, 2L second line, 3L third line, 5L fifth line, aBC advanced breast cancer, AI aromatase inhibitor, BC breast cancer, CDK4/6i cyclin-dependent kinase 4/6 inhibitor, CT chemotherapy, ET endocrine therapy, EVE everolimus, EXE exemestane, HER2 human epidermal growth factor receptor 2, HR hormone receptor, LoT line of therapy, mBC metastatic breast cancer, N/A not applicable, NE not estimable, OS overall survival, SOC standard of care

<sup>a</sup>Median OS only reported from the start of first-line therapy, therefore was not graphed by line of therapy

were also identified throughout this review. This TLR included studies published since 2015, which encompasses the early marketing period of the CDK4/6i. While treatment usage patterns are expected to change during the early marketing period and stabilize over time, only two studies reported data collection periods ending in 2015–16, and one study reported treatment patterns specifically for patients in an early access program that provides access to innovative drugs in advance of commercial availability [61]. In addition, as specified in the PICOS criteria, the studies included were limited to English-language publications.

Key survival outcomes of mPFS and mOS, as reported in 40 studies and 23 studies, respectively, were compared across CDK4/6i use in different lines of therapy. While outcomes cannot be directly compared across clinical studies given differences in study design and patient selection, naïve comparisons can provide a useful overview of trends across many studies in the absence of comparative studies, or similar studies that can enable more rigorous indirect comparisons. Additionally, the heterogeneity across observational studies in terms of study design, patient population, and reporting of results resulted in some challenges in synthesizing results across studies, which limited the outcomes that could be compared. For example, outcomes such as clinical benefit rate or time to subsequent treatment were only reported in a few studies, and therefore could not be meaningfully compared across studies. Furthermore, variability in patient selection criteria for CDK4/6i and subsequent treatments could also have contributed to the heterogeneity observed.

This review highlighted some key data gaps. Though there is much evidence to support CDK4/6i combined with ET as the preferred regimen in 1L or 2L treatment for patients with HR+/HER2– aBC/mBC without previous use of CDK4/6i therapy, there are very limited comparative data from studies that have investigated optimal sequencing for CDK4/6i. Only one ongoing clinical trial (SONIA) was identified that is investigating CDK4/6i usage in 1L versus 2L [60]. More research is needed to inform optimal treatment sequencing of CDK4/6i use in aBC/mBC. Results from SONIA, as well as ongoing trials and real-world studies investigating the efficacy, effectiveness, and safety of treatments following progression on CDK4/6-based regimens will provide important insights. Furthermore, there is also limited evidence on biomarkers or prognostic factors to guide the selection of post-CDK4/6i treatment. Biomarkers can guide the treatment strategy for individual patients, such as by using next-generation sequencing to detect PIK3CA mutations or gBRCA1/2 mutations to determine suitability for targeted therapies [6, 16, 17]. Machine-learning approaches leveraging real-world data could be considered for predicting optimal treatment for individual patients based



**Fig. 9** Median progression-free survival [PFS, months] (**A**) and median overall survival [OS, months] (**B**) of post-cyclin-dependent kinase 4/6 inhibitor (CDK4/6i) treatments grouped by the therapy line in which subsequent therapy was received. Each subsequent treatment is presented along the X-axis with a unique reference number. The size of each bubble represents the sample size. Data were further

grouped and color coded by the subsequent treatment received. Complete lists of included studies and reported PFS and OS are presented in Tables 5 and 6, respectively. #L number of lines of therapy, 2L second line, 3L third line, 4L fourth line, CT chemotherapy, ET endocrine therapy, NR not reported, Ref # reference number

on demographic and clinical characteristics. A study that applied machine learning algorithms to real-world data found that less than 50% of patients in the cohort received optimal treatment with CDK4/6i in 1L and 2L as predicted by the model [32]. Studies focusing on or reporting subgroup outcomes in specific patient populations (e.g., high Eastern Cooperative Oncology Group performance status) would also be helpful given the heterogeneity within mBC. Last, few studies reported safety, patient-reported, or quality-of-life outcomes associated with different treatment sequences, which are important treatment selection criteria to consider in conjunction with the clinical benefit.

## 5 Conclusions

This TLR was conducted to examine the current evidence on CDK4/6i treatment patterns in aBC/mBC. Reviews commonly discussed treatment with ET monotherapy, followed

by CDK4/6i combined with ET, followed by a targeted therapy combined with ET, or ET or chemotherapy monotherapy. Clinical studies reported or investigated similar treatment sequences: patients with aBC/mBC generally received ET monotherapy, chemotherapy monotherapy, or everolimus (monotherapy or combined with ET), followed by CDK4/6i or vice versa. While optimal treatment sequencing with CDK4/6i remains unclear owing to a lack of available comparative clinical evidence, our findings suggest that CDK4/6i are an effective treatment option for HR+/HER2- aBC/mBC at any treatment line, while being most effective in earlier lines of treatment. In accordance with recommendations from multiple breast cancer treatment guidelines, overall, this study supports that use of CDK4/6i in earlier lines of therapy are clinically beneficial. Data also suggest survival outcomes are similar between post-CDK4/6i use of chemotherapy, ET, targeted treatments, or mixed treatments across treatment lines. Additional research is needed to investigate optimal sequencing of treatments following progression

on CDK4/6i-based therapy, and to identify patient populations that may benefit most from CDK4/6i therapy. As abemaciclib was also recently FDA and European Medicines Agency approved for the adjuvant treatment of eBC among patients with a high risk for recurrence [20, 62], it will be interesting to consider how CDK4/6i treatment sequencing or CDK4/6i rechallenging may shift across stages of eBC, aBC, and mBC.

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## Declarations

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**Consent to participate** Not applicable.

**Consent for publication** Not applicable.

**Availability of data and material** The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request.

**Code availability** Not applicable.

**Authors' contributions** All authors contributed to the conception and design of the research and provided critical revisions and intellectual content to the manuscript. All authors provided substantial intellectual content and revisions to the manuscript. MZ and YZ conducted analyses and drafted the manuscript, and all authors discussed the results and contributed to the final version of the manuscript. All authors provided critical and intellectual feedback of the research, analysis, and manuscript.

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