Predictive-reactive Strategy for Flowshop Rescheduling Problem: Minimizing the Total Weighted Waiting Times and Instability

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Abstract. Due to the fourth revolution experiencing, referred to as Industry 4.0, many production firms are devoted to integrating new technological tools to their manufacturing process. One of them, is rescheduling the tasks on the machines responding to disruptions. While, for static scheduling, the efficiency criteria measure the performance of scheduling systems, in dynamic environments, the stability criteria are also used to assess the impact of jobs deviation. In this paper, a new performance measure is investigated for a flowshop rescheduling problem. This one considers simultaneously the total weighted waiting time as the efficiency criterion, and the total weighted completion time deviation as the stability criterion. This fusion could be a very helpful and significant measure for real life industrial systems. Two disruption types are considered: jobs arrival and jobs cancellation. Thus, a Mixed Integer Linear Programming (MILP) model is developed, as well as an iterative predictive-reactive strategy for dealing with the online part. At last, two heuristic methods are proposed and discussed, in terms of solution quality and computing time.

Keywords: Rescheduling, flowshop, predictive-reactive strategy, weighted waiting time, stability, weighted completion time deviation

1. Introduction and Literature Review

Thanks to smart technological tools, the customer's practices are changed. Henceforth, a customer can, at any time, create or cancel an order Zhao et al. (2019). This, has a direct influence on the production management, especially the production scheduling (Ivanov et al. 2016, Moeuf et al. 2018, Uhlmann and Frazzon 2018). Most of scheduling problems assume that the work environment is static and that no event occurs during the job execution. In fact, the real production environment is dynamic (Pinedo et al. 2015, Khorsi et al. 2020), and it is subjected to unexpected events that disrupt the established schedule, such as: machine breakdowns, new jobs arrival, jobs cancelation, uncertain processing times, shortage of raw materials. (Li et al. 1003, Sabuncuoglu and Karabuk 1999,Zhang et al. 2003, Katragjini et al. 2013). Consequently, the production companies are forced to quickly react for dealing with this changed situation. Hence, rescheduling process is required for revising the initial schedule in a cost-effective way (Vieira et al. 2003).

According to Vieira et al. (2003), rescheduling is the process of updating an established schedule in response to disruptions. In the literature, some works have already presented literature reviews of developing research for this area, such as: Vieira et al. (2003), Li and Le (2008), Ouelhadj and Petrovic (2009), and recently Uhlmann and Frazzon (2018). Moreover, rescheduling problems are taking interest in studying different types of machine environments, for instance: single machine (Unal et al. 1997, Hall and Potts 2004, Hall et al. 2017), parallel machines (Curry and Peters 2005, Wang et al. 2018, Kovalyov et al. 2016, Tighazoui 2020), jobshop (Biewirth and Mat-

tfeld 1999, Muluk et al. 2003, Mason et al. 2004, Salido et al. 2017, **?**), or open-shop Liu and Zhou (2013). As well, interesting papers on flowshop environment. Katragjini et al. (2013) studied a rescheduling problem on permutation flowshop environments subjecting to simultaneous random disruptions: new jobs arrival, machine breakdowns, and release times delays. The authors considered the makespan as the schedule efficiency measure, and the number of tasks who's the starting times have been altered as the stability measure. They proposed an iterated greedy algorithm for solving the described problem. Rahmani and Ramezanian (2016) considered a flexible flowshop problem with unexpected new jobs arrival. A predictive-reactive strategy is adopted, which consists in generating an initial schedule for minimizing the weighted tardiness as the efficiency measure. After disruption occurrence, a reactive schedule is generated, measuring simultaneously the efficiency and the stability, where the schedule stability is measured by the absolute deviation of completion time between the initial and the new schedule. For other related papers, the reader may refer to Table 1, which presents a bibliography on flowshop rescheduling problems.

To fill the void in existing literature, this work investigates a new performance measure. Firstly, in terms of schedule efficiency, the Total Weighted Waiting Times (*TWWT*) is considered as a criterion. On scheduling literature, the waiting time is the total period of job's waiting in the system Jurcisin and Sebo (2015). Guo et al. (2013) is among the first works that studied the waiting time on a single machine rescheduling problem. The authors considered the sum of waiting times of rework jobs and the original loads as a criterion, they proved that the problem is NP-hard, and proposed a dynamic insert heuristic algorithm of polynomial-time to solve it. They considered then a rescheduling problem with maximum waiting time as an efficiency objective Guo et al. (2016). Their problem is inspired from quartz manufacturing industry, in which waiting times represent the waiting for certain materials before the reheating step in oven. Thus, the authors proved the complexity of the rescheduling problem on a single machine and developed a methodology to decide where to insert the rework jobs in an initial sequence of regular jobs. Guo et al. (2017) formulated two mixed integer programming models for a single machine rescheduling problem with the total waiting time as an objective. The studied problem came also from a quartz glass factory, describing the waiting of materials before the welding step, where the waiting minimization saves the energy consumption. In our work, the *TWWT* is considered for the first time as an efficiency measure, it could be a very helpful and significant, either in hospital or industrial environments. For instance, in production systems, it can be regarded as the waiting period of a job in front of a workstation, considering the job priority as a weight. In hospital systems, it can represent the delay between a patient's arrival and his actual treatment, considering the emergency level as a weight. Kan (2012) is among the first works that defined the *TWWT* and classified it as NPhard problem for a single machine. To the best of our knowledge, there is not any flowshop rescheduling problem in the literature which discussed this criterion.

Secondly, in terms of schedule stability, the Total Weighted Completion Time Deviation (*TWCTD*) is considered as a criterion. Indeed, the stability measures are used to assess the impact of the jobs deviation (Wu et al. 1993, Pfeiffer et al. 2007, Zhang et al. 2013). In fact, when the schedule is deviated, this matter may generate supplementary costs, such as rawmaterials reordering costs, reallocation costs. Rahmani and Ramezanian (2016). He et al. (2020) studied a hybrid flowshop reschedul-

Table 1 Bibliography on Flowshop Rescheduling Problems

MB: Machine breakdowns, JA: Jobs arrivals, JC: Jobs cancellation, JPV: Job processing variation, JRV: Job release variation, JSM: Job Sequence Modification, JDDV: Job Due Date Variation, JWV: Job Weight Variation, FSRP: Flowshop Rescheduling problem, HFSRP: Hybrid Flowshop Rescheduling problem, DFFS: Dynamic Flexible Flow-shop Scheduling, SDST: Sequence Dependent Setup Times, FIFO: First Input First Output, M-SPT: Modified Shortest Processing Time, M-LPT: Modified Longest Processing Time, EADD: Earliest Adjusted Due Date, EMO: Evolutionary Multiobjective Optimization, TCO: Total change in order of jobs

ing problem with job insertion considering the makespan and total the transportation time as an efficiency measure. They also evaluated the system stability by the alteration of job between the initial chosen machine, and the machine chosen after the disruption. Pfeiffer et al. (2007) proposed a new stability measure, combining the starting time deviation which is the difference between the job starting time in the initial sequence and the new one, as well as the actuality penalty related to the deviation of the job starting time from the current time. This stability criterion is also used by Valledor et al. (2018). Furthermore, other related measures have been found, such as: starting time deviation and total deviation penalty Rangsaritratsamee et al. (2004), the amount of operations and starting times operations which have been altered Peng et al. (2018), the absolute positional disruption, the positional disruption and absolute completion time disruption Hoogeveen et al. (2012), the total sequence disruption Yuan and Mu (2007), the maximum time disruption (the delivery times of jobs to customers changes) Liu and Ro (2014). Differently from previous works, this one considers the *TWCTD* as a stability criterion, which consists in evaluating the deviation between the job's completion times in the original schedule and the new one, associating for each job a weight. Thereby, when a job has a high weight (more priority) it will be difficult to disrupt it. This new approach is closer to the operating rooms scheduling in a hospital environment, where the emergency operations has more priority than others Bakker and Tsui (2017).

Vieira et al. (2003) and Herrmann (2006) classified the rescheduling strategies in two basic categories. The first is the dynamic scheduling strategy, which is based on the jobs dispatching when it is necessary using the available information at the moment of dispatching. This strategy uses dispatching rules and other heuristics to choose the sequence of jobs that will be proceeded on the machine (El-Bouri 2012, Nurre and Sharkey 2018, Jun et al. 2019). The second is the predictive-reactive rescheduling strategy, which is based on the generation of an initial schedule in a first step, then, updating the schedule at each disruption apparition (Vieira et al. 2003, Duenas and Petrovic 2008, Nouiri et al. 2020). Yang and Geunes (2008) implemented a predictivereactive scheduling strategy on a single machine with uncertain future jobs. They determined the amount of planned idle time for uncertain jobs and their positions in the predictive schedule. Then, the schedule reacts when a disruption occurs, through including the new jobs in the idle times. Gürel et al. (2010) proposed an anticipative scheduling approach, based on a predictive-reactive strategy for a parallel nonidentical machines' environment with controllable processing times. Firstly, an initial schedule is generated for minimizing the total manufacturing costs of the jobs. After a disruption caused by machines breakdowns, a reactive schedule is created, and the remaining schedule is repaired. In our study, the predictivereactive rescheduling strategy is adopted. It is consisting in generating firstly an initial schedule with the objective of minimizing the *TWWT*. After the disruption apparition, a second schedule is generated with the objective of simultaneously minimizing the *TWWT* and *TWCTD*. Both parts of the objective function are associated by a coefficient α (α *efficiency* + (1–α) *stability*), denoted by *efficiency-stability coefficient*. The impact of this coefficient on the system is analyzed hereafter. As the second objective function considers the association of the efficiency and the stability criterion, it plays a more significant role for reducing the actual costs in real life industrial systems. Although

some models of flowshop with rescheduling assumption determine new schedules, but they are only based on the classical measures, they may disregard several costs.

This paper addresses a flowshop rescheduling problem under two disruption types, namely: jobs arrival and jobs cancellation. The choice of flowshop environment is justified by its relevance, it is still an important problem from an optimization point of view, as complicated application of flowshop, such as robotic flowshops that have recently studied (Foumani et al. 2020, Foumani and Jenab 2013). A MILP model is developed to describe this problem, as well as an iterative predictivereactive rescheduling strategy for dealing with the online part. The problem resolution provides, for each solving step, an optimal solution. This rescheduling problem has not been considered in the research literature before, and contributes to operations research area by:

- Studying a new performance measure inspired from real life system, where the *TWWT* measures the system efficiency, and the *TWCTD* evaluates the system stability.
- Developing for this problem a MILP model and an iterative predictivereactive strategy for dealing with the online part, under two types of disruption.
- Proposing two heuristic methods, which allow to browse even more jobs in a reasonable time.

The rest of paper is organized as follows. In section 2, the problem is described, as well as the proposed methodology. In section 3, the MILP formulation is provided. In section 4, the proposed heuristics are presented and evaluated. Finally, we conclude and propose some perspectives in section 5.

2. Problem Description and Methodology

Figure 1 The Waiting Time of Job *j*

2.1 Problem Description

We study a flowshop rescheduling problem, without blocking constraints, under two types of disruptions, new jobs arrival and jobs cancellation. For each job *j*, is associated a release date r_j , a weight w_j , and a processing time of job *j* in the machine *m*, *pjm*. When a job *j* starts the execution on the machine *m*, it is processed to completion time *CTjm* without interruption. Buffer space capacity between the machines is unlimited. Indeed, there is no blocking constraints in this system. So, each machine will be immediately available to execute an operation, after its previous operation is achieved and the next job is available for treatment.

Efficiency criterion: The waiting time *W^j* of job *j* is defined as the total period of job's *j* waiting in the system Jurcisin and Sebo (2015) (See Figure 1).

The periods during which the job has waited are shown by the red periods in Figure 1. These ones represent the waiting periods before the starting of job on each machine. Thus, we define the waiting time W_j of job *j* by: $W_j = CT_{jM} - r_j - \sum_{m=1}^{M} p_{jm}$, where CT_{jM} is the completion time of job *j* on the last machine.

Before the disruptions, we supposed that we have a set of jobs, $N = \{1, 2, \dots, n\}$, which are already available to be scheduled at the time *t*=0 in the set of machines *F*= $\{1, 2, \cdots, M\}$, an initial schedule should be established with the objective of minimizing the *TWWT*, $\sum_{j=1}^{n} w_j W_j$. Thus, a mathematical model is implemented to solve this classical flowshop problem. This initial problem

can be represented in standard notation by $F|r_i|\sum w_iW_i$. During the execution of jobs, the initial schedule can be disrupted. In the case of new job arrival, the set of jobs is updated. $N' = \{1, 2, \cdots, n'\}$ is the new set of jobs, it contains the already existing jobs, as well as new arrival one. Then, a new reactive schedule is established updating the existing one. The jobs that have been already initiated by the machine before the disruption date, will keep the same position. However, after the disruption apparition, the objective function will be simultaneously consider the schedule efficiency measured by the *TWWT*, $\sum_{j=1}^{n'} w_j W_j$ and the schedule stability measured by the deviation from the initial schedule, as described hereafter.

Stability criterion: Let *CTojm* to be the original completion time of job *j* at the machine *m*, it is the completion time when the job *j* is scheduled for the first time, and considered as the due date communicated to the customer. However, when a disruption occurs, the schedule may change, and a new sequence is established. Hence, the job *j* actually finishes in the real completion time *CTjm*. Accordingly, the difference between CTo_{jm} and CT_{jm} can be used to estimate the completion time deviation. Furthermore, a weight w_j is associated to each job *j*, to penalize more largely the important jobs. In the real system, the association of the weights to the stability criterion makes difficult to deviate the important orders. Thus, the stability objective is defined as $\frac{1}{M} \sum_{j=1}^{n'-n_j}$ $\sum_{j=1}^{m'-n_j}\sum_{m=1}^M w_j(CT_{jm}-CTo_{jm})$, referred

to as the *Total Weighted Completion Times Deviation* (*TWCTD*). As can be seen, the stability is measured for only for the jobs existing in the previous schedule $(n'-n_i)$, where n_i is the number of new jobs. Once the new jobs are scheduled in their first sequence, they will be concerned by the stability measure in the ulterior schedules. The stability criterion is divided by *M* to normalize it with respect to the efficiency criterion.

Besides, for associating both parts of the objective function, the coefficient α *efficiencystability coefficient* is integrated. Thus, α is the weight of efficiency part and $(1-\alpha)$ the one of stability part, where α is a real number between 0 and 1. The influence of this parameter on the system performance will be studied in the numerical results section. Ultimately, we define the new objective function that simultaneously considers the schedule efficiency and the schedule stability as $\alpha \sum_{j=1}^{n'} w_j W_j + (1-\alpha) \frac{1}{M} \sum_{j=1}^{n'-n_j}$ $\sum_{j=1}^{m-n_j}$ ∑_{*m*=1} *w*_j(CT_{jm} − *CTojm*), this function is used at each disruption apparition. The flowshop rescheduling problem can be denoted in standard notation by: $F|r_i|\alpha \sum_i w_iW_i+(1-\alpha)\frac{1}{M}\sum_i \sum_m w_i(C_{im}-Co_{im}).$

In the case of jobs cancellation, the same approach is adopted, considering *N'* as the set of remaining jobs. In the following, the adopted predictive-reactive strategy is detailed, explaining the rescheduling policy.

2.2 Proposed Predictive-Reactive Rescheduling Strategy

The adopted predictive-reactive rescheduling strategy consists in the predictive phase to solve an initial scheduling problem, assuming that all the jobs' information is available. This initial problem consists in reducing the *TWWT* of the jobs which is considered as a measure of the schedule efficiency. After establishing an initial schedule, the reactive phase starts, it consists of going through the simulation horizon step by step. At each step, the schedule is updated in response to a disruption. In this

reactive phase, the methodology measures not only the schedule efficiency, but also the schedule stability through a combination of two criteria, *TWWT* and *TWCTD*. Through this strategy, the decisions are taken locally, which allows to obtain at each rescheduling step an optimal solution, unlike other approaches which use proactive methods consisting in predicting the disruptions occurrences and take them into consideration in the initial schedule formulation Rahmani and Ramezanian (2016).

At each rescheduling step, the schedule can be disturbed by a maximum of two events: the arrival of one job and the cancellation of one job. These two cases can simultaneously or separately appear. In the case of new job arrival, the new arrived job will be combined with the set of uninitiated jobs for rescheduling. In the case of job cancelation, the concerned job is omitted from the set of uninitiated jobs. The set of uninitiated jobs is the set of existing jobs, except the jobs that have already been initiated by the machine before the disruption date. To handle, at each step, the disruptions, we have firstly discretized the finite time horizon [0, *T*], into periods Δ*t* (see Figure 2). Indeed, Δ*t* is the time period length which represents the time step. The occurrence of disruptions may be possible only at these times. In fact, when a job occurs at time *t*, this date will be its release date. In order to simplify the calculations, it is assumed that Δ*t* = 1 unit of time, the same time unit that we used for the jobs data p_{jm} and r_j .

To simultaneously handle both types of disruptions, the two-hereafter binary variables are introduced, they define the disruption types.

Algorithm 1: handle both types of disruptions *Solve the initial problem*; $Obtain a LIST = \{J_1, \cdots, J_n\};$ *Memorize solution*; **for** (*t in* 1 *to T*) **do if** $\beta(t) = 1$ **then** *Cancel the concerned job f rom the LIST*; *Solve the new problem*; **end if** $\theta(t) = 1$ **then** *Add the new job to the LIST*; *Solve the new problem*, *with Constraint* 15; **end** *Memorize solution*; **end**

$$
\theta(t) = \begin{cases} 1, & \text{if a new job is occurred} \\ 0, & \text{otherwise} \end{cases}
$$
 (1)

$$
\beta(t) = \begin{cases} 1, & \text{if a job is cancelled} \\ 0, & \text{otherwise} \end{cases}
$$
 (2)

In each step, the state of $\theta(t)$ and $\beta(t)$ is randomly generated. It is assumed that one job arrives when $\theta(t) = 1$, and one job is canceled when $\beta(t)=1$. Algorithm 1 describes the strategy for handling both types of disruptions.

This algorithm traverses the time horizon step by step, and checks whether a job arrives or a job is canceled, thanks to the state of $\theta(t)$ and $\beta(t)$. If the state of one of these variables is equal to one, this means that a disruption occurs. Consequently, the algorithm updates the set of jobs (LIST) and reschedules the new set of jobs. If, at the same time, a job is canceled and another job arrives, the methodology solves the cancellation problem. Then, without increment the time, it adds the new job and solves the problem. Constraint 15 is used in the case of job arriving. It consists of having the completion time bigger than the original one. This is explained in detail in the MILP formulation section. Figure 3 summarizes the implemented predictive-reactive strategy.

Figure 3 The Proposed Predictive-reactive Strategy

3. MILP Formulation

A MILP model is implemented in this section. This model is based on the predictive-reactive strategy previously described. It is formulated in two phases, the first one is the offline phase, it presents the mathematical model before the occurrence of disruptions, the second one is the online phase, it presents the mathematical model generated after the occurrence of disruptions. In Section 3.3, the numerical results of the MILP resolution are presented.

3.1 Offline Mathematical Model

To build our offline mathematical model, we adapted a flowshop environment model with mixed blocking constraints presented in Trabelsi et al. (2012), in which they minimized the makespan, to consider now the total weighted waiting times as the objective, without blocking constraints. The parameters, variables and constraints are given below:

Parameters:

N: set of jobs {1, 2, ··· , *n*} *K*: set of positions $\{1, 2, \cdots, n\}$ *F*: set of machines {1, 2, ··· , *M*} *j*: index of job, $j = 1, 2, \cdots, n$ $k:$ index of position, $k = 1, 2, \cdots, n$ $m:$ index of machine, $m = 1, 2, \cdots, M$ *wj*: weight of job *j rj*: release date of job *j pjm*: processing time of the job *j* on the machine *m bigM*: Big value.

Decision variables:

$$
X_{jk} = \begin{cases} 1, & \text{if the job } j \text{ is assigned to } k^{th} \text{ position} \\ 0, & \text{otherwise} \end{cases}
$$

S $_{km}$: Starting time of the job in k^{th} position on the machine *m*.

 C_{km} : Completion time of the job in k^{th} position on the machine*m*.

CTjm: Completion time of job *j* on the machine *m*. *Wj*: Waiting time of job *j*.

Objective function:

$$
\min \sum_{j=1}^{n} w_j W_j \text{ s.t.}
$$

$$
\sum_{k=1}^{n} X_{jk} = 1, \forall j \in N
$$
(3)

$$
\sum_{j=1}^{n} X_{jk} = 1, \forall k \in K \tag{4}
$$

$$
C_{km} = S_{km} + \sum_{j=1}^{n} p_{jm} X_{jk}, \forall k \in K, \forall m \in F \quad (5)
$$

$$
S_{km} \geq C_{km-1}, \forall k \in K, \forall m \in \{2, \cdots, M\} \quad (6)
$$

$$
S_{km} \geq C_{k-1m}, \forall k \in \{2, \cdots, n\}, \forall m \in F \qquad (7)
$$

$$
S_{km} \ge \sum_{j=1}^{n} r_j X_{jk}, \forall k \in K, \forall m \in F \qquad (8)
$$

$$
CT_{jm} \ge C_{km} - bigM(1 - X_{jk}),
$$

\n
$$
\forall j \in N, \forall k \in K, \forall m \in F
$$
 (9)

$$
CT_{jm} \le C_{km} + bigM(1 - X_{jk}),
$$

\n
$$
\forall j \in N, \forall k \in K, \forall m \in F
$$
 (10)

$$
W_j = CT_{jM} - r_j - \sum_{m=1}^{M} p_{jm}, \forall j \in N \qquad (11)
$$

$$
X_{jk} \in \{0, 1\}, \forall j \in N, \forall k \in K \tag{12}
$$

$$
S_{km}, C_{km}, CT_{jm}, W_j \ge 0, \forall j \in N, \forall k \in K, \forall m \in F
$$
\n(13)

Constraint (3) specifies that each job is affected to only one position. Constraint (4) specifies that each position is occupied by only one job. Constraint (5) specifies that, for all machines, the completion time of *kth* position is equal to the starting time of k^{th} position plus the assigned processing time. Constraint (6) consists in making the starting time of *kth* position greater than or equal to its completion time in the previous machine. Constraint (7) consists in having, for all machines, the starting time of k^{th} position greater than or equal to the previous position completion time. Constraint (8) consists in making, for all machines, the starting time of k^{th} position greater than or equal to its assigned release date. Constraint (9) defines, for all machines, the completion time of job *j*, which is greater than or equal to the completion time of its assigned position, where *bigM* must be sufficiently large. In this model, it is assumed that the value of the *bigM* corresponds to the Makespan obtained through sequencing jobs in the ascending order of the release dates. It is an upper bound for the jobs' completion time. Inspired by Guo et al. (2017), constraint (10) is included, it is a

cut that allows reducing the computing time. When X_{jk} =1, this constraint helps the system to provide one possible solution which is *CTjm* $= C_{km}$. Constraint (11) defines the waiting time of job *j*, it is depending on the completion time in the last machine, release date, and processing time. Constraint (12) constraints the variable X_{jk} to be a binary decision variable. Constraints (13) are non-negativity constraints, making all decision variables greater than or equal to zero.

3.2 Online Mathematical Model

The second model is generated after occurrence of disruptions. the new objective function will consider the efficiency measure "*TWWT*" combined with the stability measure "*TWCTD*". This combination plays a more significant role for reducing the actual costs in real life industrial systems. Although some models of flowshop with rescheduling assumption determine new schedules, but they are only based on the classical measures, they may disregard several costs. Thereby, in the case of new jobs arrival, the parameters r_j , w_j , and p_{jm} are used in the formulation, as well as *nj* the number of arrived jobs. The new formulation becomes:

New parameters:

n'=*n*+*nj N'*: set of jobs $\{1, 2, \cdots, n'\}$ *K*[']: set of positions $\{1, 2, \cdots, n'\}$ *j*: index of job, $j = 1, 2, \dots, n'$ $k:$ index of position, $k = 1, 2, \cdots, n'$

CTojm : original completion time of job *j* on the machine *m* (obtained by the resolution of the initial problem).

α: efficiency-stability coefficient.

The decision variables X_{jk} , CT_{jm} , S_{km} , C_{km} , and W_i are also used for this new formulation. Thus, the new objective function is:

 $\min \alpha \sum_{j=1}^{n'} w_j W_j + (1 - \alpha) \frac{1}{M} \sum_{j=1}^{n'-n_j} \sum_{m=1}^{M} w_j (CT_{jm} - CTo_{jm})$

The constraints (3) until (13) are also used for this online model. As well, the constraint (14), which allows saving the in-course sequence:

$$
if S_{k1} < t_d, X_{jk} = Xo_{jk}, \forall j \in N, \forall k \in K \quad (14)
$$

In fact, the disruption occurs while the machine executes a job. Thus, it is noted by *t^d* the current time when a disruption occurs (see Figure 4).

Then, all the jobs which have begun their treatment on the flowshop system before *td*, must keep the same position until the end. It is also noted by *Xojk* , the variable assigning job *j* to position *k* in the original schedule. The values of *Xojk* are memorized when the jobs are scheduled for the first time. So, constraint (14) specifies that, if the starting time of a position is less than *td*, the position keeps the same job. On each machine, the jobs have the same sequencing. Thus, the starting times of jobs on the first machine are sufficient to indicate the jobs positions. Therefore, constraint (14) is applied only for the first machine. In addition, the preemption is not authorised.

Considering only the efficiency measure, *CTojm* is obtained when the job is scheduled for the first time. In this case, the job is positioned in an ideal position, and it will not be moved backward after a rescheduling. Thus, in all rescheduling steps, it is assumed that:

$$
CT_{jm} \geq CTo_{jm}, \forall j \in N, \forall m \in F \qquad (15)
$$

In the case of jobs cancellation, we have used the same formulation, without constraint (15). In fact, when a job is canceled, its position will be occupied by one of its previously following jobs. Therefore, the jobs will be moved backward. In this case, we can actually have $CT_{jm} \leq$ *CTojm*. On the other hand, the jobs can be canceled, only if the sequence is being executed. Therefore, the condition *max* $(S_{k1}) > t_d$ is also considered in the job's cancellation case.

3.3 Numerical Results

The proposed MILP model has been written on FICO Xpress IVE and the simulations have

Figure 4 Disruption Occurrence and Disruption Time Definition

been performed on a Core i5 2.40GHz laptop. According to Anand et al. (2017), Xpress solver is considered among the three well-known optimization solvers, it can solve very large optimization problems especially mixed integer. The model implementation has been developed accordingly to the chart presented in Figure 3. The simulations have been tested on the instances described hereafter, and it allowed giving, at each rescheduling step, the optimal solution.

In this subsection, we present the numerical results obtained through the model application on a flowshop problem composed of 5 machines. The data used in this section are presented in Table 2.

The data that we have chosen is adapted for real cases, either in a hospital or in an industrial environment. The simulation will be over an 8-hours' time horizon (480 min) representing a hospital or a factory opening time. The weight values can represent the 5-emergency levels or 5 priority levels of customers. It is assumed also that $\Delta t = 1$ unit of time (ut), and 1 ut is equivalent to 10 minutes. Thus, $T = 8 h =$

480 min = 48 ut. The processing times can represent the durations of operations or a product manufacturing time and follow a discrete uniform distribution with values between 1 and 4, obtaining durations of operations between 1 ut (10 minutes) and 4 ut (40 minutes). The values of the variable $θ(t)$ (respectively $β(t)$) are randomly generated with the Bernoulli distribution which gives, at each time *t*, the value 1 with probability p_θ (respectively p_β), and 0 with probability $1-p_\theta$ (respectively $1-p_\beta$). We first fixed p_{β} in 0.1 (small cancellation probability), we tested then different values of p_{θ} .

On the presented results, we tested on 10 different instances the computing time (*CPU* time) for the execution of all iterations. The sets of tested instances contain respectively 5 initial jobs. They will be disrupted by disruptions over a horizon of $T = 48$ ut. The appearance probability p_θ is varied for different values (0.2; 0.5; 0.8) to analyze its impact on the *CPU* time. Then, we calculated the minimum, maximum, average, and standard deviation of *CPU* time in second. The obtained results are presented in Table 3.

The computing time depends on the appearance frequency of jobs. When p_{θ} increases, the average and the standard deviation of *CPU* time increase also, which makes difficult to estimate the computing time for solving the problem. Indeed, when p_θ exceeds 0.5, the

Table 3 Computing Time Study with Different Values of *p*θ

ANAJ: The Average Number of Appearing Jobs

set of jobs that must be executed at each iteration increases too much, as well as *CPU* time average values. Thus, when the number of jobs concerned by rescheduling is bigger, the problem resolution is more difficult to obtain in a reasonable time. Indeed, when $p_{\theta} = 0.8$, the MILP software failed to constantly provide solutions. Only six among the ten tested instances gave solutions in a reasonable time. For the rest, the simulation has been interrupted after 12 hours. The average and standard deviation of *CPU* time is then calculated for the instances in which the solution is obtained.

In fact, when a job with a long processing time occupies the machine and disruptions still appear, the set of jobs concerned by the rescheduling process increases, because it contains a lot of unexecuted jobs that are a waiting since the machine is busy. This explains the big values of the average and standard deviation of *CPU* time when $p_{\theta} = 0.8$.

The variation of p_{β} is also studied to interpret its impact on the computing time. p_{θ} is actually fixed in 0.8, and different values of $p_β$ are tested. We start by $p_{\beta} = 0.5$, assuming that the order cancellation probability is 50%, p_{β} = 0.3 is then tested, until $p_β = 0.1$, the last case which has already been tested in the variation of p_θ . The obtained results are presented in Table 4.

When p_{β} increases, the number of canceled jobs increases also. Although there are many arriving jobs since $p_{\theta}=0.8$, but when p_{β} is large, many jobs are canceled also. This makes a diminution of the set of jobs to reschedule at each iteration, helping the MILP to solve the problem in a reasonable time. However, when $p_β$ decreases, the set of jobs to reschedule increases, making difficult the resolution in a reasonable time. This confirms the conclusion deduced from Table 3.Therefore, two heuristics are proposed hereafter to fasten the computing time of the MILP resolution.

4. Heuristic Methods

In this section, two heuristic methods are proposed and evaluated. Accordingly, two subsections are hereafter presented.

4.1 Description of the Heuristic Methods

When a disruption occurs, all the jobs which have begun their treatment on the flowshop system before *td*, must keep the same position. Accordingly, the set of jobs is divided in two parts, the part to fix containing the already initiated jobs by the machine, and the rest of jobs constituting the part to reschedule (see Figure 5).

As concluded in Tables 3 and 4, it is difficult to solve the problem in a reasonable time when the part to reschedule is large. Thus, the larger part to reschedule, the longer computing time becomes. Therefore, to design an efficient heuristic method, the part to reschedule must be reduced, so as to have just a reduced num-

| α | p_{β} (ANCJ) | Min CPU | Max CPU | Avg CPU | Std dev CPU |
|----------|--------------------|---------|---------|---------|-------------|
| 1 | 0.5(24 jobs) | 32.94 | 42.42 | 37.99 | 3.01 |
| | $0.3(15$ jobs) | 50.54 | 633.02 | 178.91 | 236.37 |
| | 0.1 (5 jobs) | 9049 | >12h | 14772 | 5303 |
| 0.75 | 0.5(24 jobs) | 33.07 | 44.81 | 40.17 | 3.52 |
| | 0.3(15 jobs) | 47.45 | 329.29 | 119.56 | 89.11 |
| | $0.1(5$ jobs) | 10153 | >12h | 16195 | 6720 |
| 0.5 | 0.5(24 jobs) | 25.03 | 45.89 | 36.74 | 6.43 |
| | $0.3(15$ jobs) | 45.03 | 396.27 | 121.71 | 107.47 |
| | $0.1(5$ jobs) | 9014 | >12h | 14062 | 5341 |

Table 4 Computing Time Study with Different Values of $pβ$

ANCJ: The Average Number of Canceled Jobs

Figure 5 Flowshop System Divided in Two Parts

Figure 6 Flowshop System Divided in Three Parts

Figure 7 The New Divided Flowshop System when *Nr* is Shifted

ber of jobs that can be solved in a reasonable time. The aim is to act on this part, by reducing the number of jobs to reschedule.

Let *Nr* be the number of jobs that can be solved in a reasonable time by the MILP model. Following that, the flowshop system will be divided in three parts, the part to fix, the new part to fix and Nr the part to reschedule which includes also the new arrived job (see Figure 6).

Now, the part to reschedule contains only *Nr* jobs, the rest of jobs will be fixed. Thus, the reduced problem is solved.

After the resolution, the position of the new arrived job is checked, if this one is placed in the left position $(1^{st}$ position in *Nr*), it means that it is possible that this job could be placed earlier in the optimal solution. In a such case, the set of Nr jobs will be shifted to the left, fixing the last *Nr*–1 jobs (see Figure 7).

After shifting the *Nr* jobs, the problem is resolved and the position of the new arrived job is rechecked, if this one still in the left position, the methodology is repeated again, until either the new job is placed on a position different from the left or the heuristic arrives at *td*. Algorithm 2 describes the proposed methodology.

Two starting positions for choosing the *Nr* jobs to reschedule are possible, either at the beginning or at the end of the sequence. Hence, we denoted by Heuristic 1 the method which traverses the positions from the end of the sequence to the beginning (as described in the algorithm), and Heuristic 2 the reverse, from the beginning to the end of the sequence.

4.2 Evaluation of the Heuristic Methods

As observed in Table 3, when p_θ tends towards 0.8, solutions are more hardly obtained in a reasonable time with the MILP software, in particular when p_{β} is small. For this special case, the proposed heuristics are tested and evaluated, both in terms of solution quality and computing time, with respect to the solutions obtained with the MILP.

The two heuristics are firstly compared with the MILP solution when $p_{\theta}=0.2$ and $p_{\theta}=0.5$. For an appropriate comparison, the original completion times *CTojm* obtained by the MILP solution, are used by the heuristics in constraint 15, in order to have at each rescheduling step, the same problem to solve. However, with the high disruptions' probabilities (p_{θ} =0.8 and p_{θ} =1), the MILP software is not always able to provide a solution in a reasonable time. *WSPT* (*Weighted Shortest Processing Time*) method consists in scheduling the jobs in ascending order of $\sum_{m=1}^{M} p_{jm} / w_j$, it is considered as an approximate method for this problem, it is used for obtaining the original completion times CTo_{jm} . The aim is to have, at each rescheduling step, the same problem to solve, for comparing the heuristics between them.

4.2.1 Variation of Appearance Frequency

The appearance frequency is varied for studying its impact on solution quality and computing time. Four values of p_θ (0.2; 0.5; 0.8; 1) and three values of α (0.5; 0.75; 1) are tested. The number of improvements established by each heuristic before providing the final solution have also been calculated. It is assumed in this subsection that *N r*=5 and *Step*=4. 10 different instances are tested, and the averages are presented in Table 5.

In the low disruptions (p_θ = 0.2), the classical method and the proposed heuristics provide the same results in terms of time and solution quality. Thus, both heuristics do not need to make improvements for providing a solution.

With medium disruptions probability (p_θ = 0.5), the heuristics provide, in a short time, solutions close to the MILP. Heuristic 2 provides better solutions than Heuristic 1. Heuristic 2 acts from the left to the right position. The left positions contain high weight jobs, their rescheduling has a great impact on the solution efficiency. Hence, Heuristic 2 is more effective

Figure 8 Illustration of the Used Parameters

Algorithm 2: The proposed methodology

Input data: Optimal schedule, New arrived job, *Np*: Total number of positions, *td*: disruption date, *kt*: position from which we reschedule, *Nt*: Total number of jobs that have to be rescheduled, *Nr*: Number of jobs to reschedule at each step, *Step*: The number of positions to skip.

 $Initialization: p = 0, lp, Step, Nr;$ **if** $Nt \leq Nr$ **then for** $(i, k \in \{1, 1 \text{ to } Np - 1\}$ **do if** $So(k, 1) \le t$ **then** $X(j,k) = Xo(j,k)$ *Xo*(*j*, *k*) ! save the same position ; **end end** *Reschedule the new problem*; *Print the solution*; **else while** $(Np - lp - p \geq kt)$ **do for** (*j*, *k in* 1 *to Np* | *k not in* {*N p* − *lp* − *p*..*N p* − *p*}) **do** *X*(*j*, *k*) = *X* $o(j, k)$ \rightarrow ! save the same position ; **end** *Reschedule the new problem*; *Print the solution*; **if** $(X(newjob, Np - lp - p) = 0$ *or* $X(newjob, kt) = 1$ **then** *Break* **else** *Memorize the actual positions*; *if* $(Np − lp − p − Step ≥ kt)$ **then** *p* - *p* + *Step*; **else** $Step = Np - lp - p - kt;$ *p* - *p* + *Step*; **end end end**

end

| (1) = $5 = 10$ $0 = 100$ | | | | | | | | | |
|----------------------------|----------|----------------|--------------------------|-----------------------------------|----------------|--------|--|--|--|
| | | | | p_{θ} =0.5(24jobs) | | | | | |
| | H1 | H ₂ | MILP | H1 | H ₂ | MILP | | | |
| Time (s) | 2.47 | 2.46 | 2.53 | 98.56 | 61.12 | 348.09 | | | |
| Solution | 223 | 223 | 223 | 836.67 | 814.44 | 803.33 | | | |
| N° Imprv | θ | 0 | $\overline{}$ | 15.00 | 12.29 | | | | |
| Time (s) | 2.51 | 2.47 | 2.49 | 71.89 | 71.29 | 932.17 | | | |
| Solution | 175.54 | 175.54 | 175.54 | 672.12 | 655.91 | 653.95 | | | |
| N°Imprv | θ | Ω | $\overline{}$ | 13.89 | 13.67 | | | | |
| Time (s) | 2.51 | 2.49 | 2.55 | 44.82 | 71.47 | 387.89 | | | |
| Solution | 129.58 | 129.58 | 129.58 | 658.59 | 577.23 | 558.52 | | | |
| N° Imprv | 0 | θ | | 13.44 | 16.44 | | | | |
| | | | | $p_{\theta} = 0.2(10) \text{obs}$ | | | | | |

Table 5 Comparison of the Heuristics for Different Values of p_A

*H*1: Heuristic 1, *H*2: Heuristic 2, *WSPT*: Weighted Shortest Processing Time, N[○]Imprv: Number of Improvements.

than Heuristic 1.

As observed in Table 3, With high disruptions probability, the MILP fails to solve the problem in a reasonable time. Meanwhile, *WSPT* method quickly provides a solution, and was used in Table 5 to generate the original completion times (CTo_{im}) , later used by the heuristics. The aim is to have, at each rescheduling step, the same problem to solve, to compare the heuristics between them. As *WSPT* is an approximate method for this problem, the heuristics can provide, in most of cases, better results than *WSPT*, but Heuristic 2 remains still better than Heuristic 1.

As explained in the heuristics' description, Heuristic 1 improves the obtained solution only if the new job is placed in the left position (1st position in *Nr*). Hence, when α decreases, the stability is more considered, and the left position will already be occupied by an existing job so as not to disturb the established sequence. Therefore, when α decreases, Heuristic 1 makes less improvements and takes less time. Contrarily, Heuristic 2 makes more improvements and takes more time when α decreases.

On the other hand, when p_{θ} increases, the number of improvements increases too, as there are more disruptions in the system.

It can also be observed that the positions of some existing jobs can switch after a disruption. In fact, when a new job is included in a sequence, the existing jobs will not only be shifted but they can also switch their positions.

To illustrate this phenomenon, an example is presented hereafter.

Example of jobs switching after a disruption

In this example, the processing times *pjm*, the release dates r_j and the weights w_j for 8 jobs are given in Table 6. The first 5 jobs are considered in the initial schedule (in *offline*), for which all information is available, then jobs 6, 7 and 8 arrive dynamically during the execution (in *online*).

Table 6 Initial Problem Data, with Additional Jobs

| | | offline | | | | online | | | |
|----------|----------------|---------|----------------|-------------|----------------|------------|----------------|---|---|
| jobj | | 1 | 2 | 3 | $\overline{4}$ | 5 | 6 | | |
| | M_1 | 3 | | $5\quad 2$ | | $5\quad 2$ | $\overline{2}$ | | 3 |
| p_{jm} | M ₂ | | $\overline{2}$ | $\,4\,$ | 2 | 3 | 1 | | |
| | M_3 | 3 | | $2 \quad 3$ | $\overline{4}$ | 1 | | | 5 |
| r; | | 0 | 1 | 0 | 1 | 2 | 2 | 3 | |
| w_i | | | | 3 | $\overline{2}$ | | | | |

The optimal sequences obtained by the MILP are given in Table 7, it is assumed that $\alpha = 1$, to have more disturbance in the system.

In Step 3, the job 8 is appearing with a weight of 4. This high weight forces the MILP to insert the job 8 in an early date (between the jobs 6 and 1). However, the following jobs are switched. Thus, the optimal solution is given by the sequence 3-6-8-1-5-4-7-2 instead of 3-6- 8-1-7-4-5-2, where the objective function value is 107. This is a result of switching Job 5 with Job 7. Figure 9 illustrates the Gantt chart for the optimal solutions in Steps 2 and 3.

As can be observed, it is possible for any job to move forward after a disruption, but not less than its original completion time. This is the case of job 5 in this example, which deviates from $CT_{51} = 15$ to $CT_{51} = 12$. The present phenomenon is a result of changing the flowshop structure after each disruption on account of the durations changes. This is also valid in the case of jobs cancellation.

Conclusion: The jobs switching phenomenon shows that the heuristics can, in some cases, miss optimal solutions by fixing a part of the jobs which must be rescheduled.

4.2.2 Variation of *Nr* **and** *Step*

In this subsection, the values of *Nr* and *Step* are varied to evaluate their impact on solution quality and computing time. Three values of α are tested (0.5; 0.75; 1). It is assumed that $p_{\theta} = 0.8$ in order to have more disruptions in the system. Thus, *WSPT* is used to get original completion times (CTo_{im}) to compare the heuristics between them. 10 different instances are tested, and the averages are presented in Table 8. For each problem range, the average total time, the solution and the number of improvements proposed by each heuristic (*H1*, *H2* and *WSPT*) are given, in order to give an idea of the solutions quality, both in terms of accuracy, computing time and existing solution digging.

When *Nr* increases, the number of jobs to be rescheduled increases also. In this case, the system considers more jobs to reschedule at each improvement step. Consequently, the solution quality is better as the research space is larger, but the computing time increases. However, the heuristics use less improvements steps to give a solution. In addition, the solution values are improving for both heuristics. In this case, Heuristic 1 and Heuristic 2 converge towards the optimal solution.

When *Step* increases, the number of positions to skip increases. So, the system can find a solution with less iterations. However, the solution quality is improved as the research space is large, and the new arrived job has the possibility to be compared with more jobs. So, the more *Step* increases, the more we reach a better solution with less improvements. However, *Step* cannot be greater than or equal to *Nr*, because in this case, the new job will not be included in the set of *Nr* jobs. Hence, the optimal case will be when *Step*=*Nr*–1.

The best solutions written in bold are obtained with Heuristic 2 when *Nr*=7, which con-

Figure 9 Gantt Chart for the Optimal Solution in *Step* 2 and 3

*H*1: Heuristic 1, *H*2: Heuristic 2, *WSPT*: Weighted Shortest Processing Time, N°Imprv: Number of Improvements.

firms that Heuristic 2 is still better than Heuristic 1, and the increase of *Nr* allows improving the solution quality. However, as *WSPT* is an approximate method for this problem, in most of cases, Heuristic 2 gives better solutions than *WSPT*.

In this study, we also concluded that Heuristic 2 is still better than Heuristic 1. As well, α still impacts the computing times of the heuristics, as explained in the last subsection.

5. Conclusion and Perspectives

This paper proposed a new optimization criterion to evaluate the performance of a flowshop rescheduling problem. The proposed measure combines simultaneously the schedule efficiency represented by the total weighted waiting times, and the schedule stability by the total weighted completion times deviation. This association of criteria has never been studied in the flowshop rescheduling literature before, and it could be a very helpful and significant measure for real life industrial systems. A MILP model is implemented, as well as an iterative predictive-reactive strategy for dealing with the online part, under two types of disruption: jobs arrival and jobs cancellation. Numerical results show that the model resolution is limited when the set of jobs to reschedule is large. Accordingly, two heuristic methods have been developed to improve the computing time. The heuristics evaluation allows us to observe that:

- Heuristic 2 divides the part to reschedule into subparts. Then, it starts the improvements from the left of the sequence to the right. This one provides better solutions in terms of efficiency than its twin, starting from the right of the schedule back to the left.
- The smaller the part to reschedule, the faster computing time. However, the solution quality reduces.
- The efficiency-stability coefficient α im-

pacts the computing time of the heuristic's resolution. As the stability consists in fixing the already existing jobs, Heuristic 1 makes less improvements when α decreases, contrary to Heuristic 2 that makes more improvements in the same case.

• The jobs switching phenomenon shows that when a new job is included inside a sequence, the existing jobs will not only be shifted, but they can also switch their positions. This is a result of changing the flowshop structure after each disruption on account of the durations changes.

This research can be of great interest, not only for the researchers working in the field of production and operations management facing with flowshop rescheduling problems, but also for a broader audience, such as industrial actors or hospital decision makers. In the further works, we intend to improve the proposed method, by combining both heuristics, choosing at each disruption which heuristics to apply depending on the weight and the job duration. We will also consider a flowshop rescheduling problem with blocking constraints.

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