

HIV-1 Glycoprotein 120 Enhancement of N-Methyl-D-Aspartate NMDA Receptor-Mediated Excitatory Postsynaptic Currents: Implications for HIV-1-Associated Neural Injury

Yan Zhou² · Jianuo Liu¹ · Huangui Xiong¹ 

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Abstract It is widely accepted that human immunodeficiency virus type 1 (HIV-1) envelope glycoprotein 120 (gp120) plays an important role in HIV-1-induced neural injury and pathogenesis of HIV-1-associated dementia (HAND). Multiple pathways have been proposed for gp120-induced neurotoxicity, amongst is the activation of N-Methyl-D-Aspartate receptors (NMDARs). It has been shown that gp120 causes neuronal injury or death and gp120 transgenic mice exhibit neurological similarity to that of HAND, all of which can be blocked or attenuated by NMDAR antagonists. Several lines of evidence indicate the subtype and location of activated NMDARs are key determinants of the nature of NMDAR physiology. To examine the subtype and the location of NMDARs affected by gp120, we studied gp120 on subtype NMDAR-mediated EPSCs in the CA1 region of rat hippocampal slices through “blind” whole-cell patch recordings. Our results showed bath application of gp120 increased both NR2A- and NR2B-mediated EPSCs possibly via a presynaptic mechanism, with much stronger effect on NR2B-mediated EPSCs. In contrast, gp120 failed on enhancing AMPA receptor-mediated EPSCs. Ca^{2+} imaging studies revealed that gp120 potentiated glutamate-induced increase of intracellular Ca^{2+} concentration in rat hippocampal neuronal cultures which were blocked by a NMDAR antagonist, but not by an

AMPA receptor antagonist, indicating gp120 induces Ca^{2+} influx through NMDARs. Further investigations demonstrated that gp120 increased the EPSCs mediated by extrasynaptic NR2BRs. Taken together, these results demonstrate that gp120 interacts with both NR2A and NR2B subtypes of NMDARs with a predominant action on the extrasynaptic NR2B, implicating a role NR2B may play in HIV-1-associated neuropathology.

Keywords HIV · Synaptic transmission · CA1 · Glutamate receptors · Neurodegeneration

Introduction

Brain infection with human immunodeficiency virus type 1 (HIV-1) often provokes neurocognitive impairment termed collectively as HIV-1-associated neurocognitive disorders (HAND) (Antinori et al. 2007). The severity of HAND can vary, from asymptomatic to mild neurocognitive impairment and in its most severe form, a debilitating dementia commonly called HIV-1-associated dementia (HAD) (Antinori et al. 2007; Grant 2008). Although the introduction of combination antiretroviral therapy (cART) has significantly decreased the incidence of HAD, the milder forms of HAND remain prevalent (Heaton et al. 2010; Heaton et al. 2011; Alfahad and Nath 2013). The causes for continuing high rates of HAND in the cART era are uncertain, but multifactorial mechanisms have been proposed including, but not limited to, incomplete viral suppression in the central nervous system (CNS) due to poor CNS penetration of some commonly used antiretroviral drugs, presence of drug-resistant viral stain, possible neurotoxicity of cART and the possibility that even very low levels of viral replication in the CNS could induce neural injury or dysfunction due to a prolonged exposure to neuro-

✉ Yan Zhou
yanzhou_412@sina.com

✉ Huangui Xiong
hxiong@unmc.edu

¹ Department of Pharmacology and Experimental Neuroscience, University of Nebraska Medical Center, Omaha, NE 68198-5880, USA

² Department of Pharmacology, Guangxi Medical University, Nanning, Guangxi 530021, People's Republic of China

inflammatory responses and neurotoxic viral proteins (Heaton et al. 2011; Jaeger and Nath 2012; Gates and Cysique 2016). Among the viral proteins is HIV-1 envelope glycoprotein 120 (gp120) that has been implicated as having neurotoxic effects in the CNS (Zhang et al. 2011; Hoefler et al. 2015).

Gp120 is a viral product with neurotoxic activity in HIV-1-infected brains. Shed off from virions and/or secreted from HIV-1-infected mononuclear phagocytes, gp120 has the potential to diffuse and interact directly with surrounding and distant neural cells possibly through the chemokine receptor CXCR4 (Kaul et al. 2001). Alternatively, it may act indirectly on local and distant neural cells by stimulating uninfected mononuclear phagocytes (brain perivascular macrophages and microglia) to release soluble cellular factors (Nath 2002). Studies have demonstrated that gp120 induces neuronal apoptotic death *in vitro* at very low (picomolar) concentrations and its neurotoxic effects were dose-dependent with and without the presence of glial cells (Meucci and Miller 1996; Kaul and Lipton 1999). Indeed, the gp120-induced apoptosis has been observed in both human neurons and rat neuronal cultures (Meucci and Miller 1996; Lannuzel et al. 1997; Acquas et al. 2004). When administered systematically in rats, gp120 produced not only neuronal apoptosis but cognitive deficit as well (Glowa et al. 1992). Moreover, transgenic mice constitutively overexpressing gp120 in astrocytes in the brain display a spectrum of neuronal and glial changes resembling abnormalities in the brains of HIV-1-infected patients with HAND (Toggas et al. 1994; Hoefler et al. 2015). Nevertheless, gp120 has been implicated in HIV-1-associated neurotoxic activity and HAND pathogenesis via multiple mechanisms, prominent among the mechanisms is its interaction with N-Methyl-D-Aspartate (NMDA) receptors (NMDAR) (Kaul et al. 2001; Kaul 2008).

Functional NMDARs are multimeric assemblies composed of at least one obligatory NR1 subunit (Dingledine et al. 1999; Cull-Candy et al. 2001) and two or more NR2 subunits (Behe et al. 1995). The NR1 subunit is ubiquitously expressed through the CNS and contains the glycine-binding site (Kuryatov et al. 1994). The NR2 subunits (A, B, C and D) contain the glutamate-binding site and their expression is time- and tissue-specific (Monyer et al. 1994). It has been shown that the HIV-1-associated neuronal injury and death can be attenuated or prevented by NMDAR antagonists, suggesting an involvement of NMDAR in HIV-1-associated neurotoxicity (Muller et al. 1996; Kaul et al. 2001; Anderson et al. 2004; O'Donnell et al. 2006; Shin et al. 2012). However, most of previous studies have focused on an indirect mechanism for HIV-1/gp120-associated neurotoxicity, that is, HIV-1-infected or gp120-stimulated mononuclear phagocytes release soluble molecules leading to NMDAR activation and resultant neuronal injury (O'Donnell et al. 2006; Yang et al. 2013). Relative fewer studies have investigated direct interactions

of gp120 and NMDAR-mediated synaptic transmission. To these ends, we examined effects of gp120 on NMDAR-mediated excitatory postsynaptic currents (EPSCs) recorded in the CA1 neurons of rat hippocampal slices using whole-cell patch recording technique. Our results revealed that gp120 enhanced NMDAR-mediated EPSCs via a presynaptic mechanism.

Materials and Methods

Animals

Male Sprague-Dawley rats (15 to 35 d old), purchased from Charles River Laboratories (Wilmington, MA) were used for preparation of hippocampal brain slices. Animals were housed at a constant temperature (22 °C) and relative humidity (50%) under a regular light-dark cycle (light on at 7:00 AM and off at 5:00 PM) with free access to food and water. All animal use procedures were strictly reviewed by the Institutional Animal Care and Use Committee (IACUC) of the University of Nebraska Medical Center (IACUC # 13-069-10 EP).

Chemical and Biological Reagents

Drugs used in this study were HIV-1gp120 IIIB (Immunodiagnostics, Inc. Woburn, MA), T140 was kindly provided by Professor Nobutaka Fujii (Kyoto, Japan). 6-cyano-7-nitroquinoxaline-2,3-dione (CNQX), 2-amino-5-phosphonovalerate (APV), (*R*)-3-(2-Carboxypiperazin-4-yl)propyl-1-phosphonic acid (R-CPP), ifenprodil, tetrodotoxin (TTX) and picrotoxin were purchased from TOCRIS Bioscience (Ellisville, MO). Picrotoxin was dissolved in dimethyl sulfoxide (DMSO) and the final DMSO concentration in artificial cerebrospinal fluid (ACSF) was less than or equal to 0.1%. TTX, CNQX, APV, R-CPP, and ifenprodil were prepared separately in 1000× stock solutions and stored at −20 °C refrigerator, thawed on experimental day just before use and diluted to the test concentrations. Drugs were applied onto brain slices via bath perfusion or added to the culture media. For bath perfusion, the time needed for a drug to reach the chamber was about 1 min. Unless otherwise indicated, all other chemicals were purchased from Sigma-Aldrich (St. Louis, MO).

Hippocampal Brain Slices and Electrophysiology

Rat hippocampal brain slices were prepared as previously described (Xiong et al. 1996). Briefly, animals were anesthetized with isoflurane and decapitated, and brains were quickly removed from the cranial cavity. The brain was quickly removed from cranial cavity and placed into an ice-cold (~4 °C) oxygenated ACSF contained (in mM): NaCl 124.0,

KCl 3.0, CaCl₂ 2.0, MgCl₂ 2.0, NaH₂PO₄ 1.25, NaHCO₃ 26.0, glucose 10.0 and TTX 0.1 μM. ACSF was saturated with 95% O₂ and 5% CO₂ and had a pH of 7.4–7.5. The hippocampi were dissected free, and transverse hippocampal slices (400 μm in thickness) were cut using a tissue chopper. The slices were then incubated in oxygenated ACSF at room temperature for at least 1 h before being transferred into a recording chamber. During electrophysiological experiments, a single hippocampal slice was transferred into the recording chamber each time and superfused with ACSF at a constant flow rate of 2.5 ml/min with the use of a peristaltic pump (Rainin Instrument Co., Woburn, MA). The temperature of the perfusion was maintained at 30 ± 1 °C with an automatic temperature controller (Warner Instrument Corp., Hamden, CT). To prevent epileptic activities, a surgical cut was made between CA1 and CA3 areas after the slice was transferred to the recording chamber.

Whole-cell patch clamp recordings were made from CA1 neurons using a “blind” method with microelectrodes (5.0–9.0 MΩ) filled with (in mM): K-gluconate 130.0, K-methanesulphate 17.5, NaCl 8.0, HEPES 10.0, Mg-ATP 2.0, GTP 0.2, EGTA 0.1, pH 7.25–7.35 (adjusted with KOH), osmolality 292–308 mOsm. The recording microelectrodes were made from borosilicated glass capillaries (WPI, Sarasota, FL) with inner filaments that allow for quick back-filling. In the CA1 pyramidal cell layer, the neuronal cells recorded were voltage-clamped at -70 mV and excitatory postsynaptic currents (EPSCs) were evoked by a constant current stimulation (30–120 μA in intensity, 20 μsec in duration, 0.05 Hz) of Schaffer collateral fibers using an insulated bipolar tungsten electrode (except for the tip) and amplified by an Axopatch-1D amplifier (Molecular Devices, Union City, CA). To eliminate the possibility that gp120 activates inhibitory interneurons, all experiments were conducted in the presence of 50 μM picrotoxin. The NMDAR-mediated EPSCs (EPSC_{NMDAR}) were recorded at holding potential of -50 mV in low-Mg²⁺ (0.5 mM), high-Ca²⁺ (2.5 mM) ACSF supplemented with glycine (1 μM) and CNQX (10 μM), and the isolated EPSC_{NMDAR} was confirmed by AP-5 (50 μM) reversible blockade. AMPAR-mediated EPSCs (EPSC_{AMPA}) were recorded at holding potential of -70 mV in the presence of AP-5 (50 μM) in ACSF, and the isolated EPSC_{AMPA} was confirmed by CNQX (10 μM) reversible blockade. The isolation of NR2A-containing NMDAR (NR2AR)-mediated EPSCs (EPSC_{NR2AR}) or NR2B-containing NMDAR (NR2BR)-mediated EPSCs (EPSC_{NR2BR}) was achieved by using a specific NR2AR antagonist R-CPP (Feng et al. 2005) or a specific NR2BR antagonist ifenprodil (Williams 1993), respectively. The spontaneous mini EPSCs (mEPSCs) were recorded in gap-free mode with the holding potential of -70 mV for recording mEPSC_{AMPA} or -50 mV for recording mEPSC_{NMDAR}. Paired-pulse facilitation (PPF) of EPSC_{NMDAR} was recorded

from the CA1 neurons in rat hippocampal slices in low-Mg²⁺ (0.5 mM), high-Ca²⁺ (2.5 mM) ACSF supplemented with glycine (1 μM) and AMPA receptor antagonist CNQX (10 μM). The cells recorded were voltage-clamped at -50 mV. The PPF ratio (PPFR) was calculated as the percentage (%) by dividing the peak amplitude of the first EPSC_{NMDAR} from the amplitude of the second EPSC_{NMDAR}.

A 5 to 10 min control recording was made in each experiment once the adjustment of stimulation parameters had been achieved after rupture of the cell membrane. Cells with resting membrane potential more negative than -55 mV were used for the study. Each recording trial consisted of an average of three consecutive sweeps. The evoked signals were filtered at 1 kHz and digitized at 2.5 or 5.0 kHz using a Digidata 1440A interface (Molecular Devices). pCLAMP 10 software (Molecular Devices) was used for data acquisition and analyses. The series resistance was constantly monitored by delivering a hyperpolarizing voltage pulse during recording and the cells with >20% changes in access resistance were excluded from the analysis.

Primary Hippocampal Neuronal Culture

Hippocampal neuronal cultures were prepared from the embryonic (E18) Sprague-Dawley rats (Charles River Laboratories) using the methods described previously (Blair et al. 2008). Briefly, female rats with 18–19 days of gestation were anesthetized with isoflurane, and embryonic pups were surgically dissected out and decapitated. Hippocampi were harvested under sterile conditions. The hippocampal tissue was enzymatically dissociated in 0.125% trypsin II (Sigma-Aldrich). Hippocampal neural cells were isolated and placed in poly-D-lysine-coated 35 mm plastic culture dishes contained 2 ml of neurobasal medium to a culture cell density of 5 × 10⁵ cells/ml. The cultures were maintained in neurobasal medium supplemented with B27 (2%, v/v, Invitrogen, San Diego, CA), L-glutamine (0.5 mM) and 1% penicillin/streptomycin for at least 7–10 days before being used for experiments.

Calcium Imaging

Rat fetal hippocampal neurons were plated on poly-d-lysine-coated 25-mm glass coverslips and cultured in neurobasal medium for 14 days before being used for calcium imaging. On the experimental day, medium was removed and cells were loaded with 7 μM Fura-II AM (Molecular Probes, Eugene, OR, USA) in Mg²⁺-free external solution contained (in mM) 145 NaCl, 5 KCl, 2 CaCl₂, 10 HEPES, and 10 glucose, pH 7.4 with NaOH and supplemented with 0.018% pluronic acid (Molecular Probes) and 0.09% dimethyl sulfoxide (DMSO) for 40–50 min at 37 °C. Then cells were rinsed 4 times with external solution, mounted into a perfusion chamber, and

perfused with the external solution at room temperature. Fields including 10–20 cells were selected, and the change in intracellular calcium level was measured by monitoring the excitation intensity at 340 nm and 380 nm wavelengths using a photometer (Photon Technology International, London, ON, Canada) coupled to an inverted Nikon TMD Diaphot epifluorescent microscope (Nikon, Melville, NY, USA). All measurements were made at room temperature. Each cell in the image was analyzed independently for each time point in the captured sequence. Intracellular calcium of the cell was presented by the ratio of fluorescent signals (F340/F380). During experiments, neurons were continuously perfused with external solution containing 0.1 μ M TTX and 1 μ M glycine at a flow rate of 2 ml/min. Drugs (glutamate, GP120, CNQX, APV) were applied through four parallel tubes connected to the perfusion chamber. The intracellular calcium concentrations was calculated as follows: $[Ca^{2+}]_i = K_d [(R - R_{min}) / (R_{max} - R)] (380_{min} / 380_{max})$, where R_{min} and R_{max} are the fluorescence ratios in the absence (with 3 mM EGTA) or presence of saturating calcium (3 mM), respectively, and $K_d = 224$ nM. Excitation control, image acquisition and outline analyses of images were performed using a PTI Deltascan system as described previously (Whitney et al. 2008).

Data Analyses

Data were analyzed and displayed using Clampfit 10 (Molecular Devices), Mini Analysis Program (Synaptosoft Inc., Decatur, GA) and Origin 8.5 (Northampton, MA). All numerical data were expressed as mean \pm SEM unless otherwise indicated. Statistical significance was determined using ANOVA for a multi-group data (Figs. 3, 7 and 8), or two-tailed Student's *t*-tests (the rest data). The level of significance was determined at $p < 0.05$.

Results

Enhancement of EPSCs by gp120 in Hippocampal Slices

To understand whether gp120 alters synaptic transmission, we examined its effects on synaptic transmission by analyzing the EPSCs recorded in the Schaffer-collateral to the CA1 synapses. Bath application of gp120 (200pM) produced a significant increase of EPSCs with average peak EPSC amplitudes of $174.36 \pm 6.74\%$ of basal level ($n = 8$, Fig. 1). The differences were statistically significant ($p < 0.001$) in comparison with the average EPSC amplitude recorded in control group, demonstrating gp120 enhancement of EPSCs in hippocampal slices. The gp120-induced increase of EPSCs usually occurred 4–5 min after gp120 reached the recording chamber, peaked at 10–15 min and washed out in 20–25 min. The short

latency of onset of gp120 increase of EPSCs suggests a possible direct effect of gp120 on neuronal cells recorded.

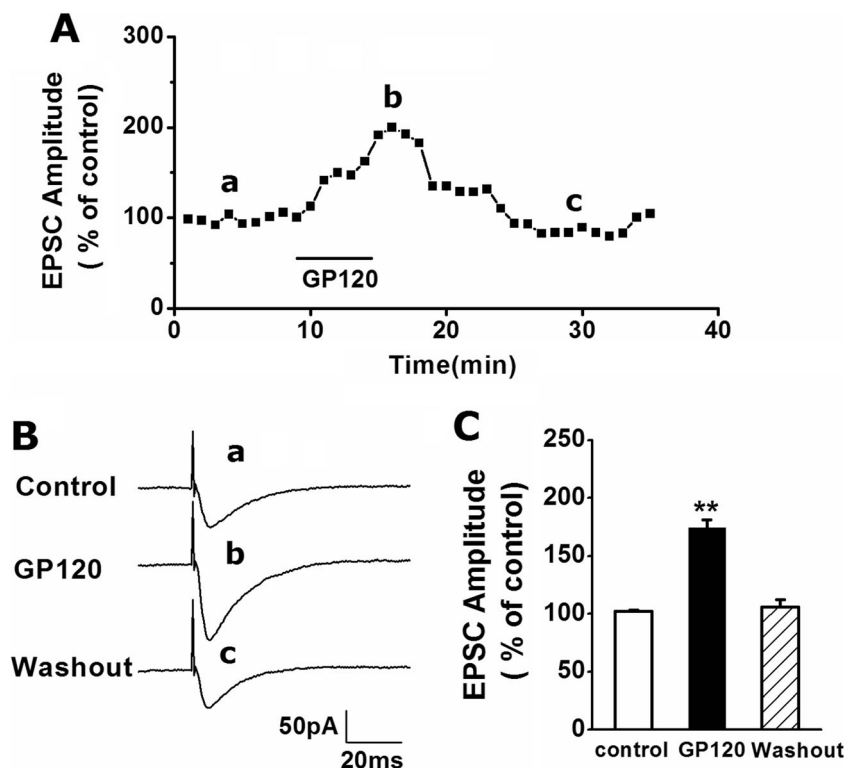
Gp120 Enhanced EPSC_{NMDAR}, but not EPSC_{AMPA}

As the excitatory synaptic transmission in the CA1 region of hippocampus is mainly glutamatergic, composed of AMPAR-mediated EPSCs (EPSC_{AMPA}) and NMDAR-mediated EPSCs (EPSC_{NMDAR}). We next examined the effects of gp120 on pharmacologically isolated EPSC_{AMPA} and EPSC_{NMDAR}. Bath application of gp120, at concentrations of 200pM and 400pM, had no significant effect on EPSC_{AMPA} in the presence of a specific NMDAR antagonist APV (50 μ M) in the perfusate (Fig. 2). In contrast, it significantly increased EPSC_{NMDAR} in a concentration-dependent manner when CNQX (10 μ M), a specific AMPAR antagonist, was added to the perfusate (Fig. 3a). At concentrations of 100pM, 150pM and 200pM, gp120 significantly ($p < 0.05$) increased amplitude of EPSC_{NMDAR} to $126.54 \pm 4.73\%$ ($n = 5$), $174.59 \pm 6.28\%$ ($n = 6$) and $184.46 \pm 9.37\%$ of control ($n = 9$), respectively. Among the different concentrations tested, 200pM exhibited a robust enhancement effect on EPSC_{NMDAR} (Fig. 3b). The average normalized EPSC_{NMDAR} amplitudes (% of control) measured before (Ctrl), during bath perfusion gp120 (GP120), gp120 + T140(50 nM)(GP120 + T140), and after washout of GP120 + T140 (washout) were $102.79 \pm 9.46\%$, $184.46 \pm 9.37\%$, $157.08 \pm 2.90\%$ and $122.48 \pm 6.31\%$, respectively ($n = 9$, $p < 0.05$, Fig. 3D). At 200pM, gp120 also increased input-output (I-O) responses mediated by NMDARs in response to an electrical stimulation of Schaffer collateral fibers (Fig. 3c). The gp120-induced increase of NMDAR-mediated EPSCs and I-O responses was partially blocked by T140 (50 nM, $n = 9$, $p < 0.05$), a specific CXCR4 receptor blocker (Figs. 3a, c, d), suggesting that gp120 interacts with chemokine receptor CXCR4.

Presynaptic Site of gp120 Action

To determine the site of action, we analyzed the effects of gp120 (200pM) on spontaneous min EPSC_{NMDAR} (mEPSC_{NMDAR}) and spontaneous mini EPSC_{AMPA} (mEPSC_{AMPA}) isolated pharmacologically as described in Figs. 2 and 3. Bath application of gp120 had neither effect on the amplitude or the frequency of mEPSC_{AMPA} ($n = 9$, $p > 0.05$, Fig. 4), which was consistent with its effect on EPSC_{AMPA} as shown in Fig. 2. In contrast, gp120 significantly enhanced the frequency of mEPSC_{NMDAR} without significant change of the amplitude of mEPSC_{NMDAR}. When applied via bath perfusion, gp120 increased the frequency of mEPSC_{NMDAR} from 28.85 ± 5.03 events/per min to 58.67 ± 6.13 events/per min. Addition of 50 nM T140 to the bath reduced mEPSC_{NMDAR} frequency to 45.45 ± 4.78 event/

Fig. 1 Gp120 enhancement of EPSCs in the CA1 region of rat hippocampal slices. **a** A representative time course illustrating bath application of gp120 (200pM), indicated by a horizontal bar, increased the EPSC amplitudes recorded in a CA1 neuron. The graph plots normalized amplitudes of the EPSCs evoked in response to constant current stimulation of Schaffer-collateral fibers (120 μ A, 20 μ s, 0.05 Hz). Each point in this graph is an average of 3 consecutive EPSCs. **b** Representative individual EPSCs taken from different time points as indicated by letters *a*, *b* and *c*. **c** Summary data ($n = 8$) showing average EPSC peak amplitudes before (control), during (gp120) and after (washout) bath application of gp120. Note gp120 significantly increased amplitude of EPSCs. ** $p < 0.001$ vs. Ctrl



per min ($n = 9$, $p < 0.05$, Figs. 5A, B), indicating an involvement of CXCR4 in gp120 enhancement of mEPSC_{NMDAR}. The average amplitudes of mEPSC_{NMDAR} before and during

bath perfusion of gp120 were 10.41 ± 1.97 pA and 10.17 ± 1.62 pA, respectively. The difference was not statistically significant indicating gp120 had no significant effect on amplitude of mEPSC_{NMDAR} ($n = 9$, $p > 0.05$, Figs. 5A, C). As gp120 increased mEPSC_{NMDAR} frequency without significant effect mEPSC_{NMDAR} amplitude, suggesting a presynaptic site of gp120 action, we conducted paired-pulse facilitation (PPF) tests in the Schaffer-collateral to the CA1 synapses. The EPSC_{NMDAR} was evoked in response to a pair of electrical stimuli separated by an interval of 150 ms and then the paired-pulse facilitation ratio (PPFR) was calculated. Our data showed that bath application of gp120 significantly increased the PPFR of EPSC_{NMDAR} from $79.64 \pm 2.9\%$ to $132.62 \pm 1.78\%$ ($n = 8$, $p < 0.05$, Figs. 6a, b). These results further demonstrated that gp120 acts on a presynaptic site.

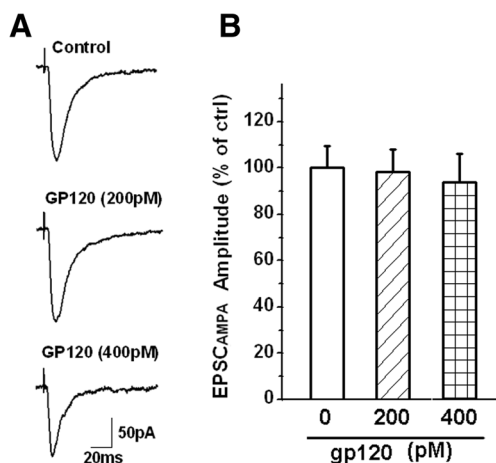


Fig. 2 GP120 has no significant effect on EPSC_{AMPAR}. EPSC_{AMPAR} was recorded in the presence of a specific NMDA receptor antagonist APV (50 μ M) in the perfusate. The recorded EPSC_{AMPAR} can be blocked by addition of a specific AMPAR antagonist CNQX (10 μ M) to the bath. **A**. Representative EPSC_{AMPAR} current traces recorded from a CA1 pyramidal neuron in a rat hippocampal slice showing the amplitudes of EPSC_{AMPAR} were not altered by bath application of gp120 at a concentration of 200pM ($n = 9$), or even at 400pM ($n = 5$). Each trace shown was an average of consecutive 10 evoked EPSC_{AMPAR}. **B**. A summary bar graph illustrating average EPSC_{AMPAR} amplitudes measured before (Ctrl) and during bath perfusion of gp120 (gp120). Note that gp120 failed to alter the EPSC_{AMPAR} amplitudes at either 200pM ($n = 9$) or 400pM ($n = 5$)

GP120 Had Stronger Effects on Enhancing EPSC_{NR2BR} than EPSC_{NR2AR}

The major NR2 subtypes of NMDARs in the hippocampus are NR2A and NR2B. To evaluate the contributions of NR2ARs and NR2BRs to gp120-induced increase of EPSC_{NMDAR}, we examined NR2BR antagonist ifenprodil (10 μ M, which has a high affinity for NR2BRs with an $IC_{50} = 0.34$ μ M and $IC_{50} = 146$ μ M for NR2ARs)(Williams 1993), and NR2AR antagonist R-CPPE (1 μ M), which has ~7-fold greater selectivity for NR2ARs

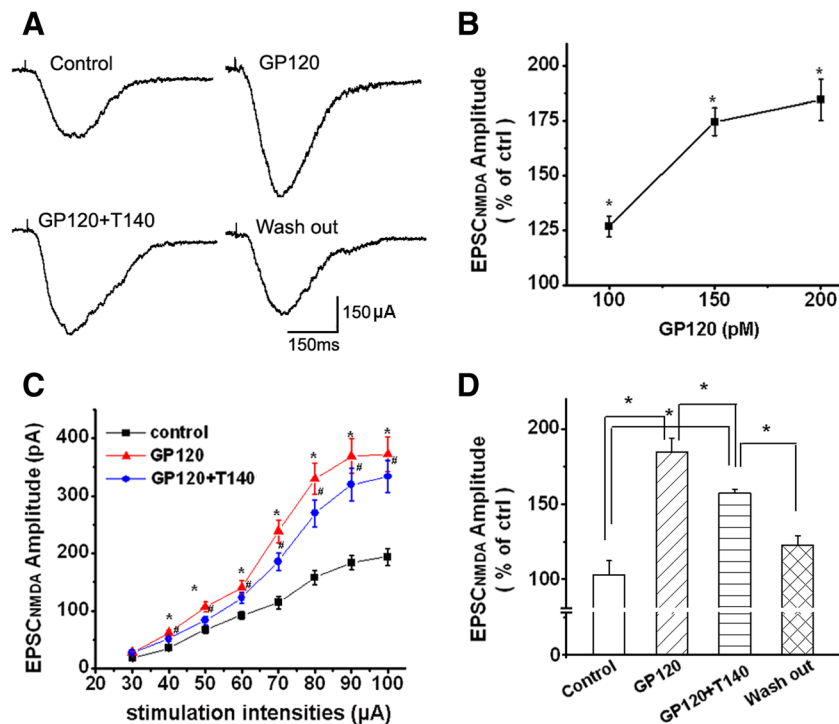


Fig. 3 Gp120 enhancement of EPSC_{NMDAR} via CXCR4. **a** Exemplary whole-cell current traces illustrating gp120 (200pM) increase of EPSC_{NMDAR} recorded from a CA1 neuron in a rat hippocampal slice before (Ctrl), during bath perfusion of gp120 (gp120), gp120 + T140 (50 nM) (gp120 + T140), or after washout of gp120 + T140 (washout). Note that bath application of gp120 produced an increase of EPSC_{NMDAR} (upper right), addition of T140 to the bath partially inhibited gp120-induced increase of EPSC_{NMDAR} (lower left) and the EPSC_{NMDAR} returned to the control level after washout of gp120 + T140 (lower right). **b** A dose-responsive curve showing that gp120 increased EPSC_{NMDAR} in a dose-dependent manner. **c** The input/output curve

shows that gp120 significantly increased the amplitude of EPSC_{NMDAR} evoked by an electric stimulation on Schaffer collateral fibers with different intensities (30 µA ~ 100 µA) and that the gp120-induced increase of the EPSC_{NMDAR} amplitudes were partially inhibited by T140 (*n* = 9, **p*<0.05 vs ctrl, #*p*<0.05 vs gp120. **d** Bar graph shows the average of normalized EPSC_{NMDAR} amplitudes measured before (Ctrl), during bath application of gp120 (gp120), during bath application of gp20 + T140 (GP120 + T140), or after washout of gp120 + T140 (washout). Values are the means ± SEM, *n* = 9, **p*<0.05 as determined by one-way ANOVA

compared with NR2BRs (Feng et al. 2005), on their abilities to block gp120-induced increase of EPSC_{NR2BR} and EPSC_{NR2AR}, respectively. In the presence of ifenprodil or R-CPP in the perfusate, bath application of gp120 produced an increase of EPSC_{NR2AR} to 128.61 ± 18.64% of control (*n* = 11, *p* < 0.05 vs control, Figs. 7a, b) or EPSC_{NR2BR} to 159.25 ± 22.77% of control (*n* = 8, *p* < 0.05 vs control, Figs. 7c, d), respectively. The difference between the peak amplitudes of EPSC_{NR2BR} and EPSC_{NR2AR} was statistically significant (*p* < 0.05), demonstrating that GP120 had a stronger effect on enhancing EPSC_{NR2BR} than EPSC_{NR2AR} (Fig. 7).

Evidence for GP120 Activation of exNR2BR

It has been proposed that the location of NMDARs makes the key difference: survival-promoting signals derive from synaptic NMDARs, which consist of predominant NR2ARs, whereas a cell-death signal comes from extrasynaptic NMDARs, which contain mostly NR2BRs (Tovar and Westbrook 1999; Hardingham et al. 2002;

Liu et al. 2007). To determine if gp120 enhancement of EPSC_{NR2BR} was mediated via extrasynaptic NR2BR (exNR2BR), we blocked synaptic NMDAR with an irreversible, use-dependent NMDAR channel blocker MK801 (70 µM) applied to the slices via bath perfusion. During 5–10 min bath perfusion of brain slices with MK801, repeated stimulation (30 µA, 1 Hz) of Schaffer collateral fibers to evoke glutamate release and activate synaptic NMDAR, creating an environment for MK801 to block these synaptic NMDAR. After MK801 blockade of synaptic NMDAR, we increased stimulation intensities from 30 µA to 120 µA (0.05 Hz) to elicit exNR2BR-mediated EPSC (EPSC_{exNR2BR}) which was blocked by ifenprodil (Figs. 8b, c). As shown in Fig. 8, gp120 retained its ability to enhance EPSC_{exNR2BR} to 187.46 ± 15.62% of control (*n* = 8, *p* < 0.05 vs control, Figs. 8b, c) after the synaptic NMDAR were blocked by MK801. Gp120 enhancement of EPSC_{exNR2BR} was significantly attenuated by T140 to 159.44 ± 14.68% of control (*n* = 8, *p* < 0.05 vs control, Figs. 8b, c), indicating gp120 increased EPSC_{exNR2BR} via CXCR4 receptors.

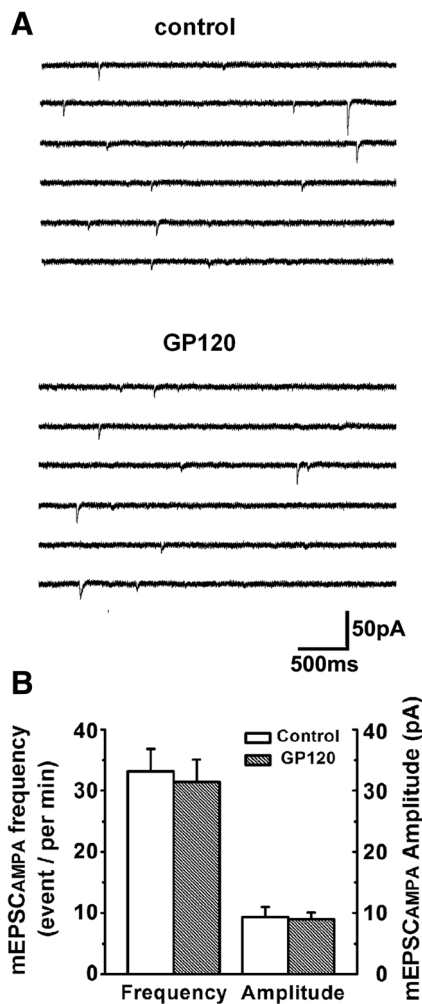


Fig. 4 Gp120 failure on alteration of spontaneous mini EPSC AMPAR (mEPSC_{AMPA}). **a** Representative traces of mEPSC_{AMPA} recorded from a CA1 neuron in a rat hippocampal slice in the presence of NMDA receptor antagonist AP-V (50 μ M) in the perfusate. Addition of gp120 to the perfusate failed to alter mEPSC_{AMPA}. **b**. Bar graph showing average event frequency and amplitude of mEPSC_{AMPA} were not significantly changed after addition of gp120 (200pM) to the perfusate ($n = 9$, $p > 0.05$ vs control)

Gp120 Increased Intracellular Ca^{2+} Concentration $[Ca^{2+}]_i$ via NMDARs

Studies have demonstrated that over-activation of NMDA receptors is a primary cause of excitotoxicity because of its high permeability to Ca^{2+} (Choi 1988; Lipton 1994). To determine if gp120 enhancement of EPSC_{NMDAR} is associated with an increase of $[Ca^{2+}]_i$, we examined if bath application gp120 could increase glutamate (Glu)-induced increase of $[Ca^{2+}]_i$ on primary rat hippocampal neuronal cultures. Our results showed that Glu (100 μ M) caused an increase of $[Ca^{2+}]_i$, determined by the ratio of fluorescent signals (F340/F380), and addition of gp120 (200pM) produced a further increase of $[Ca^{2+}]_i$ ($n = 12$, Fig. 9A, B). In the presence of AMPAR blocker CNQX in the perfusate, gp120 remained its ability

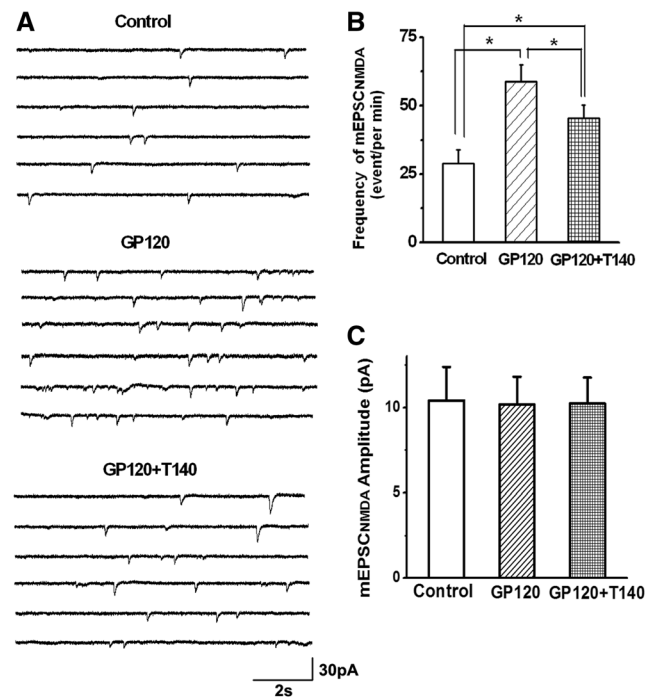


Fig. 5 Gp120 increase of frequency, but not amplitude, of mEPSC_{NMDAR}. **a** Representative traces of mEPSC_{NMDAR} were recorded before (Ctrl), during bath perfusion of 200pM (gp120), and during bath perfusion of gp120 and T140 (gp120 + T140). Data showed that the frequency of mEPSC_{NMDAR} was increased by bath application of gp120, which was partially blocked by addition of T140 to the bath. **b-c** Bar graph illustrates that gp120 increased the mean frequency of mEPSC_{NMDAR}, but not the mean amplitude, suggesting a presynaptic site for gp120 action. The gp120-associated increase of mean frequency was attenuated by T140, a specific CXCR4 antagonist, indicating gp120 may interact with chemokine receptor CXCR4. Graphed values are the means \pm SEM, $n = 9$, $*p < 0.05$ as determined by one-way ANOVA test

to enhance Glu-induced increase on $[Ca^{2+}]_i$, indicating such an increase of $[Ca^{2+}]_i$ was mediated via NMDARs ($n = 12$, Figs. 9a, b). However, gp120 failed to enhance Glu-induced increase of $[Ca^{2+}]_i$ in the presence of NMDAR antagonist in the perfusate, suggesting AMPARs were not involved in gp120-associated increase of $[Ca^{2+}]_i$ ($n = 12$, Figs. 9a, b). These results clearly indicate that gp120 interacts with NMDARs.

Discussion

In the present study we demonstrated that bath application of gp120 increased the amplitude of electrically evoked EPSCs in the CA1 region of rat hippocampal slices. We then isolated EPSC_{AMPA} and EPSC_{NMDAR} pharmacologically and revealed that gp120 enhanced the amplitude of EPSC_{NMDAR} in a dose-dependent manner without significant effects on EPSC_{AMPA}. Such an enhancement of EPSC_{NMDAR} was significantly attenuated by T140, a specific CXCR4 receptor

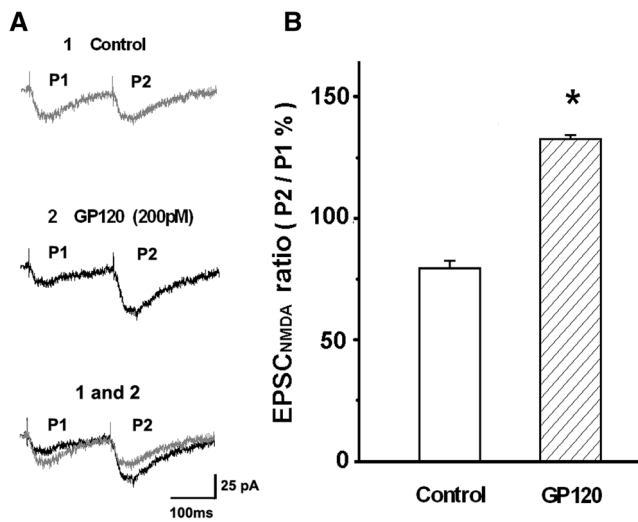


Fig. 6 Gp120 increased paired-pulse facilitation (PPF) of EPSC_{NMDAR}. Paired-pulse facilitation of EPSC_{NMDAR} was generated by paired-pulse stimulations with inter-stimulus pulse interval of 150ms. The paired-pulse ratio was calculated by dividing the first EPSC_{NMDAR} peak amplitude from the second EPSC_{NMDAR} peak amplitude. **a** Representative PPF traces of EPSC_{NMDAR} were recorded from a CA1 neuron in a rat hippocampal slice in the presence of glycine (1 μM) and AMPA receptor antagonist CNQX (10 μM) before (Ctrl) and during bath perfusion of gp120 (gp120). **b** Summarized bar graph illustrating averaged paired-pulse ratio of EPSC_{NMDAR} were significantly elevated by bath application of gp120 (200pM). *n* = 8 * *p* < 0.05 vs Ctrl

antagonist, indicating gp120 enhancement of EPSC_{NMDAR} via CXCR4 receptors. Paired-pulse facilitation analysis showed gp120 increase of the facilitation ratio of EPSC_{NMDAR}, suggesting a presynaptic site of gp120 action. This suggestion was supported by another set of experiments that bath application of gp120 increased the occurrence frequency of mEPSC_{NMDAR} without change on the amplitude of mEPSC_{NMDAR}. Further studies unraveled that gp120 increased both EPSC_{NR2AR} and EPSC_{NR2BR} with significant stronger effect on EPSC_{NR2BR} than EPSC_{NR2AR}. Using an experimental protocol to block synaptic NMDARs (Thomas et al. 2006; Yang et al. 2013), we revealed that gp120 significantly increased extra-synaptic EPSC_{NMDAR} which can be blocked by a NR2BR antagonist ifenprodil, demonstrating for the first time, to our knowledge, that gp120 acts on extra-synaptic NR2BRs.

HAND is believed to result from the complex interactions of both viral and cellular factors with direct and indirect mechanisms of neurotoxicity. Gp120 is one of viral neurotoxins implicated as an important factor in the pathogenesis of HAND. Gp120 is toxic to neural cells and can promote detrimental alterations on synaptic transmission and plasticity, leading to an impairment of cognitive function (Dreyer and Lipton 1995; Lannuzel et al. 1997; Dong and Xiong 2006). Studies have shown that gp120 causes neuronal damage through multiple pathways including direct interactions with neurons via cell surface

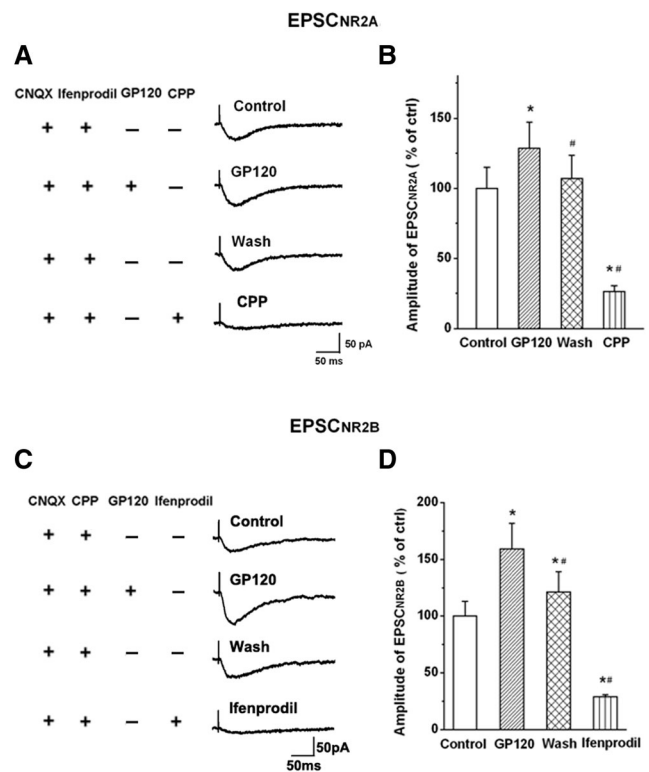


Fig. 7 Gp120 enhancement of EPSC_{NR2AR} and EPSC_{NR2BR}. **a** EPSC_{NR2AR} was isolated by addition of AMPAR antagonist CNQX (10 μM) and NR2BR antagonist ifenprodil (10 μM) to the perfusate. Exemplary EPSC_{NR2AR} traces were recorded in a CA1 neuronal cell before (Ctrl), during (gp120), after (washout) bath perfusion of gp120, and subsequent pharmacological confirmation of EPSC_{NR2AR} by a specific NR2AR blocker R-CPP (CPP). Note that bath perfusion of gp120 increased EPSC_{NR2A} and the EPSC_{NR2A} returned to control level after washout of gp120. Addition of R-CPP almost completely blocked EPSC_{NR2A}, demonstrating the pharmacologically isolated EPSCs were EPSC_{NR2AR}. **b** EPSC_{NR2BR} was isolated with the addition of AMPAR antagonist CNQX (10 μM) and NR2AR antagonist R-CPP (1 μM) to the perfusate. Exemplary EPSC_{NR2BR} traces were recorded from another CA1 neuronal cell before (Ctrl), during (gp120), after (washout) bath perfusion of gp120, and pharmacological confirmation of EPSC_{NR2BR} by a specific NR2BR blocker ifenprodil (Ifenprodil). **b** and **d** are summary bar graphs showing average amplitudes (% of control) of EPSC_{NR2AR} (**b**) and EPSC_{NR2BR} (**d**) recorded under various experimental conditions as indicated, respectively. Note that gp120 enhanced both EPSC_{NR2AR} (128.61 ± 18.64% of control, *n* = 11, *M* ± *SD*) and EPSC_{NR2BR} (159.25 ± 22.77% of control, *n* = 8, *M* ± *SD*) with a significant stronger effect (*t*₍₁₇₎ = 0.0046; *p* < 0.01) on enhancing EPSC_{NR2BR} and EPSC_{NR2AR}. * *p* < 0.05 vs control; # *p* < 0.05 vs gp120 group

receptors and ion channels (Lannuzel et al. 1995; Medina et al. 1999) or by stimulating mononuclear phagocytes (brain perivascular macrophages and microglia) release of neurotoxic substances, the so-called indirect mechanism, which in turn act on neuronal cells leading to neuronal damage (Xiong et al. 2003; O'Donnell et al. 2006; Yang et al. 2013). It is widely accepted that a major pathway by which gp120 causes neuronal injury is via activation of NMDARs and resultant raising intracellular

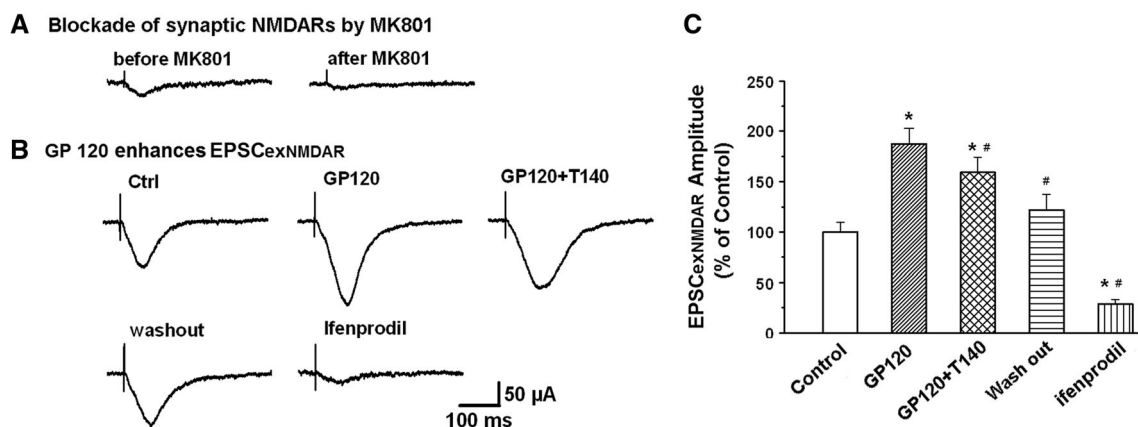


Fig. 8 Gp120 interacts with extrasynaptic NR2BRs (exNR2BRs). **a** Blockade of synaptic EPSC_{NMDAR} by MK801 (70 μ M, an open NMDAR channel blocker) combined with a 10 min low frequency (0.05 Hz) stimulation of Schaffer-collateral fiber protocol. **b** Exemplary EPSC_{NMDAR} traces recorded in different experimental conditions after synaptic NMDARs were blocked by MK801 as shown in **a**. Note addition of gp120 enhanced EPSC_{NMDAR} which was most likely mediated via an interaction with extrasynaptic NMDARs. Since NMDARs in the hippocampus are composed mainly of NR2ARs and NR2BRs, the enhancement of EPSC_{NMDAR} by gp120 under the blockade of synaptic NMDARs (predominantly NR2ARs) suggests

that gp120 may act most on exNR2BRs. This suggestion was supported by the experimental results that addition of ifenprodil, a specific NR2BR antagonist, blocked EPSC_{NMDAR}, demonstrating gp120 enhancement of EPSC_{exNR2BR}. The gp120-mediated enhancement of EPSC_{exNR2BR} was attenuated by T140, indicating gp120 interacts with CXCR4. **c** Average EPSC_{exNR2BR} recorded under different experimental conditions as indicated in panel B. Note a significant enhancement of EPSC_{exNR2BR} by gp120 and its attenuation by a CXCR4 receptor blocker T140. These results illustrate that gp120 acts on exNR2BRs. $n = 8$, * $p < 0.05$ vs control, # $p < 0.05$ vs gp120

calcium concentration (Barks et al. 1995; Kaul 2008). Indeed, the involvement of NMDARs in HIV-1-associated neurotoxicity has been demonstrated by experiments that NMDAR antagonists, but not AMPAR antagonists, attenuated or prevented gp120-induced neuronal injury or death (Muller et al. 1996; Kaul et al. 2001). The gp120-associated neurotoxicity observed in vitro has been validated in a transgenic mouse model overexpressing gp120 in astrocytes (Toggas et al. 1994; Toggas and Mucke 1996) and neurodegeneration observed in gp120-transgenic animals was ameliorated by the NMDAR antagonist memantine (Toggas et al. 1996). Besides, gp120 has been shown to trigger NMDAR-mediated cell death in human neurons (Wu et al. 1996; Lannuzel et al. 1997). These results have clearly demonstrated a role for NMDARs in HIV-1-associated neuropathology (Kaul et al. 2001; O'Donnell et al. 2006; Kaul 2008). However, how gp120 alters NMDAR physiology is not fully understood. We found that gp120 increased EPSC_{NMDAR}, but not EPSC_{AMPA}, in hippocampal CA1 neurons. We also found that gp120 potentiated glutamate-mediated Ca²⁺ influx in the presence of specific AMPAR antagonist CNQX, but not in the presence of specific NMDAR antagonist APV, suggesting gp120 potentiates glutamate-induced increase of intracellular Ca²⁺ concentration via NMDARs. As an overload of intracellular Ca²⁺ is widely believed to be toxic to neurons, the increase of EPSC_{NMDAR} and intracellular Ca²⁺ concentration by gp120 may implicate molecular mechanisms for gp120-induced neuronal injury. It is worth pointing out

that our Ca²⁺ imaging experiments were conducted at the room temperature, an experimental condition that can may slow down cellular signaling and responses. Thus, it may be possible to see a greater increase of intracellular Ca²⁺ concentration induced by gp120 at a physiological temperature.

The levels of intracellular Ca²⁺ concentration are, however, not the only determinant of NMDAR-mediated neurotoxicity (Riccio and Ginty 2002). Several lines of evidence indicate the subtype and location of activated NMDARs are key determinants of the nature of NMDAR signaling and resultant physiological and pathophysiological processes (Hardingham et al. 2002; Liu et al. 2007; Hardingham and Bading 2010). It has been proposed that in adult cortex NR2ARs may preferentially target synaptic sites, whereas NR2BRs may target to extra-synaptic sites (Rumbaugh and Vicini 1999; Tovar and Westbrook 1999; Mohrmann et al. 2000; Townsend et al. 2003). While NR2ARs are believed to be important for normal synaptic transmission, NR2BRs are thought to be associated with pathological neuronal damage (Hardingham et al. 2002; Riccio and Ginty 2002; Liu et al. 2007). Indeed, activation of NR2BRs has been shown to induce excitotoxicity and neuronal degeneration (Hardingham et al. 2002; Liu et al. 2007) and NR2BR has been implicated in several types of synaptic signaling, modulation of learning and memory processing (Tang et al. 1999), and in a number of neurodegenerative disorders in humans (Loftis and Janowsky 2003). A previous study has shown that the conditioned media recovered from gp120-stimulated human monocyte-derived macrophages had much stronger effect on enhancing

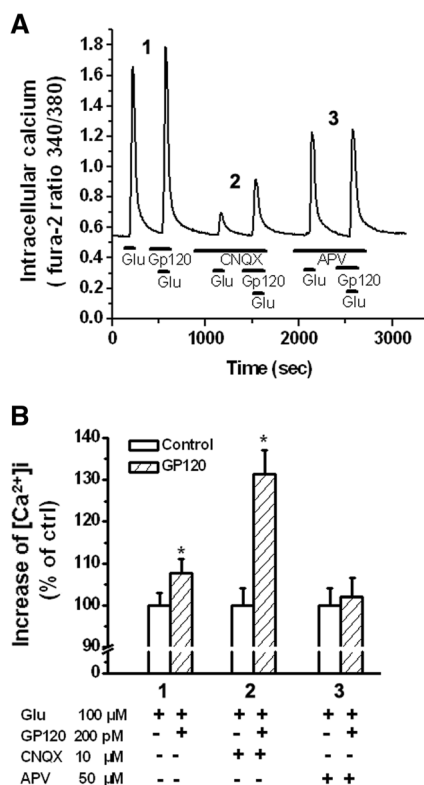


Fig. 9 Gp120 potentiation of glutamate-induced increase of $[Ca^{2+}]_i$ via NMDARs. **A.** An example of Ca^{2+} imaging study showing gp120 potentiation of glutamate (Glu)-induced Ca^{2+} influx via NMDARs in a cultured rat hippocampal neuron. Note that gp120 produced an increase of $[Ca^{2+}]_i$ in the presence of an AMPAR antagonist CNQX (10 μ M). The gp120-associated potentiation disappeared when NMDARs were blocked by addition of a specific NMDAR antagonist APV, demonstrating that gp120 interacts with NMDARs. **B.** Bar graph shows average $[Ca^{2+}]_i$ in different experimental conditions and illustrates gp120 potentiation of Glu-induced increase of $[Ca^{2+}]_i$ via NMDARs. Experiments were done in triplicates. $n = 12$, * $p < 0.05$ vs control

EPSC_{NR2BR} than EPSC_{NR2AR} in the CA1 neurons of rat hippocampal slices and the enhancement of EPSC_{NR2BR} was associated with the conditioned media-induced neuronal injury observed in cultured rat hippocampal neurons (Yang et al. 2013). In this study, we found that gp120 per se enhanced both EPSC_{NR2AR} and EPSC_{NR2BR} with a significant ($p < 0.01$) stronger effect on EPSC_{NR2BR} than EPSC_{NR2AR}. The pattern of gp120 “preferentially” enhancement of EPSC_{NR2BR} suggests a role for NR2BR subtype of NMDARs in gp120-associated neuropathogenesis. Moreover, when synaptic NMDARs, presumably NR2ARs, were blocked by an irreversible, use-dependent open NMDAR channel blocker MK801 with a low frequency stimulation for 10–15 min, a significant increase of extra-synaptic NR2BRs-mediated EPSC_{NR2BR} by gp120 was also observed, further demonstrating that gp120 interacts with NR2BRs. Given activation of extra-synaptic NR2BRs is a cell death signal (Petralia 2012), the observed gp120 enhancement of extra-synaptic NR2BRs-mediated EPSC_{NR2BR} may implicate

an important molecular mechanism for gp120 neurotoxic activity and pathogenesis of HAND.

Interestingly, gp120-mediated increase of EPSC_{NMDAR} was attenuated by both a CXCR4 antagonist and NMDAR antagonists, suggesting that gp120 interacts with both CXCR4s and NMDARs. As CXCR4 is a co-receptor for HIV-1 cellular infection and the binding of gp120 to CXCR4s is required for HIV-1 entry into the target cells. Besides its interaction with CXCR4s, gp120 has also been shown to interact with NMDARs in the brain causing neuronal injury (Pattarini et al. 1998; Dong and Xiong 2006). Both CXCR4 and NMDARs, in particular the NR2BRs, are expressed at presynaptic terminals (Brasier and Feldman 2008; Larsen et al. 2011; Reaux-Le Goazigo et al. 2012), it is therefore not surprising to get the results that gp120 interacts with both CXCR4s and NMDARs. However, the signaling pathways that gp120 interacts with the both are not well understood. A recent study by Pittaluga et al. demonstrated a functional coupling between CXCR4s and NMDARs in rat hippocampal noradrenergic and glutamatergic nerve terminals (Di Prisco et al. 2016). They proposed that the activation of CXCR4s co-localized with NMDARs in nerve terminals triggers a cascade of intracellular signaling which reinforces NMDAR-mediated neurotransmitter release by favoring the phosphorylation of tyrosine residues in the NR2B subtypes of NMDARs (Di Prisco et al. 2016). Whether the aforementioned cross-talk occurred in the present study remains to be determined.

It is worth noticing that gp120 enhanced the paired-pulse facilitation ratio of EPSC_{NMDAR} and increased the occurrence frequency, but not the amplitude, of mEPSC_{NMDAR}, suggesting a presynaptic site of action for gp120. These results are supported by the findings that functional CXCR4 and NMDARs are expressed at presynaptic terminals (Larsen et al. 2011; Petralia 2012; Reaux-Le Goazigo et al. 2012; Banerjee et al. 2016). On the other hand, gp120 was also found to potentiate glutamate-induced increase of intracellular Ca^{2+} levels and to enhance extra-synaptic NR2BR-mediated EPSCs, indicating that gp120 acts on postsynaptic NMDARs as well. Thus, these results may constitute a contour that gp120 interacts not only with presynaptic CXCR4 and NR2B receptors, but postsynaptic NMDARs, in particular the extra-synaptic NR2BRs, as well (Fig. 10). The blockade of gp120-mediated enhancement of EPSC_{NMDAR} and gp120 alteration of mEPSC_{NMDAR} frequency by CXCR4 antagonist T140 and NR2BR antagonist ifenprodil imply the existence of functional coupling between presynaptic CXCR4 and NR2B receptors as described by Anna Pittaluga and her colleagues (Di Prisco et al. 2016). However, the lack of an effect for gp120 on EPSC_{AMPA}, even at a higher concentration of 400pM, is puzzling. The possible explanation is that gp120 may most likely, by its biological nature, be less potent in activation of AMPA receptors or other unknown mechanisms.

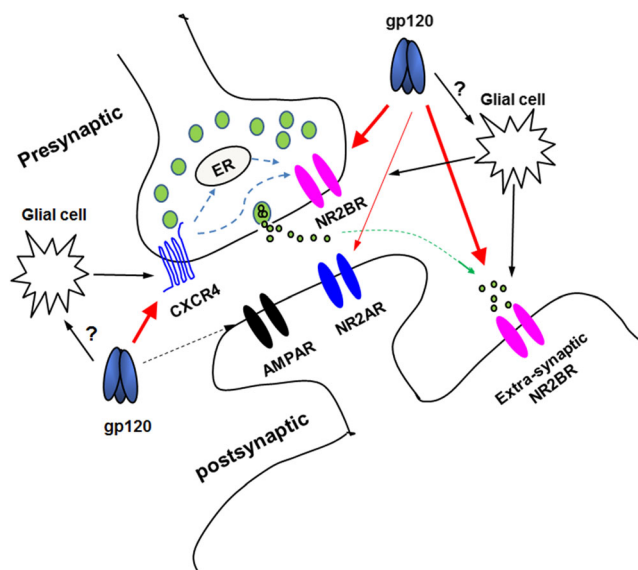


Fig. 10 A schematic diagram illustrating the sites of gp120 action in the hippocampal slices. As shown in big red arrows, gp120 acts on presynaptic CXCR4 and on pre- and post-synaptic NR2BRs. It is also possible that gp120 acts on glial cells, resulting in glial cell release of neurotoxic molecules including, but not limited to, cytokines, chemokines, amino acids, etc., which in turn act on CXCR4 and NMDARs (black arrows). Gp120-induced enhancement of EPSC_{NMDAR} can be blocked by a CXCR4 blocker T140, suggesting the existence a functional coupling between CXCR4 and NMDARs as proposed by Anna Pittaluga and her colleagues (Di Prisco et al. 2016)

This explanation appears to be “supported” by an earlier study that gp120 had no effects on enhancing AMPA-induced ³H noradrenaline release in rat hippocampal and cortical noradrenaline nerve endings (Pittaluga and Raiteri 1994). Thus, the lack of an effect on AMPA receptors may reflect the biological nature of gp120 on APMA receptors. As both CXCR4 and NMDA receptors (in particular NR2B subtype) are not only expressed in presynaptic terminals but are also coupled functionally (Di Prisco et al. 2016), gp120 may activate these two types of receptors, resulting in an increase of [Ca²⁺]_i in presynaptic terminals and quantal release of neurotransmitter glutamate, leading to an enhancement of EPSC_{NMDAR}. Gp120 also acts on postsynaptic NMDARs, contributing further to the enhancement of EPSC_{NMDAR}. Another possibility is that gp120 activates glial cells and the activated glial cells release cytokines, chemokines and amino acids, such as CCL-2, platelet-activating factor and glutamate, leading to an enhancement of EPSC_{NMDAR} in the hippocampal slices (Epstein and Gelbard 1999; Lu et al. 2007; Zhou et al. 2016). Further experimentation is needed to confirm this possibility.

The biological significance of gp120 enhancement of EPSC_{NMDAR} remains to be elucidated. It is well known that dendrite pruning and neuronal apoptosis are common pathological features seen in HIV-1-infected patients with HAND. Such pathological alterations in dendrites and neurons can be caused by gp120 alone and this viral protein may be directly responsible

for HIV-1-associated neurotoxicity. Indeed, ample evidence indicates that gp120 is a potent neurotoxin acting at extremely low concentrations (Lipton 1998) and its neurotoxic activity has been demonstrated both in vitro and in vivo (Dreyer and Lipton 1995; Barks et al. 1997; Doble 1999), as well as in a transgenic animal models that expressed gp120 (Toggas et al. 1994). A widely accepted common pathway by which gp120 induces neural cell injury or death is the activation of NMDAR and resultant elevation of [Ca²⁺]_i, leading to dendritic and neuronal injury. Therefore, the enhancement of EPSC_{NMDAR} may reflect a scenario in HIV-1-infected brain, especially in the era of cART, that gp120, even at low levels, enhances excitatory synaptic transmission mediated by glutamate, a major excitatory neurotransmitter for majority of the excitatory synaptic transmission in the brain. Such an enhancement of NMDAR-mediated synaptic activity, if lasts for a long period of time such as scenario of chronic HIV-1 infection, may lead to an over-activation of NMDARs and increase of [Ca²⁺]_i, eventually resulting in dendritic and neuronal injury and pathogenesis of HAND.

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Compliance with Ethical Standards All applicable international, national, and/or institutional guidelines for the care and use of animals were followed. All procedures performed in studies involving animals were in accordance with the ethical standards of University of Nebraska Medical Center, Omaha, NE, USA.

Competing Interests The authors declare that they have no competing interests.

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