#### ORIGINAL ARTICLE



# Predictors of Strategy Engagement for the Prevention and Reduction of Gambling Harm: a Prospective Application of the Theory of Planned Behaviour

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#### Abstract

Strategies such as behavioural substitution, self-monitoring, social support as well as planning and urge management can assist gamblers to limit or reduce their gambling behaviours. Not all gamblers implement strategies before, during or after gambling, even though the use of strategies is recommended by the gambling and treatment industry. Australian gamblers completed an online survey with follow-up (n = 411) to determine the predictors of intention to use strategies and the actual use of strategies. Results indicated 92% of gamblers attempted at least one strategy to stick to their limits over the 30-day period (median = 30 strategies, IQR = 11 to 56). Gamblers indicated a positive attitude towards strategy engagement and perceived themselves as having control over their use but the role that important others (e.g., family members) could play in strategy implementation warrants further examination. To improve strategy engagement, prevention and intervention programmes should target factors associated with intentions rather than focusing on behaviour.

**Keywords** Natural recovery · Self-regulation · Behaviour change · Treatment

Internationally, standardised prevalence rates of problem gambling range from 0.5 to 7.6%, with an average rate across all countries of 2.3% (Williams et al. 2012). In Australia, the

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prevalence of people with any level of gambling problem is estimated at 7.9% of the population, inclusive of 1% who are classified as problem gamblers (Armstrong and Carroll 2017). Although problem gambling is a relatively low base-rate phenomenon, it is estimated that over 1.3 million people in Australia are harmed by gambling behaviours (Armstrong and Carroll 2017). Browne et al. (2016) report that at a population-level, 85% of the burden of harm is experienced by people classified as low- or moderate-risk gambling (due to their greater prevalence in the population). The negative sequelae of gambling problems can include financial harm, relationship dysfunction and conflict, emotional distress, health decrements, cultural harm, reduced work or study performance and criminal activity (Langham et al. 2016).

To limit or reduce gambling-related harm, a range of cognitive and behavioural strategies have been recommended to gamblers by government and the gaming industry. Top-down strategies are those delivered as part of 'responsible gambling (RG)', 'consumer protection' or 'harm minimisation' programmes (Blaszczynski et al. 2011; Rodda et al. 2019a). These are industry or government initiated and are focused on the prevention of problems as well as assisting gamblers to stick to their limits (Ladouceur et al. 2017). Even though the use of tools offered by industry such as pre-commitment programmes or online deposit limits are helpful in sticking to limits, few gamblers make use of these tools (Procter et al. 2019). Strategies to avoid or reduce harm are also initiated by the gambler (referred to as protective behavioural strategies) (Lostutter et al. 2014). Compared with industry-initiated strategies, protective behavioural strategies are more a bottom-up approach whereby the gambler implements specific strategies immediately before or during a gambling session (Rodda et al. 2019a). Lostutter and colleagues (Lostutter et al. 2014) reported the more protective behavioural strategies that were implemented, the greater the reduction in gambling expenditure (Lostutter et al. 2014).

Cognitive and behavioural strategies are also initiated by gamblers as a means of reducing or regaining control over their gambling (Hing et al. 2017; Hodgins and el-Guebaly 2000; Matheson et al. 2019; Moore and Ohtsuka 1999; Rodda et al. 2018a). Similar to RG and protective behavioural strategies, gamblers attempting to reduce or regain control over their gambling also use in-venue strategies (e.g., limit setting) as well as pre-venue strategies (e.g., planning expenditure) but they also use a broad range of other strategies so as to avoid unwanted gambling altogether. These strategies include cognitive approaches such as considering the pros and cons of gambling, making a resolution to change, using willpower, thinking about how money could be better spent and identifying inaccurate thoughts about gambling (Rodda et al. 2018a). While cognitive strategies are most frequently used (Rodda et al. 2018a), behavioural strategies are more extensive and diverse (Hing et al. 2011; Hodgins and el-Guebaly 2000; Moore et al. 2012; Rodda et al. 2018a, b, c). For example, gamblers report limiting or reducing their gambling by using behaviour substitution (e.g., exercise instead of gambling), stimulus control (e.g., avoid venues), financial management (e.g., limit access to ready cash), social support (e.g., ask others to support change) and urge management (e.g., postpone gambling).

Gamblers on occasions bet more than intended, and this tendency is reported across all levels of gambling problems (from low-risk to problem gambling) (Cowlishaw et al. 2018). To mitigate this problem, cognitive and behavioural strategies are used as a way of sticking to limits, but to date, there has been limited research investigating the effectiveness of these strategies. There has, however, been multiple studies reporting the perceived helpfulness of strategies in terms of sticking to limits in venues (Abbott et al. 2014; Forsström et al. 2017; Lubman et al. 2015; Rodda et al. 2019a) and also reducing gambling once it becomes problematic (Hing et al. 2011; Hodgins and el-Guebaly 2000; Moore et al. 2012; Rodda



et al. 2018a). Non-problem and low- and moderate-risk gamblers more frequently use strategies to stay in control than problem gamblers (Hing et al. 2017). In contrast when asked about strategies for limiting or reducing gambling, the number of strategies increases as a function of the level of gambling problems (Moore et al. 2012; Rodda et al. 2018a). Similarly, the use of strategies is also related to readiness to reduce gambling whereby the number of strategies used increases with level of readiness (Rodda et al. 2018a).

Despite the likelihood that implementing at least one strategy to limit or reduce gambling reduces harm, there has been limited research on the correlates of strategy use. Multiple studies have called for more information on the influences on the uptake of strategies as well as correlates of strategy abandonment (Matheson et al. 2019; Rodda et al. 2017, 2019a). Triggers to strategy engagement include concerns that the problem will worsen, negative emotions that have arisen because of gambling and financial concerns (Hing et al. 2011; Lubman et al. 2015) as well as a realisation that gambling had caused a significant change in the individual (Kim et al. 2017). Two studies specifically looking at RG measures have indicated the uptake of strategies is dependent on a range of factors including attitudes towards use (Forsström et al. 2017; Procter et al. 2019) but this research is yet to extend to the broader range of strategies or beyond internet-based gambling. Multiple studies indicate social support is a frequently used strategy, especially for reducing gambling harm (Hing et al. 2011; Hodgins and el-Guebaly 2000; Rodda et al. 2017, 2018a, c), but to date, there has been no investigation of how the influence of others may impact on the uptake of strategies. Another study explored the association between strategy uptake and self-efficacy (specifically to resist an urge to gamble) (Rodda et al. 2018a). This study reported weak relationships and called for more investigation into the influence of self-efficacy on strategy use. Taken together, these findings suggest an urgency for moving beyond a simple focus on the uptake or helpfulness of strategies to limit or reduce gambling and a more nuanced approach towards understanding factors that influence engagement. This approach includes an understanding of gamblers' attitudes, social pressure and self-efficacy that may impact their decision to implement a strategy to limit or reduce gambling behaviours.

## Theory of Planned Behaviour and Gambling

A theory that may help explain strategy engagement is the theory of planned behaviour (TPB) (Ajzen 1991). The TPB is well-validated and is widely used across various health behaviours (Armitage and Conner 2001; Conner et al. 2015; Godin and Kok 1996). According to the TPB, the most proximal predictor of behaviour is intention to perform that behaviour, with intention predicted by three distal (to behaviour) determinants: (i) positive or negative attitudes towards gambling (attitude), (ii) perceptions of social (e.g., family and friends) approval or disapproval towards gambling (subjective norm) and (iii) perceptions of how much control the person has over the behaviour, even when there are internal or external barriers (perceived behavioural control (PBC)). In terms of gambling, the TPB has been applied to predict intentions to gamble (Dahl et al. 2018; Flack and Morris 2017; Martin et al. 2010; Moore and Ohtsuka 1999; St-Pierre et al. 2015; Wu and Tang 2012), with intentions found to predict frequency of gambling, gambling expenditure, negative consequences and levels of problem gambling. Depending on the behaviour in focus, PBC may be a positive (e.g., belief in ability to beat the odds) (Flack and Morris 2017) or a negative predictor (e.g., belief in ability to stay in control when around gambling) of intention to gamble (St-Pierre et al. 2015; Wu and Tang 2012). Consistent with



other behaviours, subjective norm has typically been the weakest variable in predicting gambling intentions. For instance, St-Pierre et al. (2015) reported subjective norms were not associated with gambling intentions. Few explorations have included demographics such as age and gender as well as gambling severity. When included, one study reported males, younger age and a low level of gambling severity were associated with more positive attitudes towards gambling (Salonen et al. 2014). Although yet to be examined within gambling, severity also appears to influence the explanatory pathways within the TPB. For instance, Cooke et al. (2016) reported PBC directly predicted alcohol consumption among problem drinkers (but not intentions), whereas intentions and not PBC directly predicted consumption among non-problem drinkers.

To date, gambling research using the TPB has predominantly focused on predicting intentions to gamble, rather than intentions to use a strategy to reduce gambling. Addressing this significant gap, Procter et al. (2019) investigated the use of the TPB to predict the uptake of RG tools in an Australian online betting site. This study recruited 564 gamblers (193 at follow-up) and measured the use of any of three RG tools (e.g., deposit limits, temporary self-exclusion and receiving activity statements). They reported over a 2-week period the modal number of tools used was one with 35% of gamblers not using any tools at all. Past use, attitudes (i.e., positive attitude towards tool use) and subjective norms (i.e., important others supportive of tool use) were associated with intention to use tools (but not PBC, i.e., confidence/self-efficacy of tool use), and within the sub-sample who completed follow-up, intention to use tools was correlated with actual tool use 30 days later. These promising findings indicate the TPB may further be a useful theory for understanding the correlates of a broader range of behaviour change strategies.

The current study is the first empirical study that applies the TPB to the field of behaviour change strategies gamblers use to stick to their limits or reduce their gambling. To address the limitations of previous studies, as recently highlighted by Procter et al. (2019), we included the following components: (i) a 30-day follow-up evaluation to determine whether the intended use of strategies predicted their uptake, (ii) a comprehensive list of 99 individual strategies that could be used for sticking to limits (RG and protective behavioural strategies) or reducing gambling behaviours (behaviour change strategies) and (iii) a broad community sample that included online- and land-based gamblers and included those classified as no problem (n = 79,19%) and at least one problem as identified by the PGSI (n = 332, 81%). Understanding the TPB factors to target is important in promoting the uptake of strategies as we know that the use of these strategies is helpful to gamblers in the prevention and reduction of gambling-related harm. The aim of this study is to use the TPB to identify underlying factors associated with strategy engagement. We hypothesise that after controlling for age, gender, distress and gambling severity, (i) the TPB factors of attitude, subjective norm and PBC will predict intentions to use strategies, and (ii) intention to use strategies will predict the subsequent use of cognitive or behavioural strategies over a 30-day period.

#### **Materials and Methods**

#### Participants and Recruitment

Participants were recruited between May 2014 and June 2014 as part of a larger study of strategies used by gamblers to limit or reduce their gambling behaviours (Lubman et al.



2015). A total of 1002 respondents consented to participate in the baseline survey. Of these, 23 dropped out immediately (i.e., prior to responding to any questions following acknowledgement of consent), and a further 263 dropped out prior to completing the strategies section. The final sample consisted of 716 participants. Recruitment involved free and paid advertising, and promotion across a range of websites, as well as direct contact with organisations providing gambling services (i.e., industry, treatment, government) and past gambling research participants. There were no inclusion or exclusion criteria for participation; however, study promotional materials were focused on recruiting participants who had attempted to stick to limits or reduce their gambling. For instance: 'Gambling - Staying within your limits. Give our tips the thumbs up – which tips work for you?' Participants who completed the baseline survey were subsequently invited to take part in a follow-up survey, which attracted 57.4% of the original sample (n = 411). The follow-up survey captured the use of change strategies in the 30 days following completion of the baseline survey. The average time between completion of surveys was 35.39 days (SD = 8.79). Ethical approval for the study was gained from Eastern Health Research and Ethics Committee (study registration number LR22/1314).

#### Measures

The *Problem Gambling Severity Index* (PGSI) was used to measure gambling severity (Ferris and Wynne 2001). The PGSI is a 9-item scale with moderate internal consistency ( $\alpha$  = .84), and acceptable test–retest reliability ( $\alpha$  = .78). Scores range from 0 to 27, with 0 indicating non-problem gambler, 1–2 indicating low-risk gambler, 3–7 indicating moderate-risk gambler and 8+ indicating problem gambling.

The Kessler 6 (K6) screens for non-specific psychological distress in the past 4 weeks (Kessler et al. 2002). It contains six items related to psychological distress (e.g., nervousness, agitation, fatigue, depression). The response options range from 0 (none of the time) to 4 (all of the time) with scores of 13 or more indicating psychological distress (Kessler et al. 2003). The Cronbach's alpha for the K6 in this study was .94.

The *theory of planned behaviour* scale comprised 9-items (Table 1), based on recommendations by Fishbein and Ajzen 2011. Each item targeted the use of sticking to limits over the next 30 days with two items per attitude, subjective norm and PBC. It also included two single item measures of intention, and one of behaviour (Fishbein and Ajzen (2011). Item 3 (subjective norm) was scored 'Not at all guided', 'Unguided', 'Slightly unguided', 'Neither guided or unguided', 'Slightly guided', 'Guided' and 'Extremely guided'. All other items were scored 1 = 'strongly disagree' to 7 = 'strongly agree'. Results from exploratory factor analysis and scale reliability indicated strong internal consistency for the multi-item subscales of attitude ( $r_s = .91$ ) and PBC ( $r_s = .76$ ), with only weak levels indicated for subjective norm ( $r_s = .35$ ). Consequently, the single item 'When it comes to addressing your gambling, how much are you guided by the opinion of important people in your life?' which loaded alone in the EFA, was used as an indicator of subjective norm. Mean scores were created for each multi-item TPB component, with higher scores indicating stronger attitudes, subjective norm and PBC towards the intended use of strategies.



Table 1 Theory of planned behaviour items and corresponding construct

_							
Th	eory of planned behaviour item	Time point	Construct				
1.	For me, doing at least one strategy over the next 30 days would be valuable	T1	Attitude				
	I think that using at least one strategy over the next 30 days will help me reach or maintain my goals	T1	Attitude				
3.	When it comes to addressing your gambling, how much are you guided by the opinion of important people in your life?	T1	Subjective norm				
4.	The important people in my life would want me to implement at least one strategy like the ones described in this survey*	T1	Subjective norm				
5.	For me, implementing at least one of the strategies in the next 30 days will be completely possible	T1	Perceived behavioural control				
6.	I have complete control over completing at least one strategy over the next 30 days	T1	Perceived behavioural control				
7.	I intend to undertake at least one strategy to maintain or limit my gambling over the next 30 days	T1	Intention				
8.	Over the next 30 days, how many strategies do you expect to implement? (0 to $10$ )	T1	Number of strategies Intention				
9.	Number of strategies used over 30 days (no limit)	T2	Behaviour				

T1 = baseline, T2 = 30 days follow-up. \*Results indicate that this item did not perform as expected, and was excluded. Item 3 was used to indicate subjective norm in presented analyses

The Change Strategies Questionnaire—Version-1 (Rodda et al. 2018a) contains 99 different strategies grouped into 15 categories. Factor analysis with 489 gamblers (including 333 problem gamblers) indicated the presence of 15 categories (accounting for 60.33% of the variance): cognitive, well-being, consumption control, behavioural substitution, financial management, urge management, self-monitoring, information seeking, spiritual, avoidance, social support, exclusion, planning, feedback and limit finances (Rodda et al. 2018a). Strategies are rated over a 30-day period according to their use (used/not used) resulting in a score of between 0 and 99.

### Statistical Analysis Plan

Descriptives of sample demographics were conducted, followed by group comparisons (comparing those who did and those who did not complete the 30-day follow-up survey), using chi-square (categorical variables) and independent sample t tests (continuous variables). Pearson's correlations are presented to show the univariate relationships between the TPB variables, intentions to use at least one strategy and the number of strategies used and demographics. Two linear regressions were conducted to test (i) the TPB variables of attitude, subjective norm and PBC predicting intention and (ii) intention predicting strategy use, while statistically adjusting for demographics (i.e., age, gender, gambling severity and distress). Two additional regressions were undertaken to determine if intentions was a mediator between the TPB distal factors (attitude, subjective norm, PBC) and subsequent strategies use (Martin et al. 2010) as indicated by the TPB model. Adjusted  $R^2$ , a measure of explained variance, is reported to indicate effect size of each model. Significance was set at p < .05. Data analysis was undertaken with IBM SPSS Statistics (v25).



## Results

## Sample Characteristics and Strategy Engagement

There were no significant differences between those who completed both the baseline and 30-day follow-up survey (n = 411) and those who only completed the baseline survey (n = 305) on demographics (age, gender, marital status, PGSI, psychological distress) or TPB variables (attitude, subjective norm, PBC, intention or number of strategies intending to use). As indicated on Table 2, two-thirds of the sample were male with most aged greater than 35 years of age. One-third of the sample aimed to maintain their current gambling behaviours and 46% wanted to cut-down or stop gambling. There were also 90 (22%) participants who had a goal of maintaining their current reduction plan. Over 80% of the sample were at some level of gambling risk with almost 50% classified as problem gamblers. In addition, 19.2% (n = 79) also reported elevated levels of psychological distress. At baseline, participants indicated that they intended to implement between 0 (n = 106, 26% of sample) and 10 or more strategies (n = 1, 4% of sample). The median number of strategies subsequently used was 30 (IQR 1 = 11, IQR 3 = 56). In total, 91.5% (n = 376) of gamblers implemented at least one strategy to stick to their limits over the 30-day period.

Average scores and correlations between the TPB variables and demographics are presented in Table 3. All relationships between the TPB variables were positive, significant (p < .001) and above .4, with the exception of PBC and behaviour (r = .2). Attitude and the single-item intention measure were correlated at .93 indicating multi-collinearity. Therefore, the intention measure used in subsequent regression analyses testing the TPB relationships was 'Over the next 30 days, how many strategies do you expect to implement?' rather than 'I intend to undertake at least one strategy to maintain or limit my gambling over the next 30 days'.

T test results indicated that participants in the problem gambling group scored significantly higher on all TPB model variables compared with the no problem group: total strategies used

Demographic	Subgroup	n	%
Sex	Male	279	67.9
	Female	130	31.6
	Other#	2	0.5
Age	Under 35	121	29.4
	35 years+	278	67.6
Marital status	Single/separated/divorced/widowed	204	49.6
	Married/de facto	207	50.4
PGSI	No problem	79	19.2
	Low risk	49	11.9
	Moderate risk	80	19.5
	Problem gambling	203	49.4
Gambling goal	Maintain current gambling	132	32.1

Table 2 Sample demographics

N=411. % may not add to 100% due to rounding. \*Due to small numbers, these data were not included in further analyses

No psychological distress (less than 13)

Psychological distress (13 or more)

Cut down gambling

Abstain from gambling

Maintain current reduction plan

87

102

90

332

79

21.1

24.8

21.9

80.8

19.2



Psychological distress

Table 3 Descriptive (M, SD) and correlations between variables

Attitude 1–7 4,72 (1.99) – 8.0 Subjective norm 1–7 3.67 (2.21) .31*** – 8.1 Subjective norm 1–7 5.10 (1.80) .75*** 27*** Intention 1–7 4.67 (2.09) .93*** .31*** Number of strategies intention 0–10 2.31 (2.41) .57*** .27*** Gender 1–2 N/A 2.31 (3.44) .37*** .22*** Age	1-7	4 6.			291	moningi	Number (#) strategies intending to use	Benaviour number strategies used	Gender Age	Age	PGSI
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	18-				18**	25***	21***	20***	.07	1	
.54***	7				.31**	.53**	.52**	.47**	.27**	20**	1
6.76 (6.26) .34***	; <del>-</del> 0				.19**	.34***	.26***	.34***	.23**	16**	.57**

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Subjective norm is the single item

PBC perceived behavioural control, PGSI Problem Gambling Severity Index

Anxiety/depression = psychological distress scale

 $^*p$  < .05,  $^*p$  < .01,  $^**p$  < .001



(no problem M = 22.00, SD = 23.78, problem M = 53.18, SD = 28.26; t(409) = 12.12, p < .001), intention to use strategies (no problem M = 3.72, SD = 2.06, problem M = 5.65, SD = 1.61; t(409) = 10.58, p < .0001), attitude (no problem M = 3.79, SD = 2.02, problem M = 5.67, SD = 5.47, t(409) = -0.85, p < .001), subjective norm (no problem M = 3.06, SD = 2.77, problem M = 4.32, SD = 2.10, t(409) = 6.12, p < .001) and PBC (no problem M = 4.65, SD = 1.98, problem M = 5.56, SD = 1.47, t(409) = 5.27, p < .001).

### **Testing the TPB Model**

All variables in the proposed models to be tested were correlated and subsequently included in regressions. Regressions to test the TPB model pathways are presented in Table 4 with regressions testing mediation in Table 5. The model of predicting intentions to use at least one strategy was significant (model 1: F(7, 390) = 40.95, p < .001). Along with gender and gambling severity (PGSI), TPB factors of attitude and PBC were significant predictors of intention (but not subjective norm). The model of predicting strategy use was significant (model 2: F(5, 392) = 32.52, p < .001). Along with gambling severity (PGSI) and distress, intention to use a strategy was a significant predictor of subsequent use of strategies (see Table 4).

Examining intention as a mediator, regression model 3 (predicting strategies with TPB factors excluding intention: Model 3: F(7, 390) = 19.85, p < .001) and regression model 4 (predicting strategies with TPB factors including intention: Model 4: F(8,389) = 21.04,

Table 4 Regression models testing the TPB pathways predicting intentions to use strategies and subsequent strategy use

Model/variable	Adj $R^2$	b	SE $b$	β	t value	p value	95% CIs lower, upper
Model 1: Predicting	intention to	use strateg	ies via TI	PB distal c	leterminants	S	
Model 1	.41	_					
Constant		-1.08	.51	_	-2.12	.035	-2.09, -0.08
Demographics							
Gender		.49	.21	.10	2.34	.020	0.08, 0.91
Age		01	.01	08	-1.86	.064	-0.03, 0.00
PGSI		.09	.02	.33	6.11	<.001	0.06, 0.12
Distress		03	.0	08	-1.69	.091	-0.07, 0.01
TPB model variables	s (direct effe	ect to intent	ion)				
Attitude		.32	.08	.26	3.96	<.001	0.16, 0.48
Subjective norm		.03	.05	.03	0.72	.470	-0.06, 0.13
PBC		.21	.08	.15	2.58	.010	0.05, 0.36
Model 2: Predicting	strategies us	sed directly	via TPB	proximal	determinant	t	
Model 2	.28						
Constant		23.91	5.82	_	4.11	< .001	12.46, 35.35
Demographics							
Gender		.47	2.93	.01	0.16	.874	-5.30, 6.24
Age		16	.10	07	-1.61	.108	-0.36, 0.04
PGSI		.89	.21	.25	4.22	< .001	0.47, 1.30
Distress		.53	.25	.11	2.08	.038	0.03, 1.03
TPB model variable	(intention d	irect effect	to strateg	y use)			
Intended to use		3.46	.64	.28	5.42	<.001	2.20, 4.71

Italicised entries indicate significant predictor. *TPB* theory of planned behaviour. Proximal determinant = intention. Distal determinant = attitude, subjective norm (single item), PBC. Subjective norm is the single item, *PBC* perceived behavioural control. *PGSI* Problem Gambling Severity Index. Intended to use = number of strategies intended to use



Table 5 Regression models testing Intention as a mediator of TPB distal determinants and subsequent strategy use

Model/variable	Adj R <sup>2</sup>	b	SE b	β	t value	p value	95% CIs lower, upper
Model 3: Predicting	strategies u	sed directl	y via TPE	3 distal de	terminants		
Model 3	.25						
Constant		18.07	7.26	_	2.49	.013	3.80, 32.33
Demographics							
Gender		1.77	2.99	.03	0.59	.554	-4.11, 7.66
Age		-0.18	0.10	08	-1.78	.077	-0.39, 0.02
PGSI		1.04	0.22	.29	4.79	< .001	0.61, 1.47
Distress		0.43	0.26	.09	1.63	.104	-0.09, 0.94
TPB model variable	s (direct eff	ect to strate	egy use)				
Attitude		2.97	1.16	.19	2.57	.011	0.70, 5.24
Subjective norm		0.98	0.66	.07	1.48	.140	-0.32, 2.28
PBC		-1.02	1.12	06	-0.91	.366	-3.23, 1.19
Model 4: Predicting strategies used via number intended to use (TPB distal determinant) and TPB proximal determinants							
Model 4	.29						
Constant		21.61	7.11	_	3.04	.003	7.63, 35.59
Demographics							
Gender		0.16	2.94	.00	0.05	.958	- 5.62, 5.93
Age		-0.14	.10	06	-1.38	.170	-0.34, 0.06
PGSI		0.73	.22	.21	3.31	.001	0.30, 1.17
Distress		0.53	.26	.11	2.06	.040	0.03, 1.03
TPB model variables (direct effect to strategy use)							
Attitude		1.91	1.15	.13	1.67	.097	-0.35, 4.17
Subjective norm		0.87	0.64	.06	1.35	.179	-0.40, 2.13
PBC		-1.69	1.11	10	-1.53	.127	-3.86, 0.48
Intended to use		3.27	0.70	.26	4.68	< .001	1.90, 4.65

Italicised entries indicate significant predictor. *TPB*, theory of planned behaviour. Proximal determinant = intention. Distal determinant = attitude, subjective norm (single item), PBC. Subjective norm is the single item, *PBC*, perceived behavioural control. *PGSI*, Problem Gambling Severity Index. Intended to use = number of strategies intended to use

p<.001) were both significant. Attitude was a significant predictor in model 3, but once intention was included in model 4, attitude was no longer significant and intention was a significant predictor of strategy use. This change indicates that the influence of attitude on strategy engagement was mediated through intention (i.e., attitude no longer *directly* influenced strategy engagement, but *indirectly* influenced use by impacting intentions). PGSI was a consistent significant predictor in all regressions (i.e., predicted intention to use strategies, predicted number of strategies used).

#### Discussion

This prospective study aimed to understand factors associated with the uptake of a broad range of strategies for limiting or reducing gambling behaviours. Applying the theoretical framework of the TPB, it is the first study to systematically explore the role of attitudes, subjective norms and PBC on intention to use strategies with a large sample of gamblers recruited from a community setting. As hypothesised, attitude and PBC predicted intention to use strategies after controlling for age, gender, distress and gambling severity. Contrary to expected, subjective norm was not significantly associated with intention. The second hypothesis was



supported: the intention to use strategies prospectively predicted actual strategy engagement over a 30-day period.

The application of the TPB to examine predictors of strategy engagement to limit or reduce gambling is a relatively new endeavour in the gambling field. Just one study has sought to understand the reasons for strategy engagement (Procter et al. 2019). The aim of the Proctor and colleagues' study was to predict the use of three RG tools (i.e., activity statement, deposit limits and temporary exclusion). They reported two of three TPB factors were associated with intention to use a tool (i.e., attitudes and subjective norm). The authors concluded PBC was not a factor in tool engagement because the three tools were not effortful and were relatively easy to use (thereby negating the need for PBC). In contrast, our results revealed PBC was important. We examined engagement with 99 different strategies whereby the effort required for each strategy ranged from a little effort to a large effort (and level of difficulty ranging from easy to hard). For example, some strategies required low effort and were likely not difficult to implement (e.g., Remind yourself of negative consequences of gambling), whereas others were not difficult to implement but required greater effort and self-control (e.g., Postpone gambling until a later date). Other strategies may have been both difficult to implement and effortful because they required the acquisition of new skills or resources, as well as the gambler being able to overcome barriers such as shame and embarrassment that may be associated with the action when other people were involved (e.g., Consolidate debts and implement payment plan; disclose to someone else the extent of your gambling). Rodda et al. (2018a) found strategies such as seeking feedback (e.g., calculating how much money has been spent on gambling) or planning (e.g., plan ahead and leave cards and cash at home) are reported by gamblers as more helpful than simplistic strategies (e.g., Remain hopeful about your future). Conversely, the same study reported strategies that require effort such as exclusions or self-monitoring were rated as only a little helpful (Rodda et al. 2018a). In the current study, gamblers reported high agreement that they were in control of the types of strategies they could implement, and it may be that the types of strategies selected meet their needs in terms of the desired amount of effort or difficulty (rather than what is most helpful). Future research should further examine the impact the degree of perceived effort and difficulty has on the selection of strategies. This detail would assist industry and governments to identify where support could be provided to gamblers for those strategies that are likely helpful but require effort or new skills and knowledge to implement.

Contrary to our hypothesis, subjective norm did not predict intention to use a strategy to limit or reduce gambling behaviour. Another study involving adolescent gamblers also reported subjective norm as being the weakest variable in predicting gambling intentions (St-Pierre et al. 2015). When gambling becomes a problem, a range of harms are reported by family and friends (Kalischuk et al. 2006). There have been multiple studies reporting family members ask the gambler to change their behaviour (Kourgiantakis and Ashcroft 2018) and others that have reported this approach as helpful in reducing problem gambling (Kourgiantakis et al. 2018). In contrast, there are very few studies that focus on the mechanisms of change and how family members may influence strategy engagement. To date, a small number of studies have established that family members seek advice from support services on how to support gamblers' behaviour change over the longer term (Hing et al. 2013; Riley et al. 2018; Rodda et al. 2019b). Future research might consider how family members can better support gamblers in the implementation of strategies for gambling reduction and how they might let the gambler know that the implementation of these strategies is important. This approach may be especially important when the



intended strategy is effective, but complex or difficult and effortful to implement (e.g., debt consolidation, self-exclusion).

This study found targeting attitudes directly towards strategy use will have its limitations. Instead, it may be more effective to target attitudes towards wanting or intending to use strategies. RG programmes target a sub-set of strategies aimed at sticking to limits in venues (e.g., set a limit) as well as minimising gambling-related harm (e.g., avoid chasing losses). For example, a study by Hing et al. (2017) reported a selection of RG messages promoted in Australia. The messages reported in this study were frequently instructional (e.g., keep gambling in balance with other activities), negative (e.g., it advises people to be aware they will likely lose) and fear-inducing (e.g., it warns of the risks of gambling). In addition, multiple studies have reported that gamblers have positive attitudes towards the use of strategies to limit or reduce their gambling (Nower and Blaszczynski 2010; Procter et al. 2019). The current study suggests RG messaging might be more effective if it influences attitudes and PBC to improve intentions to use strategies (model 1, 41% of variance) as intentions subsequently influence behaviour (model 2, 29% of the variance). This means shifting messaging away from behaviour and towards these factors that influence intention. As suggested by Procter et al. (2019), promotion of these positive attitudes towards RG tools could assist in normalising their engagement as a way of sticking to limits.

Our second aim was to predict the subsequent use of change strategies over a 30-day period. Our study found intentions were a significant predictor of behaviour and this explained almost 30% of the variance. This is the first time any study has attempted to predict engagement with a broad range of strategies and these findings indicate that if we are able to influence gamblers' intentions, then they will likely implement a strategy to limit or reduce their gambling. We also found gambling severity as measured by the PGSI was a consistent significant predictor in all regressions whereby it predicted intention to use strategies as well as the number of strategies used. While our study indicated that all gamblers use strategies to limit or reduce their gambling (not just people with gambling problems), the number of strategies implemented increased with the level of gambling severity. This result is consistent with other studies which have also reported a positive correlation between strategy use and gambling severity (Moore et al. 2012; Rodda et al. 2018a). The current study suggests that as gambling becomes more problematic, there is an intention to implement more strategies. This finding is perhaps counter-intuitive; whereby at a certain point, the use of more strategies is related to less success as indicated by lapse and relapse. It may be that choosing the right strategy at the right time is more important than the number of strategies. Future research may consider alternative methods to identify strategy engagement and correlates such as those in the TPB. For example, ecological momentary assessment (Shiffman 2009) permits the collection of real-time data and could further illuminate influential factors that may further explain the established gap in the relationship between intention and behaviour.

#### **Study Limitations**

This study is the first to apply the TPB to strategy engagement for limiting or reducing gambling, but it is not without its limitations. First, this study relied on self-report of a large number of strategies and this potentially affected the reliability and validity of the data. Second, to minimise participant burden, two items were used for each of the TPB variables. We adapted TPB questions for engagement with strategies to limit or reduce gambling behaviours. While these were based on previous research and item development



recommendations (Fishbein and Ajzen 2011), factor analysis indicated the two subjective norm items were measuring different constructs. As such, only one subjective norm item was represented in the final analysis. The difficulty of adapting TPB is highlighted in the only other study on strategy engagement and the TPB (i.e., Procter et al. 2019), which, similar to our subjective norm item, reported low internal consistency of PBC (which may in part explain why it did not perform as expected). To address this issue, future research should develop a more robust measure for TPB and strategy engagement that is appropriate for any study investigating strategy engagement whether that be in the field of RG, protective behavioural strategies and/or behaviour change strategies.

Third, an unexpected and important result was the large number of strategies that were implemented (median of 30). At baseline, participants were asked to nominate the number of strategies that they intended to implement over the coming 30 days. At the time of the survey development, the best available evidence indicated the average number of strategies implemented by gamblers was between two and eight (Hing et al. 2011; Moore et al. 2012) and so the intention item was capped at 10 strategies (4% of participants indicated an intention to use 10 items over the next 30 days). Future research should consider removing the cap on the number of intended strategies or ensure that it is representative of the number of strategies being tested. For example, the reason that previous research had reported less than 10 strategies were used was that these studies only included a relatively small number of items in their checklist (between 11 and 20).

The most serious limitation is the absence of evidence indicating for whom and when these strategies are effective. To our knowledge, there have been no studies examining the relationship between the use of strategies and the impact on gambling time or money spent or gambling severity. Some studies have examined self-reported helpfulness (Hing et al. 2011; Rodda et al. 2018a), but whether these strategies are impactful on actual gambling behaviour remains unknown. The expansion of online gambling provides new opportunities to track the impact of strategies on time and money spent on gambling (Procter et al. 2019).

## **Clinical Implications**

Gamblers hold positive attitudes towards the use of strategies to limit or reduce their gambling and this should be supported. It is generally recommended that anyone with a gambling problem should seek face-to-face treatment. Typically delivered as CBT or motivational interviewing, face-to-face treatment is effective in reducing gambling problems and it can have a long-term and lasting impact (Cowlishaw et al. 2012). Despite this promise, most people with gambling problems do not access face-to-face treatment. The reasons for not seeking treatment are reported as minimising the extent of the problem (frequently reported in the literature as 'denial') and wanting to selfmanage as well as a vast range of other barriers including stigma, access, cost and perceptions of helpfulness (Evans and Delfabbro 2005; Gainsbury et al. 2014; Suurvali et al. 2009). Conversely, gamblers demonstrate strong positive attitudes towards the use of strategies to limit or reduce their gambling and most gamblers do actually use their intended strategies. Future research should build on these positive attitudes and extend the scope of treatment services to support gamblers in the successful implementation of their strategies. Based on the current findings, this content should include skills development for complex strategies that are difficult to implement as well as motivational support for those that are effortful.



The current study demonstrates the utility of the TPB to understand some of the factors associated with strategy engagement, but it does not explain why gamblers develop problems despite their use of cognitive and behavioural strategies. The current study found we can explain a large amount of variance in intentions to use strategies (about 40%), which in turn, predicted a smaller amount of subsequent strategy use (i.e., behaviour; 28%). This finding is consistent with the broader TPB literature which reports intention typically accounts for 20 to 30% or the variance in future behaviour (Sheppard et al. 1988). This intention-behaviour gap is reported across multiple target behaviours (Sniehotta et al. 2014; Sniehotta et al. 2005) and indicates that some people fail to take action despite having a positive attitude and self-efficacy towards taking such action (Sheeran 2002). Reasons for the gap identified here may be attributable to initial strategy selection and/or subsequent implementation practices. For example, gamblers may underestimate the difficulty or effort required for the action, there may be a lack of planning or access to resources, or they may forget to act when other activities become more salient. Therefore, both strategy selection and subsequent implementation practices may be relevant to minimise the intention-behaviour gap. In terms of strategy selection, Rodda et al. (2017) examined the transcripts of 149 gamblers accessing treatment and found gamblers were poor in selecting the right strategy for their specific need, had poor or unplanned transitions between strategies and selected strategies that were competing and prematurely abandoned strategies without review or evaluation. Action planning can be used to support strategy selection and implementation (i.e., strategy components of what, how, who, when) (Sniehotta et al. 2005). In addition, coping planning (also referred to as implementation intentions) assists individuals to pre-empt likely barriers to implementation and develop 'if..then...' plans (Sniehotta et al. 2005). Just one study has been conducted investigating strategy selection and implementation supported with the use of tailored action and coping planning with gamblers, with positive results (Rodda et al. 2019a). Although both groups in the RCT intended to spend median \$200 over the next 30 days, problem gamblers receiving the brief intervention gambled almost half as much money (median \$290) compared with the control group (median \$500). Thus, supporting the translation of gamblers' strategy implementation intentions into behaviours is feasible and warrants further investigation.

The current study is the first to systematically look at factors associated with strategy engagement, specifically with the intention to use, and subsequent use of strategies, to limit or reduce gambling behaviours. It has several strengths including the recruitment of a large sample of gamblers from a community setting, the inclusion of gambling severity, the examination of a wide range of strategies and the measurement of actual behaviour. Together with Procter et al. (2019), our studies are important in establishing a new stream of research which shifts the focus solely from gambling outcomes and instead towards understanding the mechanisms underlying strategy engagement.

The findings indicate that intention to use a strategy is related to attitude and PBC (but not subjective norms) and that intention is a strong predictor of actual use. These findings are broadly consistent with previous research but highlight the importance of further understanding the perceived and actual role of family members. For instance, it would be useful to know the extent to which family members are aware or supportive of the mechanisms of behaviour change. This study also suggests that the most parsimonious solution to the low uptake of gambling treatment systems is to shift the focus of treatment systems towards the gambler rather than trying to shift the gambler into treatment. The current study indicates gamblers hold positive attitudes towards strategies designed to limit or reduce their gambling and believe that they are able to implement these strategies. To better support gamblers in implementation of



these strategies, there is now urgent need for theory-driven empirical evidence to guide intervention development. This approach is especially important as beyond the uptake of strategies, there are no known treatments that are broadly acceptable to people with any level of gambling problem. As low- and moderate-risk gamblers shoulder the burden of most of the gambling-related harm, interventions that support strategy engagement for them are urgently needed.

**Author Contributions** Authors Kathleen L Bagot, Dan I. Lubman and Simone N Rodda designed the study and wrote the protocol. Author Kathleen L Bagot conducted the data analysis. Authors Kathleen L Bagot and Simone N Rodda wrote the first drafts of the manuscript and all authors contributed to and have approved the final manuscript.

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## Compliance with Ethical Standards

Conflict of Interest The authors have no conflicts of interest to declare in relation to this article. Over the past 3 years, all authors have received funding from multiple sources, including government departments or agencies that are funded primarily by government departments (some through hypothecated taxes from gambling revenue). SR has been part of a small research grant from the National Association for Gambling Studies. None of the authors have knowingly received research funding from the gambling industry or any industry-sponsored organisation.

**Ethical Approval** All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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