# **RESEARCH ARTICLE**

# Non-convex sparse optimization-based impact force identification with limited vibration measurements

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ABSTRACT Impact force identification is important for structure health monitoring especially in applications involving composite structures. Different from the traditional direct measurement method, the impact force identification technique is more cost effective and feasible because it only requires a few sensors to capture the system response and infer the information about the applied forces. This technique enables the acquisition of impact locations and time histories of forces, aiding in the rapid assessment of potentially damaged areas and the extent of the damage. As a typical inverse problem, impact force reconstruction and localization is a challenging task, which has led to the development of numerous methods aimed at obtaining stable solutions. The classical  $\ell_2$  regularization method often struggles to generate sparse solutions. When solving the under-determined problem,  $\ell_2$  regularization often identifies false forces in nonloaded regions, interfering with the accurate identification of the true impact locations. The popular  $\ell_1$  sparse regularization, while promoting sparsity, underestimates the amplitude of impact forces, resulting in biased estimations. To alleviate such limitations, a novel non-convex sparse regularization method that uses the non-convex  $\ell_{1-2}$  penalty, which is the difference of the  $\ell_1$  and  $\ell_2$  norms, as a regularizer, is proposed in this paper. The principle of alternating direction method of multipliers (ADMM) is introduced to tackle the non-convex model by facilitating the decomposition of the complex original problem into easily solvable subproblems. The proposed method named  $\ell_{1-2}$ -ADMM is applied to solve the impact force identification problem with unknown force locations, which can realize simultaneous impact localization and time history reconstruction with an under-determined, sparse sensor configuration. Simulations and experiments are performed on a composite plate to verify the identification accuracy and robustness with respect to the noise of the  $\ell_{1-2}$ -ADMM method. Results indicate that compared with other existing regularization methods, the  $\ell_{1-2}$ -ADMM method can simultaneously reconstruct and localize impact forces more accurately, facilitating sparser solutions, and yielding more accurate results.

**KEYWORDS** impact force identification, inverse problem, sparse regularization, under-determined condition, alternating direction method of multipliers

# **1** Introduction

Composite materials are widely used in mechanical engineering fields, especially in aeronautical structures due to their excellent properties such as high specific stiffness and specific strength [1]. However, composite structures often suffer from poor impact resistance [2]. When impacted by foreign objects, such as birds, rocks, and hail, composite structures are prone to barely visible impact damage (BVID) such as debonding and delamination [3]. If not detected promptly, BVID seriously threatens the healthy operation of the structure and

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even causes irreversible damages [4]. Impact force identification can help quickly determine where impact damage is likely to occur and assess the structural integrity. Therefore, it is indispensable to identify impact forces acting on composite structures, including reconstructing their time histories and localizing force positions. Considering that directly monitoring impact forces at unknown locations with force sensors is not feasible, measurable structural responses are used to solve for impact forces inversely instead [5]. In recent years, numerous approaches have emerged to address this of challenging inverse problem impact force identification [6].

To handle the highly ill-posed inverse problem of

impact force identification, regularization methods are commonly employed [7]. As one of the classical regularization methods, Tikhonov regularization is widely used for the inverse problem. Jacquelin et al. [8] conducted a comparative analysis of the effectiveness of Tikhonov, generalized singular value decomposition, truncated singular value decomposition methods in reconstructing impact forces imposed on an aluminum plate in time domain and stated the condition number of the transfer function is influenced by the position of measuring points. Li and Lu [9] localized impact forces through the Nelder-Mead method and then reconstructed their time histories using Tikhonov regularization on a cantilever beam with two accelerometers. Yan et al. [10] proposed a two-step approach for impact force identification, which involves an outer loop for impact localization using a nonlinear unscented Kalman filter and an inner loop for time history reconstruction using Tikhonov regularization. Although  $\ell_2$  regularization methods like Tikhonov are simple and easy to use, the identification performance is always poor when dealing with sparse, under-determined sensor placement cases [11]. In addition, when applied to impact force identification,  $\ell_2$  regularization often identifies false loads in the non-loaded area, resulting in poor identification accuracy [12].

With the increasing focus on sparse regularization methods, some researchers have gradually turned their attention from the traditional  $\ell_2$  norm to the study of solving cost functions based on the  $\ell_1$  norm because  $\ell_1$ regularization methods enforce sparsity by promoting a minimum number of nonzero values in the solution, which is in line with the sparse nature of impact forces in the joint time-space domain. Thus, these sparse methods can work well in the case of under-determined sensor configurations [13]. Because the  $\ell_1$ -norm minimization model is convex, it can be solved by convex algorithms, such as the gradient projection method [14], the interior point method [15], and the iterative soft threshold algorithm [16]. Ginsberg and Fritzen [17] simultaneously identified the impact locations and time histories of impact forces acting on a simple beam structure by a direct deconvolution involving an extended  $\ell_1$ -minimization problem. Qiao et al. [18] developed a general sparse model based on minimizing  $\ell_1$  norm and accurately reconstructed single- and two-source impact forces imposed on a clamped-free shell structure with the monotonic two-step iterative shrinkage/thresholding algorithm. To address the inherent defect that  $\ell_1$ regularization underestimates the amplitudes of impact forces, Aucejo and De Smet [19] proposed a novel regularization method based on a space-frequency multiplicative approach considering the sparsity of excitation sources in the space domain and verified this method on a thin simply supported steel beam. Pan and Chen [20] improved the identification accuracy of  $\ell_1$  regularization by introducing some pseudo forces to simulate the effects of additional mass loading. However, the ability of the  $\ell_1$  norm to promote sparsity is limited, so the above  $\ell_1$ -norm-based methods perform generally in solving the problem of amplitude underestimation [21].

To alleviate the said limitations of  $\ell_1$  regularization, some non-convex penalties have also been exploited in recent years to solve inverse problems including impact force identification to enhance sparsity [22–24]. Chartrand and Yin [25] indicated non-convex functions, such as  $\ell_p$  quasi-norm (0 < p < 1), can retrieve sparser solutions with fewer measurements than convex  $\ell_1$ regularization. Then, Qiao et al. [26] successfully introduced the non-convex  $\ell_p$ -norm regularizer into the impact force identification model, and the non-convex regularization method solved this large-scale inverse problem well, which realized the high-precision reconstruction of impact forces. Aucejo and De Smet [27] introduced a local regularization term  $\mathcal{R}(f) = ||f||_{q}^{q}$  (q refers to the norm parameter defined in  $\mathbb{R}^{+*}$ ) into the minimization problem, and  $\mathcal{R}(f)$  is a sparse term when q < 1. Liu et al. [28] used a non-convex penalty to establish an optimization objective function for impact force identification and numerically and experimentally verified it on a stiffened composite structure.

In addition to the non-convex regularization methods above, a non-convex penalty called  $\ell_{1-2}$  which is the difference between the  $\ell_1$  and  $\ell_2$  norms, has emerged [29] and has been successfully applied in compressive sensing to achieve the high-precision recovery of sparse signals [30]. As mentioned in Ref. [30],  $\ell_{1-2}$  is better than  $\ell_1$  in promoting sparsity due to its non-convexity. Therefore, in this contribution, the non-convex  $\ell_{1-2}$  penalty is extended to the impact force identification field. To the best of the authors' knowledge, the  $\ell_{1-2}$  minimization method has never been used to solve the impact force identification problem. Different from compressive sensing, the transfer matrix that needs to be dealt with in the impact force identification problem is a large-scale, non-orthogonal, and ill-conditioned Toeplitz-like matrix [31,32], which directly makes the impact force identification model built with the  $\ell_{1-2}$  penalty more difficult to cope with. This paper mainly focuses on monitoring more impact locations from fewer sensors, which means a large-scale, under-determined inverse problem needs to be solved. To this end, a novel  $\ell_{1-2}$  minimization method for impact force identification is proposed to reconstruct and localize impact forces at unknown impact locations simultaneously in the under-determined case with high accuracy. This method uses the non-convex  $\ell_{1-2}$  penalty to construct the impact force identification model. The alternating direction method of multipliers (ADMM) principle that has advantages in addressing large-scale optimization problems [33] is introduced to solve this large-scale under-determined non-convex model to realize the simultaneous localization and reconstruction of impact force. Moreover, unified algorithms for regularized least square problems are derived under the ADMM frame-work.

The rest of this paper is organized as follows. Section 2 briefly deduces the modeling problem for impact force identification. Section 3 introduces the framework of ADMM. Section 4 introduces the ADMM algorithms with regularization involving  $\ell_1$  and  $\ell_2$  norms and derives the non-convex  $\ell_{1-2}$  sparse regularization method via ADMM. Section 5 carries out numerical verification on a composite plate. Section 6 verifies the  $\ell_{1-2}$  sparse regularization method by means of laboratory experiments on a composite plate. Section 7 concludes this paper.

# 2 Problem statement

For a linear time-invariant single-input single-output (SISO) dynamic system, the convolution relationship

between the response and the excitation can be defined as [34]

$$s(t) = a(t) \otimes f(t) = \int_0^t a(t-\tau)f(t)d\tau, \qquad (1)$$

where s(t) is the system response, a(t) is the impulse response function (IRF), f(t) is the impact force excitation, t is the time,  $\tau$  is the time delayed operator satisfying  $t \ge \tau$ , and the symbol  $\otimes$  represents the convolution operation. This paper assumes s(t) = a(t) =f(t) = 0 when t < 0.

The continuous convolution model Eq. (1) can be discretized as

$$s(N\Delta t) = \Delta t \sum_{i=1}^{N} a((N-i)\Delta t) f(i\Delta t), \qquad (2)$$

where  $\Delta t$  is the sampling interval, *i* is the looping variable within the summation operation satisfying  $1 \le i \le N$ , and *N* is the data length of the discretized IRF. Equation (2) can be further written as

$$\begin{bmatrix} s(\Delta t) \\ s(2\Delta t) \\ \vdots \\ s((N-1)\Delta t) \\ s(N\Delta t) \end{bmatrix} = \Delta t \begin{bmatrix} a(\Delta t) & 0 & \cdots & 0 & 0 \\ a(2\Delta t) & a(\Delta t) & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ a((N-1)\Delta t) & a((N-2)\Delta t) & \cdots & a(\Delta t) & 0 \\ a(N\Delta t) & a((N-1)\Delta t) & \cdots & a(2\Delta t) & a(\Delta t) \end{bmatrix} \begin{bmatrix} f(\Delta t) \\ f(2\Delta t) \\ \vdots \\ f((N-1)\Delta t) \\ f(N\Delta t) \end{bmatrix}.$$
(3)

For convenience, Eq. (3) can be expressed concisely as

$$\boldsymbol{s}_{\mathrm{s}} = \boldsymbol{A}_{\mathrm{s}} \boldsymbol{f}_{\mathrm{s}},\tag{4}$$

where the response vector for the SISO system  $s_s \in \mathbb{R}^N$ , the excitation force vector for the SISO system  $f_s \in \mathbb{R}^N$ , and  $A_s \in \mathbb{R}^{N \times N}$  refers to the transfer matrix between a single-point excitation force and a single-point response.

The response of a certain measurement position is the linear superposition of the impact force applied at each location, which is described as

$$\boldsymbol{s}_{i} = \begin{bmatrix} \boldsymbol{A}_{i1} & \boldsymbol{A}_{i2} & \cdots & \boldsymbol{A}_{in} \end{bmatrix} \begin{bmatrix} \boldsymbol{f}_{1} \\ \boldsymbol{f}_{2} \\ \vdots \\ \boldsymbol{f}_{n} \end{bmatrix}, \quad (5)$$

where  $s_i$  refers to the response at a certain position *i*.

When impact forces are imposed on several unknown locations over a structure, the responses from several different positions are synchronously recorded as

$$\begin{bmatrix} \mathbf{s}_{1} \\ \mathbf{s}_{2} \\ \vdots \\ \mathbf{s}_{m} \end{bmatrix} = \begin{bmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} & \cdots & \mathbf{A}_{1n} \\ \mathbf{A}_{21} & \mathbf{A}_{22} & \cdots & \mathbf{A}_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ \mathbf{A}_{m1} & \mathbf{A}_{m2} & \cdots & \mathbf{A}_{mn} \end{bmatrix} \begin{bmatrix} \mathbf{f}_{1} \\ \mathbf{f}_{2} \\ \vdots \\ \mathbf{f}_{n} \end{bmatrix}, \quad (6)$$

where *m* and *n* are the number of measurement responses and impact force excitations, respectively.

Equation (6) can also be expressed compactly as

$$\boldsymbol{s} = \boldsymbol{A}\boldsymbol{f} + \boldsymbol{e},\tag{7}$$

where the response vector  $s \in \mathbb{R}^{mN}$ , the force vector  $f \in \mathbb{R}^{nN}$ , the block Topelitz-like matrix  $A \in \mathbb{R}^{mN \times nN}$ , and the vector  $e \in \mathbb{R}^{mN}$  represents random noise and accounts for measurement errors inevitable during the actual measurement. According to the relationship between the amount of measurements *m* and excitations *n*, the inverse problem of impact force identification is classified into three categories:

(I) If m > n, the inverse problem is under an overdetermined condition.

(II) If m = n, the inverse problem is under an evendetermined condition.

(III) If m < n, the inverse problem is under an underdetermined condition.

Considering that to be greater than or equal to the number of potential impact locations n in practical applications is sometimes unrealistic for the number of sensors m [35], this paper mainly studies the inverse problem under the under-determined condition. Once the solution f is obtained, the time history reconstruction and localization of the impact force can be achieved simultaneously. If the challenging problem of impact force identification in the under-determined case is solved, then solving the two other cases becomes straightforward.

Under the under-determined condition, the impact force identification problem in Eq. (7) is a typical ill-conditioned problem. Transfer matrix A has a remarkably high condition number, so the inevitable noise in the response causes a large error in the solution result. Therefore, regularization techniques are often resorted to for the stability of solutions. Then, solving f is transformed into solving the general minimization problem,

$$\arg\min_{f} \frac{1}{2} \|Af - s\|_{2}^{2} + \lambda g(f), \qquad (8)$$

where  $||Af - s||_2^2$  is the data fidelity item, g(f) is the penalty term which incorporates prior knowledge, and  $\lambda$ is the regularization parameter. The regularized least square problem is intractable, especially for the nonconvex regularized problem. The impact force identification problem poses a challenge to the solution efficiency and accuracy due to the high dimensionality of the transfer matrix involved. Because ADMM has advantages in solving high-dimensional and non-convex optimization problems [33], this type of least square problem with regularizers is solved under the framework of ADMM in the following section.

# **3 ADMM principle**

ADMM is an effective optimization algorithm for solving convex and non-convex optimization problems [36,37]. To solve the complex optimization problem in Eq. (8), ADMM enables decoupling the regularized term from the smooth data fidelity term, providing computational benefits.

According to ADMM, Eq. (8) can be reformulated as

$$\{\hat{f}, \hat{h}\} = \arg\min_{f} \frac{1}{2} \|Af - s\|_{2}^{2} + \lambda g(h),$$
  
s.t.  $f - h = 0,$ 

where the additional vector 
$$h$$
 is introduced for variable  
splitting, and the vectors  $\hat{f}$  and  $\hat{h}$  are the estimated  $f$  and  
 $h$ , respectively. Subsequently, the augmented Lagrangian

h, respectively. Subsequently, the augmented Lagrang function can be obtained from Eq. (9) as

$$\operatorname{arg\,min}_{f,h} L(f, h, z) = \operatorname{arg\,min}_{f,h} \frac{1}{2} \|Af - s\|_{2}^{2} + \lambda g(h)$$
$$+ \frac{\rho}{2} \|f - h\|_{2}^{2} + \rho z^{\mathrm{T}} (f - h), \qquad (10)$$

where z is a Lagrange multiplier vector and  $\rho$  is a positive penalty parameter able to control the convergence rate. Equation (10) can be solved by updating f, h, and zseparately, resulting in the following three sub problems:

$$f^{(k+1)} = \arg\min_{f} \left( \frac{1}{2} \| \boldsymbol{A} \boldsymbol{f} - \boldsymbol{s} \|_{2}^{2} + \rho \left( \boldsymbol{z}^{(k)} \right)^{\mathrm{T}} \boldsymbol{f} + \frac{\rho}{2} \left\| \boldsymbol{f} - \boldsymbol{h}^{(k)} \right\|_{2}^{2} \right),$$
(11)

$$\boldsymbol{h}^{(k+1)} = \arg\min_{\boldsymbol{h}} \left( \lambda g(\boldsymbol{h}) + \frac{\rho}{2} \left\| \boldsymbol{f}^{(k+1)} - \boldsymbol{h} + \boldsymbol{z}^{(k)} \right\|_{2}^{2} \right), \quad (12)$$

$$\boldsymbol{z}^{(k+1)} = \boldsymbol{z}^{(k)} + \boldsymbol{f}^{(k+1)} - \boldsymbol{h}^{(k+1)}, \quad (13)$$

where the *f*-update step in Eq. (11) and *h*-update step in Eq. (12) can be completed by calculating their proximal operators, and *k* is the number of iterations. Equation (11) can be solved by considering the proximal operator of y(f) which is  $y(f) = \frac{1}{2\rho} ||Af - s||_2^2 + (z^{(k)})^T f$ . Then Eq. (11) can be rewritten as

$$\operatorname{prox}_{y_{f}}\left(\boldsymbol{h}^{(k)}\right) = \operatorname{arg\,min}_{f}\left\{y(\boldsymbol{f}) + \frac{1}{2}\left\|\boldsymbol{f} - \boldsymbol{h}^{(k)}\right\|_{2}^{2}\right\}.$$
 (14)

A closed-form solution of proximal operator in Eq. (14) can be calculated as

$$\boldsymbol{f}^{(k+1)} = \left(\boldsymbol{A}^{\mathrm{T}}\boldsymbol{A} + \rho \boldsymbol{I}\right)^{-1} \left(\boldsymbol{A}^{\mathrm{T}}\boldsymbol{s} + \rho\left(\boldsymbol{h}^{(k)} - \boldsymbol{z}^{(k)}\right)\right), \quad (15)$$

where I is the identify matrix with the same dimensions as the matrix  $A^{T}A$ . The specific solutions of sub problem Eq. (12) are determined by the choice of the penalty g(h), and the derivation is given in the next section.

# 4 Regularization methods of impact force identification based on ADMM

By choosing different penalties in Eq. (8), different regularization methods can be formulated. In this section, the classic  $\ell_2$  regularization method and  $\ell_1$  sparse regularization method are introduced. Furthermore, a novel sparse regularization method based on  $\ell_{1-2}$  minimization is proposed for impact force identification.

#### 4.1 $\ell_2$ regularization via ADMM

(9)

Substituting the  $\ell_2$  norm into Eq. (8), the corresponding minimization problem is

$$\underset{f}{\arg\min} \frac{1}{2} \| \boldsymbol{A} \boldsymbol{f} - \boldsymbol{s} \|_{2}^{2} + \lambda \| \boldsymbol{f} \|_{2}^{2}, \qquad (16)$$

where  $\|\boldsymbol{f}\|_2^2 = \sum_{i=1}^n |f_i|^2$  denotes the  $\ell_2$  norm. Thus, Eq. (12) can be updated accordingly as

$$\boldsymbol{h}^{(k+1)} = \arg\min\left(\lambda \|\boldsymbol{h}\|_{2}^{2} + \frac{\rho}{2} \|\boldsymbol{f}^{(k+1)} - \boldsymbol{h} + \boldsymbol{z}^{(k)}\|_{2}^{2}\right).$$
(17)

Because Eq. (17) is a differentiable function, taking its partial derivative with respect to h and making it equal to 0 can obtain the h-update step as

$$\boldsymbol{h}^{(k+1)} = \frac{\rho(\boldsymbol{f}^{(k+1)} + \boldsymbol{z}^{(k)})}{2\lambda + \rho}.$$
 (18)

Algorithm 1 shows the  $\ell_2$  regularization via ADMM. Many studies have applied  $\ell_2$  regularization to the dynamic force identification and achieved good results when selecting appropriate  $\lambda$  parameters [38,39]. However,  $\ell_2$  regularization is ineffective at solving underdetermined cases [11], and when performing impact force identification, spurious forces appear in non-impact regions [40].

Algorithm 1.  $\ell_2$  regularization via ADMM ( $\ell_2$ -ADMM) Input: A, s,  $\lambda$ ,  $\rho$ , iteration termination threshold  $\varepsilon$ , maximum iteration  $N_{max}$ . Output:  $f^{(k+1)}$ . Initialization:  $f^{(0)} = 0$ ,  $h^{(0)} = 0$ ,  $z^{(0)} = 0$ , k = 0. 1. for  $k = 0, 1, 2, ..., N_{max}$  do 2.  $f^{(k+1)} = (A^T A + \rho I)^{-1} (A^T s + \rho (h^{(k)} - z^{(k)}))$ , 3.  $h^{(k+1)} = \rho (f^{(k+1)} + z^{(k)}) / (2\lambda + \rho)$ , 4.  $z^{(k+1)} = z^{(k)} + f^{(k+1)} - h^{(k+1)}$ , 5. if  $\| f^{(k+1)} - f^{(k)} \|_2 \le \varepsilon$ ,  $\| f^{(k+1)} - h^{(k+1)} \|_2 \le \varepsilon$ , and  $\| z^{(k+1)} - z^{(k)} \|_2 \le \varepsilon$ , 6. break for 7. end 8. k = k + 1, 9. end

#### 4.2 $\ell_1$ sparse regularization via ADMM

Considering most entries in f are either equal or close to zero, minimizing the regularized linear least square cost function with  $\ell_1$  norm is a reasonable compromise to induce sparse solutions of Eq. (7), mathematically expressed by introducing the  $\ell_1$ -norm penalty into Eq. (8) as

$$\arg\min_{f} \frac{1}{2} \| s - Af \|_{2}^{2} + \lambda \| f \|_{1}, \qquad (19)$$

where  $\|\boldsymbol{f}\|_1 = \sum_{i=1}^{n} |f_i|$  denotes the  $\ell_1$  norm. Then, Eq. (12) can be modified as

$$\boldsymbol{h}^{(k+1)} = \arg\min_{\boldsymbol{h}} \left( \lambda \|\boldsymbol{h}\|_{1} + \frac{\rho}{2} \left\| \boldsymbol{f}^{(k+1)} - \boldsymbol{h} + \boldsymbol{z}^{(k)} \right\|_{2}^{2} \right).$$
(20)

By specifying  $w = f^{(k+1)} + z^{(k)}$  and  $g(h) = ||h||_1$ , Eq. (20) can be further written as

$$\boldsymbol{h}^{(k+1)} = \arg\min_{z} \left\{ \frac{\lambda}{\rho} g(\boldsymbol{h}) + \frac{1}{2} \|\boldsymbol{w} - \boldsymbol{h}\|_{2}^{2} \right\}.$$
(21)

Thus, Eq. (21) can be solved by Ref. [16],

$$\boldsymbol{h}^{(k+1)} = \max\left(0, \quad \left|\boldsymbol{f}^{(k+1)} + \boldsymbol{z}^{(k)}\right| - \frac{\lambda \boldsymbol{c}}{\rho}\right), \quad (22)$$

where the operator  $|\cdot|$  refers to the absolute value of each element in the vector, and the vector  $\boldsymbol{c} = \begin{bmatrix} 1 & 1 & \cdots & 1 \end{bmatrix}^T$  has the same dimension as the vector  $\boldsymbol{h}$ . To sum up, Algorithm 2 shows the  $\ell_1$  regularization via ADMM.

Many researchers have applied  $\ell_1$  regularization methods to reconstruct and localize impact forces

Algorithm 2. $\ell_1$ sparse regularization via ADMM ( $\ell_1$ -ADMM)
<b>Input:</b> A, s, $\lambda$ , $\rho$ , iteration termination threshold $\varepsilon$ , maximum iteration $N_{\text{max}}$
<b>Output:</b> $f^{(k+1)}$ .
Initialization: $f^{(0)} = 0$ , $h^{(0)} = 0$ , $z^{(0)} = 0$ , $k = 0$ .
1. for $k = 0, 1, 2,, N_{max}$ do
2. $\boldsymbol{f}^{(k+1)} = \left(\boldsymbol{A}^{T}\boldsymbol{A} + \boldsymbol{\rho}\boldsymbol{I}\right)^{-1} \left(\boldsymbol{A}^{T}\boldsymbol{s} + \boldsymbol{\rho}\left(\boldsymbol{h}^{(k)} - \boldsymbol{z}^{(k)}\right)\right),$
3. $\boldsymbol{h}^{(k+1)} = \max\left(0, \left \boldsymbol{f}^{(k+1)} + \boldsymbol{z}^{(k)}\right  - \frac{\lambda \boldsymbol{c}}{\rho}\right),$
4. $z^{(k+1)} = z^{(k)} + f^{(k+1)} - h^{(k+1)}$ ,
5. if $\left\  \boldsymbol{f}^{(k+1)} - \boldsymbol{f}^{(k)} \right\ _{2} \leq \varepsilon$ , $\left\  \boldsymbol{f}^{(k+1)} - \boldsymbol{h}^{(k+1)} \right\ _{2} \leq \varepsilon$ , and $\left\  \boldsymbol{z}^{(k+1)} - \boldsymbol{z}^{(k)} \right\ _{2} \leq \varepsilon$ ,
6. break for
7. end
8. $k = k + 1$ ,
9. end

simultaneously [12,18]. Although  $\ell_1$  regularization is feasible and widely used for inducing sparse solutions, theory and practice have proven this convex regularization underestimates the amplitude of impact force [21].

#### 4.3 $\ell_{1-2}$ sparse regularization via ADMM

To enhance the accuracy and sparsity of the solution, a novel non-convex regularizer is introduced as an alternative to the  $\ell_1$  norm. The cost function with the non-convex regularizer is generally expressed as

$$\min_{f} \frac{1}{2} \|Af - s\|_{2}^{2} + \lambda \|f\|_{1-2}, \qquad (23)$$

where 
$$||f||_{1-2} = \sum_{i=1}^{n} |f_i| - \sqrt{\sum_{i=1}^{n} |f_i|^2}$$
 is a mixed non-convex

penalty combining the  $\ell_1$  and  $\ell_2$  norms,  $f_i$  is the *i*th element in the vector f. For intuition, the 3D schematic comparison diagrams of  $\ell_1$  norm,  $\ell_2$  norm, and  $\ell_{1-2}$  norm and their corresponding contour maps are depicted in Fig. 1. Figures 1(a) and 1(b) show that as the values of  $x_1$  and  $x_2$  decrease, the contour of the  $\ell_{1-2}$  norm approaches the  $x_1$  and  $x_2$  axes, thereby promoting sparsity. Compared with the  $\ell_1$  norm, the  $\ell_{1-2}$  norm is closer to the axes as  $\ell_0$  norm, implying the  $\ell_{1-2}$  norm can be a more proper relaxation of  $\ell_0$  than  $\ell_1$ .

Then Eq. (12) can be derived as

$$\boldsymbol{h}^{(k+1)} = \arg\min_{\boldsymbol{h}} \left( \lambda(\|\boldsymbol{h}\|_{1} - \|\boldsymbol{h}\|_{2}) + \frac{\rho}{2} \left\| \boldsymbol{f}^{(k+1)} - \boldsymbol{h} + \boldsymbol{z}^{(k)} \right\|_{2}^{2} \right).$$
(24)

By defining  $w = f^{(k+1)} + z^{(k)}$  and  $\Gamma = \lambda/\rho$ , Eq. (24) can be solved by the following shrinkage operation [41]:

$$\operatorname{shrink}_{\ell_{1-2}}(\boldsymbol{w}, \Gamma) = \begin{cases} \frac{\boldsymbol{u}(\|\boldsymbol{u}\|_2 + \Gamma)}{\|\boldsymbol{u}\|_2}, & \|\boldsymbol{w}\|_{\infty} \ge \Gamma, \\ \|\boldsymbol{w}\|_{\infty} \cdot \operatorname{sign}(w_{\max}), & \|\boldsymbol{w}\|_{\infty} < \Gamma, \end{cases}$$
(25)



Fig. 1 3D plots of different penalties and their corresponding contour maps: (a) 3D plots of  $\ell_2$  norm,  $\ell_1$  norm,  $\ell_{1-2}$  norm, and  $\ell_0$  norm and (b) corresponding contour maps.

where the vector  $\boldsymbol{u}$  is defined as  $\boldsymbol{u} = \max(0, |\boldsymbol{w}| - \Gamma \boldsymbol{c})$ , and  $w_{\max}$  denotes the element with the largest absolute value in vector  $\boldsymbol{w}$ . Therefore, Algorithm 3 shows the  $\ell_{1-2}$  regularization via ADMM.

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Algorithm 3. \ell_{1-2} sparse regularization via ADMM (\ell_{1-2}-ADMM)

Input: A, s, \lambda, \rho, iteration termination threshold \varepsilon, maximum iteration N_{max}.

Output: f^{(k+1)}.

Initialization: f^{(0)} = 0, h^{(0)} = 0, z^{(0)} = 0, k = 0.

1. for k = 0, 1, 2, ..., N_{max} do

2. f^{(k+1)} = (A^T A + \rho I)^{-1} (A^T s + \rho (h^{(k)} - z^{(k)})),

3. h^{(k+1)} = \operatorname{shrink}_{\ell_{1-2}} (f^{(k+1)} + z^{(k)}, \lambda/\rho),

4. z^{(k+1)} = z^{(k)} + f^{(k+1)} - h^{(k+1)},

5. if \|f^{(k+1)} - f^{(k)}\|_{2} \le \varepsilon, \|f^{(k+1)} - h^{(k+1)}\|_{2} \le \varepsilon, and \|z^{(k+1)} - z^{(k)}\|_{2} \le \varepsilon,

6. break for

7. end

8. k = k + 1,

9. end
```

#### 4.4 Computational complexity

According to Ref. [33], a naive method for calculating the f-update from Eq. (15) costs  $O(n^3N^3 + nN)$  flops, in which  $O(\cdot)$  is a symbol used to represent computational complexity, nN is the product of the number of impact excitations and the data length of the discretized IRF. The computational complexity of the h-update step and the z-update step is O(nN). Therefore, the computational complexity of the three methods based on the ADMM framework is  $O(n^3N^3 + nN) + O(nN) + O(nN) \approx O(n^3N^3)$ .

# **5** Numerical validation

In this section, a series of simulations are conducted to evaluate the effectiveness of the proposed  $\ell_{1-2}$ -ADMM method for impact force identification. First, the impact force identification results of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM are systematically compared, considering single- and continuous multi-impact cases. Moreover, the effect of noise on the accuracy of the three methods in reconstructing and localizing impact forces is comparatively investigated.

#### 5.1 Problem description

A composite plate with two opposite edges clamped is considered for this numerical validation. The dimensions of this plate are 400 mm × 300 mm × 6 mm, and its material properties are listed in Table 1. The structural dynamics of the plate caused by external impact forces is described by the finite element model in ANSYS. The plate is divided into 16×12 quadratic shell elements, and its first three natural frequencies are 395.88, 441.90, and 621.25 Hz. The Rayleigh damping  $C = \alpha M + \beta K$  is considered with  $\alpha = 2.6240$  and  $\beta = 3.7994 \times 10^{-7}$ , where *C* is the damping matrix, *M* is the mass matrix, and *K* is the stiffness matrix.

The employed structural responses used in this numerical validation are strains. The arrangement of strain sensors and potential impact locations for all subsequent simulation studies are shown in Fig. 2, where the optional measurement positions are numbered as  $S_1 - S_{20}$ , and the potential impact locations are sorted as  $P_1 - P_{15}$ . To simulate the under-determined cases, merely

 Table 1
 Material properties of the composite plate

Elastic modulus	Shear modulus	Poisson's ratio	Density	Layer-ups
$E_1 = 135.0 \text{ GPa},$	$G_{12} = 4.47$ GPa,	$v_{12} = 0.3,$		
$E_2 = 8.8 \text{ GPa},$	$G_{23} = 3.00 \text{ GPa},$	$v_{23} = 0.4$ ,	ho = 1560 kg/m <sup>3</sup>	[45°/0°/-45°/90°]
$E_3 = 8.8 \text{ GPa}$	$G_{13} = 4.47 \text{ GPa}$	$v_{13} = 0.3$		



Fig. 2 Composite plate with two opposite edges clamped: (a) schematic diagram of an applied impact force on the plate and (b) distribution of strain sensors and potential impact locations. Fifteen potential impact locations are considered, and the selected measurement positions are  $S_8$ ,  $S_{10}$ ,  $S_{14}$ , and  $S_{18}$ .

four sensors are employed to acquire the responses, as shown in Fig. 2(b). Through the Monte Carlo method, four sensors at  $S_8$ ,  $S_{10}$ ,  $S_{14}$ , and  $S_{18}$  are selected.

In the single-impact case, an impulsive force in the form of Gaussian function is applied perpendicularly to the plate for a very short duration, defined as

$$f_1 = B \mathrm{e}^{-\frac{(t-t_0)^2}{2T^2}},\tag{26}$$

where *B* is the amplitude of the impact force, e is the mathematical constant Euler's number,  $t_0$  denotes the occurrence time instant of the impact, and *T* regulates the impact duration. In the continuous multi-impact case, a composite force combining sine, triangle, and Gaussian impacts is applied vertically to the composite plate.

To simulate actual measured signals, the simulated dynamic responses are corrupted by Gaussian white noise,

$$\tilde{\boldsymbol{s}} = \boldsymbol{s} + \sigma \boldsymbol{r},\tag{27}$$

where  $\tilde{s}$  is the noisy response vector,  $\sigma$  denotes the standard deviation of the vector s, and the vector r consists of random values independently drawn from a normal distribution with zero mean and unit standard deviation.

The Green function method with the strain mode shapes of the plate is used to obtain IRF a(t) in advance [42]. The value of the optimal regularization parameter  $\lambda$  is chosen according to the principle of global relative error (GRE) minimization, where GRE is defined as

GRE = 
$$\frac{\|\boldsymbol{f} - \boldsymbol{\hat{f}}\|_2}{\|\boldsymbol{f}\|_2} \times 100\%,$$
 (28)

where f is a column vector composed of actual force vectors at all locations and  $\hat{f}$  is composed of estimated ones at all locations. The GRE indicator reflects the global reconstruction accuracy of the method.

To evaluate the reconstruction accuracy at the impact location, the local relative error (LRE) between the actual force vector  $f_p$  and the estimated one  $\hat{f}_p$  at the impact location can be defined as

LRE = 
$$\frac{\|\boldsymbol{f}_{p} - \hat{\boldsymbol{f}}_{p}\|_{2}}{\|\boldsymbol{f}_{p}\|_{2}} \times 100\%,$$
 (29)

where subscript p represents the serial number of the location subjected to impact force.

Moreover, as a local quality indicator for impact force identification, peak relative error (PRE) is defined as

$$PRE = \frac{\left\|\max(f_p) - \max(\hat{f}_p)\right\|_2}{\left\|\max(f_p)\right\|_2} \times 100\%.$$
 (30)

In addition, for the under-determined case, localization error (LE) is required to evaluate the localization accuracy of impact force identification, which is defined as

$$LE = \left(1 - \frac{\|\hat{f}_{p}\|_{2}}{\sum_{i=1}^{n_{t}} \|\hat{f}_{i}\|_{2}}\right) \times 100\%, \qquad (31)$$

where  $n_{\rm f}$  is the total number of potential impact force locations.

For ease of comparison, the iteration termination criteria of the three algorithms need to be consistent, which is described as

$$\frac{\left\|\boldsymbol{\hat{f}}^{^{(k+1)}}-\boldsymbol{\hat{f}}^{^{(k)}}\right\|_{_{1}}}{\left\|\boldsymbol{\hat{f}}^{^{(k)}}\right\|_{_{1}}} \leqslant \varepsilon,$$
(32)

where the value of  $\varepsilon$  is set to  $10^{-4}$ .

#### 5.2 Impact force identification using $\ell_{1-2}$ -ADMM

To assess the performance of the proposed method in identifying single-impact and continuous multi-impact forces, the force reconstruction and localization accuracy of the proposed  $\ell_{1-2}$ -ADMM method are compared with  $\ell_1$ -ADMM and  $\ell_2$ -ADMM methods under the same simulation conditions. The impact force is stochastically applied to a certain location, and the noise level of measurement signals is set to 20 dB. Likewise, to remove randomness, 100 runs are performed independently at each impact location.

For the first case, a single Gaussian impact force is exerted at a random potential impact location on the composite plate. As examples, the identification results of impacts on P<sub>3</sub> and P<sub>10</sub> are shown in Fig. 3. For the two examples, the computing times for  $\ell_{1-2}$ -ADMM,  $\ell_{1-}$  ADMM, and  $\ell_2$ -ADMM methods are 243.32, 254.04, and 73.36 s in the P<sub>3</sub> example, respectively, and 265.21, 293.69, and 73.07 s in the P<sub>10</sub> example, respectively. The amplitude and topography of  $\ell_{1-2}$ -ADMM identification results are closest to the exact forces, whereas  $\ell_2$ -ADMM fails to identify the impact force in the under-determined case despite having the shortest computing time. Figure 4 depicts the comparison of GREs, LREs, PREs, and LEs in the identification results of the three methods at the eight stochastically selected locations.  $\ell_{1-2}$ -ADMM achieves impact force identification with highest accuracy among the three methods, keeping its GREs, LREs, and LEs under 10% and PREs below 5%.

For the second case, a multimorphology continuousimpact force is applied to the plate to verify the performance of  $\ell_{1-2}$ -ADMM further in identifying impacts of different shapes and continuous impacts. Two identification results are given in Figs. 5 and 6 as examples, that is, the multimorphology continuousimpact force is randomly applied to P<sub>9</sub> and P<sub>11</sub> respectively.  $\ell_{1-2}$ -ADMM can more accurately identify sine, triangle, and Gaussian impacts, and the shapes and peak values of its identification results are most consistent with the exact force, whereas  $\ell_2$ -ADMM still fails to complete the identification task. To provide a more intuitive representation of the identification quality





Fig. 3 Reconstructed impact time histories at all monitored locations at 20 dB noise level when (a) real impact acts on  $P_3$  and (b) real impact acts on  $P_{10}$ . ADMM: alternating direction method of multipliers.

and computational efficiency of the three methods, the values of the corresponding four accuracy indicators and computing time are listed in Table 2.  $\ell_{1-2}$ -ADMM still maintains the highest identification accuracy and especially improves the identified accuracy of impact amplitudes over  $\ell_1$ -ADMM with similar computing time. Overall, the non-convex  $\ell_{1-2}$ -ADMM method performs the best of the three methods in identifying single-impact forces and multimorphology continuous-impact forces.



#### 5.3 Effect of noise level

To evaluate the robustness of the  $\ell_{1-2}$ -ADMM method under varying noise levels, the performance of the three methods,  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM, in constructing and localizing the single-impact force randomly imposed at P<sub>1</sub> and P<sub>9</sub> are compared. In this subsection, six different noise levels, namely, 35, 30, 25, 20, 15, and 10 dB, are considered. Similarly, 100 separate





Fig. 4 Impact force identification results denoted by accuracy indicators at eight randomly chosen locations at 20 dB noise level by  $\ell_{1-2}$ alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM: (a) global relative error, (b) local relative error, (c) peak relative error, and (d) localization error.



Fig. 5 Identification results of the multimorphology continuous impact force at P<sub>9</sub> under 20 dB noise level by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM: (a) time history reconstruction and (b) localization results.



**Fig. 6** Identification results of the multimorphology continuous impact force at  $P_{11}$  under 20 dB noise level by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM: (a) time–history reconstruction and (b) localization results.

**Table 2** Identification accuracy indicators and computing time of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM for the multimorphology continuous impact force at different locations at 20 dB noise level

		GRE/%	LRE/% -		PRE/%	LE/01		
Position	Method			Sine	Triangle	Gaussian	LE/%	Computing time/s
P9	$\ell_{1-2}$ -ADMM	10.53	8.64	3.89	4.58	3.85	15.45	280.07
	$\ell_1$ -ADMM	15.03	11.94	9.33	7.37	6.69	21.92	292.65
	$\ell_2$ -ADMM	85.90	71.88	70.29	73.42	72.85	84.48	96.88
P <sub>11</sub>	$\ell_{1-2}$ -ADMM	11.03	9.59	5.35	2.80	1.49	16.11	272.45
	$\ell_1$ -ADMM	15.14	13.21	10.30	5.98	4.21	20.78	273.10
	$\ell_2$ -ADMM	94.21	88.95	89.29	86.83	87.50	90.85	40.14

runs are conducted at each noise level to avoid randomness.

Figure 7 demonstrates the relationships between the accuracy indicators (GREs, LREs, PREs, and LEs) and noise levels when impacting the two locations.  $\ell_{1-2}$ -

ADMM holds the highest reconstruction and localization accuracy at various noise levels. Additionally, Fig. 7 indicates the identification accuracy of  $\ell_{1-2}$ -ADMM decreases with the noise increasing, whereas GREs and LREs remain below 10%, and PREs generally keep



Fig. 7 Four accuracy indicators of identification results for the same single impact force via  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM at different noise levels: (a) impact at P<sub>1</sub> and (b) impact at P<sub>9</sub>. SNR: signal-noise ratio.

below 5% over a range of noise levels from 35 to 10 dB. The LEs of the  $\ell_{1-2}$ -ADMM identification results are kept less than 10% overall during the process of increasing noise and only slightly increase when signal-noise ratio is lower than 15 dB. Generally,  $\ell_{1-2}$ -ADMM performs better than  $\ell_1$ -ADMM and  $\ell_2$ -ADMM at various noise levels, showing the proposed method is quite robust to noise.

# 6 Experimental verification

In this section, an experimental verification is performed on a composite plate to verify the performance of  $\ell_{1-2}$ -ADMM further in terms of improving accuracy for impact force reconstruction and localization in single- and continuous-impact cases. In addition, compared with the  $\ell_1$ -ADMM and  $\ell_2$ -ADMM methods, the performance of  $\ell_{1-2}$ -ADMM is compared with that of the classic nonconvex  $\ell_{1/2}$  regularization and  $\ell_{2/3}$  regularization methods in terms of impact force identification under the ADMM framework. The  $\ell_{1/2}$  regularization and  $\ell_{2/3}$  regularization algorithms are detailed in Refs. [43,44]. An underdetermined case with limited strain gages same as simulations is considered here, that is, four strain gages are used to monitor 15 potential impact locations, so that the dimension of the transfer matrix A in the experiment is consistent with the simulation.

#### 6.1 Experimental set-up

The configuration of an opposite-side-clamped composite plate with the same parameters and properties as the simulation model is shown in Fig. 8. Impact forces are imposed by an impact hammer (PCB 086C03), and the force sensor embedded in the hammer head measures the impulsive signals. Strain responses are acquired from strain sensors (PCB 740B02). LMS SCADASIII data acquisition system simultaneously records force and strain signals with a sampling frequency of 10240 Hz. Potential impact positions are sorted as  $P_1-P_{15}$ , and strain sensor locations are labelled as  $S_1-S_{10}$ .

Referring to the simulation study, the strain responses at S<sub>1</sub>, S<sub>2</sub>, S<sub>7</sub>, and S<sub>9</sub> are selected to monitor all 15 potential impact positions. Frequency response function  $a_{ij}(\omega)$ between the output position *i* and the input location *j* is obtained by performing impact testing via the Lifecycle Management Software modal testing module, and IRF  $a_{ij}(t)$  calculated by inverse fast fourier transform of  $a_{ij}(\omega)$ is discretized to form the Toeplitz matrix. Same as the simulation, the data analysis length *N* is 512, resulting in an under-determined system with a dimension of 2048 × 7680.

#### 6.2 Results and discussion

In the experimental validation, instead of minimizing the



Fig. 8 Experimental setup of the composite plate with two opposite edges clamped. Four sensors  $(S_1, S_2, S_7, \text{ and } S_9)$  are selected to monitor the 15 potential impact locations

GRE value that is infeasible in practice, the  $\lambda$  values of the three methods are chosen by the Monte Carlo generalized stein unbiased risk estimate (MC-GSURE) technique, which is defined as [45]

$$E(\|\boldsymbol{Q}(x_{\lambda}(\boldsymbol{u}) - \boldsymbol{f})\|^{2}) = \|\boldsymbol{Q}\boldsymbol{f}\|^{2} + \|\boldsymbol{Q}x_{\lambda}(\boldsymbol{u})\|^{2} + 2\operatorname{div}_{\boldsymbol{u}}(\boldsymbol{Q}x_{\lambda}(\boldsymbol{u})) - 2x_{\lambda}^{\mathrm{T}}(\boldsymbol{u})\boldsymbol{\hat{f}}_{\mathrm{ML}},$$
(33)

where  $\boldsymbol{Q} := \boldsymbol{A}^{\mathrm{T}} (\boldsymbol{A}\boldsymbol{A}^{\mathrm{T}})^{-1} \boldsymbol{A}$  is a projection matrix,  $x_{\lambda}(\boldsymbol{u})$  returns the solution result of Eq. (8),  $\boldsymbol{u} = (1/\sigma_n^2) \boldsymbol{A}^{\mathrm{T}} \boldsymbol{s}$  represents the sufficient statistic of the model Eq. (8),  $\sigma_n$  is the standard deviation of noise that can be obtained via the mean absolute derivative method [46],  $\hat{f}_{\mathrm{ML}} = \boldsymbol{A}^{\mathrm{T}} (\boldsymbol{A}\boldsymbol{A}^{\mathrm{T}})^{-1} \boldsymbol{s}$  denotes the maximum likelihood estimation of the vector  $\boldsymbol{f}$ , and the divergence  $\operatorname{div}_u(\boldsymbol{Q}x_{\lambda}(\boldsymbol{u}))$  is approximated by the Monte Carlo method, which is derived as

$$\operatorname{div}_{\boldsymbol{u}}(\boldsymbol{Q}\boldsymbol{x}_{\boldsymbol{\lambda}}(\boldsymbol{u})) \approx \boldsymbol{r}^{\mathrm{T}}\boldsymbol{Q}\frac{\boldsymbol{x}_{\boldsymbol{\lambda}}(\boldsymbol{u}+\delta\boldsymbol{r})-\boldsymbol{x}_{\boldsymbol{\lambda}}(\boldsymbol{u})}{\delta}, \qquad (34)$$

where  $\delta$  is a small positive parameter set to 10<sup>-6</sup> [47].

For the single-impact case, impact forces randomly imposed at  $P_1$  and  $P_{15}$  are taken as examples. Figure 9 shows the optimal  $\lambda$  values of  $\ell_{1-2}$ -ADMM are selected according to the MC-GSURE criterion, as are other methods and other cases. Figures 10 and 11 depict the time history reconstruction and localization results of the impact forces and iterative convergence curves of the five considered methods. Table 3 lists the GREs, LREs, PREs, and LEs of the identification results and the computing time of these methods. The reconstruction results of  $\ell_{1-2}$ -ADMM best match the shapes of real forces with the least GREs, LREs, PREs, and LEs, and  $\ell_2$ -ADMM is unable to reconstruct and localize the forces. The PREs of  $\ell_{1-2}$ -ADMM are reduced by 76.82% at  $P_1$  and 73.65% at  $P_{15}$ compared with  $\ell_1$ -ADMM. The improvement in accuracy of the  $\ell_{1-2}$ -ADMM method for single-impact force identification is relatively slighter compared with that of the  $\ell_{1/2}$  and  $\ell_{2/3}$  regularization methods. However, the iterations of  $\ell_{1-2}$ -ADMM are much smaller than that of the two other methods, which makes its computing time



Fig. 9 Monte Carlo generalized stein unbiased risk estimate (MC-GSURE) curves of  $\ell_{1-2}$ -alternating direction method of multipliers in single-impact cases when exerted at: (a) P<sub>1</sub> and (b) P<sub>15</sub>.





**Fig. 10** Identification results of the impact force applied to P<sub>1</sub> by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM,  $\ell_2$ -ADMM,  $\ell_{1/2}$ -ADMM, and  $\ell_{2/3}$ -ADMM: (a) time history reconstruction results, (b) localization results of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM, (c) localization results of  $\ell_{1/2}$ -ADMM and  $\ell_{2/3}$ -ADMM, and (d) convergence curves.



**Fig. 11** Identification results of the impact force applied to P<sub>15</sub> by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM,  $\ell_2$ -ADMM,  $\ell_{1/2}$ -ADMM, and  $\ell_{2/3}$ -ADMM: (a) time history reconstruction results, (b) localization results of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM, (c) localization results of  $\ell_{1/2}$ -ADMM and  $\ell_{2/3}$ -ADMM, and (d) convergence curves.

	Accuracy indicators and computing time									
Method			Impact	at P <sub>1</sub>		Impact at P <sub>15</sub>				
	GRE/%	LRE/%	PRE/%	LE/%	Computing time/s	GRE/%	LRE/%	PRE/%	LE/%	Computing time/s
$\ell_{1-2}$ -ADMM	5.99	5.90	0.86	2.26	93.83	5.28	4.63	0.83	6.16	267.35
$\ell_{1/2}$ -ADMM	6.44	5.44	1.15	7.22	253.91	6.15	4.96	1.32	4.56	376.05
$\ell_{2/3}$ -ADMM	8.10	5.89	2.23	12.70	261.04	5.92	4.98	1.21	4.04	298.46
ℓ <sub>1</sub> -ADMM	7.96	7.27	3.71	8.86	101.11	7.59	6.06	3.15	11.37	217.71
$\ell_2$ -ADMM	75.89	61.63	66.46	77.97	46.24	86.91	77.31	75.77	83.09	71.51

**Table 3** Identification accuracy indicators and computing times of  $\ell_{1-2}$ -ADMM,  $\ell_{1/2}$ -ADMM,  $\ell_{2/3}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM for the single-impact forces applied to P1 and P15

shorter and its computational efficiency higher.

The impact time history reconstruction, localization the continuous-impact case, impact forces results, and iterative convergence curves of the five stochastically imposed at  $P_5$  and  $P_6$  are taken as examples. methods are depicted in Figs. 12 and 13. The values of



Fig. 12 Identification results of the impact force applied to  $P_5$  by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM,  $\ell_2$ -ADMM,  $\ell_{1/2}$ -ADMM, and  $\ell_{2/3}$ -ADMM: (a) time history reconstruction results, (b) localization results of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM, (c) localization results of  $\ell_{1/2}$ -ADMM and  $\ell_{2/3}$ -ADMM, and (d) convergence curves.

In

the accuracy indicators (GREs, LREs, PREs, and LEs) and 13 show the time history reconstruction results of and computing time are presented in Table 4. Figures 12  $\ell_{1-2}$ -ADMM are still the closest to the amplitudes and



**Fig. 13** Identification results of the impact force applied to P<sub>6</sub> by  $\ell_{1-2}$ -alternating direction method of multipliers (ADMM),  $\ell_1$ -ADMM,  $\ell_2$ -ADMM,  $\ell_{1/2}$ -ADMM, and  $\ell_{2/3}$ -ADMM: (a) time history reconstruction results, (b) localization results of  $\ell_{1-2}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM, (c) localization results of  $\ell_{1/2}$ -ADMM and  $\ell_{2/3}$ -ADMM, and (d) convergence curves.

**Table 4**Identification accuracy indicators and computing times of  $\ell_{1-2}$ -ADMM,  $\ell_{1/2}$ -ADMM,  $\ell_{2/3}$ -ADMM,  $\ell_1$ -ADMM, and  $\ell_2$ -ADMM for the continuous-impact forces applied to P<sub>5</sub> and P<sub>6</sub>

	Accuracy indicators and computing time											
Method	Impact at P <sub>5</sub>						Impact at P <sub>6</sub>					
	PRE/%		IE/07-	LE/01 Computing time/s	CDE/01	LDE/01	PRE/%		LE/01	Computing time/a		
GRE/9	UKE/%	LKE/%	Peak1	Peak2	- LE/%	Computing time/s	UKE/%	LKE/70	Peak1	Peak2	- LE/70	Computing time/s
$\ell_{1-2}$ -ADMM	11.76	9.88	0.48	0.93	18.17	219.71	5.94	4.95	0.40	4.29	7.76	229.85
$\ell_{1/2}$ -ADMM	19.80	17.74	6.84	15.35	15.60	287.43	11.49	8.94	4.88	5.26	11.44	282.67
$\ell_{2/3}$ -ADMM	16.46	14.35	7.89	11.67	21.25	188.96	10.17	8.12	2.81	5.17	10.43	193.90
$\ell_1$ -ADMM	13.57	11.29	4.56	4.71	21.25	189.58	8.53	7.22	4.62	8.83	8.56	213.31
$\ell_2$ -ADMM	85.39	74.96	77.90	77.02	82.19	45.69	82.20	68.81	65.50	69.85	81.10	101.03

shapes of the exact forces, and its force localization results are most accurate among the three methods. Table 4 presents that  $\ell_{1-2}$ -ADMM holds the lowest GREs, LREs, and PREs. Compared with  $\ell_1$ -ADMM, the PREs of  $\ell_{1-2}$ -ADMM decline by 89.47% and 80.25% at P<sub>5</sub>, and decrease by 91.34% and 51.42% at P<sub>6</sub>. Moreover, the performance of  $\ell_{1/2}$  and  $\ell_{2/3}$  regularization methods in identifying continuous impact forces is poor in the two cases.

All the above results show the  $\ell_{1-2}$ -ADMM method performs best in reconstructing and localizing impact forces under the under-determined condition among the five methods, effectively improving the amplitude accuracy and reducing the reconstruction error compared with  $\ell_1$ -ADMM and  $\ell_2$ -ADMM. Moreover, the advantage of  $\ell_{1-2}$ -ADMM over  $\ell_{1/2}$  and  $\ell_{2/3}$  regularization methods is that it avoids the discussion of the value of q in  $\ell_q$ regularization, and it converges faster during iteration, which improves computational efficiency.

# 7 Conclusions

In this paper, a novel non-convex sparse regularization method with  $\ell_{1-2}$ -norm is proposed for reconstructing and localizing impact forces from limited structure responses. From an economic feasibility and viability perspective, this work focuses on the case where the monitored structure has a sparse, under-determined sensor arrangement. Instead of convex regularization, a novel non-convex regularization method is considered using the  $\ell_1$  norm minus the  $\ell_2$  norm. The ADMM principle is introduced to solve this large-scale, non-convex, and under-determined problem of impact force identification efficiently by decomposing the intractable original problem into easy-to-solve subproblems. Unified algorithms via ADMM for such regularized least square problems are provided.

Simulations and experiments are carried out on a composite plate with two opposite edges clamped, both monitoring 15 potential impact locations with four strain sensors, and the MC-GSURE technique is used in to select appropriate regularization experiments parameters. Based on the simulation and experimental results, the  $\ell_{1-2}$ -ADMM method has higher reconstruction and localization accuracy than  $\ell_1$ -ADMM and  $\ell_2$ -ADMM in single- and continuous-impact cases. Particularly,  $\ell_{1-2}$ -ADMM works very well for improving amplitude accuracy in contrast with  $\ell_1$ -ADMM.  $\ell_2$ -ADMM fails to reconstruct and localize impact forces under the underdetermined condition. Additionally,  $\ell_{1-2}$ -ADMM can identify impact forces with different shapes (i.e., sine, triangular, and Gaussian) in simulation, especially its reconstructed triangular and Gaussian impact forces best match the shapes of the exact ones. In this aspect,  $\ell_{1-2}$ -ADMM also performs better than the  $\ell_1$ -ADMM and  $\ell_2$ -

ADMM methods. Moreover, the  $\ell_{1-2}$ -ADMM method outperforms  $\ell_1$ -ADMM and  $\ell_2$ -ADMM methods at different impact locations under different noise levels, and its identification results hold the satisfactory GREs. LREs, PREs, and LEs even at 10 dB noise level, which proves  $\ell_{1-2}$ -ADMM is quiet robust to noise. In the experimental verification, the reconstruction accuracy of  $\ell_{1-2}$ -ADMM is slightly higher than that of  $\ell_{1/2}$  and  $\ell_{2/3}$ regularization. Compared with  $\ell_p$  regularization methods,  $\ell_{1-2}$ -ADMM avoids the need for *p*-value discussions and reduces the number of iterations, thus improving computational efficiency. All these satisfactory performances of the  $\ell_{1-2}$ -ADMM method demonstrate its potential to solve the impact force identification problem with a sparse sensor configuration in practical engineering applications.

### Nomenclature

# Abbreviations

ADMM	Alternating direction method of multipliers						
BVID	Barely visible impact damage						
GRE	Global relative error						
IRF	Impulse response function						
LE	Localization error						
LRE	Local relative error						
MC-GSURE	Monte Carlo generalized stein unbiased risk estimate						
PRE	Peak relative error						
SISO	Single-input single-output						
SNR	Signal-noise ratio						
Variables							
a(t)	Impulse response function						
$a_{ij}(t)$	Impulse response function between the output						
	position <i>i</i> and the input location <i>j</i>						
$a_{ij}(\omega)$	Frequency response function between the output						
	position <i>i</i> and the input location <i>j</i>						
A	Transfer matrix of the multiple-input multiple-						
	output dynamic system						
$A_{\rm s}$	Transfer matrix of the single-input single-output						
	dynamic system						
В	Amplitude of the Gaussian-shaped impact force						
с	All-ones vector						
С	Damping matrix						
е	Random noise in measurements						
Ε	Elastic modulus						
$f_i$	Elements in the vector <i>f</i>						

f(t)	Impact force excitation function
f	Force vector of the multiple-input multiple-output
	dynamic system
Î	Estimated vector of <i>f</i>
$f_p$	Actual force vector at the impact position $p$
Ĵ.	Estimated force vector at the impact position p
ĵ,	Maximum likelihood estimation of the vector $f$
J <sub>ML</sub>	Force vector of the single-input single-output
<b>J</b> 5	dynamic system
g( <b>f</b> )	General representation function of penalty terms
G	Shear modulus
h	An additional vector for variable splitting
ĥ	Estimated vector of <b>h</b>
n i	Looping variable within the summation operation
I	Identity matrix
k	Number of iterations
K	Stiffness matrix
m	Number of measurement responses
M	Mass matrix
n	Number of impact force excitations
n	Total number of notential impact force locations
n <sub>f</sub>	Data length of the discretized impulse response
1 V	function
N	Maximum number of iterations
O(nN)	Computational complexity of $n \times N$
D(miv)	Social number of the location subjected to impose
p	force
a	Norm parameter defined in 10 +*
<i>q</i>	Projection matrix
2	Gaussian white noise vector
$\mathcal{D}(\mathbf{f})$	General expression for calculating the norm of
$\mathcal{K}(\mathbf{j})$	vector f
$\mathbf{c}(t)$	System response
S(1)	Response vector of the multiple-input multiple-
5	response vector of the multiple input multiple
	output dynamic system
ĩ	output dynamic system
Ĩ S	output dynamic system Noisy response vector Response vector at a certain position <i>i</i>
ŝ S <sub>i</sub> Sc	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output
ς̃ S <sub>i</sub> S <sub>S</sub>	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system
<b>s̃</b> s <sub>i</sub> s <sub>s</sub>	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time
$\tilde{s}$ $s_i$ $s_s$ t $t_0$	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact
$\tilde{s}$ $s_i$ $s_s$ t $t_0$ $\Delta t$	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact Sampling interval
$\tilde{s}$ $s_i$ $s_s$ t $t_0$ $\Delta t$ T	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact Sampling interval Impact duration
$\tilde{s}$ $s_i$ $s_s$ t $t_0$ $\Delta t$ T u	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact Sampling interval Impact duration Sufficient statistic of the model Eq. (8)
$\tilde{s}$ $s_i$ $s_s$ t $t_0$ $\Delta t$ T u $w_{max}$	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact Sampling interval Impact duration Sufficient statistic of the model Eq. (8) Element with the largest absolute value in the
	output dynamic system Noisy response vector Response vector at a certain position <i>i</i> Response vector of the single-input single-output dynamic system Time Occurrence time instant of the impact Sampling interval Impact duration Sufficient statistic of the model Eq. (8) Element with the largest absolute value in the vector w

$x_{\lambda}(\boldsymbol{u})$	Solution result of Eq. (8) when $f = u$
y( <b>f</b> )	Proximal operator
δ	Small positive parameter
δ	Lagrange multiplier vector
ε	Iteration termination threshold
λ	Regularization parameter
ρ	A positive penalty parameter
$\sigma$	Standard deviation of the vector <i>s</i>
$\sigma_n$	Standard deviation of noise in the measurements
τ	Time delayed operator
ν	Possion's ratio
Г	Threshold value defined as $\Gamma = \lambda/\rho$

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Conflict of Interest The authors declare that they have no conflict of interest.

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