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# Energy efficient cutting parameter optimization

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**Abstract** Mechanical manufacturing industry consumes substantial energy with low energy efficiency. Increasing pressures from energy price and environmental directive force mechanical manufacturing industries to implement energy efficient technologies for reducing energy consumption and improving energy efficiency of their machining processes. In a practical machining process, cutting parameters are vital variables set by manufacturers in accordance with machining requirements of workpiece and machining condition. Proper selection of cutting parameters with energy consideration can effectively reduce energy consumption and improve energy efficiency of the machining process. Over the past 10 years, many researchers have been engaged in energy efficient cutting parameter optimization, and a large amount of literature have been published. This paper conducts a comprehensive literature review of current studies on energy efficient cutting parameter optimization to fully understand the recent advances in this research area. The energy consumption characteristics of machining process are analyzed by decomposing total energy consumption into electrical energy consumption of machine tool and embodied energy of cutting tool and cutting fluid. Current studies on energy efficient cutting parameter optimization by using experimental design method and energy models are reviewed in a comprehensive manner. Combined with

the current status, future research directions of energy efficient cutting parameter optimization are presented.

**Keywords** energy efficiency, cutting parameter, optimization, machining process

## 1 Introduction

Manufacturing is a pillar industry supporting the national economy. It creates considerable wealth but consumes substantial energy and causes serious environmental pollution. Taking China as an example, the manufacturing industry accounts for approximately 30% of the gross domestic product while it consumes more than 45% of the total energy and is responsible for approximately 30% of the total CO<sub>2</sub> emissions [1]. Among the various industry sectors, mechanical manufacturing industry is extremely energy intensive. It consumes more than 70% of the total energy of manufacturing industry [2]. Although the mechanical manufacturing industry consumes a huge amount of energy, its energy efficiency is relatively low. Numerous studies indicate that the energy efficiency of mechanical manufacturing process is usually less than 30% [3]. Hence, effectively reducing energy consumption and improving energy efficiency of the mechanical manufacturing industry are urgent problems to be solved.

In a mechanical manufacturing workshop, machine tools are the executors used to handle the workpieces [4]. They are the primary energy consumers. In China, approximately 7 million machine tools are available, and their total energy consumption is more than twice of the installed capacity (22.5 million kW) of the Three Gorges hydro-power station, which is the largest hydro power station in the world [5]. To reduce energy consumption of machine tools, there are mainly two methods. The first method is to design energy efficient machine tools and replace the existing ones in the mechanical manufacturing workshop. In this area, the International Organization for Standardization published a standard “*Machine tools — Environmental evaluation of machine tools-Part 1: Design methodology for energy-efficient machine tools*” in

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2017 to give guidelines for designing energy efficient machine tools [6]. The detailed methods for designing machine tool components, such as spindles, hydraulic system, and chip conveyor, are included in this standard. However, obsoleting all the energy intensive machine tools in a short time is a difficult task for mechanical manufacturers due to the investment of these facilities. Hence, optimizing the machining process with energy consideration becomes an alternative method.

In the work reported by Newman et al. [7], they found that the energy consumption of a machining process can differ by at least 6% of the total energy consumption of machine tool in low load and is likely to grow to 40% at high load. This condition indicates that the energy consumption of machine tools is highly dependent on cutting load. Inspired by this, many researchers investigated the relationship between the cutting load and energy consumption of machine tools. An interesting conclusion shows that when the machine tool, cutting tool, and cutting condition are determined, cutting parameters are the dominant factors influencing the cutting load [8]. Small cutting parameters can reduce the cutting load and decrease the power consumption of the machine tool because power consumption is calculated by cutting force (i.e., cutting load) multiplied by cutting velocity.

However, the energy consumption in a machining process is the integral of power consumption over machining time. Small cutting parameters can decrease power consumption but increase machining time, resulting in an uncertainty of the energy consumption. In the work presented by Camposeco-Negrete [9], they found that a small cutting velocity, cutting depth, and feed rate can reduce the power consumption of a machining process. However, a high feed rate should be used when the cutting velocity and cutting depth remain in small values to minimize the energy consumption of the same machining process. Hence, cutting parameters should be properly selected to reduce the energy consumption of the machining process.

Over the past 10 years, many researchers have been engaged in cutting parameter selection for minimizing the energy consumption of the machining process. A first line of work focused on the cutting parameter optimization by using experimental design method. With this method, the relationship between cutting parameters and energy consumption can be revealed and a set of optimal cutting parameters for energy saving can be obtained. This method is easy to implement but is prone of being trapped into local optimal points [10]. To this end, another group of work conducted cutting parameter optimization on the basis of energy models. The optimization process of this method is complicated, and the results are highly dependent on the prediction accuracy of energy model and the performance of optimization algorithm [11].

The motivation of this work is to perform a literature review about energy efficient cutting parameter

optimization. It can be regarded as a comprehensive reference for readers from academy and industry. The energy characteristics of machining process and existing energy efficient cutting parameter optimization methods are summarized. The advantages and deficiencies of each method are presented, and some future research directions are introduced. The remainder of this paper is organized as follows. Section 2 analyzes the energy characteristics of machining process. Section 3 presents the energy efficient cutting parameter optimization by using experimental design method. Section 4 shows the energy efficient cutting parameter optimization by using energy models. In Section 5, recommendations for future research are presented, followed by the conclusions in Section 6.

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## 2 Energy consumption characteristics of machining process

As mentioned by Dahmus and Gutowski [12], any system analysis should start with the boundary definition of the system. In energy efficient cutting parameter optimization, the energy boundary of machining system should be clearly identified first because shifting the energy boundary alters the optimal cutting parameters for the machining process window [13]. From the current literature about cutting parameter optimization for energy saving, the focused energy boundary of these studies is different. Some studies [14,15] only focus on a part of the electrical energy consumption of machining process, and other studies [16,17] explore the total electrical energy consumption and the embodied energy of cutting tool and cutting fluid. Hence, to gain a better understanding of the existing works about energy efficient cutting parameter optimization, the energy boundary and energy characteristics of machining process should be analyzed.

Machining is a process in which a material is removed from a workpiece with a cutting tool to shape it into a desired form. As shown in Fig. 1, a large amount of electrical energy is needed by a machine tool to keep the movement of machine tool components and to overcome the deformation force of material and the friction between the cutting tool and workpiece. In some machining processes, the friction between the cutting tool and workpiece is extremely severe, and cutting fluid is usually used for lubrication. However, this method can only decrease the friction rather than eliminating it. The cutting tool is worn due to continual inevitable abrasion, and the cutting fluid is invalid due to the pollution of rusted chips of workpiece and cutting tool. This condition leads to an inevitable consumption of the embodied energy of cutting tool and cutting fluid, which is the energy used to produce the material of cutting tool and cutting fluid. Hence, from the machining system point of view, the energy consumption boundary of the machining process includes the electrical energy of machine tool and the embodied energy

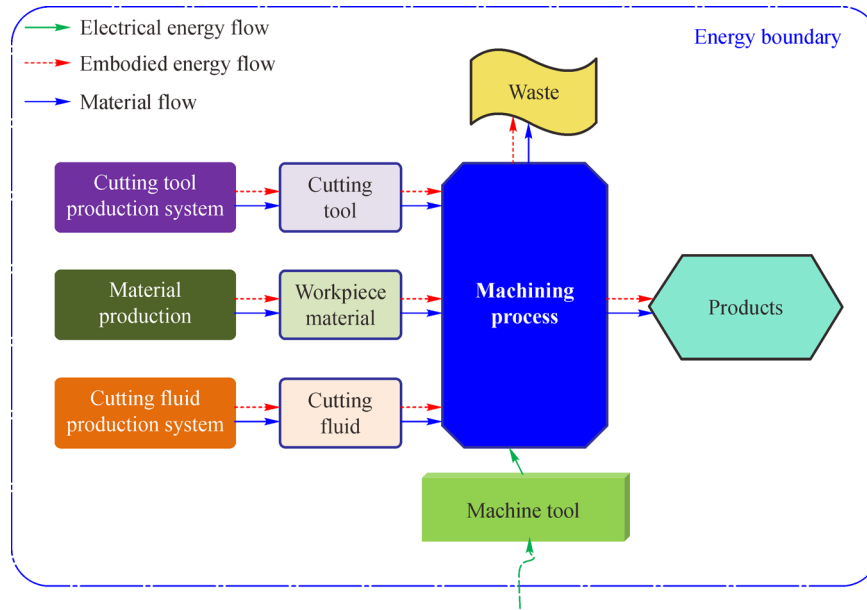


Fig. 1 Energy boundary of the machining process.

of consumable materials, such as cutting tool and cutting fluid. The workpiece material and its shape are determined on the basis of process requirements. The machining process have minimal chance to reduce the embodied energy consumption of workpiece material. Hence, in energy efficient cutting parameter optimization, the main focuses are the electrical energy of machine tool and the embodied energy of cutting tool and cutting fluid [18–20].

### 2.1 Electrical energy consumption of machine tool

In a machining process, the electrical energy consumption of a machine tool caused by the temporal power demand is complicated with dynamic change [21]. This condition is because the machine tool components are not all running throughout the whole machining process but activated in accordance with the processing requirements. To study the energy characteristics of the machining process, the electrical energy consumption is usually classified in terms of composition system, machine tool components, and machining states [22]. As shown in Fig. 2, the methods to classify electrical energy consumption based on machine tool components and machining states are the most widely used among the energy analysis methods. The energy analysis method based on machine tool components divides the energy consumption of a machine tool into several parts. Each part is related to the power consumption of the activated machine tool components and their running time throughout the machining process. Similarly, the energy analysis method based on machining states classifies the total energy consumption into different segments on the basis of machining states (i.e., startup state, standby state, spindle acceleration/deceleration state,

air cutting state, and cutting state, as shown in Fig. 2). The energy consumption of each segment is calculated on the basis of the activated machine tool components in each machining state and the duration time of each machining state. The research perspectives of the two methods are fairly the same. However, after a perusal of current literature, it is found that most of the existing studies about energy efficient cutting parameter optimization are based on machining states. This condition is because this method is convenient for analyzing the energy characteristics of the machining process and modeling the energy consumption with respect to cutting parameters. Hence, this work mainly concentrates on the energy analysis method based on machining states. Interested readers can refer to the work reported by Zhou et al. [22] and Zhao et al. [23] for other energy analysis methods.

As stated previously, the machining states of a machining process are usually divided into startup state, standby state, spindle acceleration/deceleration state, air cutting state, and cutting state, as shown in Fig. 2. Accordingly, the research community decomposes the machining process into six parts to analyze its electrical energy consumption characteristics. Energy efficient cutting parameter optimization is conducted. The detailed energy breakdown is as follows:

1) Startup energy  $E_{\text{startup}}$ . When a machine tool is turned on, the machine tool components, such as inverters, servos, and computer numerical control system, are warmed up [24]. The energy consumption of these components is usually complex with dynamic changes, but the total startup energy  $E_{\text{startup}}$  is fixed and can be measured through experiments.

2) Standby energy  $E_{\text{standby}}$ . The standby energy is

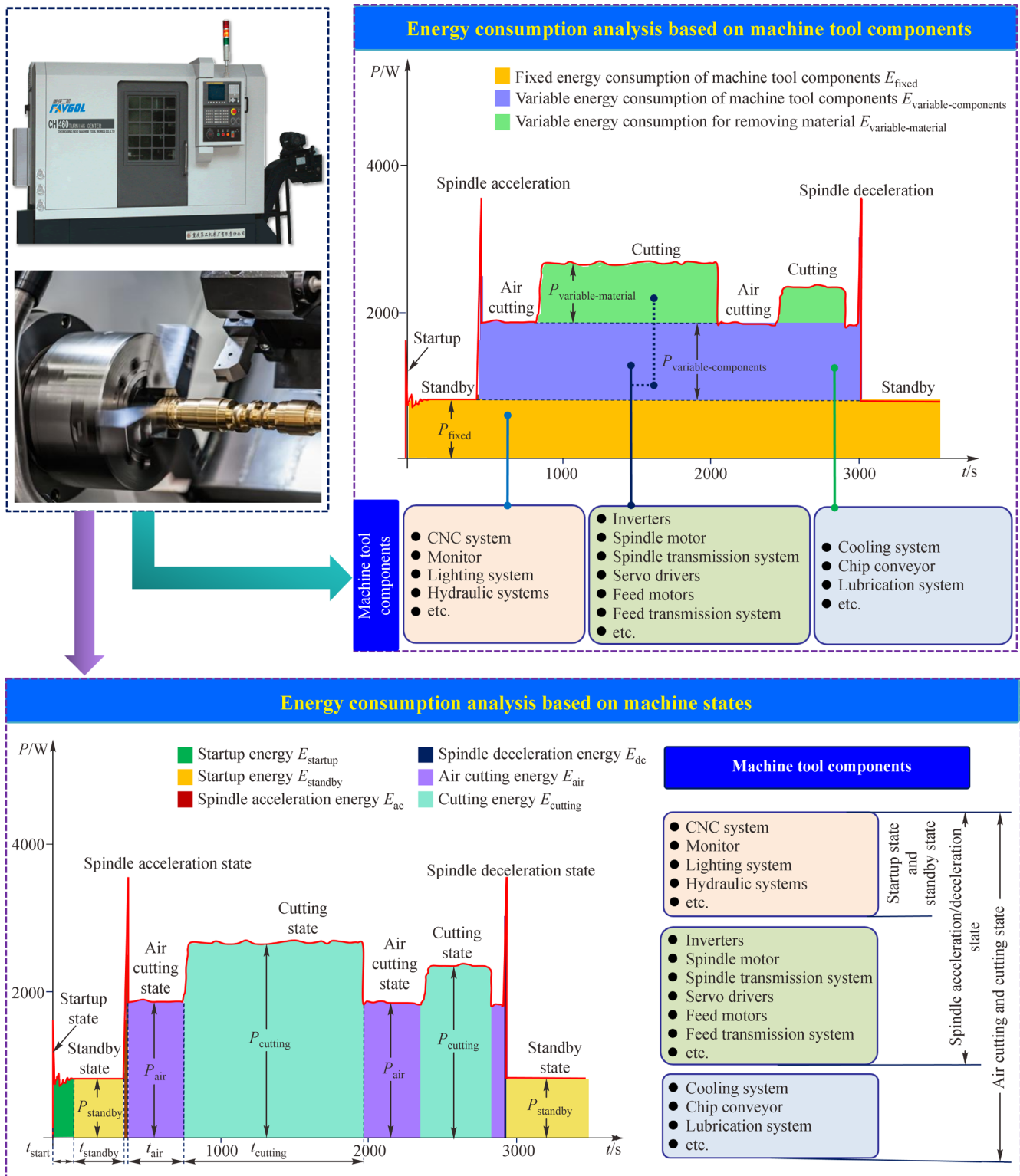


Fig. 2 Energy characteristic analysis of a machining process.

composed of two parts. The first part is used to bring the workpiece and cutting tool to the about-to cut position and to set up the numerical control (NC) program before machining [25], which is usually defined as  $E_{\text{standby-preparation}}$ . The second part is used to change the worn cutting tool,  $E_{\text{tool-changing}}$ . In a machining process,  $E_{\text{standby-preparation}}$  is usually regarded as a constant, and  $E_{\text{tool-changing}}$  can be reduced through cutting parameter optimization.

3) Spindle acceleration energy  $E_{\text{ac}}$  and spindle deceleration energy  $E_{\text{dc}}$ . Spindle acceleration energy  $E_{\text{ac}}$  and spindle deceleration energy  $E_{\text{dc}}$  are related to the desired spindle speed or cutting velocity [26]. However, as spindle acceleration/deceleration states are momentary. Energy consumption during these states is fairly small compared with other machining states. Some studies ignore spindle acceleration energy  $E_{\text{ac}}$  and spindle deceleration energy  $E_{\text{dc}}$  in cutting parameter optimization.

4) Air cutting energy  $E_{\text{air}}$ . Air cutting state is usually set by machine tool operators to avoid potential damage of machine and cutting tools. The air cutting energy is usually evaluated in terms of air cutting power  $P_{\text{air}}$  multiplied by air cutting time  $t_{\text{air}}$ . Air cutting power and air cutting time are related to cutting parameters. This condition provides an opportunity for machine tool users to reduce the air cutting energy through proper selection of cutting parameters.

5) Cutting energy  $E_{\text{cutting}}$ . Cutting energy is the energy consumed by a machine tool to remove a workpiece material during cutting state. Similar to air cutting energy, cutting energy is related to cutting parameters because cutting power  $P_{\text{cutting}}$  and cutting time  $t_{\text{cutting}}$  are dependent on cutting parameters. The cutting energy usually accounts for a huge proportion of the total electrical energy consumption of a machining process. In energy efficient cutting parameter optimization, some studies directly take cutting energy or cutting power as optimization objective [27,28].

## 2.2 Embodied energy consumption of cutting tool and cutting fluid

To produce the cutting tool and cutting fluid, a large amount of energy is consumed [29]. For example, the energy consumption to fabricate 1 cm<sup>3</sup> of high-speed steel (HSS), which is a widely used cutting tool material, is 755–855.9 kJ, and the energy consumption to fabricate 1 cm<sup>3</sup> of tungsten carbide material is as much as 8590–9723.6 kJ [30]. Hence, in energy efficient cutting parameter optimization, few researchers considered the embodied energy consumption of cutting tool and cutting fluid and optimized the cutting parameters in a comprehensive manner [31].

1) Embodied energy consumption of cutting tool  $E_{\text{tool-embodied}}$ . The embodied energy consumption of cutting tool is related to the tool life, which is highly

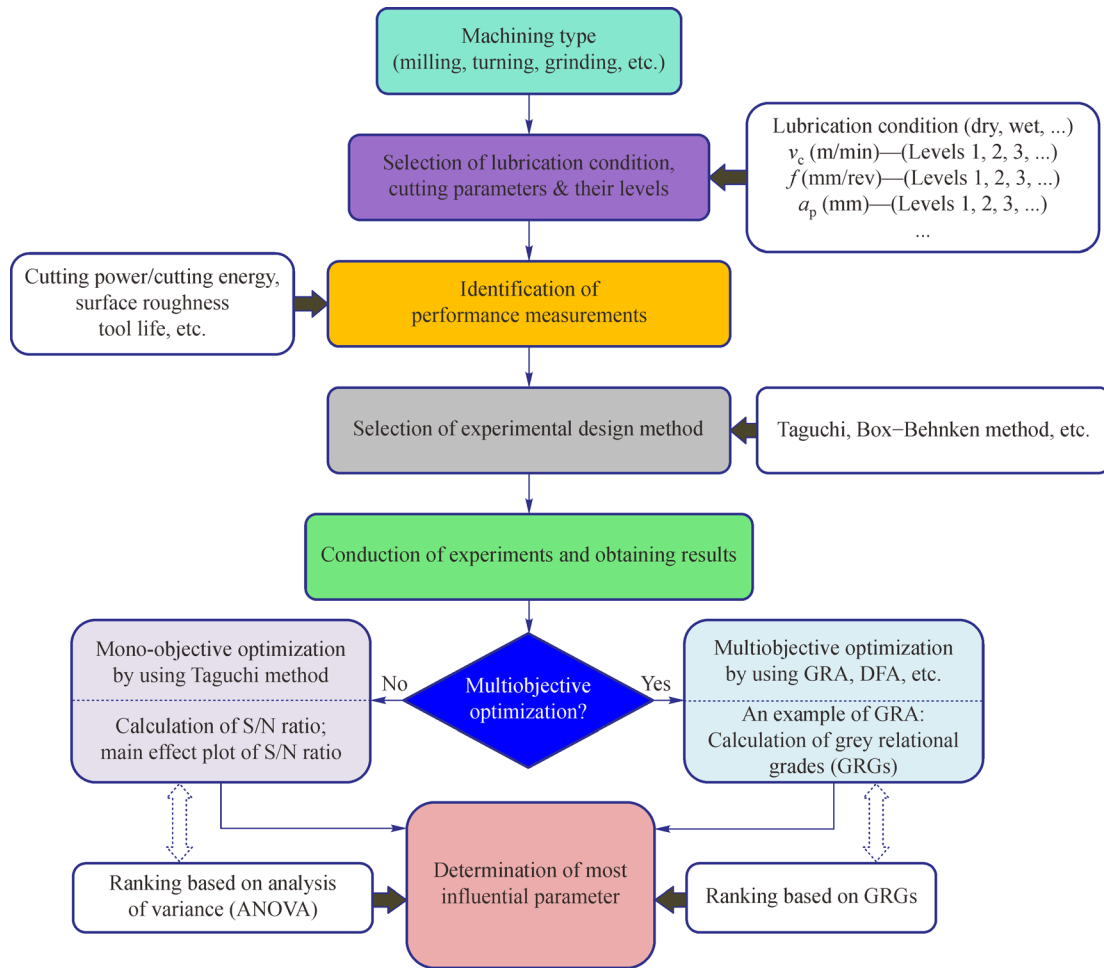
dependent on the cutting parameters and machining performance of cutting tool. In a machining process, the embodied energy consumption of cutting tool can be reduced through proper selection of cutting parameters and cutting tool material [32].

2) Embodied energy consumption of cutting fluid  $E_{\text{fluid-embodied}}$ . Similar to the cutting tool, the production process of cutting fluid is energy intensive. In a machining process, a reasonable cutting parameter scheme can decrease the embodied energy consumption of cutting fluid [33].

## 3 Energy efficient cutting parameter optimization by using experimental design method

The use of experimental design method to optimize cutting parameters is vital for reducing the energy consumption of the machining process. With such a method, researchers can obtain a set of optimal cutting parameters for energy saving and derive the relationship between cutting parameters and energy consumption. In Fig. 3, the flowchart of cutting parameter optimization by using experimental design method is illustrated. The main steps are as follows.

First, the machining type (i.e., milling, turning, grinding, etc.) is determined in terms of the workpiece features. Second, the lubrication condition is identified in accordance with the machining requirements and the material of workpiece and cutting tool. In some machining cases, the lubrication conditions, such as dry, wet, and minimum quantity lubrication (MQL) cutting, can be regarded as a decision variable [34]. The cutting parameters that influence the energy consumption of the machining process and their feasible levels are identified in this stage. Third, experimental design methods, such as Taguchi [35], central composite design [36], and Box–Behnken [15], are adopted for generating experimental trials. These methods can guarantee the same results with fewer experimental runs compared with other techniques, such as factorial design. Fourth, a set of cutting experiments are conducted to obtain the energy consumption and/or other machining performance, such as surface roughness and tool life under each experimental trial. With the obtained experimental results, mono-objective parameter optimization or multiobjective parameter optimization is performed to obtain the optimal cutting parameter schemes. For mono-objective parameter optimization, the signal-to-noise ratio (S/N) of each trial is calculated, and the optimal cutting parameter scheme is obtained by comparing the S/N values. For multiobjective parameter optimization, gray relational analysis (GRA) or GRA coupled with principal component analysis (PCA) is adopted to generate a set of Pareto schemes. Finally, the most influential parameter for energy consumption and



**Fig. 3** Flowchart of cutting parameter optimization by using experimental design. S/N: Signal-to-noise ratio; GRA: Gray relational analysis; DFA: Desirability function analysis.

other machining performance, such as surface roughness and tool life, is determined through ANOVA or other methods, such as gray relational grade ranking.

Over the past 10 years, many researchers have engaged in energy efficient cutting parameter optimization by using experimental design. The optimization steps of these optimization methods, such as Taguchi and GRA, are standardized. For clarity, the main conclusions of these studies are revealed without diving deeply into its mathematical details. Interested readers can refer to the relevant studies summarized in Table 1 for its full feature.

### 3.1 Related literature on cutting parameter optimization for reducing cutting power

Cutting power is the total power consumption of a machine tool during cutting state. The energy consumption of a machine tool during cutting state is related to cutting power, which is highly dependent on cutting parameter schemes. Hence, the first group of studies conducted cutting experiments to evaluate the effect of cutting

parameters on cutting power. The cutting parameter schemes for minimizing cutting power are obtained, and the relationship between cutting parameters and cutting power is revealed on the basis of the experimental results. In the work reported by Bhattacharya et al. [37], they performed a set of machining experiments to investigate the effect of cutting parameters on cutting power during high-speed dry turning of AISI 1045 steel by using Taguchi method. The experimental results show that cutting velocity is the most significant factor on cutting power. A small cutting velocity can effectively reduce the cutting power of machining process. Cutting depth and feed rate have no significant effect on cutting power and should be set at their most appropriate and economical levels. Fratila and Caizar [34] investigated the influence of face milling parameters on cutting power under wet, MQL, and dry milling conditions when machining of AlMg<sub>3</sub> with HSS tool. The cutting velocity and cutting depth are significant factors influencing cutting power, whereas the effect of feed rate and lubrication condition on cutting power is insignificant. Small cutting velocity, cutting

depth, and feed rate should be used to minimize the cutting power of machining process.

When optimizing the cutting parameter for reducing cutting power consumption, economic objectives, such as surface quality, tool life, and machining efficiency, should be improved or at least should not be sacrificed. Hence, some researchers shifted their focus from mono-objective optimization to multiobjective optimization. In the work presented by Hanafi et al. [28], they applied Taguchi method to optimize the cutting parameters for minimizing the cutting power and surface roughness in turning of PEEK-CF30 with TiN cutting tool. The experimental results indicate that cutting depth is the most influential parameter on cutting power. A minimum power consumption can be achieved with a small cutting velocity, cutting depth, and feed rate. GRA was used in this work to determine the optimal cutting parameters for achieving minimum surface roughness and minimum cutting power. Similarly, Kant and Sangwan [38] optimized the cutting parameters for minimizing the cutting power and surface roughness during dry turning of AISI 1045 steel. However, their conclusions are different from that of Hanafi et al. [28]. The feed rate is the most significant factor influencing power consumption. A high cutting velocity, small cutting depth, and small feed rate should be used to minimize the power consumption of the turning process. An approach coupled GRA with PCA was used in their work to find the best cutting parameter scheme for minimizing the cutting power and surface roughness.

### 3.2 Related literature on cutting parameter optimization for energy saving

In a machining process, the value of energy consumption is calculated by considering machining time [9]. This condition directly reflects the total energy consumed of a machining process. To this end, another group of researchers investigated the cutting parameter optimization for reducing energy consumption, and numerous studies were published. The detailed literature review is as follows:

Camposeco-Negrete [9] conducted a study to optimize the cutting parameters for minimizing the cutting power and energy consumption in turning of AISI 6061 T6 with carbide insert. A different optimization result is obtained when the optimization objective was changed from minimizing cutting power to minimizing energy consumption. For minimizing power consumption, cutting depth is the most significant factor, followed by feed rate. Cutting velocity is insignificant on cutting power. A minimum cutting power consumption can be achieved with a small cutting velocity, cutting depth, and feed rate. However, for minimizing the energy consumption of machining process, feed rate is observed to be the most significant factor, followed by cutting depth and cutting velocity. The energy consumption can be reduced by using a small cutting

velocity, a small cutting depth and a large feed rate. Emami et al. [27] studied the parameter optimization in grinding of  $Al_2O_3$  ceramic under MQL. They found that feed rate is the most significant factor on energy consumption. The energy consumption of grinding process can be reduced with a large feed rate and cutting depth. Zhang et al. [39] studied the influence of turning parameters on energy consumption under wet lubrication, MQL, and dry turning condition when machining austenitic stainless steel. The feed rate and cutting depth are the significant factors on energy consumption. Camposeco-Negrete et al. [40] optimized the turning parameters to minimize the energy consumption during turning of AISI 1018 steel under wet, MQL, and dry turning conditions. They found that feed rate and cutting depth have significant effect on energy consumption, which is similar to the findings reported by Zhang et al. [39]. Bilga et al. [41] conducted cutting parameter optimization to reduce the energy consumption in rough turning of EN 353 alloy steel with multilayer-coated tungsten carbide insert. The optimization results show that feed rate is the most dominant factor for energy consumption. Turning with a large cutting velocity, feed rate but a small cutting depth can reduce the energy consumption of the turning process. In the work presented by Altıntaş et al. [42], similar studies about cutting parameter optimization for saving energy consumption in end milling of AISI 1050 and AISI 304 steel can be found.

Apart from the above studies, other researches focused on multiobjective optimization of cutting parameters with traditional objectives, such as surface roughness and tool life, because energy efficient sustainable machining should not sacrifice machining economic targets. Bhushan [43] optimized the turning parameters to minimize energy consumption and maximize tool life during machining of 7075 Al alloy. Cutting velocity is observed to be the most influential factor on energy consumption. A small cutting velocity, feed rate, and cutting depth can reduce the energy consumption of the machining process. The influence of cutting parameters on tool life is different from that of energy consumption. Desirability function analysis (DFA) was used in this study for multiobjective optimization of cutting parameters to reduce energy consumption and increase tool life. Yan and Li [44] studied the multi-objective optimization of face milling parameters to maximize material removal rate (MRR) and minimize energy consumption and surface roughness. The experimental results indicate that cutting width is the most influential parameter on energy consumption. Milling with a large cutting width, feed rate, and cutting depth but a small cutting velocity can reduce the energy consumption of the milling process. The optimization results for minimum energy consumption does not necessarily satisfy the optimization criterion of minimum surface roughness and maximum MRR. GRA combined sequential quadratic programming (SQP) was used in their study to strike a balance between the three objectives. Arriaza et al. [45]

conducted a set of experiments for multiobjective optimization of milling parameters to reduce machining time and energy consumption. The experimental results show that cutting velocity is the most significant factor on energy consumption. DFA was used to find the trade-off solutions for minimizing machining time and energy consumption. Bagaber and Yusoff [46] investigated the multiobjective optimization of cutting parameters to minimize energy consumption, surface roughness, and tool wear in dry turning of AISI 316 steel. Feed rate is concluded to be the most significant factor influencing energy consumption. Turning with a large feed rate, cutting depth but a small cutting velocity can reduce the energy consumption of the machining process. DFA was used in their work to determine the trade-off solution for minimizing energy consumption, surface roughness, and tool wear. In the work presented by Suneesh and Sivapragash [47], they studied multiobjective parameter optimization for energy saving, surface quality improvement, cutting force, and cutting temperature reduction in turning of Mg/Al<sub>2</sub>O<sub>3</sub> hybrid composites. Feed rate is concluded to be the dominant contributor for energy consumption followed by cutting velocity and cutting depth. Minimum energy consumption of the turning process can be achieved with a small feed rate, cutting velocity, and cutting depth. GRA and the technique for order of preference by similarity to ideal solution (TOPSIS) were used to perform multi-objective optimization. The results show that the solution obtained using the TOPSIS is better than that of GRA.

### 3.3 Remarks

On the basis of the literature reviewed in Table 1, the following remarks are summarized.

- The experimental results of these studies are highly dependent on specific machining conditions (i.e., machine tool, workpiece material, tool material, lubrication, etc.). The influence of cutting parameters on energy consumption varies with different machining conditions. A cutting parameter that is a dominant factor on energy consumption in a machining condition may be an insignificant one in another machining condition.
- Minimum power consumption can be achieved by decreasing the value of cutting parameters because the cutting force can be decreased with small cutting parameters. However, the strategy for selecting cutting parameter to reduce energy consumption may vary with different machining conditions. This condition is because energy consumption is the integral of power consumption over machining time. Small cutting parameters reduce power consumption but increase machining time, and the decrement or increment of energy consumption is uncertain. The measure for selecting cutting parameter to reduce energy consumption should consider the specific machining conditions.

- The relationship between cutting parameters and energy consumption is not always the same due to the relationship between cutting parameters and economic objectives (surface roughness, tool life, MRR, etc.). The optimal cutting parameter schemes for minimizing energy consumption does not necessarily satisfy the optimization criteria of minimizing surface roughness, maximizing tool life, and MRR. Multiobjective optimization is an effective method used to solve this problem.

- The optimal cutting parameters are either directly selected from existing experimental combinations or obtained by using GRA, DFA or other methods. The optimization results are dependent on the experimental settings of the cutting parameters. A risk of being trapped into local optimal points may occur [10], and the obtained cutting parameters may not be the optimal ones from the view of global optimization.

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## 4 Energy efficient cutting parameter optimization by using energy models

In addition to energy efficient cutting parameter optimization by using experimental design method, another group of researchers established energy models with respect to cutting parameters and conducted cutting parameter optimization by using the established energy models. Cutting parameter optimization is formulated as a constrained problem within feasible parameter ranges. Evolutionary or metaheuristic algorithms are usually used in the optimization process to solve the optimization model.

Figure 4 gives the flowchart of cutting parameter optimization by using energy models. Similar to cutting parameter optimization by using experimental design method, the first step is to select a suitable machining type for machining the workpiece in accordance with its features. The second step is to identify the energy boundary and model energy consumption with respect to cutting parameters. If the optimization is a multiobjective one, the relationships between machining performance (surface roughness, machining time, etc.) and cutting parameters are modeled. A mono-objective optimization model with the only objective of energy consumption or a multiobjective optimization model with energy consumption and machining performance is established, with the constraints of machine tool, cutting tool, and surface roughness requirements. Evolutionary or metaheuristic technique, such as particle swarm optimization (PSO) or genetic algorithm (GA) is used to solve the proposed model. Note that the optimization algorithms for mono-objective optimization and multiobjective optimization models are different. An optimal solution can be obtained using the mono-objective optimization model and algorithm, whereas only Pareto solutions can be obtained with multiobjective optimization ones.



**Table 1** Studies on energy efficient cutting parameter optimization by using experimental design

Category	References	Machining type	Workpiece material	Tool insert material	Lubrication	Optimization method(s)	Optimization objective(s)			Minimum energy consumption can be achieved with	Minimum power consumption can be achieved with	
							Power consumption	Energy consumption	Multiobjective optimization with other objective(s)			
Cutting power reduction (single-objective optimization)	Fratila and Caizar [34]	Face milling	AlMg <sub>3</sub>	HSS	Dry, MQL, and wet	Taguchi method	Cutting power	×	×	$v_c$ and $a_p$	N/A	$v_c \downarrow, f \downarrow, a_p \downarrow$
Cutting power reduction (multiobjective optimization)	Bhattacharya et al. [37]	Turning	AISI 1045 steel	Coated carbide insert	Dry	Taguchi method	Cutting power	×	×	$v_c$	N/A	$v_c \downarrow, f \rightarrow, a_p \rightarrow$
	Hanafi et al. [28]	Turning	PEEK-CF30	TiN	Dry	Taguchi method and GRA	Cutting power	×	×	Surface roughness	N/A	$v_c \downarrow, f \downarrow, a_p \downarrow$
Energy saving (single-objective optimization)	Kant and Sangwan [38]	Turning	AISI 1045 steel	Carbide insert	Dry	Taguchi method, GRA and PCA	Cutting power	×	×	Surface roughness	N/A	$v_c \uparrow, f \downarrow, a_p \downarrow$
	Camposco-Negrete [9]	Turning	AISI 6061 aluminum	T6 Carbide insert	Wet	Taguchi method	Cutting power	×	×	Air cutting energy and cutting energy	$a_p$ (power consumption), $f$ (energy consumption)	$v_c \downarrow, f \uparrow, a_p \downarrow$
Energy saving (single-objective optimization)	Emami et al. [27]	Grinding	Al <sub>2</sub> O <sub>3</sub> ceramic	Diamond insert	MQL	Taguchi method	Cutting energy	×	×	$f$	$f \uparrow, a_p \uparrow$	N/A
	Campatelli et al. [36]	End milling	AISI 1050 carbon steel	Coated, carbide insert	Dry	RSM	Standby energy, air cutting energy, cutting energy	×	×	N/A	N/A	N/A
Energy saving (single-objective optimization)	Zhang et al. [39]	Turning	0Cr18Ni9 steel	N/A	Dry, MQL and wet	Taguchi method	Cutting energy	×	×	$f$ and $a_p$	$v_c \uparrow, f \uparrow, a_p \uparrow$	N/A
	Camposco-Negrete et al. [40]	Turning	AISI 1018 steel	N/A	Dry and wet	Taguchi method	Cutting energy	×	×	$f$ and $a_p$	$v_c \downarrow, f \uparrow, a_p \downarrow$	N/A
Energy saving (single-objective optimization)	Bilga et al. [41]	Turning	EN 353 alloy steel	Carbide insert	N/A	Taguchi method	Cutting energy	×	×	$f$	$v_c \uparrow, f \uparrow, a_p \downarrow$	N/A

(Continued)

Category	References	Machining type	Workpiece material	Tool insert material	Lubrication	Optimization method(s)	Optimization objective(s)			Most influential factors on energy/power consumption	Minimum energy consumption can be achieved with	Minimum power consumption can be achieved with
							Power consumption	Energy consumption	Multiojective optimization with other objective(s)			
Energy saving (single-objective optimization)	Altıntaş et al. [42]	Milling	AISI 304 steel	HSS	N/A	RSM	×	Cutting energy	×	$f$	$v_c \downarrow, f \uparrow, a_p \downarrow$	N/A
Energy saving (multiojective optimization)	Bhushan [43]	Turning	7075 Al alloy	Carbide insert	Dry, wet and cryogenic	RSM and DFA	×	Cutting energy	×	$v_c$	$v_c \downarrow, f \downarrow, a_p \downarrow$	N/A
	Yan and Li [44]	Face milling	medium-carbon steel (C45)	Carbide insert	Dry	RSM, GRA, and SQP	×	Cutting energy	×	$a_e$	$v_c \downarrow, f \uparrow, a_p \uparrow, a_e \uparrow$	N/A
	Arriaza et al. [45]	Milling	Aluminum 7075	N/A	N/A	RSM and DFA	×	Cutting energy	×	$v_c$	N/A	N/A
	Bagaber and Yusoff [46]	Turning	AISI 316 steel	Carbide insert	Dry	RSM and DFA	×	Cutting energy	×	$f$	$v_c \downarrow, f \uparrow, a_p \uparrow$	N/A
	Suneesh and Sivapragash [47]	Turning	Mg/Al <sub>2</sub> O <sub>3</sub> hybrid composite	Carbide insert	Dry and MQL	Taguchi and GRA, Taguchi, and TOPSIS (for contrast)	×	Cutting energy	×	$f$	$v_c \downarrow, f \downarrow, a_p \downarrow$	N/A

Note:  $v_c \downarrow$ , mainlining with a small cutting velocity;  $f \rightarrow$ , mainlining with a medium feed rate;  $a_p \uparrow$ , machining with a large cutting depth;  $a_e \uparrow$ , machining with a large cutting width; MQL, minimum quantity lubrication; GRA, gray relational analysis; PCA, principal component analysis; HSS, high-speed steel; DFA, desirability function analysis; RSM, response surface methodology; TOPSIS, technique for order of preference by similarity to ideal solution; SQP, sequential quadratic programming; MRR, material removal rate.

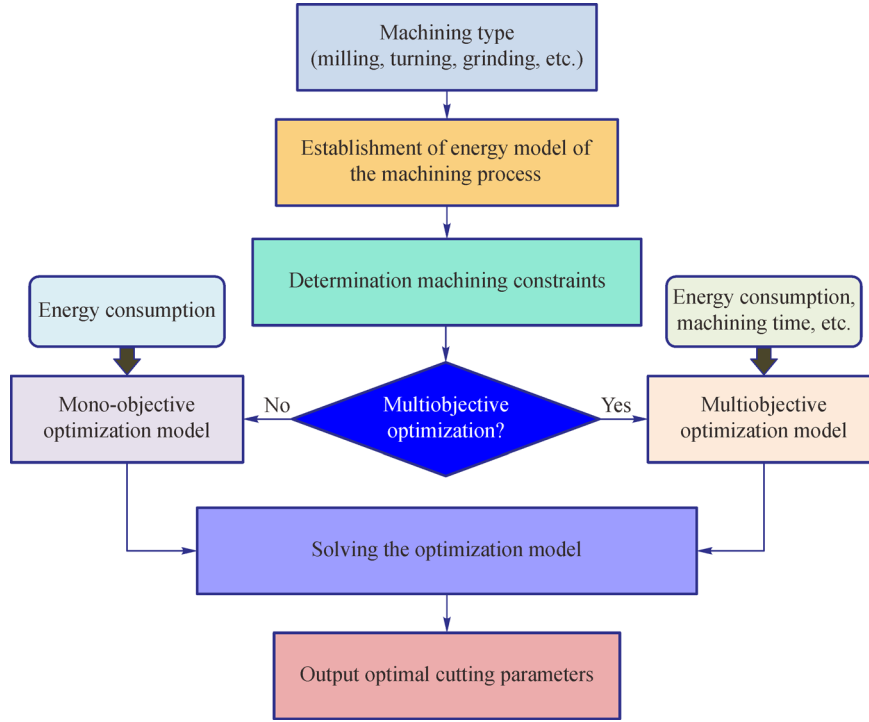


Fig. 4 Flowchart of cutting parameter optimization by using energy models.

Table 2 summarizes the recent studies about cutting parameter optimization based on energy models. The details of the main steps shown in Fig. 4 are given below to understand these studies in a comprehensive manner.

#### 4.1 Modeling of energy consumption with respect to cutting parameters

The methods for modeling the relationship between energy consumption and cutting parameters can be mainly classified into two categories. The first category models energy consumption with respect to cutting parameters by using experimental design and mathematical models, such as artificial neural network (ANN) [48], response surface methodology (RSM) [14,35], and Kriging model [15]. The accuracy of these energy models can be extremely high because they are close to the specific machining conditions. The second category analyzes the energy characteristics of the machining process and then models the relationship between energy consumption and cutting parameters by using empirical models, such as cutting force and cutting power models. These energy models are general and can be used in many machining scenes if the main machining condition remains unchanged. As shown in Table 2, most of the existing studies about energy efficient cutting parameter optimization are conducted on the basis of empirical models. This section mainly focuses on the modeling process of these empirical models. Other

models established using standard mathematical models, such as ANN, RSM, and Kriging model, can be found in Refs. [14,15,35,48]. The methods in Table 2 are classified into two categories, namely, energy modeling method based on machine tool component (EMMBMTC) and energy modeling method based on machining state (EMMBMS). EMMBMS is the most widely used, as mentioned in Section 2.

As shown in Fig. 1, the energy consumption of a machining process includes the electrical energy of machine tool and the embodied energy of consumable material. The electrical energy of a machine tool can be divided into standby energy  $E_{\text{standby}}$ , spindle acceleration energy  $E_{\text{ac}}$ , spindle deceleration energy  $E_{\text{dc}}$ , air cutting energy  $E_{\text{air}}$ , and cutting energy  $E_{\text{cutting}}$ . The embodied energy of consumable material is composed of the embodied energy of cutting tool  $E_{\text{tool-embodied}}$  and the embodied energy of cutting fluid  $E_{\text{fluid-embodied}}$ . Consequently, the general expression of the energy consumption of a machining process can be expressed as follows:

$$\begin{aligned}
 E_{\text{footprint}} &= E_{\text{electrical}} + E_{\text{embodied}} \\
 &= E_{\text{standby}} + E_{\text{ac}} + E_{\text{dc}} + E_{\text{air}} + E_{\text{cutting}} \\
 &\quad + E_{\text{tool-embodied}} + E_{\text{fluid-embodied}}, \quad (1)
 \end{aligned}$$

where  $E_{\text{electrical}}$  and  $E_{\text{embodied}}$  are the electrical energy of machine tool and the embodied energy of consumable material, respectively.

**Table 2** Studies on energy efficient cutting parameter optimization by using energy models

Category	References	Machining/model type	Energy models	Multiobjective optimization with other objective(s)	Main conclusions
Cutting parameter optimization by using experimental design and mathematical models, such as ANN, RSM or Kriging model	Jang et al. [48]	Milling, ANN-based energy model	$SEC = P_{cutting}/MRR$	N/A	PSO was used to find the optimal cutting parameters for minimizing specific cutting energy. Minimum energy consumption can be achieved with a large feed rate and cutting depth
	Li et al. [35]	Milling, RSM-based energy model	$SEC = (E_{standby} + E_{air} + E_{cutting} + E_{tool-changing})/MRV$ $= 229.21 - 41.48v_c - 48.58f - 61.30a_p - 91.66a_e$ $+ 27.82v_c^2 + 35.17a_e^2 + 31.06v_c f + 22.48f/a_p$ $+ 24.01f/a_e + 25.52a_p a_e$	Machining time	A trade-off is found between SEC and machining time. Cutting width is observed to be the major factor affecting SEC, followed by cutting depth, feed rate, and cutting velocity. Minimum energy consumption can be achieved with a large cutting velocity, feed rate, cutting depth, and cutting width
Cutting parameter optimization by using empirical models	Moreira et al. [14]	Milling, RSM-based energy model	$SEC = E_{cutting}/MRV$	MRR, power load	Feed rate is found to be the most significant factor on SEC. A large feed rate is recommended for energy efficient machining
	Nguyen [15]	Milling, Kriging-based energy model	$SEC = F_{cutting}/(f a_p)$	Surface roughness, production rate	Cutting depth is the most influential parameter on SEC. Large cutting parameters can decrease SEC
	Rajemi et al. [13]	Turning (EMMBMS)	$E_{footprint} = E_{standby} + E_{cutting} + E_{tool-changing} + E_{tool-embodied}$	×	Optimal cutting parameters vary with energy boundaries. The optimal cutting parameters for minimum cost does not necessarily satisfy the minimum energy criterion
Arif et al. [16]	Turning (EMMBMS)	$E_{footprint} = E_{cutting} + E_{standby} + E_{tool-changing} + E_{tool-embodied}$	×	Influence of cutting parameters on energy consumption is different in roughing pass and finishing pass	

(Continued)

Category	References	Machining/model type	Energy models	Multiobjective optimization with other objective(s)	Main conclusions
	Li et al. [49]	Milling (EMMBMS)	$E_{\text{cutting}} = E_{\text{material}} + E_{\text{loss-motor}} + E_{\text{loss-moving}} + E_{\text{idle-auxiliary}}$	Surface roughness	Increasing feed rate but decreasing spindle speed can reduce energy consumption and improve production rate
	Velchev et al. [50]	Milling (EMMBMS)	$E_{\text{electrical}} = \text{SEC} \cdot \text{MRR} \cdot t_{\text{cutting}} + P_{\text{standby}} \cdot t_{\text{insert-changing}} \cdot \frac{t_{\text{cutting}}}{T_{\text{tool}}}$	×	Low energy consumption can be achieved with maximum possible values of feed rate and cutting depth
	Wang et al. [33]	Turning (EMMBMS)	$E_{\text{footprint}} = E_{\text{startup}} + E_{\text{cutting}} + E_{\text{tool-changing}} + E_{\text{tool-embodied}} + E_{\text{fluid-embodied}}$	Machining cost, surface roughness	Cutting parameter optimization is significant to energy reduction but optimization effect on surface roughness is limited. Cutting parameters are ranged in relatively reasonable ranges before optimization
	Albertelli et al. [51]	Milling (EMMBMTC)	$E_{\text{electrical}} = E_{\text{functional-modules}} + E_{\text{standby}} + E_{\text{material}}$	×	The optimal cutting parameters for minimum energy consumption is different from that of minimum machining time. Proper selection of cutting parameters can reduce both energy consumption and the machining time
	Ma et al. [52]	Milling (EMMBMS)	$E_{\text{electrical}} = \int_0^{t_{\text{cutting}}} (P_{\text{material}} + P_{\text{air}}) dt$	×	Increment of cutting velocity leads to a decrement of energy consumption
	Xiong et al. [53]	Milling (EMMBMS)	$E_{\text{cutting}} = \frac{\pi D_{\text{milling}} F_{\text{cutting}} \text{MRR}}{3.672 \times 10^6 f_z a_p a_e \eta_m} + 0.746 P_{\text{rated-compressed}} / \text{compressed}$	Milling dimensional accuracy, machining time, machining cost	Multiobjective cutting parameter optimization obtained a more reasonable results even each objective is not the absolute optimal

(Continued)

Category	References	Machining/model type	Energy models	Multiojective optimization with other objective(s)	Main conclusions
	Deng et al. [54]	Milling (EMMBMS)	$E_{\text{cutting}} = \int_0^{t_{\text{cutting}}} (P_{\text{standby}} + P_{\text{unload-feed}} + P_{\text{unload-spindle}} + P_{\text{material}} + P_{\text{auxiliary}}) dt$	Machining time	Cutting specific energy consumption first decreased and then increased with the increase of cutting velocity, while it always decreased with the increase of feed rate, cutting depth and cutting width
	He et al. [55]	Milling and turning (EMMBMTC)	$E_{\text{electrical}} = \frac{\left( P_{\text{standby}} t_{\text{standby-preparation}} + P_{\text{spraying-cooling}} t_{\text{spraying-cooling}} + P_{\text{unload-feed}} t_{\text{cutting}} + P_{\text{unload-spindle}} t_{\text{cutting}} + P_{\text{feed-fast}} t_{\text{feed-fast}} + P_{\text{material}} t_{\text{cutting}} \right)}{60}$	Machining time, cutting force	Different algorithms can be selected for different machining conditions and demands of specific objective problem
	Li et al. [25]	Milling (EMMBMS)	$E_{\text{electrical}} = E_{\text{startup}} + E_{\text{standby}} + \sum_{i=1}^m (E_{\text{air}}^i + E_{\text{cutting}}^i) + E_{\text{tool-changing}}$	Machining cost	Specific energy consumption first decreases with the increase in cutting velocity and then increases. It always decreases with the increase in feed rate and cutting depth
	Lu et al. [17]	Turning (EMMBMS)	$E_{\text{footprint}} = E_{\text{standby}} + E_{\text{tool-changing}} + E_{\text{tool-embodied}} + E_{\text{fluid-embodied}} + E_{\text{cutting}}$	Machining precision	A balance is found between minimum energy consumption and maximum machining precision. MOBSA outperforms NSGA-II, MOPSO, multiobjective evolutionary algorithm based on decomposition (MOEA/D), and MOHS
	Zhang et al. [56]	Milling (EMMBMS)	$E_{\text{electrical}} = E_{\text{startup}} + \sum_{i=1}^m E_{\text{standby}}^i + \sum_{i=1}^m E_{\text{approaching}}^i + \sum_{i=1}^m E_{\text{cutting}}^i + E_{\text{tool-changing}}$	Machining time, carbon emission	Energy consumption can be reduced with a large cutting velocity, feed rate, cutting depth, and cutting width. The balance of machining time, energy consumption, and carbon emissions should be struck

(Continued)

Category	References	Machining/model type	Energy models	Multiojective optimization with other objective(s)	Main conclusions
			$SEC = \frac{P_{standby} + k_{spindle}n + b_{spindle} + \lambda v_c^{0.75} f_{ch}^{0.75} d_p^{0.75}}{MRR}$	×	The effect of feed rate on specific energy consumption is less than that of cutting velocity and cutting depth. A large feed rate can be used in energy efficient machining process
	Zhong et al. [57]	Turning (EMMBMS)			
	Zhang et al. [58]	Turning (EMMBMS)	$E_{\text{electrical}} = (P_{\text{air}} + P_{\text{material}} + P_{\text{loss-spindle}})t_{\text{cutting}} + P_{\text{standby}}t_{\text{standby-preparation}} + P_{\text{air}}t_{\text{air}} + P_{\text{standby}}t_{\text{cool-changing}} + P_{\text{auxiliary}}(t_{\text{standby-preparation}} + t_{\text{air}} + t_{\text{cutting}} + t_{\text{cool-changing}})$	Noise emission, machining cost	A large feed rate and cutting depth minimize the energy consumption of the machining process. The influence of cutting speed on energy is insignificant. A conflict is found between minimizing energy consumption and noise emission
	Bagaber and Yusoff [59]	Turning (EMMBMS)	$E_{\text{electrical-dry}} = P_{\text{startup}}t_{\text{startup}} + P_{\text{standby}}t_{\text{standby-preparation}} + P_{\text{air}}t_{\text{air}} + (P_{\text{air}} + k_m MRR)t_{\text{cutting}} + P_{\text{standby}}t_{\text{cool-changing}}$ $E_{\text{electrical-wet}} = P_{\text{startup}}t_{\text{startup}} + P_{\text{standby}}t_{\text{standby-preparation}} + P_{\text{air}}t_{\text{air}} + (P_{\text{air}} + k_m MRR)t_{\text{cutting}} + P_{\text{standby}}t_{\text{cool-changing}} + E_{\text{fluid-embodied}}$	Machining cost, surface roughness	Minimum energy consumption can be achieved with the highest value of feed rate and lowest value of cutting depth. Feed rate is the most significant factor affecting energy consumption
	Hu et al. [60]	Turning (EMMBMS)	$E_{\text{electrical}} = (P_{\text{material}} + P_{\text{unload-feed}} + P_{\text{unload-spindle}} + P_{\text{spraying-cooling}} + P_{\text{standby}})t_{\text{cutting}} + E_{\text{approaching}} + E_{\text{rotation-changing}}$	×	Simulated annealing (SA) outperforms expectation-maximization (EM) because it requires less computation time with minimal sacrifice in solution quality compared with EM
	Li et al. [61]	Milling (EMMBMS)	$E_{\text{electrical}} = E_{\text{standby}} + E_{\text{air}} + E_{\text{cutting}} + E_{\text{cool-changing}}$	Machining time	Cutting depth and width are the most influential factors for specific energy consumption. A trade-off is found between specific energy consumption and machining time

(Continued)

Category	References	Machining/model type	Energy models	Multiojective optimization with other objective(s)	Main conclusions
	Wang et al. [62]	Milling (EMMBMS)	$E_{\text{electrical}} = E_{\text{standby}} + E_{\text{approaching}} + E_{\text{leaving}} + E_{\text{cutting}}$	×	The range of cutting parameters increases with cutting tool diameter. Accordingly, a large MRR can be used to reduce energy consumption
	Chen et al. [32]	Milling (EMMBMS)	$E_{\text{footprint}} = E_{\text{standby}} + E_{\text{air}} + E_{\text{cutting}} + E_{\text{tool-changing}} + E_{\text{tool-embodied}}$	Machining time	Multiojective optimization strikes a balance between minimum energy consumption and minimum machining time

Note: ANN, artificial neural network; PSO, particle swarm optimization; SEC, specific cutting energy, the amount of energy required to cut a unit volume of a workpiece; MRR, material removal rate; RSM, response surface methodology; EMMBMT, energy modeling method based on machine tool component; EMMBMS, energy modeling method based on machining state; MOBSSA, multiojective backtracking search algorithm; NSGA-II, nondominated sorting genetic algorithm II; MOPSO, multiojective particle swarm optimization; MOHS, multiojective harmony search;  $E_{\text{cutting}}$ , cutting power;  $E_{\text{standby}}$ , standby energy;  $E_{\text{air}}$ , air cutting energy;  $E_{\text{cutting}}$ , cutting energy;  $E_{\text{tool-changing}}$ , standby energy used for changing the worn cutting tool;  $v_c$ , cutting velocity;  $f$ , feed rate;  $a_p$ , cutting depth;  $a_e$ , cutting width;  $F_{\text{cutting}}$ , cutting force;  $E_{\text{footprint}}$ , energy footprint of the machining process;  $P_{\text{standby}}$ , standby power;  $E_{\text{tool-embodied}}$ , embodied energy consumption of cutting tool;  $E_{\text{material}}$ , material removal energy;  $E_{\text{loss-moving}}$ , inertia energy loss of moving components;  $E_{\text{loss-motor}}$ , additional load loss energy of main motor;  $E_{\text{idle-auxiliary}}$ , idle energy of auxiliary system;  $E_{\text{electrical}}$ , electrical energy of the machining process;  $t_{\text{cutting}}$ , cutting time;  $t_{\text{insert-changing}}$ , time for changing an insert;  $t_{\text{tool}}$ , tool life;  $z$ , number of cutting inserts;  $E_{\text{fluid-embodied}}$ , embodied energy consumption of cutting fluid;  $E_{\text{functional-modules}}$ , energy consumption by main machine tool functional modules;  $E_{\text{startup}}$ , startup energy;  $P_{\text{material}}$ , material removal power;  $P_{\text{air}}$ , air cutting power;  $D_{\text{drilling}}$ , diameter of milling tool;  $f_z$ , feed rate per tooth;  $\eta_m$ , overall efficiency of spindle motor;  $P_{\text{rated-compressed}}$ , rated power of compressed air motor;  $\gamma_{\text{compressed}}$ , load factor of compressed air motor;  $P_{\text{unload-feeds}}$ , unload power of feed system;  $P_{\text{unload-spindle}}$ , unload power of spindle system;  $P_{\text{auxiliary}}$ , power of auxiliary system;  $t_{\text{standby-preparation}}$ , standby time used to bring the workpiece and cutting tool to the about-to cut position and to set up the numerical control program before machining;  $P_{\text{spraying-cooling}}$ , power for spraying cooling fluid;  $P_{\text{feed-fast}}$ , power for fast feeding;  $t_{\text{feed-fast}}$ , time for fast feeding;  $E_{\text{air}}$ , air cutting energy of the  $i$ th pass;  $E_{\text{cutting}}$ , cutting energy of the  $i$ th pass;  $E_{\text{standby}}$ , standby energy of the  $i$ th pass;  $E_{\text{approaching}}$ , energy consumption for tool approaching of the  $i$ th pass;  $k_{\text{spindle}}$ , unload power coefficient of spindle system;  $n$ , spindle speed;  $m$ , number of machining passes;  $\lambda$ , coefficient of cutting force;  $\alpha_F$ , coefficient of cutting force;  $\beta_F$ , coefficient of cutting force;  $P_{\text{loss-spindle}}$ , additional load loss power of spindle system;  $E_{\text{electrical-dry}}$ , electrical energy of the machining process under dry condition;  $E_{\text{electrical-wet}}$ , electrical energy of the machining process under wet condition;  $P_{\text{startup}}$ , startup power;  $t_{\text{startup}}$ , startup time;  $k_{\text{ms}}$ , constant for material removal power;  $E_{\text{rotation-changing}}$ , energy consumption for spindle rotation changing (non-cutting);  $E_{\text{leaving}}$ , energy consumption for tool leaving.



#### 4.1.1 Modeling of standby energy $E_{\text{standby}}$

As mentioned in Section 2.1.1, the standby energy is composed of two parts, namely, the standby energy used for the preparation of workpiece, cutting tool, and NC program before machining,  $E_{\text{standby-preparation}}$ , and the energy used for changing worn cutting tool,  $E_{\text{tool-changing}}$ :

$$E_{\text{standby}} = E_{\text{standby-preparation}} + E_{\text{tool-changing}}. \quad (2)$$

1) Standby energy used for the preparation of workpiece, cutting tool, and NC program,  $E_{\text{standby-preparation}}$

During the standby state for the preparation of workpiece, cutting tool, and NC program, the activated components of the machine tool are the inverters, servos, and computer NC system [63]. The rated power consumption of each component is usually fixed in the standby state. The energy consumption during standby state is only dependent on standby time  $t_{\text{standby-preparation}}$  and total power  $P_{\text{standby}}$  of these machine tool components and is usually modeled, as shown in Eq. (3):

$$E_{\text{standby-preparation}} = P_{\text{standby}} t_{\text{standby-preparation}}, \quad (3)$$

where  $t_{\text{standby-preparation}}$  is the standby time related to the operating skills of workers.

2) Standby energy used for changing worn cutting tool,  $E_{\text{tool-changing}}$

During a machining process, the worn cutting tool is replaced with a sharp tool in standby state. However, the tool changing operation may not occur in each machining process because a sharp tool can be usually used for machining several parts [32]. Hence, the standby energy used for changing worn cutting tool of each part is evaluated in terms of the actual cutting time per tool life multiplied by standby power, which is expressed as shown in Eqs. (4) and (5):

$$E_{\text{tool-changing}} = P_{\text{standby}} t_{\text{tool-changing}}, \quad (4)$$

$$t_{\text{tool-changing}} = t_{\text{insert-changing}} z \frac{t_{\text{cutting}}}{T_{\text{tool}}}, \quad (5)$$

where  $t_{\text{tool-changing}}$  is the tool changing time,  $t_{\text{insert-changing}}$  is the time to change each cutting insert,  $z$  is the total inserts in a cutting tool, and  $T_{\text{tool}}$  is the tool life. Taking the cylindrical turning process as an example,  $t_{\text{cutting}}$  and  $T_{\text{tool}}$  are calculated as shown in Eqs. (6) and (7) [64]:

$$t_{\text{cutting}} = \frac{\pi D_{\text{avg}} l}{f v_c}, \quad (6)$$

$$T_{\text{tool}} = \frac{C_T}{v_c^{\alpha_T} f^{\beta_T} a_p^{\gamma_T}}, \quad (7)$$

where  $D_{\text{avg}}$  is the average diameter of workpiece,  $l$  is the

cutting length of workpiece,  $\alpha_T$ ,  $\beta_T$ ,  $\gamma_T$  and  $C_T$  are tool life coefficients, and  $a_p$  is cutting depth.

#### 4.1.2 Modeling of spindle acceleration energy $E_{\text{ac}}$ and spindle deceleration energy $E_{\text{dc}}$

Spindle acceleration energy  $E_{\text{ac}}$  is related to spindle speed. In the work presented by Huang et al. [65], they modeled spindle acceleration energy  $E_{\text{ac}}$  with respect to spindle speed, as shown in Eq. (8):

$$\begin{aligned} E_{\text{ac}} = & E_{\text{loss-motor}} + E_{\text{m}} + 2\pi M_{\text{om}}(n_s) \int_{t_{\text{st}}}^{t_{\text{end}}} n(t) dt \\ & + 4\pi^2 B(n_s) \int_{t_{\text{st}}}^{t_{\text{end}}} n^2(t) dt + 2\pi^2 J_{\text{m}}(n_s) n^2(t) \\ & + P_{\text{standby}} t_{\text{ac}}, \end{aligned} \quad (8)$$

where  $E_{\text{loss-motor}}$  is the additional load loss energy of main motor,  $E_{\text{m}}$  is the changed energy of electromagnetic field,  $M_{\text{om}}(n_s)$  represents the load torque of electric motor in the main transmission system,  $B(n_s)$  represents the viscous damping coefficient of main transmission system equivalently transformed to motor shaft,  $J_{\text{m}}(n_s)$  is the rotational inertia of main transmission system equivalently transformed to motor shaft,  $n(t)$  denotes the spindle speed varying with time,  $t_{\text{st}}$  represents the spindle acceleration starting at this time point and ending at  $t_{\text{end}}$ , and  $t_{\text{ac}}$  is the time duration of spindle acceleration.

Similar to the work reported by Huang et al. [65], Hu et al. [26] studied spindle acceleration energy  $E_{\text{ac}}$  and modeled it, as shown in Eqs. (9) and (10):

$$E_{\text{ac}} = \int_0^{t_{\text{cj}}^{pq}} (P_{\text{standby}} + P_{\text{cj}}^{pq}) dt, \quad (9)$$

$$P_{\text{cj}}^{pq} = B_{\text{SA}} \left( n_{\text{Sj}}^{pq} + \frac{30\alpha_A t}{\pi} \right) + C_{\text{SA}} + T_{\text{SA}} \left( \frac{\pi n_{\text{Sj}}^{pq}}{30} + \alpha_A t \right), \quad (10)$$

where  $P_{\text{cj}}^{pq}$  and  $t_{\text{cj}}^{pq}$  are the power consumptions of spindle system and time duration during the  $j$ th speed change of the spindle rotation in noncutting operations from feature  $F_p$  to feature  $F_q$ ,  $n_{\text{Sj}}^{pq}$  is the initial spindle speed for the  $j$ th speed change in spindle rotation, and  $B_{\text{SA}}$ ,  $C_{\text{SA}}$ ,  $\alpha_A$ , and  $T_{\text{SA}}$  are the coefficients of the spindle system.

For spindle deceleration energy  $E_{\text{dc}}$ , Hu et al. [26] found that  $P_{\text{cj}}^{pq}$  was zero when no energy recycling device was installed on the machine tool, and the power consumption during deceleration equaled to the standby power of the machine tool. Otherwise, the power consumption during

deceleration is negative because the energy was recovered with energy recycling devices.  $P_{cj}^{pq}$  is modeled as shown in Eq. (11):

$$P_{cj}^{pq} = B_{SRD}(n_{Ej}^{pq} - n_{Sj}^{pq}) + C_{SRD}, \quad (11)$$

where  $n_{Ej}^{pq}$  is the final spindle speed for the  $j$ th speed change in spindle rotation, and  $B_{SRD}$  and  $C_{SRD}$  are the coefficients of the spindle system.

#### 4.1.3 Modeling of air cutting energy $E_{air}$

During air cutting state, the spindle and feed systems are powered on, and the cutting-related auxiliary systems, such as chip conveyor and coolant system, are simultaneously activated to ensure the operational readiness. Consequently, the energy consumption during air cutting state is related to three types of machine tool components. The first two types are the machine tool components activated in standby state and the cutting-related auxiliary system powered on in air cutting state. The power consumption of these components is fixed. The third type is the spindle and feed systems, and their power consumption varies with different spindle speeds and feed rates. Air cutting energy  $E_{air}$  is usually modeled as follows [25]:

$$E_{air} = (P_{standby} + P_{auxiliary} + P_{unload})t_{air}, \quad (12)$$

where  $t_{air}$  is the air cutting time related to air cutting length and cutting parameters,  $P_{auxiliary}$  is the power consumption of cutting-related auxiliary system activated in air cutting state, and  $P_{unload}$  is the power consumption of the spindle and feed systems during air cutting state. It is usually defined as unload power because the spindle and feed systems are running without load.  $P_{unload}$  is usually composed of the unload power of spindle and feed systems, which can be expressed as shown in Eq. (13):

$$P_{unload} = P_{unload-spindle} + P_{unload-feed}, \quad (13)$$

where  $P_{unload-spindle}$  and  $P_{unload-feed}$  are the unload power of spindle and feed systems, respectively.

As shown in Eq. (14), Mativenga and Rajemi [66] found that the unload power of spindle system follows a linear relationship with spindle speed  $n$ :

$$P_{unload-spindle} = k_{spindle}n + b_{spindle}, \quad (14)$$

where  $k_{spindle}$  and  $b_{spindle}$  are the coefficients that can be measured through experiments.

In the work presented by Li et al. [61], they improved the unload power model of spindle system and approximated it with a quadratic function in terms of spindle speed  $n$ :

$$P_{unload-spindle} = \alpha_{spindle} + \beta_{spindle}n + \gamma_{spindle}n^2, \quad (15)$$

where  $\alpha_{spindle}$ ,  $\beta_{spindle}$ , and  $\gamma_{spindle}$  are the unload power coefficients of spindle system.

Similar to the unload power of spindle system, the unload power of feed system is modeled by researchers in a linear [67] or in a quadratic function [68] with respect to feed rate  $f$ :

$$P_{unload-feed} = \alpha_{feed}f + \beta_{feed}, \quad (16)$$

$$P_{unload-feed} = \gamma_{feed}f + \mu_{feed}f^2, \quad (17)$$

where  $\alpha_{feed}$ ,  $\beta_{feed}$ ,  $\gamma_{feed}$  and  $\mu_{feed}$  are the unload power coefficients of feed system.

#### 4.1.4 Modeling of cutting energy $E_{cutting}$

Generally, there is no extra machine tool component activated in cutting state because the needed machine tool components are activated in standby state or air cutting state. However, the power profile in cutting state increases obviously compared with that in air cutting state, as shown in Fig. 2. This condition is because the tool tip needs more energy to remove the material from the workpiece and to overcome the additional friction of the transmission system generated by cutting load. To this end, cutting energy  $E_{cutting}$  is composed of more than three parts compared with air cutting energy, which is calculated as shown in Eq. (18):

$$E_{cutting} = (P_{standby} + P_{auxiliary} + P_{unload} + P_{material} + P_{loss}) \cdot t_{cutting}, \quad (18)$$

where  $P_{material}$  and  $P_{loss}$  are the material removal power and additional load loss power.

##### 1) Material removal power $P_{material}$

The material removal power is highly dependent on cutting parameters, workpiece material, cutting tool, and machining conditions. Over the past 10 years, many researchers have proposed a variety of methods to model the material removal power. In the work presented by Gutowski et al. [69,70], they found that there was a linear relationship between material removal power  $P_{removal}$  and MRR, which can be expressed in Eq. (19):

$$P_{material} = k_m \text{MRR}, \quad (19)$$

where  $k_m$  is a constant, and MRR is calculated on the basis of different machining types. In a milling process,  $\text{MRR} = nf_z a_p a_e$ , where  $f_z$  is the feed rate per tooth and  $a_e$  is the cutting width.

The cutting force reflects the deformation of workpiece material. Some researchers established material removal power models in terms of on cutting force. Albertelli et al. [51] modeled the material removal power of a milling process, as shown in Eq. (20):

$$P_{material} = K h a_p n_{teeth} v_c, \quad (20)$$

where  $n_{teeth}$  is the average number of engaged tool teeth,  $h$

is the deformed chip thickness, and  $K$  is the cutting pressure. This model can be calculated considering the effects of chip thickness on specific cutting pressure [71].

In a machining process, empirical modeling is a widely used method to model the material removal power with respect to cutting parameters. The established models can be found in Refs. [72–76]. A typical material removal power model of a milling process is expressed as shown in Eq. (21):

$$P_{\text{material}} = C_F a_p^{x_F} f_z^{y_F} a_e^{z_F} v_c^{1+\mu_F}, \quad (21)$$

where  $C_F$ ,  $x_F$ ,  $y_F$ ,  $z_F$ , and  $\mu_F$  are the coefficients that can be obtained through cutting experiments.

## 2) Additional load loss power $P_{\text{loss}}$

During the cutting state, cutting load increases the friction of transmission systems and causes additional power consumption of the machine tool. In the work presented by Hu et al. [77], they defined the additional power consumption of machine tool as additional load loss power  $P_{\text{loss}}$  and modeled it with a quadratic function of material removal power, which can be expressed as Eq. (22):

$$P_{\text{loss}} = \lambda_{\text{loss}} P_{\text{material}} + \xi_{\text{loss}} P_{\text{material}}^2, \quad (22)$$

where  $\lambda_{\text{loss}}$  and  $\xi_{\text{loss}}$  are the additional load loss coefficients.

### 4.1.5 Modeling of embodied energy of cutting tool

$E_{\text{tool-embodied}}$

As mentioned in Section 4.1.1, a worn cutting tool is replaced with a sharp one when the tool wear reaches the preset criterion. Accordingly, the embodied energy of the cutting tool is consumed. A new cutting tool usually can be used for machining more than one part. The needed embodied energy of a cutting tool in a machining process is calculated on the basis of the unit embodied energy of cutting tool, tool life, and actual cutting time [32].

$$E_{\text{tool-embodied}} = \frac{t_{\text{cutting}}}{T_{\text{tool}}} U_{\text{tool}}, \quad (23)$$

where  $U_{\text{tool}}$  is the unit embodied energy of cutting tool.

$$U_{\text{tool}} = \frac{E_{\text{insert}} V_{\text{insert}} z}{N}, \quad (24)$$

where  $E_{\text{insert}}$  is the energy to fabricate the cutting insert material,  $V_{\text{insert}}$  is the volume of one insert,  $N$  is the number of cutting edges of each insert.

### 4.1.6 Modeling of embodied energy of cutting fluid

$E_{\text{fluid-embodied}}$

As reported by Yi et al. [19], the cutting fluid used in the machining process is composed of two categories. The first

category is the water-based cutting fluid, and the second category is the oil-based cutting fluid. The energy used to produce cutting fluid varies with different categories. In a machining process, the needed embodied energy of cutting fluid is dependent on the unit embodied energy of cutting fluid  $U_{\text{fluid}}$ , replacement cycle of cutting fluid  $T_{\text{fluid}}$ , and cutting time  $t_{\text{cutting}}$ , which is expressed as Eq. (25):

$$E_{\text{fluid-embodied}} = \frac{t_{\text{cutting}}}{T_{\text{fluid}}} U_{\text{fluid}}, \quad (25)$$

$$U_{\text{fluid}} = E_{\text{fluid-material}} (V_{\text{initial}} + V_{\text{additional}}) \rho \delta, \quad (26)$$

where  $V_{\text{initial}}$  and  $V_{\text{additional}}$  are initial and additional volumes of cutting fluid,  $\rho$  is the density of the cutting fluid,  $E_{\text{fluid-material}}$  is the energy used to fabricate the material of cutting fluid, and  $\delta$  is the concentration of cutting fluid. Note that the energy from water generation is negligible [29].

## 4.2 Machining constraints

In a machining process, all cutting parameters must be set within a permitted region to ensure the safety of the machine and cutting tools and to satisfy the machining quality and economic requirements. Therefore, some machining constraints should be satisfied in energy efficient cutting parameter optimization. The typical constraints for a milling process are expressed as follows:

$$v_{c,\min} \leq v_c \leq v_{c,\max}, \quad (27)$$

$$a_{p,\min} \leq a_p \leq a_{p,\max}, \quad (28)$$

$$f_{\min} \leq f \leq f_{\max}, \quad (29)$$

$$P_{\text{unload}} + P_{\text{material}} + P_{\text{loss}} \leq \eta_m P_m, \quad (30)$$

$$Ra = 318 \frac{f_z^2}{\tan a_1 + \cot a_c} \leq Ra_{\max}, \quad (31)$$

$$T_{\text{tool}} \geq T_e. \quad (32)$$

Equations (27)–(29) ensure the cutting velocity, feed rate, and cutting depth to be within their feasible ranges for avoiding quick tool wear and machine tool damage [78], where  $v_{c,\max}/v_{c,\min}$ ,  $a_{p,\max}/a_{p,\min}$ , and  $f_{\max}/f_{\min}$  are the maximum/minimum cutting velocity, cutting depth, and feed rate. Equation (30) controls the required power to be less than the output power of the spindle motor, where  $P_m$  and  $\eta_m$  are nominal motor power and overall efficiency of the spindle. Equation (31) ensures the final surface roughness  $Ra$  to be less than the permitted  $Ra_{\max}$ , where  $a_1$  and  $a_c$  are the lead and clearance angles of the tool tip, respectively. Similarly, Eq. (32) controls the tool life to be longer than the economic one,  $T_e$ .

The abovementioned constraints are typically used in cutting parameter optimization. For a specific machining case, other constraints are needed to be satisfied. In a multipass machining process, the summation of all cutting widths should be equal to the total machining stock [79–81]. In a drilling process, the stability of the drill should be focused because a deviation of the drill may lead to a failure of the machine tool and drill [82].

#### 4.3 Optimization solution via evolutionary or metaheuristic algorithms

Energy efficient cutting parameter optimization is a highly nonlinear, multidimensional, and ill-behaved engineering problem with multiple constraints and multiple conflicting objectives [83]. Two methods are mainly used to solve this problem. Traditional methods include the conventional nonlinear programming-based algorithms, such as quasi-Newton and steepest descent methods [84]. With the development of optimization algorithms, many nonconventional methods, such as evolutionary or metaheuristic algorithms, have been proposed by researchers in recent years [85]. These methods include backtracking search algorithm (BSA) [17], PSO [86], and artificial bee colony (ABC) [87]. Particularly, for energy efficient cutting parameter optimization with multiple conflicting objectives, Pareto multiobjective optimization methods used to search comprise solutions are proposed by researchers on the basis of these algorithms. These methods include

multiobjective backtracking search algorithm (MOBSA), multiobjective PSO (MOPSO), and multiobjective ABC. These algorithms are inspired by nature or animal's behavior, and their performance is different from each another due to their unique solution searching mechanism. The performance of each algorithm varies with different optimization problems. Lu et al. [17] compared the performance of different algorithms in solving the multipass energy efficient cutting parameter optimization problem. They found that MOBSA outperforms nondominated sorting genetic algorithm II (NSGA-II), MOPSO, multiobjective evolutionary algorithm based on decomposition (MOEA/D), and multiobjective harmony search (MOHS) from the perspectives of the extent of spread in the Pareto fronts, generational distance, and inverse generational distance. In the work reported by He et al. [55], they concluded that the convergence speed of NSGA-II is faster than MOEA/D. However, the solutions obtained by MOEA/D are found to be more efficient for engineering use due to the diversity and good performance.

As shown in Fig. 5, the flowchart of a popularly used NSGA-II [88–90] is taken as an example to demonstrate the basic logic of nonconventional optimization methods. From Fig. 5, four main steps are used in the algorithm, which are initialization, determination, selection, and reproduction. The initialization step is composed of solution representation and solution initialization. It is used to code the cutting parameters and generate the initial cutting parameter solutions. The initialization solutions are

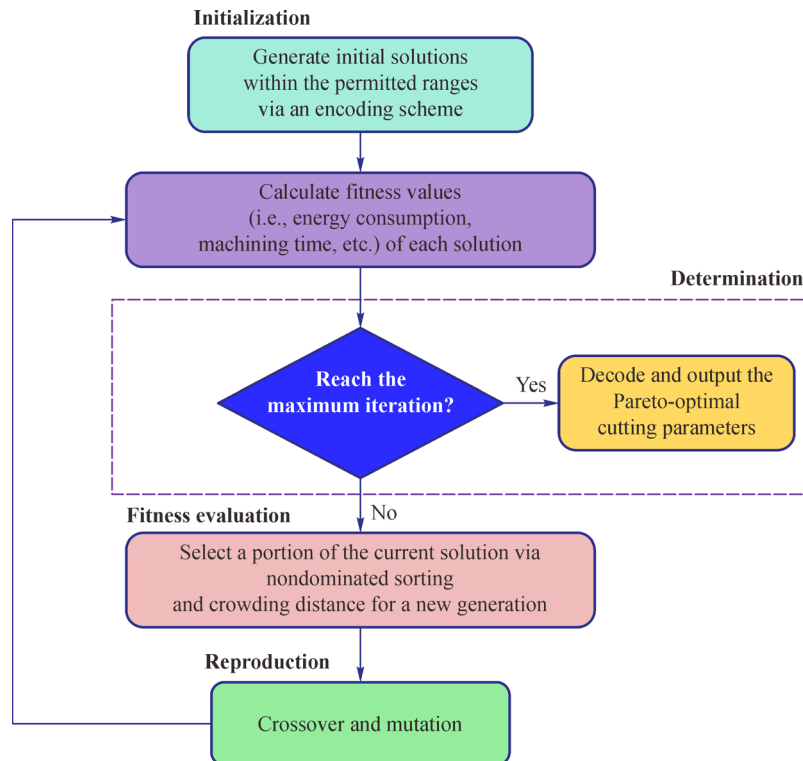


Fig. 5 Flowchart of an exemplary nondominated sorting genetic algorithm II.

generated randomly within the cutting parameter ranges. The determination step is set to calculate the fitness values (i.e., energy consumption, machining time, etc.) of each cutting parameter solutions and identify the termination of the algorithm. The selection step is used to choose a portion of the existing solution for a new generation. Each optimization algorithm has its unique mechanism for solution selection. For the NSGA-II, nondominated sorting and crowding distance are usually used. The reproduction step is used to produce new generations and varies with different algorithm. In NSGA-II, crossover and mutation are adopted to realize the production of new generation.

#### 4.4 Remarks

The following remarks based on the reviewed studies are summarized:

- The established energy models involve many parameters, such as coefficients of unload power, material removal power, and tool changing power. These coefficients are usually determined by a variety of machining conditions, including machining type, machine tool specification, material of workpiece, and cutting tool. This condition indicates that although the established energy models are general, they should not be directly used for a specific machining case before identifying these coefficients.
- The results of the studies listed in Table 2 are relatively similar to that shown in Table 1. The most influential cutting parameter on energy consumption differs with machining conditions. The optimal cutting parameters for energy saving should be selected under restriction of these machining conditions. A minor change in the machining conditions may cause the entire optimization process to start all over again.
- The optimal cutting parameters vary with different energy boundaries of the machining system. Current research is concentrated on reducing the electrical energy consumption of the machine tool because it can be easily measured with a power meter. Only a few studies extend their focus to the embodied energy of cutting tool and cutting fluid. This condition is mainly because the production process of the material is extremely complicated, and an effective method to obtain the energy consumption is lacking for producing the material [91]. However, from the machining system point of view, cutting parameter optimization with a comprehensive consideration of all energy consumption reduces the total energy consumption. A reasonable estimation of the embodied energy of material is better rather than disregarding it.
- The optimal cutting parameters for minimizing energy consumption should be within the permitted region restricted by machining constraints. Setting up reasonable constraints is usually a difficult task. This is because these

constraints are affected by many machining conditions, and obtaining the parameters in these constraints may be extremely difficult. Furthermore, insufficient constraint may lead to impractical cutting parameters, whereas excessive constraints may result in a few limited solutions or no solution.

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## 5 Recommendations

On the basis of the above review of current studies about energy efficient cutting parameter optimization, the authors provide some recommendations on this topic for future research.

1) The energy consumption of the machining process includes the electrical energy consumption of machine tool and the embodied energy of cutting tool and cutting fluid. Energy efficient cutting parameter optimization should start with the definition of the system boundary because the optimal cutting parameters vary with different energy boundaries. Current studies are concentrated on reducing the electrical energy consumption of the machine tool. Only a few studies extend their focus to the embodied energy of cutting tool and cutting fluid. Future work can be concentrated on cutting parameter optimization with a comprehensive consideration of electrical energy and embodied energy.

2) For a specific energy efficient cutting parameter optimization problem, researchers exert their best effort to find the most suitable method to solve it or modify some existing algorithms to make them applicable for the optimization cases. However, a method or guideline is lacking for the proper selection of suitable method in solving energy efficient cutting parameter optimization problem. Furthermore, for some optimization problems with multiple conflicting objectives, the optimal cutting parameter schemes for minimizing energy consumption do not necessarily satisfy the optimization criteria of minimizing surface roughness, maximizing tool life, and MRR. Multiobjective optimization is an effective method used to solve this problem. However, the decision rules for selecting the Pareto-optimal solutions should be further studied to strike a balance between these objectives and meet various engineering applications.

3) Although the influence of cutting parameters on energy consumption varies with different machining conditions, it shows similarities under some machining conditions. For example, Yan and Li [44] found that the energy consumption of milling process can be reduced with a large MRR. Li et al. [49] and Moreira et al. [14] found that machining with a large feed rate can reduce the energy consumption of their milling process. This is because the main machining conditions of these studies are relatively similar, and the main conclusions are consistent. It gives us an inspiration that we can conduct energy

efficient cutting parameter optimization by mining the knowledge included in the machining data [92], which are easy to acquire by monitoring [4] the cutting parameters, machining conditions, and the corresponding energy consumption. In our prior work, we analyzed the machining data to find the optimal cutting parameters for energy saving in a turning process, as shown in Fig. 6 [93]. However, this is only a beginning of this research direction and deserves further study.

4) Most of the existing studies about energy efficient cutting parameter optimization are completed before machining, and the obtained cutting parameters are inserted into the numerical codes. In recent years, with the development of measurement technology, monitoring the machining signals, such as torque, cutting power, vibration, and temperature of the spindle system or the whole machine tool, is convenient. As shown in Fig. 7, on-board cutting parameter optimization by considering

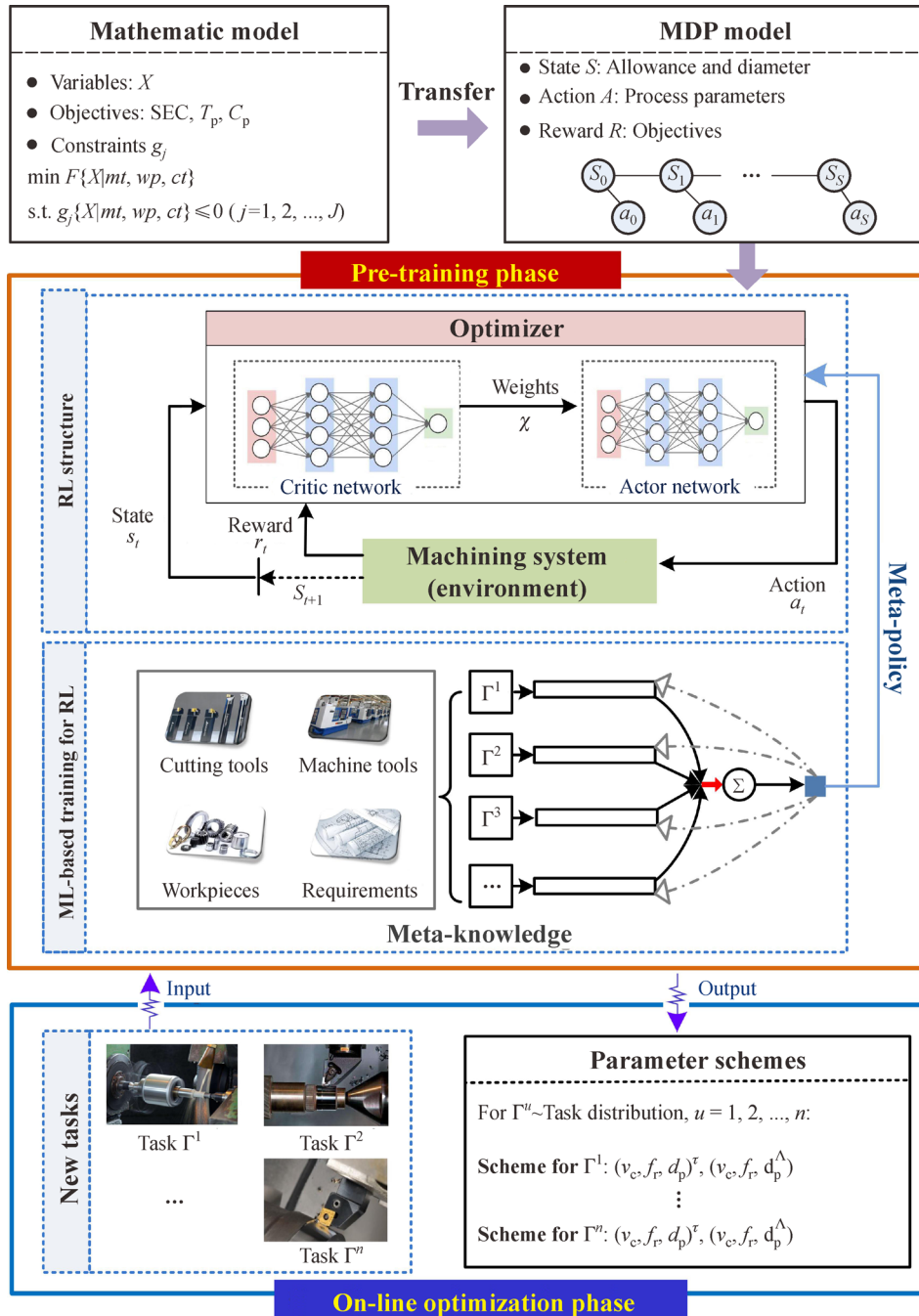


Fig. 6 Schematic representation of metareinforcement learning of cutting parameter optimization [93].

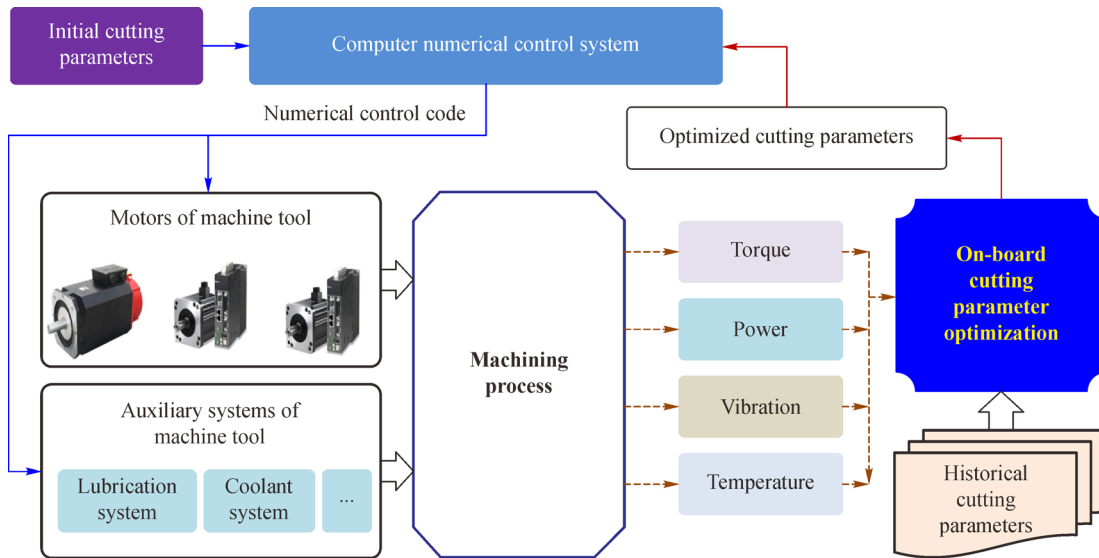


Fig. 7 On-board cutting parameter optimization.

the real-time machining signals may be a future research area because the optimal cutting parameter can be adjusted in accordance with the real-time machining conditions [94].

## 6 Conclusions

Energy efficient cutting parameter optimization has attracted wide attention from the academic community and industry practitioners because it is a vital method toward energy saving in a machining process. In this paper, an overview of the state-of-the-art energy efficient cutting parameter optimization is presented. The energy consumption characteristics of machining process are analyzed by decomposing the total energy consumption into electrical energy consumption of machine tool and the embodied energy of cutting tool and cutting fluid. Current literature about cutting parameter optimization for energy saving is reviewed by classifying them into two categories, namely, energy efficient cutting parameter optimization by using experimental design methods and energy models. On the basis of the reviewed studies, the advances and limitations of the existing studies are analyzed. Some future research recommendations are provided, including cutting parameter optimization with a comprehensive consideration of the electrical energy and embodied energy of cutting tool and cutting fluid, development of decision rules for selecting Pareto-optimal cutting parameter solutions, energy efficient cutting parameter optimization by mining the knowledge included in the machining data, and on-board energy efficient cutting parameter optimization. This work can be a good help for researchers in this research area.

## Nomenclature

### Variables

$a_c$	Clearance angle of the tool tip
$a_c$	Cutting width
$a_l$	Lead angle of the tool tip
$a_p$	Cutting depth
$a_{p,max}$	Maximum cutting depth
$a_{p,min}$	Minimum cutting depth
$b_{spindle}$	Unload power coefficient of spindle system
$B(n_s)$	Viscous damping coefficient of main transmission system equivalently transformed to motor shaft
$B_{SA}$	Coefficient of the spindle acceleration energy
$B_{SRD}$	Coefficient of the spindle deceleration energy
$C_F$	Coefficient of cutting force
$C_{SA}$	Coefficient of the spindle acceleration energy
$C_{SRD}$	Coefficient of the spindle deceleration energy
$C_T$	Coefficient of tool life
$D_{avg}$	Average diameter of workpiece
$D_{milling}$	Diameter of milling tool
$E_{ac}$	Spindle acceleration energy
$E_{air}$	Air cutting energy
$E_{air}^i$	Air cutting energy of the $i$ th pass
$E_{approaching}^i$	Energy consumption for tool approaching of the $i$ th pass
$E_{cutting}$	Cutting energy
$E_{cutting}^i$	Cutting energy of the $i$ th pass
$E_{dc}$	Spindle deceleration energy
$E_{electrical}$	Electrical energy of the machining process

$E_{\text{electrical-dry}}$	Electrical energy of the machining process under dry condition	$n(t)$	Spindle speed varying with time
$E_{\text{electrical-wet}}$	Electrical energy of the machining process under wet condition	$N$	Number of cutting edges of each insert
$E_{\text{embodied}}$	Electrical energy of machine tool and the embodied energy of consumable material	$P_{\text{air}}$	Air cutting power
$E_{\text{fluid-embodied}}$	Embodied energy consumption of cutting fluid	$P_{\text{auxiliary}}$	Power of auxiliary system
$E_{\text{fluid-material}}$	Energy used to fabricate the material of cutting fluid	$P_{\text{cj}}^{pq}$	Power consumption of spindle system during the $j$ th speed change of the spindle rotation in noncutting operations from feature $F_p$ to feature $F_q$
$E_{\text{footprint}}$	Energy footprint of the machining process	$P_{\text{cutting}}$	Cutting power
$E_{\text{functional-modules}}$	Energy consumption by main machine tool functional modules	$P_{\text{feed-fast}}$	Power for fast feeding
$E_{\text{idle-auxiliary}}$	Idle energy of auxiliary system	$P_{\text{idle-auxiliary}}$	Idle power of auxiliary system
$E_{\text{insert}}$	Energy to fabricate the cutting insert material	$P_{\text{loss}}$	Additional load loss power of spindle system and feed systems
$E_{\text{leaving}}$	Energy consumption for tool leaving	$P_{\text{loss-spindle}}$	Additional load loss power of spindle system
$E_{\text{loss-motor}}$	Additional load loss energy of main motor	$P_{\text{m}}$	Nominal motor power of spindle
$E_{\text{loss-moving}}$	Inertia energy loss of moving components	$P_{\text{material}}$	Material removal power
$E_{\text{m}}$	Changed energy of electromagnetic field	$P_{\text{rated-compressed}}$	Rated power of compressed air motor
$E_{\text{material}}$	Material removal energy	$P_{\text{removal}}$	Material removal power
$E_{\text{rotation-changing}}$	Energy consumption for spindle rotation changing (non-cutting)	$P_{\text{spraying-cooling}}$	Power for spraying cooling fluid
$E_{\text{standby}}$	Standby energy	$P_{\text{standby}}$	Standby power
$E_{\text{standby}}^i$	Standby energy of the $i$ th pass	$P_{\text{startup}}$	Startup power
$E_{\text{standby-preparation}}$	Standby energy used to bring the workpiece and cutting tool to the about-to cut position and to set up the numerical control program before machining	$P_{\text{unload}}$	Unload power of spindle and feed systems
$E_{\text{startup}}$	Startup energy	$P_{\text{unload-feed}}$	Unload power of feed system
$E_{\text{tool-changing}}$	Standby energy used for changing the worn cutting tool	$P_{\text{unload-spindle}}$	Unload power of spindle system
$E_{\text{tool-embodied}}$	Embodied energy consumption of cutting tool	$Ra$	Surface roughness
$f$	Feed rate	$Ra_{\text{max}}$	Permitted maximum surface roughness
$f_{\text{max}}$	Maximum feed rate	$t_{\text{ac}}$	Time duration of spindle acceleration
$f_{\text{min}}$	Minimum feed rate	$t_{\text{air}}$	Air cutting time
$f_z$	Feed rate per tooth	$t_{\text{cutting}}$	Cutting time
$F_{\text{cutting}}$	Cutting force	$t_{\text{cj}}^{pq}$	Time duration during the $j$ th speed change of the spindle rotation in noncutting operations from feature $F_p$ to feature $F_q$
$h$	Deformed chip thickness	$t_{\text{end}}$	Spindle acceleration ending at this time point
$J_{\text{m}}(n_s)$	Rotational inertia of main transmission system equivalently transformed to motor shaft	$t_{\text{feed-fast}}$	Time for fast feeding
$k_{\text{m}}$	Constant for material removal power	$t_{\text{insert-changing}}$	Time for changing an insert
$k_{\text{spindle}}$	Unload power coefficient of spindle system	$t_{\text{spraying-cooling}}$	Time for spraying cooling fluid
$K$	Cutting pressure	$t_{\text{st}}$	Spindle acceleration starting at this time point
$l$	Cutting length of workpiece	$t_{\text{standby-preparation}}$	Standby time used to bring the workpiece and cutting tool to the about-to cut position and to set up the numerical control program before machining
$m$	Number of machining passes	$t_{\text{startup}}$	Startup time
$M_{\text{om}}(n_s)$	Load torque of electric motor in the main transmission system	$t_{\text{tool-changing}}$	Tool changing time
$n$	Spindle speed	$T_{\text{fluid}}$	Replacement cycle of cutting fluid
$n_{\text{teeth}}$	Average number of engaged tool teeth	$T_{\text{c}}$	Economic tool life
$n_{\text{Ej}}^{pq}$	Final spindle speed for the $j$ th speed change in spindle rotation	$T_{\text{SA}}$	Coefficient of the spindle acceleration energy
$n_{\text{Sj}}^{pq}$	Initial spindle speed for the $j$ th speed change in spindle rotation	$T_{\text{tool}}$	Tool life
		$U_{\text{fluid}}$	Unit embodied energy of cutting fluid
		$U_{\text{tool}}$	Unit embodied energy of cutting tool
		$V_{\text{additional}}$	Additional volume of cutting fluid



$v_c$	Cutting velocity	MOBSA	Multiobjective backtracking search algorithm
$v_{c,max}$	Maximum cutting velocity	MOEA/D	Multiobjective evolutionary algorithm based on decomposition
$v_{c,min}$	Minimum cutting velocity	MOHS	Multiobjective harmony search
$V_{initial}$	Initial volume of cutting fluid	MOPSO	Multiobjective particle swarm optimization
$V_{insert}$	Volume of one insert	MQL	Minimum quantity lubrication
$x_F$	Coefficient of cutting force	MRR	Material removal rate
$y_F$	Coefficient of cutting force	MRV	Material removal volume
$z$	Number of cutting inserts	NC	Numerical control
$z_F$	Coefficient of cutting force	NSGA-II	Nondominated sorting genetic algorithm II
$\alpha_A$	Coefficient of the spindle system	RSM	Response surface methodology
$\alpha_F$	Coefficient of cutting force	PCA	Principal component analysis
$\alpha_{feed}$	Unload power coefficient of feed system	PSO	Particle swarm optimization
$\alpha_{spindle}$	Unload power coefficient of spindle system	SEC	Specific cutting energy, the amount of energy required to cut a unit volume of a workpiece
$\alpha_T$	Coefficient of tool life	SQP	Sequential quadratic programming
$\beta_F$	Coefficient of cutting force	S/N	Signal-to-noise ratio
$\beta_{feed}$	Unload power coefficient of feed system	TOPSIS	Technique for order of preference by similarity to ideal solution
$\beta_{spindle}$	Unload power coefficient of spindle system		
$\beta_T$	Coefficient of tool life		
$\gamma_{compressed}$	Load factor of compressed air motor		
$\gamma_{feed}$	Unload power coefficient of feed system		
$\gamma_{spindle}$	Unload power coefficient of spindle system		
$\gamma_T$	Coefficient of tool life		
$\delta$	Concentration of cutting fluid		
$\xi_{loss}$	Additional load loss coefficient		
$\eta_m$	Overall efficiency of spindle motor		
$\lambda$	Coefficient of cutting force		
$\lambda_{loss}$	Additional load loss coefficient		
$\mu_F$	Coefficient of cutting force		
$\mu_{feed}$	Unload power coefficient of feed system		
$\rho$	Density of the cutting fluid		
$\psi_F$	Coefficient of cutting force		

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## Abbreviations

ABC	Artificial bee colony
ANN	Artificial neural network
ANOVA	Analysis of variance
BSA	Backtracking search algorithm
DFA	Desirability function analysis
EMMBMS	Energy modeling method based on machining state
EMMBMTC	Energy modeling method based on machine tool component
GA	Genetic algorithm
GRA	Gray relational analysis
GRG	Gray relational grade
HSS	High-speed steel

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