

Constructal design for a steam generator based on entransy dissipation extremum principle

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Steam generator is optimized by applying entransy dissipation extremum principle and constructal theory and adopting analytical method. The obtained results show that the optimal spacing between adjacent tubes, the mass flow rate of gas and the maximum entransy dissipation rate all depend on the dimensionless diameter of one tube, the dimensionless pressure difference number and the dimensionless length of flow channel of gas. Besides the three dimensionless groups, the optimal numbers of riser tubes and downcomer tubes and their summation all depend on the dimensionless height of one tube. The maximum entransy dissipation rate increases as the pressure difference that drives the gas flowing increases, and as the diameter of one tube and the length of flow channel both decrease. The mean heat flux in the heat transfer process of hot gas grows greatly, and the performance of the system is improved. Compared with the optimal construct with heat transfer rate maximization, the optimal construct with entransy dissipation rate maximization can improved the heat transfer effect of the steam generator more.

entransy dissipation extremum principle, constructal theory, steam generator, entransy dissipation rate, generalized thermodynamic optimization

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1 Introduction

In 1996, after the model building and mathematical analysis of the development of street network [1], Bejan put forward the constructal theory [2]. This theory can be expressed, for simplicity, as the structure derived from the optimal performance. Since constructal theory was applied to optimization problems involving heat conduction [3], constructal theory has been developing rapidly [4–16] and has provided new research impetus into heat transfer problems [17–31].

Steam generators are a major domain of technology development in the power generation industries. Researches on the structures of steam generators are much significant. Kim et al. [32] proposed to use constructal theory in the

conceptual design of steam generators for large-scale commercial power plants and pointed out that constructal theory was ideally suited to this because it began the conceptual design with a clean slate, and suggested that the designers to recognize and consider all the possible and competing configurations. Based on the simplifying assumption that the steam generator consisted of just one downcomer tube and many riser tubes, features that resulted from constructal design were the tube diameters, the number of riser tubes, the water circulation rate, the rate of steam production, and how the flow architecture should change when the operating pressure and the size of the flow system changed. Based on ref. [32], Kim et al. [33] considered that the steam generator was free to have many downcomer tubes. Features that resulted from constructal design with heat transfer rate maximization were the numbers of downcomer and riser tubes and optimal spacing between adjacent tubes.

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However, constructal optimization of the steam generator does not reflect global heat transfer performance by maximizing heat transfer rate as in ref. [33]. Guo et al. [34] introduced definitively a new physical quantity--“entransy” ever called heat transfer potential capacity [35] and proposed the entransy dissipation extremum principle. The physical meaning of entransy was further expounded with researches into, for example, physical mechanisms of heat conduction and electro-thermal simulation experiments [36–38]. Many scholars [39–60] have shown great interest in and have also studied heat transfer optimizations based on entransy dissipation extremum principle. Some scholars combined the entransy dissipation extremum principle with constructal theory to optimize a series of heat transfer problems [61–71]. Based on ref. [33], by combining the entransy dissipation extremum principle with constructal theory the steam generator will be re-optimized, and analytical expression of performance vs. geometric configuration of the steam generator will be obtained.

2 Model of steam generator

The model of steam generator is rectangularly parallelepiped (*HLW*), which is traversed by *N* equidistant riser and downcomer tubes of diameter *D* and height *H*, as shown in Figure 1 [33]. The geometric constraints of the steam generator are the total volume

$$V = HLW, \tag{1}$$

and the volume fraction occupied by the tubes

$$\phi = \frac{\pi ND^2}{4LW}, \tag{2}$$

where *N* is the total number of riser and downcomer tubes. If one uses $V^{1/3}$ as the fixed scale of the entire architecture, then there are five dimensionless geometrical features, $(\tilde{H}, \tilde{L}, \tilde{W}, \tilde{D}, \tilde{S}) = (H, L, W, D, S) / V^{1/3}$ and eq. (1) can be non-dimensionalized as

$$\tilde{H}\tilde{L}\tilde{W} = 1. \tag{3}$$

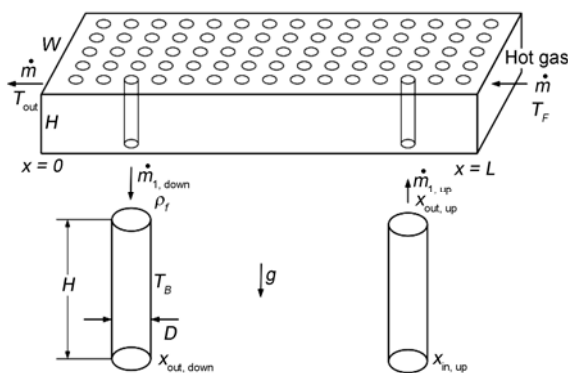


Figure 1 Model of steam generator [33].

In sum, the constructal design has four degrees of freedom (\tilde{H} , \tilde{L} , \tilde{D} and \tilde{S}). Hot combustion gases are single phase with constant properties. The gases of mass flow rate \dot{m} , specific heat at constant pressure c_p and inlet temperature T_F flow from right to left. Steam flows in a vertical loop due to the fact that the riser tubes are close to the inlet of the gases are heated more intensely than the downcomer tubes close to the outlet of the gases. Because the temperature of the hot flue gas is not high enough to transform the water in the tubes into superheated steam at the outlet of each tube, the temperature of the water in tubes remains constant at the boiling temperature T_B .

3 Temperature distribution and entransy dissipation rate of the gas

Assuming that *N* is sufficiently large so that one can treat the gas temperature as a continuous function of position *x*. According to conservation of energy, enthalpy loss of the gas matches the transfer of heat to each tube at the located position, i.e. [33],

$$\frac{hA}{L}(T - T_B)dx = \dot{m}c_p dT, \tag{4}$$

where *h* is the heat transfer coefficient considered as a known constant or a function of the known mass flow rate \dot{m} . The boundary condition corresponds to eq. (4)

$$x = L, T = T_F. \tag{5}$$

By solving eq. (4) the gas temperature distribution in the steam generator is [33]

$$T = (T_F - T_B) \exp\left[\frac{hA}{\dot{m}c_p} \left(\frac{x}{L} - 1\right)\right] + T_B. \tag{6}$$

Entransy which is a new physical quantity reflecting heat transfer ability of an object was defined by Guo et al. [34]. In the heat transfer process the total entransy dissipation rate $\dot{E}_{vh\phi}$ is

$$\dot{E}_{vh\phi} = \int_v \dot{E}_{h\phi} dv = \int_v \dot{q} \cdot \nabla T dv, \tag{7}$$

where ∇T is the temperature gradient. In this heat convection problem of this paper, the entransy dissipation rate is led by the heat exchange of the gas and the tube wall. In the position *x* of each tube the entransy dissipation rate is the product of the heat exchange and the temperature difference between the gas and tube wall. The entransy dissipation rate of the gas in the steam generator is

$$\dot{E}_{vh\phi} = \int_0^L (T - T_B) \dot{m}c_p dT = \int_0^L (T - T_B) \dot{m}c_p \frac{dT}{dx} dx. \tag{8}$$

The gas entransy dissipation rate can be obtained with

eqs. (6) and (8).

$$\begin{aligned} \dot{E}_h &= \int_0^L \dot{m}c_p(\Delta T)^2 \frac{hA}{\dot{m}c_pL} \exp\left[\frac{2hA}{\dot{m}c_p}\left(\frac{x}{L}-1\right)\right] dx \\ &= \frac{\dot{m}c_p}{2}(\Delta T)^2 [1 - \exp(-2N_{tu})], \end{aligned} \tag{9}$$

where $\Delta T = T_F - T_B$ is specified and N_{tu} is determined by \dot{m} , h and A , i.e.,

$$N_{tu} = \frac{hA}{\dot{m}c_p}. \tag{10}$$

Therefore, the mean heat flux with a fixed boundary temperature can be expressed as

$$\bar{Q} = \dot{E}_h / \Delta T. \tag{11}$$

The entransy dissipation extremum principle proposed by Guo et al. [34] is stated as follows: for a fixed boundary heat flux, the heat transfer process is optimized when the entransy dissipation is minimized (minimum temperature difference), while for a fixed boundary temperature, the heat transfer process is optimized when the entransy dissipation is maximized (maximum heat flux). Here, the temperature difference and heat flux both denote mean effect. Ref. [34] indicated that the irreversibility in the heat transfer process for the purpose of heating or cooling was measured by dissipation rate. The heat transfer problem not related to the transition between the heat and work belongs to the entransy dissipation extremum principle with a fixed boundary temperature. The higher the entransy dissipation rate is, the larger the mean heat flux will be and the better the heat transfer effect will be. That is, when the gas entransy dissipation rate is maximized, the mean heat flux in the heat transfer process will be maximized and the performance of the system will be the best.

4 Distribution of riser and downcomer tubes

According to eq. (6), regardless of the water direction and mass flow rate in the tubes, the gas temperature varies continuously, so does the heat transfer rate from the gas to the water in the tubes. The heat transfer rate into one tube located at any position can be expressed as [33]

$$\dot{m}_1(h_{out} - h_{in}) = \pi hDH(T - T_B), \tag{12}$$

where \dot{m}_1 is the water mass flow rate in the tube, and h_{in} and h_{out} are the water specific enthalpies of the inlet and outlet of the tubes. Assuming that the water received by every tube is saturated, one can obtain h_{in} and h_{out} , i.e.,

$$h_{in} = h_f, \quad h_{out} = h_f + x_{out}h_{fg}, \tag{13}$$

where h_{fg} is the specific enthalpy of phase change, h_f is

the specific enthalpy of the saturated water, and x_{out} is the quality of water-vapor mixture. From ref. [33] one can obtain

$$x_{out} = \frac{1}{\rho_f v_{fg}} \left(\frac{\rho_f}{\rho_{out}} - 1 \right). \tag{14}$$

According to eqs. (6) and (12)–(14) one can obtain

$$\dot{m}_1 = \frac{\pi hDH\Delta T}{B} \exp\left[N_{tu}\left(\frac{x}{L}-1\right)\right], \tag{15}$$

where $B = h_{fg}(\rho_f / \rho_{out} - 1) / (\rho_f v_{fg})$. The change in flow direction of the water in the tubes is not considered in eq. (15). In fact, the mass flow rate of the water in riser tubes is positive, but in downcomer tubes is negative. The change in direction occurs at the location (x_c) obtained by the following equation:

$$\int_0^{x_c} -\dot{m}_1 dx + \int_{x_c}^L \dot{m}_1 dx = 0. \tag{16}$$

Eliminating \dot{m}_1 between eqs. (15) and (16), one can obtain

$$\frac{x_c}{L} = \frac{1}{N_{tu}} \ln\left(\frac{1 + e^{N_{tu}}}{2}\right). \tag{17}$$

The separated location between the riser tubes and downcomer tubes will be obtained when N_{tu} is fixed.

5 Geometry on the gas side

As shown in Figure 2, the geometry of the steam generator is represented by the total volume $V = HLW$, dimensions H, L, W, D and the spacing between adjacent tubes S .

Ref. [33] shows the heat transfer coefficient for a single cylinder washed by the fluid:

$$\frac{hD}{k_F} = 0.3 + \frac{0.62Re_D^{1/2}Pr_F^{1/3}}{[1 + (0.4/Pr_F)^{2/3}]^{1/4}} \left[1 + \left(\frac{Re_D}{282000}\right)^{5/8} \right]^{4/5}, \tag{18}$$

where k_F and Pr_F are properties of the hot gas. When $10 < Re_D < 10^5$ eq. (18) is approximated adequately by [33]

$$\frac{hD}{k_F} \cong CRe_D^{1/2}, \tag{19}$$

where $Re_D = UD / \nu_F$ and C is dimensionless factor of order 1,

$$C = \frac{0.62Pr_F^{1/3}}{[1 + (0.4/Pr_F)^{2/3}]^{1/4}} \left[1 + \left(\frac{Re_D}{282000}\right)^{5/8} \right]^{4/5}. \tag{20}$$

The pressure difference (ΔP_F) that drives the gas stream flowing is fixed. When the spacing between tubes is large,

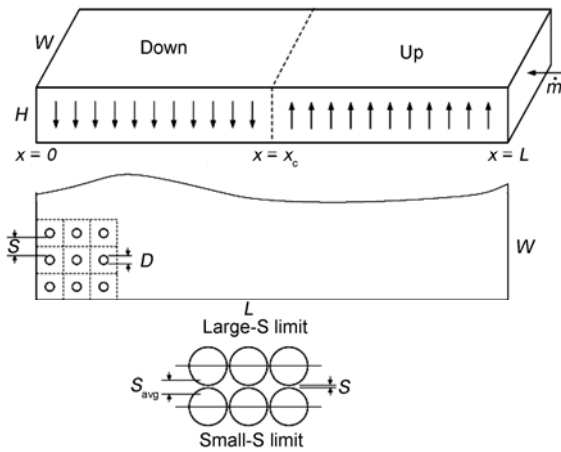


Figure 2 Geometric detail of steam generator [33].

the thermal contact between gas and tubes is poor ($N_{tu} \ll 1$), and the gas entransy dissipation rate \dot{E}_h is small. When the spacing is small, the thermal contact is good, and N_{tu} is a large number, but \dot{E}_h is also small. Between the two S extremes, there must be an optimal spacing (S_{opt}) for which \dot{E}_h is maximal ($\dot{E}_{h,max}$). Based on the intersection of asymptotes method in refs. [14, 33], when H , L and D are fixed the optimal spacing corresponding to maximum \dot{E}_h will be predicted below.

5.1 The large S limit

Because of the large spacings, the free velocity and mass flow rate of the hot gas are [33], respectively,

$$U_a \cong \frac{\dot{m}_a}{\rho_F H W}, \tag{21}$$

$$\dot{m}_a = \left(\frac{2 \Delta P_F \rho_F H^2 W^2 S^2}{C_D L D} \right)^{1/2}, \tag{22}$$

where the gas density ρ_F is treated as a constant, C_D is the drag coefficient. From eq. (19) one can obtain

$$h_a \cong \frac{k_F C_a U_a^{1/2}}{v_F^{1/2} D^{1/2}}. \tag{23}$$

The total contact area between gas and tubes is [33]

$$A_a = \frac{\pi D V}{S^2}. \tag{24}$$

In the large S limit the number $N_{tu,a}$ approaches zero, and this means that the group $[1 - \exp(-2N_{tu,a})]$ approaches $2N_{tu,a}$, and that eq. (9) can be expressed, for simplicity, as

$$\dot{E}_{h,a} = \dot{m}_a c_P (\Delta T)^2 N_{tu,a} = h_a A_a (\Delta T)^2. \tag{25}$$

From eqs. (10) and (21)–(25), one can obtain

$$\dot{E}_{h,a} = \frac{2^{1/4} \pi C_a \Delta P_F^{1/4} k_F D^{1/4} V (\Delta T)^2}{C_D^{1/4} \rho_F^{1/4} v_F^{1/2} S^{3/2} L^{1/4}}. \tag{26}$$

This shows that the gas entransy dissipation rate decreases monotonically as S increases in the large S limit. For this reason, the tendency of the gas entransy dissipation rate as S decreases in the small S limit will be considered below.

5.2 The small S limit

Because of the small spacings, the average spacing between adjacent tubes along the gas channel L can be expressed as [33]

$$S_{avg} = \sigma S, \tag{27}$$

where σ is a factor of order 1, but greater than 1. For the elemental channel represented by the volume $S_{avg} \times H \times L$, the number of such channels is W / D and the total mass flow rate of the gas is [33]

$$\dot{m}_b = \frac{\Delta P_F \sigma^3 S^3 V}{12 v_F L^2 D}. \tag{28}$$

In the small S limit the number $N_{tu,b}$ becomes large, and this means that the group $\exp(-2N_{tu,a})$ approaches zero, and that eq. (9) can be expressed, for simplicity, as

$$\dot{E}_{h,b} = \frac{\dot{m}_b c_P}{2} (\Delta T)^2. \tag{29}$$

From eqs. (27)–(29) one can obtain

$$\dot{E}_{h,b} = \frac{\Delta P_F \sigma^3 (\Delta T)^2 V S^3}{24 v_F L^2 D}. \tag{30}$$

This shows that the gas entransy dissipation rate decreases monotonically as S decreases in the small S limit.

5.3 The intersection of asymptotes

Based on the intersection of asymptotes method in refs. [14, 33], the optimal spacing S corresponding to maximum entransy dissipation rate is obtained by intersecting the two asymptotes (i.e., eqs. (26) and (30)).

$$\tilde{S}_{opt} = \left[\frac{12 \times 2^{1/4} \pi C_a}{\sigma^3 C_D^{1/4} Pr_F^{1/4}} \right]^{2/9} 2^{2/9} Be^{-1/6} \tilde{L}^{7/18} \tilde{D}^{5/18}, \tag{31}$$

where $C_a \cong C_b$, $Pr_F = \frac{v_F}{a_F}$, the dimensionless pressure

difference number $Be = \frac{\Delta P_F V^{2/3}}{a_F \mu_F}$ and the thermal diffu-

sivity of the gas $a_F = \frac{k_F}{\rho_F c_F}$. The factor in square brackets in eq. (31) is approximately 1 and can be neglected, and thus, eq. (31) becomes

$$\tilde{S}_{opt} = 2^{2/9} Be^{-1/6} \tilde{L}^{7/18} \tilde{D}^{5/18}, \quad (32)$$

and the corresponding mass flow rate and maximum entransy dissipation rate are, respectively,

$$\dot{m}_{opt} = \left[\frac{\sigma^3}{12} \right] 2^{2/3} \frac{k_F}{c_p} V^{1/3} Be^{1/2} \tilde{L}^{-5/6} \tilde{D}^{-1/6}, \quad (33)$$

$$\dot{E}_{h,max} = \left[\frac{\sigma^3}{12} \right] 2^{-1/3} k_F (\Delta T)^2 V^{1/3} Be^{1/2} \tilde{L}^{-5/6} \tilde{D}^{-1/6}. \quad (34)$$

If one regards the factors in square brackets in eqs. (33) and (34) as two numbers of order 1 [33], one can obtain

$$\dot{m}_{opt} \cong 2^{2/3} \frac{k_F}{c_p} V^{1/3} Be^{7/10} \tilde{D}^{1/2} \tilde{L}^{-11/10}, \quad (35)$$

$$\dot{E}_{h,max} \cong 2^{-1/3} k_F (\Delta T)^2 Be^{2/5} \tilde{D}^{-1} \tilde{L}^{-6/5}. \quad (36)$$

The optimal number of the tubes in the steam generator is

$$n_{opt} = \frac{L W}{S S} = \frac{1}{\tilde{S}^2 \tilde{H}} \cong 2^{-4/9} Be^{1/3} \tilde{D}^{-5/9} \tilde{L}^{-7/9} \tilde{H}^{-1}. \quad (37)$$

From eq. (36), one can see that the maximum entransy dissipation rate depends on two of the remaining free dimensions of the assembly, \tilde{D} and \tilde{L} . The $\dot{E}_{h,max}$ value increases as both \tilde{D} and \tilde{L} decrease. That is, thinner tubes and a shorter gas flow length are better for increasing the total entransy dissipation rate of gas, and the performance of the system can be improved. It is because that when both \tilde{D} and \tilde{L} decrease, the spacing between adjacent tubes becomes smaller, and thus, the thermal contact between gas and tubes becomes better and the performance of the system is improved.

6 Geometry on the steam side

The gas side and steam side are not uncoupled [33]. The location of the flow reversal depends on the group N_{tu} in eq. (17). $N_{tu,opt}$ led by optimal performance of the system is determined by

$$N_{tu,opt} = \frac{\pi C_a}{Pr_F^{1/2}} \left[\frac{\sigma^3}{12} \right] \left[\frac{12 \times 2^{1/4} \pi C_a}{\sigma^3 C_D^{1/4} Pr_F^{1/4}} \right]^{-7/9} \times 2^{-7/9} Be^{-3/10} \tilde{L}^{9/10} \tilde{D}^{-3/2} \cong 2^{-7/9} Be^{1/12} \tilde{L}^{5/36} \tilde{D}^{1/36}, \quad (38)$$

and thus, the location of the flow reversal ($x_{c,opt}$), the number of riser tubes (n_{up}) and the number of downcomer tubes (n_{down}) are, respectively,

$$\frac{x_{c,opt}}{L} = \frac{1}{N_{tu,opt}} \ln \left[\frac{1 + \exp(N_{tu,opt})}{2} \right], \quad (39)$$

$$n_{up} = \left(1 - \frac{x_{c,opt}}{L} \right) n_{opt}, \quad (40)$$

$$n_{down} = \frac{x_{c,opt}}{L} n_{opt}. \quad (41)$$

According to eqs. (37)–(41), n_{up} and n_{down} are both the functions of Be , \tilde{L} , \tilde{D} and \tilde{H} . Figure 3 shows the number of riser and downcomer tubes and their ratio (n_{down}/n_{up}) vs. $N_{tu,opt}$ characteristics. From the figure, one can see that the number of downcomer tubes is greater than the number of riser tubes, however, the ratio is $n_{down}/n_{up} \sim 1$. This means that the mass flow rate in one tube has the same scale in both riser and downcomer tubes. From the analyses of this section and last section, constructal design of the gas side determines the flow reversal position (or the optimal number of riser and downcomer tubes) and the relationships between the geometric features of the design for the steam generator (eqs. (32)–(41)).

Table 1 shows the optimal constructs of the steam generator based on entransy dissipation rate maximization (this paper) and heat transfer rate maximization (ref. [33]), respectively. The optimal constructs involve the optimal spacing, mass flow rate of the gas, the number of tubes, maximum entransy dissipation rate and maximum heat transfer rate. The optimal construct with heat transfer rate maximization does not reflect global heat transfer performance as in ref. [33]. However, the optimal construct with entransy dissipation rate maximization indicates the mean heat flux in the heat transfer process of the steam generator, and reflects the global heat transfer performance. Compared

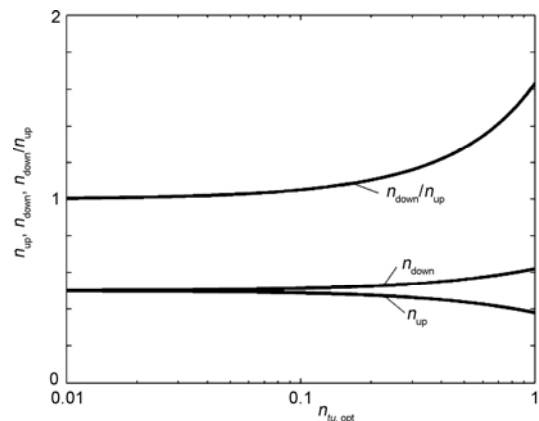


Figure 3 Numbers of riser and downcomer tubes.

Table 1 Optimal constructs of steam generator with different optimization objectives

Optimization objective	\tilde{S}_{opt}	n_{opt}	$\dot{m}_{\text{opt}} c_p / (k_F V^{1/3})$	$\dot{q}_{\text{max}} / (k_F \Delta T V^{1/3})$	$\dot{E}_{h,\text{max}} / [k_F V^{1/3} (\Delta T)^2]$
Entransy dissipation rate maximization (this paper)	$\frac{2}{2^9} Be \frac{1}{6} \tilde{D} \frac{5}{18} \tilde{L} \frac{7}{18}$	$\frac{-4}{2^9} Be \frac{1}{3} \tilde{D} \frac{-5}{9} \tilde{L} \frac{-7}{9} \tilde{H}^{-1}$	$\frac{2}{2^3} Be \frac{1}{2} \tilde{D} \frac{1}{6} \tilde{L} \frac{5}{6}$	$\frac{-1}{2^3} Be \frac{1}{2} \tilde{D} \frac{1}{6} \tilde{L} \frac{5}{6}$	$\frac{2}{2^3} Be \frac{1}{3} \tilde{D} \frac{11}{9} \tilde{L} \frac{10}{9}$
Heat transfer rate maximization (ref. [33])	$Be \frac{1}{6} \tilde{D} \frac{5}{18} \tilde{L} \frac{7}{18}$	$Be \frac{1}{3} \tilde{D} \frac{5}{9} \tilde{L} \frac{7}{9} \tilde{H}^{-1}$	$Be \frac{1}{2} \tilde{D} \frac{1}{6} \tilde{L} \frac{5}{6}$	$Be \frac{1}{2} \tilde{D} \frac{1}{6} \tilde{L} \frac{5}{6}$	$Be \frac{1}{3} \tilde{D} \frac{11}{9} \tilde{L} \frac{10}{9}$

with the dimensionless mean heat flux ($\tilde{Q}_{h,t}$) with heat transfer rate maximization, the dimensionless mean heat flux (\tilde{Q}_h) with entransy dissipation rate maximization increases by 58.7%. Therefore, the optimal construct based on the latter improves the global heat transfer performance of the steam generator obviously.

7 Conclusions

Steam generator is optimized by applying entransy dissipation extremum principle and constructal theory and by adopting analytical method. This paper similar to ref. [33] assumes the steam generator has a large number of tubes that the temperature distribution in the gas channel may be modelled as continuous, and also assumes that the tubes are all isothermal, and that the fluid in the tubes is single phase. According to these assumptions one can obtain the analytical expression of the mass flow rate in each tube along the gas channel, and the flow reversal that separates the riser tubes from downcomer tubes vs. the number of heat transfer units (N_{tu}) characteristic.

On the gas side, by adopting the method of intersecting the asymptotes, one can obtain the optimal spacing between adjacent tubes, the maximum entransy dissipation rate and optimal mass flow rate of gas with corresponding scaling relations, and the optimal number of steam tubes. The results show that the optimal spacing, mass flow rate and maximum entransy dissipation of gas all depend on the dimensionless pressure difference number of the gas, the dimensionless tube diameter, and the dimensionless length of the gas channel. Besides the three dimensionless groups, the optimal number of steam tubes depends on the length of the tubes. The maximum entransy dissipation rate increases as the pressure difference that drives the gas flowing increases, and as the diameter of one tube and the length of flow channel both decrease. The mean heat flux in the heat transfer process of hot gas grows greatly, i.e., the heat transferred to the water in the tubes of the steam generator becomes more, and the performance of the system is improved. Compared with the optimal construct with heat transfer rate maximization, the optimal construct with entransy dissipation rate maximization can greatly improve the heat transfer effect of the steam generator. In this paper, constructal design for the steam generator based on entransy dissipation extremum principle has led to some significant results, and fully enriched constructal theory and the theory of entransy dissipation.

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