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### A multiple-proxy stalagmite record reveals historical deforestation in central Shandong, northern China

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Abstract Evaluating anthropogenic impacts on regional vegetation changes during historical time is not only important for a better understanding of the Anthropocene but also valuable in improving the vegetation-climate models. In this study, we analyzed stable isotopes ( $\delta^{18}$ O,  $\delta^{13}$ C) and trace elements (Mg/Ca, Sr/Ca) of a stalagmite from Huangchao Cave in central Shandong, northern China. <sup>230</sup>Th and AMS<sup>14</sup>C dating results indicate the stalagmite deposited during 174BC and AD1810, with a hiatus between AD638 and 1102. Broad similarities of the  $\delta^{18}$ O and trace elements in the stalagmite suggest they are reliable precipitation indexes. The  $\delta^{13}$ C of the stalagmite, a proxy of vegetation change, was generally consistent with local precipitation and temperature variations on a centennial-scale before the 15th century. It typically varied from –9.6‰ to –6.3‰, indicating climate controlled C3 type vegetation during this period. However, a persistent and marked increasing trend in the  $\delta^{13}$ C record was observed since the 15th century, resulting in  $\delta^{13}$ C values from –7.7‰ to –1.6‰ in the next four centuries. This unprecedented  $\delta^{13}$ C change caused by vegetation deterioration cannot be explained by climate change but is fairly consistent with the dramatically increasing population and farmland in Shandong. We suggest that the increasing deforestation and reclamation in central Shandong began to affect vegetation in the mountain region of central Shandong since the 15th century and severely destroyed or even cleared the forest during the 16th–18th century.

Keywords Speleothem, Stable isotopes, Trace elements, Deforestation, Human activity, 16th century

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#### 1. Introduction

The influence of human activities upon the Earth environment can be traced to the Pleistocene. These impacts become overwhelming during the Holocene (Dong et al., 2020), and brought mankind to a new epoch, the Anthropocene (Crutzen and Stoermer, 2000; Lewis and Maslin, 2015; Subramanian, 2019; Wang et al., 2019). Deforestation significantly altered the natural environment, accompanying the appearance of agriculture and herding in the early Holocene (Kaplan et al., 2009; Lewis and Maslin, 2015). Extensive deforestation caused soil erosion (Klimek et al., 2006; Vanacker et al., 2003), disturbed the ecosystem and element cycles (Kassa et al., 2017; Sahani and Behera, 2001), as well as affected water balance (Coe et al., 2009; Kaplan et al., 2009; Lean and Warrilow, 1989; Nogherotto et al., 2013). It is noted that changes in water balance were not limited to local areas where deforestation occurred but expanded to a wider region by atmospheric circulation (Boers et al., 2017; Coe et al., 2009; Durieux et al., 2003; Potter et al., 1975; Sen et al., 2004; Spracklen and Garcia-Carreras, 2015). In addition, deforestation also influenced the land surface and air temperature (Davin and Noblet-Ducoudré, 2010; Lee et al., 2011; Li et al., 2016; Winckler et al., 2019), although these effects are still not clearly understood (Lejeune et al., 2018). A better understanding of historical deforestation will help estimate the biogeographical effects of land use on climate and contribute to the improvement of climate models.

Human activities have long existed in Shandong province, China. Various cultures flourished there during the Neolithic Age, such as the Beixin, Dawenkou and Longshan culture systems. As early as in Dawenkou culture (4300-2200BC), settled agriculture was developed in central Shandong, with millet as the main crop. Longshan culture (2100-1900BC) was a Chalcolithic culture, and after that, this region entered the Bronze Age (Zhang, 2006). During historical time, Shandong became one of the most important economic and cultural centers of China. Grains produced in Shandong were transported along the Yellow River, supplying the central plain. Frequently and intensively human activities strongly influenced the local ecosystem. Shen Kuo (AD1031-1095), an ancient scientist, recorded in his book "Brush Talks from Dream Brook" that "The pine forests between Qi and Lu regions (Qi and Lu were ancient kingdoms in Shandong) were totally destroyed" (Shen, 2009). However, historical records were discontinuous and only provided very limited and short time intervals of the deforestation history. It remains ambiguous when and to what extent human activities strongly affected the vegetation in mountain areas of Shandong. As a result, it is important to reveal vegetation information from various geological records for a

better understanding of the deforestation history in this region.

Stalagmite is one kind of secondary carbonate which is deposited in caves. Because it is highly-resolved, continuously-deposited, accurately-dated, and contains multiple proxies, it becomes an ideal terrestrial archive for Quaternary climate and environment reconstruction (Cheng et al., 2019; Fairchild and Treble, 2009; McDermott, 2004). By using stalagmite oxygen isotopic ( $\delta^{18}$ O) records, scientists have reconstructed accurately-dated Asian monsoon history over the past several glacial-interglacial cycles (Cai et al., 2015; Cheng et al., 2009, 2016; Wang et al., 2001, 2008; Yuan et al., 2004) and revealed monsoon climate patterns and dynamics on different timescales (Cai et al., 2010; Hu et al., 2008; Jiang et al., 2016; Li et al., 2019a; Li et al., 2007; Liu D B et al., 2015; Tan et al., 2018a, 2019; Yang et al., 2019; Yang et al., 2010; Zhang et al., 2008; Zhao et al., 2010). The carbon isotope ( $\delta^{13}$ C) is another important geochemical proxy in stalagmite. It can record past vegetation changes (vegetation types and density) overlying the cave, although hydroclimate conditions can also influence its variation on a short timescale (Breitenbach et al., 2019; Columbu et al., 2019; Luo et al., 2013; McDermott, 2004; Shen et al., 2016; Yasur et al., 2019). For example, Dorale et al. (1998) found that the vegetation in Missouri, USA varied between forests, savannas, and prairies during 75–55 ka by using the  $\delta^{13}$ C records of four stalagmites from this region. Denniston et al. (2000) further studied the Holocene vegetation dynamics in the Ozark Highlands, USA by using stalagmite  $\delta^{13}$ C records. Moreover, deforestation history can be recorded in speleothem  $\delta^{13}$ C. Zhang et al. (2015) suggested that the persistent and massive deforestation associated with large-scale immigration caused a prominent enrichment in the stalagmite  $\delta^{13}$ C values from Shennonggong Cave in northern Jiangxi, China since AD700. The abnormally positive shift of the stalagmite  $\delta^{13}$ C from Zhijin Cave in the past 300 years (Kuo et al., 2011) was also ascribed to the destruction of local forest by the immigration to western Guizhou, China.

Recently, Wang et al. (2015) reported a 1000-year stalagmite (KY1) record from Kaiyuan Cave in central Shandong and examined anthropogenic influences on local vegetation since the 14th century. However, was the anthropogenic influence local or regional? Had human activities significantly affected the vegetation in the first millennium? They are still unclear. In this paper, we studied a multiple proxy ( $\delta^{18}$ O,  $\delta^{13}$ C, Mg/Ca and Sr/Ca) stalagmite (HC2) from Huangchao Cave, 105 km north of Kaiyuan Cave and reconstructed regional climate and vegetation changes spanning the past 2200 years. Together, with the KY1 and historical records, we evaluated anthropogenic deforestation and vegetation deterioration in the history of central Shandong.

#### 2. Study area, cave and sample

Huangchao Cave (118°20'E, 36°37'N, 518 m a.s.l., meter above sea level) is located in Qingzhou, central Shandong of China (Figure 1). The local semi-humid climate is strongly controlled by East Asian monsoon, with an annual mean precipitation of 690 mm and annual mean temperature of 12.5°C (data from Yiyuan station, 50 km southwest of the Huangchao Cave). More than 70% of the rainfall occurred during summer monsoon seasons (June to September). During winter, the winter monsoon and westerly jet maintain cold and dry conditions in this region.

Huangchao Cave is horizontally developed in fractures of the Ordovician limestone and dolomite bedrocks of Xuanyang Mountain, with 4-10 m ceiling rock. The cave, with a small entrance, is about 170 m in length. Only one passage was developed, with its width of 1.0-8.2 m, and height of 1.2-9.3 m (Figure 2). Various kinds of speleothems, including soda straws, stalactites, stalagmites, flowstones were observed in the cave. The cave is 180-340 m higher than local groundwater level during different seasons. As a result, atmospheric precipitation is the only supply of drip water. A lot of graffiti and charcoal were observed in the cave, indicating frequent human activities during historical times. There is an abandoned temple, and many other structure remains above the cave (Figure 3). Today, the vegetation overlying the cave is composed of grass, undershrub and secondary forest (Figure 3).

A 5.5 cm long calcite stalagmite, HC2, was collected in the middle part of the passage in 2017. The stalagmite displayed clear laminas when halved and polished (Figure 4). A hiatus was observed between 2.7 and 2.75 cm from the top. Below the hiatus, the stalagmite was composed by yellowish-brown, compact calcite. It was dark grey above the hiatus, containing an abundance of charcoal.

#### 3. Methods

#### 3.1 U-Th and AMS<sup>14</sup>C dating

Eleven powder subsamples, each about 50 mg, were collected with a hand-held carbide dental drill, paralleling the growth planes of the stalagmite. The chemical procedure used to separate uranium and thorium followed those described by (Edwards et al., 1987). Measurements were performed on a multi-collector inductively coupled plasma mass spectrometer (ICP-MS) in Xi'an Jiaotong University, China by using the U-Th dating method (Cheng et al., 2013).

Because there is an abundance of charcoal in the upper part of the stalagmite, we also collected 6 pieces of charcoal subsamples for AMS<sup>14</sup>C age measurement. The charcoal samples were released by 1N HCl from the carbonate subsamples, then rinsed repeatedly until neutral and oven-dried



Figure 1 Location of Huangchao Cave. (a) Overview map showing the study region. (b) Enlarged regional topographic map showing the location of Huangchao Cave and Kaiyuan Cave in central Shandong, China. Topographic GTOPO30 data from the USGS EROS Center (Earth Resources Observation and Science Center: http://eros.usgs.gov/#/Find\_Data/Products\_and\_Data\_Available/gtopo30\_info). The arrows in Panel (a) denote the direction of the East Asian Summer Monsoon (EASM), Indian Summer Monsoon (ISM), winter monsoon and westerly jet which influence the climate of China.



**Figure 2** (a) Plane view and (b) cross section of Huangchao Cave. The black star indicates where the stalagmite HC2 was collected in 2017.

overnight at 60°C. Pretreated samples and CuO powders were placed into 9-mm quartz tubes, evacuated to  $<10^{-5}$  Torr, (1 Torr=1.33322×10<sup>2</sup> Pa) and then combusted. The CO<sub>2</sub> was converted catalytically to graphite using the zinc method, where CO<sub>2</sub> is reduced by Zn powder in the presence of an Fe catalyst (Jull, 2007; Slota et al., 1987). The <sup>14</sup>C content of the graphite was measured in the Xi'an AMS center, with a measurement uncertainty of <sup>14</sup>C/<sup>12</sup>C for a modern sample of better than 0.2% in routine operation (Zhou et al., 2007;



Figure 3 Photos showing the landscape of Huangchao Cave in winter. (a) Secondary forest, undershrub and grass overlying Huangchao Cave. The abandoned temple is also shown. (b) Historical structure remains in the cave area. (c) The stone monument recording the rebuilding of the temple in AD1585. (d) The entrance of Huangchao Cave. (e) A chamber inside the cave, with abundant charcoal and graffiti on the wall and floor. (f) Sampling of the stalagmite HC2.



Figure 4 Polished section of HC2. A hiatus occurred between 2.7 and 2.75 cm from the top. The black line along the growth axis of the stalagmite indicates the sampling paths of stable isotopes and trace elements. The locations of the  $^{230}$ Th and AMS $^{14}$ C dating subsamples are also shown.

Zhou et al., 2006).

#### 3.2 Isotopic and elemental analyses

Subsamples for stable isotope analyses were drilled out along the growth axis of the stalagmite at intervals of 0.5 mm. A total of 111 stable isotopic measurements were performed on a Finnigan MAT-252 mass spectrometer equipped with a Kiel III Carbonate Device at the IEECAS. We added one national standard GBW04405 (also referred as TTB1, with the  $\delta^{18}$ O of  $-8.49\pm0.14\%$  and  $\delta^{13}$ C of 0.57  $\pm0.03\%$ ) every 15 samples for the control. The replicates showed that the precision of  $\delta^{18}$ O and  $\delta^{13}$ C analyses are better than 0.1‰ (2 $\sigma$ , VPDB). We also drilled 55 subsamples, every 1 mm along the growth axis, for elemental (Mg, Sr, Ca) analyses. The 1–2 mg powders were dissolved in 2 mL HNO<sub>3</sub> (1 mol L<sup>-1</sup>), and measured on an Agilent 5100 Inductively Coupled Plasma Optical Emission Spectrometry (ICP-OES) at the IEECAS (Li et al., 2019b). We add one inhouse standard, N1, every five subsamples, and the precision of Mg/Ca and Sr/Ca ratios are about 1% (1 $\sigma$ ).

#### 4. Results

The U-Th dating results are shown in Table 1. It indicates the U concentrations of HC2 range from 339 to 534 ppb (1 ppb=1 ng  $g^{-1}$ ). The Th concentrations in the lower part of HC2 (below 2.75 cm) vary from 1.2 to 3.6 ppb. However, they are more than ten times higher in the upper part, except for the topmost part of the stalagmite (Table 1). As a result, the dating errors of the <sup>230</sup>Th ages of the upper part are very large and not reliable. In contrast, the AMS<sup>14</sup>C dating provides reliable ages for this part because of abundant charcoal (Table 2). We then apply the Monte-Carlo simulation and COPRA methods (Breitenbach et al., 2012) to establish the age model of HC2 (Figure 5). The results suggest that HC2 grew from 174BC to AD1810 with a hiatus occurring between AD638 and 1102.

The isotope and element data are shown in Figure 6. The  $\delta^{18}$ O values of the stalagmite vary from -9.2‰ to -7.3‰, with significantly centennial- to decadal-scale fluctuations during the past 2200 years (Figure 6d). Comparing with  $\delta^{18}$ O, there are large fluctuation amplitudes in the  $\delta^{13}$ C series, varying from -9.6‰ to -1.6‰ (Figure 6a). The  $\delta^{13}$ C values are lighter than -6‰ before the 16th century, and heavier than -6‰ after AD1500, reaching -1.6‰ in the

**Table 1**  $^{230}$ Th dating results of HC2<sup>a)</sup>



**Figure 5** Age-depth model of HC2. Age models were established using 2000 Monte-Carlo simulations (Breitenbach et al., 2012). Grey lines represent the 95% confidence interval and the black line is the median age. Error bars are  $2\sigma$  error. The red and blue dots are <sup>230</sup>Th and calibrated AMS <sup>14</sup>C dates, respectively. The dotted line shows that a hiatus occurred between AD638 and AD1102. The medium ages of the top two <sup>230</sup>Th dates are reversed, but consistent within dating errors. Here we included the second one in the age model.

18th century. Most notably, a persistent increasing trend was observed since the 15th century in the  $\delta^{13}$ C series. The Mg/Ca (Figure 6b) and Sr/Ca (Figure 6c) records of HC2 show similar patterns and are in general consistent with the  $\delta^{18}$ O variations.

#### 5. Discussion

# 5.1 $\delta^{18}$ O and trace elements recorded historical climate change in central Shandong

The  $\delta^{18}$ O record of HC2 well replicates the KY1 record (Figure 6f) from Kaiyuan Cave (Wang et al., 2015) during

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Sample No.	Depth (mm)	<sup>238</sup> U (ppb)	<sup>232</sup> Th (ppt)	<sup>230</sup> Th/ <sup>232</sup> Th (atomic×10 <sup>-6</sup> )	$\delta^{234} \text{U}^*$ (measured)	<sup>230</sup> Th/ <sup>238</sup> U (activity)	<sup>230</sup> Th age (yr) (uncorrected)	<sup>230</sup> Th age (yr) (corrected)	$\delta^{234} U_{\text{Initial}}^{**}$ (corrected)	<sup>230</sup> Th age (yr BP) <sup>&amp;</sup> (corrected)
HC-1	2	534.1±29.8	3572±72	23±1	1233.2±34.6	$0.0093 \pm 0.0006$	457±28	370±66	1234±35	303±66
HC-1d	3	347.0±0.4	2320±47	23±1	1397.4±2.7	$0.0093 \pm 0.0006$	425±26	344±63	1399±3	277±63
HC-1a	5	395.9±0.5	46932±941	13±0	1436.5±3.0	0.0966±0.0008	4394±39	2978±1004	1449±5	2911±1004
HC-2d	13	423.3±0.6	11445±229	68±1	1325.1±2.6	0.1119±0.0006	5356±28	5020±239	1344±3	4953±239
HC-3d	25	339.1±0.5	20790±417	18±0	1547.3±3.2	0.0682±0.0007	2952±32	2252±496	1557±4	2185±496
HC-3c	26.5	376.1±0.6	23045±462	34±1	1484.5±3.0	0.1265±0.0005	5672±25	4959±506	1505±4	4892±506
HC-4d	28	342.0±0.3	10426±209	42±1	1485.9±2.1	0.0779±0.0006	3461±28	3106±253	1499±2	3039±253
S694-2	29.5	355.8±0.5	1238±26	164±4	1600.1±2.9	$0.0347 \pm 0.0004$	1463±16	1424±32	1607±3	1357±32
S695-3	37.5	388.4±0.6	1387±28	183±4	1649.4±2.8	$0.0397 \pm 0.0003$	1642±14	1603±31	1657±3	1536±31
HC-2	51.5	427.0±0.9	2320±47	143±3	1496.3±3.4	$0.0472 \pm 0.0003$	2079±14	2016±47	1505±3	1949±47
HC-9a	54.5	453.4±0.6	3018±61	125±3	1469.3±3.2	0.0504±0.0009	2244±41	2165±69	1478±3	2098±69

a) The error is  $2\sigma$  error. The dates in bold are excluded from the age model. 1 ppt=1 ng L<sup>-1</sup>. decay constants:  $\lambda_{238}=1.55125\times10^{-10}$  (Jaffey et al., 1971) and  $\lambda_{234}=2.82206\times10^{-6}$  (Cheng et al., 2013). Th decay constant:  $\lambda_{230}=9.1705\times10^{-6}$  (Cheng et al., 2013). \* $\delta^{234}$ U<sub>=((<sup>234</sup>U)<sup>238</sup>U)<sub>activity</sub>-1)×1000. \* $\delta^{234}$ U<sub>initial</sub> was calculated based on <sup>230</sup>Th age (T), i.e.,  $\delta^{234}$ U<sub>initial</sub>= $\delta^{234}$ U<sub>measured</sub>×e<sup>(234xT)</sup>. Corrected <sup>230</sup>Th ages assume the initial <sup>230</sup>Th/<sup>232</sup>Th atomic ratio of 4.4±2.2×10<sup>-6</sup>. Those are the values for a material at secular equilibrium, with the bulk earth <sup>232</sup>Th/<sup>238</sup>U value of 3.8. The errors are arbitrarily assumed to be 50%. B.P. stands for "Before Present" where the "Present" is defined as the year AD1950</sub>

Lah Na	Consulta No	Dauth from ton (mm)	Dated material	<sup>14</sup> C age		<sup>14</sup> C calibrated age (cal yr BP) (1 $\sigma$ )		
Lao No.	Sample No.	Depth from top (mm)		Age (yr BP)	Error	Upper	Lower	Median probability
XA19330	HC-3-C	8	Charcoal	449	23	501	516	509
XA19331	HC-4-C	16	Charcoal	563	28	537	627	598
XA19332	HC-5-C	20	Charcoal	685	25	572	672	659
XA19333	HC-6-C	22	Charcoal	694	22	656	673	664
XA18196	TLC-W-7	25	Charcoal	775	24	678	725	698
XA18197	TLC-W-8	27	Charcoal	908	25	787	904	848

Table 2AMS<sup>14</sup>C dating results of HC2



**Figure 6** Stable isotope and trace element records of HC2 from Huangchao Cave. (a)  $\delta^{13}$ C record, (b) Mg/Ca record, (c) Sr/Ca record, (d)  $\delta^{18}$ O record, (e) PCA1 of  $\delta^{18}$ O, Mg/Ca and Sr/Ca records as regional precipitation index record, with more negative values indicating more rainfall. (f) Stalagmite  $\delta^{18}$ O record from Kaiyuan Cave (Wang et al., 2015), 105 km south of Huangchao Cave. The blue dots with errors in panel A show the dating points.

the overlapping time period (AD1100–1810). The "replication test" indicates HC2 was deposited under equilibrium fractionation condition (Dorale et al., 1998; Tan et al., 2019; Wang et al., 2001). Previous studies suggest that stalagmite  $\delta^{18}$ O from monsoonal China under equilibrium fractionations could be influenced by variations of large-scale atmospheric circulation (i.e. monsoon intensity), moisture sources, upstream rainout, and/or regional rainfall amount (Cai et al., 2010; Cheng et al., 2016; Hu et al., 2008; Liu J B et al., 2015; Liu et al., 2014; Maher and Thompson, 2012; Tan et al., 2011, 2018a, 2018b; Wang et al., 2001; Yuan et al., 2004; Zhang et al., 2008). When comparing the speleothem  $\delta^{18}$ O with instrumental records, as well as historical and other hydrological records, scientists suggested a negative relationship between stalagmite  $\delta^{18}$ O and rainfall amount in the northern monsoonal China on centennial- to decadal- and annual-timescales (Li et al., 2017; Tan et al., 2014, 2011; Zhang et al., 2008). Model simulations also support this negative stalagmite  $\delta^{18}$ O-rainfall amount relationship in northern China (Liu et al., 2014). Following previous findings, we interpret our HC2  $\delta^{18}$ O variations as dominated by local precipitation changes.

 $Mg^{2+}$  and  $Sr^{2+}$  in speleothems come from the soil and bedrock overlying the cave and are mainly controlled by karst-water processes (Fairchild and Treble, 2009). Prolonged water-rock interaction during drier conditions can cause prior calcite precipitation (PCP) in the slower flow and enhance the  $Mg^{2+}$  and  $Sr^{2+}$  concentrations in speleothems due to preferential removal of Ca<sup>2+</sup> (Arienzo et al., 2019; Carolin et al., 2019; Fairchild et al., 2000; Fairchild and Treble, 2009; Goede et al., 1998; Li et al., 2005). In addition, a drier climate would favor closed system conditions in the karst aquifer, causing enrichment in Mg and Sr via a longer waterrock interaction. The opposite occurs during wetter conditions (Fairchild and Treble, 2009; Griffiths et al., 2016; Zhang et al., 2018). The significant positive correlation between the HC2  $\delta^{18}$ O and Mg/Ca (r=0.46, p<0.01) further confirms the inverse stalagmite  $\delta^{18}$ O/rainfall amount relationship in central Shandong.

We then applied the principal components analysis (PCA) method to the  $\delta^{18}$ O, Mg/Ca and Sr/Ca records of the stalagmite (Figure 6e). The PCA 1 explains 53% of the total variance, indicating that it can represent common variations of the three series, i.e. precipitation variations. Therefore, we regard it as a precipitation index for central Shandong, with negative values indicating more rainfall and positive values indicating less rainfall. The average resolution of the newly developed precipitation record is about 25 years. Our record (Table S1) suggests that precipitation decreased in central Shandong during the 2nd century compared with the previous 200 years. From the 3rd century, the precipitation

gradually increased, with the wettest period occurring in the middle 6th century, followed by a decline in the next few decades. Because of the deposition hiatus of the stalagmite, we cannot obtain climate information during AD640–1100. The climate in central Shandong during the 12th–15th century was relatively wet, with a drought occurring in the late 13th century. From the 16th century, the precipitation began to decrease, with the driest period occurring in the late 16th century. After the driest period, the precipitation increased. It declined again in the late 18th century.

## 5.2 $\delta^{13}$ C recorded historical vegetation changes in central Shandong

The carbon isotope in stalagmite mainly comes from dissolved inorganic carbon in drip water and may be influenced by isotopic fractionation during the carbonate deposition. There are three sources of carbon in cave drip water. One is the atmospheric CO<sub>2</sub>, and the other two are carbonate bedrock and biogenic soil CO2 (Li et al., 1997; McDermott, 2004; Tan et al., 2013). However, the  $\delta^{13}$ C values of the atmospheric CO<sub>2</sub> before the Industry Revolution in the 18th century are relatively constant on centennial- to decadalscale, about -6.4‰ (Craig and Keeling, 1963; Francey et al., 1999). The typical  $\delta^{13}$ C value of bedrock is about 1‰, which has little influence on the  $\delta^{13}$ C of drip water under open cave systems. Under closed cave system, slow (fast) seepage water during dry (wet) condition could increase (decrease) the time of water-rock interaction, and dissolve more (less) carbonate bedrock, enhancing (reducing) the  $\delta^{13}$ C in stalagmite (Li et al., 1997). Most natural cave systems are partly open, and the changes of  $\delta^{13}$ C in stalagmite are mainly influenced by the third source, dissolved CO<sub>2</sub> from plant root's respiration and soil organic matter's decomposition, which is controlled by the vegetation type and density above the cave (Baldini et al., 2005; Breitenbach et al., 2019; Dorale et al., 1998; Genty et al., 2003; McDermott, 2004; Pérez-Mejías et al., 2019; Springer et al., 2010; Yasur et al., 2019). If the overlying vegetation is C3 plants, like the forest, the stalagmite  $\delta^{13}$ C values would typically fall in the range of -14% to -6% under the carbon equilibrium fractionation condition. In contrast, they would typically fall in the range of -6‰ to 2‰ if the overlying vegetation is C4 plants (Cerling et al., 1989; McDermott, 2004). On the other hand, reduced vegetation cover can decrease the plant root's respiration and soil organic content, decrease the soil biogenic  $CO_2$ , resulting in heavier  $\delta^{13}C$  values in drip water and speleothems (Breitenbach et al., 2019; Columbu et al., 2019; Kennett et al., 2012; McDermott, 2004; Pérez-Mejías et al., 2019). In both cases, dry climate would reduce C3 vegetation development and cause more positive  $\delta^{13}$ C in speleothems than that during wet climate conditions. Besides, drier climate increases seepage water residence time, favors bedrock

dissolution, degassing and PCP in the unsaturated zone, which can also enhance the  $\delta^{13}$ C values in speleothem (Baker et al., 1997; Luo et al., 2013; Oster et al., 2012; Shen et al., 2016). In this case, the  $\delta^{13}$ C should positively correlate with trace element variations.

On a centennial scale, the  $\delta^{13}$ C changes, typically between –9.6‰ and –6.3‰, in our stalagmite were generally consistent with the temperature (Figure 7b, Ge et al., 2013) and local precipitation variations (Figure 7a) before the 15th century, with warmer/wetter (cold/dry) climate corresponding to more (less) negative  $\delta^{13}$ C. The coherence suggests C3 dominated vegetation, which was controlled by climate change during this period. However, the in-phase  $\delta^{13}$ C-climate relationship was broken after AD1400. Since then, a prominently positive shift was observed in the stalagmite  $\delta^{13}$ C record, with its values increasing from –7.7‰ to –1.6‰ in the next four centuries, and never returning to –6‰ after AD1500. Similar variations were also recorded in the KY1  $\delta^{13}$ C values (Wang et al., 2015) (Figure 7d). The consistent



**Figure 7** Comparisons of stalagmite  $\delta^{13}$ C records from central Shandong with climate and human activity records during historical times. (a) Precipitation record in central Shandong deduced from HC2. (b) Temperature anomaly of China (Ge et al., 2013). (c)  $\delta^{13}$ C record of HC2 from Huang-chao Cave. (d)  $\delta^{13}$ C record of KY1 from Kaiyuan Cave (Wang et al., 2015). (e) Population density in Shandong during historical times (Liang, 2018; Lu and Teng, 2000). (f) Farmland area (1 Mu=667 m<sup>2</sup>) record in Shandong during historical times (Liang, 2018). Dashed line in panel C represents the  $\delta^{13}$ C value of -6‰. Grey arrows denote the increasing trends of speleothem  $\delta^{13}$ C, population density and farmland area since the 15th century.

variations of the two stalagmite records, distributed in the north and south part of central Shandong mountains, suggest a persistent reduction of C3 type vegetation in this region since the 15th century. The vegetation deterioration was so severe that it might change from the C3 dominated plant assemblages to the C4 dominated plants after AD1500. This unprecedented, prominent vegetation change cannot be explained by climate change. Precipitation variations revealed by proxies from our stalagmite and KY1 (Wang et al., 2015) did not show a similar declining trend during this period (Figure 6). At the same time, the average temperature from the 16th to 18th centuries did not change much either (Figure 7b) (Ge et al., 2013). Most importantly, the cold climate during the Little Ice Age (AD1400-1850) was unfavorable for natural C4 vegetation's development (Cerling et al., 1989) in semi-humid Shandong. Even today, the natural vegetation in central Shandong is not dominated by C4 plants. Therefore, the only driving force for the marked vegetation deterioration since the 15th century could be the increasing deforestation and reclamation in central Shandong caused by abundant human activities.

### 5.3 Anthropogenic deforestation in central Shandong during historical times

The anthropogenic deforestation in Shandong was recorded in *The Book of Songs (Shijing)*, which collected poems from the 11th century BC to 6th century BC. A poem named "*Bi Palace*" in the chapter of "*Lu Hymns*" collected in *The Book of Songs* recorded:

Culai Mountain's pines are tall and flourish (徂徕之松),

Xinfu Mountain's cypresses are strong and lush (新甫之 柏).

They can be used as pillars and rafters (是断是度),

They can be measured by rulers (是寻是尺).

The Culai and Xinfu mountains are located in central Shandong. As a result, this is the earliest literature recording anthropogenic deforestation in Shandong. However, considering the coherent variations of the stalagmite  $\delta^{13}$ C and climate (Figure 7), the magnitude and extent of deforestation were limited and did not significantly change the vegetation in the mountain region of central Shandong before the 15th century. With the prosperous society and increasing population during the Ming (AD1368–1644) and Qing dynasties (AD1644-1911), more and more mountain areas were reclaimed (Liang, 2018; Wang, 1994). It was recorded that the reclamation area of Shandong was the 3rd largest over the country in AD1396 (Li, 1987). The persistent increase of  $\delta^{13}$ C in the stalagmites from Huangchao Cave and Kaiyuan Cave since the 15th century correlated well with the increasing trend of population and farmland in Shandong (Liang, 2018; Lu and Teng, 2000) (Figure 7), suggesting increasing deforestation and reclamation in this region. Indeed, foxtail (*Setaria italica*) and common millet (*Panicum miliaceum*), which are C4 crops, had long been cultivated in northern China during historical and prehistorical times (Yang and Li, 2015). No later than AD1603, another important C4 crop, maize (*Zea mays Linn.*), was also introduced to Shandong (Wang, 2006). The  $\delta^{13}$ C of stalagmites from both caves are well above -6% after the beginning of the 16th century, suggesting that extensive human activities already seriously destroyed or even cleared the forest in this region.

Abundant human activities in this region during historical times are also evidenced from structure remains inside and outside Huangchao Cave (Figure 3). The cave is named after a rebel leader, Huang Chao (AD820-884), whose army rose against the Tang Dynasty (AD618-907). According to local legend, his army hid in the cave and kept fighting against the Tang government after Huang Chao's death in AD884. We still can see the steps cut in the flowstone of the cave chamber, which is said to be a meeting room for the army (ECQS, 1989). The age of the abandoned temple (Figure 3a) is not known. However, the stone monument (Figure 3c) recorded the temple was rebuilt in AD1585 (Yiyou Year (the traditional Chinese calendar, every 60 year as a cycle) during the Emperor Wanli (AD1563-1620) in Ming Dynasty). In addition, the abundance of charcoal (Figure 3e) deposited on the floor and walls of the cave, as well as in the stalagmite, were evidence of wood burning. All the evidence confirms enhanced deforestation in this region since the 15th century.

#### 6. Conclusions

Multiple geochemical proxies ( $\delta^{18}$ O,  $\delta^{13}$ C, Mg/Ca, Sr/Ca) of a stalagmite from Huangchao Cave reveal the climate and vegetation changes in central Shandong, China over the past 2200 years, except for the hiatus between AD640 and 1100. The results suggest a typical C3 dominated vegetation in the mountain region of central Shandong before the 15th century. Vegetation change during this period was mainly controlled by climate change, despite some anthropogenic deforestation. However, increasing deforestation and reclamation caused by massive human activities began to destroy the vegetation in the mountain region of central Shandong since the 15th century, and may have cleared the forest from the 16th to 18th century.

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