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Two types of hyperthermal events in the Mesozoic-Cenozoic: Environmental impacts, biotic effects, and driving mechanisms

Xiumian HU[*](#page-0-0) , Juan LI, Zhong HAN & Yongxiang LI

State Key Laboratory of Mineral Deposit Research, School of Earth Sciences and Engineering, Nanjing University, Nanjing 210023, China

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Abstract A deeper understanding of hyperthermal events in the Earth's history can provide an important scientific basis for understanding and coping with global warming in the Anthropocene. Two types of hyperthermal events are classified based on the characteristics of the carbon isotope excursion (CIE) of the five representative hyperthermal events in the Mesozoic and Cenozoic. The first type is overall characterized by negative CIEs (NCHE) and represented by the Permian-Triassic boundary event (PTB, ~252 Ma), the early Toarcian oceanic anoxic event (TOAE, ~183 Ma), and the Paleocene-Eocene Thermal Maximum event (PETM, ~56 Ma). The second type is overall characterized by positive CIEs (PCHE) and represented by the early Aptian oceanic anoxic event (OAE1a, ~120 Ma) and the latest Cenomanian oceanic anoxic event (OAE2, ~94 Ma). Hyperthermal events of negative CIEs (NCHE), lead to dramatic changes in temperature, sedimentation, and biodiversity. These events caused frequent occurrence of terrestrial wildfires, extreme droughts, acid rain, destruction of ozone layer, metal poisoning (such as mercury), changes in terrestrial water system, and carbonate platform demise, ocean acidification, ocean anoxia in marine settings, and various degree extinction of terrestrial and marine life, especially in shallow marine. In contrast, hyperthermal events of positive CIEs (PCHE), result in rapid warming of seawater and widespread oceanic anoxia, large-scale burial of organic matter and associated black shale deposition, which exerted more significant impacts on deep-water marine life, but little impacts on shallow sea and terrestrial life. While PCHEs were triggered by volcanism associated with LIPs in deep-sea environment, the released heat and nutrient were buffered by seawater due to their eruption in the deep sea, thus exerted more significant impacts on deep-marine biota than on shallow marine and terrestrial biota. This work enriches the study of hyperthermal events in geological history, not only for the understanding of hyperthermal events themselves, large igneous provinces, marine and terrestrial environment changes, mass extinctions, but also for providing a new method to identify the types of hyperthermal events and the inference of their driving mechanism based on the characteristics of carbon isotopic excursions and geological records.

Keywords Hyperthermal events, Paleoclimate, Paleoceanography, Sedimentary record, Large igneous province, Mesozoic and Cenozoic, Deep-time

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1. Introduction

The Intergovernmental Panel on Climate Change (IPCC) Special Report "1.5°C global warming" in October 2018 shows that the Earth's annual mean temperature has risen by (1 ± 0.2) °C since the industrial revolution (AD 1850) and continues to increase at a rate of 0.2°C per decade (IPCC, 2018). Global warming is also supported by continuous observations of the Earth's surface temperatures and seawater temperatures over the past 30 years. Greenhouse gas emissions released by human activities (mainly fossil fuel emissions) are considered to be the main cause of global

[∗] Corresponding author (email: huxm@nju.edu.cn)

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warming [\(Waters et al., 2016\)](#page-16-0). Both society and the scientific community are concerning about whether the continuing increase in atmospheric $CO₂$ will cause the global climate to change from an icehouse climate, manifested by polar ice caps, to a greenhouse climate of no polar ice caps. It is imperative for the sustainability of human civilization to deepen understanding of these changes and their environmental and ecological effects.

To date, predictions of warming trends and the possible climate, ecological, and environmental changes mainly depend on climate simulations. Unfortunately, the understanding of the climatic mechanisms is neither thorough nor comprehensive. The temporal-spatial resolutions of climate models need to be further refined, and the constraints on climate parameters need to be improved. Therefore, climate simulations still have large uncertainties. Understanding the past global warming and its eco-environment effects from geological perspective will provide important insight. The Earth's climate has changed dynamically over geological history, including icehouse climates and greenhouse climates. Each climate state included a series of extreme climate events, such as extreme cooling events (Snowball Earth) and hyperthermal events, respectively. Multiple hyperthermal events occurred in the Mesozoic and Cenozoic, including the characteristic Permian-Triassic boundary event (PTB), the early Toarcian oceanic anoxic event (TOAE, Early Jurassic), the early Aptian oceanic anoxic event (OAE1a, Early Cretaceous), the Cenomanian-Turonian oceanic anoxic event (OAE2, mid-Cretaceous) and the Paleocene-Eocene Thermal Maximum (PETM) ([Figure 1](#page-2-0)) ([Foster et al., 2018](#page-13-0)). This study provides a comprehensive review of these hyperthermal events. By summarizing the onset duration, the extent and rate of warming, environmental and ecological changes of these events, we divide these events into two types based on their characteristic carbon isotope excursions. In addition, by systematically comparing the environmental and ecological effects between these two types of hyperthermal events, we propose that the different responses of these two types of hyperthermal events were closely related to the different eruption environments where large igneous provinces (LIPs) were formed. This study could provide scientific references for better understanding and coping with the current global warming.

2. Carbon isotopic characteristics and classification of the five hyperthermal events in the Mesozoic and Cenozoic

2.1 Carbon isotopic characteristics of the five hyperthermal events

The PTB event occurred at the end of the Permian (~252 Ma) and shows the largest warming magnitude $({\sim}8-10^{\circ}C)$ in the Phanerozoic [\(Joachimski et al., 2012;](#page-14-0) [Sun et al., 2012](#page-16-1); [Shen](#page-15-0) [et al., 2019](#page-15-0)) ([Table 1](#page-3-0)). It has also been shown to be lower than 6°C [\(Brand et al., 2012;](#page-12-0) [Cui and Kump, 2015](#page-13-1)). The bulk carbon isotopes experienced at least three cycles of negativeexcursion-then-recovery during the PTB event with a large negative excursion of more than −7‰ ([Cao et al., 2009](#page-13-2)).

The TOAE occurred in the Early Jurassic $(\sim 183$ Ma) with a warming magnitude of 7–10°C in the middle latitudes in the northern hemisphere ([Suan et al., 2008a;](#page-16-2) [Dera et al., 2009](#page-13-3); [Korte et al., 2015](#page-14-1)) ([Table 1\)](#page-3-0). The negative CIE of TOAE is characterized by a magnitude of −3‰ to −8‰ and consists of several secondary negative excursions ([Kemp et al., 2005](#page-14-2); [Jenkyns, 2010\)](#page-14-3).

The OAE1a is also called the Livello Selli event and occurred at early Aptian in the early Cretaceous (~120 Ma) with a warming of 5–6°C at low latitudes and 2–4°C at midto-high latitudes [\(Mutterlose et al., 2010,](#page-15-1) [2014;](#page-15-2) [Naafs and](#page-15-3) [Pancost, 2016](#page-15-3)). The CIE is characterized by an extremely negative excursion and then a 2–5‰ positive excursion [\(Menegatti et al., 1998](#page-15-4)).

The OAE2 is also known as the Bonarelli Event and occurred at the end of the Cenomanian (~94 Ma). The warming of 2–3°C in low latitudes, 4–5°C in middle latitudes, and 7– 10°C in high latitudes are reported ([Jenkyns et al., 2004](#page-14-4); [Forster et al., 2007](#page-13-4); [Huber et al., 2018\)](#page-14-5) ([Table 1\)](#page-3-0). The CIE was overall positive with a magnitude generally greater than 2‰ ([Jenkyns, 2010\)](#page-14-3), but appears to show a weak negative excursion before the positive excursion in an expanded section [\(Li Y X et al., 2017](#page-14-6)).

The PETM was a hyperthermal event that occurred at the Paleocene-Eocene boundary (~55.8 Ma) ([Kennett and Stott,](#page-14-7) [1991;](#page-14-7) [Zachos et al., 2001](#page-17-0)). This event shows, on average, \sim 4–5 \degree C warming of global sea surface temperature [\(Dunkley](#page-14-8) [Jones et al., 2013\)](#page-14-8), 3°C at low latitudes ([Frieling et al., 2017](#page-13-5)), and 5–8°C at mid-to-high latitudes ([Sluijs et al., 2006](#page-16-3), [2011](#page-16-4); [Zachos et al., 2006\)](#page-17-1) [\(Table 1\)](#page-3-0). The CIE shows a rapid negative shift, and then a 2–7‰ negative shift prior to the final recovery ([Kennett and Stott, 1991](#page-14-7); [McInerney and Wing,](#page-15-5) [2011\)](#page-15-5).

2.2 Classification and stage divisions of the five hyperthermal events based on the CIE features

Based on the CIE characteristics, we divided these five hyperthermal events into two types: Negative CIE Hyperthermal Event (NCHE) and Positive CIE Hyperthermal Event (PCHE).

The NCHE events show negative CIEs, and include the PTB, TOAE and PETM ([Figure 2](#page-3-1)). These events show a broad negative CIE from background carbon isotope values, and the pre- and post-event stage is denoted as NC1 and NC5, respectively. The broad negative CIE consists of an initial one-step (PETM, TOAE) or stepwise (PTB) negative

[Figure 1](#page-2-0) (a) An illustration of the middle Permian to Eocene timescale [\(Ogg et al., 2016\)](#page-15-6), showing ages of the five Mesozoic and Cenozoic environmental perturbations, LIP emplacements, and the Earth's climate (NRC 2011). (b) Geographical map showing the locations mentioned in our studies (www.odsn.de). PTB: Meishan section, Zhejiang; [Burgess et al., 2014](#page-13-6). TOAE: Peniche section, Portugal; [Hesselbo et al., 2007](#page-13-7). OAE1a: Yenicesilar section, Turkey; [Hu et al.,](#page-14-9) [2012](#page-14-9). OAE2: Gongzha section, southern Tibet; [Li Y X et al., 2017.](#page-14-6) PETM: ODP site 690, Southern Ocean; [Bains et al., 1999](#page-12-1). (c) The two types of hyperthermal events classified based on carbon isotopic profile: NCHE, the Negative Carbon isotopic excursion Hyperthermal Event that is characterized by a negative carbon isotopic excursion profile, and PCHE, the Positive Carbon isotopic excursion Hyperthermal Event that is characterized by a positive carbon isotopic excursion profile.

shift stage (onset stage, NC2), a stage of sustained low δ^{13} C values (the main stage, NC3), and a stage of gradual positive shift in δ^{13} C values (recovery, NC4) [\(Figure 2](#page-3-1)). The broad negative CIE of the NCHE events including NC2–NC4 stages, is generally thought to be caused by the injection of large amounts of light carbon into the atmosphere-ocean system.

The PCHE events are overall characterized by a broad positive CIE and are represented by OAE1a and OAE2 [\(Figure 3](#page-4-0)). The broad positive CIE of this type of events is preceded by a transient strong (OAE1a) or weak (OAE2) negative δ^{13} C shift. The carbon isotope variations of these events can be generally divided into four stages, an onset stage (PC2) of the transient negative δ^{13} C shift, a stage of

Hyperthermal events		Duration of onnset (NC2 or $PC2)$ (kyr)	CIE magnitude of oneset (NC2) or $PC2$ $\binom{9}{00}$	Temperature $(^{\circ}C)$			
				Low latitude	Middle latitude High latitude		References
PCHE	OAE2	50	>2	$2 - 3$	$4 - 5$	$7 - 10$	Jenkyns et al. (2004). Forster et al. (2007). Huber et al. (2018)
	OAE1a	75	$2 - 5$	$5 - 6$	$2 - 4$	$2 - 3$	Mutterlose et al. (2010), Naafs and Pancost (2016)
NCHE	PETM		$-2 - 6$	$3 - 5$	$6 - 8$	$5 - 9$	Thomas et al. (2002), Zachos et al. (2003, 2006), Aze et al. (2014), Sluijs et al. (2011, 2014)
	TOAE	150	$-3 - 8$		$7 - 10$		Suan et al. (2008a), Dera et al. (2009), Korte et al. (2015)
	PTB	60	> -7	$8 - 10$			Sun et al. (2012), Joachimski et al. (2012), Burgess et al. (2014), Chen et al. (2016)

[Table 1](#page-3-0) Comparison of the two types hyperthermal events showing the magnitude of warming from low to high latitudes, carbon isotope excursion, and onset duration

[Figure 2](#page-3-1) Characteristics of carbon isotopic profile and temperature across the NCHE including PTB, TOAE and PETM, and their environmental and biotic effects. The negative CIE of the NCHEs can be broadly divided into four stages: an onset stage NC2, represented by one (PETM, TOAE) or multiple (PTB) negative excursion (NC2, onset stage), a main stage NC3, represented by an isotopic negative plateau, and a recovery stage NC4, represented by a positive excursion. The pre-NCHE interval is defined as stage NC1, and the post-NCHE interval is defined as stage NC5. Carbon isotopic and temperature data are from: PTB ([Burgess et al., 2014](#page-13-6)), TOAE ([Hesselbo et al., 2007;](#page-13-7) [Suan et al., 2010](#page-16-7)), PETM [\(Bains et al., 1999;](#page-12-1) [Thomas et al., 2002](#page-16-5)).

positive δ^{13} C shift (PC3), a sustained stage of enriched δ^{13} C values (main stage, PC4), and a stage of gradual decrease of δ ¹³C values (recovery stage, PC5) ([Figure 3](#page-4-0)). These events were generally thought to be caused by transient injection of massive light carbon into the atmosphere-ocean system, which triggered anoxia in bottom water and large-scale burial of organic-rich black shales, leading to a broad positive CIE.

3. Timescale and duration of the two types of hyperthermal events in the Mesozoic and Cenozoic

With the high-precision dating and the application of cyclostratigraphy in recent years, durations of these two types of hyperthermal events have been much better constrained, allowing for discussing warming rates of each type of hyperthermal events. It is worth noting that duration estimates of each event are usually obtained from cyclostratigraphy that is anchored by the high-precision U-Pb age of zircon in tuff layer. Interpolation with an average sedimentation rate within an orbital cycle is often used to obtain the CIE durations of these events. This analytical technique generally carries uncertainties of about 10–100 kyr.

3.1 Timescale and duration of the NCHE

The timescale of the PTB event is mainly based on highprecision U-Pb chronology of zircon from the global stratotype section and point (GSSP) section of the Permian-Triassic boundary in South China [\(Shen et al., 2011](#page-15-7), [2019](#page-15-0); [Burgess et al., 2014\)](#page-13-6), combined with carbon isotope stratigraphy [\(Cao et al., 2009\)](#page-13-2). The PTB duration was constrained

[Figure 3](#page-4-0) Characteristics of carbon isotopic profile and temperature across the PCHEs including OAE1a and OAE2, and their environmental and biotic effects. The positive carbon isotopic excursion of the PCHEs can be subdivided into four stages: an onset stage PC2, a positive excursion stage PC3, a main stage PC4 as represented by an isotopic positive plateau, and a recovery stage PC5. The pre-PCHE interval is defined as stage PC1, and the post-PCHE interval is defined as stage PC6. Carbon isotopic and temperature data are from: OAE1a ([Hu et al., 2012](#page-14-9); [Naafs and Pancost, 2016\)](#page-15-3), OAE2 ([Forster et al.,](#page-13-4) [2007](#page-13-4); [Li Y X et al., 2017\)](#page-14-6).

to \sim 660 kyr ([Burgess et al., 2014](#page-13-6)), of which the onset (NC2) duration is \sim 58 kyr, the duration of the sustained CIE (NC3) is ~431 kyr, and the duration of the recovery stage (NC4) is \sim 170 kyr [\(Figure 2\)](#page-3-1).

There are two different duration estimate for the TOAE. One suggests that it lasted for \sim 900 kyr, which is based on the age constraints by cyclostratigraphy from Peniche (GSSP section) in Portugal [\(Suan et al., 2008b;](#page-16-8) [Huang and Hessel](#page-14-10)[bo, 2014](#page-14-10)). This result is consistent with that from Dotternhausen (Germany), Valdorbia (Italy), Yorkshire (UK), and Lorraine Sub-Basin (France) by cyclostratigraphy ([Huang](#page-14-10) [and Hesselbo, 2014](#page-14-10); [Ruebsam et al., 2014\)](#page-15-8), and Yorkshire (UK) by strontium stratigraphy [\(McArthur et al., 2000](#page-15-9)). The duration estimate is \sim 300–500 kyr [\(Kemp et al., 2005,](#page-14-2) [2011;](#page-14-11) [Boulila et al., 2014](#page-12-3)), which is mainly based on the magnetic susceptibility cyclostratigraphy from Sancerre-Couy borehole (France) and Yorkshire (UK). We employ the ~900 kyr duration of the Peniche GSSP section, and the corresponding NC2, NC3 and NC4 have a duration of \sim 150, \sim 450 and 300 kyr, respectively ([Suan et al., 2008b\)](#page-16-8) ([Figure 2\)](#page-3-1).

High-resolution timescales for the PETM have been developed using cyclostratigraphy and ³He chronology, but are still controversial. The PETM duration has been constrained to 150–220 kyr by cyclostratigraphy ([Röhl et al., 2003,](#page-15-10) [2007](#page-15-11)) ([Figure 2](#page-3-1)), to $120-220$ kyr by ³He chronology ([Farley and](#page-13-9) [Eltgroth, 2003;](#page-13-9) [Murphy et al., 2010](#page-15-12)). Recently, [Westerhold et](#page-16-9) [al. \(2018\)](#page-16-9) constructed the timescales of Ocean Drilling Program (ODP) core and continental core in Bighorn basin and constrained the duration of PETM to \sim 200 kyr. The PETM onset was generally considered to last at least several thousand years, ranging from 8 to 23 kyr ([Zachos et al.,](#page-17-3) [2005;](#page-17-3) [Aziz et al., 2008](#page-12-4); [Charles et al., 2011](#page-13-10)). However, [Wright and Schaller \(2013\)](#page-16-10) showed that the PETM onset lasted for 13 kyr based on the Millville borehole results, although this constraint was considered to result from drilling disturbances rather than the original sedimentary rhythm [\(Zeebe et al., 2014;](#page-17-4) [Pearson and Nicholas, 2014\)](#page-15-13). Regarding the duration of other CIE stages, the NC3 and NC4 stages have been estimated to be 59 and 113 kyr, respectively, based on the cyclostratigraphic data of ODP1266 borehole ([Röhl et](#page-15-11) [al., 2007](#page-15-11)). In contrast, these two stages were constrained to be 115 kyr (NC3) and 42 kyr (NC4), respectively, based on the cyclostratigraphic results of the Bighorn terrestrial basin [\(Aziz et al., 2008\)](#page-12-4). Additionally, the duration of the NC3 has been calculated to be 113 kyr, and the NC4 stage can be further divided into two substages, including a rapid recovery substage (33 kyr) and a subsequent slow recovery substage (50 kyr) ([Murphy et al., 2010](#page-15-12)).

3.2 Timescale and duration of the PCHE

The duration of OAE1a is mainly constrained by cyclostratigraphy. Based on the CIE characteristics, it was divided into C2–C8 stages [\(Menegatti et al., 1998](#page-15-4)), among which the C3–C6 stages are constrained to be 1.0–1.3 Myr [\(Li et al.,](#page-14-12) [2008](#page-14-12); [Bottini et al., 2012\)](#page-12-5). Stage C2 shows a gradual negative δ^{13} C shift [\(Socorro et al., 2017\)](#page-16-11), and stage C3 is characterized by sustained low δ^{13} C values. In this study, both stages C2 and C3 are combined to be defined as the transient negative δ^{13} C shift, i.e., the onset stage PC2, stages C4–C6 as the gradually positive δ^{13} C shift interval of PC3, C7 as the enriched plateau interval of PC4, and C8 as recovery stage PC5 [\(Figure 3](#page-4-0)). If the 1.11 Myr duration of the C3–C6 stages is applied to the Gorgo a Cerbara section, Italy, the PC2, PC3 and PC4 has a duration of 70 kyr, 1.04 Myr, and 0.75 Myr, respectively [\(Stein et al., 2012](#page-16-12); [Patruno et al., 2015](#page-15-14); [Li et al.,](#page-14-13) [2016](#page-14-13)). In the Yenicesilar section, Turkey, the durations of the C2 and C3 stages are 75 and 320 kyr, respectively, and thus the PC2 lasted for 395 kyr ([Figure 3](#page-4-0)); the durations of the PC3 and PC4–PC5 are 790 kyr and 1.3 Myr, respectively [\(Hu](#page-14-9) [et al., 2012\)](#page-14-9).

The duration of the OAE2 is also mainly constrained by cyclostratigraphy. Its duration is highly controversial, ranging from 200 to > 900 kyr [\(Sageman et al., 2006](#page-15-15); [Li Y X et](#page-14-6) [al., 2017\)](#page-14-6). In the GSSP section of Western Interior Seaway (WIS) in North America, the duration of the PC3–PC4 was constrained to be ~580 kyr, among which PC3 and PC4 are estimated to last for \sim 230 and \sim 350 kyr, respectively [\(Sa](#page-15-15)[geman et al., 2006\)](#page-15-15). However, the duration estimate is longer, up to ~870 kyr, in an expanded Tibetan section and the PC2, PC3, PC4 and PC5 were estimated to last for ~ 50 , \sim 280, \sim 370, and \sim 170 kyr, respectively ([Li Y X et al., 2017](#page-14-6)) ([Figure 3\)](#page-4-0).

4. Warming magnitudes and rates of the two types of hyperthermal events in the Mesozoic and Cenozoic

4.1 Temperature changes across the NCHE

During the PTB event, the oxygen isotopes of conodont from the Meishan GSSP section in South China [\(Joachimski et al.,](#page-14-0) [2012](#page-14-0)) show that: (1) the paleotemperature was \sim 22°C before the onset of this event (22nd–23rd layers); (2) the paleotemperature rose to 23–27°C in the NC2 stage (24th-26th layers) and to 29–30°C or 33–35°C, as suggested by different conodont genus, in the NC3 stage (27th layer). These results indicate that warming by a conservative estimate of more than 8–10°C [\(Joachimski et al., 2012](#page-14-0)) occurred in the NC2 stage (lasting for \sim 21 kyr, broadly corresponding to the re-sults from the Shangsi section (Sichuan, China) ([Cao et al.,](#page-13-2) [2009](#page-13-2); [Joachimski et al., 2012;](#page-14-0) [Shen et al., 2019](#page-15-0)). The PTB interval in [Sun et al. \(2012\)](#page-16-1) further showed that the temperature continued to increase in the NC3 stage, with a magnitude exceeding 14°C. [Chen et al. \(2016\)](#page-13-8) reconstructed the paleotemperatures of the PTB interval from the Meishan, Shangsi, Daijiagou and Liangfengya sections by SIMS.

Their results indicated a rapid warming of approximately 10° Crecorded in the layer equivalent to the 26th layer of the Meishan section, but no obvious temperature changes were observed before the mass extinction and during the extinction maximum.

The TOAE paleotemperature data are mainly based on the brachiopods, bivalves, belemnite and fishbones in the middle-latitudes of western Tethys in England, France, Spain and Portugal. The oxygen isotopes show a rapid negative excursion during the NC2, suggesting that the temperature of shallow seawater in the northern hemisphere increased by \sim 7–10 $\rm{°C}$ ([Dera et al., 2009](#page-13-3), 2011; [Korte et al., 2015](#page-14-1)). The warming and negative CIE onset were synchronous. The temperature reached its maximum in NC3 stage, then decreased rapidly by 2–3°C, and showed slight decrease or remained stable in the late NC3 stage ([Dera et al., 2009](#page-13-3), 2011; [Korte et al., 2015\)](#page-14-1).

During the PETM, the warming phase was synchronous with the CIE onset (NC2). In low-latitudes, Sr/Ca and Mg/Ca ratios indicated that the sea surface temperature (SST) increased by 4–5°C in the Pacific equatorial region [\(Zachos et](#page-17-2) [al., 2003](#page-17-2)); Oxygen isotopes of planktonic foraminifera indicated an increase in SST of at least 3°C, and the maximum temperature exceeded 40°C in Tanzania of East Africa ([Aze](#page-12-2) [et al., 2014\)](#page-12-2). In mid-latitudes, the paleotemperatures inferred from biomarker compounds (MBT-CBT and $text{LEX}_{86}$) in Gulf of Mexico showed that SST increased by 6–8°C ([Sluijs et](#page-16-6) [al., 2014\)](#page-16-6); TEX_{86} and oxygen isotopes of planktonic foraminifera in Wilson Lake indicated that SST increased by at least 8°C with a maximum temperature of 33°C ([Zachos et](#page-17-1) [al., 2006\)](#page-17-1). In high-latitudes, TEX_{86} data of ODP site 1772 in the southwestern Pacific indicated that SST increased by \sim 7° C ([Sluijs et al., 2011\)](#page-16-4); Oxygen isotopes of planktonic foraminifera from ODP site 690 in the Southern Ocean indicated that SST increased by \sim 5–9°C [\(Thomas et al., 2002\)](#page-16-5); TEX_{86} data from the Arctic Integrated Ocean Drilling Program (IODP) Expedition 302 site suggested that sea surface warming by 5°C [\(Sluijs et al., 2006\)](#page-16-3). These data together show that SST increased by 3–5°C at low latitudes and 5–9°C at mid- to high-latitudes during the NC2 stage of the PETM, indicating a significant amplification effect in high-latitudes.

4.2 Temperature changes across the PCHE

The SST of the low-latitude Atlantic was \sim 30–36 \degree C during OAE1a, decreased by \sim 4 \degree C at the beginning of stage PC3, and then rapidly increased by $\sim 6^{\circ}C$ [\(Dumitrescu and Bras](#page-13-11)sell, 2006). TEX₈₆ data from the mid-latitude Atlantic showed a SST increase by \sim 2–4 $\rm{°C}$ during stage PC2 and a decrease of ~4–6°C during stage PC3 [\(Naafs and Pancost,](#page-15-3) [2016\)](#page-15-3). In the mid-latitudes of Boreal area, $text{TEX}_{86}$ and belemnites oxygen isotopes indicated that SST increased by

 \sim 3–4°C during stage PC2 [\(Mutterlose et al., 2010](#page-15-1), [2014\)](#page-15-2). In the high-latitudes of the Southern Ocean, SST increased by \sim 2–3 $\rm{°C}$ during stage PC2 and then cooled with a temperature decrease of 3°C after stage PC3 [\(Jenkyns et al., 2012\)](#page-14-14).

During the OAE2, SST increased significantly at different latitudes ([Gustafsson et al., 2003;](#page-13-12) [O'Brien et al., 2017;](#page-15-16) [Huber](#page-14-5) [et al., 2018\)](#page-14-5). In equatorial Atlantic, oxygen isotopes of planktonic foraminifera and $text{TEX}_{86}$ data indicated that SST increased by \sim 2–3°C [\(Forster et al., 2007](#page-13-4)). In mid-latitude Atlantic, TEX₈₆ data showed a rapid cooling of $\sim4-5^{\circ}C$ during stage PC3 and a rapid warming of $\sim4-5$ °C during the early phase of stage PC4, with a SST of as high as 34°C ([Sinninghe Damsté et al., 2010](#page-16-13); [Huber et al., 2018](#page-14-5)). The SST at high latitudes in the northern hemisphere increased by \sim 7– 8°C ([Jenkyns et al., 2004\)](#page-14-4), whereas SST increased by ~10°C in the southern hemisphere [\(Huber et al., 2018](#page-14-5)). There were also short-term temperature changes during the OAE2 ([Forster et al., 2007;](#page-13-4) [O'Brien et al., 2017](#page-15-16)). A cooling event during OAE2 was observed in Europe that interrupted the overall warming trend ([Jenkyns et al., 2017](#page-14-15)). The cooling event was thought to be mainly due to large-scale burial of organic matter ([Jarvis et al., 2006\)](#page-14-16) or enhanced continental weathering [\(Pogge von Strandmann et al., 2013\)](#page-15-17), which caused the drawdown of atmospheric $CO₂$ concentration.

4.3 Comparison of the magnitudes and rates of temperature increase

The warming intervals of all these five hyperthermal events were almost synchronous with the onset of CIEs. Therefore, we use the duration of the CIE onset and the magnitude of corresponding temperature increase to calculate warming rates of each event. When calculating rate of warming, duration estimates from GSSP or typical sections were preferentially used and the magnitude of temperature increase is based on the reported data in the literature [\(Figure 4\)](#page-6-0).

4.3.1 Warming magnitudes and rates of the NCHE

The paleotemperature during the PTB is mainly based on the oxygen isotopes of low latitudes, and its increase $(\sim 8-10^{\circ}C)$ starts at the onsets and the lower part of the negative CIE plateau. Based on the Meishan GSSP section, the onset duration of the PTB is $~60$ kyr ([Burgess et al., 2014](#page-13-6)), and thus the warming rate is ~0.133–0.167°C kyr⁻¹. Paleotemperature data of the TOAE are mainly based on brachiopods, bivalves, arrow stones, and fishbones in middlelatitude regions, suggesting warming of \sim 7–10°C. The TOAE duration estimated from the Peniche GSSP section is \sim 150 kyr ([Suan et al., 2008b\)](#page-16-8) and thus the warming rate for TOAE is ~0.047–0.067 $^{\circ}$ C kyr⁻¹. Paleotemperature data of the PETM are mainly from foraminifera oxygen isotope and TEX_{86} , and warming occurred during the CIE onset stage, by 3–5, 6–8 and 5–9°C at low-, mid-, high-latitudes. The PC2 duration of the PETM was estimated to be 3–5 kyr ([Zeebe et](#page-17-5) [al., 2009](#page-17-5); [Bowen et al., 2015](#page-12-6); [Frieling et al., 2017;](#page-13-5) [Turner,](#page-16-14) [2018\)](#page-16-14), and thus the minimum warming rate is $\sim 0.6-1.0$, \sim 1.2–1.6, 1.0–1.8°C kyr⁻¹, respectively, if the onset duration of 5 kyr is used.

4.3.2 Warming magnitudes and rates of the PCHE

Paleotemperature reconstruction of the OAE1a is mainly based on TEX_{86} data, which show warming at the CIE onset, by \sim 5–6, \sim 2–4, and \sim 2–3°C at low, middle, and high latitudes, respectively. The onset duration of the OAE1a is 75 kyr, which is based on the Yenicesihlar section in Turkey ([Hu](#page-14-9) [et al., 2012](#page-14-9)), and therefore the warming rate in low, middle and high latitudes is $~0.067 - 0.080,~0.027 - 0.053,~$ and ~0.027–0.040°C kyr−1, respectively. Paleotemperature data of the OAE2 are mainly based on oxygen isotopes of planktonic foraminifera and $text{TEX}_{86}$. The warming of the OAE2 occurred in the CIE onset stage and the magnitude of warming increased from 2–3°C at low latitudes, through, 4– 5°C at middle latitudes, to 7–10°C at high latitudes. In this study, we used the PC2 duration of 50 kyr from the Gongzha

[Figure 4](#page-6-0) (a) Plots of CIE magnitude versus onset duration of the NCHEs and PCHEs showing the different rates of carbon release; (b) plots of temperature increase versus onset duration of the NCHEs and PCHEs showing the different rates of warming . Warming rate for the PTB is calculated using temperature data from low latitudes. For other events, warming rates are calculated using temperature data from high latitudes and the references are shown in [Table 1.](#page-3-0)

section in southern Tibet ([Li Y X et al., 2017](#page-14-6)) and obtained warming rates of $\sim 0.04 - 0.06$, $\sim 0.08 - 0.1$ and $\sim 0.14 - 0.2$ °C kyr^{-1} for the low, middle and high latitudes, respectively.

Among the five hypothermal events, the PTB event exhibited the greatest warming, followed by TOAE, OAE1a, PETM and OAE2 ([Figure 4\)](#page-6-0). For the rate of warming, the PETM is the highest (greater than 1° C kyr⁻¹), followed by the PTB, OAE1a, OAE2 and TOAE ([Figure 4\)](#page-6-0). In comparison, the rate of modern global warming $(1^{\circ}C \text{ in } 150 \text{ years})$ is 4.2−5.6 times of that in middle-latitude area during the onset of PETM.

5. Environmental changes caused by the two types of hyperthermal events

5.1 Marine depositional environmental changes across the two types of hyperthermal events

While all the five hyperthermal events are recorded in shallow-marine environment settings, OAE1a, OAE2 and PETM have also been recorded in deep-sea environment settings.

5.1.1 Marine depositional response to the NCHE

(1) Demise of carbonate platforms in shallow-marine environment. Carbonate platform drownings were widely reported related the NCHE [\(Godet, 2013](#page-13-13)). For the PTB, the demise of carbonate platforms and the disappearance of laminated siliceous rocks and coals disappeared were accompanied by the emergence of black shale, argillaceous dolomite, pyrite layer, ash clay, and microbialites ([Cao and](#page-13-14) [Zheng, 2009\)](#page-13-14). There were also demise of carbonate platforms during the TOAE, where the *Lithiotis* bivalves limestones were replaced by dark-gray spotted and micritic limestones (Sabatino et al., 2013; [Han et al., 2016,](#page-13-15) [2018\)](#page-13-16) or transformed to unfossiliferous, oolitic limestone [\(Trecalli et](#page-16-15) [al., 2012](#page-16-15)). During the PETM, the growth of shallow-water carbonate platform was temporarily interrupted by deposition of siliciclastic mudstone in Spain [\(Pujalte et al., 2014\)](#page-15-18), or channelized conglomerates in southern Tibet ([Li J et al.,](#page-14-17) [2017](#page-14-17)).

(2) Anachronistic deposition in marine environment. The 'anachronistic' sediments widely appeared in the continental margin environment during the PTB including flat-pebble conglomerates, vermicular limestone, microbialites, carbonate seafloor fans, banded limestone, and giant oolitic limestone [\(Zhao et al., 2008;](#page-17-6) [Li et al., 2010\)](#page-14-18) ([Figure 4\)](#page-6-0). During the TOAE, in low-middle latitudes of the Tethys Ocean, intensified storm deposits with erosive surfaces, gutter casts, and hummocky cross stratification structures are present [\(Krencker et al., 2015;](#page-14-19) [Han et al., 2018](#page-13-16); [Izumi et al.,](#page-14-20) [2018](#page-14-20)). In shallow marine environment, an abrupt lithological change from shallow-marine carbonates to channelized conglomerates in southern Tibet [\(Li J et al., 2017](#page-14-17)) and to siliciclastic mudstone in Spain are observed during the PETM [\(Pujalte et al., 2014\)](#page-15-18), while pelagic mudstone was replaced by dark-red clay in deep-sea environment [\(Zachos](#page-17-3) [et al., 2005](#page-17-3)).

5.1.2 Marine depositional response to the PCHE

(1) Partial demise of carbonate platform in shallow-marine environment. For the OAE1a, the demise of carbonate platforms was accompanied by the occurrence of a condensed interval and hardgrounds in the northern Tethys margin [\(Skelton and Gili, 2012](#page-16-16)), whereas deposition continued and strata rich in *Lithocodium*-*Bacinella* and or *orbitolinid* are deposited in the southern Tethys margin [\(Husinec et al.,](#page-14-21) [2012\)](#page-14-21). For OAE2, the demise of carbonate platforms only occurred in the Pyrenees area, northern Spain ([Drzewiecki](#page-13-17) [and Simo, 1997](#page-13-17)), western Croatia ([Korbar et al., 2012\)](#page-14-22) and southeastern Turkey ([Mülayim et al., 2019\)](#page-15-19).

(2) Organic-rich black shales common in deep-sea environment. The pelagic limestone was replaced by either black shale or organic-rich deposits during both OAE1a and OAE2 (van Bentum et al., 2009; [Hu et al., 2012;](#page-14-9) [Patruno et](#page-15-14) [al., 2015](#page-15-14); [Li et al., 2016](#page-14-13)).

5.2 Marine environmental changes

Marine environmental response to these hyperthermal events including redox conditions, ocean acidification, and sea level change are examined in this study.

5.2.1 Marine environmental changes caused by the NCHE (1) Different degree of anoxia occurred in marine environment, but spatial extent of anoxia needs to be better defined. The occurrence of organic-rich black shales, framboidal pyrite, and green sulfur bacteria bloomed indicates anoxic environment during the PTB ([Cao et al., 2009\)](#page-13-2). During the TOAE, the northern Europe experienced strong anoxia [\(Hesselbo et al., 2000\)](#page-13-18), while the southern part was hyoxic or oxic [\(Hesselbo et al., 2007](#page-13-7)). The global atmospheric O_2 concentration decreased during the PETM ([Harding et al.,](#page-13-19) [2011;](#page-13-19) [Winguth et al., 2012](#page-16-17)), and the deep-sea was dominated by anoxia or suboxic conditions [\(Pälike et al., 2014](#page-15-20)). However, continental shelf and slope environments are characterized by seasonal anoxic or hyoxic conditions ([Speijer](#page-16-18) [and Wagner, 2002](#page-16-18); [Sluijs et al., 2014](#page-16-6)).

(2) Ocean acidification was widespread, but degree of acidification in shallow marine and deep sea environment needs to be clarified. The timing of ocean acidification during the PTB is controversial. Some studies show that ocean acidification occurred within the onset stage based on carbonate calcium isotopic negative excursion [\(Payne et al.,](#page-15-21) [2010;](#page-15-21) [Hinojosa et al., 2012](#page-14-23)), while other studies suggest that ocean acidification occurred within the negative carbon

isotopic plateau stage based on boron isotopic composition $(\delta^{11}B)$ ([Clarkson et al., 2015\)](#page-13-20). The carbonate calcium isotopic negative excursion during the TOAE indicates ocean acidification ([Brazier et al., 2015\)](#page-13-21). During the PETM, the deep-sea environment is characterized by widespread dissolution of deep-sea carbonates that intensified with depth ([Zachos et al., 2005\)](#page-17-3). Also, δ^{11} B of planktonic foraminifer shows a decrease and B/Ca ratio of shell declined, indicating a drop in pH of the surface and lysocline ([Penman](#page-15-22) [et al., 2014\)](#page-15-22).

(3) Sea level changes are still controversial. [Jiang et al.](#page-14-24) [\(2014\)](#page-14-24) and [Yin et al. \(2014\)](#page-17-7) suggested a regression at the onset of the PTB, which is marked by the pyrite layer on the top of bed 24e in Meishan section and/or the dissolution surface at the bottom of microbialites in the Daguizhou beach. However, [Cao and Zheng \(2009\)](#page-13-14) suggested that this dissolution surface resulted from ocean acidification. A brief regression was detected just before the TOAE onset, and followed by a transgression [\(Haq, 2018](#page-13-22); [Krencker et al.,](#page-14-25) [2019](#page-14-25)). In several instances, an eustatic rise was observed during the PETM, which began 20 to 200 ka before the event with shorelines migrating towards the land [\(Speijer and](#page-16-18) [Wagner, 2002](#page-16-18); [Harding et al., 2011](#page-13-19); [Sluijs et al., 2008\)](#page-16-19). However, in other instances, a regression was observed in the latest Paleocene, followed by a transgression during the PETM ([Schmitz and Pujalte, 2003,](#page-15-23) [2007;](#page-15-24) [Pujalte et al.,](#page-15-18) [2014](#page-15-18)).

5.2.2 Marine environmental response to the PCHE

(1) Redox conditions were not uniform, and varied spatially and temporally. For example, anoxic conditions were observed in deep settings of the Western Tethys, whereas the shallow-water and continental margin environments were dominated by hyoxic or oxic conditions during the OAE1a ([Westermann et al., 2013\)](#page-16-20). The deep proto-North Atlantic experienced anoxia and euxinia during the OAE2 ([Pancost](#page-15-25) [et al., 2004](#page-15-25)) and its southern part showed more severe anoxia and euxinia (van Bentum et al., 2009; van Helmond et al., 2014). In equatorial Atlantic, anoxic conditions are found to alternate between ferrigenous and euxinic in Demera Rise and in the Moroccan shelf (van Bentum et al., 2009). Northern and middle latitudes of the proto-North Atlantic also documented a brief episode of oxygenation punctuating the major anoxia during the OAE2 (van Helmond et al., 2014; [Goldberg et al., 2016\)](#page-13-23).

(2) The oceanic currents may be reorganized. Nd isotopes have been used to track oceanic flow patterns. Fish debris from ODP site 1258 in North Atlantic exhibits a dramatic positive excursion of 8ε_{Nd} units during OAE2 ([MacLeod et](#page-14-26) [al., 2008](#page-14-26)), and the fish debris from the Eastbourne section in the UK shows a negative excursion of $1\varepsilon_{\text{Nd}}$ units, followed by a positive excursion of 3 ε_{Nd} units during the OAE2 [\(Zheng et](#page-17-8) [al., 2013\)](#page-17-8).

(3) Ocean acidification and sea level changes across the PCHEs were still unclear. Eustatic sea level changes were observed during the Turonian hot greenhouse climate [\(Haq](#page-13-24) [and Huber, 2017](#page-13-24)).

5.3 Changes in terrestrial environment

5.3.1 Terrestrial environmental response to the NCHEs

(1) Extreme droughts were widespread in terrestrial environment. Red sediments occurred widely in northern China with decreasing coal accumulation and increasing heat- and drought-tolerant plants during the TOAE [\(Deng et al., 2012](#page-13-25)). A distinct shift to drier soils occurred just prior to the PETM, and continued until the PETM recovery stage, followed by wetter conditions ([Wing et al., 2005;](#page-16-21) [Kraus and Riggins,](#page-14-27) [2007\)](#page-14-27).

(2) Enhanced hydrological cycle and altered river morphology. The PTB was characterized by a rapid and apparently basin-wide change from meandering to braided river systems, as evidenced by preserved sedimentary facies [\(Ward et al., 2000](#page-16-22); [Shen et al., 2011](#page-15-7); [Zhu et al., 2019](#page-17-9)). Water discharge increased by at least 1.35 times and potentially up to 14 times during the early phase of the PETM in northern Spain where an abrupt transition from overbank palaeosol deposits to conglomeratic fluvial sequence, indicating extreme floods, quick channel mobility and increase of channel dimensions [\(Chen et al., 2018\)](#page-13-26). Stratigraphic intervals at the main part of the PETM in northern Bighorn basin correspond to thick paleosols and thin avulsion deposits, and the anomalously thick channel-belt sandstone, while stratigraphic intervals below and above the main part of the PETM correspond to thinner paleosols and thick avulsion deposits ([Kraus et al., 2015](#page-14-28)).

5.3.2 Terrestrial depositional response to the PCHEs

The impacts of the PCHEs on terrestrial environment are not well known, mainly because there are few of terrestrial records. In lakes, increases in nutrient input and primary productivity led to anoxia or seasonal anoxia with deposition of organic rich black shales ([Jenkyns, 2010](#page-14-3)). Arid and humid conditions were not uniform, and varied spatially and temporally. For example, the Changma Basin in China documents a humid condition with seasonal aridity [\(Suarez et al.,](#page-16-23) [2017\)](#page-16-23), while the Utah foreland basin in North America documents an arid climate during OAE1a [\(Ludvigson et al.,](#page-14-29) [2010\)](#page-14-29).

6. Biotic changes caused by NCHE and PCHE

Statistical analysis reveals that the family-level extinction rates across the PTB, TOAE and PETM of NCHE, and OAE1a and OAE2 of PCHE are 55.7%, 17.3%, 7.6%, 9.9% and 13.6% (Sepkoski, 1996), respectively. The PTB, TOAE, and OAE2 events were three of the eighteen largest biotic crises in the Phanerozoic (Bambach, 2006). The NCHEs have more significant impacts on biota than the PCHEs, except for the PETM that is likely related to the short duration of warming.

6.1 Biotic changes caused by the NCHE

6.1.1 Marine biotic changes

(1) Migration from low to higher latitudes. Most marine biota was affected including ammonites, foraminifera, ostracods, and belemnites and migrated poleward across the TOAE and PTB [\(Dera et al., 2011;](#page-13-27) [Bernardi et al., 2018\)](#page-12-7). In the Tethys Ocean, the shallow platform organisms at low to middle latitudes show a gradual replacement from reefbuilding corals, to large benthic foraminifera during the PETM, and the coral reefs migrated from low latitudes to higher middle latitudes ([Scheibner and Speijer, 2008\)](#page-15-26).

(2) Extinctions or/and turnover were widespread in marine biota, but varied in extent. In several instances, biota were sensitive to marine environmental changes including temperature, $[O_2]$ and pH often experienced extinction or/and turnover. Calcareous algae, fusulinids, rugose corals, tabulate corals, trilobites and radiolarians were entirely lost in the latest Permian [\(Shen and Zhang, 2017](#page-16-24)). Small foraminifers, ostracods, brachiopods, bivalves, gastropods, ammonoids and conodonts seems to be less affected by this end-Permian mass extinction ([Song et al., 2013\)](#page-16-25). The shallow platform *Lithiotis* bivalves experienced extinction at the low latitudes of the southern margin of Tethys during the early Toarcian ([Trecalli et al., 2012;](#page-16-15) Sabatino et al., 2013). In deep-sea environment, the extinction rates are 30% in small benthic foraminifera [\(Takeda and Kaiho, 2007\)](#page-16-26) and up to 18 species appeared or disappeared in calcareous nannofossils across the PETM [\(Gibbs et al., 2006](#page-13-28)). Late Paleocene larger-foraminifera assemblages represented by *Ranikothalia* and *Miscellanea* were replaced by early Eocene taxa represented by *Nummulites* and *Alveolina* in shallow marine carbonate platform [\(Scheibner et al., 2005\)](#page-15-27).

(3) Altered biotic morphology and species abundance. The size of most marine biota decreased including small foraminifers, bivalves, gastropods, brachiopods, ostracods, conodonts and fish, while cyanobacteria boomed during the PTB [\(Wilson and MacArthur, 1967](#page-16-27)). The TOAE coincided with size reductions in microplankton and molluscan shells ([Morten and Twitchett, 2009\)](#page-15-28). *Apectodinium* bloomed during and shortly before the PETM and shows variations in abundance ([Sluijs and Brinkhuis, 2009](#page-16-28)). An unusual increase in the size of surface-water planktonic in contrast to deepwater benthic foraminifera which decreased in size during the PETM ([Kaiho et al., 2006](#page-14-30)).

6.1.2 Terrestrial biotic changes

(1) Migration from low to higher latitudes. Thermophilic and drought-tolerant plants increased and immigrated to the higher latitudes across the TOAE ([Deng et al., 2012\)](#page-13-25). The conifers and broad-leaved lineages were replaced by bean family in Bighorn basins of North America, which immigrated 650–1500 km from southern latitudes during the PETM [\(Wing et al., 2005\)](#page-16-21), accompanied by the disperse of new mammals and lizards ([McInerney and Wing,](#page-15-5) [2011](#page-15-5)).

(2) Extinctions or/and blooms were widespread in continental biota. The tropical rainforests represented by Gigantopteris flora disappeared in South China, ([Shen et al.,](#page-15-7) [2011;](#page-15-7) [Yu et al., 2015;](#page-17-10) [Bernardi et al., 2018](#page-12-7)), and *Dicynodon* that dominated the latest Permian was replaced by *Lystrosaurus* at the earliest Triassic [\(Ward et al., 2005](#page-16-29); [Gastaldo et](#page-13-29) [al., 2019\)](#page-13-29). The Champsosaurus and Plesiadapidae disappeared, while *Perissodactyla*, *Artiodactyla*, rodents and primates bloomed across the PETM [\(Gingerich, 2003](#page-13-30)).

6.2 Biotic changes caused by the PCHE

The effects of the PCHE on the terrestrial and shallowmarine biota are negligible, but were much more significant on the deep-sea biota. Biota sensitive to marine environmental changes often experienced extinction or/and turnover such as the nanoconid crisis across the OAE1a [\(Erba et al.,](#page-13-31) [2010\)](#page-13-31). Some *Rotalipora* genus experienced significant extinction, while some *Heterohelix* genus showed proliferation across the OAE2 [\(Leckie et al., 2002](#page-14-31); [Erba, 2004\)](#page-13-32). Radiolaria experienced significant extinction during both the OAE1a and OAE2, and the extinction rates were 41% and 58%, respectively [\(Erbacher et al., 1996\)](#page-13-33).

7. Driving mechanisms of the two types of hyperthermal events

7.1 Driving mechanisms of the NCHE

The NCHE are characterized by a negative carbon isotopic excursion, which resulted from volcanic eruption associated with the formation of continental LIPs [\(Figure 5](#page-10-0)a).

(1) Strong temporal association between LIP volcanism and NCHE and a causal link between the two phenomena are indicated by proxy evidence for volcanism in stratigraphic horizons recording the onset of environmental change. The PTB, TOAE and PETM were associated with end-Permian Siberian Traps, Pliensbachian-Toarcian Karoo-Ferrar Province, and North Atlantic Igneous Province, respectively [\(Wignall, 2001](#page-16-30); [McElwain et al., 2005](#page-15-29); [Bond and Wignall,](#page-12-8) [2014;](#page-12-8) [Burgess et al., 2015,](#page-13-34) [2017;](#page-13-35) [Ernst and Youbi, 2017](#page-13-36)). All these LIPs associated with the NCHE are of continental nature. That is the volcanism was predominantly subaerial

[Figure 5](#page-10-0) Driving mechanisms and environmental impacts of the NCHEs and PCHEs (modified from Robinson et al, 2017). (a) The NCHEs were driven by terrestrial LIPs causing the increase of terrestrial and nutrient input, the demise of shallow-water carbonate platform and lowered $O₂$ content; (b) the PCHEs were driven by submarine LIPs causing high surface productivity, partial demise of shallow-water carbonate platform, anoxia and deposition of black shale.

(Continental Flood Basalts).

(2) Sources of carbon released during the NCHE are highly uncertain and have been proposed to be related to methane hydrates, massive flood basalts, and sill emplacement. Magmatic emissions and thermogenic volatiles resulting from intruded volatile-rich sediments such as organic-rich shales, coals, and evaporates by LIP magmas may explain the observed negative carbon-isotope excursions [\(Gutjahr et](#page-13-37) [al., 2017](#page-13-37)).

(3) The eruption of continental LIPs resulted in distinct changes of temperature, environment and biota in both marine and continental environments. The effects on atmospheric and continental environments include global warming, increase of wildfires and droughts, intensified

continental weathering and terrigenous input, acid rain, ozone layer destruction ([Ernst and Youbi, 2017\)](#page-13-36). Impacts on non-marine environments include the demise of carbonate platforms, ocean acidification, oceanic anoxia, as well as the extinction, turnover and migration of marine biota [\(Rong and](#page-15-30) [Huang, 2014;](#page-15-30) [Song and Tong, 2016](#page-16-31); [Bond and Grasby, 2017;](#page-12-9) [Shen and Zhang, 2017;](#page-16-24) [Benton, 2018](#page-12-10)).

7.2 Driving mechanisms of the PCHE

The PCHE are characterized by a positive carbon isotopic excursion, which resulted from the submarine volcanic eruption associated with the formation of LIPs ([Figure 5b](#page-10-0)).

(1) Strong temporal association between LIP volcanism and PCHE and a causal link between the two phenomena are indicated by proxy evidence for volcanism in stratigraphic horizons recording the onset of environmental change. OAE1a was associated with the Ontong Java Plateau and OAE2 was associated with four LIPs including the Caribbean-Columbian Plateau, the High Arctic LIP, the Ontong-Java Plateau, and the Madagascan Province [\(Wignall, 2001;](#page-16-30) [Ernst and Youbi, 2017\)](#page-13-36). All these LIPs associated with the PCHE are Oceanic Plateau, where volcanism occurred predominantly below the sea surface.

(2) Sources of carbon released during the PCHE have been proposed to be related to massive flood basalts. Initial magmatic emission caused increase in atmospheric $pCO₂$, leading to the initial negative carbon-isotope excursion, global warming, intensification of continental weathering, and enhanced delivery of nutrients to oceans. This weathering-derived magmatic and emissions stimulation of ocean export productivity combined with decreased O_2 delivery to deep ocean as a result of solubility-temperature relationships to further reduce oxygen content in deep water. Abundant organic matter was buried as a result of oceanic anoxia, causing the observed positive carbon-isotope excursions.

(3) The effects of submarine LIPs eruption on environment include widespread anoxia, large-scale burial of organic matter, and partial demise of carbonate platform. The effects on the continental and shallow-marine biota are negligible, but were much more severe on the deep-sea biota such as the nanoconid crisis across the OAE1a ([Erba et al., 2010](#page-13-31)).

8. Implications for identifying driving mechanisms of other hyperthermal events

Based on previous studies of the above five hypothermal events, we infer that the Triassic-Jurassic boundary event (~201 Ma), which was associated with CAMP LIP, and the Cretaceous-Paleogene boundary event (~66 Ma), which was related to Deccan LIP, belong to the NCHEs and were likely caused by terrestrial LIPs. Similarly, the early Cretaceous Valanginian oceanic anoxic event $(\sim 132$ Ma), which was associated with Parana-Etendeka and Bunbury-Comei LIPs (Appendix Table S1, [https://link.springer.com\)](https://springerlink.bibliotecabuap.elogim.com), belongs to the PCHE and was likely caused by marine LIPs. Well preserved magmatic records of these hyperthermal events allow us to link the LIP volcanism with onset of environmental change. For details of these hyperthermal events, readers are referred to the related literature. On the other hand, as we know, LIPs prior to the late Jurassic are not well preserved as a result of plate subduction. Most of these LIPs disappeared or/and are preserved sporadically. Thus, it has been very difficult to link the LIP volcanism and the major environmental changes before the Late Jurassic. The findings of this study allow us to deduce driving mechanisms of major geological events if the type of these events can be classified based on the sedimentation, environmental, carbon isotopic, and biotic records. Three examples are given below to show the applications of the findings of this study.

Case study 1. The Carnian Pluvial Event (~234–232 Ma, CPE). The CPE is characterized by $\sim 6-8$ °C warming and a negative carbon excursion of −4.2‰ in South China and Oman [\(Dal Corso et al., 2012](#page-13-38); Sun et al., 2019). The effects on environment include a distinct shift to more humid conditions, an increase in precipitation and terrigenous input, and the temporary demise of carbonate platform [\(Simms and](#page-16-32) [Ruffell, 1989](#page-16-32); [Jin et al., 2015\)](#page-14-32). Terrestrial plants and shallow-marine invertebrates experienced varying extinction or/ and replacement. For example, high extinction rates of ammonites and crinoids, and a dramatic increase in conifers are recorded. The first known dinosaurs and calcareous planktons occurred shortly after the CPE. Thus, we infer that the CPE belongs to the NCHE and was driven by continental LIPs. The CPE has been linked to Wrangellia flood basalts which are exposed in Alaska, Yukon and British Columbia. The Wrangellia flood basalt overlies black shales with middle to Ladinian *Daonella* and is overlain by middle to late Norian shallow marine carbonates. Thus, the eruptions of the Wrangellia flood basalts occurred in a submarine environment ([Stanley, 1989\)](#page-16-33), supporting our inference mentioned above.

Case study 2. The early mid-Cambrian Event (~510 Ma). This event is characterized by a negative carbon isotopic excursion, a positive sulfur isotopic excursion, a sea level rise [\(Montañez et al., 2000;](#page-15-31) [Hough et al., 2006\)](#page-14-33), widespread anoxic conditions ([Zhuravlev and Wood, 1996](#page-17-11)), and a significant biotic extinction (Sepkoski, 1996). Thus, we infer that this event belongs to the NCHE and was related to continental LIPs. Recently, the eruptions of Kalkarindji LIP in western Australia was dated at 510.7±0.6 Ma [\(Jourdan et](#page-14-34) [al., 2014](#page-14-34)), and coincided with the onset of the early mid-Cambrian Event, suggesting that a causal relationship might exist. The eruption of Kalkarindji LIP occurred in a continental environment [\(Jourdan et al., 2014\)](#page-14-34), supporting the

mentioned inference.

Case study 3. The Frasnian-Famennian Event (~372 Ma, F/F). The F/F event is characterized by a \sim 9 \degree C warming, a 3.0‰ positive carbon excursion ([Joachimski et al., 2002,](#page-14-35) [2009](#page-14-36); [Xu et al., 2012](#page-17-12)), anoxic conditions, and widespread deposition of black shale ([Murphy et al., 2000](#page-15-32)). The F/F event is one of the five largest biotic crises of the Phanerozoic and its impacts on low latitudes and shallow marine biota were much more severe than that on high latitudes and continental biota. Although multiple episodes of mercury enrichment are reported to indicate volcanic eruption (Racki et al., 2018), no large-scale volcanic rocks are observed across the F/F event. According to its sedimentation, environmental, carbon isotopic, and biotic characteristics, we infer that the F/F event belongs to the PCHE and was likely caused by submarine LIPs. Further studies of the inferred link is required.

9. Conclusions and perspective

This study provides systematic review and summary of sedimentation, environmental, carbon isotopic, and biotic characteristics of five major hyperthermal events in the Mesozoic and Cenozoic and obtains interesting quantitative measures of these hyperthermal events, which provide an important basis for understanding global warming and constraining the driving mechanisms of the hyperthermal events. The main conclusions are as follows:

(1) The hyperthermal events are classified into two types based on carbon isotope profiles of these event: the negative carbon isotopic excursion hyperthermal Event, NCHE, and the positive carbon isotopic excursion hyperthermal event, PCHE. The NCHE include the PTB, TOAE and PETM, and was caused by continental LIPs. The PCHE include OAE1a and OAE2, was driven by submarine LIPs.

(2) The negative CIE of the NCHE was related to largescale injection of light carbo into the ocean-atmosphere systems. Their effects on environment include global warming, increase of wildfires and droughts, intensified continental weathering and terrigenous input, the demise of carbonate platforms, ocean acidification and oceanic anoxia, and the extinction, turnover and migration of marine biota.

(3) The PCHE resulted from large-scale burial of organic matter. The effects on environment include widespread anoxia, deposition of black shale and partial demise of carbonate platform. The effects on the continental and shallowmarine biota were negligible, but were much more severe on the deep-sea biota.

The past can be the key to the future. Studying deep-time hyperthermal events provides an important reference for understanding the current global warming. In 2017, a special topic "Cretaceous greenhouse paleoclimate and sea-level changes" was published in *Science China Earth Sciences* [\(Hu et al., 2017\)](#page-14-37). This special issue focused on the Cretaceous greenhouse climate and sea level change. In 2018, the Royal Society of UK organized a seminar "Hyperthermals: rapid and extreme global warming in our geological past" with 11 thematic articles ([Foster et al., 2018\)](#page-13-0). All these studies focused on greenhouse climate and hyperthermal events. Our understanding of past hyperthermal events is impeded by incomplete geological records and imprecise or inaccurate climate-environment parameters, but it can be improved by collecting more accurate and reliable data. Understanding past climate, especially hyperthermal events, has important theoretical and practical significance for understanding both the past and the future [\(Wang et al., 2017](#page-16-34)).

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