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Regioselective and asymmetric allylic alkylation of vinyl epoxides for the construction of allylic alcohols *via* synergistic catalysis

Min Chen[†], Longqing Yang[†], Yuzhen Li, Yinhe Qu, Guihua Pan, Xiaoming Feng^{*} & Xiaohua Liu^{*}

Key Laboratory of Green Chemistry & Technology, Ministry of Education, College of Chemistry, Sichuan University, Chengdu 610064, China

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A highly efficient asymmetric allylic alkylation of cyclic and acyclic carbon nucleophiles with vinyl epoxides has been developed, which exhibits good functional group compatibility, high atomic and step economy. This protocol utilizes a strategy of synergistic catalysis with a chiral N,N'-dioxide/Ni^{II} complex and an achiral Pd⁰ catalyst, generating a series of multi-substituted allylic alcohols with a quaternary carbon stereocenter in high yield and excellent regio-, Z/E- and enantioselectivity under mild conditions. Further transformations of the product demonstrate the potential utility of this protocol in the synthesis of allyl alcohol derivatives and natural product analogues. Experimental studies revealed that the N,N'-dioxide/metal complexes play an important role in controlling the Z/E- and enantioselectivity. The density functional theory (DFT) calculations further demonstrated that multiple C–H··· π interactions between the aromatic rings of the two substrates and the amide moiety in the ligand stabilized the dominant transition state.

asymmetric allylic alkylation, synergistic catalysis, vinyl epoxides, allyl alcohol, multiple weak interactions

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1 Introduction

Transition-metal catalyzed asymmetric allylic alkylation (AAA) reaction (Tsuji-Trost allylation) has been developed into an efficient method for the construction of structurally diverse molecules bearing allylic substitutions [1]. The allylation reagents, including allyl halides, allyl alcohols, allyl carbonates, allyl carboxylates, and propene derivatives *via* allylic C–H oxidative functionalization as well, have been well studied with excellent achievements [2]. 1,3-Diene monoepoxide performs well atomic economy and reactivity as an allyl reagent [3], for it contains both double bond and strained oxirane, and the related zwitterionic π -allyl palladium intermediates [4] with various configuration are easily

generated to act as versatile synthons in organic synthesis (Scheme 1a). In addition to acting as dipoles in cycloaddition reactions to furnish various heterocycles [5], vinyl epoxides undergo the AAA reaction to provide a potentially powerful approach to deliver allylic alcohols or homoallylic alcohols [6] (Scheme 1a). But if 2-substituted vinyl epoxides are involved, the capture by nucleophiles seems to be more complicated, meeting the issues as branched or linear-selectivity (Scheme 1a, path (i) *vs.* paths (ii) and (iii)), *Z*- or *E*-selectivity (Scheme 1a, path (ii) *vs.* path (iii)), as well as enantioselectivity due to π - σ - π interconversion of π -allyl palladium species.

Previously, Trost's group [7] initiated the dynamic kinetic AAA of isoprene monoepoxide with β -ketoesters to perform the branched alkylation, giving the corresponding tetra-hydrofurans as the major products (Scheme 1b, path (i)), and the regioselectivity is rationalized arising from the preferred

[†]These authors contributed equally to this work.

^{*}Corresponding authors (email: xmfeng@scu.edu.cn; liuxh@scu.edu.cn)

(a) Diverse regio- and stereoselectivity for Pd-AAA reactions of vinyl epoxides



Scheme 1 The asymmetric allylic alkylation reactions of vinyl epoxides (color online).

alkylation of the DPPBA ligand-matched ionization of one enantiomer of isoprene monoepoxide. Taking advantage of Trost's Pd-AAA reaction, Xie and coworkers [8] realized the total synthesis of hyperolactone C and (-)-biyouyanagin A (Scheme 1b, path (ii)). Lundgren's group [9] accomplished the substitution of isoprene monoxide with arylacetates (Scheme 1b, path (iii)), and Yu and Zhang *et al.* [10] reported a decarboxylative allylic benzylation cocatalyzed by Pd/ photoredox [Ir] to afford homoallylic alcohols (Scheme 1b, path (iv)). In most cases, branched homoallylic alcohols were furnished as the major products, and a linear-selective asymmetric allylic alkylation was reported by Luo's group [11] via a synergistic amine/palladium catalysis, but the strategy seemed to be suitable for alkyl β-ketocarbonyls, and one example of 2-subsitiuted vinyl epoxide was explored to give moderate Z/E-selectivity (Scheme 1b, path (v)). Though 1,4-addition to 1,3-diene epoxides has been known [11,12], the control of Z/E- and enantioselectivity of the reaction occurring at the terminal position is difficult with chiral palladium catalyst, due to several factors, such as the matched or unmatched interactions of racemic epoxide with ligand and the nucleophile, distant chiral environment of π -allyl palladium intermediate to the prochiral nucleophile, and fast interconversion of the intermediate species.

Based on our recent work on synergistic bimetallic catalysis in asymmetric catalysis [13], we found that chiral N,N'dioxide/metal complexes have good compatibility with transition metal catalysts such as Au, Pd, Ir, and Rh. The chiral Lewis acids that differentiate the enantiotopic faces of the nucleophile, merging with the achiral palladium that promotes the formation of the zwitterionic intermediates, provides a new opportunity for the linear addition of various prochiral carbon nucleophiles to 1,3-diene monoepoxides (Scheme 1c). Herein, we report the combination of a chiral N,N'-dioxide/nickel complex and an achiral palladium catalyst which enables highly linear-, Z-, and enantioselective allylic alkylation of a series of cyclic and acyclic nucleophiles with vinyl epoxides. A wide variety of multi-substituted allylic alcohols bearing a quaternary carbon stereocenter were obtained with excellent activity and stereoselectivity (Scheme 1c). Density functional theory (DFT) calculations disclosed the origin of Z/E- and enantioselectivity of the synergistic catalysis.

2 Experimental

2.1 General procedure for the asymmetric reaction of vinyl epoxides and cyclic β-ketoamides/ketoesters

An oven-dried tube was charged with Ni(OTf)₂/L₃-PicP (1:1, 10 mol%), A (0.10 mmol) under N₂ atmosphere. CH₂Cl₂ (1.0 mL) was added and the mixture was stirred at 35 °C for 30 min. Subsequently, Pd(PPh₃)₄ (1–2 mol%) and B (0.15 mmol) were added. The reaction was stirred at 35 °C and monitored by thin-layer chromatography (TLC). After completion, the reaction mixture was directly subjected to flash column chromatography on silica gel and eluted with petroleum ether/ethyl acetate to afford the corresponding product C.

2.2 General procedure for the asymmetric reaction of vinyl epoxides and acyclic β-ketoamides

An oven-dried tube was charged with Ni(OTf)₂/L₃-PicH (1:1, 10 mol%), **D1–D11** (0.10 mmol) under N₂ atmosphere. CH₂Cl₂ (1.0 mL) was added and the mixture was stirred at 35 °C for 30 min. Subsequently, Pd(PPh₃)₄ (2 mol%), **B** (0.15 mmol) were added. The reaction was stirred at 35 °C and monitored by TLC. After completion, the reaction mixture was directly subjected to column chromatography on silica gel and eluted with petroleum ether/ethyl acetate to afford the corresponding product **E1–E11**.

2.3 General procedure for the asymmetric reaction of vinyl epoxides and aza-aryl acetamides/acetates

An oven-dried tube was charged with Ni(OTf)₂/L₃-PiPr₂ (1:1, 10 mol%), **D12–D19** (0.10 mmol) under N₂ atmosphere. CH₂Cl₂ (1.0 mL) was added and the mixture was stirred at 35 °C for 30 min. Subsequently, Pd(PPh₃)₄ (1–2 mol%) and **B** (0.15 mmol) were added. The reaction was stirred at 35 °C and monitored by TLC. After completion, the reaction mixture was directly subjected to column chromatography on silica gel and eluted with petroleum ether/ethyl acetate to afford the corresponding products **E12–E19**.

3 Results and discussion

3.1 Optimization of the reaction conditions

In our initial investigation, we chose cyclic β -ketoamide A1 and vinyl epoxide B1 as the model substrates to optimize the reaction conditions (Table 1, see the Supporting Information online for details). The classic catalyst for allylic alkylation reaction as the complex of $Pd_2(dba)_3$ and Trost ligand L1 promoted the reaction efficiently, and the linear product C1 was afforded in high yield but with poor Z/E ratio and unsatisfactory enantioselectivity (entry 1). The catalyst of $[Ir(cod)Cl]_2/(S_a,S,S)$ -L2 gave only trace amount of product (entry 2). In comparison, the results in entries 3-5 demonstrated that the combination of achiral Pd₂(dba)₃/PPh₃ with chiral nickel complex of N.N'-dioxides dramatically affected both Z/E- and enantioselectivity, especially with the ligand L_3 -PiPr₂ derived from (S)-pipecolic acid, and up to 94% ee was achieved for the major Z-isomer (Z:E = 7.1:1; entry 5). Nevertheless, the dual-metallic catalysis led to reduced reactivities (see the Supporting Information online for details). The use of other Lewis acids, such as Co(OTf)₂, Ni(OTf)₂, and Zn(OTf)₂, afforded slightly increased yield, high enantioselectivity, and varied Z/E ratio, but Cu(OTf)₂ seemed less stereoselective (entry 9 vs. entries 6-8). In view of the high activity of Pd(PPh₃)₄ itself for racemic transformation (entry 10), we adjusted the combination by using $Pd(PPh_3)_4$ (1 mol%) to promote the generation of π -allyl palladium intermediate in order to increase the yield. As expected, a significant increase in yield (97% yield) with high Z/E ratio (>19:1 Z/E) was realized using Pd(PPh₃)₄ (1 mol%), while the enantioselectivity slightly decreased (81% ee; entry 11). In order to further improve the enantioselectivity, careful screening of the N,N'-dioxide ligands derived from (S)-pipecolic acid was carried out. It was found that L₃-PicP was superior to other ligands, delivering the desired C1 in 99% yield, >19:1 Z/E, 96% ee (Table 1, entry 12). Further increasing the steric hindrance of aliphatic amide moiety in the ligands, such as L₃-PiAd, led to a decrease in enantioselectivity and yield (entry 13). Attempt to reduce the amount of chiral catalyst resulted in slightly dropped Z/E- and enantioselectivity (Table 1, entry 14).

3.2 Substrate scope of cyclic β-ketoamides/ketoesters and vinyl epoxides

Under the optimized reaction conditions (Table 1, entry 12), the scope of cyclic β -ketoamides and β -ketoesters A was investigated firstly (Table 2). Various substituents at different positions on the inden-1-one-based B-ketoamides were tolerated to produce the desired linear-products (C2-C20) with good to excellent yields and enantioselectivities (82%-99% yields, 93%–98% ee). In general, the Z/E-selectivity for 4-, 7-substituted ones was higher (18:1->19:1) than 5-, 6-substituted ones (7:1–19:1), the reduced Z/E-ratio was partly due to the increased amount of Pd(PPh₃)₄ (C9, C14-C17). Particularly, introducing functional groups, such as NO₂, CN, CF₃ had no obvious influence on enantioselectivity. Other cyclic ketoamides, including cyclopentanone-, 3,4-dihydronaphthalen-1(2H)-one-, and benzo[7]annulen-5-onebased ones could also participate in the allylation well, albeit the enantioselectivity dropped a little with larger ring (C21-C23). Furthermore, β -ketoamides bearing smaller or larger steric substituents on the amide moiety could also proceed smoothly to afford the corresponding products C24-C26 in 85%-99% yields with 93%-97% ee. Besides, cyclic β-ketoesters were also amenable to the current reaction and exhibited excellent enantioselectivities (C27-C32, 95%-98% ee) in the presence of Ni(OTf)₂/L₃-PicH catalyst instead of Ni(OTf)₂/L₃-PicP (see the Supporting Information online for details). The Z/E configuration of the products is confirmed by both X-ray single crystal analysis and nuclear magnetic resonance (NMR) analysis. The absolute configuration of C26 was determined to be (Z,S) based on X-ray crystallographic analysis [14a]. The configuration of other products was assigned by comparing their CD spectra with that of C26 (see the Supporting Information online for details).

The alkylation of 2-aryl-2-vinyloxiranes **B** was explored with substitution at the aryl group, and the reactions performed well in terms of yield and enantioselectivity (**C33**– **C39**), while several products had a decreased Z/E ratio. The simple 2-vinyloxirane was amenable to this reaction, furnishing the corresponding product **C40** in 99 % yield, 1:2.2 Z/E ratio and 93%/94% ee. Methyl-substituted vinyl epoxide **B10** afforded the product **C41–C42** in good yield (93%–99% yields) and ee value (91%–98% ee). Noteworthily, high enantioselectivities were given for two Z/E isomers in the cases of low Z/E-selectivity, which showed that Z/E-selectivity was determined by both substrate and catalyst, and the enantioselectivity is under the control of the chiral Lewis acid. Overall, the presence of aromatic rings in both substrates is crucial for the high Z/E-selectivity of this reaction, and the

Table 1 Optimization of the reaction conditions ^{a)}



Entry	Catalyst	Yield ^{b)} (%)	$Z/E^{c)}$	ee ^{d)} (%)
1	Pd ₂ (dba) ₃ /L1 (1:2, 4 mol%)	93	1:1	22/70
2	[Ir(cod)Cl] ₂ /L2 (1:2, 4 mol%)	Trace	-	_
3	Pd ₂ (dba) ₃ /PPh ₃ (1:2, 2.5 mol%); Ni(ClO ₄) ₂ ·6H ₂ O/L ₃ -PrPr ₂	29	8.3:1	65
4	Pd ₂ (dba) ₃ /PPh ₃ (1:2, 2.5 mol%); Ni(ClO ₄) ₂ ·6H ₂ O/L ₃ -RaPr ₂	63	>19:1	18
5	Pd ₂ (dba) ₃ /PPh ₃ (1:2, 2.5 mol%); Ni(ClO ₄) ₂ ·6H ₂ O/L ₃ -PiPr ₂	27	7.1:1	94
6	Pd ₂ (dba) ₃ /PPh ₃ (1:2, 2.5 mol%); Co(OTf) ₂ /L ₃ -PiPr ₂	52	13.6:1	96
7	Pd ₂ (dba) ₃ /PPh ₃ (1:2, 2.5 mol%); Ni(OTf) ₂ /L ₃ -PiPr ₂	51	12.7:1	97
8	$Pd_2(dba)_3/PPh_3 \ (1{:}2,\ 2{.}5 \ mol\%); \ Zn(OTf)_2/L_3\text{-}PiPr_2$	45	7.4:1	94
9	$Pd_2(dba)_3/PPh_3$ (1:2, 2.5 mol%); $Cu(OTf)_2/L_3$ -PiPr ₂	47	4.1:1	85
10	Pd(PPh ₃) ₄ (1 mol%)	99	2:1	0
11	Pd(PPh ₃) ₄ (1 mol%); Ni(OTf) ₂ /L ₃ -PiPr ₂	97	>19:1	81
12	Pd(PPh ₃) ₄ (1 mol%); Ni(OTf) ₂ /L ₃ -PicP	99	>19:1	96
13	Pd(PPh ₃) ₄ (1 mol%); Ni(OTf) ₂ /L ₃ -PiAd	99	>19:1	87
14 ^{e)}	Pd(PPh ₃) ₄ (1 mol%); Ni(OTf) ₂ /L ₃ -PicP	99	15:1	96

a) Unless otherwise noted, all reactions were carried out with metal salt/Ligand (1:1, 10 mol%), PdL, A1 (0.10 mmol) and B1 (0.15 mmol) in CH_2CI_2 (1.0 mL) at 35 °C for 15–24 h. b) Isolated yield. c) Determined by ¹H NMR. d) Determined by supercritical fluid chromatography (SFC) on a chiral stationary phase. e) Ni(OTf)₂/L₃-PicP (5 mol%).

Z/E ratio is significantly decreased when there are electronwithdrawing groups in the aromatic rings.

3.3 Substrate scope of acyclic β-ketoamides and azaaryl acetamides/acetates

Subsequently, we turned our attention to investigate the compatibility of acyclic ketoamide substrates **D** (Table 3). After further condition optimization, various 2-fluoro-3-oxo-3-arylpropanamides were suitable as well in the presence of Ni(OTf)₂/**L**₃-**PicH** as the chiral catalyst (see the Supporting Information online for details). High Z/E-selectivity could be obtained based on the excellent isolated yield of Z-isomer *via* column chromatography separation, and enantioselectivities were also excellent for **E1–E8** (85%–95.5% ee). Moreover, 2-furanylcarbonyl and isobutyryl substitutions were also tolerable to afford the product **E9** with 98% yield, 99% ee, and **E10** in 87% yield and 82% ee, respectively. The reaction

of 2-methyl vinyl epoxide performed smoothly to give Z/Emixture product **E11** in 87% ee. Beyond that, a series of azaaryl acetamides/acetates were also examined. It was found that different substituents on the aza-aryl ring were amenable to the present reaction, delivering the desired products **E12– E19** in excellent yields and enantioselectivities (85%–99% yields, 95%–99% ee). The absolute configurations of **E3** and **E12** were determined to be (*S*,*Z*) and (*R*,*Z*) based on X-ray crystallographic analysis [14b,14c], respectively.

3.4 Scale-up synthesis and further transformations of the products

To evaluate the synthetic potential of this protocol, the scaleup synthesis and some transformations of the products were carried out. As shown in Scheme 2a, vinyl epoxide **B1** can react with cyclic β -ketoamide **A26** (2.5 mmol) or acyclic azaaryl acetamide **D12** (3 mmol) under slightly modified





a) Unless otherwise noted, all reactions were carried out with Ni(OTf)₂/L₃-PicP (1:1, 10 mol%), Pd(PPh₃)₄ (1 mol%), A (0.10 mmol), and B (0.15 mmol) in CH₂Cl₂ (1.0 mL) at 35 °C for 24 h. b) Pd(PPh₃)₄ (2 mol%). c) L₃-PiBn (10 mol%). d) L₃-PicH (10 mol%). e) L₃-PiMe₂ (10 mol%). f) Pd(PPh₃)₄ (5 mol%).

optimal conditions, providing the corresponding product C26 or E12 with nearly maintained yield and enantioselec-

tivity. Several derivatizations of the allyl alcohol product C26 were conducted (Scheme 2b). Firstly, Dess-Martin

Table 3 Substrate scope of acyclic β -ketoamides and aza-aryl acet-amides/acetates a)



a) Unless otherwise noted, all reactions were carried out with Ni(OTf)₂/ L₃-PicH (1:1, 10 mol%), Pd(PPh₃)₄ (1 mol%), B (0.15 mmol), and D (0.10 mmol) in CH₂Cl₂ (1.0 mL) at 35 °C for 24 h. Isolated yield of Zisomer (without Z/E ratio) or Z/E-mixture (with Z/E ratio). b) Pd(PPh₃)₄ (2 mol%). c) Pd(PPh₃)₄ (5 mol%). d) L₃-PiPr₂ (10 mol%). e) L₃-PiMe₂ (10 mol%). f) ent-L₃-PiPr₂ (10 mol%).

oxidation of C26 generated α , β -unsaturated aldehyde F1 in nearly equivalent yield. It could also be converted into an allylic imide F2 in 79% yield *via* Mitsunobu reaction. Treatment with Pd/C and H₂ led to the formation of F3 in 97% yield and 1:1 dr. Furthermore, epoxidation of C26 with *m*-CPBA afforded F4 in 92% yield and 1:1 dr. Surprisingly, when treating the product E12 with *m*-CPBA, it was found



Scheme 2 (a–c) Scale-up synthesis and further transformations of the products (color online).

that not only epoxidation product G1 but also a δ -lactone G2 bearing a hydroxyl at C4 and a hydroxymethyl at C5 were produced (Scheme 2c). The skeleton structure of G2 was similar to that of natural products as tanikolide and malyngolide [15]. And with increasing temperature and longer reaction time, G1 could be completely converted to G2 but the diastereoselectivity decreased. All these transformations could proceed smoothly with nearly maintained enantioselectivity.

3.5 Mechanism consideration and DFT calculations

Based on our previous research on the origin of stereoselectivity over dicarbonyl compounds by N,N'-dioxide/ metal complex [16] and related work about palladium-catalyzed allylic alkylation reaction [13h], a possible synergistic catalytic mode is rationalized (Scheme 1c). On one hand, the chiral N,N'-dioxide and the bidentate nucleophilic substrate coordinates to Ni(OTf)₂ to form a catalyst-bonded enolate intermediate **INT1**. On the other hand, PdL⁰_n binds to the double bond of vinyl epoxide to produce active Z/E- π -allylpalladium intermediates. There exists a π - σ - π interconversion process leading to variable Z/E-selectivity. Collectively, nucleophilic enolate intermediate **INT1** attacks the π -allylpalladium intermediate to give the desired product.

To understand the origin of regio-, Z/E- and enantioselectivity in the process, we carried out DFT calculations using chiral N,N'-dioxide L_3 -PicP in the formation of the product C1 at the solvation model density (SMD) [17a] (dichloromethane) M06L [17b]/def2-tzvpp [17c]//M06L/ def2-svp [17c], SDD (Ni, Pd) [17d,17e] level (Figure 1). For the purpose of enhancing convenience and saving computing costs, Pd(PPh₃)₄ has been substituted with Pd(PCH₃)₄ in this study. An empirical van der Waals correction on DFT (DFT-D3) was adopted [17f]. All computations were performed using the Gaussian 09 software [18].

The results indicated that the formation of (S,Z)-C1 is kinetically and thermodynamically more favorable than other configurations due to the lower free energy of the transition state (S,Z)-TS and the intermediate (S,Z)-INT2. Analysis of the weak interactions [19] in (S,Z)-TS and (S,E)-TS show that there are multiple stabilizing interactions in (S,Z)-TS, including the C-H $\cdots\pi$ interaction between the benzene ring

of vinyl epoxide and C–H bond on cyclopentyl of L_3 -PicP, the O–H··· π interaction between the hydroxyl group of the π allylpalladium intermediate and the fused benzene ring of A1, which is in agreement with the experimental findings that the presence of aromatic rings in both substrates is crucial for high Z/E-selectivity (C21 and C40), but aromatic ring bearing electron-withdrawing group can weaken these stabilizing interactions resulting in a decreased Z/E-selectivity (C15-C17, C33, C36, and C38). Obviously, in (S, E)-TS, there are more steric repulsions and only one stabilizing C–H··· π interaction (see the Supporting Information online for details).

With related to the enantioselectivity, we analysed the attack of the Z- π -allylpalladium intermediate on the *Re* and *Si* faces of the α -position of cyclic β -ketoamide **A1**, resulting in (*S*,*Z*)-**INT2** and (*R*,*Z*)-**INT2**, respectively. The energy of the corresponding transition state (*S*,*Z*)-**TS** via *Re*-face attack is much lower than that of (*R*,*Z*)-**TS**, indicating that the product is almost exclusively *S*-configuration. Analysis of the weak interactions (see the Supporting Information online for details) vividly explains this process: the lack of C–H… π interaction and partial steric hindrance make the energy higher, forming a non-dominant reaction pathway. The *E*- π -allyl-



Figure 1 Calculated relative Gibbs free energy of regio- and asymmetric allylic alkylation of A1 and B1 with SMD (dichloromethane), M06L/def2-tzvpp// M06L/def2-svp; SDD (Co) level of theory. The free energy barriers (ΔG^{\ddagger}) are given in kcal/mol (color online).

palladium intermediate on the *Re* and *Si* faces of the α -position of cyclic β -ketoamide **A1** also yields similar results. In addition, the reaction process of 2-methyl substituted vinyl epoxide **B10** was calculated as well (see the Supporting Information online for details). The relatively lower energy barrier difference is also consistent with the poor *Z/E* ratio in the experimental results.

4 Conclusions

In conclusions, we have developed a highly efficient asymmetric allylic alkylation of a series of cyclic and acyclic carbon nucleophiles with vinyl epoxides. Based on the combination of the N,N'-dioxide/Ni^{II} complex with Pd⁰ catalyst, the corresponding multisubstituted allylic alcohols bearing a quaternary carbon stereocenter were obtained in high yield and excellent regio-, Z/E- and enantioselectivity. The scale-up synthesis and further transformations of the products showed that this protocol has potential utility in the synthesis of allyl alcohol derivatives and natural product analogues. DFT calculations showed that there are multiple stabilizing C–H··· π and O–H··· π interactions in the dominant transition state (S,Z)-TS, which further proved that Z/Eselectivity was determined by both substrate and catalyst, and the enantioselectivity was under the control of the N,N'dioxide/metal complexes. The method represents a useful compensative route for asymmetric allylic alkylation and is expected to be used for other asymmetric reactions.

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