Adaptive Asymptotic Tracking Control for Stochastic Nonlinear Systems with Unknown Backlash-Like Hysteresis^{*}

WANG Le · SUN Wei · WU Yuqiang

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Abstract In this study, an adaptive asymptotic tracking control problem is considered for stochastic nonlinear systems with unknown backlash-like hysteresis. By utilizing backstepping technology and bound estimation approach, an adaptive asymptotic tracking control scheme is designed, where fuzzy systems are applied to approximate unknown function terms, the effect of hysteresis and stochastic disturbances is compensated appropriately. The proposed scheme ensures that the tracking error can asymptotically converge to zero in probability and all signals of the closed-loop system are bounded almost surely. Finally, the effectiveness of the control scheme is verified by giving a simulation example.

Keywords Adaptive fuzzy control, asymptotic tracking, stochastic nonlinear systems, unknown backlash-like hysteresis.

1 Introduction

Since nonlinear systems have a wide range of engineering application backgrounds, the research on the control of nonlinear systems has never stopped. In recent years, the control methods for nonlinear systems have become more and more extensive, such as backstepping $control^{[1-3]}$, fuzzy $control^{[4-6]}$, and sliding $control^{[7-9]}$. The adaptive backstepping control is widely used in controller design, especially for strict-feedback nonlinear systems. Many significant results have been obtained^[10-13]. Uncertain switched nonlinear systems with nonstrictfeedback form in [12] are studied by employing the backstepping technique, and the proposed

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WANG Le • SUN Wei (Corresponding author)

School of Mathematics Science, Liaocheng University, Liaocheng 252000, China.

Email: wangle9909@163.com; sunwei@lcu.edu.cn.

WU Yuqiang

School of Engineering, Qufu Normal University, Rizhao 276826, China. Email: wyq@qfnu.edu.cn.

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control method achieves asymptotic tracking performance. Nowadays, adaptive fuzzy or neural approaches become the very effective tools to deal with the problems in nonlinear systems, where they are used to approximate or estimate unknown function terms in many researches^[14–17]. Chen, et al.^[15] proposed a model-based adaptive event-triggered control scheme by using neural networks, which effectively avoids Zeno behavior. In [17], adaptive fuzzy controllers are designed by employing backstepping technique, which guarantees the tracking performance under the constraint of prescribed tracking performance functions.

With the development of research, the problems caused by stochastic disturbances have got more and more attention because they often bring a lot of negative effect, such as affecting systems performance and causing systems instability. Therefore, how to deal with the effect of stochastic disturbances has always been the challenging work. The application of backstepping technology in stochastic systems has got many successful results, such as [18–21]. To the specific, Wu, et al.^[18] first studied the stochastic nonlinear systems with Markov switching and design an adaptive backstepping controller. Then, for semi-strict nonlinear systems, Wang, et al.^[21] proposed a disturbance observer based-backstepping sliding mode control strategy. In addition, by combining backstepping strategy and adaptive fuzzy or neural network control scheme, many excellent results have been achieved for stochastic nonlinear systems $^{[22-26]}$. In [23], an asymptotic tracking control scheme is presented for stochastic systems by proposing a novel gain suppressing inequality approach and employing fuzzy logic systems. Sui, et al.^[24] studied the problem of finite-time control for nontriangular stochastic nonlinear systems. An adaptive output-feedback neural network control strategy is proposed for a class of stochastic nonlinear time-varying delay systems with unknown control directions in [25]. These studies have brought more solutions to the problems existing in stochastic systems.

As a common phenomenon, hysteresis exists in many physical systems, for example, electric hysteresis and elastic hysteresis. This phenomenon always brings lots of troubles in actual systems, which can cause the control performance of the systems to decrease. Therefore, the study of hysteresis has been a hot topic. For the past few decades, plenty of progress has been made in the problem of hysteresis. Some researchers propose several mathematical models, such as backlash-like model, Bouc-Wen model, and Prandtl-Ishlinskii model. With the help of these models, a lot of outgoing results have been obtained^[27–29]. Besides, backstepping method, adaptive fuzzy or neural approaches and command filter technology have been widely used to deal with this type of problem in [30–32]. In [30], the issue of multi-input and multi-output (MIMO) nonlinear systems with actuator hysteresis and full-state constraints is solved by a neural network control approach. The authors in [31] investigated the problem of compensating for rate-dependent hysteresis nonlinearity in nonlinear uncertain systems. The study of MIMO nonlinear systems with hysteresis in [32] is considered by means of fuzzy approach, in which all the signals of the closed-loop system are semi-globally uniformly ultimately bounded.

It is noteworthy that the phenomenon of hysteresis exists not only in determinate nonlinear systems but also in stochastic systems, which makes the research more challenging. Hence, some scholars have designed some control schemes to solve the issue of hysteresis in stochastic systems^[33, 34], while the proposed control schemes can't achieve asymptotic tracking. To our

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knowledge, there are few approaches to reach the goals. Based on the above observations, this study considers an adaptive asymptotic tracking controller for strict-feedback stochastic nonlinear systems with unknown backlash-like hysteresis. The following is a summary of the main contributions of this study:

1) Unlike the determinate nonlinear systems in [30–32], this study considers the phenomenon of backlash-like hysteresis in stochastic nonlinear systems. An adaptive asymptotic tracking controller is designed for a class of systems in strict-feedback form. In design progress, we just need to know the signs of control coefficients functions, which is inspired by a bound estimate method in [35]. Different from [35], the number of adaptive laws is less and the calculation burden is greatly reduced.

2) For the existing researches^[33, 34] which consider the issue of hysteresis in stochastic systems, the tracking error can converge to a small neighborhood of the origin. In this study, the tracking error can asymptotically converge to zero based on the proposed control strategy, which achieves better tracking performance.

2 System Description and Preliminaries

Consider the strict-feedback stochastic nonlinear system with unknown backlash-like hysteresis as follows:

$$\begin{cases} x_i = (g_i(\overline{x}_i)x_{i+1} + f_i(\overline{x}_i))t + h_i(\overline{x}_i)\omega, & i = 1, 2, \cdots, n-1, \\ x_n = (g_n(x)u(\nu) + f_n(x))t + h_n(x)\omega, \\ y = x_1, \end{cases}$$
(1)

where $\overline{x}_i = [x_1, x_2, \dots, x_i]^T \in \mathbb{R}^i, i = 1, 2, \dots, n, x = \overline{x}_n \in \mathbb{R}^n$ are the system state vectors, and $y \in \mathbb{R}$ is the system output. ω is an *r*-dimensional independent standard Wiener process defined on a complete probability space $\{\Omega, \mathcal{F}, \{\mathcal{F}_t\}_{t\geq 0}, P\}$, where Ω is a sample space, \mathcal{F} is a σ -field, $\{\mathcal{F}_t\}_{t\geq 0}$ is a filtration, and P is the probability measure. $g_i(\overline{x}_i), f_i(\overline{x}_i)$ and $h_i(\overline{x}_i)$ are unknown smooth locally Lipschitz nonlinear functions. $u \in \mathbb{R}$ as the output of the unknown backlash-like hysteresis can be expressed by

$$\frac{u}{t} = a \left| \frac{\nu}{t} \right| (c\nu - u) + b \frac{\nu}{t},\tag{2}$$

where ν is the input of the unknown backlash-like hysteresis, a, b and c are unknown constants, c > 0 is the slope of the lines and satisfies c > b. For example, when the parameters are selected as a = 1, b = 0.345 and $c = 3.1635, \nu(t) = k \sin(2.3t)$ with k = 2.5 and k = 4.5, and the initial conditions are u(0) = 0 and $\nu(0) = 0$, the dynamic of the backlash-like hysteresis model (2) is presented in Figure 1.

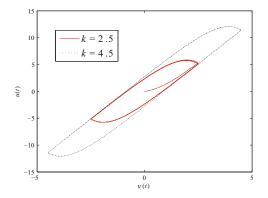


Figure 1 Backlash-like hysteresis curves

Based on [36], (2) can be written as

$$u(t) = c\nu(t) + d(\nu), \tag{3}$$

$$d(\nu) = [u_0 - c\nu_0] e^{-a(\nu - \nu_0) \operatorname{sgn}\dot{\nu}} + e^{-a\nu \operatorname{sgn}\dot{\nu}} \int_{\nu_0}^{\nu} [b - c] e^{a\zeta(\operatorname{sgn}\dot{\nu})} d\zeta, \qquad (4)$$

where $u_0 = u(0), \nu_0 = \nu(0)$ are the initial values of u and ν , respectively. After analysis, it is not difficult to conclude that $\lim_{\nu \to \infty} |d(\nu)| = |\frac{c-b}{a}|$, that is, there exists a uniform bound Dsuch that $|d(\nu)| \leq D$.

In this study, the control objective is to design an adaptive fuzzy controller for the system (1) such that the system output y can asymptotically track the reference signal y_d in probability and all signals of the closed-loop system are bounded almost surely although there exists the phenomenon of hysteresis. To reach the target, we give the following assumptions.

Assumption 1 The reference output y_d and its time derivative up to the *n*th order $y_d^{(n)}$ are continuous and bounded.

Assumption 2 The signs of g_i are known, $g_i(\overline{x}_i)$ are bounded and satisfy $0 < \underline{g}_i \leq |g_i(\overline{x}_i)| \leq \overline{g}_i$, $i = 1, 2, \dots, n$, where g_i and \overline{g}_i are unknown positive constants.

Remark 1 Different from the existing results^[37–39] where the control coefficients of the systems are required to be known constants or known nonlinear functions, we just need that $g_i(\overline{x}_i)$ are bounded in this study. In addition, Assumption 2 indicates that $g_i(\overline{x}_i)$ are either positive or negative. Without loss of generality, we assume that $g_i(\overline{x}_i) > 0$. In control design, the lower bound $\overline{g_i}$ is added to the Lyapunov function to solve the problem caused by the unknown virtual control coefficients.

Next, the following necessary definitions and lemmas are introduced.

Definition 1 (see [34]) Consider the following stochastic system

$$x = f(x, t)t + h(x, t)\omega,$$

where $x \in \mathbb{R}^n$ is the system state, ω is an *r*-dimensional independent standard Winner progress, f(x,t) and h(x,t) are locally Lipschitz functions. For any given function $V(x,t) \in \mathbb{C}^{2,1}$, define

the differential operator \mathcal{L} as

$$\mathcal{L}V = \frac{\partial V}{\partial t} + \frac{\partial V}{\partial x}f + \frac{1}{2}\operatorname{Tr}\left\{h^{\mathrm{T}}\frac{\partial^{2}V}{\partial x^{2}}h\right\}$$
(5)

with Tr(A) being the trace of matrix A.

Lemma 1 (see [35]) For any variable x and positive integrable time-varying function $\sigma(t)$, the following inequality holds:

$$0 \le |x| - \frac{x^2}{\sqrt{x^2 + \sigma^2(t)}} < \sigma(t),$$

where $\sigma(t)$ satisfies $\lim_{t\to+\infty} \int_{t_0}^t \sigma(s)s \leq \overline{\sigma} < \infty$ with $\overline{\sigma}$ being a positive constant.

The following FLSs are utilized in the control design.

IF-THEN rules: R_i : If x_1 is F_1^i , x_2 is F_2^i , \cdots and x_n is F_n^i , THEN y is A^i , $i = 1, 2, \cdots, n$. The FLS is expressed as

$$y(x) = \frac{\sum_{i=1}^{N} \Phi_i \prod_{j=1}^{n} \nu_{F_j^i}(x_j)}{\sum_{i=1}^{N} [\prod_{j=1}^{n} \nu_{F_j^i}(x_j)]}.$$

Choose $\varphi_i(x) = \frac{\prod_{j=1}^n \nu_{F_j^i}(x_j)}{\sum_{i=1}^N [\prod_{j=1}^n \nu_{F_j^i}(x_j)]}, \ \Phi(x) = [\varphi_1(x), \varphi_2(x), \cdots, \varphi_N(x)]^{\mathrm{T}}$ is the basis function vector and $P = [p_1, p_2, \cdots, p_N]^{\mathrm{T}}$ is the weight vector. Then, we have

$$y(x) = P^{\mathrm{T}} \Phi(x).$$

Lemma 2 (see [40]) For a continuous function $\overline{f}(x)$ defined on a compact set U and any $\varepsilon > 0$, there exists an FLS $P^{\mathrm{T}} \Phi(x)$ satisfying

$$\sup_{x \in U} |\overline{f}(x) - P^{\mathrm{T}} \Phi(x)| \le \varepsilon.$$

3 Adaptive Controller Design

The design process of controller will be presented by using the above knowledge and the adaptive backstepping technology in this section. First, the following coordinate transformations are introduced

$$z_1 = x_1 - y_d, z_i = x_i - \alpha_{i-1}, \quad i = 2, 3, \cdots, n,$$
(6)

where α_{i-1} represent the virtual controllers. Then, the following constants are defined before the design procedure,

$$\theta = \max\left\{\frac{\|P_i\|}{\underline{g}_i}, i = 1, 2, \cdots, n\right\},\$$
$$\rho = \max\left\{\frac{\varepsilon_i}{\underline{g}_i} + \frac{\overline{g}_n}{\underline{g}_n}D, i = 1, 2, \cdots, n\right\},\$$

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 $\zeta = \frac{1}{c}$. $\hat{\theta}$, $\hat{\rho}$ and $\hat{\zeta}$ are estimations of θ , ρ and ζ , respectively; $\tilde{\theta} = \theta - \hat{\theta}$, $\tilde{\rho} = \rho - \hat{\rho}$ and $\tilde{\zeta} = \zeta - \hat{\zeta}$ are the estimation errors.

Step 1 From (1) and (6), we have

$$z_1 = (g_1 z_2 + g_1 \alpha_1 + f_1 - \dot{y}_d)t + h_1 \omega.$$
(7)

Define a Lyapunov function candidate as

$$V_{1} = \frac{1}{4\underline{g}_{1}}z_{1}^{4} + \frac{1}{2r}\tilde{\theta}^{2} + \frac{1}{2\gamma}\tilde{\rho}^{2}.$$
(8)

The following equality can be obtained from (5) and (7)

$$\mathcal{L}V_{1} = \frac{z_{1}^{3}}{\underline{g}_{1}}(g_{1}z_{2} + g_{1}\alpha_{1} + f_{1} - \dot{y}_{d}) + \frac{3}{2}\frac{z_{1}^{2}}{\underline{g}_{1}}H_{1} - \frac{1}{r}\vec{\theta}\vec{\theta} - \frac{1}{\gamma}\vec{\rho}\dot{\vec{\rho}}$$
(9)

with $H_1 = h_1^{\mathrm{T}} h_1$. According to Young's inequality and Lemma 1, it follows that

$$\frac{g_1}{\underline{g}_1} z_1^3 z_2 \le \frac{3}{4} \frac{g_1}{\underline{g}_1} z_1^4 + \frac{1}{4} \frac{g_1}{\underline{g}_1} z_2^4,\tag{10}$$

$$\frac{3}{2\underline{g}_1} z_1^2 H_1 \le \frac{3}{2\underline{g}_1} \frac{z_1^4 H_1^{\mathrm{T}} H_1}{\sqrt{z_1^4 H_1^{\mathrm{T}} H_1 + \sigma_1^2}} + \frac{3}{2\underline{g}_1} \sigma_1.$$
(11)

Then, substituting (10) and (11) into (9) gives

$$\mathcal{L}V_1 \leq \frac{g_1}{\underline{g}_1} z_1^3 \alpha_1 + \frac{1}{\underline{g}_1} z_1^3 \overline{f}_1 + \frac{1}{4} \frac{g_1}{\underline{g}_1} z_2^4 + \frac{3}{2\underline{g}_1} \sigma_1 - \frac{1}{r} \widetilde{\theta} \widehat{\theta} - \frac{1}{\gamma} \widetilde{\rho} \widehat{\rho}, \tag{12}$$

where $\overline{f}_1(X_1) = f_1(x_1) - \dot{y}_d + \frac{3}{4}g_1z_1 + \frac{3}{2}\frac{z_1H_1^{\mathrm{T}}H_1}{\sqrt{z_1^4H_1^{\mathrm{T}}H_1 + \sigma_1^2}}$, it is obvious that $\overline{f}_1(X_1)$ is a function containing x_1, y_d, \dot{y}_d . Next, using Lemma 2, there exists an FLS $P_1^{\mathrm{T}} \Phi_1(X_1)$ such that

$$\overline{f}_1 = P_1^{\mathrm{T}} \Phi_1(X_1) + \delta_1, \quad \|\delta_1\| \le \varepsilon_1,$$

where δ_1 is an approximation error. Further, based on Lemma 1, the following inequality holds

$$\frac{1}{\underline{g}_1} z_1^3 \overline{f}_1 \le \theta \frac{z_1^6 \Phi_1^{\mathrm{T}} \Phi_1}{\sqrt{z_1^6 \Phi_1^{\mathrm{T}} \Phi_1 + \sigma_1^2}} + \theta \sigma_1 + \rho \frac{z_1^6}{\sqrt{z_1^6 + \sigma_1^2}} + \rho \sigma_1.$$
(13)

Combining (12) and (13), one can obtain

$$\mathcal{L}V_1 \leq -k_1 z_1^4 + \frac{g_1}{4\underline{g}_1} z_2^4 + \frac{g_1}{\underline{g}_1} z_1^3 \alpha_1 + z_1^3 v_1 + \theta \sigma_1 + \rho \sigma_1 + \frac{3\sigma_1}{2\underline{g}_1} + \frac{1}{r} \widetilde{\theta} \left(\tau_1 - \dot{\widehat{\theta}} \right) + \frac{1}{\gamma} \widetilde{\rho} \left(\iota_1 - \dot{\widehat{\rho}} \right)$$
(14)

with $v_1 = k_1 z_1 + \hat{\theta} \frac{z_1^3 \phi_1^T \phi_1}{\sqrt{z_1^6 \phi_1^T \phi_1 + \sigma_1^2}} + \hat{\rho} \frac{z_1^3}{\sqrt{z_1^6 + \sigma_1^2}}$. τ_1 and ι_1 are denoted as

$$\begin{cases} \tau_1 = r \frac{z_1^6 \Phi_1^{\mathrm{T}} \Phi_1}{\sqrt{z_1^6 \Phi_1^{\mathrm{T}} \Phi_1 + \sigma_1^2}}, \\ \iota_1 = \gamma \frac{z_1^6}{\sqrt{z_1^6 + \sigma_1^2}}. \end{cases}$$

Thus, the virtual control input α_1 is designed as

$$\alpha_1 = -\frac{z_1^3 v_1^2}{\sqrt{z_1^6 v_1^2 + \sigma_1^2}}.$$
(15)

Next, applying Lemma 1, (14) and be rewritten as

$$\mathcal{L}V_1 \leq -k_1 z_1^4 + \frac{1}{4} \frac{g_1}{\underline{g}_1} z_2^4 + \sigma_1 \left(1 + \theta + \rho + \frac{3}{2\underline{g}_1} \right) + \frac{1}{r} \widetilde{\theta}(\tau_1 - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_1 - \dot{\widehat{\rho}}).$$
(16)

Step i $(i = 2, 3, \dots, n-1)$. It follows from (1) and (6) that

$$z_i = (g_i z_{i+1} + g_i \alpha_i + f_i - \Psi_i)t + \left(h_i - \sum_{j=1}^{i-1} \frac{\partial \alpha_{i-1}}{\partial x_j} h_j\right)\omega,\tag{17}$$

where

$$\begin{split} \Psi_{i} &= \sum_{j=1}^{i-1} \frac{\partial \alpha_{i-1}}{\partial x_{j}} (g_{j} x_{j+1} + f_{j}) + \frac{\partial \alpha_{i-1}}{\partial \hat{\theta}} \dot{\hat{\theta}} + \frac{\partial \alpha_{i-1}}{\partial \hat{\rho}} \dot{\hat{\rho}} + \sum_{j=0}^{i-1} \frac{\partial \alpha_{i-1}}{\partial y_{d}^{(j)}} y_{d}^{(j+1)} \\ &+ \sum_{j=1}^{i-1} \frac{\partial \alpha_{i-1}}{\partial \sigma_{j}} \dot{\sigma}_{j} + \frac{1}{2} \sum_{p,q=1}^{i-1} \frac{\partial^{2} \alpha_{i-1}}{\partial x_{p} \partial x_{q}} h_{p}^{\mathrm{T}}(\overline{x}_{p}) h_{q}(\overline{x}_{q}). \end{split}$$

Construct a Lyapunov function candidate as

$$V_i = V_{i-1} + \frac{1}{4\underline{g}_i} z_i^4.$$
(18)

Then, by using the formulas (5) and (17), it can be obtained that

$$\mathcal{L}V_{i} \leq -\sum_{j=1}^{i-1} k_{j} z_{j}^{4} + \sum_{j=1}^{i-1} \sigma_{j} \left(1 + \theta + \rho + \frac{3}{2\underline{g}_{j}} \right) + \frac{1}{r} \widetilde{\theta}(\tau_{i-1} - \dot{\overline{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_{i-1} - \dot{\overline{\rho}}) + \frac{g_{i}}{\underline{g}_{i}} z_{i}^{3} z_{i+1} + \frac{g_{i}}{\underline{g}_{i}} z_{i}^{3} \alpha_{i} + \frac{1}{\underline{g}_{i}} z_{i}^{3} \left(f_{i} - \Psi_{i} + \frac{1}{4} \frac{\underline{g}_{i} g_{i-1}}{\underline{g}_{i-1}} z_{i} \right) + \frac{3}{2\underline{g}_{i}} z_{i}^{2} H_{i}$$

$$(19)$$

with $H_i = (h_i - \sum_{j=1}^{i-1} \frac{\partial \alpha_{i-1}}{\partial x_j} h_j)^{\mathrm{T}} (h_i - \sum_{j=1}^{i-1} \frac{\partial \alpha_{i-1}}{\partial x_j} h_j)$. Similarly, from Young's inequality and Lemma 1, we can obtain

$$\frac{g_i}{\underline{g}_i} z_i^3 z_{i+1} \le \frac{3}{4} \frac{g_i}{\underline{g}_i} z_i^4 + \frac{1}{4} \frac{g_i}{\underline{g}_i} z_{i+1}^4, \tag{20}$$

$$\frac{3}{2\underline{g}_i}z_i^2H_i \le \frac{3}{2\underline{g}_i}\frac{z_i^4H_i^{\mathrm{T}}H_i}{\sqrt{z_i^4H_i^{\mathrm{T}}H_i + \sigma_i^2}} + \frac{3}{2\underline{g}_i}\sigma_i.$$
(21)

Then, substituting (20) and (21) into (19) produces

$$\mathcal{L}V_{i} \leq -\sum_{j=1}^{i-1} k_{j} z_{j}^{4} + \sum_{j=1}^{i-1} \sigma_{j} \left(1 + \theta + \rho + \frac{3}{2\underline{g}_{j}} \right) + \frac{1}{r} \widetilde{\theta}(\tau_{i-1} - \dot{\overline{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_{i-1} - \dot{\overline{\rho}}) + \frac{g_{i}}{\underline{g}_{i}} z_{i}^{3} \alpha_{i} + \frac{1}{\underline{g}_{i}} z_{i}^{3} \overline{f}_{i} + \frac{1}{4} \frac{g_{i}}{\underline{g}_{i}} z_{i+1}^{4} + \frac{3}{2\underline{g}_{i}} \sigma_{i},$$
(22)

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where $\overline{f}_i(X_i) = f_i(\overline{x}_i) - \Psi_i + \frac{3}{4}g_i z_i + \frac{1}{4}\frac{\underline{g}_i g_{i-1}}{\underline{g}_{i-1}} z_i + \frac{3}{2}\frac{z_i H_i^{\mathrm{T}} H_i}{\sqrt{z_i^4 H_i^{\mathrm{T}} H_i + \sigma_i^2}}$. In view of Lemma 2, there exists an FLS $P_i^{\mathrm{T}} \Phi_i(X_i)$ such that

$$\overline{f}_i = P_i^{\mathrm{T}} \Phi_i(X_i) + \delta_i, \quad \|\delta_i\| \le \varepsilon_i,$$

where δ_i is an approximation error. According to Lemma 1, the following inequality gives

$$\frac{1}{\underline{g}_i} z_i^3 \overline{f}_i \le \theta \frac{z_i^6 \Phi_i^{\mathrm{T}} \Phi_i}{\sqrt{z_i^6 \Phi_i^{\mathrm{T}} \Phi_i + \sigma_i^2}} + \theta \sigma_i + \rho \frac{z_i^6}{\sqrt{z_i^6 + \sigma_i^2}} + \rho \sigma_i.$$
(23)

Substituting (23) into (22), the following inequality yields

$$\mathcal{L}V_{i} \leq -\sum_{j=1}^{i} k_{j} z_{j}^{4} + \sum_{j=1}^{i-1} \sigma_{j} \left(1 + \theta + \rho + \frac{3}{2\underline{g}_{j}} \right) + \frac{1}{r} \widetilde{\theta}(\tau_{i} - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_{i} - \dot{\widehat{\rho}}) + \frac{g_{i}}{\underline{g}_{i}} z_{i}^{3} \alpha_{i} + z_{i}^{3} v_{i} + \theta \sigma_{i} + \rho \sigma_{i} + \frac{1}{4} \frac{g_{i}}{\underline{g}_{i}} z_{i+1}^{4} + \frac{3}{2\underline{g}_{i}} \sigma_{i},$$

$$(24)$$

where $v_i = k_i z_i + \hat{\theta} \frac{z_i^3 \Phi_i^{\mathrm{T}} \Phi_i}{\sqrt{z_i^6 \Phi_i^{\mathrm{T}} \Phi_i + \sigma_i^2}} + \hat{\rho} \frac{z_i^3}{\sqrt{z_i^6 + \sigma_i^2}}$. τ_i and ι_i are expressed as

$$\begin{cases} \tau_i = \tau_{i-1} + r \frac{z_i^6 \boldsymbol{\Phi}_i^{\mathrm{T}} \boldsymbol{\Phi}_i}{\sqrt{z_i^6 \boldsymbol{\Phi}_i^{\mathrm{T}} \boldsymbol{\Phi}_i + \sigma_i^2}} \\ \iota_i = \iota_{i-1} + \gamma \frac{z_i^6}{\sqrt{z_i^6 + \sigma_i^2}}. \end{cases}$$

Therefore, the virtual control signal α_i is constructed as

$$\alpha_i = -\frac{z_i^3 v_i^2}{\sqrt{z_i^6 v_i^2 + \sigma_i^2}}.$$
(25)

Applying Lemma 1, there holds

$$\mathcal{L}V_i \leq -\sum_{j=1}^i k_j z_j^4 + \frac{1}{4} \frac{g_i}{\underline{g}_i} z_{i+1}^4 + \sum_{j=1}^i \sigma_j \left(1 + \theta + \rho + \frac{3}{2\underline{g}_j} \right) + \frac{1}{r} \widetilde{\theta}(\tau_i - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_i - \dot{\widehat{\rho}}).$$
(26)

Step n In view of (1), (3) and (4), the following can be obtained

$$x_n = (g_n c\nu + g_n d + f_n)t + h_n \omega.$$
(27)

Based on (6), it can be calculated that

$$z_n = (g_n c\nu + g_n d + f_n - \Psi_n)t + \left(h_n - \sum_{j=1}^{n-1} \frac{\partial \alpha_{n-1}}{\partial x_j}h_j\right)\omega,$$
(28)

where

$$\Psi_{n} = \sum_{j=1}^{n-1} \frac{\partial \alpha_{n-1}}{\partial x_{j}} (g_{j} x_{j+1} + f_{j}) + \frac{\partial \alpha_{n-1}}{\partial \hat{\theta}} \dot{\hat{\theta}} + \frac{\partial \alpha_{n-1}}{\partial \hat{\rho}} \dot{\hat{\rho}} + \sum_{j=0}^{n-1} \frac{\partial \alpha_{n-1}}{\partial y_{d}^{(j)}} y_{d}^{(j+1)}$$

$$+\sum_{j=1}^{n-1}\frac{\partial\alpha_{n-1}}{\partial\sigma_j}\dot{\sigma}_j + \frac{1}{2}\sum_{p,q=1}^{n-1}\frac{\partial^2\alpha_{n-1}}{\partial x_p\partial x_q}h_p^{\mathrm{T}}(\overline{x}_p)h_q(\overline{x}_q)$$

Choose a Lyapunov function candidate as

$$V_n = V_{n-1} + \frac{1}{4\underline{g}_n} z_n^4 + \frac{c}{2\eta} \widetilde{\zeta}^2.$$
⁽²⁹⁾

It follows from (5) and (28) that

$$\mathcal{L}V_n \leq -\sum_{j=1}^{n-1} k_j z_j^4 + \sum_{j=1}^{n-1} \sigma_j \left(1 + \theta + \rho + \frac{3}{2\underline{g}_j} \right) + \frac{1}{r} \widetilde{\theta}(\tau_{n-1} - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_{n-1} - \dot{\widehat{\rho}}) + \frac{g_n}{\underline{g}_n} z_n^3 c\nu + \frac{g_n}{\underline{g}_n} z_n^3 d + \frac{1}{\underline{g}_n} z_n^3 \left(f_n - \Psi_n + \frac{1}{4} \frac{\underline{g}_n g_{n-1}}{\underline{g}_{n-1}} z_n \right) + \frac{3}{2\underline{g}_n} z_n^2 H_n - \frac{c}{\eta} \widetilde{\zeta} \widehat{\zeta} \qquad (30)$$

with $H_n = (h_n - \sum_{j=1}^{n-1} \frac{\partial \alpha_{n-1}}{\partial x_j} h_j)^{\mathrm{T}} (h_n - \sum_{j=1}^{n-1} \frac{\partial \alpha_{n-1}}{\partial x_j} h_j)$. As the same of Step *i*, by using Lemma 1, it can prove that

$$\frac{3}{2\underline{g}_n}z_n^2H_n \le \frac{3}{2\underline{g}_n}\frac{z_n^4H_n^{\mathrm{T}}H_n}{\sqrt{z_n^4H_n^{\mathrm{T}}H_n + \sigma_n^2}} + \frac{3}{2\underline{g}_n}\sigma_n.$$
(31)

By combining (31), we get

$$\mathcal{L}V_n \leq -\sum_{j=1}^{n-1} k_j z_j^4 + \sum_{j=1}^{n-1} \sigma_j \left(1 + \theta + \rho + \frac{3}{2\underline{g}_j} \right) + \frac{1}{r} \widetilde{\theta}(\tau_{n-1} - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_{n-1} - \dot{\widehat{\rho}}) + \frac{g_n}{\underline{g}_n} z_n^3 c\nu + \frac{\overline{g}_n}{\underline{g}_n} z_n^3 D + \frac{1}{\underline{g}_n} z_n^3 \overline{f}_n + \frac{3}{2\underline{g}_n} \sigma_n - \frac{c}{\eta} \widetilde{\zeta} \dot{\widehat{\zeta}},$$
(32)

where $\overline{f}_n(X_n) = f_n(x) - \Psi_n + \frac{1}{4} \frac{g_n g_{n-1}}{g_{n-1}} z_n + \frac{3}{2} \frac{z_n H_n^{\mathrm{T}} H_n}{\sqrt{z_i^4 H_n^{\mathrm{T}} H_n + \sigma_n^2}}$. Based on Lemma 2, there exists an FLS $P_n^{\mathrm{T}} \Phi_n(X_n)$ such that

$$\overline{f}_n = P_n^{\mathrm{T}} \Phi_n(X_n) + \delta_n, \quad \|\delta_n\| \le \varepsilon_n,$$

where δ_n denotes an approximation error. Next, from Lemma 1, the following inequality holds

$$\frac{1}{\underline{g}_n} z_n^3 \overline{f}_n + \frac{\overline{g}_n}{\underline{g}_n} z_n^3 D \le \theta \frac{z_n^6 \Phi_n^{\mathrm{T}} \Phi_n}{\sqrt{z_n^6 \Phi_n^{\mathrm{T}} \Phi_n + \sigma_n^2}} + \theta \sigma_n + \rho \frac{z_n^6}{\sqrt{z_n^6 + \sigma_n^2}} + \rho \sigma_n.$$
(33)

Substituting (33) into (32) gives

$$\mathcal{L}V_n \leq -\sum_{j=1}^n k_j z_j^4 + \sum_{j=1}^{n-1} \sigma_j \left(1 + \theta + \rho + \frac{3}{2\underline{g}_j} \right) + \frac{1}{r} \widetilde{\theta}(\tau_n - \dot{\widehat{\theta}}) + \frac{1}{\gamma} \widetilde{\rho}(\iota_n - \dot{\widehat{\rho}}) + \frac{g_n}{\underline{g}_n} z_n^3 c\nu + z_n^3 v_n + \sigma_n \left(\theta + \rho + \frac{3}{2\underline{g}_n} \right) - \frac{c}{\eta} \widetilde{\zeta} \dot{\widehat{\zeta}},$$
(34)

where $v_n = k_n z_n + \hat{\theta} \frac{z_n^3 \Phi_n^{\mathrm{T}} \Phi_n}{\sqrt{z_n^6 \Phi_n^{\mathrm{T}} \Phi_n + \sigma_n^2}} + \hat{\rho} \frac{z_n^3}{\sqrt{z_n^6 + \sigma_n^2}}$. τ_n and ι_n are defined as

$$\begin{cases} \tau_n = \tau_{n-1} + r \frac{z_n^6 \Phi_n^{\mathrm{T}} \Phi_n}{\sqrt{z_n^6 \Phi_n^{\mathrm{T}} \Phi_n + \sigma_n^2}}, \\ \iota_n = \iota_{n-1} + \gamma \frac{z_n^6}{\sqrt{z_n^6 + \sigma_n^2}}. \end{cases}$$

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From which, the final controller is designed as

$$\nu = -\frac{z_n^3 v_n^2 \widehat{\zeta}^2}{\sqrt{z_n^6 v_n^2 \widehat{\zeta}^2 + \sigma_n^2}} \tag{35}$$

and adaptive laws are constructed as

$$\begin{cases} \widehat{\theta} = \tau_n - \sigma_n r \widehat{\theta}, \\ \dot{\widehat{\rho}} = \iota_n - \sigma_n \gamma \widehat{\rho}, \\ \dot{\widehat{\zeta}} = \eta z_n^3 v_n - \sigma_n \eta \widehat{\zeta}. \end{cases}$$
(36)

Further, combining (34)–(36) and Lemma 1 gets

$$\mathcal{L}V_n \leq -\sum_{j=1}^n k_j z_j^4 + \sum_{j=1}^{n-1} \sigma_j \left(1 + \theta + \rho + \frac{3}{2\underline{g}_j} \right) \\ + \sigma_n \left(c + \theta + \rho + \frac{3}{2\underline{g}_n} \right) + \sigma_n (\widetilde{\theta}\widehat{\theta} + \widetilde{\rho}\widehat{\rho} + c\widetilde{\zeta}\widehat{\zeta}).$$
(37)

According to the complete square formula, the following inequalities hold

$$\begin{cases} \widetilde{\theta}\widehat{\theta} = \widetilde{\theta}(\theta - \widetilde{\theta}) = -\widetilde{\theta}^2 + \widetilde{\theta}\theta \leq \frac{\theta^2}{4}, \\ \widetilde{\rho}\widehat{\rho} = \widetilde{\rho}(\rho - \widetilde{\rho}) = -\widetilde{\rho}^2 + \widetilde{\rho}\rho \leq \frac{\rho^2}{4}, \\ \widetilde{\zeta}\widehat{\zeta} = \widetilde{\zeta}(\zeta - \widetilde{\zeta}) = -\widetilde{\zeta}^2 + \widetilde{\zeta}\zeta \leq \frac{\zeta^2}{4}. \end{cases}$$
(38)

Finally, substituting (38) into (37) shows

$$\mathcal{L}V_n \le -\sum_{j=1}^n k_j z_j^4 + \sum_{j=1}^n \sigma_j \lambda_j.$$
(39)

4 Stability Analysis

Theorem 1 For the stochastic nonlinear system (1) with unknown backlash-like hysteresis (2), under Assumptions 1–2, there exist the controllers (15), (25), (35) and the adaptive updating laws (36), such that the system output y can asymptotically track the reference signal y_d in probability and all signals of the closed-loop system are bounded almost surely.

Proof Based on Lemma 2 in [41], there exists a unique solution to the stochastic system (1), it is defined as $e(t) = (z_1(t), z_2(t), \dots, z_n(t), \tilde{\theta}(t), \tilde{\rho}(t), \tilde{\zeta}(t))$. It follows from (39) that $\mathcal{L}V_n \leq -\sum_{j=1}^n k_j z_j^4 + \sum_{j=1}^n \sigma_j \lambda_j$, then by employing Lemma 4 in [41], we have

$$E(V_n(e(\sigma_r \wedge t))) \leq V_n(e(t_0)) - E \int_{t_0}^{\sigma_r \wedge t} \sum_{j=1}^n k_j z_j^4(s) s + E \int_{t_0}^{\sigma_r \wedge t} \sum_{j=1}^n \sigma_j(s) \lambda_j s$$

$$\leq V_n(e(t_0)) + \sum_{j=1}^n \overline{\sigma}_j \lambda_j,$$

where $\sigma_r := \inf\{t \ge 0 : |x(t)| \ge r\}$, and $\sigma_r \wedge t$ is the minimum of σ_r and t. It can be noted that $\inf_{|e|\ge R} V_n(e) \to \infty$ as $R \to \infty$. In view of Lemma 2 in [41], we can get a conclusion that e(t) is bounded almost surely on $[0,\infty)$, which implies that $z_1(t), z_2(t), \cdots, z_n(t), \tilde{\theta}(t), \tilde{\rho}(t)$ and $\tilde{\zeta}(t)$ are bounded almost surely on $[0,\infty)$. Combining Assumption 1, the boundedness of y_d determines the boundedness of x_1 on $[0,\infty)$. In addition, it can be obtained that $\hat{\theta}, \hat{\rho}$ and $\hat{\zeta}$ are bounded on $[0,\infty)$ due to the boundedness of $\tilde{\theta}(t), \tilde{\rho}(t)$ and $\tilde{\zeta}(t)$. Next, α_1 is bounded almost surely on $[0,\infty)$ because it contains $x_1, y_d, \hat{y}_d, \hat{\theta}$ and $\hat{\rho}$. From Equation (6), it can be seen that x_2 is bounded almost surely on $[0,\infty)$. By using the same analysis, it can be concluded that x_i, α_i and ν are bounded almost surely on $[0,\infty)$. From (40), it follows that

$$E\int_{t_0}^{\sigma_r \wedge t} \sum_{j=1}^n k_j z_j^4(s) s \le V_n(e(t_0)) + \sum_{j=1}^n \overline{\sigma}_j \lambda_j.$$

Since $\lim_{r\to+\infty} \lim_{t\to+\infty} (\sigma_r \wedge t) = \infty$, using Fatou's lemma gets

$$E\int_{t_0}^{\infty}\sum_{j=1}^n k_j z_j^4(s)s \le V_n(e(t_0)) + \sum_{j=1}^n \overline{\sigma}_j \lambda_j < +\infty.$$

Then, from Stochastic Barbălat's Lemma on [41], it can prove that $P(\lim_{t\to\infty} ||z_i(t)|| = 0) = 1$, so the system output y can asymptotically track the reference signal y_d in probability.

5 Simulation Example

In this section, to prove the effectiveness of the proposed strategy, we will give a simulation example which is described in the following form:

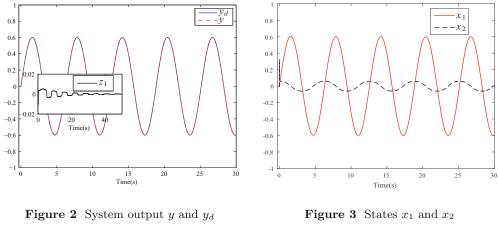
$$\begin{cases} x_1 = (10x_2 \cos x_1 + 0.01 \cos(2x_1))t + 0.001 e^{-x_1}\omega, \\ x_2 = (30u(\nu) \cos x_2 + 2x_1 \sin(x_2))t + 0.001 e^{-0.2x_2}\omega, \\ y = x_1, \end{cases}$$

where u is the output of unknown hysteresis with a = 1, c = 3.1635, and b = 0.345. The target signal y_d is expressed as $y_d = 0.6 \sin t$. For fuzzy control, choose the following membership functions as $\nu_{F_i^i} = e^{-\frac{1}{2}(x_j + \xi_i)^2}$ with $\xi_i = 10, 8, 6, 4, 2, 0, -2, -4, -6, -8, -10, i = 1, 2, \cdots, 11$.

In the simulation, choose the parameters as $k_1 = 800$, $k_2 = 45$, r = 30, $\gamma = 35$, $\eta = 50$, $\sigma_1 = 0.0001e^{-0.3t}$, $\sigma_2 = 0.001e^{-0.25t}$, and the initial conditions are $x(0) = [-0.01, -0.01]^{\mathrm{T}}$, $\hat{\theta}(0) = 1$, $\hat{\rho}(0) = 0.5$, $\hat{\zeta}(0) = 1$. Figures 2–5 verify the conclusions of Theorem 1. The system output y, the reference signal y_d and the tracking error z_1 are presented in Figure 2, in which we can see that the tracking error can asymptotically converge to zero. Figure 3 indicates the curves of the states x_1 and x_2 . The control signals ν and u are displayed in Figure 4. And the trajectories of adaptive parameters are plotted in Figure 5. From Figures 2–5, we obtain that $\hat{\Sigma}$ Springer

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all signals of the closed-loop system are bounded. Namely, the control objective of this study can be achieved.



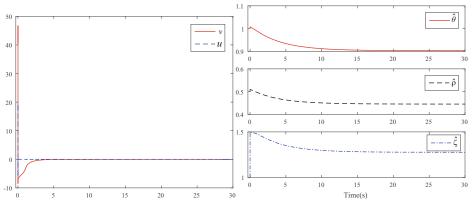


Figure 4 Control signals ν and u

Figure 5 Adaptive parameters $\hat{\theta}$, $\hat{\rho}$, and $\hat{\zeta}$

6 Conclusions

This study has investigated the issue of adaptive asymptotic tracking control for a class of uncertain stochastic nonlinear systems with unknown backlash-like hysteresis. An adaptive asymptotic tracking control scheme is designed via backstepping method, and the problem caused by unknown backlash-like hysteresis and stochastic disturbance can be solved. In addition, we have guaranteed the boundedness of all signals of the closed-loop system almost surely, and the tracking error z_1 can asymptotically converge to zero in probability. At last, a simulation result is given to confirm the effectiveness of the proposed method. The results of this study are based on that the signs of unknown virtual control coefficients are known. When the virtual control coefficient is completely unknown, how to construct an appropriate mechanism to achieve our control objective is still a challenging task. Thus, this issue is what we need to pay attention to in our future study.

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