

# Modeling a Dynamic Data Replication Strategy to Increase System Availability in Cloud Computing Environments

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**Abstract** Failures are normal rather than exceptional in the cloud computing environments. To improve system availability, replicating the popular data to multiple suitable locations is an advisable choice, as users can access the data from a nearby site. This is, however, not the case for replicas which must have a fixed number of copies on several locations. How to decide a reasonable number and right locations for replicas has become a challenge in the cloud computing. In this paper, a dynamic data replication strategy is put forward with a brief survey of replication strategy suitable for distributed computing environments. It includes: 1) analyzing and modeling the relationship between system availability and the number of replicas; 2) evaluating and identifying the popular data and triggering a replication operation when the popularity data passes a dynamic threshold; 3) calculating a suitable number of copies to meet a reasonable system byte effective rate requirement and placing replicas among data nodes in a balanced way; 4) designing the dynamic data replication algorithm in a cloud. Experimental results demonstrate the efficiency and effectiveness of the improved system brought by the proposed strategy in a cloud.

**Keywords** system availability, replication perspective, high fault tolerance, temporal locality, cloud computing

## 1 Introduction

### 1.1 Background and Motivation

Cloud computing (CC), the long-held dream of “computing as a utility”, has opened up the new era of future computing, transformed a large part of IT industry, and reshaped the purchase and use of IT software and hardware<sup>[1-4]</sup>. Cloud computing is a large-scale distributed computing paradigm driven by economies of scale, in which a pool of abstracted, virtualized, dynamically-scalable, highly available, and configurable and reconfigurable computing resources (e.g., networks, servers, storage, applications, data) can be rapidly provisioned and released with minimal management effort in the data centers. Services are delivered on demand to external customers over high-speed Internet with the “X as a service (XaaS)” computing architecture,

which is broken down into three segments: “applications”, “platforms”, and “infrastructure”. Its aims<sup>[3-4]</sup> are to provide users with more flexible services in a transparent manner and with ever cheaper and more powerful processors. Similarly, IT companies with innovative ideas for new application services are no longer required to make large capital outlays in the hardware and software infrastructures. By using cloud computing platforms, they can register necessary services from the Internet and are free from the trivial task of setting up basic hardware and software infrastructures, which allows them to focus on the core aspects of their business. In computational view, cloud computing is a network of data centers and is described as a powerful, low-cost, and energy-efficient approach to future computing. The data centers form what we call clouds. From a sociological standpoint on the other hand, in

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the cloud, applications are accessible anywhere, anytime, and storage becomes infinite for all intents and purposes. And the users can access the powerful applications, platforms, and services delivered over Internet.

The difference between cloud and other large-scale distributed computing platforms can be summarized as follows<sup>[3-4]</sup>.

1) *On-Demand Self-Service*. A user can unilaterally provision computing capabilities, such as network storage, as needed automatically without requiring human interaction with each service's provider.

2) *Broad Network Access*. Capabilities are available over the network and accessed through standard mechanisms that promote the use by heterogeneous thin or thick client platforms.

3) *Resource Pooling*. The computing resources are pooled to serve multiple users by using a multi-tenant model, with different physical and virtual resources dynamically assigned and reassigned according to consumer demand.

4) *Rapid Elasticity*. Capabilities can be rapidly and elastically provisioned, in some cases automatically, to quickly scale out and rapidly released to quickly scale in. To the users, the capabilities available for provisioning often appear to be unlimited and can be purchased in any quantity at any time.

5) *Measured Services*. Cloud systems automatically control and optimize resource usage by leveraging a metering capability at some level of abstraction appropriate to the type of the service. Resource usage can be monitored, controlled, and reported to provide transparency for both the provider and consumers of the utilized service.

In a word, clouds can be a highly available, much cheaper, and much more elastic, reliable, and scalable computing environment compared to supercomputers, grids and other large-scale distributed computing environments. Clouds promise "scale by credit card". Moreover, clouds also promise "bags of tasks"<sup>[5]</sup>. More detailed discussion can be found in [3-9].

High availability, high fault tolerance and high efficiency access to cloud data centers where failures are normal rather than exceptional are significant issues, due to the large-scale data support. Data replication allows reducing user waiting time, speeding up data access and increasing data availability by providing the user with different replicas of the same service, all of them with a coherent state. Replication is a frequently used technique in the cloud, such as GFS (Google file system)<sup>[10]</sup>, HDFS (Hadoop Distributed File System)<sup>[11]</sup>. However, cloud data centers have grown rapidly in both size and number, and the dynamically-scalable and totally virtualized resources are provided as a service over the Internet<sup>[12]</sup>. In most

of the real cloud, data replication is achieved through data resource pool, the number of data replicas is statically set based on history experience and is usually less than 3. This strategy works well at most time, but it will fail at inclement times. And it is not necessary to create replica for all data files, especially for those non-popular data files. In order to meet the high availability, high fault tolerance and high efficiency requirement, it is necessary to dynamically adjust the popular data files, the number of data replicas and the sites to place the new replicas according to the current cloud environments.

In order to achieve the dynamic data replication, there are three important problems that must be solved.

1) Which data should be replicated and when to replicate in the cloud systems to meet the users' requirements on waiting time reduction and data access speeding up are important issues for further research, as the wrongly selected and too early replicated data will not reduce the waiting time or speed up data access. 2) How many suitable new replicas should be created in the cloud to meet a reasonable system availability requirement is another important issue to be thoroughly investigated. With the number of new replicas increasing, the system maintenance cost will significantly increase, and too many replicas may not increase availability, but bring unnecessary spending instead. 3) Where the new replicas should be placed to meet the system task successful execution rate and bandwidth consumption requirements is also an important issue to be explored in detail. By keeping all replicas active, the replicas may improve system task successful execution rate and bandwidth consumption if the replicas and requests are reasonably distributed. However, appropriate replica placement in ultra-large-scale, dynamically scalable and totally virtualized data centers is much more complicated.

Our work is originally motivated by the fact that a more recently accessed data will be accessed again in the near future according to the current data access pattern, which is called temporal locality<sup>[13-14]</sup>. With the fact of temporal locality, a popular data is determined by analyzing the users' access to the data. When the popularity of the data passes a dynamic threshold, the replication operation will be triggered. The number of replicas will be determined based on the system availability and failure probability. New replica will be created on near-by locations for users who generate the most requests for the data.

## 1.2 Contributions

In this paper, a mathematical model is formulated to describe the relationship between the system availability and number of replicas, while the size, access

time and failure probability of each data file are taken into consideration. A popular data file is identified by analyzing the access histories and setting different weights for different accessed data. Basically, the more recently accessed data is more pertinent to the analysis, and is thus set a big weight. When the popularity of a data file passes a dynamic threshold, the replication operation will be triggered. Replicas are placed among data nodes in a balanced way, taking into account the number of access of all users.

Based on the above facts and considerations, a novel dynamic data replication strategy named D2RS (Dynamic Data Replication Strategy) is proposed. It allows for increasing the data availability and minimizing cloud system bandwidth consumption. For evaluation purposes, the D2RS is implemented using the CloudSim toolkit and the experimental results demonstrate that the proposed strategy increases system availability, improves system task successful execution rate, and reduces bandwidth consumption in the cloud.

Our contributions can be summarized as follows. 1) A mathematical model is formulated to describe the relationship between the system availability and the number of replicas, which is missing in most existing research. 2) The popular data file is identified, when the popularity of a data file passes a dynamic threshold, the replication operation will be triggered. 3) Replicas are placed among data nodes in a balanced way. 4) A dynamic data replication algorithm named D2RS is proposed, implemented on a simulation toolkit and evaluated. It is proved that this algorithm is able to increase the system availability and reduce the bandwidth consumption in the cloud.

### 1.3 Paper Organization

The remainder of this paper is organized as follows. In Section 2, the related work on data storage and data replicas of cloud computing systems is analyzed. Section 3 presents a system model, a series of availability definitions, and a mathematical analysis to describe the relationship between the system availability and the number of replicas. Section 4 describes the dynamic data replication strategy, including the replication decision, the number of replicas, the replica placement and the detailed design of the D2RS algorithm. Section 5 addresses the simulation environment, parameter setup and performance evaluation of the proposed dynamic data replication strategy. Finally, conclusions and future work are given in Section 6.

## 2 Related Work

In this section, two broad categories of related work

are presented: cloud data storage and cloud data replication.

### 2.1 Cloud Data Storage

Many large institutions have set up data centers and cloud computing platforms, such as Google, Amazon, IBM. Compared with traditional large scale storage systems, the clouds which are sensitive to workloads and user behaviors focus on providing and publishing storage service on Internet<sup>[15-17]</sup>. The key components of the cloud are distributed file systems, such as GFS, HDFS.

In a GFS cluster, there are three components, multiple clients, a single master server, and multiple chunk servers, as shown in Fig.1. Files are stripped into one or many fixed size chunks, and these chunks are stored in the data centers, which are managed by the chunk servers. The master server maintains all the meta-data of the file system, including the namespace, the access control information, the mapping from files to chunks, and the current locations of chunks. Clients interact with the master for metadata operations, but all data bearing communication goes directly to the chunk servers<sup>[10]</sup>.

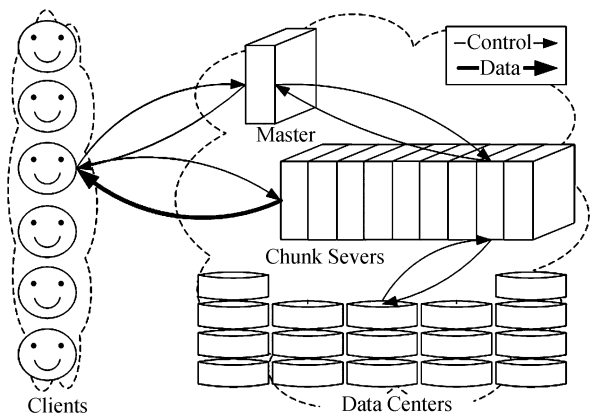


Fig.1. GFS architecture.

In a multi-cluster system, each cluster is a complete GFS cluster and with its own master, and each master maintains the metadata of its own file system. Different masters can share the metadata by the namespace, which describes how the log data is partitioned across multiple clusters<sup>[18]</sup>. Compared with a single cluster, in a multi-cluster system, the performance of the cloud system and the size of the cloud data storage can be improved significantly.

The mechanism of HDFS is similar to that of GFS, but it is light-weighted and open-source. More detailed discussion can be found in [11].

## 2.2 Cloud Data Replication

Data replication, a well-known technique from distributed systems, is the main mechanism used in the cloud for reducing user waiting time, increasing data availability and minimizing cloud system bandwidth consumption by offering the user different replicas with a coherent state of the same service<sup>[19]</sup>. With the advancement and development of various technologies, data replication and replica management in distributed systems have been studied in many works, which are referenced and adopted in cloud data replication. Data replication algorithms can be classified into two groups: static replication<sup>[10-11,20]</sup> and dynamic replication algorithms<sup>[13,15,21-22]</sup>. In a static replication model, the replication strategy is predetermined and well defined. On the other hand, dynamic replication automatically creates and deletes replicas according to changing access patterns. Static and dynamic replication algorithms can be further classified into groups as distributed<sup>[10-11,15,21]</sup> and centralized algorithms<sup>[13,20,22]</sup>.

In [10], a static distributed cloud data replication algorithm is proposed. In the GFS, a single master considers three factors when making decisions on data chunk replications: 1) to place the new replicas on chunk servers with below-average disk space utilization; 2) to limit the number of “recent” creations on each chunk server; 3) to spread replicas of a chunk across racks. A data chunk is replicated when the number of replicas falls below a limit specified by the users. Similarly, in [11], an application can specify the number of replicas for each file, and the block size and replication factor are configurable per file.

In [20], a  $p$ -median static centralized data replication algorithm is proposed. The  $p$ -median model finds  $p$  replica placements sites that minimize the request-weighted total distance between the requesting sites and the replication sites holding the copies assigned.

In [15], a dynamic distributed cloud data replication algorithm CDRM is proposed. The CDRM is designed on the HDFS platform, the data replica placement is based on the capacity and location according to workload changing and node capacity, and the lower bound of the number of replicas is dynamically determined according to the availability requirement. In [21], six different data replication algorithms, Caching-PP, Cascading-PP, Fast Spread-PP, Cascading-Enhanced, and Fast Spread-Enhanced are proposed. All the six algorithms are dynamic replication algorithms and implemented in a distributed fashion.

In [22], a dynamic centralized data replication algorithm MinDmr is proposed. MinDmr treats hot and cold data differently and uses a weighting factor for the

replication. And then MinDmr is developed into four prediction-based replica schemes. Similarly, in [13], an algorithm LALW is proposed. LALW selects a popular file for replication and calculates a suitable number of copies and grid sites for replication.

In the cloud, to reduce the access time, the data storage unit is a block. If a data file is too large, it will be stripped into many blocks. However, the data access unit usually is data file. The differences between the mentioned replication algorithms and our proposed strategy lie in the following aspects. 1) A mathematical model is formulated to describe the relationship between the system availability and the number of replicas. 2) The popular data is identified according to the temporal locality. When the popularity of a data file passes a dynamic threshold, the replication operation will be triggered. 3) Replicas are placed among data nodes in a balanced way.

## 3 System Model and Problem Statement

In this section, a system model, a series of availability definitions and a mathematical analysis to describe the relationship between system availability and the number of replicas are presented in detail.

### 3.1 System Model

The multi-tier hierarchical cloud system architecture<sup>[16-17,22-25]</sup> supports an efficient method for sharing data and computational and other resources, as shown in Fig.2. It typically consists of different tiers of data centers with different regions and sizes. The super data centers in tier 0 will handle the data analysis in the intra domain and exchange data information

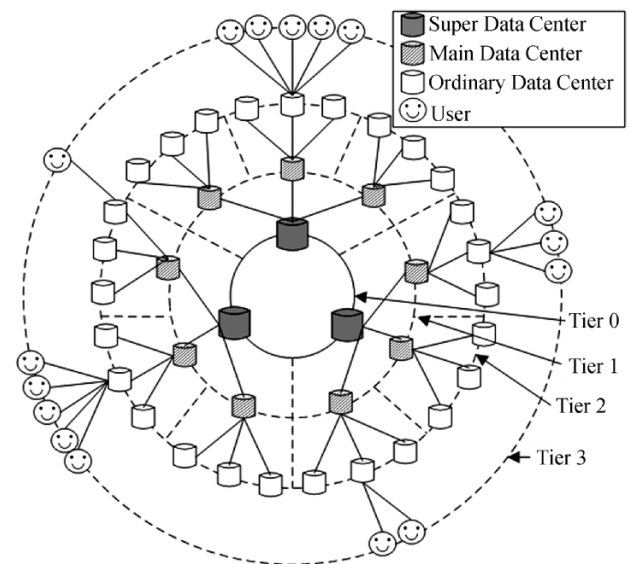


Fig.2. Multi-tier hierarchical cloud system topology.

among the inter domains. The main data centers are in tier 1, ordinary data centers are in tier 2, and users are in tier 3. The architecture minimizes the data access time and network load by creating and spreading replicas from the super data centers to main data centers, or to ordinary data centers. The super data centers periodically collect and broadcast the global information.

A cloud data service system typically consists of the scheduling broker, the replica broker and data centers, as shown in Fig.3. The scheduling broker is the central managing broker. The replica managers hold the general information about the replica locations in data centers. The specific features of cloud data servers can be described as follows.

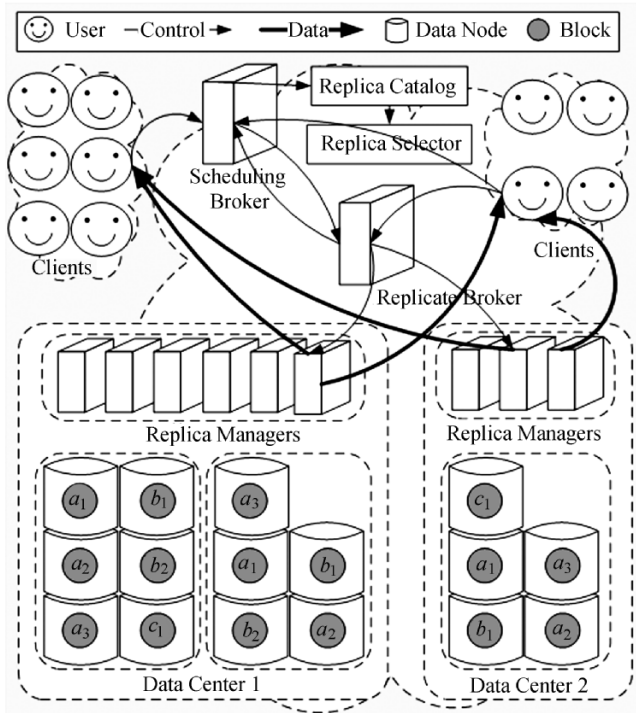


Fig.3. Cloud data server architecture.

Let  $U = \{u_1, u_2, \dots, u_m\}$  be a user set composed of  $m$  users,  $TS = \{TS_1, TS_2, \dots, TS_m\}$  be a set of tasks of the user set  $U$ , and  $TS_j = \{ts_{j_1}, ts_{j_2}, \dots, ts_{j_{m_j}}\}$  be a sub-set of tasks of the  $j$ -th user  $u_j$ , where  $m_j$  is the number of sub-tasks, and  $ts_k$  is the  $k$ -th task submitted to the scheduling broker through a user interface and independent of the other users. The replica broker schedules them to the appropriate cloud data server sites. If  $u_0$  has two tasks, then  $TS_0 = \{ts_{0_1}, ts_{0_2}\}$ , and  $m_0 = 2$ . A task  $ts_k$  is characterized by a 4-tuple  $ts_k = (tid_k, tr_k, td_k, tfn_k)$ , where  $tid_k$ ,  $tr_k$ ,  $td_k$  and  $tfn_k$  are the task identification, task generation rate, task deadline time and the number of required files of task  $ts_k$ , respectively. For simplicity, we assume that the tasks are non-preemptable and non-interruptible<sup>[16,23]</sup>,

which mean that a task cannot be broken into smaller sub-tasks and it has to be executed as a whole on a single processor with given resources. In addition, as soon as a task starts its execution on a processor, it cannot be interrupted and it occupies the processor until its execution completes successfully or a failure occurs.

Let  $DC = \{dnd_1, dnd_2, \dots, dnd_n\}$  be a data center composed of  $n$  data nodes, which are running virtual machines on physical machines. A data node  $dnd_k$  is characterized by a 5-tuple  $dnd_k = (dnd_k, dr_k, dst_k, df_k, dbw_k)$ , where  $dnd_k$ ,  $dr_k$ ,  $dst_k$ ,  $df_k$  and  $dbw_k$  are the data node identification, request arrival rate, average service time, failure probability and network bandwidth of data node  $dnd_k$ , respectively.

In order to guarantee the service performance of the data center  $DC$ , the task generation rate  $tr_k$  of user set  $U$ , the request arrival rate  $dr_k$  and failure probability  $df_k$  of  $DC$  should meet (1).

$$\sum_{j=0}^{jk} tr_j \leq \sum_{i=0}^n dr_i \times (1 - df_i), \quad jk = \sum_{j=0}^m j_{m_k}, \quad (1)$$

where  $tr_j$  is the task generation rate of task  $j$ ,  $dr_i$  is the request arrival rate of task  $j$ ,  $df_k$  is the failure probability of task  $j$ ,  $j_{m_k}$  is the number of tasks of user  $j$ .

Let  $F = \{f_1, f_2, \dots, f_l\}$  be a data file set of a data center  $DC$ .  $B = \{B_1, B_2, \dots, B_l\}$  be a set of blocks in the data center  $DC$ , and  $B_i = \{b_{i_1}, b_{i_2}, \dots, b_{i_{n_i}}\}$  be the  $i$ -th sub-set of blocks belonging to the  $i$ -th data file  $f_i$ , which is stripped into  $n_i$  fixed blocks according to its length. As shown in Fig.3, if data file  $A$  is stripped into 3 blocks, then  $A = \{a_1, a_2, a_3\}$ , and  $n_i = 3$ . A block  $b_k$  is characterized by a 5-tuple  $b_k = (bid_k, bp_k, bs_k, bn_k, bt_k)$ , where  $bid_k$ ,  $bp_k$ ,  $bs_k$ ,  $bn_k$  and  $bt_k$  are the block identification, number of requests, block size, the number of replicas and the last access time of block  $b_k$ , respectively.

When user  $u_j$  requests a block  $b_k$  from a data node  $dnd_i$  with bandwidth performance guarantee, bandwidth  $bs_k/dst_i$  should be assigned to this session. The total bandwidth used to support different requests from use set  $U$  should be no more than  $dbw_i$ , as shown by (2).

$$\sum_{i=0}^{s_i} \frac{bs_k}{dst_i} \leq dbw_i, \quad (2)$$

where  $s_i$  is the maximum number of network sessions of data node  $dnd_i$  that can serve concurrently,  $bs_k$  is the block size of block  $b_k$ ,  $dst_i$  is the average service time of data node  $dnd_i$ ,  $dbw_i$  is the network bandwidth of data node  $dnd_i$ .

### 3.2 System Byte Effective Rate

One of the most important objectives of cloud is to

provide the highest availability by placing all replicas of blocks of data files in a load balanced way on different data nodes of data centers, which is similar to that for grid environments<sup>[25-27]</sup>.

**Definition 1** (Availability). *It is the ability of a system to limit, control, and provide proper service under given constraints, defined as the “readiness for correct service” of a system<sup>[28-29]</sup>. The lifetime of a cloud system can be divided into a set of “up states” and a set of “down states”. And the availability can be categorized as instantaneous availability, steady-state availability and inherent availability.*

The instantaneous availability  $a_i(t)$  of a system is defined as the probability that a system is in an “up state” at time  $t$  under the constraint that it is correct at time  $t = 0$  (i.e.,  $a_i(0) = 1$ ), shown by (3).

$$a_i(t) = \begin{cases} r(t), & \text{if no repair operations,} \\ p\{s_t = p_i(up) | s_0 = p_i(init)\}, & \text{otherwise,} \end{cases} \quad (3)$$

where  $r(t)$  is the reliability at time  $t$  of the system. If no repair operations, the instantaneous availability  $a_i(t)$  equals to the system reliability  $r(t)$ .  $s_t$  refers to the state at time  $t$ ,  $p_i(up)$  is a predicate that specifies the states where the system is operational, doing something useful.  $p_i(init)$  specifies the initial states, and  $p_i(init) = true$ .

The steady-state availability  $a_s(t)$  of a system is defined as the probability that a system is in an “up state” for “sufficiently long time” after the system starts and is examined at an arbitrary point of time. It is the limit value of  $a_i(t)$  as  $t$  approaches infinity, as given by (4).

$$a_s(t) = \lim_{t \rightarrow \infty} a_i(t) = \lim_{t \rightarrow \infty} \frac{\int_0^t a_i(k)dk}{t}. \quad (4)$$

The inherent availability  $a(t)$  of a system is the expected value of the percentage of the time interval during which the system performs its required function, as defined by (5).

$$a(t) = \frac{MTBF}{MTBF + MTTR}, \quad (5)$$

where  $MTBF$  is the mean time between faults, and  $MTTR$  is the mean time to repair.

**Definition 2** (Block Availability). *Block availability is the ability of a data block to limit, control, and provide proper service under given constraints. The block availability of a block  $b_k$  is denoted as  $BA_k$ .  $P(BA_k)$  is the probability of block  $b_k$  in an available state.  $P(\overline{BA_k})$  is the probability of block  $b_k$  in an unavailable state, and  $P(\overline{BA_k}) = 1 - P(BA_k)$ .*

The number of replicas of block  $b_k$  is  $bn_k$ . It is obvious that block  $b_k$  is considered unavailable only if all the

replicas of block  $b_k$  are not available. So the availability and unavailability of block  $b_k$  is given in Theorem 1.

**Theorem 1.** *If the number of replicas of block  $b_k$  is  $bn_k$ , the available and unavailable probability of each replica of block  $b_k$  are  $p(ba_k)$  and  $p(\overline{ba_k}) = 1 - p(ba_k)$ , respectively, then,*

$$P(BA_k) = 1 - (1 - p(ba_k))^{bn_k}, \quad (6)$$

and

$$P(\overline{BA_k}) = (1 - p(ba_k))^{bn_k}. \quad (7)$$

*Proof.* The available and unavailable probability of each replica of block  $b_k$  are  $p(ba_k)$  and  $p(\overline{ba_k})$ , and the available and unavailable probability of block  $b_k$  are  $P(BA_k)$  and  $P(\overline{BA_k})$ . As there are  $bn_k$  replicas of block  $b_k$ , block  $b_k$  is unavailable if and only if all the  $bn_k$  replicas of block  $b_k$  are unavailable. Therefore,

$$P(\overline{BA_k}) = p(\overline{ba_{k_1}}, \overline{ba_{k_2}}, \dots, \overline{ba_{k_{bn_k}}}).$$

All the  $bn_k$  replicas are distributed in different data nodes, and all the  $bn_k$  replicas are independent of each other, thus,

$$\begin{aligned} P(\overline{BA_k}) &= p(\overline{ba_{k_1}}) \times p(\overline{ba_{k_2}}) \times \dots \times p(\overline{ba_{k_{bn_k}}}) \\ &= \prod_{i=1}^{bn_k} p(\overline{ba_{k_i}}). \end{aligned}$$

Then,

$$\begin{aligned} P(\overline{BA_k}) &= \prod_{i=1}^{bn_k} p(\overline{ba_{k_i}}) = \prod_{i=1}^{bn_k} (1 - p(ba_{k_i})) \\ &= \prod_{i=1}^{bn_k} (1 - p(ba_k)) = (1 - p(ba_k))^{bn_k}. \end{aligned}$$

As  $P(\overline{BA_k}) = 1 - P(BA_k)$ , we obtain

$$P(BA_k) = 1 - P(\overline{BA_k}) = 1 - (1 - p(ba_k))^{bn_k}. \quad \square$$

As shown in Fig.3, if the number of replicas of block  $a_1$  is 3, that is  $bn_k = 3$ , and the available probability of each replica is 0.98, i.e.,  $p(ba_{a_1}) = 0.98$ , then the available probability of block  $a_1$  is  $P(BA_{a_1}) = 1 - (1 - p(ba_{a_1}))^{bn_k} = 1 - (1 - 0.98)^3 = 0.99992$ , the unavailable probability of block  $a_1$  is  $P(\overline{BA_{a_1}}) = (1 - p(ba_{a_1}))^{bn_k} = (1 - 0.98)^3 = 0.000008$ .

**Definition 3** (File Availability). *File availability is the ability of a data file to limit, control, and provide proper service under given constraints. The file availability of a data file  $f_i$  is denoted as  $FA_i$ .  $P(FA_i)$  is the probability of data file  $f_i$  in an available state.  $P(\overline{FA_i})$  is the probability of data file  $f_i$  in an unavailable state, and  $P(\overline{FA_i}) = 1 - P(FA_i)$ .*

If the data file  $f_i$  is stripped into  $n_i$  fixed blocks denoted by  $B_i = \{b_{i_1}, b_{i_2}, \dots, b_{i_{n_i}}\}$ , which are distributed

on different data nodes.  $N_i = \{bn_{i_1}, bn_{i_2}, \dots, bn_{i_{n_i}}\}$  is the set of the numbers of replicas of the blocks of  $B_i$ . The availability and unavailability of data file  $f_i$  is given in Theorem 2.

**Theorem 2.** *If the data file  $f_i$  is stripped into  $n_i$  blocks, there are  $bn_i$  replicas of each block in data file  $f_i$ , and all blocks at the same site will have the same available probability as all blocks are stored in data nodes with the same configuration in cloud data centers, the available probability of each replica is  $p(ba_i)$  in data file  $f_i$ , then,*

$$P(FA_i) = (1 - (1 - p(ba_i))^{bn_i})^{n_i}, \quad (8)$$

and

$$P(\overline{FA_i}) = (1 - (1 - p(ba_i))^{bn_i})^{n_i}. \quad (9)$$

*Proof.* As the data file  $f_i$  is stripped into  $n_i$  blocks, the data file  $f_i$  is available if and only if each block in  $B_i = \{b_{i_1}, b_{i_2}, \dots, b_{i_{n_i}}\}$  are available, and all blocks are independent of each other. Therefore,

$$\begin{aligned} P(FA_i) &= P(BA_{i_1}, BA_{i_2}, \dots, BA_{i_{n_i}}) \\ &= P(BA_{i_1}) \times P(BA_{i_2}) \times \dots \times P(BA_{i_{n_i}}) \\ &= \prod_{j=1}^{n_i} P(BA_{i_j}) \\ &= \prod_{j=1}^{n_i} \left(1 - \prod_{k=1}^{bn_{i_j}} (1 - p(ba_{i_j}))\right). \end{aligned}$$

All blocks are stored in data nodes with the same configuration in cloud data centers, without loss of generality. So we set the probability of all blocks of data file  $f_i$  to the same, that is,

$$p(ba_{i_1}) = p(ba_{i_2}) = \dots = p(ba_{i_{n_i}}) = p(ba_i).$$

As the set of numbers  $N_i = \{bn_{i_1}, bn_{i_2}, \dots, bn_{i_{n_i}}\}$  of replicas of all blocks of data file  $f_i$  are the same, that is,

$$bn_{i_1} = bn_{i_2} = \dots = bn_{i_{n_i}} = bn_i.$$

We obtain

$$\begin{aligned} P(FA_i) &= \prod_{j=1}^{n_i} \left(1 - \prod_{k=1}^{bn_{i_j}} (1 - p(ba_{i_j}))\right) \\ &= \prod_{j=1}^{n_i} (1 - (1 - p(ba_i))^{bn_{i_j}}) \\ &= (1 - (1 - p(ba_i))^{bn_i})^{n_i}. \end{aligned}$$

As  $P(\overline{FA_i}) = 1 - P(FA_i)$ , we obtain

$$P(\overline{FA_i}) = 1 - P(FA_i) = 1 - (1 - (1 - p(ba_i))^{bn_i})^{n_i}. \quad \square$$

As shown in Fig.3, if the data file  $a$  is stripped into 3 blocks, that is  $n_i = 3$ , the number of replicas of data file  $a$  is also 3, that is  $bn_k = 3$ , and the available probability of each replica is 0.98, i.e.,  $p(ba_a) = 0.98$ , then the availability and unavailability of data file  $f_i$  are  $P(FA_i) = (1 - (1 - 0.98)^3)^3 = 0.999976$  and  $P(\overline{FA_i}) = 1 - (1 - (0.98)^3)^3 = 0.000024$ , respectively.

Because the system level data availability is more important than the single file availability in the cloud, higher system data availability will result in more benefits than higher file availability, especially when some data files are popular while other data files are not.

**Definition 4** (System Byte Effective Rate). *System byte effective rate is the rate of the number of bytes potentially available and the total number of bytes requested by all tasks in a system. The system byte effective rate of a system is denoted as  $R(SBER)$ .*

Given the fact that a particular data file access operation will only request one file, any two file request will access different replicas and be independent of each other. Each user from the user set  $U$  will request one or more data files at one data file request. The system byte effective rate and system byte non-effective rate of a system is given in Theorem 3.

**Theorem 3.** *If  $F = \{f_1, f_2, \dots, f_{fn}\}$  is the data file set of a data center  $DC$  and is composed of  $fn$  data files,  $B = \{B_1, B_2, \dots, B_{fn}\}$  is a set of blocks of data center  $DC$ ,  $B_i = \{b_{i_1}, b_{i_2}, \dots, b_{i_{n_i}}\}$  is the  $i$ -th sub-set of blocks belonging to the  $i$ -th data file  $f_i$ , which consists of  $n_i$  blocks, the number of accesses of all users to the  $i$ -th data file  $f_i$  is  $an_i$ , the number of replicas of each block in data file is  $bn_i$ , and the size of block  $b_k$  is  $bs_k$ , then,*

$$R(SBER) = \frac{\sum_{i=1}^{fn} \left( an_i \times \left( \sum_{h=1}^{n_i} bs_h \right) \times (1 - (1 - p(ba_i))^{bn_i})^{n_i} \right)}{\sum_{i=1}^{fn} \left( an_i \times \sum_{h=1}^{n_i} bs_h \right)}. \quad (10)$$

*Proof.* The data file set  $F = \{f_1, f_2, \dots, f_{fn}\}$  of a data center  $DC$  is composed of  $fn$  data files, and system byte effective rate  $R(SBER)$  of a system is the rate of the number of bytes potentially available and the total number of bytes requested by all tasks. Therefore,

$$R(SBER) = \frac{\sum_{i=1}^{fn} (P(FA_i) \times FS_i)}{\sum_{i=1}^{fn} FS_i},$$

where  $FS_i$  is the total number of bytes requested by all

tasks of the  $i$ -th data file  $f_i$ .

If the number of accesses of the total users of the  $i$ -th data file  $f_i$  is  $an_i$ , and the size of data file  $f_i$  is  $fs_i$ , then,  $FS_i$  is

$$FS_i = \sum_{k=1}^{an_i} fs_k.$$

If the  $i$ -th data file  $f_i$  is stripped into  $n_i$  blocks, and the size of block  $b_k$  is  $bs_k$ , then,

$$fs_i = \sum_{h=1}^{n_i} bs_h.$$

We have

$$FS_i = \sum_{k=1}^{an_i} fs_k = \sum_{k=1}^{an_i} \sum_{h=1}^{n_i} bs_h,$$

then,

$$\begin{aligned} R(SBER) &= \frac{\sum_{i=1}^{fn} (P(FA_i) \times FS_i)}{\sum_{i=1}^{fn} FS_i} \\ &= \frac{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \left( P(FA_k) \times \sum_{h=1}^{n_i} bs_h \right)}{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \sum_{h=1}^{n_i} bs_h} \\ &= \frac{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \left( \left( \sum_{h=1}^{n_i} bs_h \right) \times \left( 1 - (1 - p(ba_i))^{bn_i} \right)^{n_i} \right)}{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \sum_{h=1}^{n_i} bs_h}. \end{aligned}$$

Without loss of generality, assume the available probabilities  $p(ba_k)$  of all replicas of block  $b_k$  are the same in all  $an_i$  access cycles. Then the following is obtained,

$$\begin{aligned} R(SBER) &= \frac{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \left( \left( \sum_{h=1}^{n_i} bs_h \right) \times \left( 1 - (1 - p(ba_i))^{bn_i} \right)^{n_i} \right)}{\sum_{i=1}^{fn} \sum_{k=1}^{an_i} \sum_{h=1}^{n_i} bs_h} \\ &= \frac{\sum_{i=1}^{fn} \left( an_i \times \left( \sum_{h=1}^{n_i} bs_h \right) \times \left( 1 - (1 - p(ba_i))^{bn_i} \right)^{n_i} \right)}{\sum_{i=1}^{fn} \left( an_i \times \sum_{h=1}^{n_i} bs_h \right)}. \quad \square \end{aligned}$$

For a scenario given in Fig.4, assume there are 3 data files  $a$ ,  $b$ , and  $c$  in data center  $DC$ , and  $l = 3$ . Table 1 shows the detailed parameter setup for the example in Fig.4.

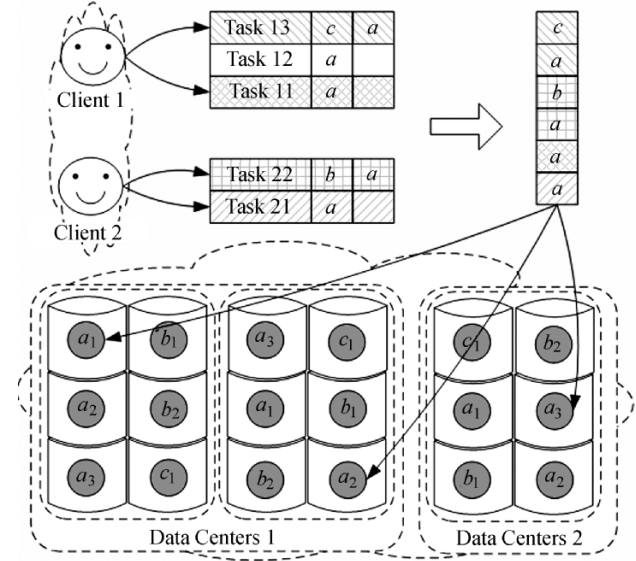


Fig.4. Cloud data server instance.

Table 1. Parameter Setup of  $R(SBER)$

Parameter	Data File					
	a			b		c
	$a_1$	$a_2$	$a_3$	$b_1$	$b_2$	$c_1$
$n_i$	3.00	3.00	3.00	2.00	2.00	1.00
$an_i$	4.00	4.00	4.00	1.00	1.00	1.00
$bn_i$	3.00	3.00	3.00	3.00	3.00	3.00
$bs_i$	64.00	64.00	53.00	64.00	58.00	39.00
$p(ba_i)$	0.89	0.89	0.89	0.55	0.55	0.62

$$R(SBER) = ((4 \times (64 + 64 + 53) \times 0.999976 + 1 \times (64 + 58) \times 0.709867 + 1 \times 39 \times 0.945128) / (4 \times (64 + 64 + 53) + 1 \times (64 + 58) + 1 \times 39)) = 0.957567.$$

## 4 Dynamic Data Replication Strategy

The dynamic data replication strategy D2RS (Dynamic Data Replication Strategy) has three important phases: 1) which data file should be replicated and when to replicate in the cloud system to meet users' requirements such as waiting time reduction and data access speeding up; 2) how many suitable new replicas should be created in the cloud system to meet a given availability requirement; 3) where the new replicas should be placed to meet the system task successful execution rate and bandwidth consumption requirements.

### 4.1 Decide Which and When to Replicate

Given the fact that a more recently accessed data file



might be accessed again in the near future according to the current status of data access pattern, which is called temporal locality, a popular data file is determined by analyzing the access to the data from users. When the popularity of a data file passes a dynamic threshold, the replication operation will be triggered<sup>[13,22,25,30-31]</sup>.

**Definition 5** (Time-Based Forgetting Function). A time-based forgetting function  $\omega$  is defined over the domain Time, with values within the interval  $[0, 1]$ . It is used to calculate the popularity degree  $PD_{b_k}$  of a block  $b_k$  at the present time  $t_p$  according to the access frequency at the start time  $t_s$ , as shown by (11),

$$\omega(t_p, t_s) = a^{-(\Delta t)^k} = a^{-(t_p - t_s)^k}, \quad a > 1, k \in \{1, 2, \dots\}, \quad (11)$$

where  $\Delta t = t_p - t_s$ , as usual, parameter  $a$  is assigned as  $e$ , as shown by

$$\omega(t_p, t_s) = e^{-(\Delta t)^k} = e^{-(t_p - t_s)^k}, \quad k \in \{1, 2, \dots\}. \quad (12)$$

The value of  $k$  determines the rate of decay of the popularity degree with time  $\Delta t$ , and is assigned by the block  $b_k$  based on its perception about the change. Fig.5 shows the nature of the change of  $\omega(t_p, t_s)$  with different values of  $k$ . If  $\Delta t = 0$ , then  $\omega(t_p, t_s) = e^{-0} = 1$ . If  $\Delta t \rightarrow +\infty$ , then  $\omega(t_p, t_s) = \lim_{\Delta t \rightarrow +\infty} e^{-(\Delta t)^k} = 0$ . This corroborates the fact that the time-based forgetting weight is asymptotic to zero at infinite time.

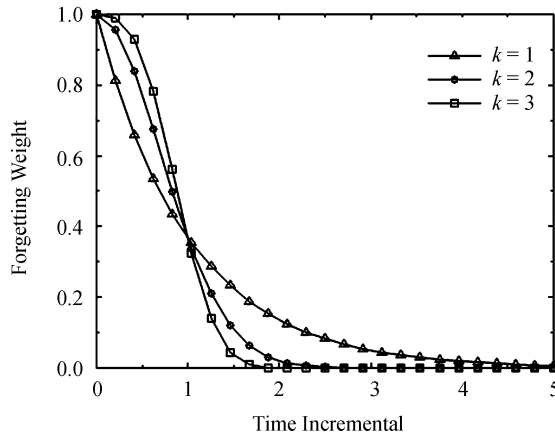


Fig.5. Time-based forgetting function.

**Definition 6** (Popularity Degree). The popularity degree of a block  $b_k$  is defined as the access frequency based on time factor. During the period from the start time  $t_s$  to the present time  $t_p$ , the popularity degree  $pd_k$  of a block  $b_k$  can be calculated by (13).

$$pd_k = \sum_{t_i=t_s}^{t_p} (an_k(t_i, t_{i+1}) \times \omega(t_i, t_p)), \quad (13)$$

where  $an_k(t_i, t_{i+1})$  is the number of accesses during the time interval  $t_i$  to  $t_{i+1}$ .

**Definition 7** (Replica Factor). The replica factor is defined as the ratio of the popularity degree and the total number of bytes of data file  $f_i$  requested by all tasks under given constraints. It is used to determine whether the data file  $f_i$  should be replicated, denoted as  $RF_i$  in (14).

$$RF_i = \frac{PD_i}{RN_i \times FS_i}, \quad (14)$$

where  $PD_i$ ,  $RN_i$ ,  $FS_i$  are the popularity degree, number of replicas and file size of data file  $f_i$  in million bytes, respectively.

**Theorem 4.** If the data file  $f_i$  is stripped into  $n_i$  blocks,  $bn_i$ ,  $bs_i$ , and  $an_k(t_i, t_{i+1})$  are the number of replicas, block size and number of accesses in the time interval  $t_i$  to  $t_{i+1}$  of each block in the data file  $f_i$ , respectively, then,

$$RF_i = \frac{\sum_{t_i=t_s}^{t_p} (an_k(t_i, t_{i+1}) \times \omega(t_i, t_p))}{bn_i \times \sum_{j=1}^{n_i} bs_j}. \quad (15)$$

*Proof.* As the data file  $f_i$  is stripped into  $n_i$  blocks, and  $B_i = \{b_{i_1}, b_{i_2}, \dots, b_{i_{n_i}}\}$ , if the block  $b_k \in f_i$ , once one user accesses block  $b_k$ , he will access all the blocks of the file  $f_i$ , then the popularity degree  $pd_k$  of a block  $b_k$  is equal to the popularity degree  $PD_i$  of the file  $f_i$ . At the same time, assume the set of numbers  $N_i = \{bn_{i_1}, bn_{i_2}, \dots, bn_{i_{n_i}}\}$  of replicas of all blocks in the data file  $f_i$  be the same, denoted as  $bn_i$ . Therefore,

$$\begin{aligned} RF_i &= \frac{PD_i}{RN_i \times FS_i} = \frac{pd_k}{\sum_{l=1}^{n_i} \sum_{h=1}^{bn_i} bs_{l_h}} \\ &= \frac{\sum_{t_i=t_s}^{t_p} (an_k(t_i, t_{i+1}) \times \omega(t_i, t_p))}{bn_i \times \sum_{j=1}^{n_i} bs_j}. \quad \square \end{aligned}$$

According to Definition 7, we can prove that the system replica factor  $RF_{sys}$  can be calculated by (16).

$$RF_{sys} = \frac{\sum_{h=1}^l \left( \sum_{t_i=t_s}^{t_p} (an_h(t_i, t_{i+1}) \times \omega(t_i, t_p)) \right)}{\sum_{i=1}^l \left( bn_i \times \sum_{j=1}^{n_i} bs_j \right)}. \quad (16)$$

In each time interval  $T$ , the replication operation of the data file  $f_i$  will be triggered if the condition shown

in (17) is met.

$$RF_i > \min \left( (1 + \alpha) \times RF_{sys}, \max \left( \forall_{k \in [1, 2, \dots, l]} RF_k \right) \right),$$

$$\alpha \in [0, 1], \tag{17}$$

where  $\alpha$  is the adjustable parameter according to different system performance. The better the requested system performance, the greater  $\alpha$  can be selected.

If  $\alpha = 0$ , then all files whose replica factors are greater than  $(1 + \alpha) \times RF_{sys}$  will be replicated.

If  $(1 + \alpha) \times RF_{sys} = \max \left( \forall_{k \in [1, 2, \dots, l]} RF_k \right)$ , then the only one file with the maximum replica factor will be replicated.

If  $(1 + \alpha) \times RF_{sys} > \max \left( \forall_{k \in [1, 2, \dots, l]} RF_k \right)$ , then no file will be replicated.

For the scenario given in Fig.4, assume that data file  $a$  is stripped into 3 blocks  $a_1$ ,  $a_2$  and  $a_3$ , the number of replicas of each block is 3, the block sizes of the blocks are 64 MB, 64 MB and 53 MB. Table 2 shows the detailed parameter setup used within the time interval  $t_0$  to  $t_5$ .

$$RF_a = (1.388794e-011 \times 96 + 1.125352e-007 \times 89 + 1.234098e-004 \times 902 + 1.831564e-002 \times 883 + 3.678794e-001 \times 1087 + 1.000000e-000 \times 1279) / (3 \times (64 + 64 + 53)) = 3.121858.$$

**Table 2.** Parameter for PD about File  $a$

$k$	$t_s$	$t_p$	$\Delta t$	$\omega(t_p, t_s)$	$an_k(t_i, t_{i+1})$
2	0.0	5.0	5.0	1.388794e-011	96
2	1.0	5.0	4.0	1.125352e-007	89
2	2.0	5.0	3.0	1.234098e-004	902
2	3.0	5.0	2.0	1.831564e-002	883
2	4.0	5.0	1.0	3.678794e-001	1087
2	5.0	5.0	0.0	1.000000e-000	1279

The data file  $b$  is stripped into 2 blocks  $b_1$  and  $b_2$ , as shown in Fig.4, the number of replicas of each block is 3, the block sizes of the blocks are 64 MB and 58 MB. Table 3 shows the detailed parameter setup used within time interval  $t_1$  to  $t_5$ .

$$RF_b = (1.125352e-007 \times 523 + 1.234098e-004 \times 678 + 1.831564e-002 \times 2365 + 3.678794e-001 \times 1987 + 1.000000e-000 \times 3645) / (3 \times (64 + 58)) = 9.342559.$$

**Table 3.** Parameter for PD about File  $b$

$k$	$t_s$	$t_p$	$\Delta t$	$\omega(t_p, t_s)$	$an_k(t_i, t_{i+1})$
2	1.0	5.0	4.0	1.125352e-007	523
2	2.0	5.0	3.0	1.234098e-004	678
2	3.0	5.0	2.0	1.831564e-002	2365
2	4.0	5.0	1.0	3.678794e-001	1987
2	5.0	5.0	0.0	1.000000e-000	2645

Assume that data file  $c$  be stripped into block  $c_1$ , as shown in Fig.4, the number of replicas of block  $c_1$  is 3, the block size of block  $c_1$  is 39 MB. Table 4 shows the detailed parameter setup used within time interval  $t_3$  to  $t_5$ .

$$RF_c = (1.831564e-002 \times 1035 + 3.678794e-001 \times 1256 + 1.000000e-000 \times 898) / (3 \times 39) = 11.786438.$$

$$RF_{sys} = (1.388794e-011 \times 96 + 1.125352e-007 \times 89 + 1.234098e-004 \times 902 + 1.831564e-002 \times 883 + 3.678794e-001 \times 1087 + 1.000000e-000 \times 1279 + 1.125352e-007 \times 523 + 1.234098e-004 \times 678 + 1.831564e-002 \times 2365 + 3.678794e-001 \times 1987 + 1.000000e-000 \times 3645 + 1.831564e-002 \times 1035 + 3.678794e-001 \times 1256 + 1.000000e-000 \times 898) / (3 \times (64 + 64 + 53) + 3 \times (64 + 58) + 3 \times 39) = 6.329005.$$

**Table 4.** Parameter for PD about File  $c$

$k$	$t_s$	$t_p$	$\Delta t$	$\omega(t_p, t_s)$	$an_k(t_i, t_{i+1})$
2	3.0	5.0	2.0	1.831564e-002	1035
2	4.0	5.0	1.0	3.678794e-001	1256
2	5.0	5.0	0.0	1.000000e-000	898

If  $\alpha = 0.2$ ,  $(1 + \alpha) \times RF_{sys} = (1 + 0.2) \times 6.329005 = 7.594806$ , the files  $b$  and  $c$  will be replicated.

If  $\alpha = 0.5$ ,  $(1 + \alpha) \times RF_{sys} = (1 + 0.5) \times 6.329005 = 9.493507$ , the file  $c$  will be replicated.

If  $\alpha = 0.9$ ,  $(1 + \alpha) \times RF_{sys} = (1 + 0.9) \times 6.329005 = 12.025109$ , no file will be replicated.

#### 4.2 Determine the Number of New Replicas

To meet the system byte effective rate requirement, new replicas should be created<sup>[31-33]</sup>. With a reasonable increase of file availability, the number of new replicas that need to be created can be calculated according to

(18), which determines the new replicas on the basis of old file availability  $P_{old}(FA_i)$  of data file  $f_i$  and the replica factor based adjustable parameter  $\beta$ .

$$P_{new}(FA_i) = P_{old}(FA_i) + \beta \times (1 - P_{old}(FA_i)),$$

$$\beta \in [0, 1], \tag{18}$$

where  $P_{new}(FA_i)$  and  $P_{old}(FA_i)$  are the new file availability and the old file availability of data file  $f_i$ , respectively.  $\beta$  is the replica factor based adjustable parameter. It can be calculated according to (19).

$$\beta = \frac{RF_i}{\sum_{k=1}^{num_s} RF_k}, \tag{19}$$

where  $num_s$  is the number of files selected to be replicated.

**Theorem 5.** *If the data file  $f_i$  is stripped into  $n_i$  blocks,  $P_{old}(FA_i)$  is the old file availability of data file  $f_i$ ,  $RF_i$  is the replica factor of data file  $f_i$ ,  $bn_i(old)$  is the old replica number of data file  $f_i$ ,  $num_s$  is the number of files selected to be replicated, the number of new replicas  $bn_i(inc)$  to be created is,*

$$bn_i(inc) = \left\lfloor \frac{\ln \left( 1 - \left( P_{old}(FA_i) + \frac{RF_i}{\sum_{k=1}^{num_s} RF_k} \times (1 - P_{old}(FA_i)) \right)^{\frac{1}{n_i}} \right)}{\ln(1 - p(ba_i))} - bn_i(old) \right\rfloor. \tag{20}$$

*Proof.* As  $P_{new}(FA_i)$  and  $P_{old}(FA_i)$  are the new file availability and old file availability of data file  $f_i$ , respectively, and  $P_{new}(FA_i) = (1 - (1 - p(ba_i))^{bn_i(new)})^{n_i}$ , according to (18) and (19), we obtain,

$$(1 - (1 - p(ba_i))^{bn_i(new)})^{n_i} = P_{old}(FA_i) + \frac{RF_i}{\sum_{k=1}^{num_s} RF_k} \times (1 - P_{old}(FA_i)),$$

and,

$$bn_i(new) =$$

$$\left\lfloor \frac{\ln \left( 1 - \left( P_{old}(FA_i) + \frac{RF_i}{\sum_{k=1}^{num_s} RF_k} \times (1 - P_{old}(FA_i)) \right)^{\frac{1}{n_i}} \right)}{\ln(1 - p(ba_i))} \right\rfloor.$$

If the old number of replicas is  $bn_i(old)$ , the number of new replicas  $bn_i(inc)$  to be created is,

$$bn_i(inc) = \lfloor bn_i(new) - bn_i(old) \rfloor = \left\lfloor \frac{\ln \left( 1 - \left( P_{old}(FA_i) + \frac{RF_i}{\sum_{k=1}^{num_s} RF_k} \times (1 - P_{old}(FA_i)) \right)^{\frac{1}{n_i}} \right)}{\ln(1 - p(ba_i))} - bn_i(old) \right\rfloor. \quad \square$$

For the scenario given in Subsection 4.1, assume  $\alpha = 0.2$  and the files  $b$  and  $c$  will be replicated, Table 5 shows the detailed parameters used.

**Table 5.** Parameter for  $bn_i$

Parameter	Data File	
	$b$	$c$
$P_{old}(FA_i)$	0.826 054	0.978 048
$p(ba_i)$	0.46	0.62
$RF_i$	9.342 559	11.786 438
$n_i$	2	1

$bn_b(inc) = \ln(1 - (0.709 867 + (1 - 0.709 867) \times (9.342 559 / (9.342 559 + 11.786 438))^{1/2}) / (\ln(1 - 0.46))) - 3 = 1.010 306$ , so the number of new replicas of data file  $b$  to be created is 1;

$bn_c(inc) = \ln(1 - (0.945 128 + (1 - 0.945 128) \times (11.786 438 / (9.342 559 + 11.786 438))^{1/1}) / (\ln(1 - 0.62))) - 3 = 0.843 406$ , so the number of new replicas of data file  $c$  to be created is 0.

### 4.3 Placement of New Replicas

To meet the system task successful execution rate and bandwidth consumption requirement, different tiers of data centers which have the selected replica data file  $f_i$  will decide the replica placement and the placement of new replicas to be created according to the access information of directly connected data centers. The number of new replicas created at the directly connected data center  $dc_k$  is calculated according to (21), based on the total number of new replicas  $bn_i(inc)$  and

the replica factor  $RF_i(dc_k)$ .

$$bn_i(dc_k) = \begin{cases} bn_i(inc) - \sum_{\neg \max(RF_i(dc_k))} \\ \left\lfloor \frac{RF_i(dc_k)}{RF_i} \times bn_i(inc) \right\rfloor, \\ \text{if } RF_i(dc_k) \text{ is } \max(RF_i(dc_k)), \\ \left\lfloor \frac{RF_i(dc_k)}{RF_i} \times bn_i(inc) \right\rfloor, \text{ otherwise,} \end{cases} \quad (21)$$

where  $bn_i(dc_k)$  is the number of new replicas to be created at the directly connected data center  $dc_k$ ,  $RF_i(dc_k)$  is the replica factor of data file  $f_i$  of the data center  $dc_k$  directly connected, and  $RF_i$  is the replica factor of the data file  $f_i$ .

For the scenario given in Fig.6, assume the data file  $d$  has 2 replicas at a main data center and an ordinary data center, respectively, and 3 replicas need to be created. The detailed replica factor parameter setup is shown in Fig.6.

$$\begin{aligned} bn_d(dc_1) &= (3.256\ 398 / (3.256\ 398 + 0.125\ 999\ 8 + \\ &\quad 5.960\ 163)) \times 3 = 1; \\ bn_d(dc_2) &= 0; \\ bn_d(dc_3) &= 0; \\ bn_d(dc_4) &= (0.125\ 999\ 8 / (3.256\ 398 + 0.125\ 999\ 8 + \\ &\quad 5.960\ 163)) \times 3 = 0; \\ bn_d(dc_5) &= 0; \\ bn_d(dc_6) &= (5.960\ 163 / (3.256\ 398 + 0.125\ 999\ 8 + \\ &\quad 5.960\ 163)) \times 3 = 2. \end{aligned}$$

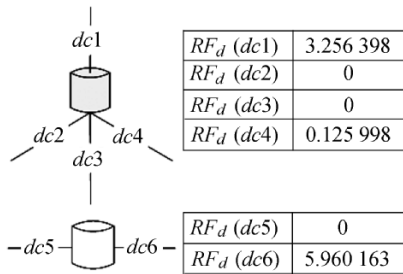


Fig.6. Replica placement instance.

The main data center will create 1 replica at the link  $dc1$  directly connected to the data center, and the ordinary data center will create 2 replicas to the link  $dc6$  directly connected to the data center.

#### 4.4 D2RS Algorithm

According to the above analysis, the replication decision is based on the theory of temporal locality. A

popular data file is determined by the analysis of the access information to the data from users. When the popularity of a data file passes a dynamic threshold, the replication operation will be triggered. The number of replicas depends on the reasonable increase of file availability; the replica placement is determined by the access information of directly connected data centers and is accomplished in a balanced way. The core part of the D2RS algorithm is described as follows.

3 **Algorithm.** D2RS Algorithm

2 **Input:** the available probability  $p(ba_k)$  and unavailable probability  $p(\overline{ba_k})$  of all replicas of block  $b_k$  of the data file  $f_i$ , the number of replicas  $bn_i$ , the block size  $bs_i$  and the number of accesses  $an_k(t_i, t_{i+1})$  within time interval  $t_i$  to  $t_{i+1}$  for each block of data file  $f_i$ .

3 **Output:** system byte effective rate  $R(SBER)$ .

4 Initialize available and unavailable probability of each replica of block  $b_k$   $p(ba_k)$  and  $p(\overline{ba_k})$ .

5 **for** each data file  $f_i$  at all data centers  $DC$  **do**

6 Calculate the popularity degree  $pd_k$  of a block  $b_k$  of data file  $f_i$  by (13).

7 Calculate replica factor  $RF_i$  of data file  $f_i$  by (15).

8 **end for**

9 Calculate replica factor  $RF_{sys}$  of the cloud system by (16).

10 **for** each data file  $f_i$  at all data centers  $DC$  **do**

11 **if**  $RF_i > \min\left((1 + \alpha) \times RF_{sys}, \max_{k \in [1, 2, \dots, l]} RF_k\right)$  **then**

12 The replication operation of the data file  $f_i$  will be triggered.

13 **end if**

14 **end for**

15 **for** each data file  $f_i$  at all data centers  $DC$  **do**

16 Calculate the old file availability  $P(FA_i)$  of data file  $f_i$  by (8).

17 **end for**

18 **for** each triggered data file **do**

19 Calculate the new file availability  $P_{new}(FA_i)$  of data file  $f_i$  by (18).

20 Calculate the number of new replicas needed  $bn_i(inc)$  by (20).

21 **end for**

22 **for** each triggered data file and  $bn_i(inc) > 0$  **do**

23 **for** each directly connected data center  $dc_k$  **do**

24 Calculate the number of new replicas  $bn_i(dc_k)$  to be created at the directly connected data center  $dc_k$  by (21).

25 **end for**

26 Determine the replica placement according to  $bn_i(dc_k)$ .

27 **if** the storage space of the target data center  $DC_{obj}$  is not enough **then**

```

28   Quick sort all data file in descending order by
    replica factor of data file at data center  $DC_{obj}$ .
29   Delete the data file with the smallest replica
    factor.
30   end if
31 end for
32 Calculate the new system byte effective rate
     $R(SBER)$  by (10).
33 Return  $R(SBER)$ .

```

The input of D2RM algorithm are the available probability  $p(ba_k)$  and unavailable probability  $p(\overline{ba_k})$  of all replicas of block  $b_k$  of the data file  $f_i$ , the number of replicas  $bn_i$ , block size  $bs_i$  and number of accesses  $an_k(t_i, t_{i+1})$  within time interval  $t_i$  to  $t_{i+1}$  for each block in the data file  $f_i$ , and the output is the system byte effective rate  $R(SBER)$ . Steps 5~14 calculate the replica factor and determine which data file should be replicated and when to replicate in a cloud system to meet the users' requirements, such as waiting time reduction and data access speeding up. Steps 15~21 calculate the number of new replicas  $bn_i(new)$  and determine how many suitable new replicas  $bn_i(inc)$  should be created in the cloud system to meet a given availability requirement. Steps 22~31 calculate the number of new replicas  $bn_i(dc_k)$  to be created at the directly connected data center  $dc_k$  and decide where the new replicas should be placed to meet the system task successful execution rate and bandwidth consumption requirement. Step 32 calculates the new system byte effective rate  $R(SBER)$ . The time complexity of the D2RS algorithm is  $O(L \times N)$ , in which  $L$  is the number of data files, and  $N$  is the maximum number of directly connected data centers.

## 5 Simulation and Performance Evaluation

In order to evaluate the performance of the proposed D2RS algorithm, simulation environment and parameter setup are discussed in this section, followed by the precise performance evaluation results.

### 5.1 Simulation Environment and Parameter Setup

CloudSim toolkit<sup>[9,34-36]</sup> is used as the simulation environment. As a Java based simulation platform, it supports modeling and simulation of large scale cloud computing data centers. Special users and resources

can be generated by rewriting the corresponding codes, which aligns well with various users and resources of the cloud system. It is feasible to simulate the proposed D2RS algorithm with CloudSim.

32 data centers are created in the simulation environment. The corresponding topology is shown in Fig.2 and detailed configuration is shown in Table 6. 570 virtual machines are set as the service providers, and the processing elements (PEs) number of each virtual machine is within the range of 2 to 4. Forty different data files are placed in the cloud storage environment, with each size in the range of [0.1, 10] GB. Each file is stored in fixed size ( $bs = 0.2$  GB) storage unit called block. Blocks of the same data file are scattered across different virtual machines. At the very beginning, the number of replicas of each data file is 1 and placed randomly. For simplicity, it is assumed that the basis element of data storage is block and the element of replication is one total data file.

One thousand tasks are submitted to the 570 virtual machines, each task is submitted according to Poisson distribution after the previous task and asks for 1 or 2 data files.

### 5.2 Performance Evaluation

The experiments set up four evaluation parameters: system byte effective rate, number of replicas, response time and successful execution rate.

1) *System Byte Effective Rate*. System byte effective rate reflects the byte availability of cloud systems under given constraints. It can be obtained by (10).

As shown in Fig.7, as the number of replicas increasing, the D2RS algorithm ensures the system byte effective rate at a high level. When the average block availability is more than 0.8, and the number of replicas is 4, the system byte effective rate is close to 1, even when the average block availability is less than 0.2. To keep the system byte effective rate close to 1, the number of replicas should be no more than 30. It can be seen that the D2RS algorithm is able to significantly improve the system byte effective rate.

In Fig.8, while the block availability is fixed at 0.8 and 0.9 and the number of replicas increases from 1 to 5, for the system byte effective rate, the gap between the experimental results of D2RS algorithm and the theoretical analysis results are dramatically narrowed. We assume all tasks can be completed within the deadline

**Table 6.** Configuration of Data Centers

Data Center ID	Machine Number	PE per Machine	Processing Ability (MIPS)	Bandwidth
Super Data Center_0~2	20	8~16	400~800	10.0 Gbps
Main Data Center_3~11	10	4~8	200~400	1.0 Gbps
Ordinary Data Center_12~38	5	1~4	100~200	0.1 Gbps

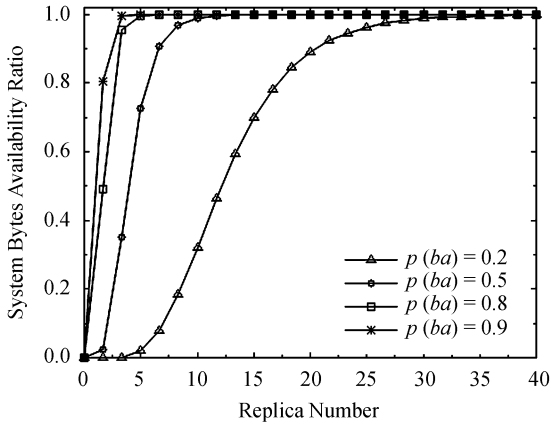


Fig.7. System byte effective rate with different number of replicas.

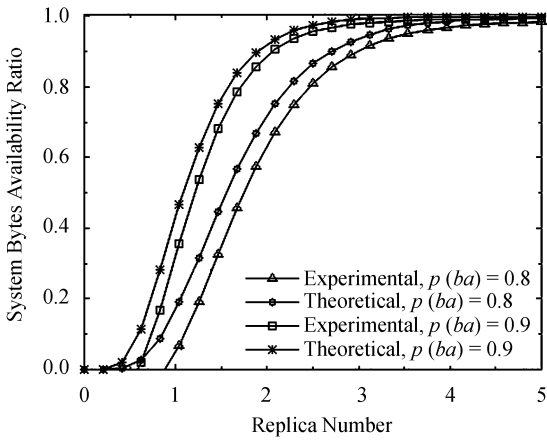


Fig.8. System byte effective rate comparison.

at the first time submission according to theoretical analysis.

2) *Number of Replicas.* To maintain system byte effective rate at a high level, a reasonable number of replicas of data files is needed, which can be obtained by (20). To evaluate the convergence of D2RS algorithm, the block availability is fixed at 0.8, and different values of adjustable parameter  $\alpha$  are chosen to compute the needed number of replicas.

As shown in Fig.9, with time elapses, the number of replicas is increasing within a very short period of time. Then this number of replicas is maintained at a relatively stable level, which is determined by the adjustable parameter  $\alpha$ . We conclude that the greater the adjustable parameter  $\alpha$ , the more replicas are needed to maintain the requested system byte effective rate. This proves the D2RS algorithm has a good convergence rate.

3) *Response Time.* The response time for a data file is the interval between the submission time of the task and return time of the result. The average response

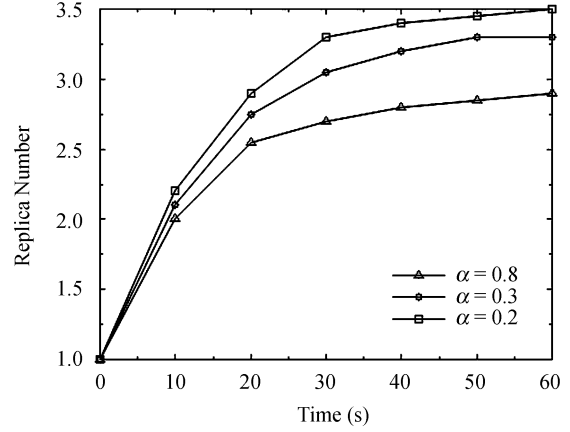


Fig.9. Number of replicas with different parameter  $\alpha$ .

time of a system is the mean value of the response time for all data request tasks of the users, which can be obtained by (22).

$$rt_{avg} = \frac{\sum_{j=1}^m \sum_{k=1}^{m_j} (ts_{jk}(rt) - ts_{jk}(st))}{\sum_{j=1}^m m_j}, \quad (22)$$

where  $ts_{jk}(st)$  and  $ts_{jk}(rt)$  are the submission time and the return time of the result of task  $k$  of the user  $j$ , respectively, and  $m_j$  is the number of the tasks of user  $j$ .

When D2RS algorithm is not used, we set the number of replicas of large-scale data file fixed as 2 and the total number of copies of a data file is 3. As shown in Fig.10, with the number of tasks increasing, the response time increases dramatically, especially when the number of tasks is more than 70%. The less the block availability is, the longer the response time will be. A conclusion can be made that the D2RS algorithm improves the response time and maintains the response time at a stable level within a short period of time.

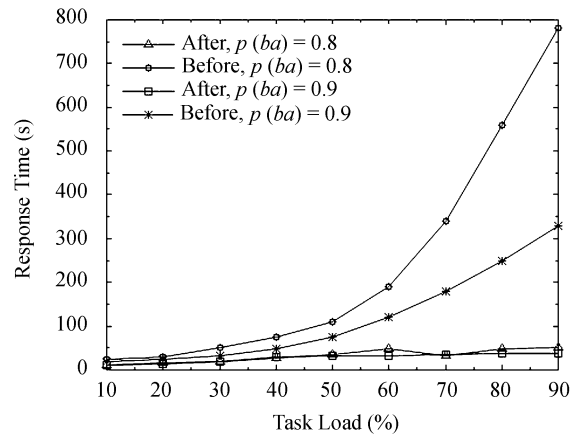


Fig.10. Response time comparison.

4) *Successful Execution Rate*. The successfully executed task is a task which is completely accomplished before its deadline  $dl$  at the first submission, where the deadline  $dl$  of a task can be obtained by (23).

$$dl_{jk} = ts_{jk}(st) + \mu_{jk} \times rt_{avg} + \frac{len_{jk}}{pb_{avg}}, \quad (23)$$

where  $ts_{jk}(st)$  is the submission time of task  $k$  of user  $j$ ,  $rt_{avg}$  is the average response time of a system,  $\mu_{jk}$  is the network status based adjustable parameter and is usually set to 0.4,  $len_{jk}$  is the length of task  $k$  of user  $j$ , and  $pb_{avg}$  is the average processing ability.

The successful execution rate can be obtained by (24).

$$ser_{avg} = \frac{\sum_{j=1}^m \sum_{k=1}^{m_j} \xi_{jk}}{\sum_{j=1}^m m_j}, \quad (24)$$

$$\xi_{jk} = \begin{cases} 1, & \text{if } t_j \text{ is success to } r_k, \\ 0, & \text{otherwise.} \end{cases}$$

When D2RS algorithm is not used, we set the number of replicas of large-scale data file fixed as 2 and the total number of copies of a data file is 3. As shown in Fig.11, with the number of tasks increasing, the successful execution rate decreases dramatically, especially when the number of tasks is more than 50%. The less the block availability is, the less the successful execution rate will be. When the D2RS algorithm is used in the cloud, the successful execution rate can be maintained at a high level (more than 92%). A conclusion can be made that the D2RS algorithm improves the successful execution rate and maintains the successful execution rate at a high and stable level.

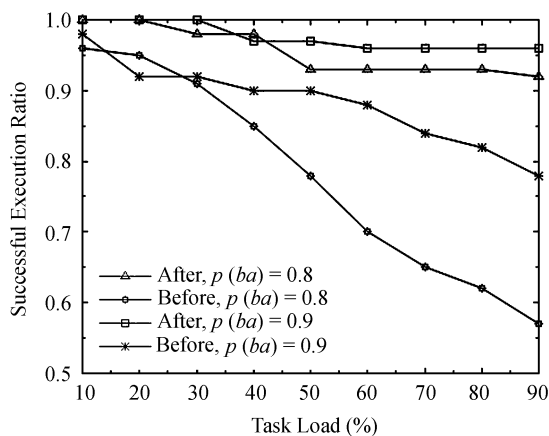


Fig.11. Successful execution rate comparison.

From the above experimental results, the following conclusions can be drawn that 1) the proposed dynamic data replication strategy effectively increases data availability and reduces user waiting time by very small number of replicas; 2) the proposed dynamic data replication strategy improves the system task successful execution rate, minimizes cloud system bandwidth consumption and reduces bandwidth consumption by placing the popular data files closer to the users; 3) the proposed dynamic data replication strategy effectively achieves system load balance by placing the popular data files according to the access history and the theory of temporal locality.

## 6 Conclusions and Future Work

High availability, high fault tolerance and high efficiency accesses to Internet based cloud data centers where failures are normal rather than exceptional are significant issues, and are often considered more valuable than high performance. Data replication allows reducing user waiting time and speeding up data access. It increases data availability by providing users with different replicas of the same service, and all of them in a coherent state. This paper presents a novel dynamic data replication strategy. It strives to increase data availability, improve cloud system task successful execution rate and minimize cloud system bandwidth consumption. Our contributions can be summarized as follows. 1) A mathematical model is formulated to describe the relationship between system availability and the number of replicas, which is missing in most existing research. 2) The popular data is identified, and corresponding replication operation will be triggered when the popularity of a data file passes a dynamic threshold. 3) Replicas are placed among data nodes in a balanced way. 4) A dynamic data replication strategy is proposed and evaluated. Experimental results demonstrate the efficiency of the improved system brought by the proposed strategy in a cloud.

There are still some studies to be done in the future. For instance, further reducing the user waiting time, speeding up data access, and further increasing data availability. In addition, the replication strategy will be deployed and tested on a real cloud computing platform. It is also planned to make data replication strategy as a part of cloud computing services to satisfy the special demands of cloud computing, and finally, to develop a complete dynamic data replication framework based on the proposed model.

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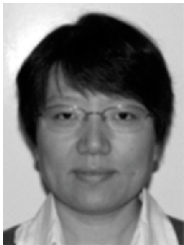




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