



A case–control study on the relationship between urine trace element levels and autism spectrum disorder among Iranian children

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Abstract

Autism spectrum disorder (ASD) is a type of neurodevelopmental disorder characterized mainly by qualitative deficiencies in social communication skills, accompanied by repetitive and restricted behavior patterns. This study was conducted to investigate the associations between the risk of ASD development in children and exposure to trace elements (arsenic (As), cadmium (Cd), chromium (Cr), cobalt (Co), copper (Cu), lead (Pb), nickel (Ni), and zinc (Zn)). Two groups of children, including 44 ASD and 35 typically developing (TD) children, were selected, and their fasting urine samples were obtained. The concentration levels of trace elements were assayed using ICP-MS. The results showed that as compared to the TD group, the concentration levels of As ($p = 0.002$) and Pb ($p < 0.001$) and also Cr ($p < 0.001$), Cu ($p = 0.001$), and Ni ($p < 0.001$) were significantly higher among ASD children. In terms of gender, boys with ASD showed elevated levels of Cr, Cu, Ni, and Pb, whereas the urine levels of As, Cr, Cu, Ni, and Pb were markedly higher among girls when compared to the non-ASD children. Under the logistic regression model, the risk difference for As, Co, Cr, Cu, Ni, Pb, and Zn remained significant when adjustment was applied for age and gender confounders.

Keywords Autism spectrum disorder · Logistic models · Verbal behavior · Environmental monitoring · Lead · Nickel

Introduction

Autism spectrum disorder (ASD) is one of the neurodevelopmental disorders characterized mainly by qualitative deficiencies in social communication skills, accompanied by repetitive and restricted behavior patterns (Nevison 2014; Ousley and Cermak 2014). In recent decades, the prevalence of ASD has increased worldwide by up to 30% (Calabrese

et al. 2016). In 2002, of 150 newborn children, one child was identified with ASD. This figure changed to one of every 110 infants in 2006 and one of every 88 children in 2008. In 2014, of 68 births, one was identified with ASD (Bhat et al. 2014; Vakilzadeh et al. 2017; Weitlauf et al. 2014).

The prevalence of ASD among boys is four to five times more common than among girls. However, ASD is usually associated with more severe mental retardation in girls (Weitlauf et al. 2014). The prevalence of ASD in Iran is estimated to be 1 of 1000 births and more common among boys than girls with a 2:1 ratio (Mohammadi et al. 2019).

Although the exact causes of ASD have remained unknown, multiple factors are associated with the pathogenesis of ASD including obstetric complications, gestational diabetes, fetal hypoxia, advanced maternal or paternal age, type of diet and medication during the prenatal period, and child iron deficiency anemia (Meguid et al. 2017). Moreover, air pollution seems to play a key role in the etiology of ASD. Increasing numbers of research suggest that early-life exposure to environmental pollutants can be one of the most influential factors involved in the onset of ASD (Bjørklund et al. 2018; Bölte et al. 2019; Imbriani et al. 2021). The sensitivity of the developing nervous system to toxic metals

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such as arsenic (As), cadmium (Cd), lead (Pb), and mercury (Hg) is well-recognized and may cause several disorders, including ASD (El-Ansary et al. 2017; Mostafa et al. 2016). Children with ASD are at the risk of zinc (Zn) deficiency and subsequent metallothionein (MT) dysfunction which in turn make them particularly susceptible to metal accumulation during their life (Bjørklund 2013; Crăciun et al. 2016). It is of special importance as environmental stressor exposure during later childhood stages modulate ASD progression and treatment efficacy (Santos et al. 2021).

Many studies have been conducted to establish a potential association between ASD development and toxic metal exposure using hair, whole blood, blood serum, blood plasma, red blood cells, and urine samples (Dickerson et al. 2016; Fuentes-Albero et al. 2015; Roberts et al. 2013; Saghazadeh and Rezaei 2017). However, studies using the Iranian population are rarer. Therefore, this study was conducted to investigate the association between the risk of ASD development in children and exposure to trace elements in Eastern Iran.

Materials and methods

Design and study population

This case–control study was conducted in Birjand, the capital city of South Khorasan province at East of Iran, and approved by the Ethics Committee of Birjand University of Medical Sciences (no. IR.BUMS.REC.1397.204). Children with ASD (ASD group, $n = 44$) were recruited from different autism care units where they were clinically diagnosed with ASD by child psychiatrists using the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) criteria. Exclusion criteria were physical disabilities, progressive neurological disorders, unstable epilepsy, and taking regular medications. TD children (control group, $n = 35$) were enrolled from schools of the same locations where cases came from. The informed consent for all children in the study was obtained from their parents. The collection of urine samples took place from February to August 2020. The necessary sample size at each group was obtained using the following formula:

$$n_1 = \frac{r+1}{r} \times \frac{\sigma^2(z_{1-\beta} + z_{\alpha/2})^2}{d^2}$$

where n_1 denotes the sample size of the smaller group, r the ratio of the sample size at the larger group to the smaller group, α and β denote type I and type II errors, respectively, and σ is the common standard deviation of concentration levels. The ratio d/σ is the so-called Cohen effect size which can be predefined as 0.2, 0.5, and .8, representing small, medium, and

large differences between two groups to detect. Setting effect size to a small value, say 0.2, will require a larger sample size to detect the differences between the mean of two groups and vice versa. By assuming $r = 1$, $\alpha = 0.05$, $\beta = 0.2$, and an effect size equal to 0.66 which represents detecting medium toward large differences, the minimum number of samples at each group was obtained equal to 35:

$$n_1 = \frac{1+1}{1} \times \frac{(0.84 + 1.96)^2}{(0.66)^2} \cong 35$$

Urine sample collection and trace element analysis

To avoid any metal contamination, sealed plastic containers were used for collecting early morning urine samples (10 mL) and kept at -20°C . For the mineralization, the urine samples were mixed with 2 ml of nitric acid (HNO_3 , 65%, Merck, Germany) and 1 ml of perchloric acid (HClO_4 , 70%, Merck, Germany) and mineralized on a water bath (TW12, Julabo GmbH, Germany) at 100°C . Mineralized samples were diluted with ultrapure water ($18.2\text{ M}\Omega\text{-cm}$ at 25°C , Fiestream, WSC044, UK) up to 25 mL. Concentrations levels of As, Cd, Co, Cr, Cu, Ni, Pb, and Zn were measured using inductively coupled plasma mass spectrometry (ICP-MS, Agilent 7900, Santa Clara, CA, USA). After every eight analyses, spikes and control samples were implemented. The recovery of As, Cd, Co, Cr, Cu, Ni, Pb, and Zn were 97%, 102%, 97%, 96%, 101%, 98%, 99%, and 98%, respectively.

Statistical analysis

The D'Agostino-Pearson test was used to check normality assumption, and the Levene test was used to test the homogeneity of variances. Univariate analysis (t test or Mann–Whitney, as appropriate) was used to check the differences in metal levels between the two groups. To assess whether the levels of metals were different between sexes and also between groups, a rank-based regression analysis that is robust against outliers was used and fitted using the Rfit package in R (Kloke and McKean 2012). The association between elements was evaluated using the Spearman correlation coefficient (R). Since metal concentrations are usually skewed, element levels were normalized by subtracting the mean levels of each element and dividing by its quartile range. A logistic regression model on the standardized element values was used to estimate the risk differences (RDs) between ASD and the control group. All analyses were performed using R 3.3.1 statistical software (R Core Team 2016).

Results

General comparisons

ASD children were 29 boys (65.9%) and 15 girls (34.1%), while the TD children were 18 boys (51.4%) and 17 girls

Table 1 Demographic characteristics of participants

		ASD group	TD group	Total	<i>p</i> value
Age	Number	44	35	79	0.208
	Mean	11.1	10.4	10.8	
	SD	2.26	2.93	2.59	
Gender	Male	29 (65.9%)	18 (51.4%)	47 (59.5%)	0.284
	Female	15 (34.1%)	17 (48.6%)	32 (40.5%)	

(48.6%). The mean age of ASD children was 11.1 years and 10.4 years for TD children (Table 1). Both groups were comparable according to children’s age (*t* test, *p* = 0.208) and gender (χ^2 test, *p* = 0.284). Figure 1 displays the density plot of the concentration levels of all 9 trace elements for ASD and TD children. It can be clearly seen that the concentration levels were not normally distributed at both groups, mainly due to the presence of outliers. Therefore, to retain outliers, median and range were used to report summaries, and non-parametric analyses were used for comparisons between groups. Table 2 reports the median \pm intermediate quantile range (IQR) as well as the range of concentration levels of trace elements for both ASD and TD children. ASD group showed significantly higher levels of As (17.92 vs. 12.18 $\mu\text{g/L}$ at TD group), Cr (3.62 vs. 1.53 $\mu\text{g/L}$), Cu (11.29 vs. 9.79 $\mu\text{g/L}$), Ni (10.92 vs. 3.68 $\mu\text{g/L}$), and Pb (6.19 vs. 2.1 $\mu\text{g/L}$). Moreover, lower levels of Co (0.69 $\mu\text{g/L}$) were

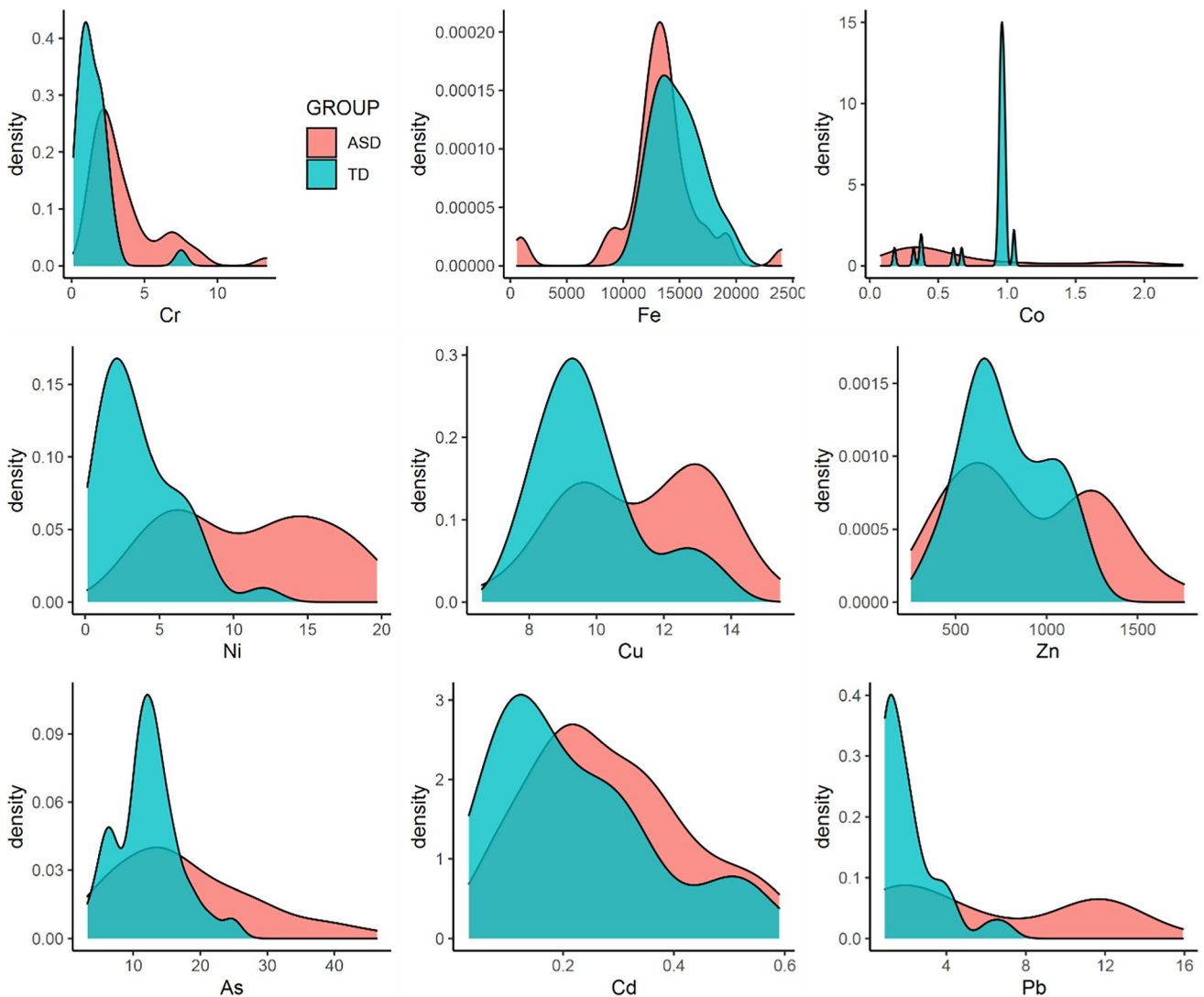


Fig. 1 Density plot of the concentration levels of trace element for both TSD and TD groups

Table 2 Element levels in the urine (ug/L) of the ASD group and TD group presented as median \pm SD, followed by the statistical comparison between groups

Element	ASD group	TD group	Reference values (mcg/24 h; > or =18 years)*	<i>p</i> value***
As	17.92 \pm 10.44	12.18 \pm 4.68	< 35**	<u>0.002</u>
Cd	0.28 \pm 0.14	0.22 \pm 0.14	0.7	0.066
Co	0.69 \pm 0.59	0.88 \pm 0.22	0.2–3.5	<u>0.001</u>
Cr	3.62 \pm 2.52	1.53 \pm 1.28	0.1–1.2	<u>< 0.001</u>
Cu	11.29 \pm 2.11	9.79 \pm 1.57	9.0–71.0	< 0.001
Ni	10.92 \pm 5.15	3.68 \pm 2.63	< 6.0	<u>< 0.001</u>
Pb	6.19 \pm 4.91	2.11 \pm 1.5	< 2	<u>< 0.001</u>
Zn	892.4 \pm 389.3	775.9 \pm 234.5	109.0–1476.0	0.104

*0–17 years, not established; **reference values apply to all ages; ***underlines indicate statistically significant differences between two groups

Table 3 The results of rank-based regression analysis on the effect of sex, groups, and their interaction on the levels of elements. Numbers represent the *p* value

Element	Sex*	Group*	Sex \times group
As	0.083	<u>0.009</u>	0.183
Cd	0.856	0.196	0.709
Co	0.051	<u>< 0.001</u>	0.178
Cr	0.921	<u>0.002</u>	0.907
Cu	<u>0.010</u>	<u>0.002</u>	0.363
Ni	0.942	<u>< 0.001</u>	0.850
Pb	0.130	<u>< 0.001</u>	0.381
Zn	0.393	0.522	0.918

*underlines indicate statistically significant results

observed among ASD children as compared to the TD group (0.88 μ g/L). There were no significant differences in the concentration levels of Cd ($p = 0.066$) and Zn ($p = 104$) between the two groups (Table 2).

Assessment of gender and group effects

The effect of gender, groups, and also their interaction effect was investigated using robust regression analysis (Table 3). Results showed that (i) for all trace elements, no significant interaction effects of gender and groups were presented, (ii) Cu was the only element with different concentration levels between boys and girls ($p = 0.01$), and (iii) the concentration levels of most elements (with the exception of Cd and Zn) have significantly differed between the ASD and TD children (Table 3). Using the box and whisker plot, Figs. 2 and 3 compare graphically the differences in concentration levels of trace elements between ASD and TD for both girls and boys (Figs. 2 and 3). In addition to these figures, pairwise comparisons were also made between two groups using the Mann–Whitney test (Table 4).

The mean age of TD and ASD boys was 11.7 and 10.1 years, respectively. The order of concentration levels of trace elements for ASD and TD boys was similar: Cd < Co < Cr < Pb < Ni < As < Zn < Cu. Boys with ASD were characterized by significantly elevated level of Cr (+109%; $p < 0.001$), Cu (+14%; $p = 0.014$), Ni (+231%; $p < 0.001$), and Pb (+274%; $p < 0.001$). The mean age of TD and ASD girls was 10.1 and 11.7 years, respectively. The order of concentration levels of trace elements for ASD and TD girls was similar: Cd < Co < Cr < Pb < Ni < As < Zn < Cu. Girls with ASD presented significantly elevated level of As (+56%; $p = 0.008$), Cr (+128%; $p = 0.004$), Cu (+29%; $p = 0.007$), Ni (+321%; $p < 0.001$), and Pb (+260%; $p = 0.003$).

Relationships between elements

For the ASD group, the metal–metal Spearman, r_s , correlation showed that the associations between As–Zn (–0.53), Cd–Co (0.37), Cd–Cu (–0.37), and Pb–Ni (0.36) were moderate and significant at a 5% significance level. At TD group, the moderate correlation between Ni–Pb (0.54), Cd–Cr (0.46), Fe–Pb (–0.43), As–Cd (0.40), Zn–Co (0.40), Cr–Fe (–0.37), and Ni–Fe (–0.35) was significant at 5% significance level. The association between other pairs (89% at case group and 80% at control group) was either statistically or clinically ($r_s < 0.30$) non-significant. Since the correlation between trace elements was mainly non-significant, we didn't carry out any further multivariate analysis.

Relationships between elements and ASD

Under a logistic regression model, for each element, both adjusted and unadjusted risk differences (RD) were calculated (Table 5). The unadjusted RDs suggest that all trace elements were the risk factor of ASD, but when adjusting for age and gender, the effect of Cd was ruled out (RD = –0.156; 95% CI, –0.323, 0.009). The risk difference for As, Co, Cr, Cu, Ni, Pb, and Zn remained significant when

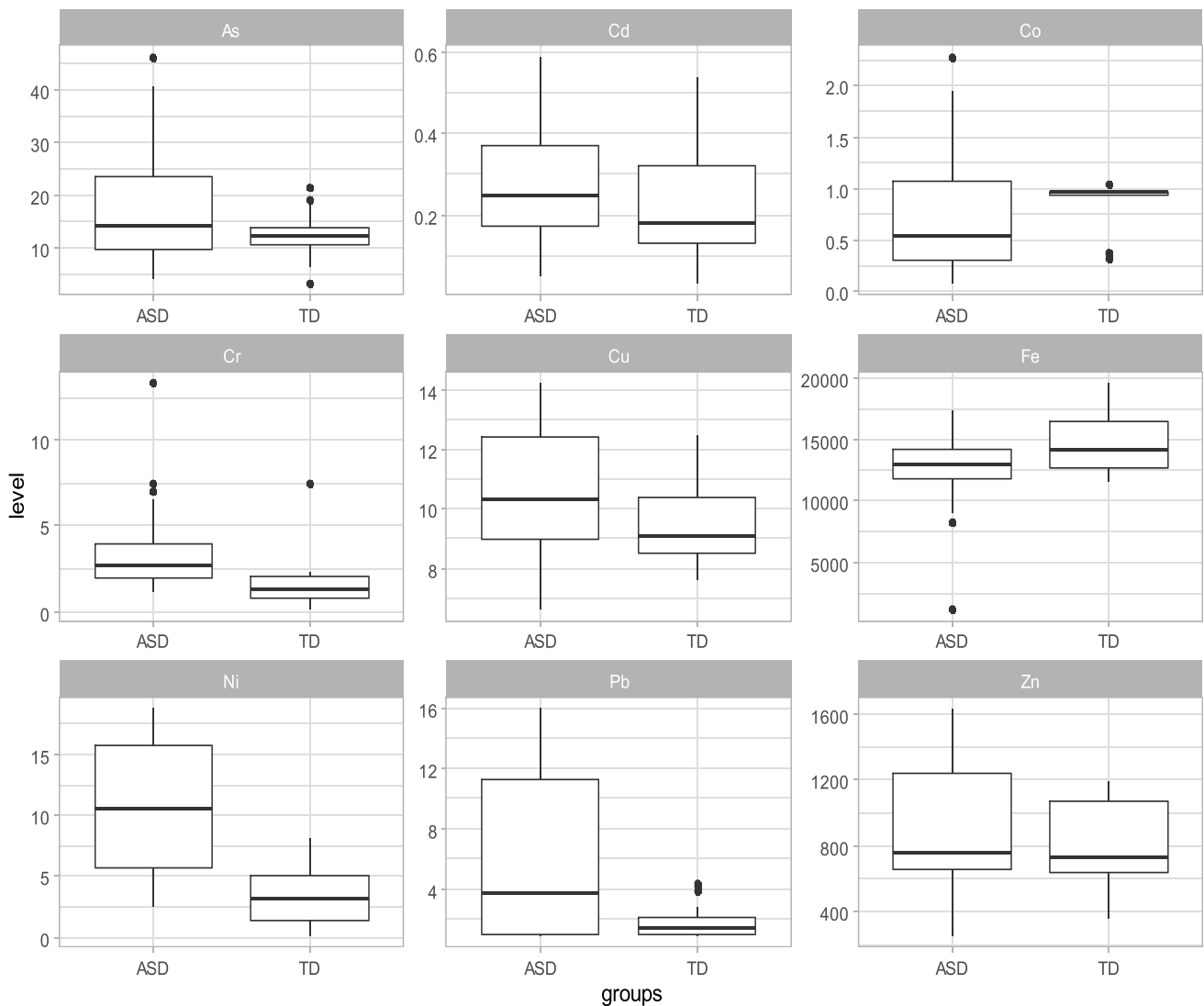


Fig. 2 The boxplot of metal concentration levels for ASD and TD girls

adjustment was applied for age and gender confounders. A positive RD value means increased risk, and a negative one means decreased risk by the exposure. RD describes the actual difference in the observed risk due to an element between ASD and TD children. The positive sign of the RD denotes excessive risk for ASD children when element levels are elevated. For instance, $RD = -0.141$ for As indicates that there is a lower risk of developing autism for a TD who had higher levels of As.

Discussion

Simultaneous exposure to heavy metals may have more adverse effects on children's neurodevelopment than the effects of each metal alone. So in this study, we aimed to

assess the levels of some essential and toxic elements using the ICP-MS method in autistic children compared to healthy ones. Our results showed that As, Co, Cr, Cu, Ni, Pb, and Zn in biological samples (urine) were associated with the ASD risk.

As is a toxic heavy metal that may be damaging even at low levels of exposure (Akyuzlu et al. 2014). It has the ability to cross the blood–brain barrier (BBB) and damage directly the central nervous system of neonates (Eqani et al. 2020). Similar to the results of the current study, previous literature described a significant association between As exposure and ASD (Obrenovich et al. 2011; Yasuda et al. 2013). Eqani et al. (2020) found that As levels in urine and hair were differed significantly between ASD children and controls (Eqani et al. 2020). Significantly higher urinary concentration of As in patients with ASD may be due to

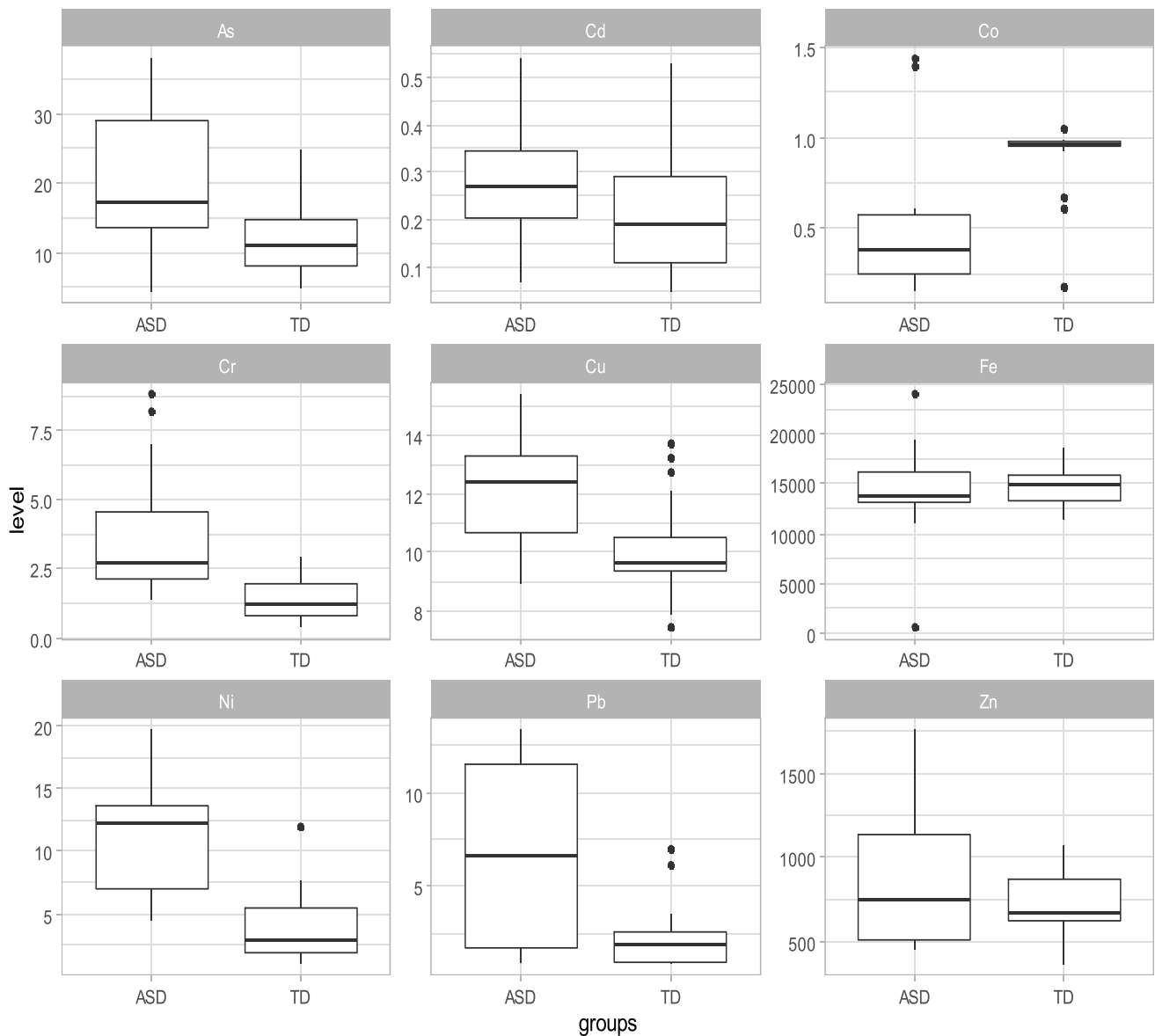


Fig. 3 The boxplot of metal concentration levels for ASD and TD boys

Table 4 Urine trace element levels (ug/L) in healthy and ASD boys and girls, followed by the statistical comparison between ASD and TD groups

Element	Male			Female		
	TD group	ASD group	<i>p</i> value	TD group	ASD group	<i>p</i> value*
As	12.4	14.1	0.211	11.1	17.3	<u>0.008</u>
Cd	0.18	0.25	0.323	0.19	0.27	0.103
Co	0.96	0.55	<u>0.002</u>	0.96	0.38	0.061
Cr	1.29	2.7	<u><0.001</u>	1.19	2.72	<u>0.004</u>
Cu	9.05	10.32	<u>0.014</u>	9.63	12.4	<u>0.007</u>
Ni	3.2	10.6	<u><0.001</u>	2.9	12.2	<u><0.001</u>
Pb	1.35	3.7	<u><0.001</u>	1.86	6.7	<u>0.003</u>
Zn	732.6	763.6	0.349	672.5	746.8	0.289

*underlines indicate statistically significant results

Table 5 Risk difference (RD) estimation for elements under logistic regression model

Element	Single metal (unadjusted)		Single metal (adjusted)	
	RD	95% CI	RD	95% CI
As	-0.141	-0.266, -0.016	-0.136	-0.258, -0.013
Cd	-0.117	-0.344, -0.009	-0.156	-0.323, 0.009
Co	0.276	0.085, 0.467	0.271	0.095, 0.446
Cr	-0.482	-0.685, -0.285	-0.459	-0.660, -0.258
Cu	-0.318	-0.522, -0.115	-0.365	-0.550, -0.180
Ni	-0.704	-0.869, -0.539	-0.697	-0.087, -0.522
Pb	-0.434	-0.626, -0.243	-0.434	-0.621, -0.248
Zn	-0.071	-0.222, -0.080	-0.074	-0.222, -0.075

specialized dietary preferences (Eqani et al. 2020). More intake of As-rich foods such as preserved juices and rice by ASD children compared to healthy controls has been reported previously (Eqani et al. 2020).

Cr has two oxidation states: +3 and +6. Cr (+3) is considered an essential trace element that has an important effect on the metabolism of glucose and cholesterol (Baj et al. 2021). Cytotoxic Cr (+6) can lead to lipid peroxidation, protein degradation, damage of membrane integrity, and cell death (Baj et al. 2021). Yorbik et al. (2002) reported that the antioxidant activity is much lower in patients with ASD, providing the hypothesis that oxidative stress can play an important role in the development of ASD (Yorbik et al. 2002). Other independent literature has also supported this hypothesis (Yao et al. 2006). Metallomics studies in ASD vary depending on the type of tissue studied. Some studies showed lower hair levels of Cr among ASD children as compared to controls. However, urinary Cr levels were generally reported at higher levels in children with autism (Baj et al. 2021; Yorbik et al. 2010).

Cigarette smoking, air, and food pollution can be considered as the main sources of Cd in the general population (Akyuzlu et al. 2014). It was found that Cd is associated with learning difficulties and intelligence levels of children. In addition, this neurotoxic metal can affect motor, visual, and cognitive abilities and neurodegenerative diseases (Akyuzlu et al. 2014). There is still much to understand about the mechanisms of its cellular toxicity. It may interfere with the mechanism of DNA repair as well as the production of reactive oxygen species (ROS) (Sulaiman et al. 2020). Findings on cadmium levels in autistic children are mixed. While some studies have reported higher urinary levels of Cd (3.24 µg/L vs. 0.53 µg/L) in ASD children as compared to controls (Akyuzlu et al. 2014), other studies either show no significant association between Cd and ASD occurrence (Adams et al. 2017; Adams et al. 2013; Albizzati et al. 2012; Blaurock-Busch et al. 2011) or a negative association between urinary Cd levels and ASD (Sulaiman et al. 2020; Yorbik

et al. 2010). Yorbik et al. (2010) reported significantly lower urinary levels of Cd and Pb in children with ASD compared to healthy subjects (Yorbik et al. 2010). They suggested that autistic children may have difficulties in heavy metal detoxification mechanism which may lead to the body burden of toxic metals (Yorbik et al. 2010). Apparently, more studies are needed to examine the potential body load of different toxic metals. Urine samples may be considered a good indicator for the long-term body burden of cadmium. It tends to accumulate in the kidneys with a half-life of 30 years. Cd levels may also increase after acute exposure (Sulaiman et al. 2020).

For element Ni, some studies report similar concentration levels of Ni in urinary samples of cases and controls (Adams et al. 2013; Blaurock-Busch et al. 2011), while some studies suggest slightly lower Ni concentration levels in hair of ASD children when compared to TD subjects (Blaurock-Busch et al. 2011; Skalny et al. 2017). One study in Oman, however, claimed higher hair Ni levels in cases compared to their controls (Al-Farsi et al. 2013). There is also some evidence on the increased risk of ASD in children born in areas with higher concentrations of Ni in the air (Roberts et al. 2013). Additionally, Roberts et al. (2013) found positive relationships between some elements including Ni (OR = 1.9) and developing ASD among boys (Roberts et al. 2013).

Pb is a toxic metal that has a long half-life in the body. Lower IQ, decreased frustration tolerance, attention deficit hyperactivity disorder, poor reaction control, cognitive and social disorders are some of the possible consequences of Pb exposure (Akyuzlu et al. 2014). In the growing brain, elevated levels of Pb may induce the inappropriate release of neurotransmitters and impair brain function (Baj et al. 2021). The urinary determination of Pb is considered to reflect the exerted lead through the kidneys which count for about two-thirds of total excretion (Abd Wahil 2021). Short- and long-term exposure to Pb, from a few days to prolonged exposure, can be identified from urinary levels of Pb (Abd Wahil 2021). However, findings of the connection between Pb levels and ASD are greatly inconsistent. Some studies report lowered urinary Pb levels in ASD children as compared to TD subjects (Abd Wahil 2021; Rahbar et al. 2020; Yorbik et al. 2010), whereas elevated Pb levels in hair samples have been reported in ASD children (Fiton et al. 2020). It has been hypothesized that ASD children may have a reduced ability for heavy metal excretion (including Pb) compared to TD children (Abd Wahil 2021). The relatively inconsistent reports on Pb levels in ASD children may be attributed to ASD heterogeneity, different geographical locations, or methodological variations suggesting more comprehensive future studies. Previous literature suggested that some factors such as magnesium or calcium deficiency can increase the toxic effect of Pb on cognitive functions and developmental behavior of children (Baj et al. 2021). The

Pb toxicity mechanism may also be mediated by Zn (Baj et al. 2021). The presence of essential elements in the body, mainly Zn and Fe, is essential to counteract the neurological effects of Pb (Abd Wahil 2021).

Essential trace elements (such as Cu and Zn) play an important role as antioxidant agents. These trace elements also reduce teratogenic effects through the formation of insoluble metal–mineral complexes (Abd Wahil 2021; Jan et al. 2015). They also reduce the gastrointestinal absorption of toxic metals through competitive mechanisms (Abd Wahil 2021, Jan et al. 2015). A crucial element in CNS development and both deficiency and the excess levels of Cu may cause health problems (Baj et al. 2021). Cu overload may cause synaptic damage detected in ASD children (Baj et al. 2021). It may also block dopamine beta-hydroxylase function that is known to alter the noradrenaline synthesis mechanism (Li et al. 2014) and reduce the production of serotonin (Priya and Geetha 2011).

Limitations

In this study, toxic and essential elements were assessed only in the urine samples, which may not fully clarify the complex pathological mechanism that occurs due to these elements in the brain. Another limitation was the lack of control over participants' diets. Therefore, it is not clear that the differences in urinary levels of elements observed were due to the diet and/or metabolism. Further studies are recommended to assess how this behavior affects nutritional deficiencies.

Conclusion

In summary, we observed ASD children presented significantly higher levels of As, Cr, Cu, Ni, and Pb and simultaneously significantly lower levels of Co. There were no significant differences in Cd and Zn levels between ASD and TD children. Further studies are necessary to shed light on the possible role of heavy metals in autism.

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Availability of data and materials The datasets used and/or analyzed during the current research are available from the corresponding author on request.

Author contribution MR and BM were the overall coordinators. MR, AR, AE, SN, NA, and BM contributed to the design of the study, interpretation of the results, and drafting of the manuscript. MR and AR conducted the data collection. NA did data analysis. All authors have read and approved the final version of the manuscript.

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Declarations

Ethics approval and consent to participate This study was approved by the research and ethics committee of Birjand University of Medical Sciences (no. IR.BUMS.REC.1397.204).

Consent for publication Not applicable

Competing interests The authors declare no competing interests.

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