RESEARCH ARTICLE

Spatial correlation among cultivated land intensive use and carbon emission efficiency: A case study in the Yellow River Basin, China

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Abstract

Considering the current global goal of carbon neutrality, the relationship between cultivated land intensive use (CLIU) and carbon emission efficiency (CEE) should be explored to address the global climate crisis and move toward a low-carbon future. However, previous work in this has been conducted at provincial/regional scales and few have identifed the spatial correlation between CLIU and CEE at the scale of large river basins. Therefore, this study explored the spatiotemporal characteristics of CLIU, cultivated land carbon emissions (CLCE), and CEE, as well as the spatial correlation between CLIU and CEE in the Yellow River Basin (YRB), China. A comprehensive evaluation model, the Intergovernmental Panel on Climate Change (IPCC) coefficient methodology, existing data envelopment analysis model, and bivariate spatial autocorrelation models were used to analyze statistical data from 2005 to 2017. We found that the overall CLIU and CLCE values in the YRB exhibited a continuous increase; the average carbon emission total efficiency and carbon emission scale efficiency first decreased and then increased, and the average carbon emission pure technical efficiency gradually decreased. Areas of high CLCE were concentrated in eastern areas of the YRB, whereas those of high CLIU, carbon emission total efficiency, carbon emission scale efficiency, and carbon emission pure technical efficiency predominantly appeared in the eastern areas, followed by central and western areas of the YRB. Spatial analysis revealed a signifcant spatial dependence of CLIU on CEE. From a global perspective, the spatial correlations between CLIU and CEE changed from positive to negative with time. Moreover, the aggregation degree between CLIU and CEE gradually decreases with time, while the dispersion degree increases with time, and the spatial correlation gradually weakens. The local spatial autocorrelation further demonstrates that the number of high–low and low–high clusters between CLIU and CEE gradually increases over time, while the number of high–high and low–low clusters gradually decreased over time. Collectively, these fndings can help policymakers formulate feasible low-carbon and efficient CLIU policies to promote win–win cooperation among regions.

Keywords Cultivated land intensive use · Carbon emission efficiency · Spatial analysis · Sustainable development · Yellow River Basin · China

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Highlights

- Increased CLIU increases cultivated land carbon emissions and decreases CEE.
- CLIU and CEE exhibit signifcant spatial dependence in the Yellow River Basin.
- Spatial correlations between CLIU and CEE vary spatially and temporally.

Extended author information available on the last page of the article

Introduction

The current goal of carbon neutrality is driving low-carbon cultivated land use in China. Moreover, the growing population and shrinking cultivated land area is driving improvements in the intensive use of cultivated land (Bajan and Mrowczynska-Kaminska, [2020](#page-15-0); Ge et al., [2018\)](#page-16-0). Such transformations can make cultivated land use more energy efficient (Fox et al., 2019) and enhance environmental protection (Han and Zhang, [2020\)](#page-16-2). However, previous similar work has been conducted at provincial/regional scales with few identifying the spatial correlation between cultivated land intensive use (CLIU) and carbon emission efficiency (CEE) at the scale of large river basins. This is not conducive

[•] We evaluate cultivated land intensive use (CLIU) and carbon emission efficiency (CEE).

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to broader national and regional cooperation for ensuring food security, reducing carbon dioxide emissions, and mitigating global warming in the future.

The Yellow River is the mother river of the Chinese nation, giving birth to the ancient and great Chinese civilization. As early as ancient times, the Yellow River Basin (YRB) was an important home for Chinese ancestors to breed and live (Su et al., [2000](#page-17-0)) and retains many traditional agricultural areas. Over the past 3000 years, the YRB has been the political, economic, and cultural center of China (Wang et al., [2018a,](#page-17-1) [b](#page-17-2)). Currently, this region is characterized by agriculture and animal husbandry (Zhang et al., [2019\)](#page-18-0), with major agricultural production areas, such as Huang-Huai-Hai Plain, Fen-Wei Plain, and Hetao irrigation area, accounting for one-third of China (Liu et al., [2021](#page-17-3)). The YRB has the largest population and agricultural scale of similar regions globally. However, the large-scale land planning and extensive development over the past 20 years have seriously threatened the YRB's ecological environment and water resources (Chen et al., [2021a](#page-15-1), [b,](#page-15-2) [c](#page-15-3); Lv et al., [2019;](#page-17-4) Zhang et al., [2021a,](#page-18-1) [b,](#page-18-2) [c\)](#page-18-3), causing the disappearance of vast areas of high-quality cultivated land (Xiao et al., [2021\)](#page-18-4). Therefore, in 2019, the central government promoted a national strategy for ecological protection and high-quality development of the YRB, requiring the area to prioritize ecology and pursue green development (Chen et al., [2020a,](#page-15-4) [b,](#page-15-5) [c](#page-15-6)). Accordingly, by 2030, the YRB aims to further enhance its water resource security capacity, signifcantly improve its ecological environment quality, and consolidate its position as a national food and energy base (Song et al., [2021\)](#page-17-5). In the context of carbon neutrality, we hope to provide a region that balances economic development with environmental governance, food security, and ecological protection for countries and regions.

According to the classical theories, land intensive use includes the concentration of increased production capacity and living labor on a certain area of land using advanced technologies and management methods to obtain high yield and income (Liang and Li, [2020;](#page-16-3) Ricardo, [2001](#page-17-6)). The CLIU evolved from land intensive use and can be divided, based on production factor input, into capital intensive, labor intensive, and technology intensive (Sun et al., [2020](#page-17-7)). Scholars have gradually constructed a relatively complete CLIU research system that is transitioning from the macro- to microscales (Shang et al., [2019\)](#page-17-8). Related studies have made significant advances in the index system (Liu et al., [2016](#page-16-4)), food security (Ge et al., [2018\)](#page-16-0), driving mechanisms (Lou et al., [2017](#page-17-9)), spatial diferences (Xie et al., [2016\)](#page-18-5), negative environmental effects (Abe et al., [2020\)](#page-15-7), sustainable intensi-fication (Ameur et al., [2020\)](#page-15-8), and other aspects of CLIU (Liu et al., [2020a,](#page-16-5) [b](#page-17-10), [c](#page-17-11); Xie et al., [2021\)](#page-18-6). Moreover, the related research methods and models have diversifed over time. For example, the comprehensive evaluation model (Huang et al., [2021](#page-16-6)), analytic hierarchy process (Tercan and Dereli, [2020](#page-17-12)), factor analysis method (Zhang et al., [2021a,](#page-18-1) [b](#page-18-2), [c](#page-18-3)), emergy method (Su and Fath, [2012\)](#page-17-13), material flow (Xie et al., [2021](#page-18-6)), econometric model (Deng et al., [2015](#page-15-9)), coupling coordination degree model (Liu et al., [2020a](#page-16-5), [b](#page-17-10), [c](#page-17-11)), spatial econometric model (Rocha et al., [2019](#page-17-14)), geographically weighted regression model (Xu et al., [2020](#page-18-7)), and geographical detector model (Liu et al., [2020a](#page-16-5), [b,](#page-17-10) [c](#page-17-11)) have all been widely used. Simultaneously, the research scale has also diversifed, with most studies performed on macro- and mesoscales, i.e., for nations (Golosov et al., [2021](#page-16-7)), provinces (Liu et al., [2016](#page-16-4)), urban agglomerations (Dai et al., [2020](#page-15-10)), cities (Chen et al., [2021a](#page-15-1), [b,](#page-15-2) [c\)](#page-15-3), and counties (Chen et al., [2020b](#page-15-5)). These studies have laid a solid foundation for the generation of this study.

Carbon emission research felds include industry, agriculture, and services (Garba et al., [2021;](#page-16-8) Ma et al., [2019;](#page-17-15) Pendrill et al., [2019](#page-17-16)). Cultivated land carbon emission research is a branch of carbon emission research, covering spatiotemporal evolution (Cui et al., [2021\)](#page-15-11), regional diferences (Chuai et al., [2013\)](#page-15-12), driving force mechanisms (Lal, [2020](#page-16-9)), and emission reduction potential (Garg et al., [2020](#page-16-10)). The research methods primarily include the Intergovernmental Panel on Climate Change (IPCC) coefficient methodology (Li et al., [2021a,](#page-16-11) [b](#page-16-12)), as well as the system dynamics model (Liu et al., [2003](#page-17-17)), logarithmic mean Divisia index method (Chen et al., [2017](#page-15-13)), and linear programming method (Wang et al., [2015](#page-17-18)). Carbon emissions research is the basis of CEE research, which generally refers to the production relation ratio that minimizes carbon dioxide emissions and maximizes economic output with no increase in labor, capital, or energy input (Arneth et al., [2017](#page-15-14)). The primary analysis methods include data envelopment analysis (DEA) (Ibra-him et al., [2021\)](#page-16-13), stochastic frontier analysis (Wanke et al., [2020](#page-17-19)), and regression analysis (Akram et al., [2021](#page-15-15)). Various CEE-based studies have expanded felds related to carbon emissions, including the comparison between CEE and total factor productivity (Zhou et al., [2019\)](#page-18-8), CEE promotion path analysis (Ahmad et al., [2021\)](#page-15-16), the interaction between CEE and economic development (Sarkodie and Ozturk, [2020](#page-17-20)), and the relationship between CEE and low-carbon agricultural production (Bajan and Mrowczynska-Kaminska, [2020](#page-15-0)). Collectively, these studies have provided important reference points for determining spatial correlations among CLIU and CEE.

Previous studies on the relationship between land use and carbon emissions have focused on the mechanism by which land use change impacts carbon emissions (Xu and Yang, [2019](#page-18-9)), land use structure and low-carbon optimization (Chuai et al., [2015](#page-15-17)), and land use carbon budget and carbon compensation (Kondo et al., [2020\)](#page-16-14). Meanwhile, few studies have examined land intensive use and CEE, particularly between CLIU and CEE. The mechanism between CLIU and CEE may be superimposed by their respective driving factors, as the driving mechanism of CLIU is interrelated and infuenced by management measures, agricultural facilities, development stages, and education input (Fan et al., [2012;](#page-16-15) Grassini and Cassman, [2012](#page-16-16); Ni et al., [2021;](#page-17-21) Xie et al., [2016\)](#page-18-5). Meanwhile, the driving mechanism of CEE is restricted by capital, labor, technological level, industrial structure, and economic development level (Pan et al., [2020](#page-17-22); Yang et al., [2021](#page-18-10); Zhang and Chen, [2021\)](#page-18-11). Therefore, the spatial correlation and aggregation among CLIU and CEE directly, or indirectly, refect the superposition of the above drivers. Moreover, with the implementation of ecological civilization construction, returning cultivated land to forests and permanent basic cultivated land protection policies (Cheng et al., [2017](#page-15-18); Wu et al., [2017;](#page-18-12) Zhu et al., [2021\)](#page-18-13), while exploring the spatial correlations between CLIU and CEE, can provide insights regarding the efects of regional cultivated land use policies to facilitate the timely adjustment of identifed defciencies. Simultaneously, it can enhance the interaction and coordination of cultivated land use policies among regions and promote the balanced development of cultivated land use among regions.

Therefore, this research aimed to determine the spatial correlation degree and distribution patterns between CLIU and CEE in the YRB, China. From the perspective of a large river basin, we employed a comprehensive evaluation model, IPCC coefficient methodology, and the DEA method of Banker, Charnes, and Cooper (DEA-BCC) to measure the spatiotemporal characteristics of CLIU, CLCE, and CEE, respectively, in the YRB. Subsequently, bivariate spatial autocorrelation models were used to measure the spatial correlation between CLIU and CEE, and their spatial aggregation and diferentiation characteristics were explored at the large river basin scale to address the current gaps in the literature. To this end, this study had four objectives: (1) to determine more comprehensive aspects of CLIU features at a large river basin scale; (2) to investigate whether CLCE has increased or decreased in the YRB; (3) to investigate the spatiotemporal characteristics of diferent types of CEE; (4) to reveal the spatial correlation between CLIU and CEE at both global and local levels on a large river basin scale. To achieve these objectives, this study addressed the following four research questions:

- (1) What are the spatiotemporal characteristics of prefecture-level CLIU in the YRB from 2005 to 2017?
- (2) What are the spatiotemporal characteristics of prefecture-level CLCE in the YRB from 2005 to 2017?
- (3) What are the spatiotemporal characteristics of prefecture-level CEE in the YRB from 2005 to 2017?
- (4) What is the spatial correlation between CLIU and CEE in the YRB from 2005 to 2017?

Data

Study area

The Yellow River is the second longest river in China, with a total length of 5,464 km. The river originates on the Qinghai–Tibet Plateau in the west and flows into the Bohai Sea in the east. The YRB has exerted an important infuence on the origin and spread of agriculture in China (Yin et al., [2021](#page-18-14)) and includes 94 prefecture-level administrative units in eight provinces (89°20'–126°04'E, 31°23'–53°23'N), accounting for approximately 27.7% of the country's land area and 25% of its population (Xiao et al., [2021](#page-18-4)). As such, it provides an excellent research area with a large population, diverse economic development, vast landforms, and diverse cultivated land areas. Moreover, the YRB's terrain is high in the west and low in the east, spanning all three terrain types of China. The landforms are primarily divided into the Qinghai–Tibet Plateau, Inner Mongolia Plateau, Loess Plateau, and Huang-Huai-Hai Plain. Meanwhile, it also has a natural ecological corridor, namely the Yellow River, as well as several important ecological functional areas, including Sanjiangyuan, Qilian Mountain, and Zoige (Wu et al., [2013](#page-18-15)). The YRB also serves as an important ecological security barrier in China (Chen et al., [2021a](#page-15-1), [b,](#page-15-2) [c;](#page-15-3) Liu et al., [2021\)](#page-17-3), as well as an important region for population activities and economic development. Hence, the YRB has become a hot zone for studying the infuence of human activities on the natural environment. Furthermore, in 2017, the cultivated land area was 3.694×10^5 km², with the grain production accounting for 29.5% of the country's total output (Liu et al., [2021\)](#page-17-3). The per capita income of rural residents was 12,689 yuan in 2017. The YRB can provide useful lessons on how to alleviate hunger and poverty and ensure food security in regions and countries with little cultivated land (Fig. [1](#page-3-0)).

Data sources

The data included in this study comprise cultivated land data and socioeconomic data from eight provinces, derived from two sources. That is, Gansu, Henan, Qinghai, Shandong, and Shaanxi data were derived from their provincial statistical yearbooks in 2005, 2010, and 2017 [\(https://](https://navi.cnki.net/knavi#) navi.cnki.net/knavi#), whereas the data for Inner Mongolia, Ningxia, and Shanxi were derived from the China City Statistical Yearbook in 2005, 2010, and 2017 ([https://](https://www.epsnet.com.cn/index.html#/Home) www.epsnet.com.cn/index.html#/Home). Elevation raster data were obtained from the Chinese Academy of Sciences Resources Environmental Science Data Center Web site ([http://www.resdc.cn/Default.aspx\)](http://www.resdc.cn/Default.aspx).

Fig. 1 Location of the Yellow River Basin in China

Methodology

We frst adopted the comprehensive evaluation model and IPCC coefficient methodology to quantify the CLIU and CLCE of prefectural-level administrative units in the YRB in 2005, 2010, and 2017 and obtain their spatiotemporal evolution patterns. The carbon emission total efficiency (CETE), carbon emission pure technical efficiency (CEPTE), and carbon emission scale efficiency (CESE) of the YRB were then calculated for the corresponding years using the DEA-BCC model. Finally, bivariate spatial autocorrelation models were conducted to obtain the spatial correlation between CLIU and CETE, CLIU and CEPTE, as well as between CLIU and CESE in the corresponding years. The analytical framework used in this study is shown in Fig. [2.](#page-4-0)

Cultivated land intensive use

Selection of cultivated land intensive use indexes

In combination with previous studies (Ge et al., [2018](#page-16-0); Liu et al., [2016;](#page-16-4) Zhou et al., [2013\)](#page-18-16) and based on data accessibility, this paper selected eleven indexes from three dimensions—input intensity, utilization degree, and output effect—to construct the evaluation index system of CLIU in the YRB. Input intensity is the basis of CLIU (Fan et al., [2012\)](#page-16-15) and includes labor input per unit area, power input per unit area, fertilizer input per unit area, and farming financial input per unit area (Liu et al., [2016](#page-16-4); Lou et al., [2017](#page-17-9); Vallejo et al., [2015](#page-17-23); Zhou et al., [2013](#page-18-16)). Hence, we selected the population of agricultural employment/cultivated land area, total power of agricultural machinery/cultivated land area, amount of chemical fertilizer/cultivated land area, and farming financial expenditures/cultivated land area to represent each of these inputs.

Utilization degree can refect the state of CLIU (Liang and Li, [2020](#page-16-3)), which includes multiple cropping indexes, per capita cultivated land area, and per capita grain (Jaafar and Ahmad, [2020;](#page-16-17) Liu et al., [2016](#page-16-4); Qi et al., [2020](#page-17-24)). As such, we selected total area used for sowing agricultural crops/ cultivated land area, cultivated land area/total population, and total production of grain/total population to represent each utilization degree.

Fig. 2 Analysis framework

Output efect can refect the externalities and income of CLIU (Ge et al., [2018\)](#page-16-0) and include agricultural output value per unit area, agricultural output value per unit of labor, grain yield per unit area, and grain yield per unit of labor (Blanda et al., [2015](#page-15-19); Ge et al., [2018](#page-16-0); Some et al., [2019](#page-17-25); Tian et al., [2021](#page-17-26)). As such, we selected gross output value of agriculture industry/ cultivated land area, gross output value of agriculture industry/population of agricultural employment, total production of grain/cultivated land area, and total production of grain/population of agricultural employment to represent each output effect. Table [1](#page-5-0) describes all indexes used in the evaluation system.

Calculation of cultivated land intensive use

In this study, the entropy weight method was frst adopted to determine the weight of each index (Chen et al., [2018](#page-15-20)), after which the comprehensive evaluation model was adopted to measure CLIU (Huang et al., [2021](#page-16-6)). The CLIU in the YRB can be calculated using Eq. ([1\)](#page-4-1):

$$
F_j = \sum_{i=1}^n \omega_i \times I_{ij} \tag{1}
$$

where F_j is the comprehensive score value of CLIU in the *j*th evaluation unit, ω_i is the weight of the *i*th index, I_{ij} is the value of the *i*th index of the *j*th evaluation unit after standardized treatment, *n* is the number of evaluation indexes, and *i* is the evaluation index. Through Eq. [\(1\)](#page-4-1), we obtained the results of the CLIU values in the YRB in 2005, 2010, and 2017.

Cultivated land carbon emissions

To calculate the CLCE in the YRB, we identified its major sources. Combined with the availability of data, we measured the CLCE in the YRB in 2005, 2010, and 2017 from four aspects: fertilizer, tillage, total area used for sowing agricultural crops, and total power of agricultural machinery (Table [2\)](#page-5-1). Although this system only considers the cultivated land carbon sources in the YRB, it magnifies the actual CLCE to some extent, while reflecting the role of the carbon source in the cultivated land in the YRB. The CLCE in the YRB can be calculated using Eq. ([2](#page-4-2)):

$$
E = \sum_{i=1}^{2} E_i + F = \sum_{i=1}^{2} T_i \times \partial_i + (A \times B + C \times D)
$$
 (2)

where *E* represents the carbon emissions from cultivated land (kg) , E_i represents the carbon emissions from fertilizers and plowing, *F* represents the carbon emissions from the production and use of agricultural machinery (unit kg), T_1 and T_2 are the amount of fertilizer and tillage carbon sources in *kg* and hm^2 , respectively, ∂_i is the carbon source coefficient, *A* is the total area used for sowing agricultural crops $(in hm²)$, *C* is the total power of the agricultural machinery $(in \, kW)$, and *B* and *D* are the carbon emission coefficients of agricultural machinery.

	Target layer Criterion layer	Index layer		Effect Index description		Weights Reference
CLIU	Input intensity	Labor input per unit area	$+$	Population of agricultural employment/cultivated land area	0.0806	(Liu et al., 2016; Zhou et al., 2013)
		Power input per unit area	$+$	Total power of agricultural machinery/cultivated land area	0.0946	(May and Kocabiyik, 2019; Zhou et al., 2013)
		Fertilizer input per unit area	$^{+}$	Amount of chemical ferti- lizer/cultivated land area	0.0868	(Doddabasawa et al., 2020; Lou et al., 2017)
		Farming financial input per unit area	$+$	Farming financial expendi- tures/cultivated land area	0.0408	(Lou et al., 2017; Vallejo et al., 2015
		Utilization degree Multiple cropping index	$+$	Total area used for sowing agricultural crops/culti- vated land area	0.1147	(Briglia et al., 2019; Liu et al., 2016)
		Per capita cultivated land area	$+$	Cultivated land area/total population	0.0922	(Jaafar and Ahmad, 2020; Wu et al., 2019)
		Per capita grain	$+$	Total production of grain/ total population	0.1028	(Qi et al., 2020; Zhou et al., 2013)
	Output effect	Agricultural output value per unit area	$+$	Gross output value of agri- culture industry/cultivated land area	0.0899	(Liao et al., 2020; Some et al., 2019)
		Agricultural output value per unit of labor	$+$	Gross output value of agri- culture industry/population of agricultural employment	0.0948	(Blanda et al., 2015; Dahal et al., 2020)
		Grain yield per unit area	$^{+}$	Total production of grain/ cultivated land area	0.0980	(Fan et al., 2012 ; Tian et al., 2021)
		Grain yield per unit of labor	$+$	Total production of grain/ population of agricultural employment	0.1050	(Ge et al., 2018; Zhou et al., 2013)

Table 1 Description and weight of each evaluation index for cultivated land intensive use in the Yellow River Basin

Table 2 Carbon emission coefficients of carbon sources in the cultivated land

Carbon emission efficiency

Selection of carbon emission efficiency indexes

To simplify the calculation process and link to the CLIU index, this study constructed the cultivated land CEE index in the YRB from two input and output dimensions (Alamdarlo, [2018;](#page-15-21) Coderoni and Esposti, [2018;](#page-15-22) Paramesh et al., [2018](#page-17-27); Zhu et al., [2018](#page-18-17)). When constructing the input indexes, we used the farming fnancial input per unit area and labor input per unit area to represent the inputs from the macroscopic perspective of capital and labor, respectively. When constructing the output indexes, CLCE should be considered as a cost to better ft with the current low-carbon agricultural transition. Therefore, agricultural output value per unit of carbon emissions and grain yield per unit of carbon emissions were determined. Moreover, all price-related indexes had been converted to fxed prices based on the year 2005 (Table [3](#page-6-0)).

Calculation of carbon emission efficiency

Based on the multi-functional characteristics of cultivated land use in the YRB, the calculation model of CEE in the YRB was constructed using the DEA method, which has

Criterion layer	Index layer	Index description	Unit	Reference
Input indexes	Farming financial input per unit area	Farming financial expenditures/ cultivated land area	10^4 yuan \cdot km ⁻²	(Coderoni and Esposti, 2018; Para- mesh et al., 2018
	Labor input per unit area	Population of agricultural employ- ment/cultivated land area	10^4 person \cdot km ⁻²	(Dissanayake et al., 2020; Paramesh et al., 2018)
	Output indexes Agricultural output value per unit of carbon emissions	Gross output value of agriculture industry/cultivated land carbon emissions	10^4 yuan $\cdot t^{-1}$	(Alamdarlo, 2018; Dong et al., 2017)
	Grain yield per unit of carbon emissions	Total production of grain/culti- vated land carbon emissions	$t \cdot t^{-1}$	(Clark and Tilman, 2017; Zhu et al., 2018)

Table 3 Input–output indexes of carbon emission efficiency for cultivated land use in the Yellow River Basin

the advantage of analyzing the relative efficiency under multiple inputs and outputs (Chen et al., [2016](#page-15-25); Yang et al., [2020](#page-18-20)). Currently, commonly used DEA models include the Charnes, Cooper, and Rhodes (CCR) model and the BCC model. The CCR model is an idealized input–output state, with an equal ratio of increasing inputs to outputs, which does not conform to the actual production situation, whereas the BCC model considers the increasing or decreasing marginal beneft, which more accurately refects the true situation in the YRB (Clark and Tilman, [2017](#page-15-26); Dong et al., [2017](#page-16-23)). The CEE in the YRB can be calculated using Eq. ([3\)](#page-6-1):

$$
\begin{cases}\n\min \left[\theta - \varepsilon \left(e^{-T} s^{-} + e^{T} s^{+}\right)\right] \\
\sum_{j=1}^{n} X_{j} \lambda_{j} + s^{-} = \theta X_{0} \\
\sum_{j=1}^{n} Y_{j} \lambda_{j} - s^{+} = Y_{0} \\
\sum_{j=1}^{n} \lambda_{j} = 1 \\
\lambda_{j} \ge 0, j = 1, 2, \dots, n, s^{+} \ge 0, s^{-} \ge 0\n\end{cases}
$$
\n(3)

where X_j and Y_j are the input and output element sets of the decision-making units DMU_j , respectively; λ_j represents the combination proportion of the *j*th decision-making units when an effective $DMU₀$ is constructed through the existing combination; θ represents the effective value of the decision-making units DMU_0 , that is, the effective utilization degree of inputs and outputs. As θ approaches 1, the efficiency becomes more reasonable. ω is a non-Archimedes infinitesimal, and $s⁺$ and $s⁻$ are relaxation variables, representing input redundancy and output deficiency, respectively.

In the BCC model, the total efficiency is the product of pure technical efficiency and scale efficiency; the calculation methods of CEPTE and CESE have been detailed previously (Khoshnevisan et al., 2013 ; Liou and Wu, 2011). An efficiency of one represents DEA efectiveness; otherwise, it represents invalid or weak efectiveness; the closer the value

is to one, the higher the efficiency. In our study, the CEPTE refects whether the resource allocation of each decisionmaking unit is in an efective state in the process of CLIU, that is, the allocation and utilization efficiency of cultivated land resources in each area. CESE refects the diference between the actual scale of the decision-making units and the optimal production scale, that is, the minimum input required to reach the existing output standard of unit carbon emissions. CETE is the product of CEPTE and CESE, which can refect the capacity of regional cultivated land resource allocation, utilization, and scale aggregation, namely the efficiency of CLIU inputs to achieve the outputs per unit carbon emissions.

Spatial autocorrelation between cultivated land intensive use and carbon emission efficiency

Spatial autocorrelation analysis is a method of exploratory spatial data analysis, which is typically applied from three aspects. The frst reveals geographical phenomena or attributes on a regional unit. The second reveals the degree of spatial correlation of the same phenomenon or attribute on adjacent regional units. The third measures the degree of spatial aggregation; this includes global spatial autocorrelation and local spatial autocorrelation (Zhang et al., [2021a,](#page-18-1) [b,](#page-18-2) [c\)](#page-18-3).

Global spatial autocorrelation is used to describe the average correlation degree, spatial distribution pattern, and signifcance of all objects in the entire study area (Rodriguez-Galiano et al., [2012](#page-17-29)). Local spatial autocorrelation can identify the possible spatial correlation patterns in diferent spatial locations to determine the spatial local instability, grasp the aggregation and diferentiation characteristics of local spatial elements more accurately, and provide a basis for classifcation and decision-making (Pratt and Chang, [2012](#page-17-30)). Generally, global Moran's *I* and local Moran's *I* indices are used to describe global spatial autocorrelation and local spatial autocorrelation, respectively. The global Moran's *I* value can be calculated using Eq. ([4\)](#page-7-0), whereas the local Moran's I value for a single spatial unit i is shown in Eq. (5) (5) :

$$
I = \frac{\sum_{i=1}^{n} \sum_{j\neq 1}^{n} W_{ij} \cdot (Y_i - \overline{Y}) \cdot (Y_j - \overline{Y})}{S^2 \cdot \sum_{i=1}^{n} \sum_{j\neq i}^{n} W_{ij}}
$$
(4)

$$
I_i = \frac{Y_i - \overline{Y}}{S_i^2} \cdot \sum_{i=1, j \neq i}^{n} W_{ij} \cdot \left(Y_i - \overline{Y}\right)
$$
 (5)

where $S^2 = \frac{1}{n} \sum_{i=1}^{n}$ $\sum_{i=1}^{n} (Y_i - \overline{Y}) \overline{Y} = \frac{1}{n} \sum_{i=1}^{n}$ $\sum_{i=1} Y_i$; Y_i and Y_j represent attribute values of units *i* and *j*, respectively; n is the total number of evaluated areas; and W_{ii} is a weight matrix based on the spatial adjacency relationship.

To describe the spatial correlation among multiple variables, the bivariate global autocorrelation and local autocorrelation have been expanded based on the Moran's *I* index, providing a feasible method for defning the spatial correlation in the distribution of diferent elements (Zhou et al., [2020](#page-18-21)). See Eq. [\(6](#page-7-2)) for details.

$$
I_{lm}^p = z_l^p \cdot \sum_{q=1}^n W_{pq} \cdot z_m^q \tag{6}
$$

where $z_l^p = \frac{X_l^p - \overline{X}_l}{\sigma_l}$ $\frac{\partial \overline{X}_l}{\partial \sigma_l}$; $z_m^q = \frac{X_m^q - \overline{X}_m}{\sigma_m}$ $\frac{d_i - X_m}{d_m}$; X_l^p is the value of attribute *l* of spatial unit *p*; X_m^q is the value of attribute *m* of spatial unit q ; X_l and X_m are the mean values of attributes *l* and *m*, respectively; σ_l and σ_m are the variances of attributes *l* and *m*, respectively; and W_{pq} is the spatial connection matrix between spatial units *p* and *q*.

Results

Spatiotemporal patterns of cultivated land intensive use in the Yellow River Basin

In 2005, 2010, and 2017, the number of cities with $CLIU > 0.251$ was 12, 37, and 46, respectively, thus representing a gradual upward trend with time. Higher CLIU areas were predominantly distributed in the southeast of the YRB (mainly Shandong and Henan provinces) and were largely associated with their location in the North China Plain, an area with a developed economy, perfect agricultural infrastructure, and high levels of agricultural mechanization. Other higher CLIU areas included northeastern and northwestern Inner Mongolia, northwestern Gansu, northern Ningxia, and southern Shaanxi. Among them, higher CLIU areas were relatively concentrated in the northwest of the YRB (Fig. [3\)](#page-8-0), which was attributed to socioeconomic development and the application of new and advanced technology.

Spatiotemporal patterns of cultivated land carbon emissions in the Yellow River Basin

The total CLCE values in the YRB in 2005, 2010, and 2017 were 2.892×10^{7} t, 3.662×10^{7} t, and 3.671×10^{7} t, respectively, indicating a continuous increase with time. High CLCE areas were primarily concentrated in the Shandong Peninsula agglomeration and the Central Plains agglomeration (Fig. [4\)](#page-8-1), which was largely attributed to China's Agricultural Mechanization Promotion Law and implementation of fertile soil projects. Low CLCE areas were found to be primarily concentrated in the western, northern, and central areas of the YRB, including Qinghai, Gansu, Ningxia, Shaanxi, and Shanxi provinces, as well as central and western Inner Mongolia, which was largely attributed to the Grain for Green policy and the "Sunshine Project" for rural labor transfer.

Spatiotemporal patterns of carbon emission efficiency in the Yellow River Basin

Spatiotemporal patterns of carbon emission total efficiency

The average CETE values in the YRB in 2005, 2010, and 2017 were 0.408, 0.324, and 0.356, respectively, which were relatively low and exhibited an initial decrease followed by a slight increase. The proportion of cities with CETE values exceeding 0.501 during 2005, 2010, and 2017 was 36.17%, 15.96%, and 22.34%, respectively. Importantly, the CETE in the YRB was in a general period of adjustment during the study period. Higher CETE areas were predominantly distributed in the northeast of Inner Mongolia, Shandong Peninsula agglomeration, Central Plains agglomeration, Shaanxi Province, and northwest Gansu Province. The fact that cities with rapid economic development in the YRB commonly have a high input of cultivated land use and high-carbon dioxide emissions explains why cities with higher CETE values were primarily located in rapidly developing economic areas. Specifically, the strategy adopted by the eastern coastal area of taking the lead in development led to an inflow of economic production elements, such as labor and capital, to coastal areas. The rebalance between coastal and inland area strategies promoted the rapid rise of agglomerations in the central and western areas. Thus, higher CETE areas appeared predominantly in eastern areas, followed by central and western cities (Fig. [5a1–a3\)](#page-9-0).

Spatiotemporal patterns of carbon emission pure technical efficiency

The average CEPTE values in 2005, 2010, and 2017 were 0.651, 0.630, and 0.615, respectively, which were relatively

high and showed a gradual decreasing trend. The number of cities in the range of 0.501–0.999 was 51.06% in 2005, 62.77% in 2010, and 54.26% in 2017. The higher CETE areas were primarily distributed in Shandong, Henan, Shanxi, Inner Mongolia, Gansu, southern Shaanxi, and southern Qinghai provinces (Fig. [5b1–b3\)](#page-9-0). This was largely due to the southeast coastal cities having entered the advanced stage of cultivated land use by this time, with

Fig. 5 Spatiotemporal evolution of three carbon emission efficiency types in the Yellow River Basin from 2005 to 2017. CETE, carbon emission total efficiency; CEPT, carbon emission pure technical efficiency; CESE, carbon emission scale efficiency

intelligent mechanization representing the dominant cultivated land use mode. During the same period, cultivated land use in the other areas entered the transition development period in response to the YRB high-quality development strategy.

Spatiotemporal patterns of carbon emission scale efficiency

The average CESE values of the YRB in 2005, 2010, and 2017 were 0.574, 0.503, and 0.560, respectively. The average values were relatively high, exhibiting an initial decrease and subsequent increase. During the study period, although the CESE and CETE spatial distributions in the YRB were similar they exhibited diferences, which were refected by their relative proportions within the range of 0.501–0.999. The CESE proportions in 2005, 2010, and 2017 were 50.00%, 29.79%, and 51.06%, respectively, which were higher than those of CETE in the same period. This indicated that the CESE in the YRB was in a transitional period. Higher CESE areas were primarily distributed in the Shandong Peninsula

agglomeration, Central Plains agglomeration, Guanzhong agglomeration, Taiyuan agglomeration, Hohhot–Baotou–Ordos–Yulin agglomeration, Lanzhou–Xining agglomeration, and the Ningxia agglomerations of the Yellow River. The ecological civilization construction strategy, together with the development of green agriculture strategies, was the primary cause if the CESE values increase in these areas. Other areas with high CESE included Inner Mongolia and the northern areas of Qinghai Province (Fig. [5c1–c3](#page-9-0)).

Spatial autocorrelation analysis in the Yellow River Basin

Global spatial autocorrelation analysis of cultivated land intensive use and carbon emission efficiency in the Yellow **River Basin**

The Moran scatter plot refects the spatial correlations between CLIU and lagged CETE, CLIU and lagged CEPTE, and CLIU and lagged CESE in the YRB, which can be divided into four quadrants. Among them, the frst quadrant (high–high (HH) clustering type) and the third quadrant (low–low (LL) clustering type) showed positive spatial correlations between CLIU and lagged CETE, CLIU and lagged CEPTE, and CLIU and lagged CESE. Additionally, the second quadrant (low–high (LH) outlier type) and the fourth quadrant (high–low (HL) outlier type) showed negative spatial correlations between CLIU and lagged CETE, CLIU and lagged CEPTE, and CLIU and lagged CESE (Fig. [6](#page-10-0)).

The results from the global bivariate Moran's *I* values revealed a signifcant positive spatial autocorrelation between CLIU and all three types of CEE in 2005 (all Moran's *I* values > 0 and $p = 0.001$, indicating that the increase in CLIU led to an increase in all three types of CEE in the YRB in 2005. The positive autocorrelation between CLIU and CEPTE (Moran's *I*: 0.3849) was the strongest, followed by that between CLIU and CETE (Moran's *I*: 0.3667) and between CLIU and CESE (Moran's *I*: 0.2920). Moreover, a significant negative spatial autocorrelation

Fig. 6 Moran scatter plot between cultivated land intensive use and three types of carbon emission efficiency in the Yellow River Basin from 2005 to 2017. CLIU, cultivated land intensive use; CETE, car-

bon emission total efficiency; CESE, carbon emission scale efficiency; CEPTE, carbon emission pure technical efficiency

was detected between CLIU and all three types of CEE in 2010 (all Moran's I values < 0), indicating that an increase in CLIU in the YRB led to a decrease in the three types of CEE in 2010. CLIU and CEPTE (Moran's *I*:−0.2411, $p=0.001$) had the strongest negative correlation, followed by CLIU and CETE (Moran's *I*:−0.1325, *p*=0.006). Meanwhile, the negative correlation between CLIU and CESE (Moran's *I*:−0.0686, *p*=0.089) was the weakest. In 2017, CLIU was negatively correlated with all three CEE types. CLIU and CEPTE exhibited the strongest negative correlation (Moran's *I*:−0.1468, *p*=0.003), followed by CLIU and CETE (Moran's *I*:−0.0745, *p*=0.059) then CLIU and CESE (Moran's *I*:−0.0330, *p*=0.256).

Moreover, the absolute values for Moran's *I* values between CLIU and all three types of CEE during 2005 to 2017 all decreased from largest to smallest. Hence, the aggregation degree between CLIU and all three types of CEE decreases with time, while the dispersion degree increases with time, and the spatial correlation weakens gradually.

Local spatial autocorrelation analysis of cultivated land intensive use and carbon emission efficiency in the Yellow **River Basin**

According to the bivariate local indicators of spatial autocorrelation cluster maps, CLIU and the three types of CEE exhibited four types of spatial autocorrelation in 2005, 2010, and 2017 (Fig. [7](#page-12-0)). From the perspective of time, the number of HL and LH clusters gradually increased, whereas the number of HH and LL clusters gradually decreased. Moreover, the number of HL and LL clusters showed a signifcant diference between 2005 and 2010. That is, in 2005, the results of local spatial autocorrelation showed that CLIU and the three types of CEE in the YRB were dominated by HH and LL clusters. HH clusters were mainly distributed in the northeast and southeast of the YRB and were concentrated in the northeast of Inner Mongolia, Shandong Peninsula agglomeration, and south of Central Plains agglomeration, whereas LL clusters were primarily distributed in the west of the YRB and were concentrated in the Ningxia agglomerations of the Yellow River and the Lanzhou–Xining agglomeration (Fig. [7a1, b1, c1\)](#page-12-0). In contrast, in 2010, HL and LH were the dominant clusters for CLIU and CETE, with HL clusters primarily distributed in the southeast of the YRB, and concentrated in the Shandong Peninsula agglomeration. Meanwhile, the LH clusters were more dispersed, primarily in the northeast of Inner Mongolia and the Taiyuan agglomeration (Fig. [7a2, b2](#page-12-0)).

The CLIU and CESE clusters exhibited obvious spatial heterogeneity (Fig. [7c2\)](#page-12-0). In 2017, both CLIU and the three types of CEE in the YRB were dominated by HL and LH clusters. HL clusters were primarily distributed in the

southeast of the YRB and concentrated in the Shandong Peninsula agglomeration, whereas LH clusters were distributed primarily in the northeast and central areas of the YRB and concentrated in the northeast of Inner Mongolia, as well as the Taiyuan agglomeration, Hohhot–Baotou–Ordos–Yulin agglomeration, and Ningxia agglomerations of the Yellow River (Fig. [7a3, b3, c3](#page-12-0)). Moreover, nonsignifcant areas in Fig. [7](#page-12-0) were largely concentrated in the central and western regions of the YRB.

Discussion

Impacts of cultivated land intensive use on carbon emission efficiency

Overall, the CLIU and CLCE values in the YRB exhibited a continuously increasing trend, while areas with higher CLCE were concentrated in the southeast of the YRB. These fndings are supported by those of previous studies over the past two decades related to various policies and laws, such as the comprehensive implementation of the project to return cultivated land to forests, the promotion of China's Agricultural Mechanization Promotion Law, the launch of fertile soil projects, and the rebalance of cultivated land occupation and replenishment (Cheng et al., [2017;](#page-15-18) Li et al., [2020](#page-16-27); Li et al., [2019a](#page-16-28), [b](#page-16-29); Zhang et al., [2020\)](#page-18-22).

Initially, land intensive use became popular to ensure food security and promote agricultural modernization. Consequently, North China has developed a large number of domestic and foreign industries with high-carbon emissions (Zhao and Yin, [2011](#page-18-23)). For example, chemical fertilizers, agricultural machinery, and pesticides are widely used in agricultural production (Chen and Xie, [2019](#page-15-27)). However, the rapid urban expansion over the past two decades has resulted in a signifcant loss of high-quality cultivated land (Xiao et al., [2021](#page-18-4)), as well as the use of many high-carbon emission factors (Wang et al., [2018a](#page-17-1), [b](#page-17-2)). Among them, agriculture and urbanization in the Huang-Huai-Hai Plain were the fastest to develop in the YRB (Shi et al., [2013](#page-17-31)), and its CLCE was much higher than that of other regions of the YRB. Specifcally, within this region, the average CETE and CESE values were found to frst decrease from 2005 to 2010 and subsequently increase by 2017, whereas the average CEPTE values exhibited a continual gradual decrease. Alternatively, areas of higher CETE, CESE, and CEPTE were predominantly found in eastern areas, followed by central and western areas of the YRB. Similar fndings have been previously reported when investigating the east and west rebalance in the Chinese strategy (Fukumoto and Muto, [2012\)](#page-16-30), the Made in China 2025 strategy (Wang et al., [2020\)](#page-17-32), and the high-quality development strategy (Chen et al., [2020a](#page-15-4), [b](#page-15-5), [c\)](#page-15-6), all of which have supported the upgrade

Fig. 7 Local indicators of spatial autocorrelation cluster maps between cultivated land intensive use and three types of carbon emission efficiency in the Yellow River Basin from 2005 to 2017. a1 to a3 represent local bivariate spatial autocorrelations between cultivated land intensive use and carbon emission total efficiency from 2005 to 2017; HH, high cultivated land intensive use and high-carbon emission total efficiency cluster; HL, high cultivated land intensive use

of local industries to technologically intensive strategies. Indeed, the central and western regions have undertaken various capital- and labor-intensive industries (Lemoine et al., [2015](#page-16-31)), efectively disrupting the balance between local original capital factors and labor factors, causing the CEE to change.

The global spatial autocorrelation analysis showed that CLIU and CEE have signifcant spatial dependence from positive correlations to negative correlations. The aggregation degree between CLIU and CEE gradually decreases with time, while the dispersion degree increases with time, and the spatial correlations gradually weaken. Additionally, the local spatial autocorrelation showed that the number of HL and LH clusters between CLIU and CEE gradually increased over time, while that of HH and LL clusters gradually decreased. Previous studies have shown that land intensive use negatively correlates

and low-carbon emission total efficiency cluster; LH, low cultivated land intensive use and high-carbon emission total efficiency cluster; LL, low cultivated land intensive use and low-carbon emission total efficiency cluster; CLIU, cultivated land intensive use; CETE, carbon emission total efficiency; CESE, carbon emission scale efficiency; CEPTE, carbon emission pure technical efficiency

with carbon emissions (Xie et al., [2018\)](#page-18-24) with no apparent spatial correlation or spatial spillover effect (Wang et al., [2019](#page-17-33)). This study confrms, and refnes, these results. In general, CLIU has a negative spatial spillover efect on CEE; that is, an improved CLIU in one area may lead to a decrease in CEE within the surrounding areas. Those with a high CLIU, such as Shandong Peninsula agglomeration and Central Plains agglomeration, are CEE low-value regions, while those with low CLIU, such as Hohhot–Baotou–Ordos–Yulin agglomeration and Taiyuan agglomeration, are CEE high-value regions. This is because CEE is not only afected by capital and labor force but also by the comprehensive efects of economic policies, industrial structure, and technological level, among other factors (Pan et al., [2020](#page-17-22); Yang et al., [2021;](#page-18-10) Zhang and Chen, [2021](#page-18-11)). In particular, under the more recent infuence of policies implemented for western development (Song and

Zhou, [2021\)](#page-17-34), as well as the industrial transfer within eastern coastal regions (Chuai et al., [2015\)](#page-15-17), and the rise of the central region (Ding et al., [2021](#page-15-28)), the state has made a signifcant investment in developing infrastructure within the central and western regions, thus creating conditions for the large-scale migration of industrial capital and labor force. However, currently, the areas with higher CLCE values are concentrated in the southeast region of the YRB, causing a decline in the overall spatial aggregation degree between CLIU and CEE. Moreover, the LH clusters increased and expanded within the central region of the YRB, indicating that the core attractants, such as enterprise tax policy, population settlement policy, vocational education and training, medical and social security services, transportation and communication costs, in this area are more conducive to CEE than those of other regions within the YRB. This apparent preference for the central region has resulted from its taking the initiative to prepare for the afterefects of the coastal and inland rebalancing strategy (Lemoine et al., [2015](#page-16-31)), the belt and road initiative (Wu et al., [2021\)](#page-18-25), and the rural revitalization strategy (Liu et al., [2020a,](#page-16-5) [b](#page-17-10), [c\)](#page-17-11). That is, the central areas migrated relevant industries, established factories, provided sufficient employment opportunities, and promoted the return of labor force with the spillover efect of the Hohhot–Baotou–Ordos–Yulin agglomeration and Taiyuan agglomeration. Meanwhile, the HL clusters continued to increase in the southeast region of the YRB due to the disproportionate use of high-carbon emission elements in Shandong Peninsula agglomeration and Central Plains agglomeration compared to the other YRB regions (Wang et al., [2018a,](#page-17-1) [b](#page-17-2)). Thus, to facilitate construction of an ecological civilization with green development, there is an increasing demand for industries to transform to lowcarbon utilization with priority given to the development of a green economy.

The relationship between CLIU and CLCE may exist in multiple stages exhibiting an "inverted U shape" or fuctuation type. In this study, the CLIU increase did not reach the infection point of the CLCE decrease. A change in CLCE serves as an early warning standard for CEE (Dong et al., [2020\)](#page-16-32). Furthermore, the relationship between CLIU and CLCE is an important basis for cultivated land use strategy, cultivated land resource allocation adjustment, and cultivated land emission reduction policy formulation. Moreover, CLCE is the negative externality of the CEE system for cultivated land (Maraseni et al., [2021](#page-17-35)). Therefore, identifying the degree of interference made by CLCE on CEE is necessary for optimizing CLIU. A change in CLIU type may lead to drastic changes in the efficiency of cultivated land output per unit of carbon emissions, regional cultivated land resource allocation and utilization efficiency, and the minimum carbon emission input required to meet the existing output standard per unit of carbon emissions (Ni et al., [2021\)](#page-17-21). Therefore, constructing a virtuous cycle within the cultivated land use system and actively guiding CLIU to develop toward low-carbon output is an efective strategy to optimize and improve regional CEE.

Policy implications

To ensure food security and accelerate the green and lowcarbon transition, cultivated land protection policies in the YRB should primarily protect high-quality cultivated land, particularly that in the main grain-producing areas (Wu et al., [2017\)](#page-18-12). For example, policymakers in major grainproducing areas can set up a small-to-large compensation and reward matching mechanism (Matzek et al., [2020\)](#page-17-36) to motivate enterprises, cooperatives, or individuals that meet carbon dioxide emission reduction targets to engage in low-carbon production. Moreover, due to long-term water shortages in the Loess Plateau, policymakers should prioritize high-efficiency water-saving irrigation technology to improve irrigation efficiency (Cremades et al., [2016](#page-15-29)). Administrative departments may also provide online reputation recognition for enterprises or individuals who improve, apply, or promote new technologies or patents. Furthermore, the government should encourage enterprises and scientifc research institutions to develop more environmentally friendly agricultural additives (Li et al., [2019a](#page-16-28), [b\)](#page-16-29), control agricultural non-point source pollution, and protect the fragile plateau.

Due to rapid urbanization in the YRB, the agricultural population is becoming non-agricultural (Chen et al., [2019](#page-15-30); Xie and Liu, [2015\)](#page-18-26). To replace the agricultural population and ensure food security, policies regarding the regulation and control of cultivated land use were previously implemented to account for the impact of labor shortages on agricultural development (Lu et al., [2019](#page-17-37)). These measures indirectly led to an increase in the use of chemical fertilizers, pesticides, electricity, fossil energy, and other products, as well as an increase in carbon emissions (Li et al., [2021a](#page-16-11), [b](#page-16-12)), resulting in an agricultural ecological imbalance. In recent years, the rapid development of transportation infrastructure in the YRB, especially expressways and high-speed railways (Chen et al., [2020a](#page-15-4)), has accelerated the fow of labor, technology, and capital. This has created convenient conditions for the coordinated development of agriculture in the eastern and western areas of the YRB. Therefore, to achieve carbon neutrality coordination and joint efforts must be made between surrounding areas, as well as stronger cooperation between all levels of government to ensure the transition of existing arable land use toward low-carbon and high-efficiency land use.

The YRB comprises eight provinces with diferent cultivated land conditions, resource endowments, and economic levels. In the future, it will be benefcial for the government to create diferentiated policies based on a comprehensive consideration of the cultivated land policies of diferent provinces, their specifc technical efects, and their costs. For instance, in economically developed areas, emphasis should be placed on improving the quality of cultivated land, strengthening supervision, and reducing administrative intervention (Yan et al., [2020\)](#page-18-27). Alternatively, in economically underdeveloped areas, emphasis should be placed on the ecological function of cultivated land, consolidating the basic position of agriculture, and promoting farmer employment (Yang et al., [2016\)](#page-18-28). Meanwhile, for the main grain-producing areas, the emphasis should be on ensuring the amount of cultivated land and limiting the occupancy of cultivated land (Guo et al., [2021\)](#page-16-33). Moreover, fscal support for petroleum agriculture should be reformed in the eastern areas of the YRB, and subsidies for fertilizer production and consumption should be phased out (Liu et al., [2020a,](#page-16-5) [b,](#page-17-10) [c](#page-17-11)).

In the western areas of the YRB, fnancial institutions should be encouraged to provide farmers with more convenient agricultural fnancial services (Elahi et al., [2018\)](#page-16-34). For example, the government has guided village collectives to integrate cultivated land resources and has invited planning companies to create cultivated land products based on local characteristics. As such, the ownership and rights to the use of cultivated land products produced by the integrated cultivated land belong to the village collective, for which they are regarded as ecological assets. Village collectives can mortgage their right to use in the form of creditor's rights to fnancial institutions, which issue specifc fnancial derivatives or debts. The fnancial institutions should then regularly pay dividends to the village collectives according to the proportion agreed upon in the contract and provide more convenient and specifc fnancial services for the villagers participating in the project. For example, low-interest study tour loans can be provided to villagers to allow them to travel to developed countries or regions for 90–180 days, thereby enriching their knowledge and promoting cultural exchange. Additionally, the expansion of construction land should be limited (Huang et al., [2019](#page-16-35)). For example, state-owned commercial banks should be encouraged to provide additional credit rating services to local law frms, who should then be encouraged to regularly provide public welfare legal services to farmers. This measure can improve the business scope of state-owned commercial banks, address legal and institutional loopholes, and expand the popularity and reputation of law frms. Mostly, the government should issue related policies to award priority to the land capital appreciation income and other related rights and interests of land-lost farmers in the future.

Limitations and future prospects

In this study, we measured the spatial correlation between CLIU and CEE in the YRB. However, other factors also

afect CEE, such as the cost of carbon dioxide emission reduction, the application of low-carbon technology in agriculture, and the degree of farmers' professionalism (Meijboom and Stafeu, [2016\)](#page-17-38). These aspects were not measured in this study and, thus, require further analysis in future studies. Moreover, economic growth is a decisive factor in cultivated land use change (Zhou et al., [2020\)](#page-18-21); therefore, when evaluating CLIU in the YRB in the future, we will consider economic indicators, such as investment in irrigation renovation projects, investment in agricultural fxed assets, and the wages of hired workers in the harvest season.

Conclusion

In this study, we used a comprehensive evaluation model to quantify the spatiotemporal characteristics of CLIU in the YRB and measure CLCE by IPCC coefficient methodology. We then popularized a DEA model to identify the cultivated land CEE. Finally, a spatial autocorrelation method was used to test the spatial correlation between the CLIU and CEE. According to the results, the overall CLIU and CLCE values in the YRB exhibited a continuous increase; the average CETE and CESE frst decreased and then increased, and the average CEPTE slowly decreased. Areas of high CLCE were concentrated in eastern regions of the YRB, whereas areas of high CLIU, CETE, CESE, and CEPTE predominantly appeared in the eastern areas, followed by central and western regions of the YRB. Spatial analysis revealed signifcant spatial dependence of CLIU on CEE. From a global perspective, there were positive and negative spatial correlations between CLIU and CEE, which changed from positive to negative with time. The aggregation degree between CLIU and CEE gradually decreased with time, the dispersion degree increased with time, and the spatial correlations gradually weakened. Locally, HL and LH clusters became gradually more dominant. Therefore, to facilitate future carbon emission reduction, relevant policies should not only coordinate the complex relationship between capital and labor, but also give priority to groups representing advanced technology and management skills. Finally, regions near the areas of high CEE should avoid negative environmental effects caused by spillover effects from urban sprawl and massive loss of cultivated land. As such, the area surrounding the lower CEE should be dedicated to ecofriendly land use.

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Authors' contributions XZ and WC proposed conceptualization and methodology. XZ and JY collected and organized datasets. XZ, JY, DZ, DW, and SP ran models, analyzed the results, and visualized the study. XZ and WC wrote the original draft. JL, SL, and WC reviewed the manuscript. All authors read and approved the fnal manuscript.

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Declarations

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