## **RESEARCH ARTICLE**



# Chemical characterization and quantitativ e assessment of source-specific health risk of trace metals in PM<sub>1.0</sub> at a road site of Delhi, India

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Received: 7 August 2017 / Accepted: 26 December 2017 / Published online: 11 January 2018 © Springer-Verlag GmbH Germany, part of Springer Nature 2018

## Abstract

This study presents the concentration of submicron aerosol ( $PM_{1.0}$ ) collected during November, 2009 to March, 2010 at two road sites near the Indian Institute of Technology Delhi campus. In winter,  $PM_{1.0}$  composed 83% of  $PM_{2.5}$  indicating the dominance of combustion activity-generated particles. Principal component analysis (PCA) proved secondary aerosol formation as a dominant process in enhancing aerosol concentration at a receptor site along with biomass burning, vehicle exhaust, road dust, engine and tire tear wear, and secondary ammonia. The non-carcinogenic and excess cancer risk for adults and children were estimated for trace element data set available for road site and at elevated site from another parallel work. The decrease in average hazard quotient (HQ) for children and adults was estimated in following order: Mn > Cr > Ni > Pb > Zn > Cu both at road and elevated site. For children, the mean HQs were observed in safe level for Cu, Ni, Zn, and Pb; however, values exceeded safe limit for Cr and Mn at road site. The average highest hazard index values for children and adults were estimated as 22 and 10, respectively, for road site and 7 and 3 for elevated site. The road site average excess cancer risk (ECR) risk of Cr and Ni was close to tolerable limit ( $10^{-4}$ ) for adults and it was 13-16 times higher than the safe limit ( $10^{-6}$ ) for children. The ECR of Ni for adults and children was 102 and 14 times higher at road site compared to elevated site. Overall, the observed ECR values far exceed the acceptable level.

Keywords Road site PM<sub>1.0</sub> · Ions · Trace elements · PCA-MLR · Risk apportionment · Delhi

# Introduction

An aerosol is a mixture of microscopic solids and liquid droplets suspended in air (Begum et al., 2004). Fine aerosols are denoted as  $PM_{2.5}$  (particulate matter with an aerodynamic diameter less than or equal to 2.5 µm). The chemical composition of fine aerosols poses adverse effects on human health especially in urban cities and plays vital role in climate change

Responsible editor: Philippe Garrigues

**Electronic supplementary material** The online version of this article (https://doi.org/10.1007/s11356-017-1174-9) contains supplementary material, which is available to authorized users.

(Cheng et al., 2011; Sandhu et al., 2014; Tsai et al., 2012). These particles can easily penetrate into the respiratory system (Chow et al., 1994; Pope III and Dockery, 2006) and can transfer the toxic compounds to bloodstream. Even it has been reported that the chemical composition of fine aerosol is impacting human health, however, the process is uncertain (Pant and Harrison, 2013). A study of Global Burden Disease (GBD) in 2010 has reported six million deaths due to air pollution of particulate matter (PM) in India (Lim et al., 2013). Numerous cardiovascular and respiratory illness studies (Delfino et al., 2008; Godoi et al., 2013; Kloog, 2016; Krall et al., 2016; Yu and Chien, 2016) have revealed the effects of fine aerosol on cardiopulmonary functions. A few studies (Boldo et al., 2011; Brunekreef et al., 2009) showed an increasing trend in long-term exposure can be linked to mortality due to cancer and cardiopulmonary diseases.

Limited studies (Deshmukh et al., 2013; Izhar et al., 2016; Singh and Gupta, 2016) on gravimetric, chemical, and human health risk analysis of the  $PM_{1.0}$  have been reported, while these particles are highly toxic in sizeable doses (Harrison

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and Yin, 2000); therefore, the toxicity needs to be established to frame the mitigation policy. The  $PM_{1.0}$  are mainly emitted from combustion sources. Urbanization and economic growth of any country is coupled with increasing private vehicle demand, goods transport, and electricity demand thus increasing the emissions, deteriorating the air quality. Therefore, now the air quality and health issues are of utmost concern for population living in urban areas.

Delhi is one of the most polluted urban cities of the world. The city has high population growth rate, economic growth, and ever-increasing demand for transport which is creating excessive pressure on the city's existing public transport infrastructure. Therefore, an inclination towards private vehicle is understandable and can be depicted through twofold increase in vehicle registration from 3.3 million in year 2000 to 7.4 million in 2013 (delhi.gov.in). In 1998, the Supreme Court of India ordered all public transport vehicles to use compressed natural gas (CNG) as fuel instead of diesel and other hydrocarbons. The introduction of CNG in Delhi is expected to be one of the factors that may result in low emissions and different aerosol composition compared to previously measured (Shridhar et al., 2010). Limited studies can be sighted after introduction of CNG reporting road side PM2.5 (Pant and Harrison, 2013; Shukla and Alam, 2010) and none has focused on PM<sub>1.0</sub> which particularly comes from combustion sources such as automobiles.

The availability of limited data on aerosol concentration and compostion at road site makes it difficult to assess the human health risk due to heavy traffic flow on congested roads of Delhi. Therefore, the present study focused on measurement of  $PM_{1.0}$  and determination of its chemical constituents including water-soluble inorganic ions and trace elements near major road network around IIT Delhi campus. The paper also presents the  $PM_{2.5}$  concentrations at the same location to understand the relation between  $PM_{1.0}$  and  $PM_{2.5}$  mass concentration. This paper aimed to understand the (1) daily and monthly variation of  $PM_{1.0}$  and chemical constituents, (2) source contribution using principal component analysis (PCA) and multilinear regression (MLR) model, and (3) human health risks associated with exposure to road site.

# Material and methods

# **Sampling location**

In order to evaluate the road site aerosol concentration and related carcinogenic and non-carcinogenic health risk around IIT Delhi campus located in South Delhi, the aerosol monitoring was conducted at two gates of the campus. The instrument was mounted at 2-m height above the ground level  $\sim$  1.5 m away from road side (Fig. 1a, b).

### Sampling protocol

Air sampling was carried out for 10 h separately for daytime from 8.0 am to 6.0 pm and nighttime from 8 pm to 6 am in November 2009 to March 2010. The 2-h gap in sampling was given due to operational limitations, to avoid excessive heating of the pump, overloading of the filters, and transporting the filters from sampling site to the lab at low temperature to avoid the losses of volatile species. The sampling was carried out 3 days per week throughout the sampling period and a total of 80 samples were collected as per the guidelines of National Ambient Air Quality Standards (NAAQS) (CPCB, 2010). Two single-stage impactors developed and calibrated for PM2.5 and PM1.0 mass collection at the Indian Institute of Technology Kanpur (IITK), India (Chakraborty and Gupta, 2010; Gupta et al., 2011) were used to collect the aerosol mass. The impactors were operated at 15 and 10 liters per minute (LPM) respectively for collection of PM<sub>2.5</sub> and PM<sub>1.0</sub> on Quartz and Teflon filters. The PM<sub>1.0</sub> mass collected on Teflon filters was analyzed for ions and selected trace elements. PM2.5 mass was determine by gravimetric analysis and no chemical characterization was done. Utmost care was taken for filter preparation, sample collection, and gravimetric analysis of the filter papers. The standard procedures (USEPA, 1998; RTI, 2008) were followed for handling and preparation of filters for sampling and analysis. All the filters were subjected to conditioning in a controlled environment at 25 °C temperature and 50% relative humidity for overnight prior and after the sampling. The pre- and postweights of the filters were recorded using a microbalance (Sartorious CPA2P-F, Germany). Gravimetrically, PM mass was calculated as a difference of pre- and post-weight of filters. The PM concentration was calculated by dividing the difference in pre- and post-weight of filters by the sampled air volume.

#### Chemical characterization of PM<sub>1.0</sub> mass

The Teflon filters were subjected to the analysis of watersoluble inorganic ions such as chloride (Cl<sup>-</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>), and ammonium (NH<sub>4</sub><sup>+</sup>) and selected trace elements. The Teflon filters were cut into two equally half pieces. One half filter was cut into small fragments and extracted in 20 ml of ultrapure water (ELGA, Purelab Option-Q, UK) using an ultrasonicator (Jasbo Scientific, India) for 30 min. After ultrasonication, the extracts were filtered by 0.22-µm membrane filter (Millipore, 47 mm) and stored in pre-cleaned screw-capped polypropylene vials. All the extracts were stored in a refrigerator till further analysis. The ion analysis was carried out with an ion chromatograph (Dionex ICS 1000, Thermo Fisher, USA) following procedure detailed in Jaiprakash et al. (2017) and in supplementary information [SI] in Section A1.

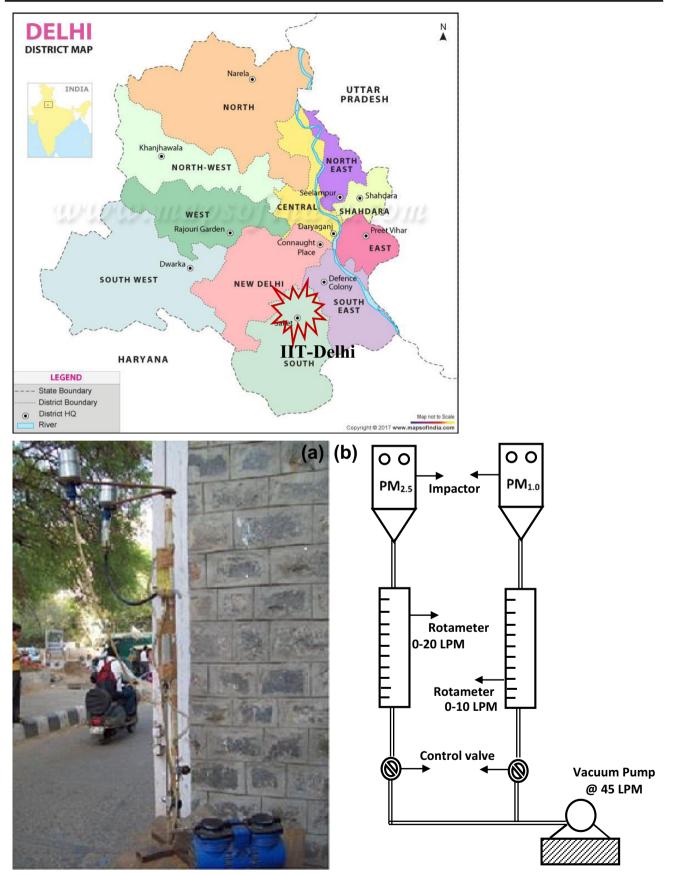


Fig. 1 Study area of aerosol sampling and experimental setup at a road site of Delhi

The other half portion of Teflon filter was subjected to acid digestion with 20 mL of nitric acid (Suprapure, 70% GR grade, Merck) on a hot plate at 180 °C. The digestion was carried out up to dryness. The digest was cooled at room temperature and diluted with ultrapure water and filtered through a 0.22-µm membrane filter. The trace element analysis was carried out following Chakraborty and Gupta (2010), Gupta et al. (2011), and Gupta and Mandariya (2013). Eleven trace elements (Na, K, Mg, Ca, Pb, Zn, Fe, Mn, Cu, Cr, and Ni) were determined using ICP-OES following method detailed in Jaiprakash et al. (2017) Section A1 in SI. Reagents, standards, and quality assurance (QA)/quality control (QC) are also discussed in the sections A2 and A3 in the SI, QA/QC of chemical analysis of collected samples are summarized in Table S1 in the SI.

#### Mass closure and reconstruction

The PM<sub>1.0</sub> and measured chemical components were screened for mass closure as per the procedure described elsewhere (Jaiprakash et al., 2017; Raman et al., 2010 and references cited therein). In this line, first the mass was reconstructed from measured sum of chemical species and deducted from gravimetrically determined PM<sub>1.0</sub> to quantify the missing mass (MM), i.e., carbonaceous aerosol and some key crustal elements such as Si, Al, and water content of aerosol. Equation (1) was used to determine MM using reconstructed mass.

$$[RCM] = [TMO] + [Soil] + [Salt] + Ammonium$$
$$+ Sulfate + Nitrate + MM$$
(1)

where RCM is reconstructed mass ( $\mu g m^{-3}$ ) and TMO is the concentration ( $\mu g m^{-3}$ ) of trace metal oxide ( $\mu g m^{-3}$ ) calculated following Landis et al. (2001) and Olson et al. (2004). The calculation of TMO was done using Eq. (2). Soil is estimated as oxide of Ca and Mg (Malm et al., 1994) using Eq. (3). NaCl is a significant marker of marine aerosol, represented as [Salt]. Due to continental site location, salt is not included in the present work. The missing mass was calculated by subtracting RCM from the gravimetric PM<sub>1.0</sub> mass (Eq. 4).

$$[TMO] = 1.41[K] + 1.08[Pb] + 1.24[Zn] + 1.29[Fe] + 1.29[Mn] + 1.25[Cu] + 1.31[Cr] + 1.27[Ni] (2)$$

$$[Soil] = 1.25[Mg] + 1.25[Ca]$$
(3)

$$[MM] = Gravimetric PM_{1.0} mass - [RCM]$$
(4)

Reconstructed mass was calculated by multiplying their individual concentration with abovementioned conversion

factors and tabulated in Table S2 in the SI. Additionally, gravimetrically measured PM<sub>1.0</sub> (Fig. S1 in the SI) showed moderate correlation  $(r^2 = 0.75)$  with slope 2.6 for both sites. It is indicating that the gravimetrically determined PM<sub>1.0</sub> mass concentrations were much higher than the sum of the species. Based on the above observations, the MM was observed as  $102.4\pm38.8~\mu g~m^{-3}$  (~ 56% of PM<sub>1.0</sub> mass) for day and 144.0  $\pm$  35.3  $\mu g~m^{-3}~(\sim$ 61% of PM<sub>1.0</sub> mass) for night sampling for this study (Table S2 in the SI), which can be recommended that other than trace elements and water-soluble ions the contribution of carbonaceous aerosol could be significant. Therefore, the MM represents unaccounted mass fraction of PM<sub>1.0</sub>. However, the carbonaceous aerosol contribution can be as high as 25-40% of PM<sub>2.5</sub> mass, in Delhi (Kurian, 2011). Further, a study by Gupta and Mandariya (2013) for Kanpur City observed PM<sub>1.0</sub> to  $PM_{2.5}$  ratio as ~0.85 during winter when carbonaceous aerosols from combustion sources are dominated and  $\sim$ 0.65 during summer when dust is dominant constituent. Therefore, we expect the "missing mass" here is largely the combination of carbonaceous aerosol and dust, which depends on season and source activities.

## Source identification using PCA/MLR

Principal component analysis-multilinear regression (PCA-MLR) was used to establish a relationship among various water-soluble ions and selected trace elements of  $PM_{1,0}$  for the possible source identification without any specific assumptions about the number or nature of the sources following the method of Thurston and Spengler (1985). In brief, the chemical characterization data along with MM were screened for quality assessment. The missing values and values below detection limit of a given species were replaced respectively with geometric mean and half of the method detection limit (MDL) of species itself. In the present study, MM was also included as a species. PCA was performed using the statistical package SPSS, version 19.0. For detecting major pollutant sources, 25 varimax rotations were used to redistribute the variance and calculate factor loading. To quantify the contributions of sources and apportionment of PM<sub>1.0</sub>, the absolute principal component score (APCS) method was approached. This APCS was coupled with MLR for quantifying source contribution followed by Larsen and Baker (2003) as depicted by Eq. (5).

$$Total \ \mathrm{PM}_{1.0}(y) = \sum m_i X_i + b \tag{5}$$

where  $X_i$  is PCA factor score as independent variables, and dependent variable, y, is the total  $PM_{1.0}$ . If the independent and dependent variables are "normally standardized," after normalization regression, coefficients are represented as B, with an intercept (b) is 0 in Eq. (6).

$$Z = \sum B_i X_i \tag{6}$$

where Z is the normalized deviates of  $PM_{1.0}$ , and factor score  $X_i$  have a mean 0 and standard deviation of 1. The linear multiple regression was performed using Excel. The coefficients thus obtained were multiplied with APCS score to predict the  $PM_{1.0}$  mass. The regression plot between measured and predicted  $PM_{1.0}$  mass concentration showed strong correlation ( $R^2 = 0.85$ ). Thus, the contribution of factors to  $PM_{1.0}$  mass concentration was estimated.

## Human health risk assessment

Human health risk was assessed on the basis of observed mean concentrations of particulate-bound trace elements and it depends on specific locations and its exposure route, i.e., ingestion, dermal, and inhalation. However, human health is assumed to be exposed to insignificant amount of trace elements in  $PM_{1.0}$  via ingestion and dermal contact because trace element of  $PM_{1.0}$  can enter the body via inhalation (Huang et al. 2014). Thus, this study only examined health risks associated with exposure of trace elements through inhalation only.

#### Exposure dose

The risk associated with  $PM_{1.0}$  exposure was calculated following the method used (Singh and Gupta, 2016) to govern the exposure dose in terms of life time average daily dose (ADD<sub>inh</sub> in mg kg<sup>-1</sup> day<sup>-1</sup>) of aerosol. This can be expressed as follows:

$$ADD_{inh} = \frac{C \times IR \times CF \times EF \times ED}{BW \times AT_n}$$
(7)

where *C* is the species concentration ( $\mu$ g m<sup>-3</sup>), IR is the rate of air inhalation as 10 m<sup>3</sup> day<sup>-1</sup> for children and 20 m<sup>3</sup> day<sup>-1</sup> for adults, CF is a unit correction factor as 0.001, EF is the relative exposure frequency (days year<sup>-1</sup>), ED is the exposure duration during lifetime (years), BW is the body weight assumed as 15 kg for children and 70 kg for adult, and AT<sub>n</sub> is the average time (days). ADD was calculated according to the Human Health Evaluation Manual (Part A), supplemental guidance for inhalation risk assessment (Part F) (USEPA, 2004 and 2009). All values used in calculation are summarized in Table S3 in the SI.

#### Non-carcinogenic risk

Non-carcinogenic risk is represented by hazard quotient (HQ) and hazard index (HI), which can be used to estimate for noncarcinogenic risk of trace elements. Once ADD due to inhalation was calculated using Eq. (5), an HQ can be determined by Eq. (8).

$$HQ = \frac{ADD_{inh}}{RfD_{inh}}$$
(8)

where  $RfD_{inh}$  is the reference exposure level for the human population adopted from USEPA (United States Environmental Protection Agency) (2015). The details are provided in Table S3 in the SI. If HQ > 1, i.e., average daily dose is greater than reference value, then the expected adverse effect on human health is due to inhalation exposure, whereas if HQ < 1, it indicates no adverse effect on health.

HI is the sum of all hazard quotient inhalation exposure. The HI was calculated as the sum of HQ of individual element for inhalation route. The HI < 1 indicates no risk from noncarcinogens, while HI > 1 indicates significant noncarcinogenic risk. HI was calculated as given in Eq. (9) following the method of Zheng et al. (2010).

$$HI = \sum_{i=1}^{i=n} HQ_1 + HQ_2 + HQ_3 \dots HQ_i$$
(9)

where i =first to *n*th element.

#### Excess cancer risk

Excess cancer risk (ECR) can be estimated in terms of incremental probability of developing cancer over a lifetime from magnitude of total exposure to potential carcinogen to the human beings. The ECR can be expressed as follows (USEPA 2011):

$$ECR = \frac{C \times ET \times EF \times ED \times IUR}{AT}$$
(10)

where *C* is the average concentration of element species ( $\mu g m^{-3}$ ), ET is the exposure time (12 h day<sup>-1</sup>), EF is the exposure frequency (days year<sup>-1</sup>), ED is exposure duration average time, 24 years, and AT<sub>n</sub> is average time for carcinogen (AT<sub>n</sub> = 70 years × 365 days × 24 h day<sup>-1</sup>). IUR is the inhalation unit risk ( $\mu g/m^3$ )<sup>-1</sup> of the element, obtained from the USEPA database, Integrated Information Risk System (https://www.epa.gov/iris and listed in Table S3 in the SI). The range of excess cancer risk has been recommended by the USEPA (United States Environmental Protection Agency) (2015) for public health. The acceptable value is 10<sup>-6</sup> for a protection and 10<sup>-4</sup> is likely to be tolerable risk. In the present study, the excess cancer risk was estimated only for Pb, Cr, and Ni out of 11 trace elements which are well-known carcinogens that pose risk to inhalation pathway (IRIS 1995).

#### **Risk apportionment**

The source-specific risk was calculated as the sum of cancer risks of selected species having unit risk as followed by Wu et al. (2009).

$$\mathbf{RAp} = \sum X_{ip} \times \mathbf{IUR}_i \tag{11}$$

where  $X_{ip}$  is the average concentration of *i* species in source *p* and IUR<sub>*i*</sub> is the unit risk of species *i*. The IUR were obtained from USEPA (2011) and Pb, Cr, and Ni were included in source-specific risk.

# **Results and discussions**

# Daily and monthly variation of PM<sub>1.0</sub>

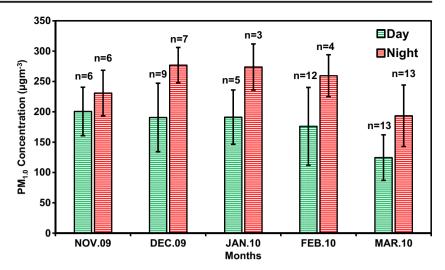
The Student t test conducted on concentration data from site I and site II for both PM<sub>1.0</sub> and PM<sub>2.5</sub> showed no significant difference at 95% confidence in all the sampling months. Therefore, these data were to be merged from two sites for further investigation. The daily average PM<sub>2.5</sub> concentrations in all the sampling days were factor of 3–6 higher than NAAQS (60  $\mu$ g m<sup>-3</sup>) indicated the severity of particulate pollution at road site which has direct implications to high exposure and related health effect. In addition, the occurrence of PM2.5 showed similar trend as PM<sub>1.0</sub> (Fig. S2a in the SI) and PM<sub>1.0</sub> to PM<sub>2.5</sub> ratio was as high as  $0.83 \pm 0.06$  and showed little day to day variability rendering that the submicron particles from anthropogenic activities dominated the aerosol burden rather than airborne road dust during sampling period (Fig. S2b in the SI). Another important point is the  $PM_{2.5}$  and  $PM_{1.0}$  concentrations at night were always higher than the daytime. Unexpectedly, high pollution at night could be because of secondary aerosol formation from  $NO_X$ ,  $SO_2$ , and vapor phase organic compounds emitted from heavy-duty diesel trucks which are allowed inside the city during nighttime. As the  $PM_{1.0}$  to  $PM_{2.5}$ ratios were high throughout the sampling period, we investigated the role of combustion activities in aerosol burden by characterizing the PM<sub>1.0</sub> mass for water-soluble ions and trace elements. Therefore, hereafter this manuscript focuses on PM<sub>1.0</sub> concentration and composition.

The monthly average  $PM_{1.0}$  concentrations in day and nighttime were estimated as  $201 \pm 40$  and  $231 \pm$  $40 \ \mu g \ m^{-3}$ , respectively, with highest concentration observed in December 2009 as daytime average  $125 \pm$  $38 \ \mu g \ m^{-3}$  and nighttime average  $194 \pm 51 \ \mu g \ m^{-3}$ . The lowest concentration was observed in March 2010 (Fig. 2). The day and nighttime concentration in December 2009 was significantly higher than

March 2010 at 95% confidence interval ( $p_{day} = 0.003$ , p- $_{night} = 0.0008$ ). The standard deviation around mean was low in all the months, indicating low day to day variability. This consistency can be speculated as an indication of no radical changes in source activity during sampling period near the receptor site. In winter, 6 days in December and January were associated with heavy foggy events when average  $PM_{1,0}$  concentrations were observed as  $213 \pm$ 55  $\mu$ g m<sup>-3</sup> during day and 249 ± 31  $\mu$ g m<sup>-3</sup> at night, which were higher than concentration of PM<sub>1.0</sub> observed during clear days as  $158 \pm 56 \ \mu g \ m^{-3}$  during day and  $238 \pm$  $5 \ \mu g \ m^{-3}$  at night (Fig. 2). During winter nights, the atmosphere remains calm allowing low or no dispersion and cold  $(12 \pm 4 \text{ °C})$  and mostly inversion condition prevails which accompanied with high relative humidity  $(78 \pm 12\%)$  favors fog-smog-fog cycle. Such atmosphere instigates both fog and secondary aerosol formation and accumulation near ground surface. The breakdown of the thermal inversion due to high temperature during daytime induces the dilution of such particles in the lower atmosphere; however, the temperature still remains lower at ground than at higher altitude causing pollution to confine near ground. Secondly, the traffic activity increases during day provide more primary pollutants and precursors of aerosol further enhancing the pollution load. Therefore, in contrast to elevated site in Jaiprakash et al. (2017), near ground site did not show significant difference during day and nighttime concentration during foggy period. The chemistry of fog and secondary aerosol formation has been explained well in Jaiprakash et al. (2017) for the same sampling period.

The  $PM_{1,0}$  concentrations reported in this study were 24 to 37% higher in all the months except in December 2009 and January 2010 compared to average concentration of PM<sub>1.0</sub> reported by Jaiprakash et al. (2017) for urban background site at 30 m height above ground inside IIT Delhi campus. This clearly indicated that during period of October, November, and March, the pollution near ground was higher than those at high altitude. Overall the average (20 h) PM<sub>1.0</sub> concentrations observed in present study were significantly higher (p < 0.001) at road site compared to elevated site in Jaiprakash et al. (2017) (Table 1). Similarly, the monthly average PM<sub>1.0</sub> concentrations at a road observed in present work were also considerably higher by 42 to 78% than values reported by Gupta and Mandariya (2013) for the same time period for residential cum institutional area at IIT Kanpur. Such high differences in PM<sub>1.0</sub> concentration in the months of November 2009 and February 2010 were probably due to difference in meteorological conditions, emission activities in two cities, and also the concentration measured in the present work was near the road side while the concentration reported by Gupta and Mandariya (2013) was from urban background site.

**Fig. 2** Monthly variations of  $PM_{1.0}$  concentration for day and nighttime



## Monthly variation of ions

All the PM<sub>1.0</sub> samples were analyzed for SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, Cl<sup>-</sup>, F<sup>-</sup>, and NH<sub>4</sub><sup>+</sup>. Fluoride (F<sup>-</sup>) was not found in all the samples. Monthly average day and night concentration of ions NH<sub>4</sub><sup>+</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup> for the period of November 2009 to March 2010 is presented in Fig. 3. The highest daytime concentration of ammonium (NH<sub>4</sub><sup>+</sup>) was observed as 12 ± 3  $\mu$ g m<sup>-3</sup> in January 2010, while lowest concentration was observed as 5 ± 3  $\mu$ g m<sup>-3</sup> in March 2010. However, such trend

 Table 1
 Trace metal concentration at a road site (present work) and an elevated site (Jaiprakash et al. 2017) during sampling period

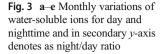
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Species	Road site	Elevated site	<i>p</i> value			
PM <sub>1.0</sub>	$206\pm55$	$145 \pm 54$	0.001 <sup>a</sup>			
$\mathrm{NH_4}^+$	$2.19 \pm 4.91$	$13.9 \pm 4.51$	$0.000^{a}$			
Cl	$9.5\pm5.490$	$13.4 \pm 12.8$	0.16 <sup>b</sup>			
$NO_3^-$	$24.3\pm12.9$	$10.1\pm6.06$	$0.00^{a}$			
$SO_4^{2-}$	$15.0 \pm 6.69$	$11.4 \pm 6.32$	0.04 <sup>b</sup>			
Κ	$3.07 \pm 2.08$	$2.19 \pm 2.06$	0.12 <sup>b</sup>			
Na	$2.23 \pm 1.37$	$1.92\pm1.24$	0.43 <sup>b</sup>			
Ca	$5.78 \pm 2.97$	$3.81 \pm 2.05$	$0.004^{\rm a}$			
Fe	$1.67\pm0.92$	$0.88 \pm 0.46$	$0.00^{a}$			
Mg	$0.79\pm0.96$	$1.65 \pm 1.13$	$0.00^{a}$			
Ni	$1.90 \pm 1.37$	$0.09\pm0.04$	$0.00^{a}$			
Zn	$1.27\pm0.75$	$0.78 \pm 0.44$	0.01 <sup>a</sup>			
Pb	$0.93\pm0.64$	$0.81\pm0.51$	0.50 <sup>b</sup>			
Mn	$0.38 \pm 0.82$	$0.11\pm0.06$	0.01 <sup>a</sup>			
Cr	$0.21\pm0.28$	$0.11\pm0.07$	$0.00^{a}$			
Cu	$0.08\pm0.29$	$0.13\pm0.21$	0.51 <sup>b</sup>			

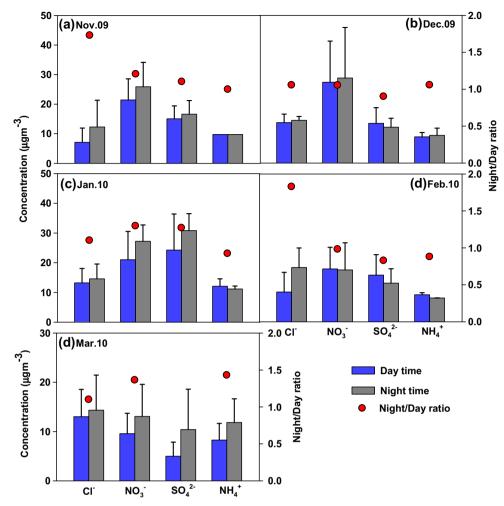
<sup>a</sup> Significantly different

<sup>b</sup> Not significantly different

was not observed for nighttime NH<sub>4</sub><sup>+</sup> concentration. Overall, the concentration of  $NH_4^+$  varied in the range of 10- $12 \ \mu g \ m^{-3}$  during night. An average highest concentration of Cl<sup>-</sup> was observed as  $15 \pm 4$  and  $17 \pm 8 \ \mu g \ m^{-3}$  and for  $NO_3^-$  as  $34 \pm 11$  and  $42 \pm 5 \ \mu g \ m^{-3}$  during day and night, respectively, in December 2009, while highest SO<sub>4</sub><sup>2-</sup> concentrations as  $24 \pm 12$  and  $29 \pm 2 \ \mu g \ m^{-3}$  at day and night respectively were observed in January 2010 (Fig. 3). Generally, nighttime concentrations were higher due to further processing of gas and vapor to secondary aerosol as discussed before and in Jaiprakash et al. (2017). The overall average concentrations of SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup> for road site were significantly higher ( $p_{SO_{*2^-}} < 0.00$  and  $p_{NO_{3^-}} < 0.04$ ) than the average values reported by Jaiprakash et al. (2017) for an elevated site (Table 1). The possible reason could be particles emitted from vehicles remain confined near the ground and also the precursor gases (SO<sub>2</sub> and NO<sub>x</sub>) have contributed to higher rate of secondary aerosol formation as compared elevated (Table 1). The average ratios of  $NO_3^{-}/SO_4^{-2-}$  found in the range of 1.5– 2.7 and were 50-166% higher than ratio reported by Jaiprakash et al. (2017) for winter season which clearly revealed that vehicle emissions dominated near ground pollutant concentrations. Interestingly, road site NH<sub>4</sub><sup>+</sup> and Cl<sup>-</sup> average concentrations were considerably lower than average values reported by Jaiprakash et al. (2017) for elevated site (Table 1). The possible reason could be  $NH_4^+$  and  $Cl^-$  species are not dominantly produced from tail pipe (Shukla et al., 2017) and road dust suspension at road site.

The ion balance could not be established due to unavailability of results of cations such as K<sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup>. Therefore, an equivalent ratio of  $NH_4^+/(CI^- + SO_4^{2-} + NO_3^-)$ is presented in Fig. S3 in the SI for both day and night. An equivalent ratio of 1.0 indicates the neutralization of HNO<sub>3</sub>, HCl, and H<sub>2</sub>SO<sub>4</sub> by NH<sub>3</sub> in the atmosphere (Gupta and Mandariya 2013). However, the results revealed that the





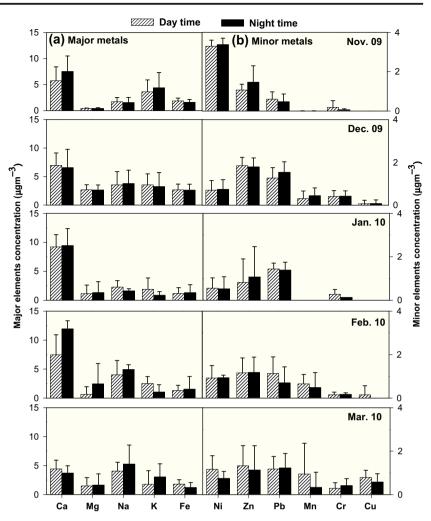
equivalent ratio varied as low as 0.3 indicating insufficient ammonia for secondary aerosol conversion to 1.0 sufficient to completely convert all acidic compounds to aerosol during daytime. While in nighttime this range 0.2–1.4 (Fig. S3 in the SI) indicated the excess of ammonia by 40% in some of the nights (Rajeev et al. 2016). Previous study by Updyke et al. (2012) has reported that reactions of secondary aerosol compounds such as isoprene,  $\alpha$ -pinene, limonene,  $\alpha$ -cedrene,  $\alpha$ humulene, farnesene, pine leaf essential oils, and cedar leaf essential oils with NH<sub>3</sub> resulting in production of lightabsorbing "brown carbon" compounds. The study by Singh and Gupta (2016) has also reported the excess  $NH_4^+$  combines with water-soluble organic carbon. Therefore, probably the excess ammonia was associated with water-soluble organic carbon and was extracted along with other water-soluble inorganic ions. During November 2009 to March 2010, especially daytime aerosol was dominated by acidic nature with higher concentration of  $Cl^{-} + SO_4^{2-} + NO_3^{-}$ , while in December 2009 to February 2010, nights favor alkaline nature of aerosol with high concentration of NH4<sup>+</sup> due to low temperature and high relative humidity.

### Monthly variations of trace metals

Monthly day and night variations of trace metals are shown in Fig. 4. On an average daytime highest metal concentration was observed as  $24 \pm 7 \ \mu g \ m^{-3}$  in December 2009 and lowest as  $18.5 \pm 3.5 \ \mu g \ m^{-3}$  in November 2009. Similarly, in nighttime, the highest metal concentration as  $25.5 \pm 10 \ \mu g \ m^{-3}$  was observed in February 2010 and lowest concentration as  $18 \pm$  $3.0 \ \mu g \ m^{-3}$  in January 2010. Interestingly, among all the tested trace elements, high concentration of Ca was observed as  $9.2 \pm 2.1 \ \mu g \ m^{-3}$  during daytime in January 2010 and as  $12 \pm 1.4 \ \mu g \ m^{-3}$  during nighttime in February 2010 (Fig. 4a). Similarly, high concentrations of Fe as  $2.7 \pm 1.0$  and  $2.6 \pm 1.0 \ \mu g \ m^{-3}$  and Mg as  $2.7 \pm$ 0.9 and  $2.6 \pm 0.9 \ \mu g \ m^{-3}$  were observed during day and nighttime, respectively, in December 2009 (Fig. 4a).

Paired *t* test was conducted for trace metals and shown in Table 1. Overall, average concentrations of Na, K, Ca, and Fe were considerably higher ( $p_{\rm Na} < 0.43$ ,  $p_{\rm K} < 0.12$ ,  $p_{\rm Ca} < 0.004$ ,  $p_{\rm Fe} < 0.00$ ) compared to average values

Fig. 4 Monthly variation of major and minor trace metals for day and nighttime



reported by Jaiprakash et al. (2017), while road site average concentrations of Mg were lower compared to the elevated site. The average values of non-carcinogenic metals of Ni, Zn, Mn, and Cr (except Pb and Cu) were also significantly higher ( $p_{\rm Ni} < 0.00$ ,  $p_{\rm Zn} < 0.01$ ,  $p_{\rm Mn} <$ 0.01,  $p_{\rm Cr} < 0.00$ ) than the average values reported by Jaiprakash et al. (2017) for the elevated site.

Crustal elements dominate the coarse size fraction of aerodynamic particle size between 2.5 and 10  $\mu$ m (Chow et al., 2004). It shall be noted that these elements were higher in winter season, when the stable atmosphere free from turbulence resulted in low mixing height and accumulation of resuspended road dust contributed the crustal elements. Similar trends were also found in studies carried out at road site sampling by Pant et al. (2017).

The Na- and K-dominated aerosol loading was observed especially in November 2009 and March 2010 (Fig. 4b). In daytime, the average highest concentrations of K and Na were  $3.6 \pm 0.6$  and  $4.0 \pm 1.5 \ \mu g \ m^{-3}$ , respectively, in November 2009 and March 2010. During nighttime, the highest concentrations of K and Na were  $4.4 \pm 2.9$  and  $5.2 \pm 3.3 \ \mu g \ m^{-3}$  in

December 2009 and March 2010. The main sources of K would be wood burning and coal combustion in winter months, whereas both crustal origin Na and K were deposited on the leaves of several road site plant/shrubs and they will re-entrained air upon the combustion of these shrubs and plants for space heating/cooking, especially in winter period (Gupta and Mandaria 2013; Mehta et al. 2009).

Comparatively higher daytime and nighttime concentrations of Ni were observed as  $3.3 \pm 0.3$  and  $3.4 \pm 0.3 \ \mu g \ m^{-3}$  in November 2009. The concentration of Pb and Zn was higher in December 2009 and January 2010 compared to November and March due to the same reason of accumulation of pollutants near ground in winter. Artaxo et al. (1999) and Aatmeeyata et al. (2009) reported that the possible sources of Pb and Zn could be wear and tear of engines, wearing of vehicle tire, and road dust. Other minor trace elements such as Mn, Cr, and Cu (Fig. 4b) were dominant in March 2010. These may be contributed by vehicles exhaust and waste burning (Chakraborty and Gupta 2010).

Table 2PCA analysis of road sitesampling for  $PM_{1,0}$ 

PM <sub>1.0</sub> species	Rotated component (varimax with Kaiser normalization)						
	PC1	PC2	PC3	PC4	PC5	PC6	
Ca	0.230	-0.024	0.443	0.157	0.451	-0.513	
Cr	-0.033	0.250	0.243	0.014	-0.556	-0.065	
Cu	-0.140	-0.304	0.054	0.783	0.288	-0.060	
Fe	-0.095	0.825	-0.152	-0.135	-0.064	-0.090	
K	0.037	0.151	0.070	-0.045	0.720	0.074	
Mg	-0.150	0.630	0.354	-0.005	0.027	0.437	
Mn	-0.506	-0.023	0.092	0.367	-0.280	0.031	
Na	-0.097	-0.242	0.846	-0.008	0.055	0.045	
Ni	-0.028	-0.036	-0.612	-0.505	0.360	-0.019	
Pb	-0.032	0.312	0.036	0.623	-0.269	0.133	
Zn	0.061	0.761	-0.117	0.096	0.032	-0.155	
$NH_4^+$	0.181	-0.172	0.118	0.111	0.188	0.786	
Cl	0.381	0.067	0.576	0.021	-0.093	0.084	
$NO_3^-$	0.784	0.124	0.069	-0.159	-0.298	-0.094	
$SO_4^{2-}$	0.706	-0.241	-0.144	0.353	0.191	0.063	
MM	0.729	-0.101	0.249	-0.100	0.150	0.160	
Eigenvalues	2.67	2.23	2.07	1.32	1.20	1.15	
% of variance	16.7	14.0	13.0	8.3	7.5	7.2	
Cumulative %	16.7	30.7	43.7	52.0	59.5	66.7	
Possible sources	Secondary aerosol	Brake/tire wear	Road dust	Vehicle exhaust	Biomass burning	Secondary ammonia	

The principal component values greater than 0.4 are highlighted as bold

# Source identification using PCA/MLR

The PCA by varimax rotation with Kaiser normalization was used to identify the possible sources of  $PM_{1.0}$  using SPSS software (IBM, version 19.0). This technique is used for dimension reduction, which reduces the number of random variables based on eigenvalue. Varimax rotation with Kaiser normalization is especially used for originating maximum explained variance. In present study, a total of 63 samples and 16 species (MM, trace elements and ions) were used in PCA analysis. The factor loadings N > 0.5 were considered for the clear appearance of factor. The factor loading with eigenvalue > 1, explaining 67% of total variance, is presented in Table 2. PCA resolved six principal components (PCs) and their factor score is presented in Fig. 5, which are arranged in descending order on the basis their contribution.

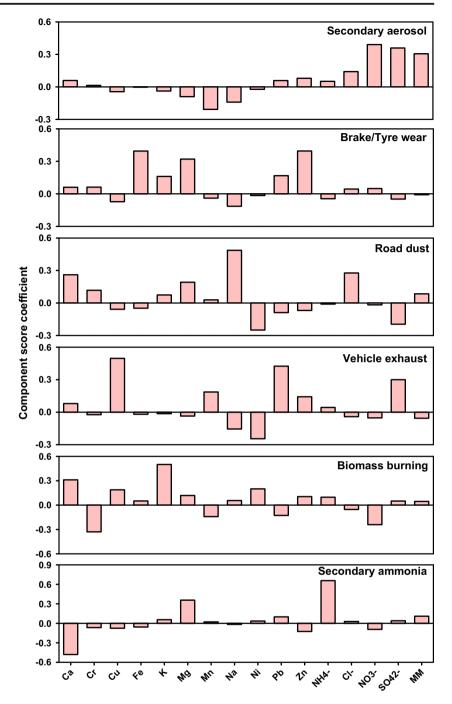
Secondary aerosol was first extracted component explaining maximum loading of  $NO_3^-$ ,  $SO_4^{2-}$ , MM, and Cl<sup>-</sup>, and it accounted 17% of total variance. The high emissions of nitrogen oxides from fuel or oil combustion could be the possible reason of high nitrate than sulfate, which play an important role in secondary aerosol formation (Jaipraksh et al. 2017; Cheng et al., 2010; Shukla et al., 2017). In addition, the sulfur content could be as high as

50 ppm in low grade BSII and BS-III diesel used in heavyduty vehicles in other states which are allowed to travel inside the city during night.

The second extracted component was road dust which showed maximum loading of Fe, Mg, and Zn (Table 2 and Fig. 5) and contributed 14% of total variance. The Fe and Zn are predominant from brake/tire wear of vehicles (Pant and Harrison, 2013, 2012; Sahu et al., 2011; Song et al., 2012). Tires of light and heavy motor vehicles contain natural rubber polymers such as styrene-butadiene and polyisoprene in which Zn is used to facilitate speed. The deterioration of tires contributes Zn in road dust. High Fe concentration can be attributed to wear and tear of brake lining. Therefore, Zn and Fe are dominant species in road dust. The moderate loading of Mg with Fe may originate from road surface wear. Kupiainen et al. (2003) also reported these metals in road dust originated from Ca<sub>2</sub>(MgFe)<sub>4</sub>Al(Si<sub>7</sub>Al)O<sub>22</sub>(OHF)<sub>2</sub> in tar. High Mg concentrations in road cement have been reported in many studies (Lawrence et al., 2013; Vega et al., 2001).

Third component was dominated by Na with moderate contribution of Ca, Cl<sup>-</sup>, and Mg, which explained 13% of total variance (Table 2 and Fig. 5). This could be from biogenic and geogenic material deposited and mixed with road dust and smoke (Thorpe and Harrison, 2008).

**Fig. 5** Factor score coefficient extracted by PCA analysis of  $PM_{1,0}$  at a road site of Delhi



The fourth component was dominated by Cu and Pb with moderate loading of  $SO_4^{2-}$ , which accounted for 8% of total variance (Table 2). The maximum loadings of Pb and Cu are presented in Fig. 5, which could be contributed from vehicular emissions in urban roads and high traffic volume. The Cu/Zn ratios observed in the present work were  $0.41 \pm 0.21$  during day and  $0.47 \pm 0.24$  at night are higher than Cu/Zn ratios for gasoline (0.04–0.35) and diesel (0.06–0.22) fuel combustion in engine reported by Jaiprakash and Habib (2017) and Pulles et al. (2012), while the present values were lower than the ratio  $1.05 \pm 0.13$  reported inside tunnel and close to the values

reported for urban background  $0.31 \pm 0.28$  by Pant et al. (2017). This suggests that along with traffic the noncombustion sources also contributed considerably amount of Cu, also suggested by Gietl et al. (2010) and Gioia et al. (2010) that Cu and Pb come dominantly from wear of tire rather than engine combustion.

A similar magnitude (8%) of explained variance was accounted for the fifth source, which was dominated by K with moderate loading of Ca and Ni (Fig. 5 and Table 2). Biomass burning is a key source of K in the atmosphere. For various types of agricultural residue combustion, Andreae et al. (1998) reported K/Na ratio as 0.6–2.9. Similar ratios as 0.6 to 2.5 were also obtained in the present study. This confirms high loading of K at road site may originate from biomass or residue burning for heating purpose.

The sixth component was exceptionally loaded with  $NH_4^+$ and explained 7% of total variance (Fig. 5 and Table 2). Various studies suggested that emissions from gasoline are an important contributor of NH<sub>3</sub> (Burgard et al., 2006; Kean et al., 2003; Liu et al., 2014). Typically, in Delhi and other cities, the on-road fleet is characterized by high traffic volume of gasoline vehicles because of preference to high fuel efficiency vehicles over fuel cost (Jaiprakash and Gazala, 2017, b; Sadavarte and Venkataraman, 2014). Recent tunnel studies by Nordin et al. (2013) and Platt et al. (2013) demonstrated that emissions from light duty gasoline vehicles (LDGVs) dominated the formation of secondary ammonia during idling condition. The source apportionment of present study is in agreement with the majority of the previous studies conducted on road site pollution. Our findings clearly indicated that the major contributions of toxic metals in  $PM_{10}$ are from road dust, tire or brake wear, engine lining wear along with vehicle exhaust, fuel combustion, and biomass burning.

In the next step, the absolute principal component scores (APCS) were calculated after standard normalization following procedure explained by Larsen and Baker (2003), and thus, these were regressed against measured  $PM_{1.0}$  concentration. The MLR was observed as Eq. (12):

$$Z = \sum \sigma_{\text{PM}_{1,0}} (0.85X_1 - 0.043X_2 + 0.31X_3 - 0.04X_4 + 0.13X_5 + 0.14X_6) (12) + \mu_{\text{PM}_{1,0}}$$

where Z is model-predicted  $PM_{1.0}$  concentration,  $\sigma_{PM_{1.0}}$  and  $\mu_{PM_{1.0}}$  are mean concentration and standard deviation of measured  $PM_{1.0}$ , and X1...X6 are APCSs.

The regression coefficients were multiplied by source contribution matrix and the total sum was considered as modelpredicted PM<sub>1.0</sub> mass for the six sources. The predicted PM<sub>1.0</sub> ( $r^2 =$ 0.85 with slope 0.99, see Fig. S4 in the SI). After PCA-MLR, the species concentration from each sources was obtained and listed in Table 3 and the mean contribution of sources was obtained by 100 × ( $X_i/\Sigma X_i$ ). Thus, the mean contributions of sources were estimated as 56, 3, 20, 3, 9, and 9%, respectively, from secondary aerosol, brake/tire wear, road dust, vehicle exhaust, biomass burning, and secondary ammonia.

## Human health risk assessment

Naturally, inhalation is a primary route of direct exposure to particle bound metals of  $PM_{1.0}$ . An average daily dose (ADD<sub>inh</sub>) and non-carcinogenic risk of six trace metals (Cr, Cu, Mn, Ni, Pb, Zn) in  $PM_{1.0}$  for children and adults were estimated for road site and urban background elevated site. The concentrations of elements measured during same time

period at elevated site have been reported in Jaiprakash et al. (2017). ECR and source-specific risk for children and adults were also estimated for road site and elevated site for Cr (VI) (1/7 of total Cr), Ni, and Pb.

## Average daily dose from inhalation route

The average daily dose from inhalation route (ADD<sub>inh</sub>) values for children and adult is presented in Fig. S5a, b in the SI. At road site, the (ADD<sub>inb</sub>) for children and adults was higher than the elevated site (p = 3.4E-07) at 95% confidence interval. Among metals, the (ADD<sub>inh</sub>) of Ni was found to be highest as 8.19E-04 and 3.51E-04, for children and adults, respectively, at road site and it was 11 times higher than the dose for elevated site (p = 8.9E-11). The ADD<sub>inh</sub> of other metals for road site was found to be two to three times higher than average dose estimated for elevated site. The (ADD<sub>inb</sub>) both for children and adults for road site and elevated site respectively followed the order of Zn > Ni > Pb > Mn > Cr > Cu and Pb >Zn > Cu > Mn > Ni > Cr. It is important to note that for both sites the ADD<sub>inh</sub> for children is two times higher than for adults (Fig. S5a, b in the SI). The risk was calculated in this study on the basis of particle-bound metals not for others toxic compound such as polycyclic aromatic hydrocarbons (PAHs).

## Non-carcinogenic risk

The HOs and HIs for children and adults exposed near road site and elevated site are presented in Fig. 6a, b. Overall noncarcinogenic health risks for children were two times higher than those for adults at road and elevated sites due to higher inhalation rate for children compared to adult. Among the elements, the average HQs for children and adults decreased in the following order: Mn > Cr > Ni > Pb > Zn > Cu both at road and elevated site. For the road site, the HO of Ni was more than 11 times higher than elevated site (p = 8.9E-11), whereas other metals such as Mn, Cr, and Zn were two to three times higher than elevated site. For children, the mean HQs were observed in safe level for Cu, Ni, Zn, and Pb, while the HQs for Cr (5.6) and Mn (14) exceeded the safe level (Fig. 6a, b) at road site. The average highest HI value was 22 and 10 for children and adults from road site, while lowest values 7 and 3 were estimated for elevated site. It should be noted that the potential health risk due to long-term exposure to vehicle exhaust emissions and resuspended road dust and emissions from wear and tear of vehicle parts is threat to pedestrians, people working in commercial establishments near roads, and residents living nearby.

A similar study at the urban background site of Kanpur City, India (Singh and Gupta, 2016) reported noncarcinogenic risk of  $PM_{1,0}$  due inhalation of Cr, Mn, and Ni as 27–127 times lower than risk calculated for road site and 2– 16 times lower risk estimated for elevated site in the present work. This indicates a severity of risk from toxic metals in

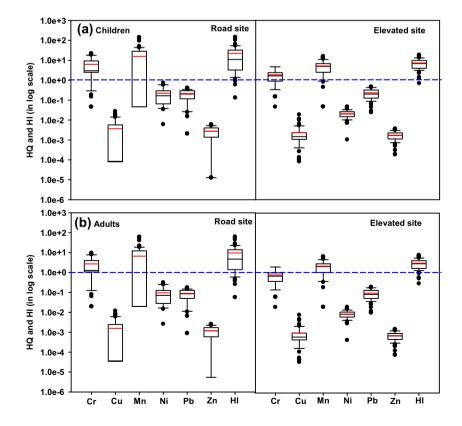
Table 3 Identified sources and their concentration ( $\mu g m^{-3}$ ) obtained by PCA-MLR

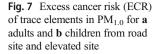
PM <sub>1.0</sub> species	Identified source								
	Secondary aerosol	Brake/tire wear	Road dust	Vehicle exhaust	Biomass burning	Secondary ammonia	$R^2$		
Са	$2.14 \pm 0.77$	$-0.22 \pm 0.08$	$4.13 \pm 1.48$	$1.46 \pm 0.52$	$4.2 \pm 1.50$	$-4.78 \pm 1.71$	0.74		
Cr	$0.06\pm0.01$	$-0.48\pm0.07$	$-0.48\pm0.07$	$-0.03\pm0.00$	$1.08\pm0.15$	$0.13\pm0.02$	0.44		
Cu	$-0.16\pm0.08$	$-0.35 \pm 0.16$	$0.06\pm0.03$	$0.9\pm0.42$	$0.33\pm0.15$	$-0.07\pm0.03$	0.81		
Fe	$-0.53\pm0.10$	$4.62\pm0.86$	$-0.85 \pm 0.16$	$-0.76 \pm 0.14$	$-0.36 \pm 0.07$	$-0.5\pm0.09$	0.74		
K	$0.11\pm0.09$	$0.45\pm0.35$	$0.21\pm0.16$	$-0.13\pm0.10$	$2.14 \pm 1.66$	$0.22\pm0.17$	0.55		
Mg	$-0.16 \pm 0.22$	$0.69 \pm 0.94$	$0.39 \pm 0.53$	$-0.01\pm0.01$	$0.03\pm0.04$	$0.48\pm0.65$	0.74		
Mn	$0.92\pm0.29$	$0.04\pm0.01$	$-0.16 \pm 0.05$	$-0.66 \pm 0.21$	$0.51\pm0.16$	$-0.06\pm0.02$	0.48		
Na	$-0.6 \pm 0.24$	$-1.5 \pm 0.61$	$5.24 \pm 2.14$	$-0.05\pm0.02$	$0.34\pm0.14$	$0.28 \pm 0.11$	0.79		
Ni	$0.04\pm0.03$	$0.05\pm0.04$	$0.89 \pm 0.69$	$0.74\pm0.57$	$-0.53\pm0.41$	$0.03\pm0.02$	0.76		
Pb	$-0.04\pm0.02$	$0.42\pm0.19$	$0.05\pm0.02$	$0.85\pm0.39$	$-0.36 \pm 0.17$	$0.18\pm0.08$	0.58		
Zn	$0.11\pm0.05$	$1.44\pm0.62$	$-0.22\pm0.10$	$0.18\pm0.08$	$0.06\pm0.03$	$-0.29 \pm 0.13$	0.63		
$\mathrm{NH_4}^+$	$1.49\pm0.50$	$-1.41 \pm 0.48$	$0.97 \pm 0.33$	$0.91\pm0.31$	$1.54\pm0.52$	$6.45 \pm 2.19$	0.74		
Cl	$4.63\pm2.40$	$0.81\pm0.42$	$7.00\pm3.63$	$0.26\pm0.13$	$-1.13 \pm 0.59$	$1.02\pm0.53$	0.50		
$NO_3^-$	$41.1\pm9.9$	$6.49 \pm 1.56$	$3.60\pm0.87$	$-8.34 \pm 2.01$	$-15.64 \pm 3.77$	$-4.93\pm1.19$	0.76		
$\mathrm{SO_4}^{2-}$	$10.8\pm5.5$	$-3.69 \pm 1.88$	$-2.20\pm1.12$	$5.40 \pm 2.75$	$2.92 \pm 1.49$	$0.97\pm0.49$	0.74		
MM	$84.4 \pm 31.1$	$-11.6 \pm 4.3$	$28.8 \pm 10.6$	$-11.5 \pm 4.26$	$17.36 \pm 6.40$	$18.56 \pm 6.84$	0.66		
PM <sub>1.0</sub>	116.7±49.3	$6.04 \pm 2.55$	$42.3 \pm 17.8$	$5.43 \pm 2.29$	$17.62 \pm 7.44$	18.7±7.9	*0.85		

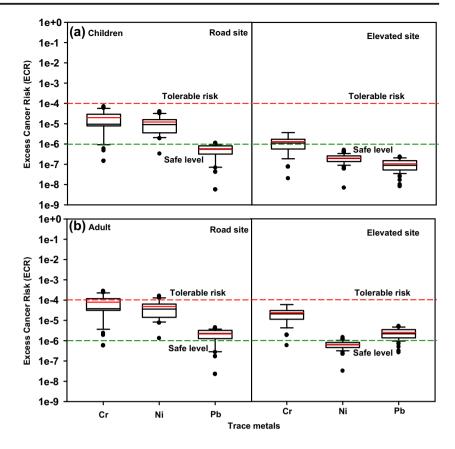
 $R^2$  is between measured species and modeled species concentration by PCA-MLR

Delhi City compared to Kanpur City. The aerosol composition and sources in Kanpur and Delhi are different, resulting in very different level of metal concentration and related health risk. It should be noted that the vehicle population in Delhi is 6.8 times higher than Kanpur (MORTH 2013), and vehicles are major source of Cr, Mn, and Ni.

**Fig. 6** Non-carcinogenic risks (as in HQ and HI) of hazard trace elements in  $PM_{1,0}$  for **a** adults and **b** children from road site and an elevated site. Boxes represent 25th (lower quartile) and 75th (upper quartile) percentile value, with median and arithmetic mean values as whisker







# Excess cancer risk

The ECRs of PM<sub>1.0</sub>-bound metals due to inhalation were calculated for the both monitoring sites (road and elevated), which are shown in Fig. 7a, b. The total ECR values for adults and children were estimated as  $30 \times 10^{-6}$  and  $121 \times 10^{-6}$  for road site which were four to five times higher than total ECR estimated for elevated site. For the road site, the mean ECRs for adults and children from Cr, Ni, and Pb ranged from  $2 \times$  $10^{-6}$  to  $64 \times 10^{-6}$  and  $0.5 \times 10^{-6}$  to  $16 \times 10^{-6}$ , respectively, while for elevated site, the values between  $0.6 \times 10^{-6}$  and  $24 \times 10^{-6}$  were estimated for adults and  $0.5 \times 10^{-6}$  to  $16 \times$  $10^{-6}$  for children. The road site average ECR risk of Cr and Ni was close to tolerable limit  $(10^{-4})$  for adults (Fig. 7a) and it was 13–16 times higher than the safe limit  $(10^{-6})$  for children (Fig. 7b). No such trend was observed for Ni at elevated site for both adults and children. The ECR value of Cr for road site was three times higher than elevated site for both adults and children. Importantly, in the present work, the ECR of Ni was a factor of 102 and 15 higher at road site for adults and children compared to elevated site. Oppositely, the ECR of Pb was 16% higher at elevated site compared to road site for both adults and children.

The Pb comes from break lining and battery recycling process (Aatmeeyata, et al. 2009; Jaiprakash et al. 2017). The heavy traffic on major ring road around IIT Delhi and a large scale glass industry in Haryana (MSME 2013) and electroplating and battery processing units in NCR may have contributed to high level of Pb and related health risk at elevated site. In the present work, the ECRs from Pb for both children and adult at road and elevated sites respectively are 11 and 13 times higher than previous work reported by Izhar et al. (2016) for PM<sub>1.0</sub> bound Pb for Kanpur City. Overall, the observed ECR values far exceed the acceptable level and it is of great concern to inhabitants (both adults and children) of the capital of India. An increased lung cancer mortality may occur among population residing close to road side in Delhi due to inhalation of particulate-bound trace elements.

ECR in the present work was also compared to previous studies and shown in Table S4 in the SI. A study at urban background site of Kanpur City, India (Izhar et al., 2016) reported six to seven times lower than ECR values than those observed at road site for adults and children, while another study by Singh and Gupta (2016) estimated incremental life time cancer risk (ILCR) using cancer slope factor, and reported value is 5–12 times higher than the present work. In Delhi City, recent studies (Khanna et al., 2015; Khillare and Sarkar, 2012) reported ECR value for adults at kerbsite and residential site for inhalation of  $PM_{2.5}$  and  $PM_{10}$ . In the present work, average ECR value is three times higher than ECR value reported by Khanna et al. (2015) while 1.6 times lower than those reported by Khillare and Sarkar (2012). One study at

mining the site of Dhanbad, India (Jena and Singh, 2017) observed high ECR value, which is 24 times higher for adults and 1000 times higher for children compared to road site ECR estimated in the present work. A study by Bari and Kindzierski (2016) reported ECR value for urban loaction of Canada, which is 120 times lower than the present work for road site. In another study at a semi-urban site, Malaysia Khan et al. (2016) accounted ECR value is 32 times lower than the present work.

## Source-specific risk

In the present work, risk was also estimated by sources of  $PM_{1,0}$  to determine the source-specific risk for understanding which source may be prone to high risk in Delhi City. Recent studies (Bari and Kindzierski, 2017; Khan et al., 2016; Li et al., 2015; Liao et al., 2015) have used this approach for estimating the source-specific risks. Source-specific risk of PM<sub>1.0</sub> due to inhalation exposure for Delhi City was shown in Fig. S6 in the SI. Mean excess cancer risk from different sources resolved using PCA was estimated in the range of  $4 \times$  $10^{-6}$  to  $95 \times 10^{-6}$  and all risk values were greater than  $1 \times 10^{-6}$ (Fig. S6 in the SI). It shall be noted that that secondary aerosol and secondary ammonia contributed 56 and 9% to  $PM_{1,0}$ mass, while they contributed less carcinogenic risk as 11 and 9%, respectively. In contrast, biomass burning only contributed 9% of PM<sub>10</sub> but accounted 44% of carcinogenic risk. Road dust along with brake/tire wear and vehicle exhaust was other dominant contributor to carcinogenic risk (36%) to public health.

Sources emitting large amount of trace metals contributed high health risk compared to those whose emission are rich in secondary aerosol precursors. The present work did not include toxic species such as PAHs, organic molecules identified by USEPA (2015) that may pose to more potential risk to human health from the road site in Delhi City. This limitation can further be assessed and a complete health risk from hazardous air toxic species in urban cities in India should be reported.

# Conclusion

This study presented the concentration of submicron aerosol ( $PM_{1.0}$  and  $PM_{2.5}$ ) collected during October 2009 to March 2010 at two road sites near the Indian Institute of Technology Delhi campus. Very high average concentration of aerosol crossing limit of 60 µg m<sup>-3</sup> pointed the severity of particulate pollution at road site which has direct implications to high exposure and related health effect. The formation of secondary aerosol from precursor gases dominated the aerosol burden; however, considerable contributions from road dust, engine exhaust, and wear and tear of engine and tire were

observed using PCA. The trace elements contributed from engine combustion, road dust, engine, and tire wear imposed very high non-carcinogenic and excess carcinogenic risk for adults and children near road compared to high altitude. The ECRs of Cr and Ni at road site were close to tolerable limit for adults but significantly higher than the safe limit for children. Overall, the observed ECR values far exceed the acceptable level and it is of great concern to inhabitants of the capital of India. An increased lung cancer mortality may occur among population residing close to road side in Delhi due to inhalation of particulate-bound carcinogenic trace elements. Source and risk apportionment using all available toxic species including volatile organic compounds is recommended for improving understanding on potential risk posed by various sources in urban areas. However, our findings offer reasonably strong baseline information for understanding current major sources affecting road site and elevated site PM1 0 levels in Delhi.

Acknowledgements The authors gratefully acknowledge the financial support of IIT Delhi through seed grant for the completion of this project. The authors also acknowledge the contribution of M. Tech. colleague (Amrita Singhai) and for his participation in sample collection and chemical analyses.

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