

SLEEP BREATHING PHYSIOLOGY AND DISORDERS • ORIGINAL ARTICLE

Effectiveness of inspiratory muscle training on sleep and functional capacity to exercise in obstructive sleep apnea: a randomized controlled trial

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Abstract

Purpose The aim of this study was to evaluate the effectiveness of inspiratory muscle training (IMT) on sleep and functional capacity to exercise in subjects with obstructive sleep apnea (OSA).

Methods This is a controlled, randomized, double-blind study conducted in 16 OSA patients divided into two groups: training (IMT: $n = 8$) and placebo-IMT (P-IMT: $n = 8$). IMT was conducted during 12 weeks with a moderate load (50–60% of maximal inspiratory pressure—MIP), while P-IMT used a load < 20% of MPI. Total daily IMT time for both groups was 30 min, 7 days per week, twice a day.

Results There was no difference comparing IMT to P-IMT group after training for lung function ($p > 0.05$) and respiratory muscle strength ($p > 0.05$). Maximal oxygen uptake (VO_{2Max}) was not significantly different between IMT and P-IMT group (mean difference − 1.76, confidence interval (CI) − 7.93 to 4.41, $p = 0.71$). The same was observed for the other ventilatory and cardiometabolic variables measured $(p > 0.05)$. A significant improvement in sleep quality was found when Pittsburgh Sleep Quality Index (PSQI) values of

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IMT and P-IMT group after training were compared (mean difference: 3.7, confidence interval 95% (CI95%) 0.6 to 6.9, $p = 0.02$) but no significant changes were seen in daytime sleepiness between both groups after the intervention (mean difference: 3.4, CI 95%: $-$ 3.3 to 10.0; $p = 0.29$).

Conclusion According to these results, 12 weeks of moderate load IMT resulted in improved sleep quality, but there were no significant repercussions on functional capacity to exercise or excessive daytime sleepiness.

Keywords Obstructive sleep apnea \cdot Functional capacity to exercise . Sleep . Inspiratory muscle training

Introduction

Obstructive sleep apnea (OSA) is a breathing-related sleep disorder characterized by partial (hypopnea) or complete (apnea) occlusion of the upper airways (UA). Hypoxia/ reoxygenation episodes that occur during sleep can promote or worsen cardiovascular diseases and stimulate systemic consequences such as chronic fatigue, excessive daytime sleepiness, sleep fragmentation, muscular injuries, and impaired functional capacity to exercise [[1,](#page-7-0) [2\]](#page-7-0). Explanations for impaired exercise tolerance in OSA are linked to sedentarism, obesity, cardiovascular diseases, dyspnea, abnormalities of respiration, and other unknown mechanisms [[3\]](#page-7-0). Moreover, an obstructed airway may lead to increased inspiratory efforts and a significantly lower functional performance in inspiratory muscles in OSA patients [[4\]](#page-7-0).

Many options like surgical interventions, continuous positive airway pressure (CPAP), and oral devices are considered for OSA treatment [[5](#page-7-0)]. In this context, and considering

comorbidities and cardiovascular consequences of OSA, exercise training is presented as a well-tolerated adjunct strategy for patients. Benefits include a reduction in the prevalence of OSA and associated comorbidities, as well as a decrease in the apnea-hypopnea index (AHI), even without changes in body weight [[6](#page-7-0)]. A meta-analysis conducted in 2014 not only showed improvement in the severity of apnea, but also on sleep quality and daytime sleepiness in individuals with OSA who engaged in regular physical activity [[7](#page-7-0)].

Regarding specific inspiratory muscle training (IMT), a limited number of reports have evaluated the effect of IMT in OSA [\[8](#page-7-0)], but numerous studies have demonstrated the effects of this type of training in several populations [[9,](#page-7-0) [10\]](#page-7-0). Studies about the repercussion of IMT on functional capacity to exercise are still controversial. Asthmatic subjects using IMT showed a reduction in perception of dyspnea, improvement in inspiratory muscle fatigue, and exercise tolerance [\[11\]](#page-7-0). On the other hand, 6 weeks of IMT in type 2 diabetes subjects did not promote changes in pulmonary function, autonomic modulation, or functional capacity to exercise [\[12](#page-7-0)].

Thus, the aim of this study was to establish the effectiveness of IMT on functional capacity to exercise, sleep quality, and daytime sleepiness in OSA.

Methods

Sample

This is a double-blind and randomized clinical trial conducted with 16 patients from Pronto Socorro Cardiológico de Pernambuco (PROCAPE) and approved by the Human Research Ethics Committee of the Federal University of Pernambuco (UFPE). The research was regularly registered in clinical trials and can be accessed by the code NCT02584205. It was carried out between March 2015 and May 2016, in the Laboratory of Cardiopulmonary Physiotherapy (LACAP) at the Federal University of Pernambuco.

Randomization and blinding

The selected patients were randomized through the randomization.com web service. A third person not involved in the research allocated the numbers of the patients in black and opaque envelopes so that patients and the first evaluator were blind. The process of capturing, filtering, randomizing, and allocating patients is described in Fig. [1.](#page-2-0)

Inclusion criteria were patients between 30 and 65 years of age with body mass index (BMI) \leq 39.9 kg/m² with moderate or

Eligibility criteria

severe apnea (AHI > 15 events/hour diagnosed by polygraphy, and who could perform cardiopulmonary exercise testing.

Exclusion criteria were patients using CPAP, who had history of pulmonary disease, arrhythmias, heart failure (New York Heart Association class III or IV), unstable angina, valvular heart disease, uncontrolled hypertension or diabetes mellitus, renal disease, and metabolic or endocrine disorders.

Measure of daytime sleepiness

The Epworth sleepiness scale (ESS) was used, which is a subjective scale and features commonly encountered day-today life situations. It promotes a self-evaluation about the possibility of dozing while performing such activities, and is scored from 0 to 3, being: 0—no chance of dozing; 1—small chance; 2—moderate chance of dozing; 3—high chance of dozing. Total score is based on a scale from 0 to 24, and scores of 11–24 represent increasing levels of excessive daytime sleepiness [\[13\]](#page-7-0).

Measure of sleep quality

The Pittsburgh Sleep Quality Index (PSQI) is a self-rated questionnaire that evaluates the quality of sleep and disorders over the last month. It differentiates "poor" from "good" sleep by measuring seven domains: subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleep medication, and daytime dysfunction. It scores 0–4 as good quality of sleep, 5–10 as poor quality, and above 10 as having a sleep disorder [\[14\]](#page-7-0).

Evaluation of respiratory muscle strength

The values of maximal respiratory pressures were obtained by a digital manometer (NEPEB-LabCare/UFMG) coupled to a type of diver mouthpiece. The maneuvers were carried out with the patient seated with knees flexed to 90° and were performed using the residual volume (RV) to perform a forced inspiration. Maximal inspiratory pressure (MIP) was determined as the maximal inspiratory pressure sustainable for 1 s. The system considered three valid maneuvers (coefficient of variation below 10%). The highest value was used in the analysis, considering the reference values for the adult Brazilian population [\[15](#page-7-0)].

Lung function

Lung function was measured by a Minispir®light spirometer with Winspiro®light software. Patients were seated with flexed knees at 90° and held three deep breaths, inspired up to the total lung capacity (TLC) and then exhaled all the air to their residual volume (RV) to obtain

Fig. 1 Flow diagram of patient recruitment and progress through the randomized controlled trial

the variables FEV1 (forced expiratory volume in 1 s), FVC (forced vital capacity), PEF (peak expiratory flow), and FEV1/FVC. The test was replicated at least three times until the system considered the best maneuver as more reproducible and acceptable, considering the reference values for the adult Brazilian population [[16](#page-7-0)].

Cardiopulmonary exercise testing

CPET was performed using a treadmill (Centurium 300, Micromed, Brazil). The software ErgoPCElite® was associated with 12-lead electrocardiogram (Micromed, Brazil). The respiratory variables were collected in standard conditions of temperature (18–22 $^{\circ}$ C), pressure, and humidity (50–70%), with a face mask (without leaks) coupled to a gas analyzer (Cortex—Metalyzer II—Germany) during exercise. The patient was instructed not to communicate verbally during the test, informing their levels of fatigue through hand signals. The test was considered maximum when the patients reached a respiratory exchange rate $(RER) \geq 1.1$, volitional exhaustion or the test was terminated by the medical monitor.

Training protocol

Inspiratory muscle training (IMT) was performed with the powerbreath® classic light device. The established protocol for the IMT group consisted of 12 weeks of home-based training with a moderate load (50–60% of MIP), 7 days a week, twice a day. This protocol specified that the patients should perform 90 incursions in 3 cycles (30 incursions in each cycle), with a 1-min interval between them and total daily IMT time for both groups of 30 min. Next, the training lasted approximately 15 min, twice a day, and load adjustments occurred in the quarterly visits of the patients to the lab. P-IMT group load was < 20% of MIP, which is considered insufficient to promote training of the respiratory muscles [\[17](#page-7-0)].

Statistical analysis

Descriptive and inferential analyses were performed using the software Statistical Package for the Social Sciences, version 20.0 (SPSS Inc., Chicago, IL, USA). Characteristics of the sample and treatment effect between groups were verified using Student's t test for independent samples. Chi-square test corrected by Fisher's exact test was used for the categorical

Table 1 Sample characteristics

Results are shown as mean \pm standard deviation

M male, F female, BMI body mass index, AHI apnea-hypopnea index #values obtained by the chi-square test corrected by Fisher's exact

variables. The results are shown as mean \pm standard deviation, and as differences of means and confidence interval (95%). Statistical significance was set at $p < 0.05$.

Results

There were no differences between the groups regarding demographic, anthropometric, or clinical characteristics (Table 1).

Lung function and respiratory muscle strength are shown in Table [2](#page-4-0). This sample showed no pulmonary impairment. FEV1 and FVC were greater than 80% of predicted and MIP greater than 60 cm H₂O. There was no difference comparing IMT to P-IMT group after training for lung function and respiratory muscle strength.

Data of the CPET are described in Table [3](#page-5-0). Maximal oxygen uptake (VO_{2Max}) was not significantly different between IMT and P-IMT group, with a mean difference of -1.76 , confidence interval (CI) – 7.93 to 4.41, $p = 0.71$). The same was observed for the other ventilatory and cardiometabolic variables measured ($p > 0.05$).

Significant improvement in sleep quality were found in comparing PSQI global score (mean difference: 3.7, confidence interval 95% (CI95%) 0.6 to 6.9, $p = 0.02$) and in sleep quality (mean difference: − 0.9, confidence interval 95% (CI95%) − 0.17 to 0.0, $P = 0.049$, but not in PSQI subscores for sleep duration (mean difference: 0.0, confidence interval 95% (CI95%) − 0.9 to 0.9, $p = 0.04$) or daytime dysfunction (mean difference: -0.6 , confidence interval 95% (CI95%) – 1.7 to 0.4, $p = 0.02$) for IMT and P-IMT group after training. Furthermore, no significant changes were measured in daytime sleepiness when comparing ESS values between both groups after the intervention (mean difference: 3.[4](#page-6-0), CI 95%: -3.3 to 10.0; $p = 0.29$) (Table 4).

Discussion

This is a double-blind, randomized controlled trial, and it is the first study to our knowledge to investigate the

Table 2 Lung function and respiratory muscle strength in IMT and P-IMT groups

Lung function and respiratory muscle strength in IMT and P-IMT groups

FEV₁ forced expiratory volume in 1 s, FVC forced vital capacity, PEF peak expiratory flow, FEV1/FVC ratio between forced expiratory volume in the first second and forced vital capacity, MIP maximal FEV, forced expiratory volume in 1 s, FVC forced vital capacity, PEF peak expiratory flow, FEV/IFVC ratio between forced expiratory volume in the first second and forced vital capacity, MIP maximal nspiratory pressure, MEP maximal expiratory pressure inspiratory pressure, MEP maximal expiratory pressure

effectiveness of inspiratory muscle training on functional capacity to exercise in subjects with OSA. The results of the present study showed that IMT improved PSQI score in the IMT group when compared to the P-IMT group. However, IMT did not improve functional capacity to exercise, lung function, or excessive daytime sleepiness in these patients.

Respiratory muscle impairment in OSA has been reported in some studies, and seems to be related to the severity of the disease as well as the increase of respiratory drive due to the occlusion of UA occurring in these patients during sleep. Furthermore, hypoxia and hypercapnia, via the chemoreflexes, increase sympathetic activity that leads to comorbidities associated with OSA [[18](#page-7-0), [19](#page-7-0)]. In our study, the sample did not show muscle weakness or limitations in lung function under basal conditions, and there were no changes in lung function or inspiratory muscle strength after the intervention. Chien et al. [\[4](#page-7-0)] reported reduced respiratory muscle performance in OSA patients, but greater fatigue was only found in severe OSA subjects. It is possible that repetitive inspiratory effort against an obstructed airway may also induce deleterious effects on the inspiratory muscles in severe OSA patients. Our sample was comprised of subjects with moderate (15 \geq AHI < 30 events/hours) to severe (AHI \geq 30 events/hours) OSA and not only of severe OSA patients, which may explain the absence of weakness in respiratory muscles at baseline in both groups.

In our study, daytime sleepiness (one of the main symptoms of OSA) did not show differences when comparing the IMT and P-IMT group after intervention. Daytime sleepiness is more related to awakenings and micro-awakenings that occur at night than to hypoxia levels [[20](#page-7-0)]. Lombardi et al. [\[21](#page-7-0)] analyzed apneic patients on the presence and absence of excessive sleepiness. They reported that there was lower baroreflex sensitivity in subjects with excessive sleepiness, indicating sympathetic hyperactivity. It is remarkable that the increase in sympathetic activation is involved in OSA pathophysiology, as well as with associated comorbidities.

In this study, there was not found changes on ESS score after the intervention, but it has shown significant improvement on global PSQI score and on sleep quality, sleep duration, and daytime dysfunction PSQI subscores compared to the control group, indicating good overall sleep quality. Other exercise training modalities have already been reported in the literature and put the regular practice of physical activity as an efficient method for prevention and treatment of OSA [\[6](#page-7-0), [22\]](#page-7-0). Vranish and Bailey [\[8](#page-7-0)] carried out a study in 2016 in patients with OS, and after 6 weeks of training they observed that IMT group presented a reduction in pressure levels and serum catecholamines, and also improved the subjective quality of sleep even without changes in the AHI, thus supporting the use of IMT as a strategy for treating the disease.

Regarding the functional capacity to exercise in the studied sample according to the American Heart Association (AHA)

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Table 3 Responses to cardiopulmonary exercise test in IMT and P-IMT groups

Table 3

Responses to cardiopulmonary exercise test in IMT and P-IMT groups

rate obtained, HR_{maxEstimated} maximum heart rate estimated, SBP_{rest} resting systolic blood pressure, SBP_{max} maximum systolic blood pressure, DBP_{rest} resting diastolic blood pressure, DBP_{max} maximum

diastolic blood pressure

Results are shown as mean \pm standard deviation and mean differences (confidence interval 95%)

AHI apnea-hypopnea index, ESS Epworth Sleepiness Scale, PSQI Pittsburgh Sleep Quality Index

*Post IMT vs. post P-IMT, $p < 0.05$

[\[23\]](#page-7-0) classification which considers VO_{2Max} , age, and gender; we observed that volunteers had cardiorespiratory fitness levels ranging from regular to weak, except for one patient who showed good cardiorespiratory fitness. It has already been reported in the literature that acute and chronic hypoxia cause reduction in VO_{2Max}. This decrease is directly proportional to the drop in hemoglobin saturation [[24](#page-7-0)].

There is still no consensus on the influence of OSA on effort tolerance. Some studies report a reduction in functional capacity to exercise in the overweight and obese subjects with OSA [[3,](#page-7-0) [25\]](#page-7-0). Only one study compared VO_{2Max} values in obese and eutrophic subjects with and without OSA, and suggest that obesity alone and gender when associated with diabetes, but not OSA, influenced exercise tolerance [\[26\]](#page-8-0). Our study also contained overweight and obese volunteers, which may have contributed to the findings of reduced cardiorespiratory fitness, since obesity negatively interferes in the functional capacity to exercise.

Despite the low levels of cardiorespiratory fitness found in the present study, there was no improvement in the parameters related to exercise tolerance provided by the cardiopulmonary exercise test. Not even variables such as VO_{2Max} and $VO₂$ at the first threshold were affected by IMT. The increase in VO2Max with training is proportional to the muscle mass used in exercise [\[24](#page-7-0)]. The fact that IMT is restricted to the respiratory muscles may not generate enough physiological overload on the cardiovascular system in order to provide significant gains in maximum oxygen consumption [[27\]](#page-8-0).

In agreement with our findings in evaluating healthy subjects submitted to IMT for 4 weeks, Edwards (2013) also found no alterations in the ventilatory variables of the cardiopulmonary exercise test. However, he found an increase in time to volitional exhaustion and the speed at which the individual reached peak $VO₂$. It is possible that changes related to functional capacity to exercise resulting from IMT are initially related to the attenuated perception of fatigue by reducing the afferent input on the respiratory center, thus improving effort tolerance [[28](#page-8-0)]. The group of neuronal afferents III and IV is directly involved with cardiovascular and respiratory control during exercise [[29](#page-8-0)]. These groups of neurons after plasticity inhibit the afferent feedback to the respiratory center, and delay fatigue onset in peripheral and respiratory musculature [[30\]](#page-8-0).

Our study has some limitations. First, a small number of subjects involved in the research make a group stratification based on OSA severity impossible. In addition, other variables related to exercise tolerance and associated with cardiopulmonary exercise test such as volitional exhaustion were not measured, and could also reflect an improvement in the performance of these individuals.

Conclusion

According to the results of the present study, 12 weeks of moderate load IMT resulted in improved sleep quality, but did not seem to cause significant repercussions on functional capacity to exercise, inspiratory muscle strength, lung function, or excessive daytime sleepiness.

From this perspective, IMT could emerge as an adjunct strategy for treating OSA. Despite it not showing an increase in the functional capacity to exercise, IMT can be considered as an alternative for OSA management, since an important improvement in the quality of sleep of these subjects was found. We can consider not only the isolated practice of IMT, but also its association with other types of training, optimizing the gains and intensifying the results.

Thus, we recommend further studies with a larger sample size, in different groups of OSA severity following the same methodological rigor in comparing different training intensities and establishing the physiological mechanisms through which IMT would bring greater benefits to OSA subjects.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent Informed consent was obtained from all individual participants included in the study.

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