

# Oxidative stress and inflammatory markers in the exhaled breath condensate of children with OSA

Georgia Malakasioti · Emmanouel Alexopoulos · Christina Befani · Kalliopi Tanou · Vasiliki Varlami · Dimitrios Ziogas · Panayiotis Liakos · Konstantinos Gourgoulianis · Athanasios G. Kaditis

Received: 8 June 2011 / Revised: 6 July 2011 / Accepted: 16 July 2011 / Published online: 3 August 2011  
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## Abstract

**Introduction** Obstructive sleep apnea (OSA) in children has been associated with systemic inflammation and oxidative stress. Limited evidence indicates that pediatric OSA is associated with oxidative stress and inflammation in the airway. **Objective** The objective of this study is to assess the hypothesis that levels of oxidative stress and inflammatory markers in the exhaled breath condensate (EBC) of children with OSA are higher than those of control subjects. **Methods** Participants were children with OSA and control subjects who underwent overnight polysomnography. Morning levels of hydrogen peroxide ( $H_2O_2$ ) and sum of nitrite and nitrate ( $NO_x$ ) in EBC of participants were measured. **Results** Twelve subjects with moderate-to-severe OSA (mean age  $\pm$  standard deviation:  $6.3 \pm 1.7$  years; apnea–

hypopnea index—AHI,  $13.6 \pm 10.1$  episodes/h), 22 subjects with mild OSA ( $6.7 \pm 2.1$  years; AHI,  $2.8 \pm 1$  episodes/h) and 16 control participants ( $7.7 \pm 2.4$  years; AHI,  $0.6 \pm 0.3$  episodes/h) were recruited. Children with moderate-to-severe OSA had higher log-transformed  $H_2O_2$  concentrations in EBC compared to subjects with mild OSA, or to control participants:  $0.4 \pm 1.1$  versus  $-0.9 \pm 1.3$  ( $p=0.015$ ), or versus  $-1.2 \pm 1.2$  ( $p=0.003$ ), respectively. AHI and % sleep time with oxygen saturation of hemoglobin  $<95\%$  were significant predictors of log-transformed  $H_2O_2$  after adjustment by age and body mass index  $z$  score ( $p<0.05$ ). No significant differences were demonstrated between the three study groups in terms of EBC  $NO_x$  levels. **Conclusions** Children with moderate-to-severe OSA have increased  $H_2O_2$  levels in morning EBC, an indirect index of altered redox status in the respiratory tract.

G. Malakasioti · E. Alexopoulos · K. Tanou · V. Varlami · K. Gourgoulianis · A. G. Kaditis  
Sleep Disorders Laboratory, University of Thessaly School of Medicine and Larissa University Hospital, Larissa, Greece

C. Befani · P. Liakos  
Department of Biochemistry,  
University of Thessaly School of Medicine,  
Larissa, Greece

D. Ziogas  
Department of Biomathematics,  
University of Thessaly School of Medicine,  
Larissa, Greece

A. G. Kaditis (✉)  
First University Department of Pediatrics,  
Aghia Sophia Children's Hospital,  
Thivon and Papadiamantopoulou St.,  
Athens 11527, Greece  
e-mail: KADITIA@hotmail.com

**Keywords** Hydrogen peroxide · Nitrates · Nitrites · Oxidative stress · Sleep apnea

## Introduction

During the past two decades, obstructive sleep apnea (OSA) in childhood has been recognized as a disorder related to metabolic, cardiovascular, and neurocognitive morbidity [1–3]. Increased upper airway resistance and pharyngeal collapsibility, mostly in children with adenotonsillar hypertrophy or obesity, result in intermittent pharyngeal airway collapse with concomitant decline in airflow, hypoxemia and hypercapnia, and brief arousals from sleep [4]. Repetitive cycles of hypoxia and reoxygenation during periods of airway obstruction and resumption of ventilation, promote systemic oxidative stress and inflammation [1, 5, 6]. Oxidative stress is defined as the

predominance of oxidant-producing systems over antioxidant mechanisms resulting in excessive generation of reactive oxygen species (reactive oxygen metabolites) which predispose to morbidity from the cardiovascular and central nervous systems [7].

However, pediatric OSA is not merely an imbalance between mechanical forces accompanied by systemic maladaptive responses. Accumulating evidence indicates that similar to asthma in childhood, pediatric OSA is related to enhanced oxidative stress and inflammation in the airway [8–11]. In particular, augmented activity of leukotrienes in both the airway and the pharyngeal lymphoid tissue of sleep apneic children has been demonstrated by several studies [10, 12, 13] and a single investigation has also documented raised levels of 8-isoprostane in the exhaled breath condensate (EBC) [11].

The assessment of inflammatory and oxidant stress biomarkers in EBC is a growing research tool for the diagnosis and management of chronic respiratory disorders in adults and children [14–16]. The method is based on the assumption that concentrations of substances in EBC reflect the composition of the fluid layer which covers the bronchoalveolar epithelium [17]. The aim of the present study was to expand the limited available pediatric evidence which supports the relationship between OSA and oxidative stress or inflammation in the airway. It was hypothesized that levels of hydrogen peroxide ( $H_2O_2$ ) and the sum of nitrites and nitrates ( $NO_x$ ) (oxidative and inflammatory cell markers) in the EBC of children with OSA: (a) differ from those of control subjects and (b) are positively related to increasing OSA severity.

## Patients and Methods

### Participants

Consecutive children referred to the Sleep Disorders Laboratory for polysomnography due to OSA symptoms, with an age range of 4–14 years and an apnea–hypopnea index (AHI) > 1 episode/h were eligible for recruitment. Consecutive subjects of a similar age range, without history of habitual snoring, who were referred for polysomnography due to sleep problems (nightmares, restless sleep, enuresis) and had AHI ≤ 1 episode/h, participated as controls. *Exclusion criteria* for both patients and controls were: (a) symptoms or signs of acute respiratory tract infection; (b) a diagnosis of allergic rhinitis, asthma, or cystic fibrosis; (c) neuromuscular disorders or craniofacial abnormalities; and (d) current use of cysteinyl leukotriene receptor inhibitors, antihistamines, or nasal, inhaled or systemic corticosteroids. The study was approved by the Institutional Review Board of the Larissa University

Hospital and informed consent for participation was obtained from parents.

### Clinical evaluation and polysomnography

A detailed history was received from parents and physical examination was performed. Weight and standing height were measured and body mass index *z* score was calculated [18]. Obesity was defined as BMI *z* score > 1.645 [19]. Size of tonsils was graded from 1+ to 4+ by direct inspection of the oropharynx [20]. Tonsils were considered enlarged if their size was greater than 2+.

Participants underwent overnight polysomnography in the Sleep Disorders Laboratory. The following signals were recorded: electroencephalogram (C3/M2, F4/M1, O1/M2, O2/M1); right and left oculogram; submental and tibial electromyogram; body position; electrocardiogram; thoracic and abdominal wall motion; oronasal airflow (three-pronged thermistor and nasal pressure transducer); and oxygen saturation of hemoglobin ( $SpO_2$ ). Arousals, sleep stages, and respiratory events were scored and polysomnography indices were defined according to the recent American Academy of Sleep Medicine Manual [21].

Outcome measures:  $H_2O_2$  and  $NO_x$  levels in the EBC

All children provided EBC samples between 07.00 and 08.00 in the morning after polysomnography. EBC was collected orally using the Ecoscreen condenser system with a mouthpiece (Viasys; Wurzburg, Germany) according to American Thoracic Society/European Respiratory Society Task Force recommendations [17]. Sample collection time was set at 10 min aiming to collect 1.5 ml of condensate. During the procedure, children were not crying, laughing or coughing. Samples were placed in plastic sterile tubes and were immediately stored at  $-80^\circ\text{C}$ . Measurements of  $H_2O_2$  and  $NO_x$  were carried out within one month after the EBC sample collection.

Levels of  $H_2O_2$  were determined in undiluted and non-concentrated EBC samples and in triplicate for each sample. A colorimetric assay based on the horseradish peroxidase-catalyzed oxidation of tetramethylbenzidine was used [22]. Briefly, 100  $\mu\text{L}$  3,3',5,5'-tetramethylbenzidine and 10  $\mu\text{L}$  horseradish peroxidase (Sigma Chemicals; St Louis, MO) were reacted with 100  $\mu\text{L}$  of the EBC sample for 20 min at room temperature. Subsequently, the mixture was acidified to pH 1 with 10  $\mu\text{L}$  sulphuric acid. The reaction product was measured spectrophotometrically at 450 nm using a microplate reader (BioTek Instruments; Winooski, VT). The lowest detection level of the assay was 0.1  $\mu\text{M}$ .

EBC contains both nitrate ( $NO_3^-$ ) and nitrite ( $NO_2^-$ ). For the measurement of  $NO_x$  (sum of nitrate and nitrite), each EBC sample was analyzed in triplicate. Initially, aliquots

with EBC were incubated for 30 min at 37°C with nitrate reductase (10 mU) and nicotinamide adenine dinucleotide phosphohydrogenase (NADPH; 100 μM) to convert all nitrate contained in the EBC to nitrite. Subsequently, total nitrite concentration was assayed by the Griess reaction which converts nitrite into a deep purple azo compound [23]. Absorbance of the Griess reaction product was measured at 540 nm by a spectrophotometric plate reader. The lowest detection limit of the method was 2 μM.

#### Data analysis

To test our hypothesis three groups of participants were formed: (a) control subjects without snoring (AHI ≤ 1 episode/h); (b) children with mild OSA (AHI > 1 and ≤ 5 episodes/h); and (c) subjects with moderate-to-severe OSA (AHI > 5 episodes/h). The three study groups were compared in terms of subjects' characteristics, polysomnography indices, and H<sub>2</sub>O<sub>2</sub> or NO<sub>x</sub> levels. H<sub>2</sub>O<sub>2</sub> and NO<sub>x</sub> concentrations were log-transformed (natural logarithm) to approach a normal distribution.

One-way analysis of variance followed by post-hoc tests for pair comparisons (Bonferroni's) was used for continuous variables, and  $\chi^2$  test (Yate's correction) for categorical characteristics. Multiple linear regression analysis was applied to assess whether polysomnography indices (AHI or % sleep time with SpO<sub>2</sub> < 95%), age or BMI z score were significant predictors of the oxidative stress and inflammatory markers levels in the EBC. Both AHI and % sleep time

with SpO<sub>2</sub> < 95% were normally distributed (Kolmogorov–Smirnov test;  $p > 0.05$ ).

## Results

### Subjects' characteristics and polysomnography findings

During the study period, a total of 50 children were offered participation to the study and parents of all subjects provided informed consent. The three groups of participants were similar in age, BMI z score and ratios of female-to-male gender and obese-to-nonobese subjects ( $p > 0.05$ ; Table 1). Participants with mild OSA had significantly higher frequency of tonsillar hypertrophy relative to controls ( $p < 0.05$ ) (Table 1). As expected, subjects with moderate-to-severe OSA had significantly worse polysomnography indices in comparison to children with mild OSA or control individuals ( $p < 0.01$ ) (Table 1).

### EBC levels of H<sub>2</sub>O<sub>2</sub>

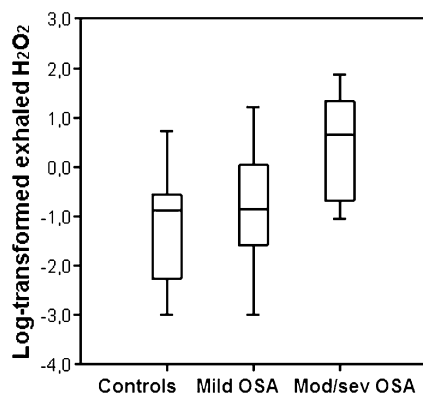
The three study groups were significantly different regarding log-transformed levels of H<sub>2</sub>O<sub>2</sub> ( $p = 0.003$ ; Table 1 and Fig. 1). Subjects with moderate-to-severe OSA had significantly higher log-transformed EBC concentrations of H<sub>2</sub>O<sub>2</sub> compared to those with mild OSA ( $p = 0.015$ ), or relative to controls ( $p = 0.003$ ). The untransformed values of H<sub>2</sub>O<sub>2</sub> for children with moderate-to-severe OSA, mild OSA and

**Table 1** Summary statistics and  $p$  values for comparisons regarding subjects' characteristics and levels of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) or nitrites and nitrates sum (NO<sub>x</sub>) in the exhaled breath condensate

	Moderate-to-severe OSA (1) $n=12$	Mild OSA (2) $n=22$	Controls (3) $n=16$	$p$ (1) vs. (2)	$p$ (1) vs. (3)	$p$ (2) vs. (3)
Age, years	6.3±1.7	6.7±2.1	7.7±2.4	NS	NS	NS
Gender, female (%)	6 (50)	13 (59.1)	8 (50)	NS	NS	NS
Tonsillar hypertrophy (%)	10 (83.3)	19 (86.4)	7 (43.8)	NS	NS	<0.05
BMI z score	1.5±1.2	0.5±1.8	0.4±1.2	NS	NS	NS
Obese (BMI z score >1.645) (%)	5 (41.7)	8 (36.4)	3 (18.8)	NS	NS	NS
AHI, episodes/h	13.6±10.1	2.8±1	0.6±0.3	<0.01	<0.01	NS
Respiratory arousal index, episodes/h	3.7±3.4	1.3±0.8	0.3±0.2	<0.01	<0.01	NS
SpO <sub>2</sub> nadir, %	85.9±6.2	90.5±2.3	92.9±1.1	<0.01	<0.01	NS
Oxygen desaturation of hemoglobin (≥ 3%) index, episodes/h	16.7±13.8	4.8±3.7	1.2±0.8	<0.01	<0.01	NS
% sleep time with SpO <sub>2</sub> < 95%	22.3±15.9	3.7±4.6	0.3±0.4	<0.01	<0.01	NS
Log-transformed H <sub>2</sub> O <sub>2</sub>	0.4±1.1	-0.9±1.3	-1.2±1.2	<0.05	<0.01	NS
Log-transformed NO <sub>x</sub>	2.5±0.9	1.6±1	2.2±0.9	NS	NS	NS

Continuous variables are presented as mean ± standard deviation

AHI apnea–hypopnea index, BMI body mass index, H<sub>2</sub>O<sub>2</sub> hydrogen peroxide, NO<sub>x</sub> sum of nitrites and nitrates, OSA obstructive sleep apnea, SpO<sub>2</sub> oxygen saturation of hemoglobin by pulse oximetry



**Fig. 1** Log-transformed (natural logarithm) hydrogen peroxide ( $H_2O_2$ ) levels in the exhaled breath condensate of 50 children without and with obstructive sleep apnea (OSA). Subjects with moderate-to-severe OSA (apnea–hypopnea index  $>5$  episodes/h) had significantly higher  $H_2O_2$  concentrations compared to children with mild OSA (apnea–hypopnea index  $>1$  and  $\leq 5$  episodes/h;  $p=0.015$ ) or compared to children without OSA (apnea–hypopnea index  $\leq 1$  episode/h;  $p=0.003$ )

controls were:  $2.4 \pm 2$ ,  $0.8 \pm 0.9$  and  $0.6 \pm 0.6$   $\mu M$ , respectively. In linear regression analysis, both AHI and % sleep time with  $SpO_2 < 95\%$  were significant predictors of log-transformed  $H_2O_2$  EBC levels after adjustment for age and BMI z score ( $p < 0.05$ ; Table 2).

EBC levels of  $NO_x$

Children with moderate-to-severe OSA did not differ significantly in log-transformed EBC concentrations of  $NO_x$  when compared to those with mild OSA ( $p=0.06$ ),

**Table 2** Multiple linear regression analysis models assessing the independent effect of polysomnographic indices, age and adiposity on log-transformed  $H_2O_2$  levels in exhaled breath condensate

Independent variables	Log-transformed $H_2O_2$	
	B standardized coefficient	<i>p</i> value
	Model 1	
	$p=0.026$	
AHI	0.429	0.003
Age	0.052	$>0.05$
BMI z score	-0.006	$>0.05$
	Model 2	
	$p < 0.001$	
% sleep time with $SpO_2 < 95\%$	0.668	$<0.001$
Age	0.078	$>0.05$
BMI z score	-0.003	$>0.05$

AHI apnea–hypopnea index, BMI body mass index,  $H_2O_2$  hydrogen peroxide,  $SpO_2$  oxygen saturation of hemoglobin by pulse oximetry

or to controls ( $p=1.00$ ; Table 1). The untransformed values of  $NO_x$  for children with moderate-to-severe OSA, mild OSA and for controls were:  $17.5 \pm 20.2$ ,  $8.8 \pm 11.8$ , and  $12.6 \pm 10$   $\mu M$ , respectively. When multivariable analysis was carried out, neither AHI nor % sleep time with  $SpO_2 < 95\%$  had significant effects on log-transformed  $NO_x$  EBC levels despite adjustment for age and BMI z score ( $p > 0.05$ ). Log-transformed  $NO_x$  EBC levels were not associated significantly with BMI z score ( $p > 0.05$ ).

## Discussion

In the present investigation, higher  $H_2O_2$  EBC levels were demonstrated in children with OSA compared to control participants. This finding is indicative of increased oxidative stress in the airway of sleep apneic children. In addition, a positive correlation between % sleep time with  $SpO_2 < 95\%$  and  $H_2O_2$  concentrations was identified, implying an association of nocturnal hypoxemia with oxidative stress in the airway. In contrast, no difference was documented between the three study groups regarding  $NO_x$  concentrations in the EBC.

It should be acknowledged that no therapeutic intervention for OSA was part of this investigation and hence it cannot be claimed that the demonstrated association between  $H_2O_2$  levels and severity of OSA is causal. Nevertheless, higher  $H_2O_2$  EBC concentrations have been found in adults with OSA relative to control subjects [24]. Moreover, results of the current investigation are in agreement with findings of the study by Biltagi et al., in which 8-isoprostane EBC concentration, a surrogate marker of oxidative stress in the airway, was higher in children with OSA than in healthy subjects and increased in parallel to OSA severity [11]. Isoprostanes are products of the lipid peroxidation of arachidonic acid by oxygen-free radicals (reactive oxygen metabolites) [25].

Superoxide radical ( $O_2^{\cdot -}$ ) and  $H_2O_2$  are some of the most important reactive oxygen metabolites that can lead to generation of the highly reactive hydroxyl radical and the subsequent oxidation of biologic substrates [26]. In healthy subjects, the mitochondrial respiratory chain is a major source of  $O_2^{\cdot -}$  and oxidative stress. Regularly, the production of free radicals is counterbalanced by the antioxidant systems, including amongst others, superoxide dismutase which converts  $O_2^{\cdot -}$  to  $H_2O_2$ , and catalase that transforms  $H_2O_2$  into water. Apart from the respiratory chain,  $O_2^{\cdot -}$  is produced by neutrophils, monocytes and macrophages against invading micro-organisms (respiratory burst) and by vascular cells via the action of NADPH oxidase [27].

Studies in adults with OSA reveal that neutrophils from the systemic circulation are primed for enhanced respiratory burst and  $O_2^{\cdot -}$  release [28]. Since increased numbers of neutrophils have been found in induced sputum of children

and adults with OSA, it can be speculated that abrupt changes in alveolar partial oxygen pressure accompanying episodes of obstructive apnea or hypopnea induce oxidative stress [28–31]. Recruitment of neutrophils could be mediated by leukotrienes which are synthesized under the influence of oxidative stress [32, 33]. It should be noted, however, that raised  $H_2O_2$  concentrations have been reported in EBC from children with common cold, asthma, allergic rhinitis and cystic fibrosis [34], and for this reason subjects with such conditions have been specifically excluded from the present study.

$NO_x$  concentration in EBC of children with OSA did not differ from that in healthy subjects. Nitrites and nitrates are stable end-products of the nitric oxide (NO) metabolism and their levels reflect activity of inducible nitric oxide synthetase expressed by neutrophils, eosinophils, and other inflammatory cells in the respiratory tract [35]. A single pediatric study and one investigation in adults have shown significantly higher morning exhaled NO concentration in overweight subjects with OSA than in overweight and normal-weight control participants [24, 36]. Nevertheless, three other investigations in adults have not identified differences in terms of exhaled NO between obese patients with OSA and obese control subjects [37–39]. The limited number of participants may be another factor explaining lack of significant differences among the three study groups regarding  $NO_x$  concentration in EBC.

In summary, the current pediatric investigation provides preliminary evidence for an association of OSA with oxidative stress in the airway. Pediatric OSA is not only the result of an imbalance between mechanical forces, but it is also related to systemic and airway inflammation and possibly oxidative stress.

**Acknowledgement** This work was funded by the University of Thessaly Research Committee.

**Conflict of interest** None of the authors has any conflicts of interest to disclose.

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