RESEARCH ARTICLE

Single-Input–Dual-Output Modeling of Image-Based Input Function Estimation

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Abstract

Purpose: Quantification of small-animal positron emission tomography (PET) images necessitates knowledge of the plasma input function (PIF). We propose and validate a simplified hybrid single-input–dual-output (HSIDO) algorithm to estimate the PIF.

Procedures: The HSIDO algorithm integrates the peak of the input function from two region-ofinterest time–activity curves with a tail segment expressed by a sum of two exponentials. Partial volume parameters are optimized simultaneously. The algorithm is validated using both simulated and real small-animal PET images. In addition, the algorithm is compared to existing techniques in terms of area under curve (AUC) error, bias, and precision of compartmental model micro-parameters.

Results: In general, the HSIDO method generated PIF with significantly $(P< 0.05)$ less AUC error, lower bias, and improved precision of kinetic estimates in comparison to the reference method. Conclusions: HSIDO is an improved modeling-based PIF estimation method. This method can be applied for quantitative analysis of small-animal dynamic PET studies.

Key words: Input function, PET, Small-animal imaging, Compartment model

Introduction

Positron emission tomography (PET) imaging is an invaluable tool for diagnosis, staging, treatment monitoring, as well as in basic and clinical research. The combination of dynamic PET imaging with compartment models enables the quantitative evaluation of radiopharmaceutical kinetics in vivo. This task often requires the knowledge of the plasma time–activity curve (pTAC) of the radiopharmaceutical [\[1](#page-7-0), [2](#page-7-0)], which is commonly known as the plasma input function (PIF). The gold standard for the determination of PIF is an invasive blood-sampling procedure [\[3](#page-7-0), [4\]](#page-7-0), in which activity concentrations of arterial blood samples are measured directly at timed intervals. This procedure is challenging for smallanimal studies because of the small size of animal blood vessels and the potential perturbation to the physiology due to the loss of blood [[4\]](#page-7-0). Therefore, less invasive, image-based PIF estimation techniques are desirable.

The simplest image-based approach uses the time activity curve (TAC) for region of interest (ROI) defined at major blood pool, such as left ventricle, left atrium, or aorta [\[5](#page-7-0)–[7\]](#page-7-0), to approximate the PIF. While this approach can be used in human studies, it is impractical in small animals due to the much smaller size of the blood pool and severe partial volume and spillover effects [[4](#page-7-0)]. Alternatively, factor analysis either by an apex-seeking technique [[8](#page-7-0), [9](#page-7-0)] or least-squares type of techniques [\[10,](#page-7-0) [11\]](#page-7-0) have been proposed. Recently, our group [\[12\]](#page-7-0) and others [\[13,](#page-7-0) [14\]](#page-7-0) have proposed parametric-based approaches to estimate the PIF from multiple ROIs. In these approaches, the PIF is represented by a sum of exponentials, and the PIF model

Significance: A novel input function model is proposed and validated for image-based estimation of input function. The proposed method will enable accurate quantitative analysis of small-animal PET images.

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parameters are estimated simultaneously with tissue kinetic parameters. The PIF in the abovementioned approaches is based on Feng's PIF model, which assumes a bolus injection, i.e., instantaneous injection, and a simplified physiological model for the kinetics of the tracer [[15](#page-7-0)]. The model-based PIF estimation approach has been applied to small-animal PET studies with some degree of success [\[3,](#page-7-0) [16](#page-7-0)]. In practice, however, the administration of the tracer is not instantaneous; rather, the tracer is injected over a period of a few seconds as an infusion. In addition, the duration of the "bolus" injection varies on a caseby-case basis. Thus, parametric methods of input function estimation, which assume a bolus injection, may not capture the true kinetics at the peak of the input function, which could have a negative impact on the quantitative analysis of the PET data, as suggested by others [\[17,](#page-7-0) [18](#page-7-0)].

We have recently introduced a Hybrid Image and Blood Sampling (HIBS) algorithm whereby the peak of the image is derived from recovery-corrected image left ventricle (LV) ROIs and the tail is derived from five to seven blood samples, both of which are linked by a Bezier interpolation algorithm [\[19](#page-7-0)]. The HIBS algorithm is ideal for kinetic analysis of tracers using short-lived radionuclides such as 11 C in 11 Cpalmitate or 11 C-glucose where blood samples are readily available from metabolite $(^{11}C-CO₂)$ correction analysis. For tracers where metabolites are not an issue, such as 2-deoxy-2- [F-18]fluoro-D-glucose (FDG), it would be ideal to minimize the number of blood samples for the reconstruction of the input function. To that end, we propose a hybrid method that extracts the peak of the input function from the image while the tail of the input function is derived by fitting a parametric model. In addition, recovery and spillover values are fitted simultaneously. The method uses two ROIs drawn on the heart, thus eliminating the need to fit delay and dispersion parameters [[16\]](#page-7-0). Such an approach captures the true peak of the image while minimizing the number of blood samples. We coined the method Hybrid Single-Input–Dual-Output (HSIDO) for PIF estimation. We validate the HSIDO algorithm with both simulated and real small-animal FDG-PET data. Finally, we compare performance of the HSIDO algorithm against a recently reported algorithm [[3\]](#page-7-0). We show that the proposed algorithm performs better than available method both in terms of bias and precision of kinetic estimates.

Materials and Methods

FDG Compartment Model

The set of differential equations of the well-established threecompartment model describing FDG uptake [\[20\]](#page-8-0) is summarized here:

$$
\frac{\mathrm{d}C_1}{\mathrm{d}t} = K_1 C_p - (k_2 + k_3)C_1 + k_4 C_2 \tag{1}
$$

$$
\frac{dC_2}{dt} = k_3 C_1 - k_4 C_2 \tag{2}
$$

where C_p is the plasma activity concentration, C_1 is the activity concentration of free FDG in myocardium tissue, and C_2 is the activity concentration of phosphorylated FDG in myocardium tissue. Both C_1 and C_2 contribute to the activity concentration of FDG (C_t) in myocardium tissue. The ordinary differential equations can be solved analytically [\[20\]](#page-8-0) and the solution for C_t is:

$$
C_t = C_1 + C_2 = (B_1 e^{-b_1 t} + B_2 e^{-b_2 t}) \otimes C_p \qquad (3)
$$

$$
b_1 = \frac{1}{2} \left[(k_2 + k_3 + k_4) - \sqrt{(k_2 + k_3 + k_4)^2 - 4k_2 k_4} \right] \tag{4}
$$

$$
b_2 = \frac{1}{2} \left[(k_2 + k_3 + k_4) + \sqrt{(k_2 + k_3 + k_4)^2 - 4k_2 k_4} \right] \tag{5}
$$

$$
B_1 = \frac{K_1(k_3 + k_4 - b_1)}{b_2 - b_1} \tag{6}
$$

$$
B_2 = \frac{K_1(b_2 - k_3 - k_4)}{b_2 - b_1} \tag{7}
$$

where ⊗ denotes for convolution.

$$
C_p(t) = A_1 e^{l_1(t-t_0)} + A_2 e^{l_2(t-t_0)} + (A_3(t-t_0) - A_1 - A_2) e^{l_3(t-t_0)}
$$
(8)

Hybrid PIF and Dual-Output Model

In lieu of Feng's PIF model (Eq. 8) [[15](#page-7-0)], a hybrid PIF model is proposed in this study. It is assumed that the two ROI TACs obtained at the cardiac region can be modeled as linear mixtures of the myocardial tissue activity concentration C_t and the plasma activity concentration C_p , essentially accounting for spillover and partial volume effects:

$$
\begin{cases}\nC_c(t) = f_{cc} \cdot C_p(t) + f_{mc} \cdot C_t(t) \\
C_m(t) = f_{cm} \cdot C_p(t) + f_{mm} \cdot C_t(t)\n\end{cases}
$$
\n(9)

where C_c is the ROI TAC for LV cavity and C_m is the myocardium ROI TAC. The formula for C_c combines both the partial volume effect and the spillover effect from neighboring myocardial tissue. The formula for C_m incorporates vasculature component in the tissue as well as partial volume and spillover effects. The parameter $f_{\rm cc}$ is the pure blood contribution to the LV ROI TAC, f_{mc} is the pure tissue contribution to the LV ROI TAC, $f_{\rm cm}$ is the pure blood contribution to the myocardial ROI TAC, and f_{mm} is the pure tissue contribution to the myocardial ROI TAC. These Parameters include the impact of a number of factors, such as partial volume effects, spillover effects, vasculature fraction, and blurring due to cardiac and respiratory motion. It should be noted that they have essentially the same meaning as

in [[3](#page-7-0)]. The hybrid PIF model we propose to use is defined by the following equations:

$$
C_p(t) = \begin{cases} 0 & t < 0\\ \frac{f_{mm} \cdot C_c(t) - f_{mc} \cdot C_m(t)}{f_{cc} \cdot f_{mm} - f_{mc} \cdot f_{cm}} & 0 < t < \tau\\ A_1 e^{l_1 t} + A_2 e^{l_2 t}, & t > \tau \end{cases}
$$
(10)

This hybrid PIF model is motivated by the fact that the PIF is usually composed of a fast changing peak $(0 \lt t \lt \tau)$ and a slowchanging tail $(t > \tau)$. The peak portion of the PIF is difficult to be analytically expressed, while a two-exponential formula can approximate the slow-changing tail very well. On the other hand, the peak portion of the IF can be represented using the ROI TACs by solving Eq. [9](#page-1-0) analytically as shown in Eq. 10. It should be noted that we are inherently assuming that, at early times, there is no prominent contribution to the ROI TACs other than the myocardial tissue and the PIF. This assumption is generally true for FDG studies if both the LV ROI and myocardial ROI are carefully defined. In this work, τ is set to 30 s based on the observation that the peak portion of the PIF generally ends before 30 s.

PIF Estimation Method

For a given set of parameters p (A_1 , A_2 , l_1 , l_2 , f_{cc} , f_{mc} , f_{cm} , f_{mm} , K_1 , k_2 , k_3 , and k_4), the model ROI TACs can be calculated based on Eqs. [3](#page-1-0)–[7](#page-1-0) and [9](#page-1-0)–10. Therefore, PIF can be estimated by finding the optimal set of parameters that fit the model to the measured ROI TACs. This can be achieved by minimizing the following weighted least-squares objective function:

$$
Q(p) = \sum_{i=1}^{N} \left[w_{1i} (C_c(t_i) - PET_{LY,i})^2 + w_{2i} (C_m(t_i) - PET_{Myo,i})^2 \right] + w_3 \sum_{j=1}^{M} (C_p(t_j) - BS_j)^2
$$
\n(11)

where w_{1i} , w_{2i} , and w_3 are the weighting factors depending on the choice of weighting scheme; N is the number of frames for the dynamic PET study; M is the number of blood samples used as constraints; and BS_i is the *j*th blood sample activity concentration at the corresponding time t_i . Ideally, the weighting factors should be inversely proportional to the variance of the corresponding measurements, which is often difficult to estimate. In this study, the ROI data are frame duration weighted [[12\]](#page-7-0), which means the variance in ROI measurements is assumed to be inversely proportional to the frame duration. The weighting factors for the blood sample measurements are set to the same value as the weighting factors for the frame with the longest frame durations. This entails that blood sample measurements are considered to be at least as reliable as the ROI measurement with the longest frame duration. This choice is empirical based on our experience with blood sample measurements and the PET data. A more sophisticated weighting scheme can be used, for example, the ROI size and activity concentration can be taken into consideration, or the extended least square approach in Fang and Muzic [\[3\]](#page-7-0) can be used. Once the optimal set of parameters is found after the minimization, the PIF can be generated using Eq. 10.

Simulation Data

Monte Carlo simulations were performed to generate 300 sets of simulated small-animal FDG-PET images. Dynamic MOBY phantom [[21\]](#page-8-0) incorporating both cardiac and respiratory motion was used to define the anatomy. Feng's model with randomly generated parameters was used to simulate true bolus injection-based PIF. Convolution of Feng's model with a short step function of various lengths simulated the PIF for infusion. Combining the randomly generated kinetic parameters and the simulated PIFs, myocardial activity concentration curve C_t was calculated using Eqs. [3](#page-1-0)–[7.](#page-1-0) Assuming a vasculature fraction of 15%, digital dynamic FDG uptake phantom was generated by combining the model PIF, C_t , and the dynamic anatomical phantom. Simulated PET image was then generated based on the digital dynamic FDG uptake phantom by applying noise in the sinogram space as we have previously described [\[11](#page-7-0)]. The choice of vasculature fraction value was within the range of published studies [\[10](#page-7-0), [22](#page-8-0), [23\]](#page-8-0). It should be noted that the randomly generated IF parameters and kinetic parameters were all within the range of parameter values observed in our preliminary studies on real small-animal FDG datasets. In the simulation study, 50 sets of simulated PET datasets were generated using bolus injection-based PIFs. In addition, 50 simulated PET datasets each were generated for infusion of 0.6, 1, 2, 3, and 5 s. The simulated small-animal PET datasets had a voxel size of 0.4 mm (in-plane) by 0.8 mm (slice thickness) and a spatial resolution of 1.7 mm (full width at half maximum) similar to typical small-animal scanners. The frame durations were 3×1 , 2×6 , 5×9 , 10×6 , 30×4 , 60×2 , 120 s \times 2, and 300 s \times 10 for a 1-h scan.

Mouse FDG Data

In addition to the simulation data, our method was also tested using mouse data obtained from the Crump Institute of Molecular Imaging, UCLA [\[24,](#page-8-0) [25\]](#page-8-0). Since this dataset is being used to compare multiple methods, we will briefly describe the experimental methods as reported in [\[24](#page-8-0), [25\]](#page-8-0): 12 C57BL/6 male mice weighing 22–36 g were anesthetized with 1.5–2% isoflurane. Five of the 12 mice were pretreated with insulin. The injection dose was 11–27 MBq, and nine to 22 blood samples for each mouse were taken from femoral artery for activity concentration measurement. The mice were scanned with either microPET Focus-220 or microPET P4 scanner (both from Siemens Medical Solutions USA, Inc.) for either 60 or 90 min. The images were reconstructed using filtered backprojection algorithm with a voxel size of 0.4 mm (in-plane) by 0.8 mm (slice thickness). The PET image data and the blood sample measurements were both in PET units, a conversion factor of 534 MBq⋅mL⁻¹/PET unit [\[16](#page-7-0)] was used for the conversion into MBq⋅mL⁻¹.

ROI Definition

In order to apply the PIF estimation methods, two ROIs need to be defined on the dynamic PET images: one for the LV cavity and the other for the myocardial tissue. For both simulated and real smallanimal FDG-PET datasets, the ROIs were defined in a semiautomated fashion using ANALYZE™ [[26\]](#page-8-0) based on the summed image. Three-dimensional region growing tool was used to semiautomatically define the ROIs based on the manually chosen seeds and a user-defined intensity range. Manually drawn limit was necessary in some cases to prevent the region growing process going out to unintended areas. An example of defined ROIs is shown in Fig. 1; a typical LV cavity ROI has 36 voxels or 4.6 mm³ and a typical myocardium ROI has 501 voxels or 63.9 mm³. The userdefined intensity range for region growing was selected so that the resulting ROI has a diameter of approximately 1.6 mm for the LV cavity; for the myocardium ROI, the inner diameter was approximately 3.0 mm and the outer diameter was approximately 5.0 mm.

Input Function Validation

The 300 simulated small-animal datasets were used to validate the HSIDO PIF estimation method. For each dataset, LV TAC and myocardial TAC were obtained from the dynamic PET data and the defined ROIs. The PIF was then estimated using the HSIDO method with zero, one, and two blood samples as constraints. Blood sample measurements were simulated based on the model PIF used for creating simulation data at 2,600 and 2,900 s. For comparison, the PIF estimation method reported by Fang and Muzic [\[3\]](#page-7-0), which will be referred to as "CWRU", was also applied using their code in the COMKAT package. Slight modification was made to handle cases with two blood samples as constraints. The initial values and bounds used for the PIF estimation are listed in Table [1.](#page-4-0) The same set of values was used for both the simulation data and the real mice FDG datasets. The initial values were chosen to match the mean parameter values observed in our preliminary study. It can be shown that the parameters A_1 , A_2 , l_1 , and l_2 have similar values for both Feng's model (Eq. [8\)](#page-1-0) and the hybrid PIF model (Eq. [10\)](#page-2-0). This is most likely due to the fact that A_3 and I_3 in Feng's model (Eq. [8\)](#page-1-0) primarily contributes to the peak portion of the PIF and has minimum impact on the tail portion of the PIF due to the large l_3 . In addition, the delay (t_0) in Feng's model also has very small impact on the tail portion of the PIF. As a direct measurement of the quality of the estimated PIF, area under curve (AUC) error, defined as the difference in AUC of the estimated PIF and the true PIF in percentage, was calculated. The AUC measurement is calculated based on the entire duration of the PET scan. Since it is important to know the impact of PIF estimation error on kinetic parameter estimation, the kinetic parameters were also estimated by fitting the compartmental model (Eqs. [3](#page-1-0)–[7](#page-1-0)) to the myocardial TAC based on the estimated PIF. The kinetic parameter estimation error compared to the ground truth was also evaluated. In this work, we define bias (Eq. 12) as the mean error for any given kinetic parameter or AUC measurement;

and we define precision (Eq. 13) as the standard deviation of a given measurement.

$$
bias = Mean\left(\frac{\hat{\beta}_i - \beta_i}{\beta_i}\right) \tag{12}
$$

$$
precision = STD\left(\frac{\hat{\beta}_i - \beta_i}{\beta_i}\right) \tag{13}
$$

In Eqs. 12 and 13, $\hat{\beta}_i$ is the estimated parameter value and β_i is the true parameter value; Mean and STD specify the functions to calculate the average and standard deviation of a variable, respectively.

Application to Small-Animal PET Data

The HSIDO PIF estimation method was further evaluated using the UCLA mice FDG datasets with zero, one, or two blood sample measurements at late time points as constraints. The blood samples chosen to be used as constraints were selected from the last two blood samples available within the duration of imaging study, typically around 30–45 min. AUC error was evaluated by comparing the estimated PIF with the PIF obtained by linearly interpolating blood sample measurements. The HSIDO results were again compared to the CWRU results. Kinetic modeling was not performed since true parameter values were not known a priori for real datasets.

Statistical Analysis

One-sided unpaired t test with a significance value of 0.05 was used to compare the measurement bias between two approaches, i.e., one blood sample vs. no blood sample, HSIDO PIF estimation vs. CWRU PIF estimation, etc. To compare the measurement precision between two approaches, one-sided F test was performed with a significance value of 0.05.

Results

An example of estimated PIF for simulated data using HSIDO and CWRU method was illustrated in Fig. [2a](#page-5-0); the

Fig. 1. Illustration of typical ROI definition. a Cropped transverse slice of mouse FDG PET image. b Left ventricle cavity ROI. c Myocardial ROI.

Table 1. Initial values and bounds for input function estimation

Parameter	Initial value		Lower bound	
K_1 (min ⁻¹)	0.89	2.0		
k_2 (min ⁻¹) k_3 (min ⁻¹)	0.37	2.0		
	0.17	0.5		
k_4 (min ⁻¹)	0.005	0.01		
	0.8	1.0	0.4	
f_{ccc} f_{mc} f_{cm}	0.2	0.6		
	0.4	0.5	$\mathbf{0}$	
$f_{\rm mm}$	0.4	1.0	0.3	
A_1 (MBq·mL ⁻¹)	8.7	20.0		
A_2 (MBq·mL ⁻¹)	2.2	10.0		
A_3^a (MBq·min ⁻¹ ·mL ⁻¹)	6,200.0	10,000.0	500.0	
$l_1 \text{ (min}^{-1})$ $l_2 \text{ (min}^{-1})$ $l_3 \text{ (min}^{-1})$	-5.2		-15.0	
	-0.04		-0.05	
	-104.0	0	-250.0	
t_0^a (min)	$\mathbf{0}$	0.3	θ	
$w_1^{\ a}$	1.0	3.0		
$w_2^{\ a}$	1.0	3.0	θ	
$w_3^{\ a}$	0.1	0.1	0.05	

^aOnly for input function estimation using Fang and Muzic's method (CWRU) [[3\]](#page-7-0)

results were compared to the LV cavity ROI TAC as well as the true PIF. The PIF estimation and the kinetic parameter estimation results are summarized in Table [2](#page-5-0) for bolus injection simulations. The estimated PIF using HSIDO method had an AUC error of $12.4 \pm 16.8\%$ (mean \pm standard deviation) without blood samples; the mean AUC error improved to $0.3 \pm 6.8\%$ with one blood sample as constraint. The estimated PIF using CWRU method had an AUC error of $15.3 \pm 22.1\%$ without blood samples and improved to $7.6 \pm 12.2\%$ $7.6 \pm 12.2\%$ $7.6 \pm 12.2\%$ with one blood sample as constraint (Table 2). The PIF AUC measurement improved significantly $(P \leq$ 0.05) in terms of both bias and precision with one blood sample constraint as compared to PIF estimated without blood sample constraints for both CWRU and HSIDO PIF estimation methods. However, the inclusion of a second blood sample did not significantly $(P>0.05)$ improve the AUC measurements for either of the two PIF estimation methods (Table [2\)](#page-5-0). The HSIDO method provided significantly better precision $(P<0.05)$ in terms of AUC than the CWRU method regardless of the number of blood samples used. With one or two blood samples, the HSIDO method also provided significantly lower bias $(P<0.05)$ in AUC measure than the CWRU method. In general, the kinetic parameter estimated using the HSIDO method had significantly better precision compared to the CWRU method (Table [2\)](#page-5-0). The kinetic parameter estimation error is detailed in Table [2.](#page-5-0)

The input function estimation results and the corresponding kinetic parameter estimation results are summarized in Table [3](#page-6-0) for simulations with 2- and 5-s infusion. Similar results and trends were observed as for simulations with bolus injection and for simulations with other infusion time (result not shown). For 5-s infusions, the estimated PIF using HSIDO method had an AUC error of 6.9±13.0% without blood samples, and the mean AUC error improved to −1.4± 7.0% with one blood sample as constraint. The estimated PIF using CWRU method had an AUC error of 13.0±20.7%

without blood samples and improved to 6.6±9.6% with one blood sample as constraint (Table [3](#page-6-0)). With one blood sample as constraint, the estimated PIF significantly improved in both precision and bias over PIF estimation without blood sample constraints. The kinetic parameters estimated using HSIDO were also significantly more precise than the CWRU method-based kinetic parameters. The HSIDO method thus generated significantly more precise and less biased PIF in terms of AUC than CWRU method.

An example of the estimated PIF for the UCLA mice datasets is illustrated in Fig. [2b.](#page-5-0) The results were compared with blood sample data as well as the LV cavity ROI TAC. There was a good agreement between the HSIDO-estimated PIF and the blood sample measurements at late time points. The difference between HSIDO-estimated PIF and the blood sample data at early time points was mainly due to delay and dispersion during the traveling of blood from the LV to the femoral artery where the blood samples were taken. The AUC errors for the PIF estimations are summarized in Table [4](#page-6-0). The estimated PIF using hybrid method had an AUC error of −2.9±28.6% without blood samples, and the AUC error was 2.5±11.5% with one blood sample as constraint. The estimated PIF using CWRU method has an AUC error of 34.3±52.4% without blood samples and improved to $6.0 \pm 21.2\%$ with one blood sample as constraint (Table [4](#page-6-0)). Again, using one blood sample as constraint provided significantly more accurate PIF estimation than without blood sample constraint.

Discussion

A HSIDO PIF estimation method was proposed and validated using both simulated and real mice FDG PET datasets. Unlike previous work where the PIF was repre-sented by Feng's parametric model [[3,](#page-7-0) [12,](#page-7-0) [13](#page-7-0)], we developed a hybrid PIF model that integrates the peak from ROI measurements with a two-exponential tail model. The

Fig. 2. a Example estimated PIFs using HSIDO and CWRU methods for a simulation study as compared to true PIF and the LV ROI TAC. b Example estimated PIFs using HSIDO and CWRU methods for a UCLA mouse dataset as compared to blood sample measurements and LV ROI TAC. The difference between HSIDO-estimated PIF and blood measurement is mainly due to the delay and dispersion during the travel from the heart to the blood-sampling location, which resulted in a shorter and wider peak in the blood sample measurement in comparison to a taller and sharper peak at the heart region.

hybrid PIF model avoids the difficulty of analytically describing the peak portion of the PIF; instead, the ROI data was analyzed and the linear mixture model (Eq. [9](#page-1-0)) was solved for the peak portion of the PIF. We validated the reconstructed PIF by comparing AUC against simulated data as well as in comparison to published image-based PIF techniques. As the primary incentive in compartmental modeling of PET data is to extract kinetic estimates, we have further validated the HSIDO algorithm by evaluating bias and precision of micro-parameter kinetic estimates. In some aspect, the proposed algorithm is similar in spirit to the HIBS algorithm [[19\]](#page-7-0), which as we stated earlier is most applicable to tracers involving carbon-11 radionuclides where select blood samples are readily available. In contrast, the HSIDO algorithm is most applicable when metabolites are not an issue, such as FDG, as it minimizes the number of blood samples for the reconstruction of the input function. In addition, since arterial blood activity concentration usually converge with venous blood activity concentration at late time point, it is possible to use venous blood sampling instead of arterial blood sampling as constraints for the PIF estimation, which further simplifies the procedure.

The proposed HSIDO algorithm reduced the number of parameters to be estimated simultaneously during the modeling process from 15 to 12. This contributed to the more stable PIF estimation observed in this study. In addition, the image-based PIF peak estimation without the need of a particular analytical form made it appropriate for different scenarios such as infusion or potentially other approaches for tracer introduction. Based on our observation, there was often a mismatch in peak time between Feng's model-based input function estimation and imaging data. The mismatch, however, was not observed using the hybrid model. This is due to the oversimplified analytical

Table 2. Input function and kinetic parameter estimation error for simulation data with bolus injection (mean \pm standard deviation; %)

	# Blood samples	CWRU	HSIDO
AUC		15.3 ± 22.1	12.4 ± 16.8 ^d
		$7.6 \pm 12.2^{a,b}$	$0.3 \pm 6.8^{a,b,c,d}$
		3.9 ± 10.6	$0.9 \pm 5.7^{\text{c,d}}$
K_1		8.4 ± 25.1	-3.7 ± 15.8 ^d
		4.9 ± 25.2	$3.1 \pm 11.7^{b,d}$
		6.5 ± 25.4	2.3 ± 10.7^d
k_2		31.8 ± 52.1	$9.3 \pm 39.6^{c,d}$
		18.3 ± 50.5	3.0 ± 32.6 ^{c,d}
		10.5 ± 31.9^b	3.9 ± 33.0
k_3		-1.9 ± 17.7	-2.8 ± 12.9 ^d
		-0.6 ± 16.4^b	-4.1 ± 7.3 ^d
		-1.6 ± 16.3	-3.4 ± 6.5 ^d
k_4		34.2 ± 111.4	$1.4 \pm 30.8^{\rm c,d}$
		6.5 ± 36.7^b	-7.6 ± 29.6 ^{b,d}
		-1.1 ± 36.5	-8.8 ± 29.9
K_i		-9.5 ± 14.8	-9.1 ± 13.2
		$-5.7 \pm 9.5^{\rm b}$	$-0.3 \pm 6.7^{\rm a,b,c,d}$
		-2.8 ± 10.6	-1.0 ± 5.6 ^d

 $CWRU$ input function estimation method in [\[3](#page-7-0)], HSIDO input function estimation method developed in this study.

^aMeasurement bias decreases significantly ($P<0.05$) over the same measurement obtained with one less blood sample

 b Measurement precision improves significantly (P <0.05) over the same measurement obtained with one less blood sample

 H SIDO-based measurement has significantly smaller bias (P <0.05) than CWRU-based measurement

 d HSIDO-based measurement has significantly better precision (P<0.05) than CWRU-based measurement

	# Blood samples	2-s infusion		5-s infusion		
		CWRU	HSIDO	CWRU	HSIDO	
AUC	Ω	13.3 ± 21.1	9.3 ± 13.9 ^d	13.0 ± 20.7	$6.9 \pm 13.0^{\circ,d}$	
		$7.1 \pm 10.6^{a,b}$	$0.1 \pm 6.9^{a,b,c,d}$	$6.6 \pm 9.6^{a,b}$	-1.4 ± 7.0 ^{a,b,c,d}	
		4.6 ± 10.7	$0.2 \pm 6.3^{\rm c,d}$	5.5 ± 10.9	$-0.9 \pm 6.4^{\circ,d}$	
K_1		9.6 ± 26.4	-4.8 ± 13.4 ^d	13.5 ± 32.1	$-1.9 \pm 13.5^{\text{c,d}}$	
		5.9 ± 25.9	$1.7 \pm 9.2^{b,d}$	11.8 ± 34.2	$4.4 \pm 10.3^{b,d}$	
		9.2 ± 33.2	$1.4 \pm 8.5^{\circ}$	22.6 ± 58.1	$3.2 \pm 9.4^{\text{c,d}}$	
k ₂		29.3 ± 52.4	$2.3 \pm 21.8^{\text{c,d}}$	39.9 ± 68.4	$2.0 \pm 21.7^{\rm c,d}$	
		15.3 ± 41.4	-0.9 ± 10.6 ^{b,c,d}	24.0 ± 60.2	-1.6 ± 9.6 ^{b,c,d}	
		18.6 ± 44.8	$-1.4 \pm 10.4^{\circ,d}$	46.0 ± 112.2	$-2.4 \pm 8.9^{c,d}$	
k_3		-2.4 ± 20.3	-2.5 ± 12.6 ^d	-1.1 ± 16.3	-3.9 ± 12.2 ^d	
		-2.4 ± 17.2	$-3.6 \pm 7.5^{b,d}$	-3.1 ± 16.6	$-5.5 \pm 6.5^{b,d}$	
		0.1 ± 16.1	-3.9 ± 7.2 ^d	-0.1 ± 17.9	-5.5 ± 6.2 ^d	
k_4		27.6 ± 101.6	-6.5 ± 27.9 ^d	15.4 ± 73.4	-4.2 ± 28.6^d	
		-4.9 ± 36.0^b	$-6.3 \pm 25.9^{b,d}$	-6.4 ± 41.1^b	$-4.6 \pm 28.9^{\rm b,d}$	
		-8.3 ± 32.7	-7.6 ± 28.7 ^d	-17.7 ± 36.4	$-5.1 \pm 28.6^{\text{c,d}}$	
K_i		-7.9 ± 15.0	$-7.5 \pm 11.6^{\rm d}$	-7.9 ± 15.4	-5.4 ± 11.4 ^d	
		-5.8 ± 9.0^b	$-0.1 \pm 6.8^{\rm a,b,c,d}$	-5.5 ± 9.3^b	$1.5 \pm 7.1^{a,b,c,d}$	
		-3.8 ± 10.6	$-0.3 \pm 6.1^{\rm c,d}$	-4.4 ± 11.4	$1.0 \pm 6.4^{\rm c,d}$	

Table 3. Input function and kinetic parameter estimation error for simulation data with 2- and 5-s infusion (mean \pm standard deviation; %)

 $CWRU$ input function estimation method in [[3](#page-7-0)]. HSIDO input function estimation method developed in this study

^aMeasurement bias decreases significantly ($P<0.05$) over the same measurement obtained with one less blood sample

 b Measurement precision improves significantly (P <0.05) over the same measurement obtained with one less blood sample

 ${}^{\rm c}$ HSIDO-based measurement has significantly smaller bias (P<0.05) than CWRU-based measurement

^dHSIDO-based measurement has significantly better precision (P <0.05) than CWRU-based measurement

form of Feng's model which is insufficient in describing the early portion of the input function. On the other hand, the hybrid PIF model does not have this difficulty.

As we have mentioned earlier, the HSIDO method estimated the peak portion of the PIF by solving the mixture model (Eq. [9\)](#page-1-0). An alternative approach was to assume tissue component to be negligible for the peak portion of the LV TAC, and therefore only partial volume correction was needed to obtain an estimation of the peak portion of the PIF. We also examined this approach; the results were less favorable. This might have been caused by the fact that the tissue component had a noticeable impact on the estimation of the peak portion of the PIF and cannot be neglected. Theoretically, the equation used to describe the peak portion of the PIF in Eq. [10](#page-2-0) should also be able to describe the entire PIF. This appeared to be an attractive PIF model, since it further reduced the number of parameters to be simultaneously estimated to eight. However, in our experiences, this approach was less stable. Several factors might have contributed to this fact. Firstly, the noise in the ROI curve might propagate to the PIF, which could cause the

optimization process to be difficult. Secondly, the twocomponent mixture model was only an approximation of the ROI output. In fact, there were contributions to the ROI TACs from the background and other neighboring tissue due to partial volume and spillover effects. While these contributions had a minimal impact on the peak portion of the PIF, the residue contribution from other tissues could become noticeable at the tail of the PIF.

As shown in Fig. [2b](#page-5-0), there is a delay between the estimated PIF and the blood sample measurements using the HSIDO method as compared to the simulation study (Fig. [2a\)](#page-5-0). This can be caused by the fact that the estimated PIF reflects the arterial blood activity concentration for the LV, while the blood sample measurements was taken from an artery some distance downstream of the LV; this delay and the dispersion effect could cause the difference between the estimated PIF and blood sample measurements. For the CWRU methods, since the variable t_0 in Feng's IF is estimated during the optimization process, however, based on our experience, the estimation for this variable is not stable and is sensitive to the values used to initialize the

 $CWRU$ input function estimation method in [[3](#page-7-0)], HSIDO input function estimation method developed in this study

^aMeasurement precision improves significantly $(P<0.05)$ over the same measurement obtained with one less blood sample

 b HSIDO-based measurement has significantly smaller bias (P <0.05) than CWRU-based measurement

 H SIDO-based measurement has significantly better precision (P <0.05) than CWRU-based measurement

optimization. Therefore, we observed that the estimated peak for the PIF using the CWRU method can appear both before and after the true peak in the simulation studies. For the animal data experiment, this is compounded by the delay and dispersion effect due to the distance between LV and the arterial sampling location.

Ferl et al. also proposed a hybrid PIF model, in which the first 60 s of the PIF was estimated by partial volume, delay, and dispersion-corrected LV ROI measurements, and the later part of the PIF was modeled using a four-exponential seven-parameter formula [16]. The primary purpose for delay and dispersion correction was to account for the difference between the blood TAC at LV and the blood TAC at the tissue site due to the distance the blood had to travel from LV to tissue. In this work, since the ROI was chosen at the cardiac region in the vicinity of LV, the delay and dispersion effect could be neglected. Ferl et al. also accounted for the difference in activity concentration between whole blood and plasma using a one-exponential model [16]. Instead, we adopted a similar approach as Fang and Muzic [3] where a constant ratio was assumed between whole blood and plasma activity concentration, hence, the constant ratio can be lumped into K_1 without the need to be modeled explicitly. This assumption may lead to bias in modeling, especially for small-animal studies. Appropriate plasma-to-whole blood ratio model can be integrated into our HSIDO input function estimation framework to potentially further improvements of PIF estimation and kinetic modeling results. It should be noted that, since we are not explicitly modeling the plasma-to-whole blood ratio and the hematocrit constant, the K_1 parameter should be considered a lumped product of the true uptake rate constant and the hematocrit constant. For a given hematocrit value, the uptake rate constant, K_1 , can be factored out. For analysis of tissue away from the heart region, using the PIF estimated based on the cardiac ROIs may cause biases in the modeling process. It is potentially beneficial to include delay and dispersion modeling in a similar fashion as the work of Ferl *et al.* [16]. It should be noted that the PIF estimation method of Ferl et al. employed Bayesian constraints based on population data for the parameters to be estimated [16]. Therefore, prior knowledge of the population average kinetic behavior of the tracer is needed for the PIF estimation process. The PIF estimation method proposed herein does not require a priori information of the tracer kinetic property.

Conclusion

A hybrid input function model has been developed for compartment modeling-based input function estimation using ROI TACs obtained from LV and myocardial tissue. It was demonstrated that, with limited blood samples (one or two), improved input function estimation can be achieved as compared to the fully parametric estimation of the input function. The improved input function estimation also resulted in more accurate kinetic parameter estimates, both in terms of bias and precision. Therefore, the HSIDO method can be applied to small-animal imaging for kinetic analysis. The proposed method is implemented in MAT-LAB™ (The Mathworks, Inc.) and available upon request.

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