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NMR analysis of the CSF and plasma metabolome of rigorously matched amyotrophic lateral sclerosis, Parkinson's disease and control subjects

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Abstract

Introduction Amyotrophic lateral sclerosis (ALS) and Parkinson's disease (PD) are two severe neurodegenerative disorders for which the disease mechanisms are poorly understood and reliable biomarkers are absent.

Objectives To identify metabolite biomarkers for ALS and PD, and to gain insights into which metabolic pathways are involved in disease.

Methods Nuclear magnetic resonance (NMR) metabolomics was utilized to characterize the metabolite profiles of cerebrospinal fluid (CSF) and plasma from individuals in three age, gender, and sampling-date matched groups, comprising 22 ALS, 22 PD and 28 control subjects.

Results Multivariate analysis of NMR data generated robust discriminatory models for separation of ALS from control subjects. ALS patients showed increased concentrations of several metabolites in both CSF and plasma, these are alanine (CSF fold change = 1.22, $p = 0.005$), creatine (CSF-fc = 1.17, $p = 0.001$), glucose (CSF $fc = 1.11$, $p = 0.036$), isoleucine (CSF- $fc = 1.24$, $p = 0.002$, and valine (CSF-fc = 1.17, $p = 0.014$). Additional metabolites in CSF (creatinine, dimethylamine

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and lactic acid) and plasma (acetic acid, glutamic acid, histidine, leucine, pyruvate and tyrosine) were also important for this discrimination. Similarly, panels of CSFmetabolites that discriminate PD from ALS and control subjects were identified.

Conclusions The results for the ALS patients suggest an affected creatine/creatinine pathway and an altered branched chain amino acid (BCAA) metabolism, and suggest links to glucose and energy metabolism. Putative metabolic markers specific for ALS (e.g. creatinine and lactic acid) and PD (e.g. 3-hydroxyisovaleric acid and mannose) were identified, while several (e.g. creatine and BCAAs) were shared between ALS and PD, suggesting some overlap in metabolic alterations in these disorders.

Keywords Amyotrophic lateral sclerosis (ALS) - Parkinson's disease (PD) · NMR metabolomics · Biomarker - Cerebrospinal fluid (CSF) - Plasma

Abbreviations

1 Introduction

Amyotrophic lateral sclerosis (ALS) and Parkinson's disease (PD) are two relentless progressive adult-onset neurodegenerative disorders characterized by loss of specific groups of neurons and linked to protein aggregation. ALS is initially associated with a progressive degeneration of the upper and lower motor neurons, subsequently also affecting other parts of the nervous system (Kiernan et al. [2011\)](#page-11-0) and leading to muscle atrophy and death within 2–5 years after onset (Tandan and Bradley [1985](#page-12-0)). PD is associated with the loss of dopaminergic neurons within the substantia nigra and is primarily manifested by loss of movement control (Lang and Obeso [2004](#page-11-0)).

ALS and PD are both protein misfolding diseases, involving either the formation of neuronal cytoplasmic inclusions containing TDP43 or misfolded SOD1 in different subtypes of ALS (Forsberg et al. [2010](#page-10-0)), or intraneuronal a-synuclein-rich protein aggregates in PD (Goedert [2001](#page-10-0)). Although considerable research efforts have not yet revealed the exact details about the underlying disease mechanisms, there are likely mechanistic similarities with regard to protein homeostasis and aggregation, oxidative stress, mitochondrial dysfunction and autophagy (Knowles et al. [2014;](#page-11-0) Menzies et al. [2015;](#page-11-0) Lin and Beal [2006;](#page-11-0) Shaw [2005\)](#page-12-0). Furthermore, emerging evidence suggests that there is a prion-like disease propagation involving SOD1 in ALS and α -synuclein in PD (Polymenidou and Cleveland [2011](#page-11-0); Holmqvist et al. [2014](#page-10-0); Ekhtiari et al. [2016\)](#page-10-0). There are at present only symptomatic treatment strategies available for the two disorders, underlining a need for disease modifying treatments. Moreover, there is no unique diagnosis-specific biochemical test for the two diseases; instead a comprehensive clinical assessment of the patient is carried out according to the revised EFNS consensus guidelines for managing ALS (Andersen et al. [2012\)](#page-10-0) or the United Kingdom Parkinson's disease society brain bank (UK PDSBB) criteria (Gibb and Lees [1988](#page-10-0)). Despite the use of these criteria, it is still difficult to make a diagnosis, especially at an early stage, where incorrect diagnosis is common (Rajput et al. [1991\)](#page-11-0). Robust biomarkers for these diseases would serve several critical functions; obtaining a straightforward and precise early diagnosis; monitoring the disease progression; and assessing therapeutics in clinical trials. In addition, metabolite biomarkers give important clues to the metabolic pathways and pathogenic mechanisms involved in the two diseases, and consequently may identify novel therapeutic targets.

One important field for biomarker discovery is metabolomics/metabonomics, an approach where the quantitative analysis of metabolites produced by a biological system can identify precise effects of a pathophysiological

condition (Nicholson and Lindon [2008](#page-11-0)). Metabolomics usually relies on nuclear magnetic resonance (NMR) spectroscopy or mass spectrometry (MS) methods to identify and quantify metabolites, where NMR has the advantage of being quantitative, highly reproducible and straight-forward to use due to minimal sample-handling, while the higher sensitivity of MS can detect a greater number of metabolites from a given sample (Quinones and Kaddurah-Daouk [2009;](#page-11-0) Dunn et al. [2011\)](#page-10-0). Solution NMR techniques are typically used for studying various biofluids, and the quantitative nature of NMR is particularly advantageous for result comparisons across different studies and laboratories. NMR measurements have shown excellent consistency between different laboratories and magnetic field strengths (Keun et al. [2002](#page-11-0); Lindon et al. [2003](#page-11-0)). NMR metabolomics/metabonomics plays an important role in biomarker discovery, as previously reviewed (Smolinska et al. [2012a](#page-12-0); Zhang et al. [2012](#page-12-0)), and may also provide details about the crosstalk between the metabolomes of the host and gut microbes (Li et al. [2011](#page-11-0)).

Extensive characterization of the metabolomes in CSF and blood (Sinclair et al. [2010;](#page-12-0) Smolinska et al. [2012b](#page-12-0); Nicholson et al. [1995;](#page-11-0) Mandal et al. [2012;](#page-11-0) Psychogios et al. [2011](#page-11-0); Wishart et al. [2008](#page-12-0)), as well as in urine and saliva (Want et al. [2010;](#page-12-0) Bouatra et al. [2013;](#page-10-0) Dame et al. [2015](#page-10-0)), has facilitated the creation of the human metabolome database (Wishart et al. [2013](#page-12-0)). Previous NMR- and MSmetabolomic studies of ALS- and PD-patients have described metabolic changes within these groups compared to control groups, both in cerebrospinal fluid (CSF) and blood (serum/plasma) (Jove et al. [2014](#page-10-0)). Since these two neurodegenerative protein misfolding diseases share common characteristics, it is surprising that, to date, no study has included both ALS and PD patients for direct and detailed comparisons.

In the present study, solution NMR metabolomics was used to characterize the metabolome in CSF and plasma from individuals in three age, gender, and sampling-date matched groups, comprising ALS patients, PD patients, and a control group. This stringent study design provides a unique possibility to identify common and specific metabolic markers for ALS and PD.

2 Materials and methods

2.1 Participants

Participants were selected from the neurobiobank at the Department of Pharmacology and Clinical Neuroscience, Umeå University Hospital, Umeå, Sweden. This biobank provided CSF and plasma samples from 72 age, gender and

sampling-date matched participants divided into three groups: the ALS and the PD disease groups and the control group, see Table 1 for group characteristics. Each individual in one disease group has a matched individual in the other disease group, as well as one or two matched control subjects, enabling direct pair-wise comparisons, and reducing known confounds of metabolite analysis, specifically gender, age of patient and age of samples (see Table S1). The 22 ALS patients (male/female: 15/7) were diagnosed according to the revised EFNS Consensus Guidelines for Managing ALS criteria (Andersen et al. [2012\)](#page-10-0), and the 22 PD patients (male/female: 15/7) according to UK PDSBB criteria (Gibb and Lees [1988](#page-10-0)). Patients were included only if the diagnosis was definite according to the above criteria and if both plasma and CSF were available and had been collected at the same time. Included PD patients had a mean disease duration from symptom onset to collection of samples of 32.5 ± 28.7 months (range 6–97), a mean UPDRSIII score [unified Parkinson's disease rating scale (motor score); (Fahn et al. [1987\)](#page-10-0)] of 23.2 ± 11.7 (range 5–48), and a median Hoehn-Yahr score of 2 (range 1–3). The 28 subjects (male/female: 19/9) in the control group were, with one exception, patients with disorders other than neurodegenerative diseases (headache and pain 8, disturbed balance 3, gait disturbance 3, Guillain–Barre syndrome 3, Kennedy's disease 1, another 10 diagnoses were represented by a single individual).

2.2 Sample collection and preparation

CSF was collected through lumbar puncture according to a standard operating procedure, and plasma from non-fasted subjects was obtained from venous blood, using ethylenediaminetetraacetic acid (EDTA) as an anti-coagulant (Otto et al. [2012](#page-11-0)). Samples were stored at -80 °C until NMR analysis. Samples were thawed at room temperature and thereafter gently shaken to avoid gradient effects. Each CSF NMR-sample was prepared by mixing $100 \mu l$ of CSF with 80 µl of NMR buffer. The NMR buffer consists of a sodium phosphate buffer (Scharlau, Germany), pH 7.4, that ensures stable pH, D₂O (Cambridge Isotope Laboratories, USA) for providing an NMR lock signal, and sodium-3 trimethylsilylpropionate-2,2,3,3-D4 (TMSP; Cambridge Isotope Laboratories, USA) as an internal reference for alignment and quantification. The resulting sample consisted (apart from CSF) of 50 mM sodium-phosphate, pH 7.4, 10 $\%$ D₂O and 0.25 mM TMSP. Preparation of the plasma samples were carried out according to the standard operating procedure provided by Bruker Biospin. Briefly, plasma (90 μ I) was mixed with equal amount of buffer (90 μ l), giving a sample with 37.5 mM sodium phosphate buffer, pH 7.4, 10 % D_2O , 0.02 % sodium azide and 2.3 mM TMSP. Finally, each 180 µl sample (CSF and plasma) was briefly centrifuged at 13000g for 30 s (to sediment particulates) before being transferred to a 5 mm heavy-walled NMR-tube (Wilmad-LabGlass, USA).

2.3 NMR spectroscopy and processing

NMR experiments were carried out on a Bruker DRX600 spectrometer, equipped with a 5 mm triple-resonance z-gradient cryo-probe. Additional 2D TOCSY (total correlation spectroscopy, $t_{mix} = 60$ ms) and NOESY (nuclear Overhauser-effect spectroscopy, $t_{mix} = 100$ ms) spectra for confirming resonance assignments were carried out on a Bruker 850 MHz Avance III HD spectrometer, equipped with a 5 mm triple-resonance z-gradient cryo-probe. TOPSPIN, version 2.1 or 3.0, was used for spectrometer control and data processing (Bruker Biospin).

For the CSF samples NMR spectra were recorded at 25° C using $1D^{-1}H$ and ${}^{1}H$ Carr-Purcell-Meiboom-Gill (CPMG) experiments, a spectral width of 14 ppm, 16 k data points, excitation sculpting for water suppression, a 200 ms mixing time for the CPMG filter, 192 transients, and 4 s relaxation delay. The CPMG filter was used to suppress broad resonances originating from large molecules, resulting in a significantly improved baseline and avoiding the use of filtration or extraction methods. However, small effects on the signal intensities of the

Table 1 Overview of the number of patients and control subjects included in the present study, the gender distribution, as well as the mean and standard deviation (SD) of the patient age (in years) and sample age (in months from disease onset) of the different groups

	Total	Male	Female	Age of subject			Sample age		
				All $Mean \pm SD$	Male Mean \pm SD	Female Mean \pm SD	All Mean \pm SD	Male Mean \pm SD	Female Mean \pm SD
All	72	49	23	64.1 ± 6.0	64.0 ± 5.1	64.4 ± 7.8	33 ± 21	32 ± 18	35 ± 27
ALS	22	15		64.6 ± 6.4	64.4 ± 5.4	65.0 ± 8.8	32 ± 22	31 ± 18	35 ± 31
PD.	22	15		64.3 ± 6.1	63.8 ± 5.2	65.3 ± 7.9	32 ± 22	31 ± 18	35 ± 30
Ctrl	28	19	9	63.7 ± 5.9	63.9 ± 5.2	63.2 ± 7.6	33 ± 21	33 ± 18	34 ± 26

metabolites is unavoidable, as previously described (Jupin et al. [2013](#page-10-0), [2014\)](#page-10-0), but these are consistent across samples. The robust excitation sculpting technique was used as this significantly improved the water suppression and baseline, and thus indirectly improved the quantification procedure. However, this technique affects the signal height of resonances in a limited region close to the water resonance (Aranibar et al. [2006;](#page-10-0) Jupin et al. [2014\)](#page-10-0). For the metabolites that have resonances close to this region (ascorbate, glucose, mannose and pyroglutamate) quantification was carried out using resonances from those metabolites in other spectral regions, thereby no quantification errors due to the excitation sculpting technique were introduced. Spectra were processed with 32 k data points, an exponential window function with 0.5 Hz line broadening, a polynomial base-line correction, and aligned with the TMSP-signal.

NMR data acquisition for the plasma samples were carried out at 37 \degree C, using the NMR experiments recommended by Bruker. The statistical analysis described below was carried out on data recorded by using a 1D NOESY experiment (Bruker pulse program noesygppr1d), 128 transients, a recycle delay of 4.0 s and a mixing time of 60 ms. Spectra were processed with 32 k data points, an exponential window function with 1 Hz line broadening, a polynomial base-line correction, and aligned using the α glucose $CH₂$ resonance at 5.25 ppm.

2.4 Spectral analysis

The processed CSF spectra were subjected to a targeted analysis; identification and quantification of metabolites was carried out using the Chenomx NMR Suite software package, version 7.6 (Chenomx Inc., Edmonton, Canada), in which an advanced line-fitting procedure, combined with an extensive database containing NMR reference spectra of 312 metabolites is used, and where the internal TMSP resonance is used as a reference. Each spectrum was analysed at two separate occasions to avoid erroneous identification and quantification. Metabolite identities were confirmed through the use of AMIX (Bruker Biospin), the Human Metabolome Database (Wishart et al. [2013\)](#page-12-0) and literature (Nicholson et al. [1995](#page-11-0)), as well as through the acquired and analyzed 2D NMR spectra.

A targeted analysis of the plasma spectra was not applicable due to the interference from strong and broad resonances from lipids and proteins. Although the use of a CPMG experiment in part could resolve this problem (Jupin et al. [2013](#page-10-0)), there were still strong lipid/protein resonances present, interfering with the Chenomx quantification procedure. Instead, an untargeted analysis approach was applied, in which the plasma spectra were reduced to spectral bins, using AMIX (Bruker Biospin),

followed by a comparison using multivariate statistics. The spectral region 0.5–9 ppm was included without normalization and divided into bins of 0.004 ppm, excluding the regions where EDTA gives disturbing signals (3.70–3.58, 3.25–3.08, 2.74–2.69 and 2.62–2.55 ppm) and water (5.08–4.37 ppm) (Barton et al. [2010](#page-10-0)). Furthermore, metabolites are known to bind to albumin, which may potentially interfere with the analyses (Jupin et al. [2013,](#page-10-0) [2014](#page-10-0)). Since the plasma is diluted with equal amount of buffer (2 times dilution), and the albumin level within this cohort is homogenous (43 \pm 4 mg/ml), it is unlikely that albumin affects the analysis.

2.5 Statistical analysis

Multivariate data analysis (ideally suited for analysis of multiple variables in a complex interaction network) was carried out both on the quantitative metabolite data in CSF, as well as on the binned data from plasma, using SIMCA 13.0 (Umetrics, Umeå, Sweden). Datasets were meancentered, and scaled (pareto or unit variance), and subsequently subjected to principal component analysis (PCA) to obtain an overview of the data, and orthogonal projection to latent structures discriminant analysis (OPLS-DA) (Trygg and Wold 2002 ; Bylesjö et al. 2006) to find metabolites that separate the groups. This cohort was additionally designed to allow pair-wise comparisons, and with a new statistical method denoted orthogonal projection to latent structures effect projection (OPLS-EP) analysis, such a pair-wise comparison can now be performed on a multivariate basis (Jonsson et al. [2015](#page-10-0)). OPLS-DA is excellent for identifying differences among groups and to identify biomarkers, while the matched-pair comparison in the OPLS-EP analysis provides further details on the metabolic effects and mechanisms potentially caused by the disorder (i.e. hidden amongst factors biased by age, gender or sample storage). The final OPLS-DA models were calculated with mean-centering and UV scaling. The OPLS-EP analysis was performed without mean-centering but with UV-scaled datasets; in the cases where dual controls are available, these are averaged before analysis. Derived OPLS-DA models were cross-validated by leaving matched groups out, and for OPLS-EP models the sevenfold cross-validation method was used. The OPLS-EP and OPLS-DA models obtained in CSF were calculated with $1 + 0 + 0$ and $1 + 1 + 0$ components, respectively, while in plasma $1 + 1 + 0$ components were used in both cases. R^2X and R^2Y are the fractions of the explained variation for the X and Y component, respectively. Q^2 indicates the goodness of a prediction and describes the fraction of the total variation predicted by a component, as estimated by cross-validation. Group separations were evaluated through CV-ANOVA (Eriksson et al. [2008\)](#page-10-0) and important

metabolites were identified through the first weight vector (w*[1]). Cut-off values of $w^*[1]$ were determined empirically by iterative model building to obtain the most significant model. In the binning analysis of the plasma spectra, bins above the w*[1] threshold were translated, via their chemical shifts, into the corresponding metabolite. Quantified metabolites in CSF (from the two disease groups and controls) are further visualized by boxplots, and analyzed by univariate statistics using Wilcoxon rank-sum tests. Pathway analysis was carried out by using the Metaboanalyst (Xia et al. [2012](#page-12-0)) and HumanCyc web servers (Romero et al. [2005\)](#page-11-0).

3 Results

3.1 Analysis of the CSF metabolome

In the targeted analysis of the CSF data a total of 60 metabolites were unambiguously identified, 38 of which were quantified in every sample and used for subsequent modeling and disease profiling. These results are compiled in the Supplemental Table S2, where average metabolite concentrations for each group, fold-changes and the results from Wilcoxon rank-sum tests have been listed. The spread of concentrations within the ALS, PD, and control groups, are visualized with box-plots in Supplemental Fig. S1. The detected range of metabolite concentrations are in excellent agreement with previous studies of the CSF metabolome (Mandal et al. [2012](#page-11-0); Wishart et al. [2008;](#page-12-0) Stoop et al. [2010](#page-12-0)). For lactate, a metabolite routinely monitored in all groups by standard clinical chemistry analysis (using the Cobas LACT2 reagent on Cobas C502 analyzers), the agreement is also excellent; NMR gives 1.50 ± 0.22 , 1.37 ± 0.22 and 1.29 ± 0.30 mM lactate for the ALS, PD and control groups, respectively, while the corresponding results from the accredited laboratory at Norrlands University Hospital, Umeå, Sweden, were 1.60 ± 0.30 , 1.44 ± 0.24 and 1.36 ± 0.23 mM.

A multivariate overview using PCA revealed no significant outliers, hence all observations were kept for further analysis. The ALS- and the control-groups were discriminated by the OPLS-EP analysis $(R^2X = 0.29)$, $R^2Y = 0.83$, $Q^2 = 0.44$, $p = 0.027$), while the separation with OPLS-DA was not significant. From the significant OPLS-EP model, variables with weight values $|w^*| > 0.2$ were selected for a second round of modelling. Included metabolites were alanine, creatine, creatinine, dimethylamine, glucose, isoleucine, lactate and valine, see Fig. 1a. The resulting OPLS-EP ($R^2X = 0.65$, $R^2Y = 0.73$, $Q^2 = 0.60$, $p = 0.002$) and OPLS-DA (R²X = 0.55, $R^2Y = 0.43$, $Q^2 = 0.26$, $p = 0.008$) models provided better group separation and statistically significant models.

The cross-validated score plot from the OPLS-EP model, Fig. 1b, showed that most of the matched pairs were driving the model in the same direction. The group separation obtained by using OPLS-DA on the same eight metabolites is shown in Fig. 1c. The score values for both the OPLS-EP and OPLS-DA models as a function of match number are shown in Supplemental Fig. S2A and B. For seven of these eight metabolites (all except creatinine) univariate analysis also identifies considerable concentration changes ($p<0.05$).

The PD and the control groups were compared by OPLS-EP and OPLS-DA modelling using all metabolites. No statistically significant models were obtained that could discriminate between the groups. Metabolites with $|w^*| > 0.15$ in the initial OPLS-EP models were selected

Fig. 1 Multivariate statistical comparison of CSF from ALS patients and control subjects. **a** Metabolites, with weight values $|w^*| > 0.2$, important for separation between the ALS and control groups. **b** Cross-validated OPLS-EP score plot of the first component, $t_{cv}[1]$. c Cross-validated OPLS-DA score plot of the first component, $t_{cv}[1]$ (ALS patients: filled circles; control subjects: open circles)

for a second round of modeling; 15 metabolites fulfilled this criterion, see Fig. 2a. Using this subset of metabolites, a statistically significant OPLS-EP model ($R^2X = 0.36$, $R^2Y = 0.39$, $Q^2 = 0.27$, $p = 0.042$) was obtained. The cross-validated score plot for this OPLS-EP model is shown in Fig. 2b, and the scores for each matched pair in Supplemental Fig. S3. Smaller subsets of metabolites were tested, but did not improve the discrimination between the PD and control groups (data not shown).

When comparing the ALS group to the PD group using OPLS-EP and OPLS-DA (with all variables), both methods were able to separate between the ALS and the PD group; however, none of the models were significant according to CV-ANOVA. A second round of modeling was carried out

using metabolites with weight values $|w^*| > 0.2$. In total, 10 metabolites fulfilled this criterion, see Fig. 3a. The resulting OPLS-EP ($R^2X = 0.28$, $R^2Y = 0.57$, $Q^2 = 0.42$, $p = 0.004$) and OPLS-DA ($R^2X = 0.34$, $R^2Y = 0.51$, $Q^2 = 0.34$, $p = 0.002$) models provided discriminatory ability between the ALS and PD groups and both models were statistically significant. Figure 3b shows the crossvalidated score plot from the OPLS-EP model, while Fig. 3c shows the scores from the OPLS-DA model. The scores for matched pairs are shown in Supplemental Fig S4.

3.2 Analysis of the plasma metabolome

For plasma, the derived spectral bins were analyzed statistically using OPLS-EP and OPLS-DA to identify metabolites capable of discriminating between the ALS,

Fig. 2 Multivariate statistical comparison of CSF from PD patients and control subjects. a Metabolites, with weight values $|w^*| > 0.15$, important for the separation between the PD and the control groups. **b** Cross-validated OPLS-EP score plot of the first component, $t_{cv}[1]$

Fig. 3 Multivariate statistical comparison of CSF from ALS and PD patients. a Metabolites, with weight values $|w^*| > 0.2$, important for separation between the ALS and the PD groups. b Cross-validated OPLS-EP score plot of the first component, $t_{cv}[1]$. c Cross-validated OPLS-DA score plot of the first component, $t_{cv}[1]$ (ALS patients: filled circles; PD patients: open diamonds)

PD and control groups. One sample was excluded from the analysis, since the initial PCA analysis identified it as an outlier caused primarily by highly elevated levels of glucose. Statistically significant models were obtained between the ALS group and the control group using either the OPLS-EP $(R^2X = 0.37, R^2Y = 0.85, Q^2 = 0.52,$ $p = 0.010$) or the OPLS-DA approach ($R^2X = 0.32$, $R^2Y = 0.64$, $Q^2 = 0.30$, $p = 0.004$). OPLS-EP variables with weight values $|w^*| > 0.03$ were translated into the corresponding metabolites, shown in Fig. 4a; the OPLS-EP and OPLS-DA scores of these models are shown in Fig. 4b and C. The score values as a function of the matched pair number are shown in the Supplement, Fig. S2C and D. No statistically significant models were obtained for discriminating the PD group from either the control or the ALS groups.

4 Discussion

For both ALS and PD we lack a detailed understanding of the underlying disease mechanisms, diagnostic tests and effective disease modifying treatments. It is consequently of great interest to find biomarkers that can support the diagnosis procedure, monitor the disease progression and provide insight about the molecular mechanisms involved. In the present work, CSF and plasma from rigorously matched patients with ALS and PD were analyzed by solution NMR metabolomics, and the obtained metabolite profiles were compared with those of matched control subjects. Multivariate statistical analysis was primarily carried out by using the OPLS-EP and the OPLS-DA approaches. Noteworthy is that the OPLS-EP analysis of this pair-wise matched cohort in general provided stronger models than the OPLS-DA analysis of the aggregate groups. The reason may be that the unpaired group discrimination analysis (i.e. OPLS-DA) may have difficulties in detecting subtle disease-associated variation due to variations from other sources such as gender, age or sample-age within the groups (Jonsson et al. [2015\)](#page-10-0).

Distinct metabolite differences between ALS patients and controls were observed both in CSF and plasma, while robust group discrimination between PD patients and controls, as well as between ALS and PD patients, were only detected in CSF. The metabolites capable of separating these groups are summarized in Table [2](#page-7-0), together with affected metabolic pathways and/or physiological functions, and the results are discussed below.

4.1 ALS patients compared to control subjects

In the quantitative and targeted multivariate analysis of CSF metabolites, a clear separation between the ALS group

Fig. 4 Multivariate statistical comparison of plasma from ALS patients and control subjects. a Metabolites, with weight values $|w^*| > 0.03$, important for the separation between the ALS and the control groups. b Cross-validated OPLS-EP score plot of the first component, $t_{cv}[1]$. c Cross-validated OPLS-DA score plot of the first component, $t_{cv}[1]$ (ALS patients: *filled circles*; control subjects: *open* circles)

and the control group was observed. Judged by the weight values of the multivariate statistical analysis, eight metabolites were of particular significance, see Fig. [1](#page-4-0) and Table [2](#page-7-0). The univariate statistical analysis showed that seven of those (all except creatinine) had p values below 0.05. In plasma the multivariate analysis showed that thirteen metabolites are of particular importance (with weight values $|w^*| > 0.03$ for the separation between ALS patients and control subjects, see Fig. 4 and Table [2.](#page-7-0) Five of the metabolites were found at elevated levels in both

Table 2 Overview of the metabolites in CSF and plasma important for separation of the ALS and the PD patient groups from the control group, and from each other, in the multivariate statistical models

Metabolite (ChEBI ID)	ALS vs controls		PD vs controls	ALS vs PD	Pathway or physiological function	
	CSF	Plasma	CSF	CSF		
Creatine (16919)			1		Creatine phosphate shuttle	
Creatinine (16737)					Creatine phosphate metabolism	
L -Isoleucine (17191)					Branched chain amino acid, essential in diet	
L-Leucine (15603)					Branched chain amino acid, essential in diet	
L-Valine (16414)					Branched chain amino acid, essential in diet	
Dimethylamine (17170)					Linked to oxidative stress regulation	
L-Alanine (16977)		↑			Glucose, pyruvate, amino acid metabolism	
D -Glucose (4167)					Glucose metabolism	
L -Lactic acid (422)					Anaerobic glycolysis	
Acetic acid (15366)					Multiple pathways	
L-Glutamic acid (16015)					Neurotransmitter, multiple pathways	
L-Histidine (15971)					Linked to neurotransmission and oxidative stress	
$L-Tyrosine$ (17895)			\uparrow		Linked to neurotransmission and oxidative stress	
Pyruvic acid (32816)					Glycolysis, gluconeogenesis, key intermediate	
Lipids					Lipid metabolism	
Triglycerides					Fatty acid metabolism	
3-Hydroxyisovaleric acid (37084)					Leucine degradation	
Acetoacetic acid (15344)					Ketone body, propanoate and Leu metabolism	
Citric acid (30769)					TCA/Krebs/Citrate cycle	
Dimethyl sulfone (9349)					Methanethiol metabolism	
D-Fructose (28645)					Mannose and fructose metabolism	
D-Mannose (4208)					Mannose, fructose and glucose metabolism	
2-Hydroxybutyric acid (1148)					Propanoate/propionate metabolism	
Acetone (15347)					Ketone body, propanoate metabolism	
Isopropyl alcohol (17824)					Propanoate/propionate metabolism	
Ascorbic acid (17208)					Ascorbate and aldarate metabolism	
Myoinositol (17268)					Ascorbate and aldarate metabolism	
Formic acid (30751)					Pyruvate metabolism	

Increased and decreased concentrations of the metabolites are indicated with an upward or downward pointing arrow, respectively. Bold arrows indicate metabolites with a p value below 0.05 in the univariate analysis. A selection of affected pathways and/or associated physiological functions for each metabolite is listed

CSF and plasma, these were alanine (CSF fold change = 1.22, $p = 0.005$, creatine (CSF-fc = 1.17, $p = 0.001$), glucose (CSF-fc = 1.11, $p = 0.036$), isoleucine (CSF-fc = 1.24, $p = 0.002$), and valine (CSFfc = 1.17, $p = 0.014$), see Table S2.

Among the ALS patients, the CSF and plasma concentrations of creatine were found elevated when compared to the control group, and together with the decreased concentration of creatinine in CSF, this suggest that the creatinine metabolism pathway is disturbed in ALS. These results are in line with several metabolomics studies, in which equivalent changes of the creatine/creatinine levels have been observed in ALS patients (Lawton et al. [2014,](#page-11-0)

[2012](#page-11-0); Wuolikainen et al. [2011](#page-12-0), [2016](#page-12-0)). It is however noteworthy that the present NMR study, together with the very recent MS study on the same cohort (Wuolikainen et al. [2016](#page-12-0)), for the first time have detected increased levels of creatine in CSF. Reduced creatinine level has previously been correlated with ALS both in CSF and blood (Paillisse et al. [2005;](#page-11-0) Wuolikainen et al. [2011](#page-12-0)). Since creatinine is primarily formed in the skeletal muscles and the level of CSF creatinine is known to depend on the plasma level (Tachikawa et al. [2008\)](#page-12-0), the reduced CSF level may be linked to the wasting of skeletal muscle mass and not to the neurodegenerative process per se in the central nervous system (CNS). However, since much more creatinine is

produced by the non-enzymatic degradation of phosphocreatine than from creatine (Wyss and Kaddurah-Daouk [2000\)](#page-12-0), this altered level in CSF of ALS patients may also be indicative of a compromised creatine to phosphocreatine conversion by creatine kinase within the CNS.

Another group of metabolites, displaying increased concentrations in CSF and plasma from ALS-patients, were the branched-chain amino acids (BCAA) isoleucine and valine (Figs. [1](#page-4-0)a and [4](#page-6-0)a; Table [2](#page-7-0)). The BCAA leucine also has elevated concentration in plasma (Fig. [4a](#page-6-0); Table [2](#page-7-0)). In CSF, leucine is found just below the cutoff value $(|w^*| = 0.2)$, with a $|w^*| = 0.18$ (Table S2). These three metabolites are all found in the valine, leucine and isoleucine biosynthesis/biodegradation pathways, and present findings suggest that the ALS disorder may affect these pathways. The BCAAs are also known to affect brain function via an altered neurotransmitter biosynthesis (Fernstrom [2013\)](#page-10-0). Several studies have previously correlated an increased concentration of BCAA, obtained primarily through a diet designed to increase muscle growth, with an accelerated progression of ALS (Beghi [2013](#page-10-0); Manuel and Heckman [2011](#page-11-0)). Interestingly, the BCAA leucine is a key regulator of the mechanistic target of rapamycin complex 1, a key enzyme involved in protein synthesis and degradation (Averous et al. [2014](#page-10-0); Jewell et al. [2015](#page-10-0)). The MS-based metabolomic study on the same cohort observed similar changes in the BCAA levels, thereby supporting these findings (Wuolikainen et al. [2016\)](#page-12-0).

The CSF-levels of dimethylamine are elevated in the ALS patient group when compared to the control group, are therefore important for separation of the two groups statistically (Fig. [1](#page-4-0); Table [2\)](#page-7-0). Dimethylamine is produced from asymmetric dimethylarginine (ADMA) via the enzyme dimethylarginine dimethylaminohydrolase 1 (MacAllister et al. [1996\)](#page-11-0). ADMA is an endogenous inhibitor of nitric oxide synthase (Vallance and Leiper [2004](#page-12-0)), and consequently dimethylamine can be linked to the synthesis of nitric oxide and the regulation of oxidative stress. A previous report in fact observed reduced plasma concentration of ADMA in patients with the ALS disorder (Isobe et al. [2010\)](#page-10-0). A reduced level of ADMA in plasma from ALS patients was also found in the same patient cohort as analyzed here, by MS-based metabolomics (Wuolikainen et al. [2016\)](#page-12-0). Hence, the elevated levels of dimethylamine in CSF combined with reports of reduced levels of ADMA in plasma together indicate perturbations in this pathway in ALS. Since the regulation of oxidative stress is complex and involves other pathways than the one described above, the roles of dimethylamine and ADMA as potential markers for ALS need to be further evaluated in larger patient cohorts.

The increased concentrations of alanine and glucose detected in CSF and plasma from ALS patients, as well as an elevated concentration of lactic acid in CSF, suggest an affected glucose metabolism (Fig. [1](#page-4-0) and [4;](#page-6-0) Table [2\)](#page-7-0) (Tsacopoulos and Magistretti [1996\)](#page-12-0). Elevated levels of glucose and lactic acid in CSF were also detected in a recent longitudinal study (Gray et al. [2015](#page-10-0)). The observed changes for alanine and glucose are in agreement with the recent MS-based study on the same patient cohort (Wuolikainen et al. [2016\)](#page-12-0), while two other studies did not observe similar changes (Blasco et al. [2010,](#page-10-0) [2013\)](#page-10-0).

In plasma, the metabolites acetic acid, glutamate, pyruvate, histidine and tyrosine showed increased concentrations (Fig. [4a](#page-6-0); Table [2\)](#page-7-0). Elevated CSF- and plasmalevels of glutamate and pyruvate have previously been observed in ALS patients (Blasco et al. [2010](#page-10-0), [2013;](#page-10-0) Lawton et al. [2012;](#page-11-0) Kumar et al. [2010;](#page-11-0) Wuolikainen et al. [2016](#page-12-0)). The increased level of glutamate in plasma/serum has previously been attributed to either the glutamate excitotoxicity in ALS or to an imbalance in the glutamate/ glutamine conversion (Kumar et al. [2010](#page-11-0)). This study (Kumar et al. [2010](#page-11-0)) did however not observe any significant concentration changes of the aromatic amino acid and neurotransmitter precursor tyrosine, while the antioxidant metabolite histidine in contrast showed a decreased level. Although tyrosine and histidine can be linked to neurotransmission and the reduction of oxidative stress (Wade and Tucker [1998;](#page-12-0) Milewski et al. [2014\)](#page-11-0), there is to our knowledge no previous direct association with ALS.

Furthermore, we observed increased plasma levels of lipids and triglycerides in ALS patients in comparison to control subjects; changes of the same group of metabolites have been observed in MS-based metabolomic studies of plasma (Lawton et al. [2014,](#page-11-0) [2012;](#page-11-0) Wuolikainen et al. [2016](#page-12-0)). This highlights the need for further studies of the lipidomic metabolic profile of ALS patients.

4.2 PD patients compared to control subjects

In order to distinguish PD patients from control subjects, a set of 15 CSF-metabolites were used together with the OPLS-EP analysis, see Fig. [2](#page-5-0) and Table [2](#page-7-0). The modelling statistics were poorer than in the comparison between the ALS and control groups; this is most likely due to a great variation among the patients in severity of motor impairment (UPDRSIII motor score 23.2 ± 11.7 , range 5–48). Attempts to use subsets of these 15 metabolites did not improve the discrimination. The difficulty in obtaining statistically significant models emphasizes the need for some caution when interpreting the results.

Decreased concentration of 3-hydroxyisovalerate in the CSF of PD patients has previously been observed (Ohman and Forsgren [2015](#page-11-0); Trupp et al. [2014](#page-12-0)), and can potentially be linked to tyrosine degradation (Trupp et al. [2014\)](#page-12-0). In agreement with a previous report (Öhman and Forsgren [2015\)](#page-11-0), the metabolite mannose, involved in glucose metabolism (Sharma et al. [2014](#page-11-0)), also has a decreased concentration in the CSF of PD patients. Alanine, dimethylamine and glucose all showed an increased concentration, contrary to several studies (Lewitt et al. [2013](#page-11-0); Mally et al. [1997;](#page-11-0) Molina et al. [1997](#page-11-0); Öhman and Forsgren [2015\)](#page-11-0). The BCAA isoleucine, leucine and valine were all found at higher concentrations in the PD patients, similar to the ALS patient group above. Elevated levels of BCAAs in PD patients have previously been observed (Van Sande et al. [1971](#page-12-0); Lakke and Teelken [1976](#page-11-0); Lakke et al. [1987](#page-11-0)), although the opposite has also been reported (Molina et al. [1997\)](#page-11-0). As described above, BCAAs are linked in biosynthesis and degradation pathways as well as to neurotransmitter biosynthesis of relevance for the PD pathology. We have also detected altered levels of degradation products from leucine including 3-hydroxyisovaleric acid and acetoacetic acid (Table [2](#page-7-0)), supporting the model of disrupted leucine regulation in PD. The reduced concentration of acetoacetic acid may also implicate an effect on ketone body synthesis or degradation. Two metabolites with increased concentration in CSF were tyrosine and histidine; they can be linked to neurotransmission and the reduction of oxidative stress (Wade and Tucker [1998;](#page-12-0) Milewski et al. [2014\)](#page-11-0). The four remaining metabolites, citric acid, creatine, dimethylsulfone and fructose, all display elevated levels in PD patients when compared to controls. Dimethylsulfone is involved in the methanethiol pathway, in which it is produced as a breakdown product of the nitric oxide synthase cofactor methanethiol (Engelke et al. [2005\)](#page-10-0). Fructose is linked to the mannose and fructose pathway, and citric acid to numerous metabolic pathways; future studies of larger patient cohorts will clarify their importance.

4.3 ALS patients compared to PD patients

Multivariate analysis showed that 10 CSF-metabolites could clearly distinguish ALS patients from PD patients, see Fig. [3](#page-5-0) and Table [2](#page-7-0). As described above creatine, dimethylamine and lactic acid were important to discriminate ALS patients from controls; here it is further shown that these metabolites were also discriminatory between ALS and PD patients. This is likely due to the similarity of the metabolic profiles for the PD and control groups, as indicated by their poor separation in the multivariate models. The two metabolites acetone and acetoacetic acid were shown to be important for the separation between ALS and PD; both metabolites are ketone bodies, and involved in pathways known to play roles in both ALS and PD (Zhao et al. [2006;](#page-12-0) Paoli et al. [2014\)](#page-11-0). Acetone, acetoacetic acid, 2-hydroxybutyric acid, lactic acid and

isopropyl alcohol are all involved in the propanoate/propionic acid metabolism, and therefore implicate a difference in this pathway. 2-hydroxybutyric acid has previously been identified as an early marker for diabetes (Gall et al. [2010](#page-10-0)), suggesting that this is another indication of altered glucose metabolism in ALS. Lactic acid is together with formic acid also involved in pyruvate metabolism. An elevated level of ascorbic acid has previously been observed in CSF from ALS patients (Blasco et al. [2010,](#page-10-0) [2013](#page-10-0)); both ascorbic acid and myo-inositol are part of the ascorbate and aldarate metabolism, which may reflect a difference in this pathway between ALS and PD patients.

5 Concluding remarks

The present NMR metabolomics study revealed significant differences in the metabolic profiles of ALS patients compared to the profiles of age, gender, and sampling-date matched control subjects and PD patients. The discrimination between PD patients and controls is however less significant. Several metabolites have been identified as potential biomarkers for ALS, many of which have been linked to specific metabolic pathways involved in the ALS pathophysiology (Table [2](#page-7-0)). Interestingly there are both shared and unique metabolic markers for the ALS and PD disorders. Although these findings in part are validated by previous studies and by the very recent MS-based study on the same patient cohort (Wuolikainen et al. [2016\)](#page-12-0), further validation of the identified metabolic markers is necessary using larger patient cohorts.

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Compliance with ethical standards

Conflicts of interest The authors report no conflicts of interest related to this study.

Ethical approval This study was carried out in accordance with the Declaration of Helsinki (WMA 1964), with ethical approval from the Medical Ethical Review Board for northern Sweden, Umeå, Sweden. Informed consent Written informed consent was obtained from all subjects.

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