



Partial Relay Selection in Energy Harvesting Based NOMA Network with Imperfect CSI

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Abstract

In this paper, we analyse the performance of partial relay selection (PRS) for non orthogonal multiple access (NOMA) dual hop relay assisted network, where a source (S) node transmits two signals to respective two destinations (D_1, D_2) with help of relays. All the relays are powered by harvested energy from RF signal of source. The performance of network is analysed for both signals S_1 and S_2 . The availability of channel state information (CSI) knowledge effects the outage probabilities of both the symbols. We derive closed form expressions of outage probability for both the symbols. Further, we use monte carlo simulation to evaluate ergodic capacity and throughput. The impact of power allocation between both the signals on outage probability is also depicted and a feasible region of power allocation factor between symbols is obtained where NOMA is fairly working.

Keywords Energy harvesting · Partial relay selection · Imperfect CSI · Time splitting relaying protocol · Outage probability

1 Introduction

Non-orthogonal multiple access (NOMA) is an emerging radio access technology to increase the spectral efficiency in 5G wireless communication networks. NOMA exploits the power domain to allow multiple access while other orthogonal multiple access (OMA) technologies like time division multiple access (TDMA), frequency division multiple access (FDMA) and code division multiple access (CDMA) exploit time, frequency and code division to allow multiple access [1]. In [2], the authors have given insight to the NOMA technology in MIMO environment and NOMA technology in cognitive radio environment in context of LTE and 5G wireless network. In [3], the authors have analysed the achievable rate in cooperative relaying based NOMA. In [4], a comparison of NOMA and OMA is carried out, and it is found that NOMA outperforms OMA in terms of coding gain. In [5, 6] the authors have analysed the system performance of a relaying network with NOMA technology, considering diversity technology like MRC of relaying path and direct path between source and destination. In [7], the authors have evaluated performance of a

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cooperative full duplex amplify-forward (AF) relaying system with NOMA radio access technology.

To resolve the power supply problem for energy constrained node, RF energy harvesting is an emerging technology to power up wireless communication devices in 5G networks [8, 9]. The combination of cognitive radio technology and RF energy harvesting technology boosts the proposed wireless network in terms of spectral efficiency and renewable power utilization. The authors in [8], evaluated throughput performance in energy harvesting networks considering both the AF and DF relaying systems. Several power allocation strategies are discussed in [9] to distribute energy among relays in energy constrained cooperative networks. The interfering RF signals are utilized to harvest energy along with the desired RF signal in [10].

Several schemes for relay selection have been reported in RF energy harvesting based OMA networks. In [11], the authors have analyzed performance of energy harvesting based on dual hop relay networks, where the relay having the highest energy is being selected in the time switching based energy harvesting network. In [12], the authors analyzed performance of PSR based on energy harvesting considering dual hop networks, in PRS and ORS (optimal relay selection) schemes. In [13], the authors investigate outage probability of dual hop relaying time switching relaying (TSR) based energy harvesting networks, where the best relay has been selected based on the highest end-to-end signal-to-interference-plus-noise ratio (SINR) in CRN networks. In [14], the authors have investigated outage probability of an energy harvesting based dual hop relaying system where the best relay has been selected having maximum end-to-end SINR and MRC diversity techniques are also considered with relay link and direct link between source and destination.

In [15], a two-stage relay selection strategy is proposed and evaluated for a cooperative NOMA network. In [16, 17] performance of the partial relay selection (PRS) scheme is investigated for an AF relaying network under NOMA technology and in [17] a closed form expression for outage probability has been derived. In [18], the authors have analyzed the performance of energy harvesting based NOMA networks with PRS scheme following the TSR protocol, whereas, power splitting relaying protocol for simultaneous wireless information and power transfer has been followed in PRS based NOMA networks [19]. In [20], the performance of PRS based NOMA networks is analyzed considering both linear and non-linear models of energy harvesting.

In [21], the authors have proposed a NOMA based model in CRN environment, where two messages are transmitted to a primary user by a secondary user (SU) selected from a group of SUs. In [22], the authors have considered m th order NOMA in CRN and evaluated performance under several power allocation schemes. Recently, PRS scheme in multi-user NOMA networks has been reported [23, 24]. The pairwise error probability of NOMA networks under cognitive scenarios is analyzed via simulation and theoretical analysis [23]. All the above mentioned literature deal with half duplex mode of operation. In [24], the authors have analyzed NOMA networks under CRN environment employing PRS scheme in half duplex as well as full duplex mode of operation. With little advancement, a PRS is utilized in NOMA networks where near users act as relays and participate in selection procedures if near users successfully decode signals for both users, otherwise only dedicated relays participate for selection to assist both users [25].

Due to the randomness of fading channels and channel estimation error, it is difficult to acquire perfect information about channel conditions. Most recently, several papers considering imperfect CSI have been reported in NOMA based networks to make the analysis more realistic. In [26], imperfect CSI is considered between secondary source and primary receiver to estimate maximum allowable transmit power based on the limitation of received interference power at primary receiver below a threshold. In [27], the authors have

considered imperfect CSI to compute the accumulated interferences at primary receiver in a multihop secondary network. In [28], the authors have analysed the impact of imperfect CSI on the performance of MIMO based energy harvesting network. An outage performance is analysed in an underlay NOMA cognitive radio network assuming imperfect CSI between source-to-primary receiver and relay-to-primary receiver [29].

1.1 Contributions of the Paper

NOMA is an emerging technology for multiple access to boost the spectrum utilization and reduces spectrum scarcity problem. Inspired by the advantage of the technology, we are investigating the performance of a NOMA based relay selective network considering imperfect CSI. Imperfection in CSI is not considered in selection of relay in NOMA based transmission in existing literature, to the best of our knowledge. Hence, it is important to analyze the performance of energy harvesting relay selective network utilizing NOMA considering an imperfect CSI, which is more realistic.

In summary, the major contributions of this paper are as bellow:

- We consider NOMA access technology in dual hop network to increase the spectrum efficiency of the network.
- We derive closed form expressions of outage probabilities for both the symbols in order to assess the performance of PRS scheme using NOMA considering an imperfect CSI.
- We analyse the outage and throughput for NOMA based transmission in relay assisted network and indicate the impact of several network parameters.
- We find a feasible region for power allocation factor ($\frac{a_1}{a_2}$) for fairness of NOMA schemes.
- We also analyse the impact of channel correlation co-factor.

1.2 Organization of the Paper

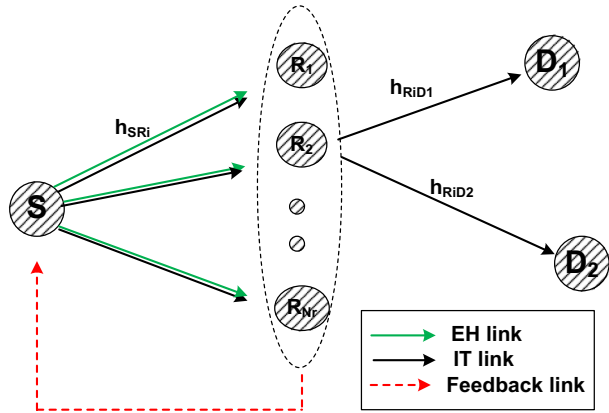
The rest of the paper is organized as follows: Sect. 2 depicts system model and time frame structure. A brief discussions of the performance analyses are given in Sect. 3. In Sect. 4, the results and discussion are described. Finally, Sect. 5 concludes the paper.

2 System Model

As shown in Fig. 1, we considered a dual hop cooperative network consisting of one source (S), two destinations (D_1, D_2) and N_r number of relays (R_i), where $i \in \{1, N_r\}$. The source transmits a superimposed signal to the selected relay and the relay forwards the same to SD_1 and SD_2 . We assume that all the relays are powered by harvesting energy extracted from RF signal of S . All the relays, S , D_1 and D_2 are equipped with a single antenna. We also assume that there is no direct path between source and destinations due to deep faded channel.

The channel co-efficient between S and i th relay (R_i) is denoted as h_{SR_i} , $i \in \{1, N_r\}$ which is a Complex Normal random variable i.e. $h_{SR_i} \sim \mathcal{CN}(0, \lambda_{SR})$. Accordingly, the channel gain ($|h_{SR_i}|^2$) is following an exponential distribution. The channel co-efficient between i th relay to D_1 and D_2 are given by $h_{R_i D_1}$ and $h_{R_i D_2}$, which follows Complex

Fig. 1 System model for a NOMA network



Normal random variable i.e. $h_{R_i,D_1} \sim \mathcal{CN}(0, \lambda_{RD_1})$ and $h_{R_i,D_2} \sim \mathcal{CN}(0, \lambda_{RD_2})$. Correspondingly the channel gain are denoted by $|h_{R_i,D_1}|^2$ and $|h_{R_i,D_2}|^2$ which follows exponential distribution.

The probability density function (PDF) of channel gains are given as

$$f_{|h_{SR_i}|^2}(x) = \frac{1}{\lambda_{SR}} e^{-\frac{x}{\lambda_{SR}}} \quad \forall i \in [1, N_r] \tag{1}$$

$$f_{|h_{RD_1}|^2}(y) = \frac{1}{\lambda_{RD_1}} e^{-\frac{y}{\lambda_{RD_1}}} \tag{2}$$

$$f_{|h_{RD_2}|^2}(y) = \frac{1}{\lambda_{RD_2}} e^{-\frac{y}{\lambda_{RD_2}}} \tag{3}$$

Here, the relay which is having the strongest channel gain between the source and the relays is selected. The relay selection depends on the CSI of source to relays. But in practice, it is difficult to acquire the information about perfect CSI due to several reasons such as channel estimation error, mobility of user, feedback error, delay. The error between estimated channel and actual channel leads to inaccurate analysis. Hence, it is important to consider imperfect CSI. Let us assume ρ is the correction co-efficient between h_{SR_i} and \hat{h}_{SR_i} . The relation between h_{SR_i} and \hat{h}_{SR_i} is expressed as follows [28],

$$\hat{h}_{SR_i} = \rho h_{SR_i} + \sqrt{1 - \rho^2} \varepsilon \tag{4}$$

where ε is a circular symmetric complex Gaussian (CSCG) random variable with mean zero and variance λ_{SR} i.e., $\varepsilon \sim \mathcal{CN}(0, \lambda_{SR})$ and $\rho \in [0, 1]$.

As shown in Fig. 2, simultaneous wireless information and power transfer (SWIPT) concept has been used for data transmission in this relaying system. The S node transmits signal to a group of relays and all the relays harvest energy from the radio frequency signal of S node during αT time. The remaining $(1 - \alpha)T$ time is equally used as $\frac{(1-\alpha)T}{2}$ time for receiving information from S node and $\frac{(1-\alpha)T}{2}$ time for transmission of information to destination nodes.

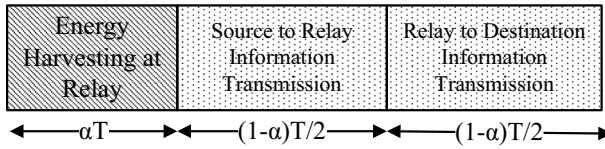


Fig. 2 Time frame for a NOMA network at relay

3 Performance Analysis

In the system model, the source transmits $(\sqrt{a_1 P_S} S_1 + \sqrt{a_2 P_S} S_2)$ to the group of relays during first phase of time slot where S_1, S_2 are information symbols, P_S is the transmit power of source, a_1, a_2 are power allocation co-efficients, $a_1 + a_2 = 1, a_1 > a_2$ and let $\theta = \frac{a_1}{a_2}$.

The received signal at the i th relays during first phase is given by,

$$y_{R_i} = (\sqrt{a_1 P_S} S_1 + \sqrt{a_2 P_S} S_2) \hat{h}_{SR_i} + n_{R_i} \tag{5}$$

where, n_{SR_i} is received AWGN noise with zero mean and N_0 variance at i th relay.

The relay decodes the symbol S_1 by treating the symbol by S_2 as noise and cancels it using a successive interference noise cancellation (SIC) to extract S_2 symbol from (5). Thus the received SINRs for symbol S_1 and S_2 at i th relay are expressed as

$$\begin{aligned} \gamma_{R_i}^I &= \frac{a_1 P_S |\hat{h}_{SR_i}|^2}{a_2 P_S |\hat{h}_{SR_i}|^2 + N_0} \\ \gamma_{R_i}^{II} &= \frac{a_2 P_S |\hat{h}_{SR_i}|^2}{N_0} \end{aligned} \tag{6}$$

As shown in Fig. 2, the received signal at i th relay is first sent to the energy harvesting circuit for a duration of αT time and rest $(1-\alpha)\frac{T}{2}$ time is utilized for receiving information and $(1-\alpha)\frac{T}{2}$ for transmitting information following a TSR scheme of energy harvesting [8]. The harvested energy extracted from RF signal during αT is given by,

$$E_i = \eta P_S |\hat{h}_{SR_i}|^2 \frac{\alpha T}{2} \tag{7}$$

Correspondingly, transmit power of i th relay, based on harvested energy is given by,

$$P_{R_i} = \eta P_S |\hat{h}_{SR_i}|^2 \frac{2\alpha}{1-\alpha} = \alpha' P_S |\hat{h}_{SR_i}|^2 \tag{8}$$

where, $\alpha' = \eta \frac{2\alpha}{1-\alpha}$.

We assume that the selected relay is able to decode faithfully and SIC is also perfect. In the second phase of time, the selected relay sends the decoded message to the destination. Hence the received signal at the destination D_1 and D_2 are given by,

$$\begin{aligned}
 y_{D_1} &= \sqrt{P_{R_i}} h_{R_i D_1} (\sqrt{a_1} S_1 + \sqrt{a_2} S_2) + n_{D_1} \\
 y_{D_2} &= \sqrt{P_{R_i}} h_{R_i D_2} (\sqrt{a_1} S_1 + \sqrt{a_2} S_2) + n_{D_2}
 \end{aligned}
 \tag{9}$$

where, P_{R_i} is the transmit power of i th relay, n_{D_j} is AWGN with zero mean and N_0 variance, received at j th destination, $h_{R_i D_j}$ is the channel co-efficient between i th relay and j th destination where $j = 1, 2$.

The D_1 decodes the symbol S_1 received from selected relays (R_{i^*}) considering S_2 as noise. So, the SINR at D_1 is given by,

$$\gamma_{D_1}^I = \frac{a_1 P_{R_{i^*}} |h_{R_{i^*} D_1}|^2}{a_2 P_{R_{i^*}} |h_{R_{i^*} D_1}|^2 + N_0}
 \tag{10}$$

To obtain S_2 symbol at D_2 using SIC method, D_2 needs to decode the symbol S_1 first. Hence, the SINR for S_1 at D_2 is given by,

$$\gamma_{D_2}^I = \frac{a_1 P_{R_{i^*}} |h_{R_{i^*} D_2}|^2}{a_2 P_{R_{i^*}} |h_{R_{i^*} D_2}|^2 + N_0}
 \tag{11}$$

After cancelling S_1 symbol using SIC method, D_2 decodes desire signal at S_2 . The SINR for S_2 at D_2 is given by

$$\gamma_{D_2}^{II} = a_2 P_{R_{i^*}} |h_{R_{i^*} D_2}|^2
 \tag{12}$$

In this case, the symbol S_1 is faithfully decoded and completely removed before decoding S_2 at D_2 .

3.1 Partial Relay Selection

In this scheme, the relay having the strongest channel gain between source to relay is chosen out of all channels from the group of all available relays. The selected selected is given as,

$$i^* = \arg \max_{i \in \{1, 2, \dots, N_r\}} \hat{h}_{SR_i}
 \tag{13}$$

Hence, the channel gain between source and selected relay is given by,

$$\hat{h}_{SR_{i^*}} = \max_{i \in \{1, 2, \dots, N_r\}} \hat{h}_{SR_i}
 \tag{14}$$

The PDF expression of $h_{SR_{i^*}}$ following (14) is given as [28],

$$\begin{aligned}
 f_{|h_{SR_{i^*}}|^2}(x) &= N_r \left[F_{|h_{SR_i}|^2}(x) \right]^{N_r-1} f_{|h_{SR_i}|^2}(x) \\
 &= \sum_{n=1}^{N_r} \binom{N_r}{n} (-1)^{n-1} \frac{n}{\lambda_{SR}} e^{-\frac{nx}{\lambda_{SR}}}
 \end{aligned}
 \tag{15}$$

The joint PDF of two correlated fading channel is given by [28]

$$f_{|\hat{h}_{SR_i}|^2, |h_{SR_i}|^2}(x, y) = \frac{1}{(1 - \rho^2)\lambda_{SR}^2} e^{-\frac{x+y}{(1-\rho^2)\lambda_{SR}}} I_0\left(\frac{2\rho\sqrt{xy}}{(1 - \rho^2)\lambda_{SR}}\right) \tag{16}$$

The conditional probability of correlated fading channels is given by,

$$f_{|\hat{h}_{SR_i}|^2 | h_{SR_i}|^2}(x|y) = \frac{f_{|\hat{h}_{SR_i}|^2, |h_{SR_i}|^2}(x, y)}{f_{|h_{SR_i}|^2}(y)} \tag{17}$$

The PDF of $|\hat{h}_{SR_i}|^2$ is expressed by marginal distribution and is given as

$$f_{|\hat{h}_{SR_i}|^2}(x) = \int_0^\infty f_{|\hat{h}_{SR_i}|^2, |h_{SR_i}|^2}(x|y) f_{|h_{SR_i}|^2}(y) dy \tag{18}$$

Putting (1), (15), (16), (17) in (18), we get

$$\begin{aligned} f_{|\hat{h}_{SR_i}|^2}(x) &= \int_0^\infty \frac{1}{(1 - \rho^2)\lambda_{SR}^2} e^{-\frac{x+y}{(1-\rho^2)\lambda_{SR}}} I_0\left(\frac{2\rho\sqrt{xy}}{(1 - \rho^2)\lambda_{SR}}\right) \sum_{n=1}^{N_r} \binom{N_r}{n} (-1)^{n-1} \frac{n}{\lambda_{SR}} e^{-\frac{ny}{\lambda_{SR}}} dy \\ &= \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^{n-1} n}{\lambda_{SR} [1 + (n + 1)(1 - \rho^2)]} e^{-\frac{nx}{\lambda_{SR} [1 + (n+1)(1-\rho^2)]}} \end{aligned} \tag{19}$$

where, $I_0(x)$ is modified Bessel function of first kind of zeroth order, ρ is the correlation coefficient and $\int_0^\infty e^{-\alpha x} I_0(2\sqrt{\beta x}) dx = \frac{1}{\alpha} e^{\frac{\beta}{\alpha}}$, [30, §6.614.3].

Outage probability of S_1 : An outage occurs for S_1 when the end to end SINR from source node to first destination node is below a predefined threshold. The outage expression for S_1 is given by [18], as

$$\mathcal{O}_{PRS}^I = F_{e^{2e}}^I(\gamma_{th}) = \mathcal{P}_r\{\min(\gamma_{R_i}^I, \gamma_{D_1}^I, \gamma_{D_2}^I) < \gamma_{th}\} \tag{20}$$

Proposition 1 *The closed form expression for outage probability for symbol S_1 in PRS scheme*

$$\begin{aligned} \mathcal{O}_{PRS}^I &= 1 - \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^n n}{\lambda_{SR} [1 + (n - 1)(1 - \rho^2)]} \\ &\quad \underbrace{\int_\phi^\infty e^{-\left(\frac{\phi}{a' \lambda_{RD_1}} + \frac{\phi}{a' \lambda_{RD_2}}\right) \frac{1}{u}} - \frac{nu}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} du}_{A_1} \end{aligned} \tag{21}$$

where,

$$b_1 = \left(\frac{1}{\lambda_{RD_1}} + \frac{1}{\lambda_{RD_2}}\right) \frac{\phi}{\alpha'}$$

$$C = \frac{n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]}$$

$$A_1 = \frac{e^{-a_1 C}}{C} - b_1 \Gamma(0, a_1 C) + \sum_{k=0}^\infty \frac{(-1)^k b_1^k}{k!} \left[e^{-a_1 C} \sum_{l=1}^{k-1} \frac{(l-1)! (-C)^{k-l-1}}{(k-1)! a_1^l} - \frac{(-C)^{k-1}}{(k-1)!} E_i(-a_1 C) \right]$$

Proof Please refer to Appendix 1. □

Outage probability of S_2 : Similarly, an outage occurs for S_2 when the end to end SINR for S_1 from source to first destination or the end to end SINR for S_2 from source to second destination is below a predefined threshold. The outage probability for S_2 is given by,

$$\begin{aligned} \mathcal{O}_{PRS}^I &= \mathcal{P}_r[\min(\gamma_{e2e}^I, \gamma_{e2e}^II) < \gamma_{th}] \\ &= \mathcal{P}_r\left[\min\{\min(\gamma_{R_i^*}^I, \gamma_{D_1}^I, \gamma_{D_2}^I), \min(\gamma_{R_i^*}^II, \gamma_{D_2}^II)\} < \gamma_{th}\right] \\ &= 1 - \left[1 - F_{\gamma_{e2e}^I}(\gamma_{th})\right] \left[1 - F_{\gamma_{e2e}^II}(\gamma_{th})\right] \end{aligned} \tag{22}$$

Proposition 2 The closed form expression for outage probability for symbol S_2 in PRS scheme

$$\mathcal{O}_{PRS}^II = 1 - [1 - \mathcal{O}_{PRS}^I] \left[1 - F_{\gamma_{e2e}^II}(\gamma_{th})\right] \tag{23}$$

$$\begin{aligned} F_{\gamma_{e2e}^II}(\gamma_{th}) &= 1 - \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^n n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} \\ &\quad \underbrace{\int_{\psi}^{\infty} e^{-\frac{\psi}{\alpha' \lambda_{RD_2} u} - \frac{nu}{\lambda_{SR_i} [1+(n-1)(1-\rho^2)]}} du}_{A_2} \end{aligned} \tag{24}$$

where, $b_2 = \frac{\psi}{\alpha' \lambda_{RD_2}}$ $C = \frac{n}{\lambda_{SR_i} [1+(n-1)(1-\rho^2)]}$, $A_2 = \frac{e^{-a_2 C}}{C} - b_2 \Gamma(0, a_2 C) + \sum_{k=0}^{\infty} \frac{(-1)^k b_2^k}{k!}$
 $\left[e^{-a_2 C} \sum_{l=1}^{k-1} \frac{(l-1)! (-C)^{k-l-1}}{(k-1)! a_2^l} - \frac{(-C)^{k-1}}{(k-1)!} E_i(-a_2 C) \right]$.

Proof Please refer to Appendix 2. □

Let us assume that the ergodic capacity of source to i th relay link for S_1 symbol is $C_{SR_i}^I$ and the ergodic capacity of i th relay to destination links for S_1 symbol, $C_{R_i D_1}^I$ and $C_{R_i D_2}^I$. Similarly the ergodic capacity of source to i th relay link for S_2 symbol is $C_{SR_i}^II$ and the capacity of i th relay to destination link for S_2 symbol is $C_{R_i D_2}^II$. Using the SINR expressions of (6), (10), (11), and (12),

$$\begin{aligned} C_{SR_i}^I &= \mathbb{E}\{\log_2(1 + \gamma_{R_i}^I)\}, \\ C_{R_i D_1}^I &= \mathbb{E}\{\log_2(1 + \gamma_{D_1}^I)\}, \\ C_{R_i D_2}^I &= \mathbb{E}\{\log_2(1 + \gamma_{D_2}^I)\} \end{aligned} \tag{25}$$

And,

$$\begin{aligned} C_{SR_i}^II &= \mathbb{E}\{\log_2(1 + \gamma_{R_i}^II)\}, \\ C_{R_i D_2}^II &= \mathbb{E}\{\log_2(1 + \gamma_{D_2}^II)\} \end{aligned} \tag{26}$$

The ergodic capacity for S_1 and S_2 are given by [8]

$$\begin{aligned}
 C^I &= \min\{C_{SR_i}^I, C_{R_iD_1}^I, C_{R_iD_2}^I\}, \\
 C^{II} &= \min\{C_{SR_i}^{II}, C_{R_iD_2}^{II}\}
 \end{aligned}
 \tag{27}$$

For our model, effective communication time is $\frac{(1-\alpha)T}{2}$ for source to destination transmission. Hence, the throughput for S_1 and S_2 are given as

$$\tau^I = \frac{(1-\alpha)T/2}{T} C^I, \quad \tau^{II} = \frac{(1-\alpha)T/2}{T} C^{II}.
 \tag{28}$$

4 Results and Discussion

In this section, several simulation results on the performance of partial relay selection based energy harvesting network with NOMA access technology are presented following our formulation developed above. A Monte Carlo simulation is carried out to analyse the performance of the considered energy harvesting based NOMA network. The considered system parameters are as follow $\alpha = 0.3$, $\lambda_{SR_i} = \lambda_{R_iD_1} = \lambda_{R_iD_2} = 1$, $\eta = 0.8$ and $P_S = 20$ dBW.

The Fig. 3 shows outage probabilities with respect to power allocation factor ($\theta = \frac{a_1}{a_2}$) for different values target SINR (γ_{th}). We observe that the outage probability dramatically

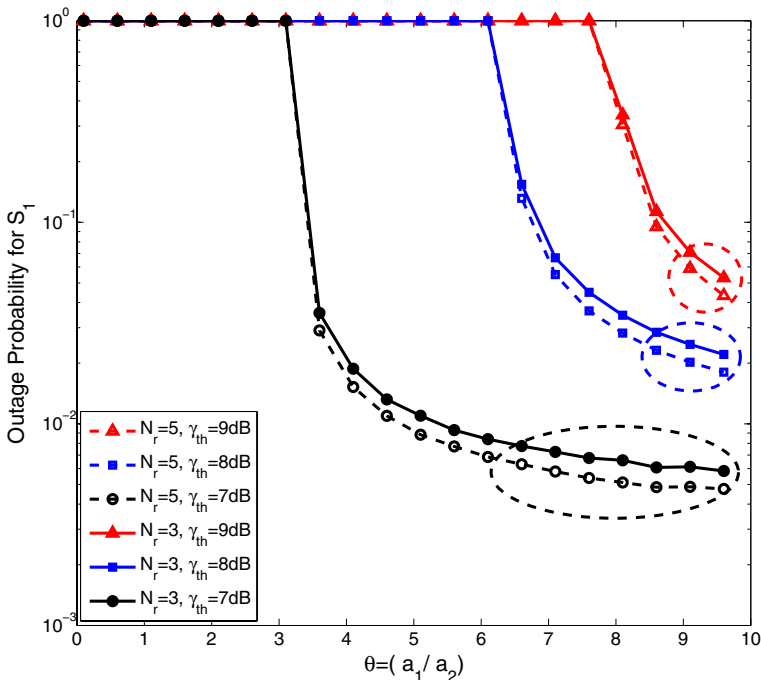


Fig. 3 Outage probability with respect to power allocation factor

decreases from value 1 with the value of θ . For lower value of θ , the value of a_1 is less than value of a_2 . Due to lower value of a_1 , signal strength of the desired signal may be less than the interference signal, causing high outage. However, at high value of $(\frac{a_1}{a_2})$, SINR may be higher, causing outage probability to decrease. It is observe that for higher value of target SINR, the outage probability curve is shifted to the right. It is observed that the outage improves for more number relays. We found a feasible region for a specific value of number of relays and target SINR for fairness of NOMA scheme. An increase in target SNR threshold for outage, requires an increase in power allocation factor so as to achieve a region for successful operation of NOMA.

Figure 4 shows the outage probabilities with respect to the target SINR threshold (γ_{th}) for both the symbols with different number of relays. With the increase of γ_{th} , the outage for both S_1 and S_2 increases rapidly. In the lower SINR region, the outage probability for S_1 and S_2 significantly differ from each other and for higher SINR region the outage probability for both the symbols are close to each others. The system performance is improved for both the symbols as the number of relay is increased. The outage probability for $N_r = 3$ and $N_r = 5$ are compared. Approximate closed form expression is following same nature of the curve.

Figure 5 shows the outage probabilities for S_1 and S_2 with respect to target SINR with different values of ρ . It is observed that our theoretical results agree well with our simulation results. The outage probabilities for both symbols increase with the target SINR as explained in Fig. 4. Here, outage probabilities for both the symbols are shown assuming perfect CSI ($\rho = 1$) and imperfect CSI ($\rho = 0.8$). When an imperfect CSI is considered, the source node does not have actual knowledge about channel condition between the source and the relay. In

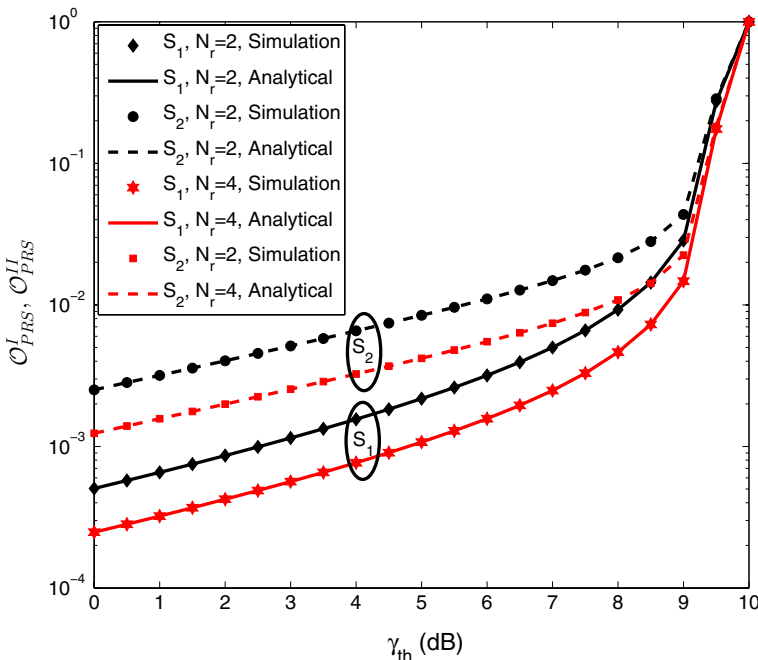


Fig. 4 Outage probability with respect to target SINR

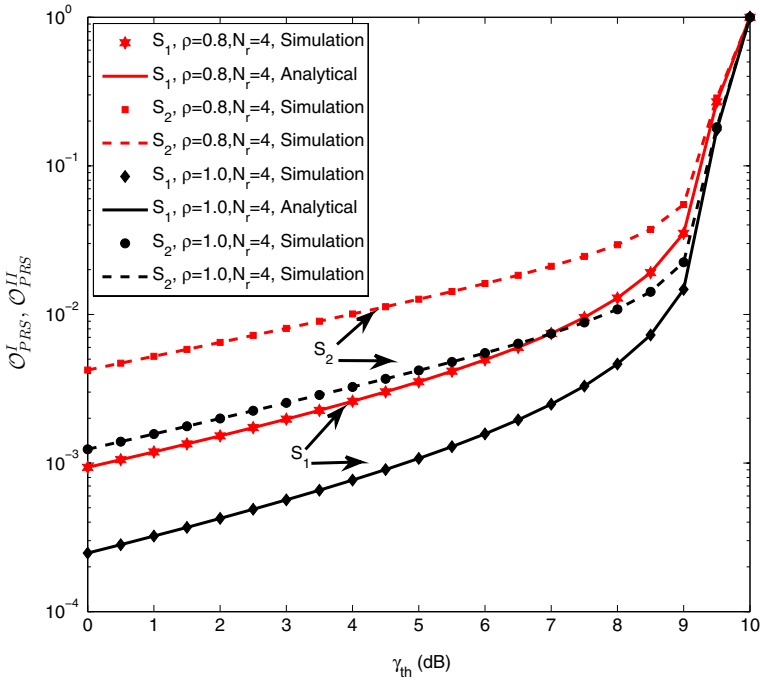


Fig. 5 Outage probability with respect to γ_{th}

presence of imperfect CSI, the channel gain between the source node and the selected relay as obtained on basis of maximizing channel gain, may not have the maximum channel gain in reality. This type of incorrectness may occur due to random variation of fading channel and channel estimation error. Due to the inaccurate selection of relay, lowered value of ρ which indicates higher level of imperfection, gives higher outage probability.

Figure 6, shows the throughput of secondary network with respect to energy harvesting time (α) for different number of relays and for different power allocation coefficient factor. As the value of α increases, the throughput of the secondary system increases first for lower value of α as harvested energy increases with harvesting duration. For a certain value of α , throughput attain a maximum value. Beyond the point, the throughput reduces for further increased value of α . It is observed that the nature of the throughput curve is concave, due to the fact that with increased value of α , the harvested energy and transmit power of relay increases which leads to higher SINR at destination and improve thereby tends to improve throughput. On the other side, the time fraction part reduces as α increases, which tends to reduce throughput. The throughput is improved for higher number of relays for both the symbols.

5 Conclusion

In this paper, we have analysed the impact of RF energy harvesting technology in NOMA based relay network. The PRS scheme is used to improve the system performance of NOMA based network. The system performance of the network is studied in terms of throughput and

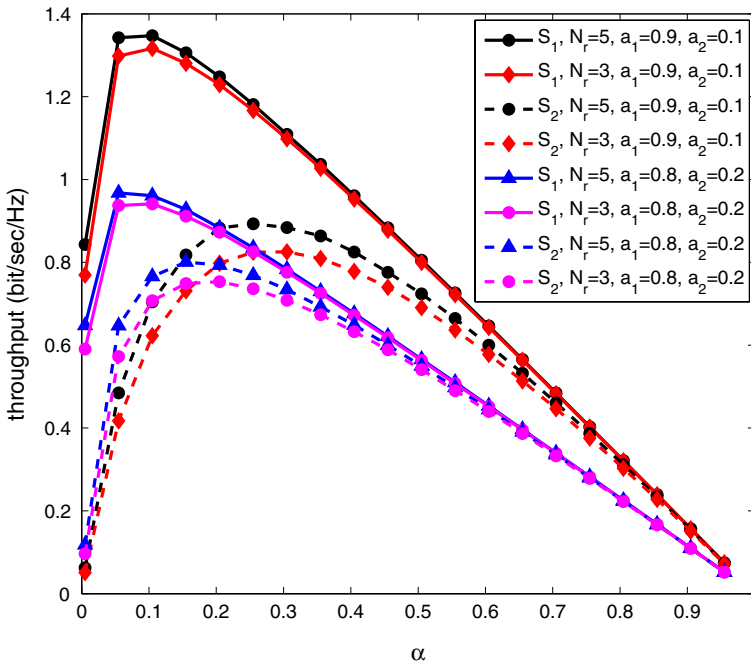


Fig. 6 Throughput of secondary network with respect to energy harvesting time

outage. We also find a feasible region of the values of power allocation factor where NOMA can be used successfully. The closed form expression for outage probabilities are derived for both the symbols considering the practical aspect of imperfect CSI in relay selection. It is observed that the perfect knowledge about the channel condition always improves the performance. The closed form expressions for outage probabilities for symbols are presented to verify our analysis.

Appendix 1: Proof of Proposition I in (21)

To calculate \mathcal{O}_{PRS}^I , we need to derive pdf of U and cdf of V, W.

From (19), we can rewrite the CDF of $|\hat{h}_{SR_n}|^2$, assuming $|\hat{h}_{SR_n}|^2 = U$,

$$f_{|\hat{h}_{SR_n}|^2}(x) = \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^{n-1} n}{\lambda_{SR} [1 + (n+1)(1-\rho^2)]} e^{-\frac{nx}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}} \tag{29}$$

From (2), we can rewrite the cdf of $|h_{RD_1}|^2$, assuming $|h_{RD_1}|^2 = V$,

$$F_V(v) = 1 - e^{-\frac{v}{\lambda_{RD_1}}} \tag{30}$$

From (3), we can rewrite the cdf of $|h_{RD_2}|^2 = W$, assuming $|h_{RD_2}|^2 = W$,

$$F_W(w) = 1 - e^{-\frac{w}{\lambda_{RD_2}}} \tag{31}$$

From (20), \mathcal{O}_{PRS}^I is given by,

$$\begin{aligned}
 \mathcal{O}_{PRS}^I &= F_{\gamma_{th}^I}(\gamma_{th}) = \mathcal{P}_r\{\min(\gamma_{R_r}^I, \gamma_{D_1}^I, \gamma_{D_2}^I) < \gamma_{th}\} \\
 &= P_r \left\{ \min \left(\frac{a_1 P_S |\widehat{h}_{SR_r}|^2}{a_2 P_S |\widehat{h}_{SR_r}|^2 + N_0}, \frac{a_1 P_{R_r} |h_{R_r, D_1}|^2}{a_2 P_{R_r} |h_{R_r, D_1}|^2 + N_0}, \frac{a_1 P_{R_r} |h_{R_r, D_2}|^2}{a_2 P_{R_r} |h_{R_r, D_2}|^2 + N_0} \right) < \gamma_{th} \right\} \\
 &= P_r \left\{ \min \left(\frac{a_1 P_S |\widehat{h}_{SR_r}|^2}{a_2 P_S |\widehat{h}_{SR_r}|^2 + N_0}, \frac{a_1 \alpha' P_S |\widehat{h}_{SR_r}|^2 |h_{R_r, D_1}|^2}{a_2 \alpha' P_S |\widehat{h}_{SR_r}|^2 |h_{R_r, D_1}|^2 + N_0}, \frac{a_1 \alpha' P_S |\widehat{h}_{SR_r}|^2 |h_{R_r, D_2}|^2}{a_2 \alpha' P_S |\widehat{h}_{SR_r}|^2 |h_{R_r, D_2}|^2 + N_0} \right) < \gamma_{th} \right\} \\
 &= 1 - P_r \left\{ \min \left(\frac{a_1 P_S U}{a_2 P_S U + N_0} \geq \gamma_{th}, \frac{a_1 \alpha' P_S UV}{a_2 \alpha' P_S UV + N_0} \geq \gamma_{th}, \frac{a_1 \alpha' P_S UW}{a_2 \alpha' P_S UW + N_0} \geq \gamma_{th} \right) \right\} \\
 &= 1 - \int_{\frac{\gamma_{th} N_0}{P_S(a_1 - a_2 \gamma_{th})}}^{\infty} \left\{ 1 - F_V \left(\frac{\gamma_{th} N_0}{\alpha' P_S u(a_1 - a_2 \gamma_{th})} \right) \right\} \left\{ 1 - F_W \left(\frac{\gamma_{th} N_0}{\alpha' P_S u(a_1 - a_2 \gamma_{th})} \right) \right\} f_U(u) du \\
 &= 1 - \int_{\phi}^{\infty} \left\{ 1 - F_V \left(\frac{\phi}{\alpha' u} \right) \right\} \left\{ 1 - F_W \left(\frac{\phi}{\alpha' u} \right) \right\} f_U(u) du \\
 &= 1 - \int_{\phi}^{\infty} e^{-\frac{\phi}{a' \lambda_{RD_1}} - \frac{\phi}{a' \lambda_{RD_2}}} \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^{n-1} n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} e^{-\frac{nu}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}} du \\
 &= 1 - \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^n n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} \underbrace{\int_{\phi}^{\infty} e^{-\left(\frac{\phi}{a' \lambda_{RD_1}} + \frac{\phi}{a' \lambda_{RD_2}}\right) u - \frac{nu}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}} du}_{A_1}
 \end{aligned} \tag{32}$$

where, $A_1 = \int_{\phi}^{\infty} e^{-\frac{b_1}{u} - Cu} du$ (for details please see Appendix 3), $b_1 = \left(\frac{1}{\lambda_{RD_1}} + \frac{1}{\lambda_{RD_2}}\right) \frac{\phi}{\alpha'}$, $C = \frac{n}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}$.

Appendix 2: Proof of Proposition II in (23)

From (22), \mathcal{O}_{PRS}^II is given by,

$$\begin{aligned}
 F_{\gamma_{2e}^{II}}(\gamma_{th}) &= \mathcal{P}_r\{\min(\gamma_{R_s}^{II}, \gamma_{D_2}^{II}) < \gamma_{th}\} \\
 &= P_r\left\{\min\left(\frac{a_2 P_S |\hat{h}_{SR_s}|^2}{N_0}, \frac{a_2 P_{R_s} |h_{R_s D_2}|^2}{N_0}\right) < \gamma_{th}\right\} \\
 &= P_r\left\{\min\left(\frac{a_2 P_S |\hat{h}_{SR_s}|^2}{N_0}, \frac{a_2 \alpha' P_S |\hat{h}_{SR_s}|^2 |h_{R_s D_2}|^2}{N_0}\right) < \gamma_{th}\right\} \\
 &= 1 - P_r\left\{\min\left(\frac{a_2 P_S U}{N_0} \geq \gamma_{th}, \frac{a_2 \alpha' P_S U W}{N_0} \geq \gamma_{th}\right)\right\} \\
 &= 1 - \int_{\frac{\gamma_{th} N_0}{P_S a_2}}^{\infty} \left\{1 - F_W\left(\frac{\gamma_{th} N_0}{\alpha' P_S a_2 u}\right)\right\} f_U(u) du \\
 &= 1 - \int_{\psi}^{\infty} e^{-\frac{\psi}{\alpha' u \lambda_{RD_2}}} \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^n n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} e^{-\frac{nu}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}} du \\
 &= 1 - \sum_{n=1}^{N_r} \binom{N_r}{n} \frac{(-1)^n n}{\lambda_{SR} [1 + (n-1)(1-\rho^2)]} \underbrace{\int_{\psi}^{\infty} e^{-\frac{\psi}{\alpha' \lambda_{RD_2} u} - \frac{nu}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}} du}_{A_2}
 \end{aligned} \tag{33}$$

where, $\psi = \frac{\gamma_{th} N_0}{P_S a_2}$, $A_2 = \int_{\psi}^{\infty} e^{-\frac{b_2}{u} - Cu} du$ (for details please see Appendix 3), $b_2 = \frac{\psi}{\alpha' \lambda_{RD_2}}$, $C = \frac{n}{\lambda_{SR} [1+(n-1)(1-\rho^2)]}$.

Appendix 3

In order to derive (32) and (33), let us consider A_j , where $j = 1, 2$

$$\begin{aligned}
 A_j &= \int_{a_j}^{\infty} e^{-\frac{b_j}{u} - Cu} du \quad \forall j \in [1, 2] \\
 &= \int_{a_j}^{\infty} e^{-Cu} \sum_{k=0}^{\infty} \frac{(-1)^k b_j^k}{k! u^k} du \\
 &= \sum_{k=0}^{\infty} \frac{(-1)^k b_j^k}{k!} \int_{a_j}^{\infty} \frac{e^{-Cu}}{u^k} du \\
 &= \int_{a_j}^{\infty} e^{-Cu} du + b_j \int_{a_j}^{\infty} \frac{e^{-Cu}}{u} du + \sum_{k=2}^{\infty} \frac{(-1)^k b_j^k}{k!} \int_{a_j}^{\infty} \frac{e^{-Cu}}{u^k} du \\
 &= \frac{e^{-a_j C}}{C} - b_j \Gamma(0, a_j C) + \sum_{k=0}^{\infty} \frac{(-1)^k b_j^k}{k!} \left[e^{-a_j C} \sum_{l=1}^{k-1} \frac{(l-1)! (-C)^{k-l-1}}{(k-1)! a_j^l} - \frac{(-C)^{k-1}}{(k-1)!} E_i(-a_j C) \right]
 \end{aligned} \tag{34}$$

where, $e^{-u\mu} \sum_{k=1}^{n-1} \frac{(k-1)!(-\mu)^{n-k-1}}{(n-1)!(u+\beta)^k} - \frac{(-\mu)^{k-1}}{(n-1)!} e^{\beta\mu} Ei[-(u+\beta)\mu]$, $n \geq 2$ [30, §3.353.1].

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Declarations

Conflict of interest The authors declare that they have no conflict of interest.

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