

Cluster Head Selection in Heterogeneous Wireless Sensor Network Using a New Evolutionary Algorithm

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Abstract

In wireless sensor network (WSN), limited energy resources with the nodes is a complex challenge as far as data routing, collecting and aggregating the data is concerned as all these processes are energy demanding. Network lifetime, stability period, and potential of the WSN are some of the parameters which are to be maximized subject to the constraints. The cluster head selection in the heterogeneous wireless sensor network has not been explored much and needs to be improved further to discover the potential of WSN in this area. In this study, optimal cluster head selection in heterogeneous wireless sensor network through Diversity-Driven Multi-Parent Evolutionary Algorithm with Adaptive Non-Uniform Mutation has been suggested. The efficacy of the proposed technique is tested on Classical Benchmark Functions, and obtained results are compared with the state of the art of algorithms. This algorithm is also validated on a heterogeneous wireless sensor network with cluster head selection as a multi-objective optimization problem. The residual energy of sensor node and distance travelled are to be optimized in order to minimize the ftness function. Simulation suggested that the proposed algorithm is found to be reliable and outperforms in terms of remaining energy of nodes, alive nodes versus round, dead nodes versus rounds, the lifespan of network, throughput, and stability period.

Keywords Evolutionary algorithm · Heterogeneous wireless sensor network · Cluster-head selection · Clustering · Fuzzy set theory

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1 Introduction

Wireless sensor network (WSN) is the set of teeny, battery-operated sensor nodes in the defned covered area. These sensor nodes have the responsibility to collect, process, and aggregates the data and then forward it to the processing center called a sink. There are many challenges associated with these networks, such as the data acquisition is accomplished in a remote or dense area, which can be inaccessible, limited energy resources, limited onboard processing and computing, and the distributed nature of WSNs. Various energy-aware routing protocols are present in the literature to address these issues $[1-3]$ $[1-3]$ $[1-3]$ A WSN has wide scope in many felds such as monitoring, tracking, and surveillance in the military $[4, 5]$ $[4, 5]$ $[4, 5]$ $[4, 5]$, natural calamity conditions $[6]$ $[6]$, health monitoring in biomedical field [[7,](#page-27-5) [8\]](#page-27-6), and in dangerous environment analysis and seismic sensing [[9,](#page-28-0) [10](#page-28-1)].

The deployment of sensor nodes is accomplished randomly or uniformly. On the basis of deployment WSN can be classifed into two classes: structured and unstructured. An unstructured WSN carries a heavy group of sensor nodes. The maintenance of the network is difficult in the unstructured network as it is a challenging task to manage, process, connection, and detection of failures due to a large number of sensor nodes. In the structured WSN, there are few sensor nodes, and deployment of these nodes are pre-planned, so it becomes easy to maintain the network. The lifespan of sensor nodes relies upon the residual energy in the nodes; hence it becomes vital to use the energyefficient resources in WSNs. The conventional routing protocols include direct-transmission (DT) $[11]$ $[11]$ and minimum-transmission energy (MTE) $[12]$ $[12]$, are not capable of distributing the energy load among nodes in the wireless sensor network. In the directtransmission, sensors send information directly to the sink, due to this fact, nodes having more distance from the sink would die frst. In the MTE nodes, follow the minimum distance path, where cost refects the transmission power expended. For this problem, the LEACH protocol was proposed in the literature. To enhance the lifetime of a network and to save energy, nodes are grouped and termed as clusters, and a cluster head is selected in each cluster. Remaining sensor nodes behave as cluster members. Each sensor node must relate to only one cluster. The cluster head collects the data from each cluster members and aggregates information and transmits the useful information to the base station (BS) or sink via single-hop or multi-hop communication. The clustering in WSN can be classifed in the following: centralized, distributed and hybridized clustering. Also, clustering can be achieved in equal or unequal manner. The equal clustering algorithms are LEACH, HEED etc. and unequal clustering algorithms are ULEACH, UHEED, EEUC, EDUC etc. the hot spot problem is associated with equal clustering due to which unbalanced energy consumption in clusters. The sensor network also categorized as homogeneous WSN and Heterogeneous WSN. If all sensor nodes have the same energy amount, this is called a homogeneous network. In contrast, if some percentage of sensor nodes contained extra energy than other nodes is called a heterogeneous network.

Diferent type of engineering optimization problems are tackled by numerous optimization algorithms available in the literature including slime mould algorithm (SMA) [[13\]](#page-28-4), salp swarm algorithm (SSA) and its variants [[14–](#page-28-5)[16](#page-28-6)], sine cosine algorithm (SCA) [[17–](#page-28-7)[19](#page-28-8)], Harris hawk optimizer [[20](#page-28-9), [21\]](#page-28-10), grey wolf optimizer (GWO) [[22–](#page-28-11)[24\]](#page-28-12), gravitational search algorithm (GSA) [[25\]](#page-28-13), Multi-verse optimizer (MVO) [\[26](#page-28-14)], whale optimization algorithm (WOA) $[27, 28]$ $[27, 28]$ $[27, 28]$ $[27, 28]$. The fuzzy theory is also investigated in the literature as in [\[29](#page-28-17), [30\]](#page-28-18).

In this paper, Diversity driven multi-parent evolutionary algorithm-based optimal cluster-head selection in the heterogeneous WSN is proposed. The paper is arranged as follows: the frst section described the background and brief introduction to the paper. The second section presents the literature review, and the third section highlights the contributions of the paper. In the fourth section, the proposed algorithm is detailed, and the next ffth section elaborates the problem formulation. The sixth section presents the results and discussion of the proposed work, presenting the competitive performance of the proposed algorithm. Finally, the seventh section concludes the paper.

2 Related Works

In this section, various cluster head selection and energy-efficient techniques are discussed, which are gives the motivation to design the proposed algorithm. The architecture of wireless sensor nodes with the cluster head and sink is given in Fig. [1](#page-2-0).

Various energy based routing protocols are explored in the literature such as low energy adaptive clustering hierarchy (LEACH) version of LEACH (VLEACH) [\[31\]](#page-29-0), LEACH-B protocol $[32]$ $[32]$ $[32]$, hybrid energy-efficient distributed (HEED) protocol $[33]$, adaptive multi clustering algorithm based on fuzzy logic (Adaptive MCFL) [\[34\]](#page-29-3), and Cluster chain weight metrics approach (CCWM) [[35](#page-29-4)]. The LEACH protocol guarantees that the energy load is well distributed dynamically chosen based on a priori optimal probability. The role of the cluster head is performed through each sensor node by rotating uniformly. Cluster-head.

In the literature, various optimization-based energy-aware routing protocols and cluster head selection techniques are also proposed described in Table [1](#page-3-0). The parameters considered and identifed problems are summarised in this section. Every method delivers new contributions to energy-efficient routing or cluster head selection.

Ghugar et al. proposed a protocol layer trust-based intrusion detection system (LB-IDS) [[44\]](#page-29-5) and physical layer trust-based intrusion detection system (PL-IDS) [\[45\]](#page-29-6) to provide security for wireless sensor network. A light weight trust management technique based on penalty and reward policy has been proposed by Sahoo et al. to detect malicious, selfsh and compromised nodes [[46\]](#page-29-7). Bhoi et al. proposed a density-based

Fig. 1 The Wireless sensor node architecture with cluster heads and sink

clustering method to identify the faults in WSNs [[47](#page-29-16)], a software defned network based fault detection in WSN [[48\]](#page-29-17) and a geometric constraint based range free localization scheme for WSNs [[49](#page-29-18)]. A fault detection method for heterogeneous WSN is proposed by Swain et al. by incorporating three phases namely; clustering phase, fault detection phase and fault classifcation phase [[50](#page-29-19)].

3 Contribution of the Paper

The signifcant contributions of the paper are talked about as follows.

- (1) *Proposed new optimization technique* A diversity driven multi-parent evolutionary algorithm is proposed in this paper, which furthers the process of searching the search space by sensing the diversity of the population to avoid local optimum.
- (2) *Optimized cluster head selection for the heterogeneous network* The proposed algorithm is modifed for the application on heterogeneous wireless sensor node network to choose cluster head in order to enhance the life of the network.
- (3) *Use of fuzzy set theory to formulate ftness function and uneven clustering* Fuzzy set theory is used in decision making to choose a solution efectively out of the available non-inferior solutions. Uneven clustering is also performed using the fuzzy theory.

Here a ftness function is designed to evaluate cost function for the selection of cluster head. In this paper, the residual energy of sensor nodes and the distance between nodes and sink is considered in the creation of the objective function. Also, to approve the proposed optimization algorithm, the wireless sensor network is simulated. Also, the presentation of the calculation is widely dissected with diferent points of view, such as alive nodes, residual energy of nodes and cluster head. The efficacy of the optimization algorithm is tested on benchmark functions, which include unimodal, multi-modal, separable, and non-separable characteristics.

4 Proposed Algorithm

This section is covering the detailed steps of operation performed. The proposed algorithm is named as Diversity-driven multi-parent evolutionary algorithm (DDMPEA) with adaptive non-uniform mutation (ANUM) [[51\]](#page-29-20). In this algorithm, the multi-parent selection strategy is adopted for crossover operation to generate new offspring. Some defned percentages of individuals are selected randomly from the initial population, ensuring that parents are chosen once in an iteration. For mutation, Adaptive non-uniform mutation (ANUM) is applied conditionally based on a probability index calculated from the ftness variance of the population, which signifes the actual aggregation of the population in the search space defned by the problem objective. This mutation helps in diverting the population from local minima as sensed by the search space aggregation. The steps for the proposed algorithm are illustrated in detail as follows:

4.1 Initialization of Population

The initial *NP* numbers of members are randomly generated within the search space using uniform distribution using the following equation

$$
x_{ij} = x_j^{\min} + r_{ij} \left(x_j^{\max} - x_j^{\min} \right); \quad (i = 1, 2, \dots, NP; j = 1, 2, \dots, D)
$$
 (1)

where r_{ij} the uniform random number for i^{th} member of the population and j^{th} dimension of the variable, *NP* is the size of the population, and *D* is the dimension of the search space. x_j^{min} and x_j^{max} are the minimum and maximum of j^{th} dimension of the control variable.

4.2 Opposition‑Based Learning

The optimization algorithms initially randomly chose the members, and to obtain the optimal solution quality of these members is improved. The computational time is calculated using the distance and initial guesses obtained through an optimum solution. By utilizing the opposite solution of initial guesses simultaneously, it can be possible to enhance the search process to obtain better solutions. The initial position, either from the uniformly random distribution or its opposite guess, is chosen. The initial position and its opposite are being used to compute the objective function. Based on this, a decision has been taken for solutions to be considered primarily, which has the capability to accelerate the convergence. The same method can be used not only to initial positions but also continues to each position in the current population [[30](#page-28-18)]. The oppositional learning in population is initialized as

$$
x_{i+NPj}^t = x_j^{\min} + x_j^{\max} - x_{ij}^t (i = 1, 2, ..., NP; j = 1, 2, ..., D)
$$
 (2)

4.3 Multi Parent DE Crossover

In the multi-parent crossover, three parents are selected randomly for crossover to generate ofspring. A pool of mating parents is created by selecting the best P% of the total population. Out of this pool three members x_{r1} , x_{r2} and x_{r3} are randomly chosen for the crossover operation and create an ofspring as per the following equation

$$
O_{ij}^t = x_{r1,j}^t + \alpha_{ij} \left(x_{r2,j}^t - x_{r3,j}^t \right); \quad \left(i = 1, 2, \dots, \frac{1}{3} \cdot NP \cdot \frac{P}{100}; \quad j = 1, 2, \dots, D \right) \tag{3}
$$

where α_{ij} is a weighting factor and is a random number from a normal distribution with a mean of 0.7 and a variance of 0.1. *t* represents the index for the current generation. It is taken care that once a member is selected for the crossover is not selected again in the same iteration. The following equation is used to fix the off-limit offspring.

$$
O'_{ij} = \begin{cases} \frac{x_j^{min} + O'_{ij}}{2}, & \text{if } O'_{i,j} < X_j^{min} \\ \frac{x_j^{max} + O'_{ij}}{2}, & \text{if } O'_{i,j} > X_j^{max} \end{cases}
$$
(4)

After the multi-parent crossover operation, ftness value is evaluated from newly created ofspring by solving the objective defnition of the problem at hand [[52](#page-30-0)].

4.4 Adaptive Non‑uniform Mutation Strategy

The mutation is an operator used to maintain diversity from one generation to next. Fitness variance of the population is used as a signal of the diminishing diversity of the population. Whenever an algorithm tends to stick in a local minimum, the ftness variance of the population tends to become zero. This condition is used to trigger the adaptive non-uniform mutation to perturb the population from being stuck somewhere in a local optimum. Hence, the proposed algorithm kicks out the population from premature convergence situation by using an adaptive non-uniform mutation operator. The adaptive non-uniform mutation is performed according to Eq. ([5](#page-6-0)).

$$
Om_{ij}^t = O_{ij}^t (1 + 0.5\eta); \quad \left(i = 1, 2, \dots, \frac{1}{3} \cdot NP \cdot \frac{P}{100}; \quad j = 1, 2, \dots, D\right) \tag{5}
$$

where η stands for the weighting factor from a normal distribution with a value of a mean as 0 and a variance of 1[[53](#page-30-1)]. Om_{ij}^t is a mutated version of offspring O_{ij}^t . This mutation is carried out if $r_i(0, 1) < P_m$, where $r_i(0, 1)$ is a uniform random number between 0 and 1 for ith offspring. P_m is the mutation probability and is defined as

$$
P_m = \begin{cases} \frac{\exp(-h)}{5.0}, & \sigma^2 < \sigma_1\\ 0, & \sigma^2 \ge \sigma_1 \end{cases}
$$
 (6)

where σ_1 is the threshold value of variance based on the search space range of the search variables as

$$
\sigma_1 = \left(x_j^{max} - x_j^{min}\right) \frac{1}{100} \tag{7}
$$

 σ^2 is the fitness variance of the population. The degree of diversity, *h*, in the *t*^{*th*} iteration is defned as

$$
h = \frac{max_{1 \le i \le NP} \left(x_{i,j}^t - x_{best2}^t \right)}{max_i \left\{ max_{1 \le i \le NP} \left\{ x_{i,j}^t - x_{best2}^t \right\} \right\}}
$$
(8)

where x_{best}^t is a position of the variable in the search space corresponding to the best fitness value and d_2 is L_2 – *norm* of *d*.

The parameter *h* is the normalized distance of the member of the population from the optimum point, not necessarily the global optimum. The value of *h* signifes the diversity in the population. When the value of *h* is high, particles are more dispersed in space and requiring the small value of mutation probability. When the value of *h* is small, particles are congregated to assemble in the near proximity of a probable local optimum and hence to require signifcant mutation probability. The essential requirement is to improve the solutions in terms of enhanced ability of exploration is fulflled by mutation strategy. When the algorithm stuck at local minima, an individual's position and its interaction with the rest of the population are relatively estimated by employing the concept of the ftness variance.

Algorithm-1: Pseudocode of the proposed method for optimization problems

- Initialize initial population x_{ij} having NP members using Eq. (1)
- By using opposition based learning get NP members using Eq. (2)
- Evaluate Objective function, $f(x_{ii}) \forall (i = 1, 2, ..., 2NP)$ \bullet
- Select best NP members out of 2NP
- Select member, $xbest(j)$, with best function value and designate as gbest
- For $IT = 1$: maxIT
	- \circ Sort according to $f(x_{ij})$ and select best P% of population to create mating pool
	- **For** $i = 1: \frac{1}{3}$ NP $\frac{p}{100}$
		- Randomly select three members, x_{r1} , x_{r2} and x_{r3} out of mating pool
		- Perform crossover using Eq. (3) to get offsprings O_{ii}^t
		- Fix the off limit of f springs, O_{ij}^t , using Eq. (4)
	- \circ EndFor
	- \circ Evaluate Objective function, $f(O_{ii}^t)$
	- \circ Calculate the fitness variance of the population using Eq. (9)
	- \circ Calculate degree of diversity, h, using Eq. (8)
	- \circ Calculate P_m using eq. (6)
	- o $If(r(0,1) < P_m)$
		- Mutate the Offsprings, O_{ii}^t , to get Om_{ii}^t using Eq. (5)
	- \circ EndIf
	- \circ Evaluate Objective function, $f(0m_{ii}^t)$
	- \circ Find oppositionally learned population Opp^t_i and Oppm^t_i of O^t_i and Om^t_iusing Eq. (2)
	- \circ Evaluate Objective function $f(O_{ii}^t)$ and $f(Om_{ii}^t)$
	- \circ Combine main population, O_{ij}^t , Om_{ij}^t , Opp_{ij}^t and Oppm $_{ij}^t$ to create population pool
	- \circ Sort members of this population pool and select best NP members to replace the main population
	- \circ Update the value of xbest(j) and gbest
- **EndFor**

The ftness variance, which is used as an indicator of a stuck population, is calculated using Eq. (9) .

$$
\sigma^2 = \sum_{i=1}^{NP} \left[\frac{f_i - f_{avg}}{f} \right]^2 \tag{9}
$$

where f_{avg} is the average of fitness values of population, f is the returning factor and f_i is the ftness of *i th* individual. The returning factor is used to control the ftness variance of particles. The value of returning factor is calculated as

$$
f = \begin{cases} \max\left\{ \left|f_i - f_{avg}\right| \right\}, & \left\{ \left|f_i - f_{avg}\right| \right\} < 1\\ 1, & \text{otherwise} \end{cases} \tag{10}
$$

This ftness variance hints about the degree of the congregation of the population. The smaller the value of ftness variance, the nearer the particles are assembling at a point in the search space; otherwise, they are randomly dispersed in the search space [[54](#page-30-2)].

A pool is created involving the present population at time *t*, newly created ofspring from crossover and the mutated ofspring when the following steps are performed. This population from this pool is arranged according to their cost evaluations. Finally, the best *NP* members are selected from this pool to substitute the individuals of the present population. The gradual procedure is elaborated in Algorithm-1. The fow chart of proposed algorithm is given in Fig. [2](#page-8-0).

Fig. 2 Flow Chart of Diversity driven multi-parent evolutionary algorithm with adaptive non-uniform mutation

5 Problem Formulation for Cluster‑Head Selection in Heterogeneous Wireless Sensor Networks

In this section, the formulation of the problem for heterogeneous wireless sensor networks is discussed in which a portion of the nodes has an additional energy source initially. The scenario in which a population percentage of sensor nodes carries additional energy resources compared to other sensor nodes in diferent proportions is considered. In this structure, the total number of nodes, *n*, are divided into three categories; advanced nodes, intermediate nodes and normal nodes expressed by N_{ADV} , N_{INT} and N_{NRM} respectively.

$$
N_{ADV} = n \times m \tag{11}
$$

$$
N_{INT} = n \times m_0 \tag{12}
$$

$$
N_{NRM} = n \times (1 - m - m_0) \tag{13}
$$

where m_0 and m are the fractions of intermediate and advanced nodes, respectively. The energy of the advanced nodes and intermediate nodes are α and β times higher in energy as compared to normal nodes, respectively [[55](#page-30-3)]. The energy of normal, intermediated and advanced nodes is as follows

$$
E_{ADV} = E_0 \times (1 + \alpha) \times n \times m \tag{14}
$$

$$
E_{INT} = E_0 \times (1 + \beta) \times n \times m_0 \tag{15}
$$

$$
E_{NRM} = E_0 \times \left(1 - m - m_0\right) \times n \tag{16}
$$

$$
E_T = E_{ADV} + E_{INT} + E_{NRM}
$$
\n⁽¹⁷⁾

$$
E_T = E_0 \times (1 + \alpha) \times n \times m + E_0 \times (1 + \beta) \times n \times m_0 + E_0 \times (1 - m - m_0) \times n \quad (18)
$$

$$
E_T = n \times E_0 \times \left(1 + \beta \times m_0 + m \times \alpha\right) \tag{19}
$$

where the total energy of the network is denoted by E_T and E_0 represents the initial energy of the sensor node.

The problem of cluster head selection in a heterogeneous wireless sensor network is created as a multi-objective optimization problem. The two objectives which are considered are residual energy of the node and the distances between cluster heads and base station and cluster head and other nodes. The objectives considered are conficting in nature in the sense that when distance objective is decreasing, the residual energy of the nodes increases as with lesser distances consumed energy decreases. Search space is searched for non-inferior solutions by employing the proposed algorithm DDMPEA-ANUM. Fuzzy set theory is used for decision making to fnd the best solution.

5.1 System Energy Dissipation Model

The calculation of energy dissipation for data transmission in WSN is carried out by comparing the distance travelled like the distance between the transmitter and the receiver, and the critical value $d_0 = \sqrt{\frac{E_{efs}}{E_{m}}}$ $\frac{E_{gas}}{E_{amp}}$. In transmission of each packet energy loss occurs which follows a free-space and multi-path fading model. A model for radio transmission and reception is shown in Fig. [3.](#page-10-0)

The energy required in the transmission of *k*-bit data at the distance *d*, is given by $E_{di}(l, d)$ and calculated as

$$
E_{dis}(k,d) = k \times E_{elec} + k \times E_{efs} \times d^2 \ \forall d \le d_0
$$
\n⁽²⁰⁾

$$
E_{dis}(k,d) = k \times E_{elec} + k \times E_{amp} \times d^4 \, \forall d > d_0 \tag{21}
$$

where E_{efs} is for free space energy model, E_{amp} is an energy consumed in the power amplifier and E_{elec} represents the electronic energy of the circuit given as

Fig. 3 Radio energy dissipation model

$$
E_{elec} = E_{TX} + E_{DA} \tag{22}
$$

where E_{TX} is transmitter circuit's energy and E_{DA} is energy required in data aggregation. *d* is the distance between the sender node and the receiver node. *k* represents the packet size of the data transmitted. The energy consumed during the reception of data per bit is given by

$$
E_{dis}(l) = E_{elec} \times k \tag{23}
$$

Hence the total energy consumed by cluster head nodes is given by

$$
E_C^{t_r}(i) = N_{CH} \times \left(E_{dis}(k) + E_{elec} \right) \tag{24}
$$

and the total energy dissipated by other nodes is given by

$$
E_C^{t_r}(i) = E_{dis}(k, d)
$$
\n(25)

5.2 Residual Energy of the NODE

The energy estimation of each node is revise subsequently to sending or getting *k*. bytes of information. This procedure is rehashed until each node is said to be a dead node. A node is said to be dead node when the leftover energy gets negative or zero. The residual energy of the node in the current round is considered as the frst objective for evaluating the optimum cluster. The cluster head consumes more energy as compared to other sensor nodes as it has the responsibility of transmitting, receiving, aggregating, and forwarding the data. After every round of communication the cluster head decreases its energy more rapidly than the other nodes. Therefore, the re-establishment of cluster heads in each round on the basis of the residual energy of a node becomes obligatory. The residual energy of the node is represented mathematically as

$$
E_R^{t+1}(i) = E_R^{t}(i) - E_C^{t}(i); \quad (i = 1, 2, \dots, n)
$$
\n(26)

where E_R^t is the residual energy of *i*th node after t_r number of rounds and E_C^t is the energy consumed in the t_r^{th} round. It is clear that for each type of heterogeneous nodes, the value of $E_R^{t_r}$ will be different according to the type of node. For the first round $(t_r = 1)$, $E_R^{t_r}$ would be E_{ADV} , E_{INT} and E_{NRM} for advanced, intermediate, and normal nodes, respectively. It is obvious that the node with the higher value of energy wins the selection of a node to be cluster head.

5.3 Distance Between Node and Sink

Any communication that takes place between nodes and cluster head or between nodes and sink consumes some energy according to the role performed by the node. Higher the distance between the nodes while communicating; higher energy will be required and viceversa. The distance between sensor nodes to sink is calculated as

$$
D_i = \sqrt{(x_{BS} - x_i)^2 + (y_{BS} - y_i)^2}; \quad (i = 1, 2, ..., n)
$$
 (27)

This is the second ftness objective for the multi-objective problem at hand. The distance between sensor nodes and cluster heads is calculated as

$$
D_{ij} = \sqrt{(x_j - x_i)^2 + (y_j - y_i)^2}; \quad (i = 1, 2, ..., n; \quad j = 1, 2, ..., N_{CH})
$$
 (28)

where N_{CH} are the number of cluster heads specified.

5.4 Decision Making

In the problem at hand, two objectives are considered, which are of conficting nature as residual energy is to be maximized, and the distances between the cluster heads and base station are bound to be reduced. Hence, to fnd the best-compromised solution, the fuzzy set theory is employed. In general, the decision-maker's assessment is of an imprecise type, and it is worth thinking that the DM might have blurred or imprecise objectives for each objective feature. The Fuzzy Sets are described as membership functions by equations. These functions refect a membership degree in some fuzzy sets by using values from 0 to 1. The membership function is defined by assuming $\mu(F_i^k)$ is a decreasing and continuous function that is strictly monotonous. In fuzzy set theory membership values are calculated for both fitness parameters; residual energy of each node (considered as F_i^1) and distance between sink and nodes (considered as F_i^2) as given below

$$
\mu\left(F_i^k\right) = \begin{cases}\n1; & F_i^k \le F_k^{min} \\
\frac{F_k^{max} - F_i^k}{F_k^{max} - F_i^{min}}; & F_k^{min} < F_i^k < F_k^{max} \ (i = 1, 2, \dots, n; k = 1, 2) \\
0; & F_i^k \ge F_k^{max}\n\end{cases} \tag{29}
$$

The satisfaction level of F_i^k objective of non-inferior solution arranged in range of [0,1], is refected by the value of membership function. The accomplishment of *k* non dominated solutions $[56]$ $[56]$ $[56]$ given below

$$
\mu_D^i = \frac{\left[\sum_{k=1}^2 \mu(F_i^k)\right]}{\left[\sum_{i=1}^n \sum_{k=1}^2 \mu(F_i^k)\right]}
$$
(30)

The solution corresponding to the maximum membership μ_D^i chosen as the best solution. Hence the problem statement becomes

$$
Max\{\mu_D^i : i = 1, 2, ..., n\}
$$

subject to $x_j^{min} < x_{ij} < x_j^{max}$ (31)

In the proposed work, the clusters are re-elected after each round, and as a result, the load is very much conveyed and adjusted among the nodes of the network. After cluster head selection, uneven clustering is accomplished using fuzzy theory based on the distance between nodes and sink. The working procedure for optimum clustering is given in Algorithm-2.

Algorithm-2: Pseudocode of the proposed method for clustering

For $i = 1:NP$

- \circ Compute the residual energy of all nodes after each round using Eq. (26)
- \circ Calculate the distance between cluster head and all sensor nodes
- \circ Evaluate the membership function value for residual energy matrix

$$
\mu(F_i^1) = \begin{cases}\n1 & ; E_h^r(i) \le E_h^{min} \\
\frac{E_h^{max} - E_h^{tr}(i)}{E_h^{max} - E_h^{min}} & ; E_h^{min} < E_h^{tr}(i) < E_h^{max} \\
0 & ; E_h^{tr}(i) \ge E_h^{max}\n\end{cases}
$$

 \circ Evaluate the membership function value for distance objective

$$
\mu(F_i^2) = \begin{cases}\n1 & \text{; } D_i \le D^{min} \\
\frac{D^{max} - D_i}{D^{max} - D^{min}} & \text{; } D^{min} < D_i < D^{max} \\
0 & \text{; } D_i \ge D^{max}\n\end{cases}
$$

- **EndFor** \bullet
- Find out the accomplishment of the each non $-$ dominated solution using Eq. (29)
- On the basis of highest cardial ranking priority choose the μ_b^i using Eq. (30) \bullet
- \bullet Choose the cluster heads according to maximum value of μ_D^i
- Evaluate distance of each node from each cluster head using Eq. (28) \bullet
- Evaluate membership function of each node for each cluster head

$$
\mu(D_{ij}) = \begin{cases}\n1 & ; D_{ij} \le D^{min} \\
\frac{D^{max} - D_{ij}}{D^{max} - D^{min}} & ; D^{min} < D_{ij} < D^{max} \\
0 & ; D_{ij} \ge D^{max}\n\end{cases}
$$

Assign the members for respective cluster heads on the basis of highest cardial priority ranking

5.5 Implementation

The proposed protocol works in two stages, namely, setup stage and steady stage (Fig. [4](#page-13-0)). In this step, the wireless sensor network is formulated by the uniformly random deployment of heterogenous energy sensor nodes termed as advanced, intermediate, and normal nodes at the start. From the second iteration onwards, the locations of these nodes are modifed employing the proposed algorithm in the crossover and mutation steps. Then, the decision maker chooses the confguration to be updated to further the process of the algorithm. The base station or sink is placed in the center of the formulated network. After the network formulation, cluster heads are selected, and nodes are assigned to their cluster heads on the basis of the distance between sensor nodes and the sink and the residual energy of nodes. Cluster head selection operation is performed by employing the proposed

Fig. 4 Flow chart of DDMPEA with ANUM for CH selection and working of proposed protocol

optimization technique. The parameters utilized in the formulation of ftness function are residual energy of each node and distance between nodes and sink.

The second stage, that is, the steady-state stage, indicates the initialization of data transmission. It is run for some specifed number of rounds in every iteration. For communication to take place, the threshold value of the distance is compared with the distance between sensor nodes and the sink. This helps to make the decision that communication occurs via single hop or multiple hops. When data is received by the cluster head, it collects, processed the data and forwards it to the sink. Also, the energy of each node is analysed if it is equal or less than zero if it happens so, the node is fagged as the dead node. This dead node does not participate in the process further after it is fagged as so.

As mentioned in Eq. [\(9\)](#page-7-0) of the proposed algorithm, the ftness variance is calculated using the objective function value of each member. But in the case of the problem at hand, there is only one objective value collectively for the whole NP population, i.e., the number of live nodes after a certain specifed number of data transmission rounds executed. Hence, this parameter is molded according to the problem at hand to the location variance of sensor nodes. The advantage of this modifcation is that whenever nodes tend to aggregate at a particular location, the mutation is performed. The two-dimensional spatial variance of the sensor node locations is calculated as follows

$$
\sigma^2 = \frac{1}{n} \sum_{i=1}^{n} \sqrt{(x_i - \bar{x})^2 + (y_i - \bar{y})^2}
$$
 (32)

where (\bar{x}, \bar{y}) is the mean of all the sensor node locations. The value of mutation probability is modifed accordingly as

$$
P_m = \begin{cases} \frac{\exp(-\sigma^2)}{5.0}, & \sigma^2 < \sigma_1\\ 0, & \sigma^2 \ge \sigma_1 \end{cases}
$$
 (33)

The whole working process of DDMPEA with ANUM applied in this work is described in Algorithm-3 as follows.

Algorithm-3: Pseudocode of the proposed method for optimum cluster head selection

- Initialize initial population x_{ij} having NP members of sensor nodes using Eqs. (1)
- For $l = 1$: t_r
	- \circ Evaluate $\mu_{\rm p}^i$ using Eqs. (26 30) corresponding to present configuration
	- \circ Select cluster heads and assign members employing Algorithm 2
	- \circ Data transmission takes place (steady state phase)
	- \circ Update residual energies using Eq. (26)
- \bullet EndFor
- Save the present configuration and sum of residual energies of all the nodes as gbest
- For $IT = 1$: $maxIT$
	- **For** $i = 1: \frac{1}{3}$ NP $\frac{P}{100}$
		- Randomly select three members, x_{r1} , x_{r2} and x_{r3} out of mating pool
		- Perform crossover using Eq. (3) to get offspring O_{ii}^t
		- *Fix the off limit off spring,* O_{ij}^t *, using Eq.* (4)
	- \circ EndFor
	- \circ Calculate the fitness variance of the population using Eq. (32)
	- \circ Calculate P_m using eq. (33)
	- o $If(r(0,1) > P_m)$
		- Mutate the Offspring, O_{ij}^t , to get Om_{ij}^t using Eq. (4)
	- \circ EndIf
	- \circ Find oppositionally learned population Opp $_{ii}^t$ and Oppm $_{ii}^t$ of O_{ii}^t and Om $_{ii}^t$ using Eq. (2)
	- \circ For $l = 1$: t_r
		- Evaluate μ^i using Eqs. (26 30) corresponding to present configuration
		- Select cluster heads and assign members employing Algorithm -2
		- Data transmission takes place (steady state phase)
		- \blacksquare Update residual energies using Eq. (26).
		- If energy of any node becomes zero or negative, flag the node as dead node
	- \circ EndFor
	- \circ Combine O_{ij}^t , Om_{ij}^t , Opp_{ij}^t and Opp m_{ij}^t to create population pool
	- \circ Evaluate total sum of residual energies of all nodes $(O_{ii}^t, Om_{ii}^t, Opp_{ii}^t$ and $Oppm_{ii}^t)$
	- \circ If total sum of residual energies is greater than gbest, update the nodes with O_{ij}^t , Om_{ij}^t, Opp_{ij}^t and $Oppm_{ij}^t$
- **EndFor** \bullet

6 Results and Discussion

The efficacy of DDMPEA with ANUM is tested in two different scenarios. First, on the basic benchmark optimization problems and second on the cluster head selection in case of a heterogeneous wireless sensor network. The simulation is carried out in MATLAB-2015b

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platform running under the windows-10 operating system with the Intel Core i3 processor @ 1.70 GHz frequency with 4 GB RAM memory. The obtained results are tabulated and discussed in this section.

6.1 Basic Benchmark Functions

This section discusses the performance of the proposed algorithm tested on benchmark functions. The functions $f_1 - f_7$ are unimodal while functions $f_8 - f_{23}$ are multi-modal [[18](#page-28-19)]. The results are obtained and tabulated in terms of mean, median, standard deviation, and worst values in Table [2.](#page-16-0) The population size is taken as 50, and maximum iterations are set to 1000 for comparison. The other optimization algorithms considered for comparison are SCA [[17](#page-28-7)], PSO[\[57\]](#page-30-5), TLBO[[58](#page-30-6)], MFO[[59](#page-30-7)], DE[[60](#page-30-8)], WOA [[27](#page-28-15)], GWO[\[22\]](#page-28-11), CSA[[61](#page-30-9)] and SCA-PSO[[18](#page-28-19)] as available in the literature.

For functions f_1 , f_2 , f_3 and f_4 , the results obtained from the proposed algorithm are competitive to the results obtained from other algorithms. Functions f_8 to f_{13} are multi-modal, separable and non-separable, high dimensional benchmark functions which are usually used to benchmark the ability of an algorithm to tackle with the premature convergence problem. From the results obtained, it is observed that functions f_9 , f_{10} and f_{11} gives competitive results as compared to the results from other algorithms in terms of mean, median, standard deviation and worst value. Hence, it can be inferred that the proposed algorithm works efectively to solve the premature convergence problem. This observation shows the better exploration ability of the proposed algorithm. SCA perform better for function f_8 . For functions f_{12} and f_{13} classical DE performs better compared to results from other algorithms.

Functions f_{14} to f_{23} are the multi-modal low dimensional functions. It can be observed from Table [1](#page-3-0), the proposed algorithm works effectively for these functions too. Functions f_{16} , f_{18} , f_{19} , f_{20} , f_{22} and f_{23} gives optimum global values in terms of mean, median, standard deviation and worst value. The convergence behaviour of benchmark functions and their box plots are given in Figs. [5](#page-20-0) and [6.](#page-21-0), respectively. From the box plots, it is observed that proposed algorithm gives results with minimum standard deviation in case of almost all considered functions.

6.1.1 Statistical Analysis of Benchmark Functions

The comparison of results obtained by the proposed algorithm with the results available in the literature from other algorithms is tabulated based on the mean, standard deviation, median, and worst values from 30 independent runs. For the comparison of results from each run, a nonparametric test, the Wilcoxon rank-sum test is conducted with a 5% signifcance level, and obtained *p* values are shown in Table [3](#page-22-0). The null hypothesis is rejected if the p-values are less than 0.05, which represents that the better objective functions values are obtained by the proposed algorithm in each case are statistically signifcant and have not occurred by chance. For this analysis, the best value is considered of which has the smallest mean value. In case if there exists more than one mean value of compared algorithms, then algorithm which have the smallest standard deviation is selected. Not applicable (N/A) is written for the best algorithm because the best algorithm cannot be compared with itself.

From Table [3,](#page-22-0) it is clear that DDMPEA with ANUM obtained best results for function f_5 , f_6 , f_9 − f_{11} , f_{16} − f_{19} and f_{21} − f_{23} . For function f_8 CSA gives the best values. For

Fig. 5 Convergence behaviour for the results of DDMPEA with ANUM algorithm

Fig. 6 Box plots of the results of proposed algorithm for function f_1-f_6 , f_9-f_{12} , $f_{16}-f_{20}$

remaining functions, the proposed algorithm gives competitive results as compared to other optimization algorithms.

6.2 Heterogeneous Wireless Sensor Network

Validating the proposed algorithm on benchmark problems, the application of heterogeneous wireless sensor networks is investigated. The parameters considered for simulation of heterogeneous WSN are given in Table [4](#page-23-0). The heterogeneous energy sensor nodes are deployed randomly in area 100×100 m², and the sink is located in the middle of the network.

Table 4 Parameters considered for Heterogeneous wireless sensor network

The 0.5 J is taken as initial energy of normal nodes, and the intermediate nodes have energy two times of normal nodes, while advanced nodes have three times more energy of normal nodes. The number of intermediate nodes is ten percent of total sensor nodes in the considered network, and the number of advanced nodes is 20 percent of total nodes, and energy fraction is given in Table [4.](#page-23-0) The assumptions considered for the proposed protocol.

A few assumptions are considered about the qualities for a portion of the sensor nodes enlisted bellow.

- In the network, all sensor nodes are stationary after the deployment, including the sink. They have enough capacity for forwarding and receiving data packets from other nodes and sink, covering their sensing range. Also, the coverage area is taken in meter square.
- The deployed nodes are heterogeneous in terms of their initial energy, i.e., some of the nodes have more energy resources compared to other nodes. Hence three types of nodes are considered advanced, intermediate, and normal nodes.
- The sink is viewed as having an infinite energy source.
- Once the sensor nodes are depleting their energies, they cannot be recharged again. Also, the sensor nodes are not aware of their location.
- The different components, for example, signal blurring, happen during transmission and gathering, impedance, and sign misfortune because of diferent natural elements, and physical deterrents are not thought of.

6.2.1 Performance Measures

The analysis of the proposed protocol is carried out using measures such as network lifetime, residual energy of the network, stability period, and the number of data packets transmitted to the sink (network throughput).

The lifetime of a network The number of alive nodes in the network represents the lifetime of the network, i.e. the active nodes having sufficient energy for transmission of data packets.

residual energy of the network As the data transmission takes place in the wireless sensor network, the sum of residual energy of sensor nodes decreases gradually due to the fact that nodes squander their energy during the data transmission with other sensor nodes and the sink. This performance measure is obtained by adding residual energy of all nodes after

each round of data transmission. *Stability Period* The stability period of WSN is defned in terms of numbers of the round until the first sensor node consumes its total energy in the process of data transmission. This frst dead sensor node can be advanced, intermediate, or normal.

Network throughput The number of data packets satisfyingly transmitted over time is termed as network throughput. This performance metric plays a vital role as it ensures the reliability of the network to obtain the best network performance.

6.2.2 Simulation Analysis

The simulation analysis of the proposed protocol is carried out on the basis of performance measures discussed in Sect. [6.2.1.](#page-23-1) The working process is described in Sect. [5.5](#page-12-0) for a heterogeneous wireless sensor network. The obtained results of the proposed protocol are compared with other optimization algorithms based protocols such as GAOC, GADA_LEACH, TEDRP, and DCH_GA from genetic algorithm based paper [\[55\]](#page-30-3).

Network Lifetime From Fig. [7.](#page-24-0), it is evident that in the proposed protocol, sensor nodes die after 21,000 rounds whereas GAOC, GADA-LEACH, and DCH-GA cover 19,648, 17,113, 18,498 and 14,729 rounds respectively. The improvement in network lifetime by proposed protocols as compared to other protocols, in terms of percentage is 6.88, 22.71, 13.52, and 42.57% compared to GAOC, GADA-LEACH, TEDRP, and DCH-GA respectively. The alive nodes vs. rounds given in Fig. [8.](#page-25-0)

The dead nodes vs. rounds given in Fig. [9](#page-25-1). In the proposed work, half sensor node dead (HND) after 10,627 rounds of data transmission in the wireless sensor network. The HND for GAOC, GADA-LEACH, TEDRP, and DCH-GA are 10,674, 7722, 9086, and 7111, respectively.

The residual energy of the network The occurrence of the data transmission process in heterogeneous wireless sensor networks costs the energy loss of sensor nodes. The

Fig. 7 Comparison of DDMPEA with ANUM with other protocols in terms of stability period, HND and network lifetime

Fig. 8 Alive nodes vs. rounds for proposed algorithm

Fig. 9 Dead nodes vs rounds for proposed protocol

remaining energy in WSN is observed after each round of data transmission. Figure [10](#page-26-0). presents energy consumption per round in the data communication process. The fgure is given for 100 sensor nodes, which are used to cover the 100×100 m² area. The total energy for this confguration is 70 J.

Stability period From Figs. [7.](#page-24-0) and [8.](#page-25-0), it is clear that the proposed algorithm shows improvement in the stability period of WSN in percentage as 0.77, 33.41, 33.71 and 62.69% as compared to GAOC, GADA-LEACH, TEDRP and DCH-GA respectively. This improvement ensures reliable data transmission in the network.

Network throughput This parameter is related to the number of data packets transmitted successfully to the base station. The proposed algorithm transmits 1,680,000 data bits to the sink from the cluster heads. This performance metric plays a signifcant role in acquiring a higher network lifespan.

Fig. 10 Residual energy of heterogeneous WSN for 100 sensor nodes

6.2.3 Summarized Analysis of Proposed Protocol

From the obtained results, it is clear that the proposed method not only enhances the reliability but also improves the stability period of the network. The comparative analysis and percentage improvement in performance metrics are shown in Tables [5](#page-26-1) and [6](#page-27-8).

7 Conclusion

In a given study, a new technique named 'Diversity driven multi-parent evolutionary algorithm with adaptive non-uniform mutation' has been suggested for cluster head selection in heterogeneous wireless sensor networks. Residual energy and distance travelled are two objective functions that are to be optimized simultaneously in order to minimize the overall ftness function. Fuzzy set theory is utilized to evaluate membership function for both objective functions, i.e. residual energy and distance travelled. The best value of a membership function is selected for cluster head selection based on higher cardinal ranking.

Value of advanced fractions and quantity fractions of the node $(m = 0.1, m_0 = 0.2, \beta = 1, \alpha = 2)$				
Protocols	No. of data sink	Stability Period of HWSN (rounds)	Number of Half node dead (rounds)	Network lifetime of HWSN (rounds)
DDMPEA with ANUM-OC		5870	10,627	21,000
GAOC		5825	10,674	19,648
GADA-LEACH		4400	7722	17,113
TEDRP		4390	9086	18,498
DCH-GA		3608	7111	14,720

Table 5 Comparison of proposed protocol with other protocols in terms of performance metrics

The clustering of nodes is achieved utilizing fuzzy theory based on the remaining energy of nodes and distance between sensor nodes and base station. The proposed algorithm proved to be benefcial as it shows the improvement in the stability period, alive nodes, and network lifetime in comparison with other optimization-based methods. The percentage improvement in the stability period is 0.77, 62.91, 33.71, and 33.41 percentage as compared to GAOC, DCH-GA, TEDRP, and GADA-LEACH, respectively. In the same manner, the percentage improvement in network lifetime is 6.88, 42.51, 13.52, and 22.71% as compared to these protocols. The efficacy of the proposed algorithm is also validated on benchmark functions, and improvement is observed in terms of mean, worst, median, best, and standard deviation.

For future work, we are going to investigate the following issues: First, the proposed DDMPEA with ANUM can be applied to other challenging real-world problems like signal processing and fault diagnosis. Second, it could be interesting to incorporate DDMPEA with ANUM with some efective mechanisms of other metaheuristics, such as SCA, and SSA.

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