

Cognitive Bidirectional Buffer-Aided DF Relay Networks: Protocol design and Power Allocation

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Abstract In this paper, we consider bidirectional decode-and-forward buffer-aided relay selection and transmission power allocation schemes for underlay cognitive radio relay networks. First, a low complexity delay-constrained bidirectional relaying protocol is proposed. The proposed protocol maximizes the single-hop normalized sum of the primary network (PN) and secondary network (SN) rates and controls the maximum packet delay caused by physical layer buffering at relays. Second, optimal transmission power expressions that maximize the single-hop normalized sum rate are derived for each possible transmission mode. Simulation results are provided to evaluate the performance of the proposed relaying protocol and transmission power allocation scheme and compare their performance with that of the optimal scenario. Additionally, the impacts of several system parameters including maximum buffer size, interference threshold, maximum packet delay and number of relays on the network performance are also investigated. The results reveal that the proposed bidirectional relaying protocol and antenna transmission power allocation schemes introduce a satisfactory performance with much lower complexity compared to the optimal relay selection and power allocation schemes and provide an application dependent delay-controlling mechanism. It is also found that the network performance degrades as the delay constraint is more restricted until it matches the performance of conventional unbuffered relaying with delay constraints of three. Additionally, findings show that using buffer-aided relaying significantly enhances the SN performance while slightly weakens the performance of the PN.

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1 Introduction

Currently, wireless communication witnesses a noticeable and continuous development to fulfil the growing demands of network users for high-speed multimedia services with a satisfactory quality of service (QoS). Power and frequency are considered as the most precious resources in wireless communication networks and they are subjected to strict laws to control their allocation and usage among service providers. Several techniques were proposed in the literature to enhance the efficiency of power and frequency usage such as cognitive radio (CR), bidirectional relaying, buffer-aided relaying, and power allocation schemes [1].

In underlay CR networks, the secondary user (SU) and the primary user (PU) can access the same spectrum simultaneously as long as the secondary network (SN) interference on the primary network (PN) does not exceed a certain interference threshold [2]. However, since the PN and SN transmit using the same spectrum simultaneously, both the PU and SU will suffer from an interfering signals. Most of the researches in the literature focused only on enhancing the SN overall transmission rate and neglected the PN rate and the PN interference on the SN in their studies [3, 4]. Recently, it was shown that it is more efficient and practical that an operator splits its terminal users to PUs and SUs such that a joint power scheduling could be achieved using a central processing unit [5].

Beside the CR networks, bidirectional relaying represents an efficient solution for twoway wireless cooperative networks that use half-duplex relay nodes (RNs). In such networks, the two-way communication is conducted between terminal users without the need for high complexity full-duplex RNs.

To further enhance the performance of cooperative relay networks, physical layer buffers were proposed to be used in such network layouts. The physical layer buffering with a considerably large buffer size is found to be able to double the diversity order of the network and, additionally, add a significant coding gain [6]. Unlink the conventional unbuffered relaying schemes, data transmission in buffer-aided relaying networks is not restricted to two consecutive time slots for conducting a successful end-to-end (e2e) packet transmission. Instead, information packets could be stored at a relays buffer of a certain maximum size for an arbitrary number of time slots until the best channel conditions on the relay-destination link are met [7, 8]. With this buffering property, the power allocation design problem can be divided into two separated problems: one at the source-relay link and other at the relay-destination link. This way of modelling noticeably reduces the complexity of the target optimized functions as was shown in [9].

The time division broadcast (TDBC) and multiple access broadcast (MABC) protocols are among the common bidirectional relaying protocols that use half-duplex RNs in controlling the data flow between transceivers in conventional bidirectional decode-andforward (DF) relaying networks [9]. In TDBC protocol, data transmission takes place in three time slots. In the first time slot, user one sends its message signal to relay. In the second time slot, user 2 sends its message signal to relay. While in the third time slot, the relay combines the received decoded signals, modulates the resultant, and then broadcasts the signal to both users [10]. On the other hand, the MABC protocol reduces the number of time slots to two only. In the first time slot, user 1 and user 2 use some multiple-access scheme and send their messages to relay node. While in the second time slot, the relay node combines the received decoded signals, modulates the resultant, and then broadcasts the signal to both users [11]. These fixed pre-established relaying protocols do not fully utilize the best channel conditions as at a certain time slot, the selected link is not necessarily the best link in the network.

In [12], the authors proposed a bidirectional relaying protocol for buffer-aided DF relay networks. They derived the decision function for adaptive link selection with infinite buffer size. Infinite buffer size introduces serious delay and may result in a message loss. To overcome infinite buffer size imperfections, an optimal adaptive mode selection scheme with fixed transmission power and limited buffer size was proposed in [13]. To further enhance the network performance, a new bidirectional relaying scheme which considers the problem of adaptive link selection and power optimization was proposed in [14]. In [15], the authors proposed a bidirectional relaying protocol that does not only consider the instantaneous channel-state-information (CSI) of all possible links for adaptive mode selection but also takes the states of the queues at the buffers, i.e., the number of stored packets in each buffer into consideration.

All of the previous bidirectional buffer-aided relaying protocols were derived using complex optimization problems and only considered the case of single-relay scheme within the network. Beside that, the average packet delay introduced by the physical layer buffering was not controlled in the previous protocols which may cause message lost especially for relatively large buffer sizes. Additionally, ignoring the rate of the PN during the selection of the best transmission mode and optimization of transmission power of the SN represents a major drawback in the previous schemes proposed in the literature. However, by dividing the terminal users of an operator company into cognitive and noncognitive users and applying the appropriate global transmission power scheduling scheme similar to the one proposed in this work, the utilization of the spectrum assigned to the operator company could be enhanced by a factor of two as will be shown in this work. This motivated us to propose a sub-optimal bidirectional relaying protocol that avoids the complexity of deriving an optimal adaptive mode selection scheme at each time slot and considers the two-way interference between the PN and SN during the mode selection and power optimization operations.

To the best knowledge of authors, delay-constrained bidirectional buffer-aided DF relaying protocols that maximizes the normalized sum of PN and SN rate have not been presented and investigated yet. In addition, optimal closed-form transmission power expressions that allocate a total power budget among PN and SN such that the normalized sum rate for each possible transmission mode is maximized have not been presented before. The contributions of this work can be summarized in the following points

- A delay-constrained low complexity bidirectional relaying protocol for cognitive buffer-aided DF relay network is proposed and investigated.
- Optimal/sub-optimal antenna transmission power allocation problems that allocate a total power budget among the PN and SN to maximize the normalized sum rate per time slot are derived.
- The impact of maximum buffer size, number of relays, delay constraint and interference threshold on the overall network performance is evaluated under different scenarios.

The rest of this paper is organized as follows. Section 2 describes the system and channel models. Problem formulation is described in Sect. 3. Section 4 proposes and describes the bidirectional relaying protocol. Optimal/sub-optimal antenna transmission power

allocation schemes are described in Sect. 5. Section 6 provides some simulation results and performance evaluation. Section 7 provides the conclusions of this work.

2 System and Channel Models

In this work, we consider a CR network that consists of a PN with one PU source (S_p) and one PU destination (\mathcal{U}_p) , and a SN with a pair of SU transceiver nodes \mathcal{U}_1 and \mathcal{U}_2 , and N cognitive bidirectional half-duplex DF relays $[\mathcal{R}_i]_{i=1}^N$. Each relay is provided with two buffers to store the received information packets from \mathcal{U}_1 and \mathcal{U}_2 and denoted by $B_1(\mathcal{R}_i)$ and $B_2(\mathcal{R}_i)$, respectively. $B_m(\mathcal{R}_i)$ has a maximum size of L_m , where L_m is the maximum number of information packets received from terminal $\mathcal{U}_m, m = 1, 2$ that can be stored before an overflow occurs. We denote the instantaneous number of stored information packets at the *m*th buffer of the *i*th relay by $\Psi_m(\mathcal{R}_i)$, where $0 \leq \Psi_m(\mathcal{R}_i) \leq L_m$. Figure 1 shows the system model used in this paper. As illustrated in this Figure, the solid and dotted lines represent the desired and interfering signals in each possible transmission mode, respectively.

Without loss of generality, the direct $U_1 - U_2$ link is not considered in this model as it is assumed to be suffering from sever fading and shadowing effects. In addition, the PUs and



Fig. 1 System model for cognitive radio network with buffer-aided DF half duplex bidirectional relays

SUs are assumed to access the spectrum simultaneously. In order to maintain a certain QoS level to the PU, the average received interference power due to SUs should not exceed a certain interference threshold denoted by I_{th} [11]. We define P_T as the total available power budget assigned for the whole network at any arbitrary time slot and it is defined as $P_T = P_{S_p} + P_{\mathcal{U}_m}$, where (m = 1 or 2) or $P_T = P_{S_p} + P_{\mathcal{R}_i}$ if the $\mathcal{U}_m - \mathcal{R}_i$ or $\mathcal{R}_i - \mathcal{U}_m$ link is selected, respectively.

All channel coefficients are assumed to be independent and identically distributed (i.i.d.) slowly varying Rayleigh fading random variables such that they remain unchanged during one time slot. We denote $h_{x,y}$ as the channel coefficient between terminals x and y. Channel gains of bidirectional links are assumed to be symmetric which means, at a certain time instant t, channel coefficient in $\mathcal{U}_m - \mathcal{R}_i$ link is identical to that in $\mathcal{R}_i - \mathcal{U}_m$ inverse link.¹ Each receiving terminal is assumed to experience an additive white Gaussian noise (AWGN) at its input with constant variance N_o . Transmitted signals from $\mathcal{U}_1, \mathcal{U}_2, \mathcal{R}_i$, and \mathcal{S}_p are denoted by $\mathcal{X}_{\mathcal{U}_1}, \mathcal{X}_{\mathcal{U}_2}, \mathcal{R}_{\mathcal{R}_i}$, and $\mathcal{X}_{\mathcal{S}_p}$ respectively. To avoid the need for heavy and fast back-haul links, a central processing unit that performs relay selection and power allocation operations is assumed to exist in the network with a full CSI acknowledgement².

3 Problem Formulation

In buffer-aided half-duplex bidirectional relaying scheme, there are mainly five possible transmission modes occur in the SN at any arbitrary time slot denoted by M_i , i = 1, ..., 5 as shown in Fig. 2. The PN is assumed to be transmitting information packets at every time slot during network operation. The received signals at the *ith* relay at modes M_1 and M_2 are, respectively given by

$$y_{\mathcal{R}_{i}}^{(\mathcal{M}_{1})} = \underbrace{h_{\mathcal{U}_{1},\mathcal{R}_{i}}X_{\mathcal{U}_{1}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{S}_{p},\mathcal{R}_{i}}X_{\mathcal{S}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{R}_{i}}}_{\text{AWGN}},$$
(1)

$$y_{\mathcal{R}_{i}}^{(\mathcal{M}_{2})} = \underbrace{h_{\mathcal{U}_{2},\mathcal{R}_{i}}X_{\mathcal{U}_{2}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{S}_{p},\mathcal{R}_{i}}X_{\mathcal{S}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{R}_{i}}}_{\text{AWGN}},$$
(2)

where $X_{\mathcal{U}_1}$ and $X_{\mathcal{U}_2}$ are the transmitted message signals from \mathcal{U}_1 and \mathcal{U}_2 with powers $P_{\mathcal{U}_1}$ and $P_{\mathcal{U}_1}$, respectively, $n_{\mathcal{R}_i}$ is the AWGN at the input of the *i*th relay with power N_o . The information packet received from \mathcal{U}_1 and \mathcal{U}_2 are stored at buffer $B_1(\mathcal{R}_i)$ and $B_2(\mathcal{R}_i)$, respectively. At a certain time slot, when the relay is chosen to transmit to user terminals \mathcal{U}_2 and \mathcal{U}_2 , the received signals at \mathcal{U}_1 and \mathcal{U}_2 are respectively given by

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$$y_{\mathcal{U}_{1}}^{(\mathcal{M}_{3},\mathcal{M}_{5})} = \underbrace{h_{\mathcal{U}_{1},\mathcal{R}_{i}}X_{\mathcal{R}_{i}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{S}_{p},\mathcal{U}_{1}}X_{\mathcal{S}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{U}_{1}}}_{\text{AWGN}},$$
(3)

¹ Most of the previous works in the literature used the symmetric channel gain assumption to simplify the analysis without any effect on the final results and conclusions of the analysis [12].

² The channel information of the primary and secondary users can be possessed by exchanging of channel information with a band manager or central unit [18].



Fig. 2 Possible transmission modes

$$y_{\mathcal{U}_2}^{(\mathcal{M}_4,\mathcal{M}_5)} = \underbrace{h_{\mathcal{U}_2,\mathcal{R}_i} X_{\mathcal{R}_i}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{S}_p,\mathcal{U}_2} X_{\mathcal{S}_p}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{U}_2}}_{\text{AWGN}}.$$
(4)

Mode \mathcal{M}_5 is called a broadcasting mode since \mathcal{R}_i combines two signals from $B_1(\mathcal{R}_i)$ and $B_2(\mathcal{R}_i)$ and broadcasts the resultant to \mathcal{U}_1 and \mathcal{U}_2 with transmission power $P_{\mathcal{R}_i}$. Each terminal receiver is assumed to have some self-interference cancellation strategy. The received signal at \mathcal{U}_p depends on the selected transmission mode of the SN and is given by

$$y_{\mathcal{D}_{p}}^{(\mathcal{M}_{1})} = \underbrace{h_{\mathcal{S}_{p},\mathcal{D}_{p}}X_{\mathcal{S}_{p}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{U}_{1},\mathcal{D}_{p}}X_{\mathcal{U}_{1},\mathcal{D}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{D}_{p}}}_{\text{AWGN}},$$
(5a)

$$y_{\mathcal{D}_{p}}^{(\mathcal{M}_{2})} = \underbrace{h_{\mathcal{S}_{p},\mathcal{D}_{p}}X_{\mathcal{S}_{p}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{U}_{2},\mathcal{D}_{p}}X_{\mathcal{U}_{2},\mathcal{D}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{D}_{p}}}_{\text{AWGN}},$$
(5b)

$$y_{\mathcal{D}_{p}}^{(\mathcal{M}_{3},\mathcal{M}_{4},\mathcal{M}_{5})} = \underbrace{h_{\mathcal{S}_{p},\mathcal{D}_{p}}X_{\mathcal{S}_{p}}}_{\text{DesiredSignal}} + \underbrace{h_{\mathcal{R}_{i},\mathcal{D}_{p}}X_{\mathcal{R}_{i},\mathcal{D}_{p}}}_{\text{InterferingSignal}} + \underbrace{n_{\mathcal{D}_{p}}}_{\text{AWGN}}.$$
(5c)

The normalized rates at modes \mathcal{M}_1 to \mathcal{M}_5 are, respectively given by

$$\mathbf{R}_{\mathcal{U}_{1},\mathcal{R}_{i}}^{(\mathcal{M}_{1})} = \log_{2} \left(1 + \frac{\left| h_{\mathcal{U}_{1},\mathcal{R}_{i}} \right|^{2} P_{\mathcal{U}_{1}}}{\left| h_{\mathcal{S}_{p},\mathcal{R}_{i}} \right|^{2} P_{\mathcal{S}_{p}} + N_{0}} \right), \tag{6}$$

$$\mathbf{R}_{\mathcal{U}_{2},\mathcal{R}_{i}}^{(\mathcal{M}_{2})} = \log_{2} \left(1 + \frac{|h_{\mathcal{U}_{2},\mathcal{R}_{i}}|^{2} P_{\mathcal{U}_{2}}}{|h_{\mathcal{S}_{p},\mathcal{R}_{i}}|^{2} P_{\mathcal{S}_{p}} + N_{0}} \right),$$
(7)

$$\mathbf{R}_{\mathcal{R}_{i},\mathcal{U}_{1}}^{(\mathcal{M}_{3},\mathcal{M}_{5})} = \log_{2}\left(1 + \frac{|h_{\mathcal{U}_{1},\mathcal{R}_{i}}|^{2} P_{\mathcal{R}_{i}}}{|h_{\mathcal{S}_{p},\mathcal{U}_{1}}|^{2} P_{\mathcal{S}_{p}} + N_{0}}\right),\tag{8}$$

$$\mathbf{R}_{\mathcal{R}_{i},\mathcal{U}_{2}}^{(\mathcal{M}_{4},\mathcal{M}_{5})} = \log_{2} \left(1 + \frac{|h_{\mathcal{U}_{2},\mathcal{R}_{i}}|^{2} P_{\mathcal{R}_{i}}}{|h_{\mathcal{S}_{p},\mathcal{U}_{2}}|^{2} P_{\mathcal{S}_{p}} + N_{0}} \right),$$
(9)

while the PN rates for the modes \mathcal{M}_1 to \mathcal{M}_5

$$\mathbf{R}_{\mathcal{S}_{p},\mathcal{D}_{p}}^{(\mathcal{M}_{1})} = \log_{2} \left(1 + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{\mathcal{S}_{p}}}{|h_{\mathcal{U}_{1},\mathcal{D}_{p}}|^{2} P_{\mathcal{U}_{1}} + N_{0}} \right).$$
(10a)

$$\mathbf{R}_{\mathcal{S}_{\mathrm{p}},\mathcal{D}_{\mathrm{p}}}^{(\mathcal{M}_{2})} = \log_{2} \left(1 + \frac{|h_{\mathcal{S}_{\mathrm{p}},\mathcal{D}_{\mathrm{p}}}|^{2} P_{\mathcal{S}_{\mathrm{p}}}}{|h_{\mathcal{U}_{2},\mathcal{D}_{\mathrm{p}}}|^{2} P_{\mathcal{U}_{2}} + N_{0}} \right).$$
(10b)

$$\mathbf{R}_{\mathcal{S}_{p},\mathcal{D}_{p}}^{(\mathcal{M}_{3},\mathcal{M}_{4},\mathcal{M}_{5})} = \log_{2} \left(1 + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{\mathcal{S}_{p}}}{|h_{\mathcal{R}_{i},\mathcal{D}_{p}}|^{2} P_{\mathcal{R}_{i}} + N_{0}} \right).$$
(10c)

The problem in our hand is first to select the index of the best relay i^* and the corresponding transmission mode for the SN and then allocate a total power budget between both the PN and SN such that the normalized sum rate is maximized. The bidirectional transmission mode protocol should maximize the normalized sum rate and bound the average packet delay of the SN by a predefined number of time slots μ . The transmission power optimization problems at modes \mathcal{M}_1 to \mathcal{M}_4 is given by

$$\begin{array}{ll} \underset{P_{\mathcal{S}_{p}}, \mathcal{P}_{J}^{(\mathcal{M}_{i})}}{\text{maximize}} & R_{\mathcal{S}_{p}, \mathcal{D}_{p}} + R_{J, K}^{(\mathcal{M}_{i})} \\ \text{subjectto} & 0 \leq P_{\mathcal{S}_{p}} + P_{J}^{(\mathcal{M}_{i})} \leq P_{T} \\ & |h_{J, \mathcal{D}_{p}}|^{2} P_{J}^{(\mathcal{M}_{i})} \leq I_{th}. \end{array}$$

$$(11)$$

For mode \mathcal{M}_1 , $(J, K) \equiv (\mathcal{U}_1, \mathcal{R}^*)$, for mode \mathcal{M}_2 , $(J, K) \equiv (\mathcal{U}_2, \mathcal{R}^*)$, for mode \mathcal{M}_3 , $(J, K) \equiv (\mathcal{R}^*, \mathcal{U}_1)$, and for mode \mathcal{M}_4 , $(J, K) \equiv (\mathcal{R}^*, \mathcal{U}_2)$. For mode \mathcal{M}_5 , the optimization problem is given by

$$\begin{array}{ll} \underset{P_{\mathcal{S}_{p}, \mathcal{P}_{\mathcal{R}_{i}}}{\text{maximize}} & R_{\mathcal{S}_{p}, \mathcal{D}_{p}} + R_{\mathcal{R}_{i}, \mathcal{U}_{1}}^{(\mathcal{M}_{5})} + R_{\mathcal{R}_{i}, \mathcal{U}_{2}}^{(\mathcal{M}_{5})} \\ \text{subjectto} & 0 \leq P_{\mathcal{S}_{p}} + P_{\mathcal{R}_{i}}^{(\mathcal{M}_{5})} \leq P_{T} \\ & |h_{\mathcal{R}_{i}, \mathcal{D}_{p}}|^{2} P_{\mathcal{R}_{i}}^{(\mathcal{M}_{5})} \leq I_{th}, \end{array}$$

$$(12)$$

In the following two sections, we propose a low complexity delay-constrained bidirectional relaying protocol and an optimal/sub-optimal transmission power allocation schemes.

4 Bidirectional Relaying Protocol

In this section, we introduce the proposed bidirectional relaying protocol that controls the two-way data flow between terminals U_1 and U_2 in the SN. The proposed protocol is not restricted to a predefined scheduling for data exchange, instead, it selects the best transmission mode from a set of five possible modes. Mode selection is achieved according to the instantaneous channel coefficients, buffers states, and average information packet delay. Figure 3 shows a descriptive flowchart of the proposed bidirectional relaying protocol. From the flowchart, D_1 and D_2 represent the number of time slots since the oldest information packet has been buffered at $B_1(\mathcal{R}_i)$ and $B_2(\mathcal{R}_i)$ of the selected relay, respectively. The operation of this protocol can be summarized by the following points

- First, the index of the relay related to the best link (found from 13 bellow), *m* and μ is inserted to the algorithm. Where, *m* represents the position of the best link $(m = 1 \rightarrow U_1 \mathcal{R} \text{ and } m = 2 \rightarrow U_2 \mathcal{R})$, and μ represents the value of maximum allowable delay per packet which is application dependent ($\mu = 3 \rightarrow$ conventional relaying and $\mu \ge 3 \rightarrow$ buffer-aided relaying)
- Transmission mode M₁ is selected if m = 1 and it is the only valid transmission mode for that link (ψ₁(R*) ≠ L₁andψ₂(R*) = 0), or the oldest buffered information packet at B₁(R*) has been waiting for less than μ time slot while B₂(R*) = 0 or ψ₁(R*) = 0 and delay condition at B₂(R*) is not violated. Mode M₂ is selected in a similar manner with exchanging the notations of the previous indexing between 1 and 2.
- Transmission mode \mathcal{M}_3 is selected when m = 1 and it is the only valid transmission mode for that link $(\psi_1(\mathcal{R}^*) = L_1 \text{ and } \psi_2(\mathcal{R}^*) \neq 0)$, or delay condition at $B_1(\mathcal{R}^*)$ was violated. Mode \mathcal{M}_4 is selected in a similar manner with exchanging the notations of the previous indexing between 1 and 2.
- The broadcast mode \mathcal{M}_5 is selected when there is available buffered data at both $B_1(\mathcal{R}^*)$ and $B_2(\mathcal{R}^*)$

The index of the best relay i^* is found using the following relay selection scheme

$$i^* = \arg \max_{\mathcal{R}_i} \{\min\{f_{\mathcal{U}_1,\mathcal{R}_i}, f_{\mathcal{R}_i,\mathcal{U}_1}\}, \min\{f_{\mathcal{U}_2,\mathcal{R}_i}, f_{\mathcal{R}_i,\mathcal{U}_2}\}\},$$

where,

$$f_{\mathcal{U}_{1},\mathcal{R}_{i}} = \frac{|h_{\mathcal{U}_{1},\mathcal{R}_{i}}|^{2} P_{T}}{|h_{\mathcal{S}_{p},\mathcal{R}_{i}}|^{2} P_{T} + 2N_{0}} + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{T}}{|h_{\mathcal{U}_{1},\mathcal{D}_{p}}|^{2} P_{T} + 2N_{0}},$$

$$f_{\mathcal{R}_{i},\mathcal{U}_{1}} = \frac{|h_{\mathcal{U}_{1},\mathcal{R}_{i}}|^{2} P_{T}}{|h_{\mathcal{S}_{p},\mathcal{U}_{1}}|^{2} P_{T} + 2N_{0}} + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{T}}{|h_{\mathcal{R}_{i},\mathcal{D}_{p}}|^{2} P_{T} + 2N_{0}},$$

$$f_{\mathcal{U}_{2},\mathcal{R}_{i}} = \frac{|h_{\mathcal{U}_{2},\mathcal{R}_{i}}|^{2} P_{T}}{|h_{\mathcal{S}_{p},\mathcal{R}_{i}}|^{2} P_{T} + 2N_{0}} + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{T}}{|h_{\mathcal{U}_{2},\mathcal{D}_{p}}|^{2} P_{T} + 2N_{0}},$$

$$f_{\mathcal{R}_{i},\mathcal{U}_{2}} = \frac{|h_{\mathcal{U}_{2},\mathcal{R}_{i}}|^{2} P_{T}}{|h_{\mathcal{S}_{p},\mathcal{U}_{2}}|^{2} P_{T} + 2N_{0}} + \frac{|h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{T}}{|h_{\mathcal{R}_{i},\mathcal{D}_{p}}|^{2} P_{T} + 2N_{0}}.$$
(13)

The goal behind selecting the maximum of minimum $f_{x,y}$'s of a certain two-way link rather than the ultimate maximum is that the maximum link may be related to an invalid transmission. As an example, if $f_{\mathcal{U}_1,\mathcal{R}_i}$ is the is happened to be the ultimate maximum at a



Fig. 3 Flowchart for the proposed bidirectional relaying protocol

certain time instant, and it appears that in the same time $\Psi_1(\mathcal{R}_i) = L_1$. Hence, such a link cannot be utilized for transmission from \mathcal{U}_1 to \mathcal{R}_i . Accordingly, the proposed max-min paradigm is a general measure of link quality for the considered model. Additionally, the proposed protocol does not require the derivation of complicated optimal mode selection problems. Instead, a set of conditions are examined and a transmission mode is selected accordingly.

5 Transmission Power Allocation Schemes

In this section, optimal and sub-optimal transmission power allocation schemes are proposed for each possible transmission mode. The convex optimization theory is used in the derivation of optimal transmission power at modes \mathcal{M}_1 through \mathcal{M}_4 [19]. By substituting

 $P_{S_p} = P_T - P_J^{(\mathcal{M}_i)}$, changing the peak power condition into in (11) to $P_J^{(\mathcal{M}_i)} \leq P_T$, and using the Lagrangian multiplier method, the Lagrangian function of the optimization problem given in (11) is defined by

$$\mathcal{L}\left(P_{J}^{(\mathcal{M}_{i})},\lambda_{1},\lambda_{2}\right) = R_{\mathcal{S}_{p},\mathcal{D}_{p}} + R_{J,K}^{(\mathcal{M}_{i})} - \lambda_{1}\left(P_{J}^{(\mathcal{M}_{i})} - P_{T}\right) - \lambda_{2}\left(|h_{J,\mathcal{D}_{p}}|^{2}P_{J}^{(\mathcal{M}_{i})} - I_{th}\right), \quad (14)$$

where λ_1 and λ_2 are the Lagrangian multipliers related to peak source transmission power and interference constraints, respectively, and (J, K) is as defined in (11). Upon deriving $\mathcal{L}\left(P_J^{(\mathcal{M}_i)}, \lambda_1, \lambda_2\right)$ with respect to $P_J^{(\mathcal{M}_i)}$ and equating the result to zero, we end up with a quartic equation given by

$$\left(P_J^{(\mathcal{M}_i)}\right)^4 + a\left(P_J^{(\mathcal{M}_i)}\right)^3 + b\left(P_J^{(\mathcal{M}_i)}\right)^2 + c\left(P_J^{(\mathcal{M}_i)}\right) + d = 0,$$
(15a)

where

$$a = \left[\frac{h_2 N_0 + N_2 |h_{J,\mathcal{D}_p}|^2}{h_1 h_2 |h_{J,\mathcal{D}_p}|^2} + \frac{N_1 |h_{J,K}|^2}{h_1 |h_{\mathcal{S}_p,K}|^2}\right],\tag{15b}$$

$$b = \left[\frac{N_0 N_2}{h_2 |h_{J,\mathcal{D}_p}|^2} - \frac{N_1 |h_{J,K}|^2 \left(h_2 N_0 + N_2 |h_{J,\mathcal{D}_p}|^2\right)}{\lambda h_1 h_2 |h_{\mathcal{S}_p,K}|^2 |h_{J,\mathcal{D}_p}|^2} - \frac{N_1 |h_{J,K}|^2}{\lambda h_2 |h_{J,\mathcal{D}_p}|^2} - \frac{N_3}{\lambda h_1 |h_{\mathcal{S}_p,K}|^2}\right], \quad (15c)$$

$$c = \left[\frac{\left(h_2 N_0 + N_2 |h_{J,\mathcal{D}_p}|^2\right) \left(|h_{J,K}|^2 N_1 - N_3 - \lambda N_1^2\right) - \lambda N_0 N_1 N_2 |h_{J,K}|^2}{\lambda h_1 h_2 |h_{\mathcal{S}_p,K}|^2 |h_{J,\mathcal{D}_p}|^2}\right],$$
(15d)

$$d = \left[\frac{N_0 N_2 \left(N_1 |h_{J,K}|^2 - \lambda N_1^2 - N_3\right)}{\lambda h_1 h_2 |h_{\mathcal{S}_{p,K}}|^2 |h_{J,\mathcal{D}_p}|^2}\right],$$
(15e)

where

$$\begin{split} h_{1} &= |h_{J,K}|^{2} - |h_{\mathcal{S}_{p},K}|^{2} & \& \qquad N_{1} &= |h_{\mathcal{S}_{p},K}|^{2} P_{T} + N_{0}, \\ h_{2} &= |h_{J,\mathcal{U}_{p}}|^{2} - |h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} & \& \qquad N_{1} &= |h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} P_{T} + N_{0}, \\ N_{3} &= |h_{\mathcal{S}_{p},\mathcal{D}_{p}}|^{2} \Big(|h_{J,\mathcal{D}_{p}}|^{2} P_{T} + N_{0} \Big) & \& \qquad \lambda &= \ln 2 \Big(\lambda_{1} |h_{J,\mathcal{D}_{p}}|^{2} + \lambda_{2} \Big). \end{split}$$
(15f)

We propose to use the quartic formula to find the roots of (15a) as follows

$$(P_J^*)^{(\mathcal{M}_i)} = \left[-\frac{b}{4a} \pm S \pm \frac{1}{2} \sqrt{-4S^2 - 2p + \frac{q}{s}} \right]^+,$$
(16a)

where

$$p = \frac{8ac - 3b^2}{8a^2} \qquad \& \qquad q = \frac{b^3 - 4abc + 8a^2d}{8a^3},$$
 (16b)

$$S = \frac{1}{2}\sqrt{-\frac{2}{3}p + \frac{1}{3}a\left(Q + \frac{\delta_o}{Q}\right)},\tag{16c}$$

$$Q = \sqrt[3]{\frac{\delta_1 + \sqrt{\delta_1^2 - 4\delta_o^3}}{2}},$$
 (16d)

$$\delta_o = c^2 - 3bd + 12ae, \tag{16e}$$

$$\delta_1 = 3c^3 - 9bcd + 27b^2e + 27ad^2 - 72ace, \tag{16f}$$

where $[\zeta]^+ = \max(\zeta, 0)$. The value of PN optimal transmission power for those modes is then given by

$$P_{\mathcal{S}_p}^* = P_T - (P_J^*)^{(\mathcal{M}_i)}.$$
(17)

The value of Lagrangian multipliers λ_1 and λ_2 are chosen such that they maximize the Lagrangian function $\mathcal{L}(\lambda_1, \lambda_2)$ given in (14).

One efficient method to find λ_1 and λ_2 is called sub-gradient update method and it is given by [20]

$$\lambda_{1}^{(m+1)} = \left[\lambda_{1}^{(m)} + \mu^{(m)} \left((P_{J}^{*})^{(\mathcal{M}_{i})} - P_{T} \right) \right] +,$$

$$\lambda_{2}^{(m+1)} = \left[\lambda_{2}^{(m)} + \mu^{(m)} \left(|h_{J,\mathcal{D}_{\rho}}|^{2} (P_{J}^{*})^{(\mathcal{M}_{i})} - I_{th} \right) \right] +,$$
(18)

where *m* is the iteration index and $\mu^{(m)}$ is a sequence of scalar step sizes. It was found that due to the convexity of the target function, the sub-gradient method converges to the optimal values as long as $\mu^{(m)}$ is chosen to be sufficiently small.

For mode \mathcal{M}_5 , at which the selected relay, denoted by \mathcal{R}^* broadcasts a combined signal to \mathcal{U}_1 and \mathcal{U}_2 , using convex optimization problem ends up with a 6th order equation of $P_{\mathcal{R}_i}^{(\mathcal{M}_5)}$ which requires the use of some numerical algorithms to find it is roots. However, in this paper, we propose to use a genetic algorithm to solve the optimization problem in (12). The proposed algorithm is shown at Algorithm 1.

Algorithm 1 Genetic Algorithm that finds P_{S_p} and $(P_{\mathcal{R}_i}^{\mathcal{M}_5})$ that maximize $R_{sum} = R_{S_p,\mathcal{D}_p}^{\mathcal{M}_5} + R_{\mathcal{R}_i,\mathcal{U}_1}^{(\mathcal{M}_5)} + R_{\mathcal{R}_i,\mathcal{U}_i}^{(\mathcal{M}_5)}$

1: Input: $h_{x,y}, P_T, N_0, n_p, n_{parents}, Iterations$ 2: Generate n_p initial population of $\mathbf{P}^j = \left[P_{\mathcal{S}_p}^j \ (P_{\mathcal{R}^*}^{\mathcal{M}_5})^j\right]$, with $0 \le P_{\mathcal{S}_p}^j + (P_{\mathcal{R}^*}^{\mathcal{M}_5})^j \le P_T$, $\forall j = 1, ..., n_p$ 3: Count=1 4: $\mathbf{R}_{max} = 0$ while (Count < Iterations, or stopping condition hold), do 5: for $m = 1 : n_n$ do 6: if Constrains in (12) are satisfied then $\mathbf{R}_{m} = \mathbf{R}_{\mathcal{S}_{p}, \mathcal{D}_{p}}(P_{\mathcal{S}_{p}}^{j}, (P_{\mathcal{R}_{i}}^{\mathcal{M}_{5}})^{j}) + \mathbf{R}_{\mathcal{R}_{i}, \mathcal{U}_{1}}^{(\mathcal{M}_{5})}(P_{\mathcal{S}_{p}}^{j}, (P_{\mathcal{R}_{i}}^{\mathcal{M}_{5}})^{j}) + \mathbf{R}_{\mathcal{R}_{i}, \mathcal{U}_{2}}^{(\mathcal{M}_{5})}(P_{\mathcal{S}_{p}}^{j}, (P_{\mathcal{R}_{i}}^{\mathcal{M}_{5}})^{j})$ 7: 8: 9: else $\mathbf{R}_m = 0$ $10 \cdot$ end if 11: 12: end for 13: $\mathbf{R}_{max} = \max_m \mathbf{R}_m$ Take the best $n_{parents}$ vectors of P^j and use crossover and mutation go generate $(n_p - n_{parents})$ 14: next population vectors. 15: end while

In this algorithm, $h_{x,y}$ represents the all possible channel coefficients of the PN and SN during a certain time slot. Due to the convexity of the target optimized function, the proposed algorithm is said to converge to a global maxima point which is equivalent to the optimal solution, especially for high n_p .

6 Simulation Results

In this section, we provide numerical simulation results of the proposed bidirectional relaying protocol and validate the derived expressions of transmission power allocation schemes. We also study the effect of different network parameters on the overall performance. Monte-Carlo simulation program is run for 1,000,000 iterations. We assume that all receiving nodes are subject to constant power spectral density N_0 . It is also assumed that $L_1 = L_2 = L$.

To evaluate the proposed bidirectional relaying protocol, it is practical to compare the normalized rates per user of the proposed bidirectional relaying protocol with that of the unidirectional normalized rates. The unidirectional relaying is achieved using max-link relay selection scheme as in the previous section with a similar simulation parameters. Such a comparison metric is valid due to the fact that the unidirectional max-link relaying scheme represents a lower bound for normalized rate for any other bidirectional relaying is takes a n average of two time slots to achieve a complete e2e packet transmission. In the other hand, for any bidirectional buffer-aided relaying protocol, it takes an average of one and half time slot to achieve a complete e2e packet transmission.

Figure 4 shows the achievable normalized PN, SN, and sum rates per user versus maximum power budget for both unidirectional and bidirectional relaying schemes.

It can be seen from this figure that the proposed bidirectional relaying protocol achieves normalized rates that are significantly higher than that of the unidirectional case. Additionally, it is clear that the delay is bounded in the proposed bidirectional relaying protocol while for unidirectional max-link protocol, the delay ranges between one and infinity. The



superiority of bidirectional relaying rates compared to those of unidirectional relaying is due to the fact that the unidirectional relaying takes an average of two time slot to achieve one complete e2e transmission, while for bidirectional relaying, only 1.5 time slot is required to achieve a complete e2e transmission.

To evaluate the performance of the proposed GA scheme, Fig. 5 shows the achievable normalized PN, SN, and sum rates per user versus maximum power budget P_T of the optimal and the proposed scenarios.

We mean by optimal solution that for the broadcast mode M_5 , the sum rate is optimized using an exhaustive search rather than a GA. It can be seen that at $P_T = 7$ dB, there is only a 0.25 bps/Hz degradation in the performance of the proposed scheme compared to the optimal one. Additionally, it is obvious that the SN rate starts to decay to zero after passing I_{th} value in order to maintain the PN interference threshold constraint.

Figure 6 shows the achievable normalized rate for the PN, SN and their sum rates versus different maximum buffer sizes. It can be seen from this figure that a rate increment of around 0.7 bps/Hz is achieved in the SN rate at $P_T = 10$. Additionally, it can be seen from that the physical layer buffering on the SN relay nodes decreases the normalized rate of the PN. This is due to the fact that the buffering guarantees a better channel gains for SN links and since the power allocation follows a water-filling strategy, more power is pumped in these links which enhances the SN performance on the expense of PN performance.

Finally, Fig. 7 shows the achievable PN, SN, and sum rates per user versus maximum allowable delay μ , where μ is the maximum number of time slots the oldest buffered information packet can be stored.

It can be seen from this figure that a better rate is achieved as μ increases with a rate floor appears after $\mu = 40$ (time slots). The rate floor occurs since for high values of μ , the probability that a packet will be stored for longer time slots that μ goes to zero and hence further increase in μ will not affect the rate any more. However, increasing μ means that some information packets will be delayed for a considerably long time which is not efficient for real-time communication systems.



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7 Conclusion

In this paper, a low complexity protocol which efficiently achieves bidirectional relaying and utilizes the freedom acquired by physical layer buffering was proposed. The presented protocol controls the information packet delay of SN buffered data. Optimal transmission power expressions which allocate a total power budget P_T among PN and SN and maximize that normalized sum rate were provided. It was found that applying buffering at SN relays enhances the SN performance significantly while degrading the PN performance slightly. Additionally, for higher delay bound, sum rate was shown to be enhanced with the cost of increasing information packet delay in the SN.

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