

Synergies in coupled hydrolysis and fermentation of cellulose using a *Trichoderma reesei* enzyme preparation and a recombinant *Saccharomyces cerevisiae* strain

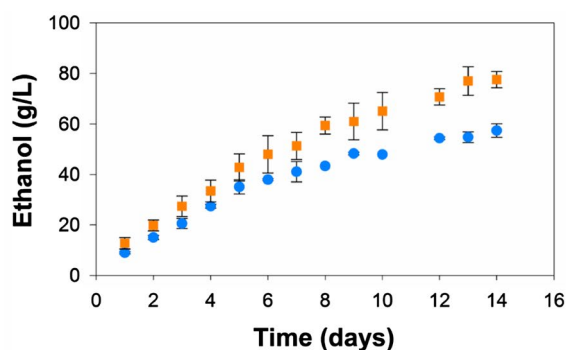
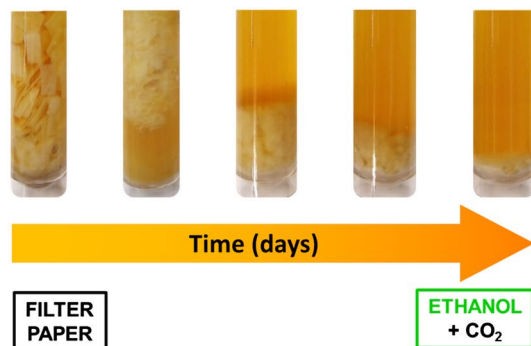
Mary Casa-Villegas¹ · Julia Marín-Navarro^{1,2} · Julio Polaina¹

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Abstract We describe a procedure by which filter paper is digested with a cellulolytic enzyme preparation, obtained from *Trichoderma reesei* cultivated under solid state fermentation conditions and then fermented by a recombinant *Saccharomyces cerevisiae* strain. The yeast strain produces a β -glucosidase encoded by the *BGL1* gene from *Saccharomycopsis fibuligera* that quantitatively and qualitatively

complements the limitations that the *Trichoderma* enzyme complex shows for this particular activity. The supplemental β -glucosidase activity fuels the progression of cellulose hydrolysis and fermentation by decreasing the inhibitory effects caused by the accumulation of cellobiose and glucose. Fermentation of filter paper by this procedure yields ethanol concentrations above 70 g/L.

Graphical Abstract



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✉ Julio Polaina
jpolaina@iata.csic.es

Mary Casa-Villegas
mary.casa@iata.csic.es

Julia Marín-Navarro
jumana@iata.csic.es

¹ Instituto de Agroquímica y Tecnología de Alimentos, CSIC, Valencia, Spain

² Departamento de Bioquímica, Facultad de Biología, Universidad de Valencia, Valencia, Spain

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Introduction

Cellulose, because of its chemical composition, abundance in nature and the fact that it does not have a direct use as food, is the primary substrate for second generation bioethanol. Standard, industrially implemented processes, to produce ethanol from cellulolytic substrates, comprise an enzymatic step, in which commercial enzymes are used to render fermentable sugar (i.e. glucose) and a fermentative step carried out by the yeast *Saccharomyces cerevisiae*.

In a widely disseminated but oversimplified vision, enzymatic hydrolysis of cellulose is considered to be carried out by the combined action of endo and exo glucanases, that yield cellobiose and glucose as major products, and β -glucosidase, which splits cellobiose into glucose. However, cellulose digestion by highly efficient cellulolytic microorganisms is a considerably more complex process. In addition to the action of different type of enzymes that act coordinately, there are also non-catalytic proteins that play essential roles. For instance, in bacterial cellulosomes the association of catalytic (cellulolytic enzymes) and non-catalytic (scaffoldins) proteins assure an arrangement of the enzymatic machinery that maximizes the efficiency of the cellulolytic process (Artzi et al. 2017). Recent studies with the fungus *Trichoderma reesei* (*Hypocrea jecorina*), from which most commercial cellulolytic enzyme preparations are derived, show intricate complexities in both composition and function of the cellulolytic machinery (Lehmann et al. 2016; Jalak et al. 2012). Proteomic analysis reveals the importance of non-catalytic, accessory enzymes, such as cellulose induced proteins and swollenin, in cellulolysis (Gupta et al. 2016; Herpoël-Gimbert et al. 2008).

The industrial production of ethanol from cellulosic substrates makes use of high amounts of enzymes, required for yielding fermentable sugar (glucose). Cellulose digestion and fermentation can be carried out in a single step in simultaneous saccharification and fermentation processes or sequentially, as separate hydrolysis and fermentation steps (Paulova et al. 2015). An approach proposed to eliminate the cost burden of enzymes has been the construction of engineered *S. cerevisiae* strains that co-express various genes coding for cellulolytic enzymes (Davison et al. 2016; Liu et al. 2016; Matano et al. 2012; Yamada et al. 2011; Wen et al. 2010; Tsai et al. 2009; van Zyl et al. 2007; Fujita et al. 2004). However, because of the aforementioned inherent complexity of enzymatic hydrolysis of cellulose, this approach has clear limitations and in consequence the cellulose degrading capability of the engineered yeast strains is not as efficient as the enzyme complexes produced by *Trichoderma* or other fungi. Additionally concomitant forced expression of multiple genes can overstress the physiology of the host yeast.

The aim of this work was to set up a cost-effective procedure for ethanol production from cellulose. This has been carried out by coupling hydrolysis using crude *Trichoderma* enzymes and fermentation with a selected yeast strain. It is known that *Trichoderma* cellulolytic complex is deficient in β -glucosidase activity (Lehmann et al. 2016; Sørensen et al. 2013; Nieves et al. 1998). Structural and functional features of the GH1 and GH3 β -glucosidases responsible for the hydrolysis of cellobiose have been characterized in detail (Sanz-Aparicio et al. 1998; Pozzo et al. 2010). Based on this information, we choose a *S. cerevisiae* strain that

expresses extracellular β -glucosidase from *Saccharomyces fibuligera*, and has been shown to ferment cellobiose with high efficiency (Tang et al. 2013; Gurgu et al. 2011).

Materials and methods

Microbial strains and culture media

Trichoderma reesei (*H. jecorina*) CECT2415 (identical to ATCC 56764 and NRRL 11236) was obtained from Colección Española de Cultivos Tipo (<http://www.uv.es/cect>). Recombinant *S. cerevisiae* T500 that expresses a β -glucosidase gene from *S. fibuligera* and its parental strain BY4741 have been described (Gurgu et al. 2011; Marín-Navarro et al. 2011).

Culture media used were: YPD: 1% yeast extract, 2% peptone, 2% glucose; YP2P and YP5P: same composition as YPD but with 2 or 5% filter paper (RM13054252, 73 g/m², Filtros Anovia, Barcelona Spain), cut into 25 mm² squares, instead of glucose, respectively (% refers to weight/volume).

Trichoderma cellulolytic enzyme complex preparation

Trichoderma precultures (2 mL) were prepared in YP2P medium supplemented with 0.5% starch and 0.5 g/L Tween 80, and incubated under agitation for 72 h, at 30 °C. For submerged fermentation, 25 mL of YP5P with 0.5% starch medium were inoculated with the preculture and incubated at 30 °C with aeration by vigorous shaking (200 rpm) for several days. The cultures were centrifuged and the supernatant used as enzyme source. For solid state fermentation, 5 g of filter paper squares in a 250 mL Erlenmeyer flask were soaked with 8 mL of YP supplemented with 0.5% starch, inoculated with 2 mL of preculture, and incubated at 30 °C for several days. To extract the enzymes from the solid state fermentation, the mycelium grown in the flask was thoroughly mixed with 8 mL of extracting solution (1% yeast extract, 2% peptone) and squeezed. The recovered slurry was clarified by centrifugation, filtered through a 220 nm membrane and then used as enzyme source.

The filter paper assay for cellulose hydrolysis and enzyme assays

Enzymatic digestion of filter paper was assayed by measuring reducing sugars with the dinitrosalicylic (DNS) acid method, as described by Zhang et al. (2009). Two assays, hydrolysis of the chromogenic *p*-nitrophenyl β -D-glucopyranoside (PNPG) substrate and hydrolysis of cellobiose, were used to monitor β -glucosidase activity. PNPG hydrolysis was assayed as described (Arrizubieta and Polaina 2000). Hydrolysis of

cellobiose was carried out under the same conditions, but the activity was determined by measuring the amount of free glucose with a commercial kit (Sigma-Aldrich, St Louis MO, USA).

Production of glucose, cellobiose and other soluble sugars resulting from enzymatic digestion of filter paper by the action of *Trichoderma* enzymes was analyzed by ion exchange chromatography using a CarboPac PA-100 column in a HPLC instrument equipped with a pulsed amperometric detector (Dionex, Thermo Fisher Scientific, Waltham, MA, USA). Mono, di, tri and tetrasaccharides: glucose, cellobiose, cellotriose and cellotetraose (Sigma-Aldrich, St Louis MO, USA) were used as standards.

For determination of K_m , dialyzed samples of β -glucosidase from either, *S. cerevisiae* T500 culture supernatant or *T. reesei* solid state fermentation enzyme preparation, were incubated with cellobiose at different concentrations in the range 0.5–20 mM, at 37°C, pH 5.5. Glucose released in these reactions was measured by HPLC and used to calculate values of initial velocity, which were plotted versus substrate concentration. To calculate K_m values, the data were adjusted to a rectangular hyperbola.

Inhibition of β -glucosidase activity by glucose was measured using as enzyme source samples of the *Trichoderma* enzyme cocktail or the supernatant of a *S. cerevisiae* T500 culture, dialyzed against citrate–phosphate buffer, pH 5.5, or phosphate buffer, pH 7.0.

Fermentations

Precultures of recombinant *S. cerevisiae* T500 were grown overnight at 30°C in liquid YPD medium supplemented with the antibiotic geneticin (G418) at 100 μ g/mL to select for the maintenance of the plasmid harboring the gene coding for *S. fibuligera* β -glucosidase. Fermentation vessels were prepared as follows. The cells (*S. cerevisiae*) from a 10 mL preculture were collected by centrifugation and added to the mixture of digested paper and *Trichoderma* enzymes obtained from the solid state fermentation, making a final volume of 10 mL. This mixture was transferred to a 14 mL tube, to which 0.5 g of filter paper squares were added. The tube was then closed, leaving a minimum headspace, and incubated at 30°C. Successive paper loads were added to the fermentation tube. Samples were removed at different intervals. Ethanol was measured using an enzymatic kit (Thermo Scientific) and residual glucose and cellobiose by HPLC.

Results

Production of *Trichoderma* enzyme preparation and sugar profile resulting from its action on cellulose

We compared the strength of the cellulolytic enzyme complex recovered after growing *T. reesei* ATCC 56764 under two different culture conditions: Fig. 1A shows that solid state fermentation gave a cellulolytic cocktail whose activity is about ten times higher than the maximal activity obtained from the supernatant of submerged fermentation. Regardless of genetic and physiological considerations about differences in the synthesis or secretion of enzymes between submerged and solid state fermentations (Barrios-González 2012), the second procedure makes enzyme recovery easier. In addition to measuring cellulose digestion, we determined β -glucosidase

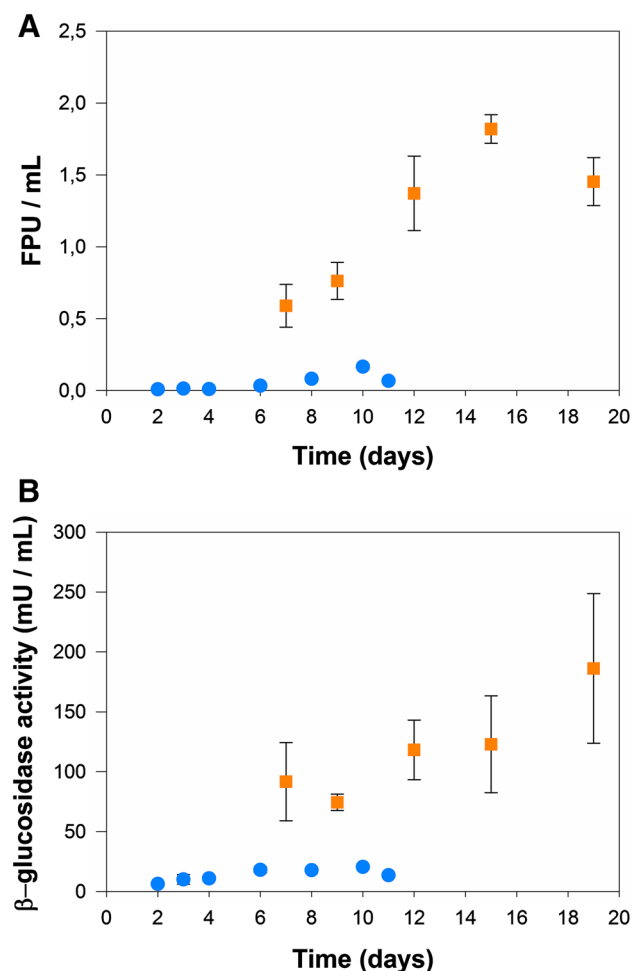


Fig. 1 Production of cellulolytic enzymes by *T. reesei* under submerged fermentation (blue circles) or solid state fermentation (orange squares) conditions. **A** Cellulase activity, expressed in Filter Paper Units (FPU)/mL. **B** β -glucosidase activity. Error bars indicate standard deviation of duplicates. (Color figure online)

activity present in the *T. reesei* cocktail. The profile of β -glucosidase production (Fig. 1B) was similar to that of cellulase activity. Submerged cultures showed a slow increase of β -glucosidase activity for about 10 days after the inoculation and then decreased. The activity of solid state cultures continued to increase for at least 14–16 days. On the basis of these results, cellulolytic enzymes produced by solid state fermentation were used for further experiments.

Hydrolysis of cellulose (5% filter paper) by the *T. reesei* enzyme cocktail (2 FPU/mL), after 8 h of incubation at 50°C, yielded glucose and cellobiose as the only two significant products. (Fig. 2). The presence of cellobiose, although in lesser amount than glucose, confirms previous reports that β -glucosidase is the limiting cellulolytic activity in the extract (Lehmann et al. 2016; Nieves et al. 1998). Kinetics of cellobiose and glucose release from cellulose by the action of *T. reesei* enzymes was monitored for 48 h, using filter paper at different concentrations (2.5, 5 and 10%) as the substrate (Fig. 3). In all cases glucose concentration increased steadily up to different values, proportional to the initial amount of cellulose. In contrast, cellobiose concentration quickly reached a maximum, proportional to cellulose amount, which was maintained for some time, probably as a result of an equilibrium in which the velocity of cellobiose release by cellobiohydrolases matched that of hydrolysis by β -glucosidases. Cellobiose concentration at this point represented a low but significant fraction (around 10%) of the initial amount of cellulose. Since cellobiose is a potent inhibitor of cellobiohydrolases, its presence must significantly restrict cellulose hydrolysis. According to our data, digestion at 5%

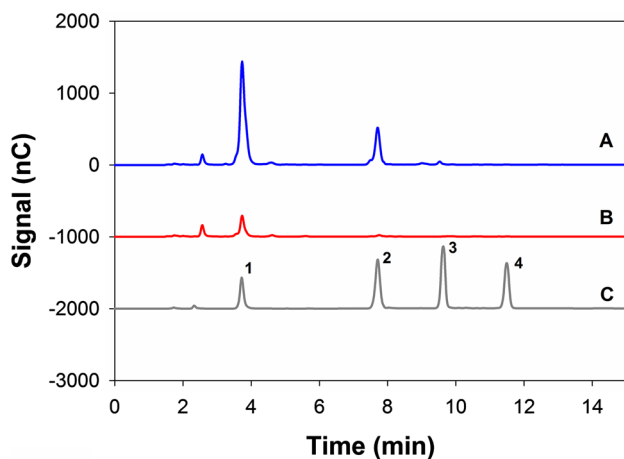


Fig. 2 Analysis of the soluble sugar profile. **A** Sugars released by the action of the enzyme cocktail (2 FPU/mL) with 5% filter paper, after 8 h at 50°C. **B** Sugars present in the *T. reesei* enzyme cocktail obtained by solid state fermentation, before incubation with the substrate. **C** Commercial sugar standards used as control: (1) glucose, (2) cellobiose, (3) cellotriase, (4) cellotetraose

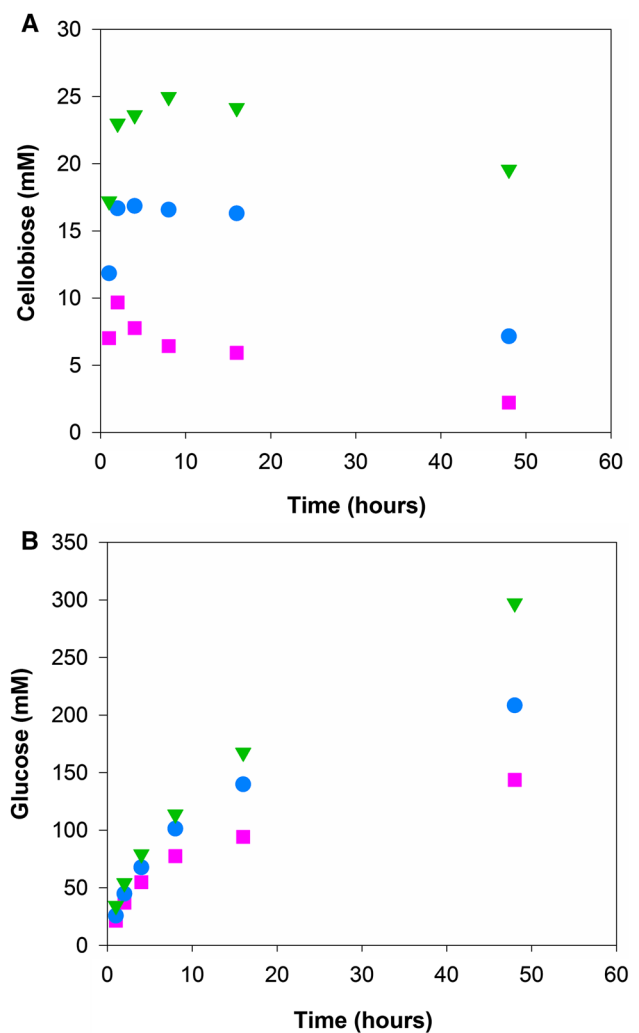


Fig. 3 Time-course analysis of soluble sugars released from cellulose digestion with the *T. reesei* enzyme preparation. Filter paper at different concentrations (2.5%, pink squares; 5%, blue circles; 10%, green triangles) was incubated at 50°C with the enzyme cocktail (2 FPU/mL) for different times. Reaction was stopped by heating at 95°C for 10 min. **A** Cellobiose production, **B** glucose production. (Color figure online)

paper leads to an accumulation of cellobiose of over 15 mM after 20 h, much higher than the concentration of inhibitor that reduces enzyme activity by 50% (IC_{50}) reported for *T. reesei* Cel7A cellobiohydrolase: 0.4–0.7 mM (Teugjas and Väljamäe 2013a). Only after prolonged incubation times cellobiose approaches complete hydrolysis.

Comparison of β -glucosidase activity in the *Trichoderma* enzyme preparation with that produced by *S. cerevisiae* T500

We have analyzed and compared the properties of the β -glucosidase activity present in the *Trichoderma* enzyme

cocktail with that secreted by the recombinant yeast, by two criteria: their performance at different concentrations of cellobiose and their inhibition by glucose. Both enzymes behaved similarly at different concentrations of cellobiose in the range 5–400 mM. Although *T. reesei* genome has ten gene sequences encoding β -glucosidases, only one of these enzymes, Bgl I, also termed Cel 3A, is released to the culture medium among the proteins that constitute the cellulolytic enzyme complement (Guo et al. 2016a; Karkehabadi et al. 2014). Therefore, the observed β -glucosidase activity determined for *Trichoderma* must reflect the action of this enzyme, for which we have determined a K_m value for cellobiose of 2.07 ± 0.5 mM, not significantly different from the 1.9 mM reported in the literature (Woodward and Arnold 1981). The K_m for cellobiose of the yeast enzyme was slightly lower: 1.74 ± 0.08 mM (experimental data for K_m determinations are given as supplementary material, Figure S1).

Results of the inhibitory effect of glucose presented in Fig. 4 show that at glucose concentration in the range 5–75 mM, about the same at which glucose is accumulated during the fermentation, the *S. fibuligera* β -glucosidase produced by recombinant *S. cerevisiae* T500, with PNPG as the substrate, is significantly less sensitive to inhibition by glucose than the *Trichoderma* β -glucosidase. The inhibitory effect of glucose when measured with cellobiose as the substrate could only be reliably assayed at low glucose concentration, since at higher concentrations the relative amount of glucose (inhibitor) and glucose (product) could

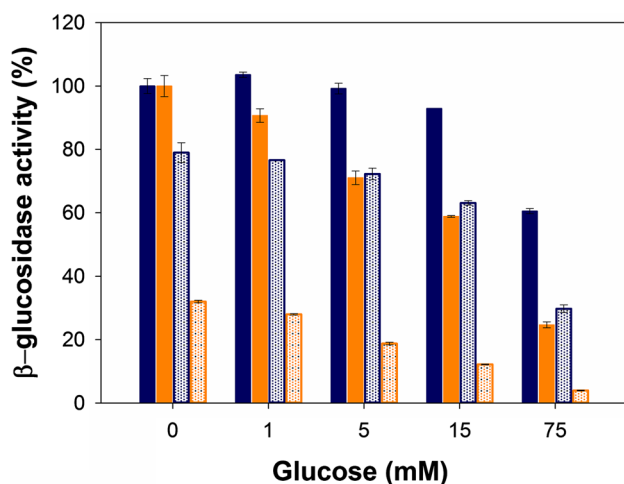


Fig. 4 Inhibition of β -glucosidase activity by glucose. Activities of dialyzed samples of *S. fibuligera* (blue) and *T. reesei* (orange) β -glucosidases were assayed with PNPG as the substrate at pH 5.5 (solid bars) or pH 7 (dotted bars), in the presence of increasing concentrations of glucose. Value of activity without added glucose, at pH 5.5, was taken as 100%. Error bars indicate standard deviation of triplicates. (Color figure online)

not be estimated. At 1 mM, glucose inhibited cellobiose hydrolysis by both enzymes similarly, ca. 25%.

Cellulose conversion into ethanol

Cellulose fermentation was carried out with filter paper digested with the *T. reesei* cellulolytic cocktail. Two fermenting *S. cerevisiae* strains were assayed: β -glucosidase producing recombinant T500 and its untransformed parental strain BY4741. As the initial 5% load of paper present in the medium was consumed, additional paper loads were added, as indicated in Fig. 5. Transient cellobiose accumulation (ca. 5 mM) was observed with the control strain about 24 h after each paper load, whereas T500 strain hydrolyzed cellobiose more efficiently. We also observed that paper degradation proceeded more rapidly with strain T500. Accordingly, ethanol yield obtained in the fermentation with T500 was significantly (35%) higher than that obtained with the control strain. These results indicate, in agreement with our previous observation, that even a small cellobiose accumulation becomes a limiting factor for both cellulolysis progression and ethanol production. Overall fermentation yields in terms of paper conversion to ethanol were 61% and 45% for T500 and the parental strain, respectively. The experimental design used here, in which we have coupled the cellulolytic machinery produced by *T. reesei*, with fermentation by a β -glucosidase producing *S. cerevisiae* strain, yields considerably higher ethanol concentrations (about 7%) than any previous report using recombinant yeast strains that express one or several cellulolytic enzymes.

Discussion

The aim of this work was to work out a simple, basic, reliable and cost-effective model system for the conversion of cellulose into ethanol. In situ production of cellulolytic enzymes has obvious advantages over the addition of commercial ones. Beyond economic considerations, the composition of the enzyme complex can be tailored, monitored and manipulated at will. Even using a non-engineered *T. reesei* strain, the cellulolytic activity of the resulting enzyme preparation was enough to achieve an ethanol yield of about 7%, considerably higher than the minimal 4.5–5.0% threshold considered to make distillation profitable (Lennartsson et al. 2014; Viikari et al. 2012). As a first objective, we studied the conditions for in situ production of a cellulolytic enzyme complex sufficiently powerful to drive fermentation to an ethanol concentration higher than 5%. Different reports show that fungal cultivation under solid state fermentation conditions sharply increases the production yield of enzymes and other metabolites,

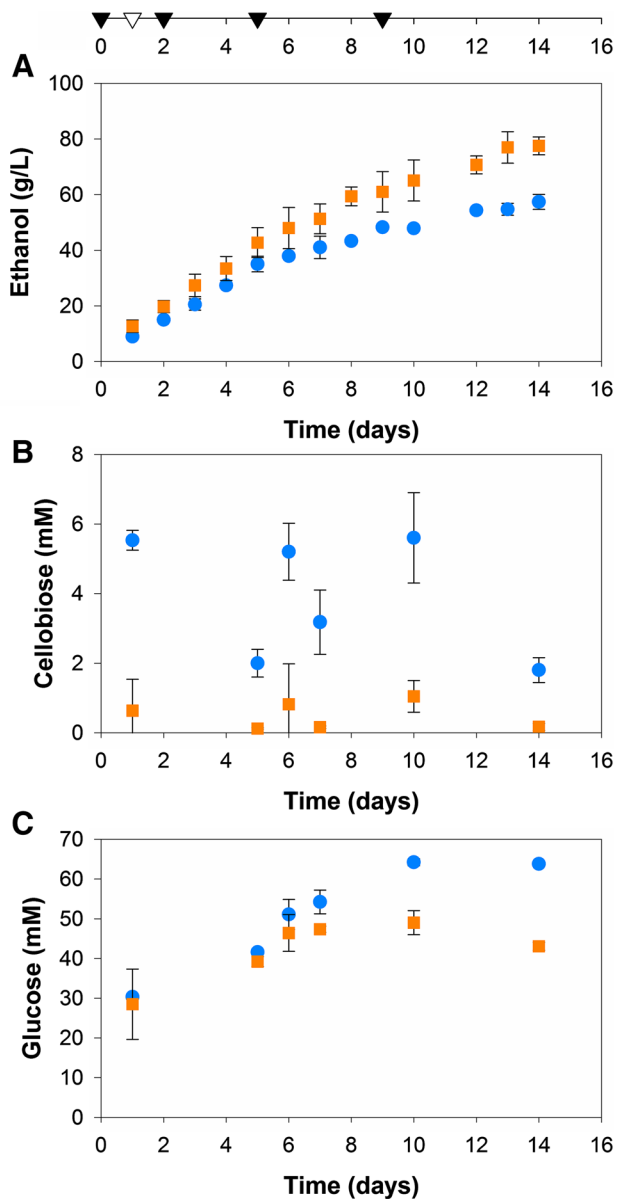


Fig. 5 Fermentation of filter paper by *S. cerevisiae* T500, expressing the *S. fibuligera* *BGL1* gene (orange squares), and parental strain BY4741 (blue circles), coupled to cellulose hydrolysis by *T. reesei* enzymes. **A** Ethanol, **B** cellobiose, and **C** glucose, were monitored during the fermentation. Error bars indicate standard deviation of duplicates. Additions of filter paper to the fermentation vessels (0.5 g, filled triangles; 0.25 g, open triangle) were carried out at the times indicated on the top of the figure. (Color figure online)

compared to alternative submerged fermentation (Barrios-González 2012). We compared the performance of *T. reesei* CECT 2415 under either cultivation conditions and found that solid state fermentation gave an enzyme cocktail with the required properties for efficient cellulose digestion.

According to genome annotations, *T. reesei* has ten gene sequences encoding β -glucosidases, two of which belong to family GH1 and the other eight to family GH3, but only

one of these enzymes, Bgl I (Cel3A), is released to the culture medium and therefore becomes part of the cellulosytic enzyme cocktail (Guo et al. 2016a). Diverse reports show that the action of this single β -glucosidase represents a bottleneck for the production of cellulosic ethanol (Lehmann et al. 2016; Sørensen et al. 2013; Nieves et al. 1998). Accordingly, cellulolytic strength of the *Trichoderma* enzyme cocktail was increased by supplementation with exogenous β -glucosidases (Del Pozo et al. 2012). One of the reasons that explain this effect is that cellobiose is a potent inhibitor of cellobiohydrolase, with a K_i ca. 1.5 mM (Gruno et al. 2004). As we have shown, cellobiose accumulates during the action of *T. reesei* enzyme cocktail (up to 15 mM on 5% paper). Therefore an increase in the amount of β -glucosidase is expected to improve cellulose digestion. Inhibition of β -glucosidase by glucose is another factor that needs to be considered since glucose accumulates during cellulolysis up to a concentration of 70 mM (Fig. 3). Different studies have addressed this problem either looking for natural variants of the enzyme less prone to glucose inhibition (Teugjas and Våljamäe 2013b), or by engineering one of *T. reesei* β -glucosidases (Guo et al. 2016b). Improvement of glucose inhibition has also been achieved by directed evolution of an *Aspergillus niger* β -glucosidase expressed in *S. cerevisiae* (Larue et al. 2016).

In this work we have used the enzyme encoded by the *S. fibuligera* *BGL1* gene, produced by *S. cerevisiae* during the fermentation process, as a source of supplemental β -glucosidase for cellulolysis. This enzyme is expressed and secreted under control of a constitutive promoter and the *STAI* gene secretion signal (Marín-Navarro et al. 2011) and, as it was shown previously, confers to *S. cerevisiae* the capability of utilizing cellobiose very efficiently (Gurgu et al. 2011). Our results show that with PNPG as the substrate, this enzyme is significantly more resistant to inhibition by glucose than the β -glucosidase (Cel3A) supplied by the *Trichoderma* enzyme cocktail (Fig. 4). Although we cannot assure that this is also true for cellobiose, comparative analysis of the three-dimensional structures of *T. reesei* Cel 3 A and *S. fibuligera* Bgl I (see Supplementary material, Figure S2) suggests that the relative affinity of glucose for its binding site would be higher for Cel 3A which would therefore be more sensitive than Bgl I to the inhibitory effect of the monosaccharide. Because of the coupled hydrolysis fermentation setup used here, the inhibitory effect exerted by high glucose concentration values attained during fermentation is counteracted by continuous production of supplemental β -glucosidase and a very active consumption of glucose by the yeast. Overall, the supply of cellobiose activity provided by the fermenting yeast represents a cheaper and easier solution than supplementation of the *Trichoderma* enzyme preparation with a commercial enzyme.

Conclusion

This communication describes a simple and economical procedure by which cellulose is converted into ethanol with high efficiency. Digestion of filter paper with a crude *T. reesei* enzyme preparation coupled to fermentation by a *S. cerevisiae* strain expressing β -glucosidase from *S. fibuligera*, is synergic for various reasons: (i) supplementary β -glucosidase activity relieves cellobiohydrolase inhibition by cellobiose improving cellulose digestion; (ii) functionally, the yeast β -glucosidase is complementary to that of *Trichoderma* and (iii) continual production of β -glucosidase during glucose fermentation counteracts the inhibitory effect of glucose. Consequently, this procedure allows ethanol yields higher than 7%, a level that can render distillation profitable.

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