

# Production of chemicals from C1 gases (CO, CO<sub>2</sub>) by *Clostridium carboxidivorans*

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**Abstract** Bioprocesses in conventional second generation biorefineries are mainly based on the fermentation of sugars obtained from lignocellulosic biomass or agro-industrial wastes. An alternative to this process consists in gasifying those same feedstocks or even other carbon-containing materials to obtain syngas which can also be fermented by some anaerobic bacteria to produce chemicals or fuels. Carbon monoxide, carbon dioxide and hydrogen, which are the main components of syngas, are also found in some industrial waste gases, among others in steel industries. *Clostridium carboxidivorans* is able to metabolise such gases to produce ethanol and higher alcohols, i.e. butanol and hexanol, following the Wood–Ljungdahl pathway. This does simultaneously allow the removal of volatile pollutants involved in climate change. The bioconversion is a two step process in which organic acids (acetate, butyrate, hexanoate) are produced first, followed by the accumulation of alcohols; although partial overlap in time of acids and alcohols production may sometimes take place as well. Several parameters, among others pH, temperature, or gas-feed flow rates in bioreactors, affect the bioconversion process. Besides, the accumulation of high concentrations of alcohols in the fermentation broth inhibits the growth and metabolic activity of *C. carboxidivorans*.

**Keywords** Acetogens · Clostridia · Greenhouse gases · Syngas · Waste gas

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## Introduction

Most fuels and a wide range of platform chemicals produced in industrialized countries have traditionally been obtained from petroleum in oil refineries. For environmental reasons and because of the near shortage of crude oil reserves, modern societies need to develop new production processes and alternative fuels (Gowen and Fong 2011; Abdehagh et al. 2014). Biorefineries have recently emerged as a potential solution to such problem. Ethanol and longer chain alcohols such as butanol are suitable substitutes of fossil fuels such as gasoline. They can also be used as chemicals and solvents. Mixtures of alcohols such as butanol and ethanol can potentially be produced from wastes and renewable sources in bioreactors, which is an advantage compared to alcohols obtained from non renewable fossil sources. The most extensively studied bioprocess is based on the fermentation of carbohydrates, available from lignocellulosic biomass or similar feedstocks, using anaerobic bacteria, usually clostridia. This is commonly known as the ABE fermentation yielding a mixture of acetone, butanol and ethanol. *Clostridium acetobutylicum* has most often been used as biocatalyst for such bioconversion, metabolizing sugars and producing the aforementioned three solvents as end metabolites. Other substrates such as glycerol and other clostridial species have more recently been used as well. Another possible bioconversion process for the production of (bio)alcohols has emerged much more recently. It can use similar feedstocks as for the ABE fermentation, such as biomass, but also municipal solid waste, agro-industrial wastes and a broader range of some other carbon containing materials, which represents a clear advantage (Mohammadi et al. 2011). The feedstock is then gasified in order to obtain syngas on one side, which is a mixture of CO, CO<sub>2</sub> and H<sub>2</sub> mainly and, on the other side,

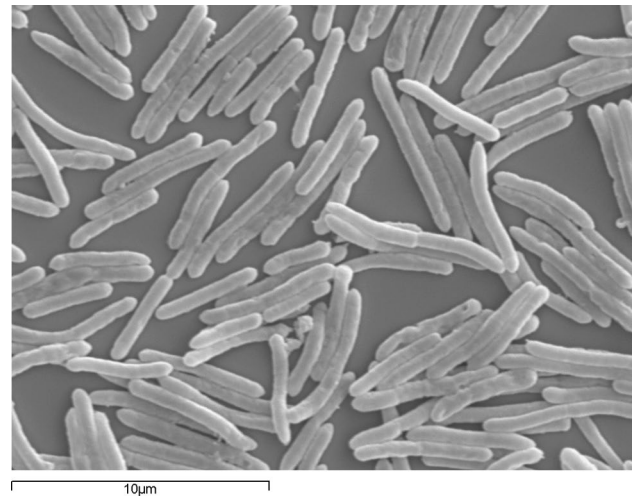
some inert solid residue (ash) is formed as well. This is different from the ABE process, in which the starting material does first undergo pretreatments and hydrolytic treatments to extract simple sugars from the polymeric lignocellulosic structure. This gas mixture (i.e., syngas) is not only obtained through gasification of biomass or waste, it is also found in some industrial gaseous effluents, among others in steel producing processes. Similarly to sugars, syngas and the aforementioned industrial waste gases can be fermented by clostridia and a few other acetogenic bacteria (Drake et al. 2008). In a few strains, this may yield ethanol and, occasionally, higher alcohols. Contrary to the first generation biorefinery processes which are based on the use of sugar containing food crops as feedstock and lead to food-fuel competition (Abubackar et al. 2011; Kennes et al. 2016), the present alternative uses lignocellulosic biomass or wastes mainly or even waste gases and does not generate such food-fuel dilemma. Besides, this gas fermentation technology can reduce the emissions of gaseous pollutants and greenhouse gases such as carbon dioxide and it gives some commercial use to industrial pollutants and agricultural wastes. Only very few strains have so far been proven to be able to convert syngas and CO-rich waste gases into ethanol and higher alcohols such as butanol and hexanol. The best known and most studied species is *Clostridium carboxidivorans*, which will be the focus of this review paper.

### ***Clostridium carboxidivorans*: major morphological and metabolic characteristics**

*Clostridium carboxidivorans* P7 (= ATCC BAA-624 = DSM 15243) is a Gram positive, mesophilic and obligate anaerobic carboxydrotroph, originally isolated from an agricultural settling lagoon in Oklahoma, USA (Liou et al. 2005). Its cells are mobile, with rod shape ( $0.5 \times 3 \mu\text{m}$ ) and can present sporulated forms, which appear like a terminal or subterminal protuberance (Liou et al. 2005) (Fig. 1). Its main morphological, metabolic and growth characteristics are summarized in Table 1 and described more in details below.

### **Substrates, nutrients and products**

*Clostridium carboxidivorans* P7 is able to grow autotrophically with syngas and chemoorganotrophically with a great variety of sugars such as glucose, xylose, fructose, cellobiose and arabinose. It is able to ferment all those carbon sources to produce acids, mainly acetic acid, butyric acid, and hexanoic acid, and alcohols (Liou et al. 2005; Liu et al. 2014; Phillips et al. 2015). Lactic acid, propionic acid and formic acid have also recently been detected in glucose fermentation (Fernández-Naveira



**Fig. 1** SEM picture of *Clostridium carboxidivorans* grown on carbon monoxide

**Table 1** Main characteristics of *Clostridium carboxidivorans*

	<i>C. carboxidivorans</i> P7
Morphology	Rod shape
Size ( $\mu\text{m}$ )	$0.5 \times 3$
Temperature range ( $^{\circ}\text{C}$ )	24–42
Temperature optimum ( $^{\circ}\text{C}$ )	37–40
pH range	4.4–7.6
pH optimum	5.0–7.0
Reference	Liou et al. (2005)

et al., non published data). Suitable carbon and energy sources and their main metabolites are listed in Table 2. Recent interest in that species has mainly been focused on its ability to produce alcohols from syngas and waste gases. *C. carboxidivorans* is one of the few bacteria able to grow autotrophically on syngas, using the gaseous CO, CO<sub>2</sub>, H<sub>2</sub> compounds as carbon or energy source to produce short chain alcohols such as ethanol as well as longer chain alcohols such as butanol and hexanol (Brunt et al. 2010; Dürre 2016; Fernández-Naveira et al. 2016a; Hemme et al. 2010; Liou et al. 2005; Phillips et al. 2015). That organism uses CO and CO<sub>2</sub> as carbon source whereas H<sub>2</sub> is used as source of electrons by means of the enzyme “hydrogenase” (Krasna 1979). Under conditions of inhibition of the hydrogenase enzyme, the bacteria will need another source of electrons, which can then be obtained from CO. As described more in detail below, this is the case in presence of compounds such as NO, which can appear as minor compound in syngas, and has been shown to inhibit the hydrogenase enzyme. However, this provokes also a limitation in the use of CO for the

**Table 2** Major substrates and products of the HBE fermentation in *Clostridium carboxidivorans*

Major substrate	Major products	References
Syngas (CO, CO <sub>2</sub> , N <sub>2</sub> and H <sub>2</sub> )	Acetic acid, butyric acid, hexanoic acid, ethanol, butanol and hexanol	Fernández-Naveira et al., submitted Phillips et al. (2015) Ramió-Pujol et al. (2015) Ukpong et al. (2012)
CO	Acetic acid, butyric acid, hexanoic acid, ethanol, butanol and hexanol	Fernández-Naveira et al. (2016a), Liou et al. (2005)
Sugars such as glucose	Acetic acid butyric acid, hexanoic acid, ethanol, butanol, hexanol, formic acid, propionic acid and lactic acid	Liou et al. (2005), Fernández-Naveira et al., unpublished data

formation of desired metabolites and does consequently result in a less efficient fermentation process (Ahmed et al. 2006).

So far, in terms of solvents, the highest end product concentration has always been found for ethanol followed by butanol and finally hexanol. Those alcohols are produced in that same chronological order during carbon monoxide or syngas fermentation, with short chain alcohols appearing first while longer chain ones appear later on. *C. carboxidivorans* has the typical “biphasic fermentation pattern” of many acetogens producing alcohols, and usually the gas fermentation process takes place in two stages; initially carboxylic acids are produced from the gaseous substrates followed by the subsequent conversion of those acids and remaining gases into alcohols. Besides, exponential biomass growth and acidogenesis (with production of acids) are two related processes and take place simultaneously. The solventogenic phase in clostridia has been considered to start when the conditions are not favourable anymore for growth, i.e. low pH, low ATP levels, accumulation of high concentrations of organic acids, sporulation, low level of availability of reducing energy (Dürre et al. 1995; Dürre and Hollergschwandner 2004; Guedon et al. 1999; Meyer and Papoutsakis 1989). When alcohols are the desired end products, it is necessary to identify the optimum medium composition and conditions for an efficient conversion of accumulated organic acids with the concomitant production of solvents. The suitable range of conditions depends on the bacterial species, and such conditions are shown in Table 1 for *C. carboxidivorans*.

Besides the main carbon and energy sources, several nutrients and trace compounds may be needed as well. In case of *C. carboxidivorans*, a recent study was published in which the effect of different media compositions were analyzed for their effect on growth and butanol production. Removing copper (Cu) from the culture medium and increasing the molybdate (Mo) concentration allowed to improve the production of butanol (Phillips et al. 2015). It was concluded that Mo can be considered to be analogous to tungsten (W), which is related with the enzyme AOR (*aldehyde:ferredoxin oxidoreductase*), an enzyme involved

in the conversion of acids to alcohols. Similarly, the presence of W had previously been proven to stimulate the conversion of carbon monoxide and acetic acid into ethanol in *C. autoethanogenum* (Abubackar et al. 2015). Micronutrients, trace metals or vitamins play a key role in the activity of specific enzymes and in favouring a given metabolic route. Other parameters, described below, such as temperature and pH, will also affect growth, the metabolic behavior and the bioconversion process.

### Temperature

The suitable growth temperature of *C. carboxidivorans* ranges between 24 and 42 °C, but its optimum temperature was found to be 37–40 °C (Liou et al. 2005). “Acid crash”, which is the accumulation of undissociated acids and can inhibit the solventogenic stage, is a phenomenon that depends on temperature (Maddox et al. 2000). Therefore, it is useful to identify temperature conditions that prevent acid crash and allow an efficient solvent production while maintaining a near optimum temperature for growth. The incubation of *C. carboxidivorans* at suboptimal temperature of 25 °C (which is still within the suitable temperature range for growth) was shown to allow to avoid acid crash (Ramió-Pujol et al. 2015). However, a lag phase and a slower bacterial growth were observed than under optimal temperature conditions, while the concentrations of alcohols were somewhat higher than when incubating the same strain at 37 °C. An overview of the production yields of alcohols obtained at different temperatures and pH is presented in Table 3.

### pH

The pH range of *C. carboxidivorans* is between 4.4 and 7.0, but its optimum pH was found to be between 5.0 and 7.0. A few studies have focused on the effect of pH in syngas fermentation. Fernández-Naveira et al. (submitted) studied its effect using the bacterium *C. carboxidivorans* as biocatalyst and a mixture of CO, CO<sub>2</sub>, H<sub>2</sub> and

**Table 3** Different production yields of *C. carboxidivorans* at different temperatures and different pH using CO or syngas as substrates

Substrates	Fermentation systems	pH	Temperature (°C)	Ethanol production rate (g/L h)	Butanol production rate (g/L h)	Hexanol production rate (g/L h)	References	
CO	Bioreactor	4.75	34	0.032	0.013	ND	Fernández-Naveira et al. (2016a)	
		5.75		0.060	0.031	ND		
CO:CO <sub>2</sub> :H <sub>2</sub> :N <sub>2</sub>	Bioreactor	4.75	34	14.32	11.68	4.54	Fernández-Naveira et al., submitted	
		5.75		0.014	0.011	0.01		
CO:CO <sub>2</sub> :H <sub>2</sub> :N <sub>2</sub>	Batch bottles	Growth phase	6	37	0.01	ND/NR	ND/NR	Ramió-Pujol et al. (2015)
					Stationary phase	0.0058	ND/NR	
	Batch bottles	Growth phase	6	25	8.97	4.8	5.15	Ramió-Pujol et al. (2015)
					Stationary phase	0.15	0.0082	
CO:H <sub>2</sub> :CO <sub>2</sub>	Batch bottles	(-Cu/+10 Mo)	NR	37	0.0058	0.0031	0.0026	Phillips et al. (2015)

NR/ND not reported or not detected

N<sub>2</sub> supplied to a continuous gas-fed bioreactor, using two different operating conditions. In a first experiment, a near optimum pH of 5.75 was used and maintained constant during the study. The second experiment was started at pH 5.75, but pH was not regulated in that case and natural acidification took place as a result of the production of organic acids; and once it reached pH 4.75 its value was maintained constant in order to avoid any inhibition at lower pH. The results of that study showed that the highest concentrations of alcohols were observed at pH 5.75, with 2.7 g/L ethanol, 1.9 g/L butanol and 0.85 g/L hexanol; whereas the highest production rates of alcohols were obtained at pH 4.75, reaching 0.048 g ethanol/h g biomass, 0.036 g butanol/h g biomass, and 0.026 g hexanol/h g biomass. Those data, and other related information of production rates, are summarized in Table 3. However, a negative effect on bacterial growth and on the accumulation of acids was observed at lower pH, in the experiment with natural acidification. As a result of the lower accumulation of acids in the first step of that fermentation, lower amounts of alcohols were obtained in the experiment at lower pH compared to the study at a higher, constant, pH of 5.75. Growth rates of 0.0057 and 0.072 h<sup>-1</sup> were observed at pH 4.75 and pH 5.75, respectively. It was concluded that the pH is a critical factor for growth, the accumulation of acids as well as the efficient production of alcohols. Although it has often been assumed that stress conditions, such as a low pH, are necessary for solventogenesis based on data of the ABE fermentation in *C. acetobutylicum*, strong acidification does not seem to be a prerequisite for solventogenesis in the conversion of organic acids into alcohols in hexanol–butanol–ethanol (HBE) fermentation with *C. carboxidivorans*, as a slightly acidic environment (pH 5.75) allowed the efficient conversion of organic acids into alcohols, compared to lower pH values (e.g., pH 4.75).

### Metabolic pathway

*Clostridium carboxidivorans* uses a variation of the Wood–Ljungdahl pathway for the bioconversion of gaseous substrates to end metabolites, where the eastern branch of its pathway involves the enzymes in charge of the conversion of the C1 substrates (CO, CO<sub>2</sub>) to formate, and later on methyl-tetrahydrofolate; and the western branch is composed of the enzymes catalyzing the direct conversion of C1 compounds into acetyl-CoA (Ragsdale and Pierce 2008). Acetyl-CoA is a common intermediate of both branches, and it can either be converted to acetate or to ethanol. Alternatively, acetyl-CoA can also be converted to butyryl-CoA and subsequently into butyrate and/or butanol, or into hexanoyl-CoA and then hexanoate and/or hexanol. Although acetate is a common product of autotrophic acetogens, butyrate and hexanoate are quite more unusual among the acetogenic bacteria isolated so far; the same holds true for butanol and hexanol which are still less common than long chain (C4, C6) fatty acids. Examples of acetogens producing long chain fatty acids (butyric acid, hexanoic acid) and alcohols (butanol, hexanol) from volatile substrates (CO, CO<sub>2</sub>, H<sub>2</sub>) are listed in Table 4, confirming that the production of alcohols is less common than organic acids in such bacteria. So far, ethanol does generally always appear and has been detected in all acetogenic cultures in which higher alcohols (butanol and/or hexanol) are produced. Acetic acid is present in all cases during the gas fermentation, although its presence may often be transient, as it can further be converted to alcohols, mainly ethanol. The Wood–Ljungdahl pathway does hardly yield any energy. One mole of ATP is generated per mole of acetic acid produced. As explained above, biomass growth and acetic acid production are concomitant. Later on, that organic acid can be converted into acetaldehyde which yields ethanol in turn, but with no generation of ATP and no biomass growth



**Table 4** Wild type acetogenic bacteria producing long chain fatty acids and alcohols (C4, C6) from CO, CO<sub>2</sub>/H<sub>2</sub>, or mixtures of all three gases. All those strains are able to produce acetic acid and all the bacteria producing long chain alcohols do also produce ethanol

Bacteria	Butyrate	Hexanoate	Butanol	Hexanol	References
<i>Acetoneema longum</i>	+	NR/ND	NR/ND	NR/ND	Kane and Breznat (1991)
<i>Butyribacterium methylotrophicum</i> *	+	NR/ND	+	NR/ND	Shen et al. (1999)
<i>Clostridium carboxidivorans</i>	+	+	+	+	Liou et al. (2005) Fernández-Naveira et al., submitted
<i>Clostridium difficile</i>	+	NR/ND	NR/ND	NR/ND	Köpke et al. (2013)
<i>Clostridium drakei</i>	+	NR/ND	NR/ND	NR/ND	Gößner et al. (2008)
<i>Clostridium scatologenes</i>	+	NR/ND	NR/ND	NR/ND	Küsel et al. (2000)
<i>Eubacterium limosum</i>	+	NR/ND	+	NR/ND	Jeong et al. (2015)

NR/ND not reported or not detected

\* This *OButyribacterium methylotrophicum* strain is actually considered to belong to the species *Eubacterium limosum* (Jansen and Hansen 2001)

detected. The Wood–Ljungdahl pathway generating ethanol, butanol and hexanol, besides volatile fatty acids and typical in *C. carboxidivorans*, is shown in Fig. 2.

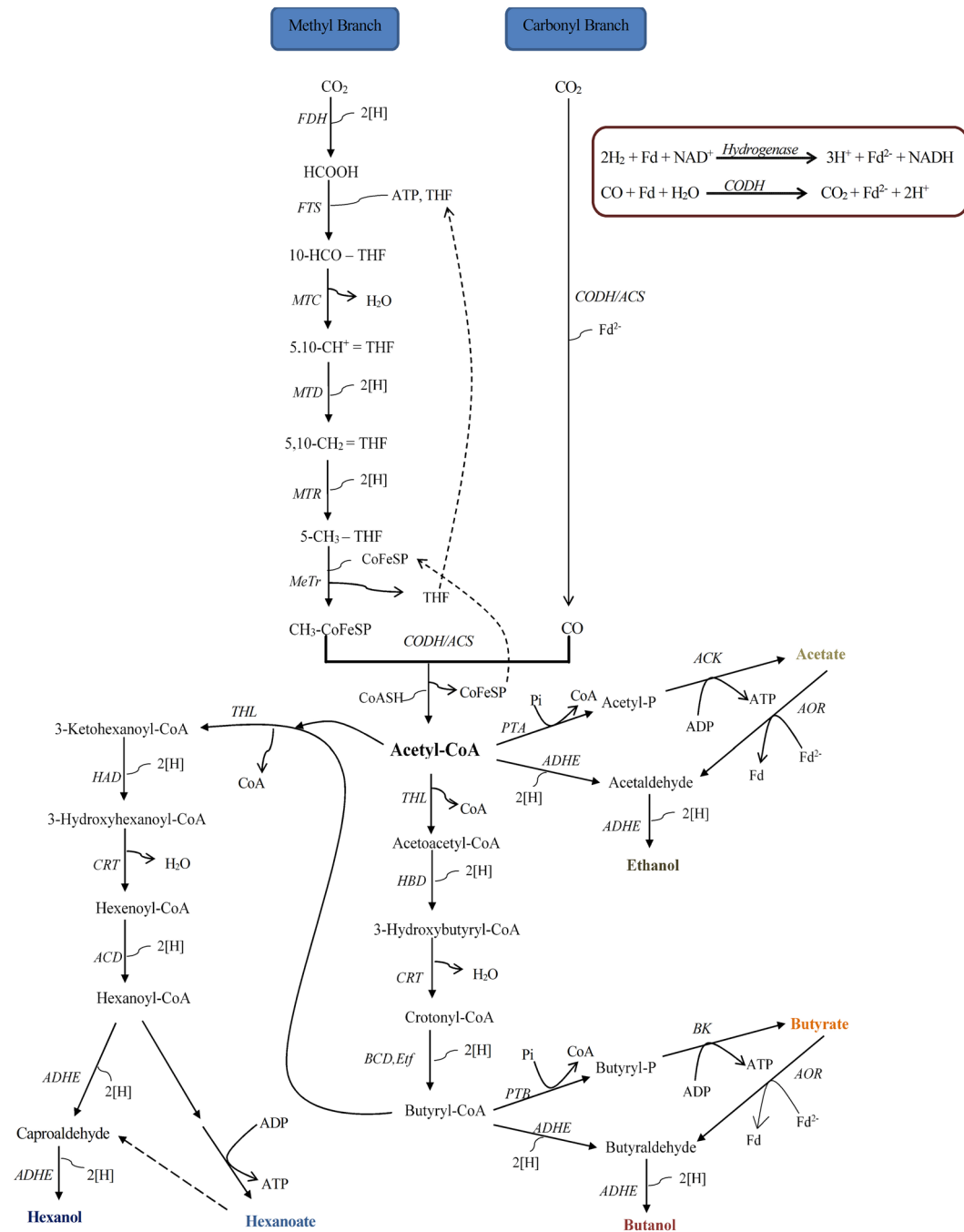
Recent genetic studies have been done on the genomic characterization of novel solventogenic microorganisms such as *C. carboxidivorans* by sequencing the genome and comparing the results with the genome of various other solventogenic bacteria. Bruant et al. (2010) sequenced the entire genomic material of *C. carboxidivorans* and compared that with other major ethanol and butanol producing strains. They found that *C. carboxidivorans* has a complete gene cluster associated with the Wood–Ljungdahl pathway, including the genes involved in CO and CO<sub>2</sub> fixation and conversion to acetyl-CoA, but with the exception of the acetone pathway, as no acetoacetate decarboxylase genes were found in that species. Therefore, the authors concluded that *C. carboxidivorans* is closely related to *C. acetobutylicum* and *C. beijerinckii*, in terms of ABE fermentation pathways for volatile fatty acids, ethanol and butanol, but that it lacks the acetone production pathway. Both *C. carboxidivorans* and *C. acetobutylicum* encode an NADPH-dependent butanol dehydrogenase that allows the conversion of acetyl-CoA into butanol. Other clostridia have been shown to grow on syngas or waste gases (CO, CO<sub>2</sub>, H<sub>2</sub>), such as *C. autoethanogenum*, *C. ljungdahlii*, *C. drakei* but, to the best of our knowledge, none has yet been found to possess such butanol dehydrogenase. The same happens for hexanol, which has so far only been found to be produced in *C. carboxidivorans*. That organism is thus unique in that respect. As shown in Table 5, among the few gas fermenting solventogenic anaerobic bacteria isolated so far, *C. carboxidivorans*, is basically the only species found to be able to produce higher alcohols such as butanol and hexanol.

### Solvent inhibition

Alcohols such as ethanol and butanol are known to exert inhibitory effects on strains such as *C. acetobutylicum* during the ABE fermentation. Besides, it is worth reminding that the inhibitory effect may be different depending on the bacterial species and type of alcohol. Therefore, toxicity levels should be evaluated in each specific case. Recently, the toxic effect of different concentrations of ethanol, butanol or their mixtures was estimated in *C. carboxidivorans* grown on carbon monoxide as single carbon source in bottle batch assays (Fernández-Naveira et al. 2016b). No information is available in the literature on hexanol, but that alcohol is generally produced at lower concentrations during HBE fermentation than its C2 and C4 counterparts. The experiments showed that butanol causes a significantly higher inhibitory effect than ethanol in terms of the bacterial growth rate, the final biomass density and the CO consumption rate. That inhibitory effect was quantified by means of the IC<sub>50</sub> (i.e., the half maximal inhibitory concentration), which reached 14.5 g/L for butanol and 35 g/L for ethanol (Fernández-Naveira et al. 2016b). Mixtures (1:1) of both alcohols have intermediate toxic effects compared to each alcohol individually. The authors concluded that both alcohols have an inhibitory effect on *C. carboxidivorans* at high concentrations. Besides, inhibition is higher in the case of butanol than for ethanol, as a lower IC<sub>50</sub> value was found for the former than the latter (ethanol). These values are rather similar to those found during ABE fermentation of sugars by *C. acetobutylicum*.

### Trace compounds in syngas

Although CO, H<sub>2</sub> and CO<sub>2</sub> are the main components of some industrial waste gases and syngas, they may also



**Abbreviations:** FDH, Formate dehydrogenase; FTS, 10-formyl-H<sub>4</sub>folate synthetase; MTC, 5,10-methenyl-H<sub>4</sub>folate cyclohydrolase; MTD, 5,10-methylene-H<sub>4</sub>folate dehydrogenase; MTR, 5,10-methylene-H<sub>4</sub>folate reductase and MeTr, methyltransferase; CODH/ACS, carbon monoxide dehydrogenase /acetyl-CoA synthase; CoFeSP, corrinoid iron sulphur protein, THF, cofactor tetrahydrofolate. **Acetate production:** PTA, phosphotransacetylase; ACK, acetate kinase. **Butyrate production:** BCD, butyryl-CoA dehydrogenase; BK, butyrate kinase; CRT, crotonase; Etf, electron-transferring flavoprotein; HBD, 3-hydroxybutyryl-CoA dehydrogenase; PTB, phosphotransbutyrylase; THL, thiolase. **Hexanoate production:** ACD, acyl-CoA dehydrogenase; HAD, 3-hydroxyacyl-CoA dehydrogenase. **Butanol/Ethanol/Hexanol production:** ADHE, aldehyde/alcohol dehydrogenase; AOR, aldehyde oxidoreductase. The dotted arrow from hexanoate to caproaldehyde indicates the possible route of hexanoate to hexanol conversion. 2[H], reducing equivalents (NADH or NADPH). Fd, ferredoxin. Fd<sup>2-</sup>, reduced ferredoxin.

**Fig. 2** Wood-Ljungdahl pathway for the production of acetic, butyric and hexanoic acids, as well as ethanol, butanol and hexanol

**Table 5** Experiments with different acetogens; operational parameters, substrates and products of syngas fermentation

	<i>C. ljungdahlii</i>	<i>C. autoethanogenum</i>	<i>C. carboxidivorans</i> P7	<i>C. drakei</i>	<i>C. ragsdalei</i> P11	<i>B. methylotrophicum</i>	<i>Alkalibaculum bacchi</i>
Fermentation system	Two stage fermentation (stage A acidogenesis; stage B solventogenesis)	Continuous syngas bioreactor	Two continuous bioreactors at different pH: Reactor A (4.75) Reactor B (5.75)	–	Batch bottles	Batch tubes	Fed-batch fermentations
Substrates	60% CO, 35% H <sub>2</sub> , and 5% CO	50% N <sub>2</sub> , 20% CO, 20% CO <sub>2</sub> , and 10% H <sub>2</sub>	20% CO, 20% CO <sub>2</sub> , 10% H <sub>2</sub> and 50% N <sub>2</sub>	(H <sub>2</sub> -CO <sub>2</sub> , and CO-CO <sub>2</sub> )	44% CO, 32% N <sub>2</sub> , 22% CO <sub>2</sub> , and 2% H <sub>2</sub>	70% CO, 30% CO <sub>2</sub>	40% CO, 30% CO <sub>2</sub> , 30% H <sub>2</sub>
Products	Stage A (acidogenesis) 5.04 g/L acetic acid and 0.56 g/L ethanol Stage 2 (solventogenesis) 1.98 g/L acetic acid and 5.67 g/L ethanol Butanediol (ND/NR)	1.40 g/L acetic acid 0.07 g/L ethanol and butanediol NR	Reactor A (pH 4.75): 3.45 g/L acetic acid, 2.25 g/L ethanol, 0.72 g/L butyric acid, 1.43 g/L butanol, 0.18 g/L hexanoic acid and 0.72 g/L hexanol Reactor B (pH 5.75): 6.20 g/L acetic acid, 2.7 g/L ethanol, 1.40 g/L butyric acid, 1.9 g/L butanol, 0.40 g/L hexanoic acid and 0.85 g/L hexanol	Acetic acid (NR), butyric acid (NR), ethanol (NR) and butanol (NR)	1.92 g/L acetic acid, 1.01 g/L ethanol and 0.18 g/L butanediol	1.3 g/L acetic acid, 0.3 g/L butyric acid and 0.02 g/L ethanol Butanol (NR/ND)	1 g/L acetic acid, 1.7 g/L ethanol
References	Richter et al. (2016)	Cotter et al. (2009)	Fernández-Naveira et al., submitted	Gößner et al. (2008), Liou et al. (2005)	Köpke and Liew (2011)	Heiskanen et al. (2007)	Liu et al. (2012)

NR/ND concentrations not reported or not detected

contain trace amounts of additional compounds, which could have some inhibitory effect on the biocatalyst. The influence of those trace compounds is barely considered in lab-scale research as prepared gas mixtures are generally used, mimicking the composition of only the major compounds of syngas. Other compounds that can be formed during the gasification process include products such as methane, ethylene, ethane, acetylene,  $\text{NH}_3$ , sulphur compounds and NO, among others (Ahmed et al. 2006; Haryanto et al. 2009).

Although no research has been reported on this with *C. carboxidivorans*, some other alcohol producing species have been studied. Some of those trace compounds have been shown to be potential inhibitors of the fermentation process and bacterial growth. For example acetylene and NO may inhibit the activity of the hydrogenase enzyme, which catalyzes the generation of electrons from  $\text{H}_2$  (Xu and Lewis 2012). When NO inhibits the hydrogenase enzyme, the cells must generate electrons from CO, using the CODH enzyme. Sulphur compounds and ammonia ( $\text{NH}_3$ ) are other compounds that may appear in syngas. A negative effect on the bacterial growth in presence of sulphur compounds has been reported in the ethanol producing species *C. ljungdahlii* (Klasson et al. 1993). Besides, Xu and Lewis (2012) found that the presence of ammonia can lead to the accumulation of ammonium ions ( $\text{NH}_4^+$ ) in the medium, which was observed to inhibit the hydrogenase activity and bacterial growth of *C. ragsdalei*.

### Present and future industrial perspectives

The production of ethanol and higher alcohols, such as butanol or hexanol, by acetogenic bacteria from C1 gases is not a favourable process from an energetic point of view (Latif et al. 2014). However, although it was originally considered that reaching concentrations approaching one gram per liter in wild type bacteria would be impossible or, at least, challenging, recent data confirm that the production of several g/L of butanol and hexanol mixtures, besides ethanol is feasible through this hexanol–butanol–ethanol (HBE) fermentation process. Optimization of the bioreactor operating conditions would allow to further improve such values. The use of metabolically engineered strains is another possible alternative for the improvement of yields and of the end concentrations of metabolites. Some research has been performed in that respect for butanol production with recombinant strains grown on carbon monoxide (Köpke and Liew 2011). However, improvements are necessary and higher butanol concentrations would still need to be reached from C1 gases with such engineered clostridia. Other bacterial strains are able to produce ethanol as single alcohol from syngas/waste gas, sometimes together with butanediol, but with no accumulation of

either butanol or hexanol (Table 4). This is the case of *C. autoethanogenum*, *C. ljungdahlii*, and *C. ragsdalei*, among others (Abubackar et al. 2011). Recent studies undertaken at pre-commercial stage confirmed that such a process may be cost-effective (van Groenestijn et al. 2013). Some demonstration plants have recently allowed to produce ethanol, either from syngas or from waste gases from steel producing industries, with such acetogenic bacteria, reaching promising results. In terms of public safety, it is worth mentioning that, with the exception of only four or five species, most clostridia are non pathogenic at all and do not cause any diseases in humans. Some clostridia can even be used for therapeutic purposes (Kubiak and Minton 2015). Concerning the environmental benefit, it is worth to remind that this HBE fermentation process consumes carbon dioxide, a greenhouse gas, but does also allow to remove carbon monoxide. Although carbon monoxide as such has only a very weak greenhouse effect, it contributes to tropospheric ozone generation, the formation of carbon dioxide, and reacts with hydroxyl radicals in the atmosphere. Those OH radicals would otherwise be involved in reducing the concentration of greenhouse gases such as methane.

The gas fermentation process has attracted interest of some industries and, as indicated above, some demonstration plants have been build recently. The technology has reached pre-commercial stage for the production of ethanol, but not yet for other routes such as the HBE fermentation, and an exhaustive overview of the industrial landscape, among others for the HBE process, would thus be behind the scope of this review. Information on the industrial landscape, mainly for ethanol production, can be found in other recent literature (van Groenestijn et al. 2013; Latif et al. 2014). One of the major companies developing this technology is, among others, LanzaTech which produces ethanol using waste gases from industry or using syngas obtained through the gasification of biomass or wastes. In 2013, that company started pre-commercial operation of a plant in China. Similarly, Coskata, in the US, was using a large variety of biomass sources to obtain syngas and ferment it into fuels and chemicals. Finally, INEOS Bio focuses largely on ethanol production through sugar fermentation, but has also evaluated possible commercialization of the biomass gasification process and its subsequent fermentation.

### Syngas fermentation vs other biological and non-biological alternatives

Biomass, agro-industrial waste or other related feedstocks can be used to obtain either carbohydrates or syngas as potential fermentable substrates, which can both be metabolized by clostridia to produce ethanol and higher alcohols such a butanol. Expensive pretreatments are



needed in order to extract carbohydrates from cellulose and hemicellulose, two major polymers of lignocellulosic feedstocks. However, lignin which is the third polymer found in such feedstocks, does not yield any carbohydrates and is thus useless for this fermentation process. Conversely, all three major polymers of lignocellulosic materials can be gasified to yield syngas, resulting in a better use of the complete feedstock in the gas fermentation process (Liew et al. 2016).

When comparing the biological and the non-biological syngas conversion routes, the former does also present some technical and economical advantages compared to the latter. The biological conversion, through the Wood–Ljungdahl pathway, takes place at near room temperature and atmospheric pressure, or if needed with slight overpressure. Conversely, the chemical Fischer Tropsch (FT) process for the production of chemicals is more complex and requires higher temperatures (150–350 °C) and elevated pressures (e.g., 30 bar). Besides, for the FT process, a specific H<sub>2</sub>:CO ratio close to 2:1 is needed (de Klerk et al. 2013), while syngas composition does generally not reach such ratio. A pre-treatment consisting in a water–gas shift reaction is then required in order to adjust the gas ratio, with the concomitant increased process costs (Liew et al. 2016). On the other side, *C. carboxidivorans* and some other clostridia can metabolize different gas compositions to produce ethanol or higher alcohols, including pure CO, mixtures of CO<sub>2</sub>/H<sub>2</sub>, or mixtures of all three gases, among others. Syngas fermentation is thus simpler and less restrictive. Finally, although the possible inhibitory effect of some trace compounds on bioconversion processes has been mentioned above, the FT process is much more sensitive to some chemicals such as sulphur compounds and has a lower tolerance to their presence than the Wood–Ljungdahl process (Michael et al. 2011; Mohammadi et al. 2011).

However, some potential drawback needs also to be discussed. The most important one is the low aqueous solubility of the volatile compounds of the syngas mixture, when working with bioreactors in which the bioconversion takes place in liquid phase. This results in a poor gas–liquid mass transfer and in limiting rates of supply of the gaseous substrates to the microbial cells, which limits the alcohols production yields. Mass transfer of the volatile substrates can be improved when using micro-bubble spargers. Using pressurized bioreactors would be another alternative to improve the gas solubility and mass transfer, although this will also increase operating costs. Packed-bed bioreactors, such as biofilters or biotrickling filters, with a reduced amount of water and a small water layer between the gas phase and the biofilm (Kennes et al. 2009), have also been suggested to improve the microbial

use of substrates such as carbon monoxide in gas-phase bioreactors (Jin et al. 2009).

## Conclusions

*Clostridium carboxidivorans* is a unique acetogenic bacterium in that it has proven to be basically the only organism isolated so far able to produce a mixture of alcohols, i.e. ethanol, butanol and hexanol, at significant concentrations, from syngas or waste gases which are composed mainly of carbon monoxide, carbon dioxide and hydrogen. From a metabolic point of view, the process does hardly yield any energy; still total concentrations of alcohols of several g/L have already been obtained in stirred tank bioreactors. From a chronological point of view, organic acids (C2, C4, C6, mainly) appear first, followed by the production of the corresponding alcohols. Optimizing the operating conditions, in terms of parameters such as pH, temperature or bioreactor configuration and flow rates, among others, allow to maximize the production of alcohols. The process still needs to be further improved in order to increase yields and productivity, taking into account that the accumulation of high concentrations of alcohols in the fermentation broth will end up inhibiting biomass growth and bioconversion, which may require their removal in-situ from the medium in bioreactors.

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