

The Impact of Cache and Dynamic Memory Management in Static Dataflow Applications

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Abstract

Datafow is a parallel and generic model of computation that is agnostic of the underlying multi/many-core architecture executing it. State-of-the-art frameworks allow fast development of datafow applications providing memory, communicating, and computing optimizations by design time exploration. However, the frameworks usually do not consider cache memory behavior when generating code. A generally accepted idea is that bigger and multi-level caches improve the performance of applications. This work evaluates such a hypothesis in a broad experiment campaign adopting diferent multi-core confgurations related to the number of cores and cache parameters (size, sharing, controllers). The results show that bigger is not always better, and the foreseen future of more cores and bigger caches do not guarantee software-free better performance for datafow applications. Additionally, this work investigates the adoption of two memory management strategies for datafow applications: Copy-on-Write (CoW) and Non-Temporal Memory transfers (NTM). Experimental results addressing state-of-the-art applications show that NTM and CoW can contribute to reduce the execution time to -5.3% and −15.8%, respectively. CoW, specifcally, shows improvements up to -21.8% in energy consumption with -16.8% of average among 22 diferent cache confgurations.

Keywords Multi-core · Datafow · Cache memory · Compilers

1 Introduction

The multi/many-core architecture is a widespread on-chip design, providing high computing power in a small silicon area. The computation power is achieved by supporting tasklevel parallelism, splitting the application into parallel tasks running in diferent cores. A generally accepted expectation

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is that increasing the number of cores naturally leads to better application performance. However, increasing the number of cores impacts other aspects, especially the memories subsystem. Since memories are costly in terms of area and power to be embedded on the chip, the memory hierarchy (cache memories) generally has a reduced on-chip size, making it suffer from the high pressure in systems with a high number of cores. This phenomenon is known as memory wall $[1]$ $[1]$.

From a software aspect, several efforts have been made to allow the efficient development of parallel applications regarding memory footprint, communication overhead, and computing parallelism. Existing for 40+ years, the datafow programming model may eventually stand as the ideal approach to bridge the gap between application and architecture resources. Figure [1a](#page-1-0) presents an overview of the principles of a datafow-based application. The application is represented by a graph, where each node represents an actor having a single computing function (as exemplifed by actor B1 code), and each edges representing the FIFO as a data dependency between two actors. Actors communicate via producing/consuming data tokens. An actor can start the

Figure 1 (**a**) Overview of a datafow application model with four actors. (**b**) Workfow of PREESM framework [\[2\]](#page-16-1).

execution only if required data tokens are available in the input FIFOs and if enough space is available in the output FIFOs.

Dataflow models can naturally make use of parallel resources by means of actors that run in parallel while consuming and producing tokens. Several tokens can be produced and consumed at a time, but a token is produced and consumed only once. This feature favors data spatial locality. While the cache hierarchy also exploits temporal locality, a datafow program may beneft from the latter for instructions and spatial locality for data as consecutive tokens are usually involved. Therefore, datafow applications performance should be improved with the increasing size of caches. However, this paper shows that such an assumption does not hold in regard to multiple cache-based architecture designs.

Taking advantage of the generic principles of datafow applications, some rapid prototyping frameworks have been proposed. Figure [1](#page-1-0)b addresses PREESM [[2\]](#page-16-1), a state-of-theart open-source framework for rapid prototyping of datafow applications in multi/many-cores. It provides a graphical user interface for the designer to generate the application source code. Based on inputs provided by the designer including algorithm (graph of the application) and system constraints (mapping, scheduling and etc.), the framework generates a deadlock-free source code of the application (implemented in C language) and the respective actor mapping on each core, based on spatial and temporal requirements. Due to the well-defned modeling of datafow applications, it is possible to reach design-time optimal scheduling for static applications.

Although the state-of-the-art techniques can lead to theoretical optimal schedules, this article demonstrates that even optimally scheduled applications do not scale as

desired with the increasing number of cores, cache levels, size, and cache sharing factor. As expected, the memory contention is of utmost importance, and the CPU loadbased actor mapping used in the experiments does not lead to the best execution time. Therefore, the frst contribution of this work is to study datafow applications according to diferent caches confgurations, providing experimental results that demonstrate their impact on the application's execution time performance and cache miss. For this, we consider several confgurations, including non-available yet platforms or non-realistic cache confgurations, and use the Sniper simulator [\[3\]](#page-16-2) to foresee the scalability of the considered datafow applications.

From such analysis, the second contribution of this paper consists in the investigation of using two dynamic memory management techniques for datafow code generation: Copyon-Write (CoW) and Non-Temporal Memory (NTM) copying. Those techniques are not new since CoW is supported by Linux OS [\[11](#page-17-0)] and NTM is supported by some processor designs, including Intel [\[12,](#page-17-1) [13\]](#page-17-2). The novelty here is the study of the benefts and drawbacks of both approaches when applied to the datafow programming model, evaluating whether they can contribute to speedup application's execution, reduce cache misses, and save energy. Additionally, those techniques can be used as runtime memory optimization approaches, complementary to static techniques [[14](#page-17-3)]. Moreover, they are applied at the framework level and do not require changes for the application specifcation and code.

In summary, this work has two *contributions*:

• The evaluation of the impact of different cache parameters and number of cores over the performance of static datafow applications;

• The evaluation of two existing memory management techniques (CoW and NTM) for three static datafow applications.

The next section addresses related works that investigate the behaviors of datafow applications running on systems with caches. Next, in Section [3](#page-3-0) the multi-core model assumed in this work is presented. Section [4](#page-4-0) presents the experiments varying cache parameters and the number of core. Section [5](#page-10-0) details CoW and NTM techniques, and Section [6](#page-12-0) presents the achieved results from those techniques. Finally, Section [7](#page-16-3) concludes this work.

2 Related Work

This section highlights studies that target the behavior of datafow applications running on systems with a memory hierarchy. In Domagala et al. [\[7](#page-17-4)], researchers extended the concept of tiling to the datafow model to increase the data locality of applications for better performance by splitting iterations of nested loops. However, this type of optimization does not address the coarse-grain inter-actor (i.e., intertasks) relation.

In Maghazeh et al. [[8\]](#page-17-5), a method is proposed for GPUbased applications by splitting both the GPU kernel into subkernels and input data into tiles in size of GPU L2 cache. Their work is intended to accelerate applications whose performance is bound to memory latency. The method increases data locality, as the sub-kernels are scheduled in a way to have the least cache miss rate, for GPU applications over various settings. However, the method requires source code modifcation and does not target the datafow model. Research about the cache effect on the performance of multiple application types is presented in Garcia et al. [\[6](#page-17-6)]. Garcia et al. have evaluated the impact of Last Level Cache (LLC) sharing in GPU-CPU co-design platform for heterogeneous applications. According to their study, applications with low data interaction between GPU and CPU are sped up slightly by sharing the LLC. Data sharing of LLC minimizes memory access time and dynamic power, and accelerates synchronization for fne-grained synchronization applications.

The cache behavior of multimedia workloads is evaluated by Slingerland and Smith [[4](#page-17-7)]. They appraised data miss rate of applications considering data cache size, associativity, and line size parameters. The authors observed that multimedia applications benefit from longer data cache lines and have more data than instruction miss rate in comparison to other workloads. The experiment results reveal that most of the multimedia applications just need 32 KB data cache size to have less than 1% cache miss rate, while other types of applications (3D graphic, document processing) do not reach the same behavior. As the results of our work will show, sharing cache levels among more cores with larger sizes, up to 256 MB for LLC, does not help the performances of datafow applications, but also results in data access latency overhead.

The work of Alvez et al. [[5](#page-17-8)] investigates the impact of L2 sharing in order to fnd the best cache organization at this level. Assuming the NAS Parallel Benchmark, with heterogeneous workload set, and a 32-core SMP with two levels of caches (private L1-I and L1-D) and an L2, the work changes the sharing, size, associativity, and line size in the L2. Among the mains results, it was observed an execution time decrease when more cores share the L2 cache, even when 2 cores share the same L2. Increasing line size (64 bytes to 128 bytes) contributed to -32% in cache misses and +1.95% in speedup. The work does not address 3-level caches either datafow applications.

Stoutchinin et al. [[9\]](#page-17-9) present a novel framework, called StreamDrive, for dynamic datafow applications. Stream-Drive proposes a new communication protocol, reservepush-pop-release, for datafow model instead of the standard send-receive. This protocol allows their solution to employ a zero-copy communication channel for actors. It employs a blocking mechanism to access FIFOs directly in shared memory; hence, no local copies are needed, which are commonly used in software datafow model. This study is specifc since it focuses on computer vision applications running on a special embedded multi-core platform (P2012) with dedicated hardware computer vision engines. Meanwhile, we propose two solutions to general-purpose architectures that do not require novel hardware components.

Fraguela et al. [[10\]](#page-17-10) propose the concept of a software cache with an autotuning method to confgure its size according to each application. The approach is built upon Unifed Parallel C++ (UPC++) library. It consists of an algorithm called in periodic intervals, which dynamically re-allocates the software cache size. Results show that the software cache can reduce the communication among actors due to the efficient cache sizing and allocation, presenting a hit rate just 0.27% lower than an optimal scenario. Similar to CoW and NTM, that proposal also implements the algorithm as part of a library, however, with a limited evaluation without varying hardware parameters and adopting just one application.

Table [1](#page-3-1) summarizes the main characteristics of the related works addressing the cache impact in parallel applications running in SMPs. The main novelties of this work regarding the related works are twofold: (*i*) we evaluate a wide range of cache confguration in a multi-core architecture, including realistic and non-realistic confgurations; and (*ii*) two existing memory management methods are proposed to be used in datafow application, which can reduce the memory copies penalties in numbers and latency, leading to an improved application execution time and energy consumption.

Author (et al.)	Proposal	Contribution	Benchmark	
Slingerland $[4]$	N.A. (Evaluation work)	Cache profile of multimedia applications	Multimedia applications	
Alvez $[5]$	N.A. (Evaluation work)	Evaluation of L2 properties	Heterogeneous applications	
Garcia [6]	N.A. (Evaluation work)	Evaluation of impact of LLC sharing	Heterogeneous applications	
Domagala [7]	Splitting nested loops	Increased Data locality	StreamIt	
Maghazeh [8]	Splitting GPU kernels to sub-kernel and data input into L2 size	Increased Data locality + Decreased cache miss rate	GPU-based applications	
Stoutchinin and Benini [9]	Novel framework (StreamDrive)	New communication protocol (zero-copy) communication channel)	Dynamic Dataflow applications	
Fraguela [10]	Strategy to improve cache usage in dataflow	Minimize communication among threads	Cholesky decomposition	
This work	Use of two dynamic memory manag. methods (CoW, NTM)	Cache configuration evaluation $+$ Reduction Static Dataflow applications in memory copy penalties		

Table 1 Related works studying the cache impact in parallel applications.

Regarding contribution (*i*), works of [\[4](#page-17-7)[–6](#page-17-6)] are also evaluation works. However, in [[4\]](#page-17-7) the benchmark is limited to multimedia applications, in [[6\]](#page-17-6) the focus is the iteration between the CPU and GPU by addressing a heterogeneous set of applications but not considering datafow, and in [\[5\]](#page-17-8) the Authors did not consider a 3-level cache either the datafow application profle. Therefore, to the best of the Author's knowledge, the present research is the frst to perform a comprehensive evaluation of the cache impact with 3-level and targeting datafow applications.

Regarding contribution (*ii*), it flls diferent gaps from related works focused on proposals $[7-10]$ $[7-10]$. Specifically, we are interested in: (*i*) keeping the original datafow modeling granularity (diferently from [\[7,](#page-17-4) [8](#page-17-5)]); (*ii*) not making modifcation in the Linux-based kernel, or any part of the OS (contrary to [[10\]](#page-17-10)); and (*iii*), targeting generic SMP (diferently from [[9\]](#page-17-9)). We endorse that the techniques of CoW and NTM are not new, and the goal of this study is to replace them in memcpys procedures in order to observe the impact in the cache and in the overall performance of datafow applications, a study that is lacking in the literature.

3 Multi‑Core Model

This section presents the multi-core architecture model adopted in this work.

3.1 Architecture Overview

Figure [2](#page-4-1) presents the architecture overview. We focus on detailing the memory hierarchy since it is the target of this work. The architecture is based on the Intel Xeon X5500 chip. Each core implements the Nehalem Intel microarchitecture [[15\]](#page-17-11), having a private L1 cache with 32KB, a private L2 cache with 256KB, and a shared by four cores L3 cache with 8MB. The chip also includes a triple channel DRAM memory controller to interface with off-chip DRAM memories.

The interconnection is bus-based with 20-bits width, and provides 12.8 GB/s per link in each direction (25.6 GB/s total).

The architecture depicted in Fig. [2](#page-4-1) is the reference multicore model. The actual goal is to exploit diferent core counts and cache confgurations by changing the following parameters:

- **C**: the number of cores (e.g., 4, 8, 16, 32)
- **L2 (xC)**: sharing of L2 cache, where *C* represents the number of cores sharing one L2 cache. For instance, in the baseline architecture, the L2 is $(x1)$, since each core has one L2 cache. An $L2(x2)$ indicates that two cores are sharing the L2. The size of L2 for each core is fxed in 256KB, therefore, in $L2(x2)$, two cores are sharing an $L2$ with 512KB.
- **L3 (xC)**: sharing of L3 cache, where *C* represents the number of cores sharing one L3 cache. It adopts the same rule used in L2. For instance, the baseline architecture (assuming that there are 4 cores in total), adopts an L3 $(x4)$ configuration.
- **L2 size**: the size of the L2 cache dedicated for each core. When a core shares the L2 cache with another core, i.e, L2 ($x2$ or more), the final size of the L2 cache will be multiplied by the number of shared cores.
- **L3 size**: same rule than L2 size.

3.2 Model Description

This work adopts the Sniper multi-core simulator [\[3](#page-16-2)]. Sniper includes the description of the Nehalem cores as well as cache, memory controller, and DRAM.

Sniper is a consolidated system simulator for multicore architectures, used in many state-of-the-art works to evaluate application's performance and, mainly, power

Figure 2 Architecture overview of the baseline multi-core model.

and energy consumption [\[16,](#page-17-12) [17\]](#page-17-13). Sniper adopts an interval-based core model simulation, which allows fast and accurate simulation. The Nehalem cores are by default provided within Sniper distribution. Sniper core model and cache hierarchy are validated against actual Xeon processor using Splash2 benchmarks. Sniper takes as input confguration fles that allow the user to set parameters as cache sizes, cache sharing, number of cores, core frequency, among many others.

Next, in the experimental setup subsection, we present further details about the multi-core setup simulated on Sniper.

4 Experiments on Cache Configurations

This section addresses the frst contribution of this work: experiments evaluating the cache limits for datafow applications. The frst subsection describes the experimental setup. The remaining subsections address the analyses of application's performance varying the following parameters: *C*, $L2(xC)$, $L3(xC)$, $L2$ size, and $L3$ size.

4.1 Experimental Setup

4.1.1 Application Set

Table [2b](#page-5-0) (1st column) lists the applications benchmark addressed in this work. We adopt three real applications named Stabilization, Stereo, and scale-invariant feature transform (SIFT), taken from PREESM repository [[18](#page-17-14)]. Stabilization is used for video stabilization. Its principle is to compensate for the movements of a video recorded with a shaky camera. The main two steps of this process consist of tracking the movement of the image using image processing techniques and creating a new video where the tracked motion is compensated. The input video adopted in experiments comes from PREESM's github repository [[18](#page-17-14)] and has 40.9 MB of size with a resolution of 360x202 pixels.

Stereo is a computer stereo vision application that extracts 3D information from images. Stereo matching algorithms are used in many computer vision applications to process a pair of images, taken by two separated cameras at a small distance, and produce a disparity map that corresponds to the 3rd dimension (the depth) of the captured scene. Stereo **Table 2** Experimental setup settings.

 $cyc = cycles$

 $lat = latency$

LRU = Least Recently Used

a sum of all copied memory using the memopy procedure

matching algorithms and their implementations are still heavily studied as they raise important research problems [\[19](#page-17-15)]. The two input images [[18\]](#page-17-14) adopted in experiments have the size of 506.3 KB with a resolution of 405x375 pixels.

SIFT is used to object recognition in cluttered real-world 3D scenes [[20\]](#page-17-16). The extracted features are invariant to image scaling, translation, and rotation, and partially invariant to illumination changes and affine or 3D projection. The application behavior shares a number of properties in common with the responses of neurons in the inferior temporal cortex in primate vision. The input image [\[18\]](#page-17-14) used in SIFT has a size of 512 KB with a resolution of 800x640 pixels, with 4 levels of parallelism and 1400 number of keypoints.

These three applications are specified through the PREESM framework, which is responsible for the code generation, actors scheduling and mapping, as shown in Fig. [1b](#page-1-0).

Table [2b](#page-5-0) highlights that the applications have heterogeneous memory requirements. Specifcally, the 4th column details the sum of PREESM FIFOs size, which can be understood as the memory footprint of inter-actor communication. SIFT is memory bounded and has high synchronization demands (high number of actors and FIFOs), Stereo is computational and memory bounded, and Stabilization is computational bounded but with low memory and synchronization demands. The heterogeneous memory requirements lead to diferent cache locality and memory footprints, making such applications appropriated candidates for the evaluation of cache impact intended in this work.

We use the optimal scheduling and mapping decision provided by PREESM [\[2\]](#page-16-1), which is focused on workload balancing. The memory allocation adopts advanced memory optimization proposed in [\[14\]](#page-17-3), which considerably reduces the applications' memory overhead. The selected memory allocation uses the *FirstFit* algorithm with *MixedMerged* distributions and *none* data alignment. These features were selected because they have presented the lowest memory footprint at the same time that they are suitable to the target multi-core architecture used in this work. After the generation of C code by PREESM, the applications were compiled using GCC v7.5.0 optimization -O2 (default optimization adopted by PREESM), and simulated on Sniper.

4.1.2 Hardware Setup

The experimental setup adopts the multi-core model described in Section [3](#page-3-0), confgured on Sniper. Table [2a](#page-5-0) presents the hardware setup. These parameters are based on the real Xeon X5500 multi-core.

To evaluate the number of cores and cache sharing we created 22 multi-core cache confgurations, varying the parameters *C*, L2(xC), and L3(xC). Figure [3](#page-6-0) express graphically the reasoning behinds these confgurations. Each confguration is a black spot in the fgure. The confgurations can be divided into 4 groups (diferent background color on the fgure) according to the number of cores $(C = 4, 8, 16, 32)$ in which a given confguration was simulated. Note that the 22 confgurations were not simulated for each *C* confguration. The minimal *C* evaluated for each confguration is dictated according to the sharing factor of the LLC. For instance, we do not evaluate a system with 4 cores for config. 9 (which have $L3(x8)$ as LLC), since it is unfeasible because the L3 sharing (LLC sharing) requires at least 8 cores to meet the sharing factors of L3(x8).

The L2 sharing comprises configurations from $L2(x1)$ up to $L2(x32)$, with most of them (36%) addressing a private L2 cache (since this L2 design choice is found in real architectures like Xeon Nehalem and AMD K10). Some configurations are unrealistic, specially those that have a big L2, as the case of configurations 8, 16, 21, where $L2 = 2MB$; configurations 12, 22, where $L2 = 4MB$; and configuration 17, where $L2 = 8MB$.

Figure 3 Overview of the reasoning behind the 22 cache configuration adopted in the experiments. **C** = number of cores simulated for each confguration.

However, our goal is to address the trend in multi-core processor design, which features always bigger L2 caches.

The L3 sharing also adopts a very heterogeneous confguration set, including no L3 (e.g. confguration 1), one private L3 cache (e.g. configuration 4), up to 32 cores sharing the same L3 (configuration 18-22).

The number of memory controllers is equal to the number of LLC. For instance, confguration 6 executed for 8 cores has two L3 shared by 4 cores $(L3(x4))$. Therefore, this confguration has two memory controllers (one for each L3).

Although the results achieved are based on Xeon architecture, the presence of 22 diferent hardware confgurations, varying the core count and cache sharing and size, helps to project the behavior of the benchmarks in architectures diferent from Xeon, especially those that adopt similar cache organizations.

4.2 Number of Cores – *C*

Figure [4](#page-7-0) shows the application iteration time (time for the application to complete the execution of one loop), for Stabilization (a), Stereo (b), and SIFT (c). The x-axis contains groups of bars, where each group represents one confguration (only the ones that support *C* varying from 4 to 32 were shown), and each bar represents a different *C* to that configuration.

The main evaluation to be extracted from these results is related to scalability with the number of cores *C*. It is possible to observe that Stabilization presents a continuous reduction in the execution time according to a higher *C*, reducing its execution time on average -46% from 4 to 8 cores, -43% from 8 to 16 cores, and -39% from 16 to 32 cores. However, the same does not occurs to Stereo and SIFT, which have a moderate or even worst improvement in $C \geq 16$, with Stereo presenting an execution time of -22%, -1.3%, +2.6%, for an increase in *C* of 4 to 8, 8 to 16, and 16 to 32, respectively.

Observing Table [2](#page-5-0)b, it is possible to note that Stereo and SIFT have a higher FIFOs size compared to Stabilization, which puts more pressure on the cache subsystem and does not allow the application to entirely beneft from a higher core count (reaching a memory wall).

It is also possible to observe that there are different performances among the configurations of the x-axis. Such performance is impacted due to the different L2

Figure 4 Application iteration time over diferent number of cores for three applications: (**a**) Stabilization, (**b**) Stereo, (**c**) SIFT.

and L3 sharing configurations. The next two subsections enter into details about the impact of L2 and L3 sharing.

4.3 L2 Sharing

Figure [5](#page-7-1) presents a comprehensive evaluation of the L2 sharing impact over the execution time, L2 miss rate, and L2 miss rate for the three applications. The left y-axis of each plot represents the application iteration time, the right y-axis represents the miss rate, and the x-axis represents the configurations.

Each application has 4 plots, one for each simulated *C*. As the purpose is to evaluate the results only varying L2 sharing, the plots have the L3 sharing fxed according to the maximum number of cores (as well as in the Xeon architecture).

The L2 miss rate decreases for all applications, more sharply for Stabilization (-59%), and less signifcantly for SIFT (-23%), and Stereo (-22%), considering the average

Figure 5 L2 sharing evaluation for three applications. (**a**) Stabilization, (**b**) Stereo, (**c**) SIFT.

between the leftmost confguration and the rightmost confguration. This decrease in L2 miss rate happens because a high L2 sharing increases the probability of an actor to share a FIFO inside the same L2 that is being shared with another actor (without the need to retrieve the data at the L3 cache level). The decrease is less significant in high memory demand applications – as SIFT and Stereo – since they naturally require more memory than Stabilization.

The L3 miss rate increases for all applications according to the higher L2 sharing. Such increase makes the L3 reach high miss rates of 84.3% for SIFT, 84% for Stereo, and 66.32% for Stabilization in confguration 22. Again, the memory demands of each application play an important role to stress the cache. The number of L3 accesses helps to justify this L3 miss rate increase. With a more shared L2, the L3 accesses consequently decreases, reaching, on average of -39.7% for Stabilization, -32.3% for Stereo, and -17.6% for SIFT. This makes the L3 lose temporal and spatial locality and increasing its miss rate, which transfers the data access to DRAM level and delays the execution time.

The execution time remains constant for Stabilization regardless of higher L2 sharing. For Stereo and SIFT, it remains constant for $C = 4, 8$, but for $C \ge 16$, the execution time starts to increase from $L2(x2)$, reaching up to $+56\%$ of increase for Stereo and to $+17\%$ for SIFT L2(x32). This increase in execution time is attributable to the signifcant increase of the L3 miss rate compared to a not-so-high decrease of the L2 miss rate, which generates miss penalties from both sides (L2 and L3 caches).

In summary, increasing L2 cache sharing is not benefcial to datafow applications, specifcally those that demand more memory as in the case of Stereo and SIFT. This is in compliance with the cache design choices of some processor architectures as Intel Nehalem and AMD K10, which use private L2 caches. As can be observed from the results, assigning to each core a private L2 reduces the execution time since this allows a more balanced rate of L2 and L3 misses, which reduces cache contention earlier avoiding data to be fetched in a higher level of caches or even DRAM.

4.4 L3 Sharing

Figure [6](#page-9-0) presents a similar set of plots of L2 sharing analysis, but now varying L3 sharing. The L2 sharing is fxed in $L2(x1)$ since the previous subsection has shown that this is the best L2 sharing confguration.

The results show three trends: (*i*) L2 miss rate remains constant; (*ii*) L3 miss rate decreases significantly according to the increasing of L3 sharing; and (*iii*) the execution time can beneft from a higher L3 sharing.

Regarding the L2 miss rate, it is expected that it remains constant since the L2 was not changed. Regarding the L3 miss rate, it decreases significantly for all applications according to higher L3 sharing, reaching a miss rate in the L3(xC) of, on average, 9.3% for Stabilization (-87.34%), 8.4% for Stereo (-87%), and 37.8% for SIFT (-38%). This result is expected since a higher L3 sharing allows all application data to ft on the L3 cache (note that SIFT presented the lowest improvement due to its higher memory demands). Consequently, the execution time also benefts from this L3 miss rate decrease, specifcally for the applications with higher memory demands such as Stereo and SIFT.

In summary, increasing L3 cache sharing is benefcial to datafow applications, specifcally those that demand more memory. A single L3 cache is slower but larger, allowing it to store all application data on it.

4.5 Cache Size

In the previous L2 and L3 sharing analysis, it was possible to conclude that an private L2 and an L3 shared by all cores presents the best results related to application speedup and L2/L3 miss rate. To the cache size evaluation, we keep this sharing confguration, and changed only the size of L2 or L3 per core, creating 15 new cache confgurations (3 varying L2 size \times 5 varying L3 sizes). Besides, the evaluation only addresses confgurations with 32 cores, since lower core count have presented the same trend and are not interesting in terms of a state-of-the-art analysis.

Figure [7](#page-9-1) shows the results varying the L2 size (256KB, 512KB, and 1MB) at x-axis. The left y-axis represents the application iteration time, and the right y-axis represents the cache miss rate. Each plot represents one application, with each one having 3 sets of results representing diferent L3 sizes.

It is possible to observe that the increase in L2 and L3 size has a low infuence on the L2 and L3 miss rate for all applications. The execution time has a small reduction according to higher L2 sizes, however, this value is insignifcant, representing an average reduction from the lower L2 size (256KB) to the higher L2 size (1MB), of -0,49% for Stereo, -1.76% for SIFT, and -4.62% for Stabilization.

The results varying the L3 sizes follows the same trend observed for L2. Figure [8](#page-10-1) shows an example with the L2 size fxed in 512KB (other L2 sizes present very similar behavior). It is possible to see that both L2 and L3 cache misses remains stable, and with an insignifcant reduction in the execution time (not better than -0.26% for all applications).

In summary, increasing the L2 and L3 sizes does not guarantee an automatic improvement for datafow applications. In such a case, when a higher amount of hardware resources cannot provide speedup to the application, other aspects must be taken into consideration, specifcally at the software level, by allowing the mapping and scheduling algorithms to make better use of such availability of resources and improving the parallel workload of the application.

Figure 6 L3 sharing evaluation for three applications. (**a**) Stabilization, (**b**) Stereo, (**c**) SIFT.

4.6 Summary of Findings

Bigger is not always better with datafow; increasing the number of cores, cache levels, size, does not guarantee a faster application execution. This fnding is especially signifcant for working sets that demand more than the total cache size.

The next items summarize the fnding for each analysis:

- **Number of cores**: increasing the number of cores does not guarantee automatic improvement in the execution time, since the overhead of cache protocols and required synchronization does not allow applications to increasingly speedup, specifcally the ones with more memory demands.
- **Cache sharing**: reducing L2 sharing and increasing L3 sharing was the most beneficial configuration for the addressed datafow applications.

Figure 7 L2 cache size comparison varying L2 size over multiples L3 sizes. (**a**) Stabilization, (**b**) Stereo, (**c**) SIFT.

Figure 8 L3 cache size comparison varying L3 size with an private L2 of 512KB. (**a**) Stabilization, (**b**) Stereo, (**c**) SIFT.

• **Cache size**: increasing the L2 and L3 sizes have an insignifcant efect on the adopted datafow benchmarks.

One interesting fnding is that private L2 and L3 shared by all cores was the confguration that presented the best results related to application speedup and L2/L3 miss rate. While this conclusion can sound similar as Intel had reached some years ago, justifying its current cache organization with L3 shared by all cores, it was not so apparent from our point of view. First, our focus was to evaluate the impact specifcally for datafow applications, research that, to the best of our knowledge, was not addressed yet. Secondly, our initial hypothesis was that when two actors – sharing the same FIFO – are mapped on different processors that share an L2 cache (increased sharing factor), this will improve performance due to the reduction in the coherence traffic and the L2 miss rate reduction. This behavior is supported by the results (Fig. [5](#page-7-1)). However, this leads to a higher miss rate for L3, which has higher penalties than L2, and consequently, has a higher infuence on the execution time, as shown in the case of the three applications studied (Fig. [6](#page-9-0)).

Table [2b](#page-5-0) shows that PREESM uses memory copying mechanisms extensively for FIFO handling. Some memory copying is expected in a datafow design; however, memory copying is done to the degree that negates the cache hierarchy benefts. Therefore, alternative approaches must be investigated to allow reducing memory copies penalties at runtime. The next sections detail the research made in this sense.

5 Dynamic Memory Management Techniques

This section presents the second contribution of this work: the evaluation of two dynamic memory management techniques and its impact when used in the context of static dataflow applications. These techniques are Copy-on-Write (CoW) and Non-Temporal Memory (NTM) copying. They are not novel in their principle, CoW is a wellknown approach supported by Linux OS by the mmap() syscall [[11\]](#page-17-0), and NTM is essentially a direct RAM-to-RAM copy, supported in some Intel processors [\[12\]](#page-17-1). The novelty here is to exploit opportunities of using such techniques in datafow frameworks, and quantify how much they can improve the applications execution time and system energy by saving memory transfers.

First, Subsection [5.1](#page-10-2) presents the motivation to employ these techniques in datafow applications. In sequence, the Subsection [5.2](#page-11-0) presents CoW, and Subsection [5.3](#page-11-1) presents NTM.

5.1 Motivation

Figure [9](#page-10-3) shows a fork-join actor graph implemented as a datafow application. Actor *A* produces data tokens *t1* and *t2* to actors *B1* and *B2*, which access those data, process, and generate a token to actor *C*, which merges the data and generates the application output.

(a) Classical dataflow fork-join application

(b) Memory optimization proposed by [14]

Figure 9 Communication overview among actors of a datafow-based fork-join application.

A memcpy is used to transfer a data token between actors. A non-overlapping memory space (dedicated bufer), is required for each actor, since producer actors (for instance, actor *A*), can, during its processing, modify the data produced but not consumed yet in its output buffer. And the other way round, a consumer actor can use the input bufer for temporary values. Besides this fork and join pattern, datafow applications can also have broadcast and roundbuffer actors which also assumes non-overlapping memory spaces between actors [[14\]](#page-17-3).

Taking advantage of this high waste of memory and time by applying memory copies, Desnos et al. [\[14\]](#page-17-3) proposed memory reuse techniques over those datafow applications. In summary, the designer can inform the framework which bufers can be merged in the same memory space, resulting in the graph presented by Fig. [9b](#page-10-3). Thus, the memcpy are avoided, helping to signifcantly save memory footprint and execution time.

However, such design-time approach only works assuming two conditions: (*i*) the designer must know the framework and the application very well in order to extract applications behavior and to model into the framework the desired memory reuse; (iii) it only works for buffers that are known to be read-only over all the actor lifetime, as the case of bufer *t1* of actor *B1* of Fig. $9a$ $9a$. If the actor – due to a branch in its algorithm flow – chooses to write in *t1* buffer space, these memory reuses cannot be adopted. Even if the actor has a probability of less than 1% to write in this buffer, the memory reuse cannot be applied since it is fundamentally a design-time exploration technique.

Thinking about how to fulfll this lack, our idea is to investigate two dynamic memory management techniques which are CoW and NTM. Diferently from static memory management, they were designed to be used at runtime, and have the potential to avoid unnecessary memory copies (CoW), and cache trashing (NTM). In the next subsections, we present the details of each one and how they were implemented in our multi-core model, as well as, the evaluation of its drawbacks and benefts.

5.2 Copy‑On‑Write (CoW)

Figure [10](#page-12-1) details the CoW concept. The principle of CoW is simple. It consists in allowing two or more threads (actors in our case) to share to the same memory space. When one thread attempts to write in that space a new memory space is dynamically created, bringing the data with it (a copy on write). It thus prevents the writing thread from overwriting the data in the frst memory space [[11\]](#page-17-0). Figure [10](#page-12-1) depicts at time *t*1 thread *A* and thread *B* pointing to the same memory space 1. At the time *t*2, thread *B* writes in the memory space 1. At the time *t*3, the OS detects this write and makes a copy of the memory space, creating the memory space 2 and making thread *B* point to it. Now, any data written/read by thread *B* will be placed/accessed in memory space 2.

This functionality can be implemented in a datafow application by making the bufers involved in a given operation (like a fork, join, and broadcast) point to the same memory space after the producer actor writes data in this space. If the destination buffer receives a write attempt by any actor, a CoW happens, preserving the original values of the buffer to the consumer actor.

The core code change to support CoW is shown on the right side of Table [3](#page-12-2) as a single line. Initialization and termination has been omitted for this example. The CoW mechanism is achieved by mapping all destination bufers (named dst buffer) into the same physical address, which is referenced by fle descriptor shm_open_fd. This latter address was initialized by the shm_open procedure. Besides, we map the region as private (MAP_PRIVATE) with read and write permissions (PROT_READ | PROT_WRITE). The combination of these two fags will create a new copy of the physical address when a write has been made to the memory area (in other words, a copy-on-write). Finally, we allow the OS to decide the virtual address of this new buffer by passing nil (NULL) as the frst parameter to mmap.

The CoW procedure is typically handled by the OS kernel. Unfortunately, the Sniper simulator has limited operating system modeling capabilities to evaluate kernelbased strategies [\[3](#page-16-2)]. Thus, for our experiments, we used a combination of user- and kernel-space interaction so that Sniper can account OS overhead accurately. Specifcally, in our implementation a buffer is mapped to CoW without the PROT_WRITE fag. Any future attempts to write in the buffer will trigger an exception (SIGSEGV signal^{[1](#page-11-2)}), which interrupts the causing thread. Then, an exception handler implemented at user space changes the ofending memory page to use CoW. In regard to the code presented in Table [3,](#page-12-2) we change the capability of the memory regions to read-only (removing fag PROT_WRITE), and install a signal handler to re-enable the write capability for this mapping.

5.3 Non‑Temporal Memory (NTM) Copying

NTM copying is ideal for memory spaces known to be writemostly or rarely used (i.e., poor temporal locality). This approach uses either (*i*) instructions that bypass the cache hierarchy or (*ii*) userspace RAM-to-RAM DMA. For the x86 architecture, (*i*) is available using SSE extensions [\[12](#page-17-1)], and (*ii*) through the I/OAT DMA engine available in some processor designs [[13\]](#page-17-2). In any case, the memcpy procedure is replaced by another procedure that uses either technique specialized for memory transfer and therefore, avoiding CPU to be executing instruction of data transfers. Another

¹ SIGSEGV is a synchronously-generated signal and is guaranteed to be delivered to the causing POSIX thread [\[22\]](#page-17-17).

Figure 10 Principle of the Copy-on-Write (CoW) mechanism.

beneft of employing the NTM mechanism is that cached data from other applications are not trashed due to the copying required by any given application.

Since these approaches avoid the cache hierarchy, their operation is slower compared to memcpy. Intel shows that RAM-to-RAM achieves approximately half the speed of memcpy for large transfers (≥ 8 MiB) and many times slower for smaller transfers on $x86$ [\[13](#page-17-2)]. Table [4](#page-13-0) depicts the results obtained by using NTM instructions.

The core code change to support NTM is shown on the right side of Table [4](#page-13-0) as a for-loop structure. Initialization and termination has been omitted from this table. The procedure mm stream si32 is provided by Intel to call the appropriate assembly instruction for NTM operations. It copies 32 bits from a value (src_buffer[i]) to a given pointer (dst_buffer[i]). After the end of the for-loop, the data is copied to the area pointed by dst buffer. Thus, the result is the same as calling memcpy but the related data will not be present in the caches if they were not already there before mm stream si32 is first called.

6 Results

This section presents the results about CoW and NTM using the three datafow benchmarks and the 22 confgurations.

6.1 Experimental Setup

All applications used in these experiments were generated using the PREESM framework (version 3.4), compiled using GCC v7.5.0 optimization -O2, and executed on Sniper simulator. The energy estimation is performed with McPAT [[21\]](#page-17-18), which is integrated into Sniper and provides reliable power and energy fgures broadly used in state-of-the-art works [\[16,](#page-17-12) [17](#page-17-13)].

We develop an algorithm implemented in Python script language, which has as input the generated code of PREESM and has as output the new application code using the CoW or NTM technique. This algorithm detects in the code the memcpy patterns, which are candidates to be replaced by CoW or NTM. The algorithm is fully automatized, in the sense that

Table 3 Core change for supporting the CoW mechanism, assuming: (1) src_buffer is the source buffer, (2) dst_buffer is the destination buffer, (3) copy length is the copy length, (4) shm open fd is a file descriptor created with the shm open system call.

Original Code			CoW mechanism	
			memcpy(dst buffer, src buffer, copy length); void *dst buffer = mmap(NULL, copy length, PROT READ PROT WRITE, MAP PRIVATE, shm open fd, 0);	

it detects the memcpy patterns by looking for join-broadcast, and broadcast datafow patterns [[14\]](#page-17-3). The algorithm can also be tuned with a parameter ψ , which allows defining a minimum threshold in the data size of a memcpy operation. By using ψ it is possible to eliminate small-size memory and only target the ones which transfer a large amount of data.

Algorithm 1 presents the method to detect the memcpy patterns which are candidates to be used in CoW and NTM. As input, the algorithm has three parameters: *src*_*o*: the application source code generated by PREESM; *t*: a fag that selects between CoW or NTM; and ψ : the parameter that indicates the minimum memcpy data size. Line 1 and 2 initialize two sets, called *join*_*broad*_*set* and *broad*_*set*, which will store the destination buffers' names of memcpys related to join-broadcast and broadcast-only, respectively. In line 3, the function *extract*_*memcpy* extracts from *src*_*o* all memcpy instance generated by PREESM, achieving the following information from each memcpy: data transfer $size$, *source* buffer, *destination* buffer, and the type (JOIN, BROADCAST, FORK, and ROUNDBUFFER [[2\]](#page-16-1)). The type is easily extracted due to a PREESM's characteristic in which it classifes the memcpy during its code generation, inserting its type as a comment in the line above each memcpy. All the memcpy instances are inserted in the list called *memcpy*_*list*.

Lines 5–14 identify join-broadcast patterns evaluating each element *mj* of *memcpy*_*list*. The condition of line 6 checks if the *mj* is a JOIN, if its destination bufer is not already in *join*_*broad*_*set*, and if the memcpy data size meets ψ . Once this check is true, the algorithm advances to the phase (lines 7 and 8) to confrm that the destination bufer of JOIN operation is also involved in BROAD-CAST. Once the buffer matches a join-broadcast pattern, it is inserted in the *join*_*broad*_*set* in line 9.

Lines 15-22 identify broadcast-only patterns evaluating each element *mb* of *memcpy*_*list*. Line 16 tests if *mb*'s type is BROADCAST. Another important verifcation is to check if the bufer is not in the *join*_*broad*_*set*, eliminating it if true. The same test of line 16 also seeks to eliminate the memcpys with a size lower than ψ . In case all conditions are meet, the destination bufer is added to the *broad*_*set* at line 18.

The last part of algorithm (lines 23–27) focused in verifying the value of *t*, calling the respective function which will applies CoW (line 24) or NTM (line 26). These functions evaluate all memcpys from *memcpy*_*list*, selecting those one containing the bufers name in *join*_*broad*_*set* and *broad*_*set* . The output of the algorithm is *src*_*t* ,

comprising the *src*_*o* with the matched memcpys replaced by the code presented in Table [3](#page-12-2) and Table [4](#page-13-0).

Table [5](#page-14-0) details the values of ψ (2nd column) used for each application. The table also details the number of memcpy addressed in CoW and NTM (3rd column), and its respective total size (4th column).

Table 5 Memcpy profle addressed in CoW and NTM.

Application	W	$#$ memcpy	Total memcpy size
Stabilization	200KB		0.21 MB
Stereo	400KB		2.4 MB
SIFT	400KB	8	24.4 MB

Table [5](#page-14-0) shows that SIFT has more available memcpy to be optimized. The ψ was defined as 400KB for SIFT and Stereo. Stabilization does not have such a large memcpy, therefore we reduce the value of ψ to allow the algorithm to consider the bigger memcpy size of the application. These values of ψ were achieved after a design-time analysis and represent to the best execution times achieved for each application.

The next subsections present the results achieved by replacing the memcpy either using NTM or CoW for the three applications.

6.2 Non‑Temporal Memory Copying (NTM)

Figure [11a](#page-14-1) presents the iteration execution time for all benchmarks using NTM. It is noticeable that execution time is slightly reduced in most of the cases, reaching up to -5.3% for Stabilization in confguration 21. The average

execution time reduction was -1.9% for Stabilization, -1% for SIFT, and -0.3% for Stereo.

NTM also provided a small energy reduction, in average -0.84% (*𝜎*=0.7) for Stabilization, -0.2% (*𝜎*=0.5) for Stereo, and -1.03 (σ =1.1) for SIFT, reaching up to -2.7% for SIFT at configuration 16.

Figure [11](#page-14-1)b focuses on SIFT (high memory footprint application) and presents a perspective between the bars: L₃ miss rate, execution time, and energy, with the lines that show the absolute number of DRAM accesses without NTM and with NTM. It is possible to observe that energy is reduced in most confgurations, reaching up to -2,7% to confguration 16. The L3 cache miss was barely afected, presenting an average decrease of -0.13% with a slight DRAM increase compared to its respective version without NTM $(+0.14\%)$.

Figure [12](#page-15-0) presents results for all applications on confguration number 18 (private L2 and L3 shared by all cores), which was the cache confguration that, in general, presented the best results considering speed-up and L2/ L3 miss rate from previous cache analysis (see Section [4](#page-4-0)). NTM has presented improvements for all applications on this confguration, specifcally for SIFT and Stabilization. Note that, despite Stabilization has a low memory footprint, the execution time reduction is higher than SIFT and Stereo, at cost of more L3 miss rate. On another side, SIFT presents a modest execution time reduction, but also

Figure 11 Results using NTM. (**a**) Evaluation of execution time. (**b**) Detailed evaluation for SIFT application.

Figure 12 Results for confguration 18 using NTM. (**a**) Stabilization. (**b**) Stereo. (**c**) SIFT.

achieves reduction in all cache hierarchy, and specifcally, in L1-D and L1-I access.

In summary of all results, it was possible to observe that NTM can improve the execution time and reduce energy, however, the gains were modest, not better than -1.9% in execution time and -2,7% in energy consumption considering all results.

6.3 Copy‑On‑Write (CoW)

Figure [13\(](#page-15-1)a) presents the iteration execution time for all benchmarks using CoW. An average execution time reduction can be observed for Stabilization (-2%) and, most importantly, to SIFT (-10%), which reaches up to -15.8% for confguration 10. It is expected that SIFT benefts more from CoW since it has a large number of buffers used in memcpy compared to the other applications. On the other side, Stereo presents an average execution time increase of 1.3%. Stereo is known to be computation-intensive, and, therefore, the access to bufer mapped as CoW is less frequent than in Stabilization and SIFT, which makes the overheads of CoW (create shared memory and call of mmap()) overcome its benefits.

An energy reduction was achieved for all applications (-7.6% on average), with an average reduction of -2% for Stabilization, and -1.3% for Stereo. Again, SIFT is the application that benefits the most from CoW regarding energy. Figure [13b](#page-15-1) shows an overview of energy consumption for SIFT (bar graph) to the 22 configurations. On average, the energy reduction was -16.8%, reaching the best result of -21.8% to configuration 8. Again, SIFT benefits greatly from the CoW which allows data to be used without having to wait for the memcpy to complete. This behavior significantly affects the use of the CPU, which saves instructions in memcpy. This result can be observed following the dotted line of Figure [13b](#page-15-1), which shows a significant reduction of L1-I (instruction cache) accesses of, on average, -62.3% ($\sigma=3.4$).

Figure 13 Results using CoW. (**a**) Execution time evaluation. (**b**) Energy evaluation.

Figure 14 Results for confguration 18 using CoW. (**a**) Stabilization. (**b**) Stereo. (**c**) SIFT.

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Figure [14](#page-16-4) presents results for all applications in configuration 18. As expected, all applications have a reduction in the number of instruction access from the L1-I cache, which is justified by the saved memcpy instructions by using CoW. The instruction access gains progresses accordingly with the size of application's memcpy (as depicted in Table [5](#page-14-0) (4th column)), with Stabilization presenting -0.41% less L1-I access, Stereo -29.8%, and SIFT -46.5%. This effect impacts the energy consumption and execution time, especially for SIFT, which benefits more from CoW due to its larger memory transfer profile.

7 Conclusion

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This work presents a broad analysis of the impact of cache hierarchy configuration over static dataflow applications. In total, 37 diferent cache confgurations (resulting in 213 simulations with 3 real applications) were adopted to evaluate variations in core count, L2/L3 sharing, and L2/L3 sizes. From this analysis, it is possible to conclude that bigger is not always better in terms of core count, L2 sharing, and L2/L3 size, since other aspects as efficient parallel workload division and computation/communication profle can prevent the application to beneft from more cache memory resources. This analysis shows that private L2 and L3 shared among all cores provide the best results in terms of application speed-up and L2/L3 cache miss for the adopted datafow applications. As the second contribution, this work investigates the benefts of using copy-on-write (CoW) and non-temporal memory transfer copies (NTM) in datafow applications. Results have shown that both techniques can contribute to improve execution time and save energy. NTM presents a modest reduction in execution time (up to -5.3%) and energy (up to -2.7%). CoW – specifically when used in applications with bigger memcpy transfers $(\geq 400KB)$ – shows important reductions, achieving up to -15.8% in execution time and -21.8% in energy consumption. These techniques are complementary to static state-of-the-art memory optimization approaches like [[14](#page-17-3)], acting at runtime to reduce cache thrashing (NTM) and unnecessary data movements (CoW) among datafow actors.

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Future works include applying such evaluation in a different cache memory architecture, like distributed sharedmemory systems. On the software side, some research can be conducted about source code generation from datafow specifcation optimized to the cache hierarchy of the target SMP.

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Declarations

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