NEPHROLOGY - REVIEW

Efect of sodium–glucose cotransporter 2 inhibitors on hemoglobin and hematocrit levels in type 2 diabetes: a systematic review and meta‑analysis

Mehmet Kanbay^{[1](http://orcid.org/0000-0002-1297-0675)}[®] · Laura Tapoi² · Carina Ureche² · Cem Tanriover³ · Enes Cevik³ · Atalay Demiray³ · Baris Afsar⁴ · **David Z. I. Cherney⁵ · Adrian Covic6,7,8**

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Abstract

Background Sodium–glucose cotransporter 2 inhibitors (SGLT2i) improve outcomes of patients with type 2 diabetes at high cardiovascular risk and chronic kidney disease. Recent studies showed an increase in hemoglobin and hematocrit after SGLT2i treatment.

Materials and methods We did a systematic review and meta-analysis of randomized, double-blind, placebo-controlled studies of SGLT2i in patients with type 2 diabetes. We searched through PubMed/Medline, Web of Science, Embase (Elsevier), and the Cochrane Central Register of Controlled Trials (Wiley) from January 2010 to January 2021.

Results We included seventeen randomized, double-blind, placebo-controlled studies. The total number of evaluated patients was 14,748. The treatment arm consisted of canagliflozin, dapagliflozin, empagliflozin and ipragliflozin. SGLT2i therapy signifcantly increased hemoglobin levels when compared to placebo (MD 5.60 g/L, 95% CI 3.73–7.47 g/L, *P*<0.00001, considerable heterogeneity— l^2 = 94%). Each SGLT2i also led to a significant increase in the hematocrit level when compared to placebo (MD 1.32%, 95% CI 1.21–1.44, $P < 0.00001$, considerable heterogeneity— $I^2 = 99\%$).

Conclusions SGLT2i led to signifcant increases in hemoglobin and hematocrit levels when compared to placebo. In addition to their cardiovascular efect, SGLT2i also increases hemoglobin and hematocrit levels.

Keywords SGLT2 inhibitors · Anemia · Diabetes mellitus · Dapaglifozin · Canaglifozin · Empaglifozin · Ipraglifozin

 \boxtimes Mehmet Kanbay drkanbay@yahoo.com; mkanbay@ku.edu.tr

- ¹ Division of Nephrology, Department of Medicine, Koc University School of Medicine, 34010 Istanbul, Turkey
- ² Cardiovascular Diseases Institute, "Gr. T. Popa" University of Medicine and Pharmacy, Iasi, Romania
- ³ Department of Medicine, Koc University School of Medicine, Istanbul, Turkey
- ⁴ Division of Nephrology, Department of Medicine, Suleyman Demirel University School of Medicine, Isparta, Turkey
- ⁵ Division of Nephrology, Department of Medicine, Toronto General Hospital, University of Toronto, Toronto, ON, Canada
- ⁶ Department of Nephrology, "Grigore T. Popa" University of Medicine, Iasi, Romania
- ⁷ Nephrology Clinic, Dialysis and Renal Transplant Center, 'C.I. Parhon' University Hospital, Iasi, Romania
- ⁸ The Academy of Romanian Scientists (AOSR), Bucharest, Romania

Introduction

Sodium–glucose cotransporter 2 inhibitors (SGLT2i) are a class of glucose-lowering drugs that increase urinary glucose excretion by inhibiting glucose reabsorption in the proximal tubule. SGLT2i decrease blood pressure and blood glucose levels and contribute to weight loss [\[1](#page-12-0), [2](#page-12-1)]. There is also strong evidence demonstrating the cardioprotective and renoprotective efects of this class of glucose-lowering drugs. Diferent mechanisms are thought to contribute to organ protection, including the activation of tubuloglomerular feedback leading to reduced intraglomerular pressures, diuresis, lower blood pressure, and weight loss [\[1](#page-12-0)[–3](#page-12-2)]. They also impact anti-infammatory pathways which may contribute to cardiorenal protection [[1,](#page-12-0) [2\]](#page-12-1).

Anemia worsens the prognosis of many diseases such as type 2 diabetes mellitus and chronic kidney disease leading to increased morbidity and mortality [\[4–](#page-12-3)[6\]](#page-12-4). Kidneys have regulatory efects on red blood cell production through

erythropoietin release in response to hypoxia [[7](#page-12-5)]. Type 2 diabetes mellitus is a major risk factor for chronic kidney disease, which can potentially lead to anemia development. Previous studies have reported an increase in hemoglobin and hematocrit levels with the initiation of SGLT2i in type 2 diabetes mellitus patients [\[8](#page-12-6)[–11\]](#page-13-0). Although this could be explained by a decrease in plasma volume leading to hemoconcentration, additional mechanisms may contribute to the increase in hemoglobin and hematocrit values following the administration of SGLT2i [[8](#page-12-6), [12](#page-13-1)]. There are also reports explaining the relationship between SGLT2i and increased red blood cell parameters [\[9](#page-13-2), [11–](#page-13-0)[13\]](#page-13-3). In this meta-analysis, we investigate the efects of diferent SGLT2i administered in varying doses on hemoglobin and hematocrit levels in type 2 diabetes mellitus patients.

Methods

Our study investigated the impact of SGLT2i on hemoglobin and hematocrit levels. We selected the included studies from various databases according to predefned inclusion and exclusion criteria. We followed the Preferred Reporting Items for Systematic Review and Meta-Analyses (PRISMA) guidelines to report this meta-analysis (Supplementary Table S1).

Literature search and inclusion/exclusion criteria

In this systematic review and meta-analysis, we performed a literature search through four databases, including Pub-Med/Medline, Web of Science, Embase (Elsevier), and the Cochrane Central Register of Controlled Trials (Wiley) from January 2010 to January 2021 using the following keywords: ''SGLT2i'', ''SGLT2 inhibitor'', ''type 2 diabetes mellitus'', ''hematocrit'', ''hemoglobin'', ''anemia", "cardiovascular disease", "chronic kidney disease", and "hypoxia-inducible factor".

We independently assessed the titles and the abstracts of each study. We discussed and reexamined each article in detail until reaching a consensus if any conficts were present. We also analyzed the references of all selected studies. After the preliminary selection, we independently evaluated the full-text versions of the selected studies.

The inclusion criteria for our systematic review and metaanalysis were as follows: we included studies that provided data on SGLT2i and red blood cell parameters, hemoglobin and hematocrit levels. Studies with retrospective or prospective design irrespective of randomization were included and cross-sectional studies were excluded. All included studies were in English and published in a peer-reviewed journal until January 2021.

We excluded studies with missing data or inadequate description of outcomes. Studies not classifed as original articles (e.g. reviews, meta-analyses, editorials, commentaries), study designs that were not listed in our inclusion criteria (e.g. case reports, case series), and unpublished data were also excluded from our study. Our search algorithm is presented in Fig. [1](#page-2-0).

Quality assessment

We assessed the quality of each included study in accordance with the Newcastle–Ottawa Scale [[14\]](#page-13-4) which uses the selection of study groups as the main criteria, assessment of outcomes, and comparability of the groups (Tables [1](#page-3-0), [2](#page-8-0)). The Newcastle–Ottawa Scale scores a study out of nine stars, the maximum score representing the highest quality research [\[14](#page-13-4)]. We reached a consensus decision on the quality assessment of each study.

We used the Cochrane risk-of-bias tool for the risk of bias assessment in the included studies (supplementary table 2). The Cochrane risk-of-bias tool was used to evaluate randomization, masking of treatment allocation, blinding, adherence and withdrawals for each of the randomized controlled trials $[15]$.

Statistical analysis

We used a random-effects model in an inverse variance analysis and expressed treatment efects as mean diference (MD) for continuous outcomes with 95% CI (hemoglobin, hematocrit). Treatment effect was significant if $P < 0.05$. When the results were expressed as standard error, we converted standard error to standard deviation using a standard formula [[16\]](#page-13-6).

We used the I^2 statistic to assess inconsistency across individual studies [[17\]](#page-13-7). An I^2 > 50% indicated a large heterogeneity which was not explained by chance.

If a sufficient number of studies were identified, subgroup analysis was used to explore possible sources of heterogeneity. All statistical analyses were performed using Review Manager (RevMan) Version 5.3 (The Cochrane Collaboration 2012).

Results

We included, in our fnal analysis, seventeen randomized, double-blind, placebo-controlled studies [[9](#page-13-2)–[11](#page-13-0), [18](#page-13-8)[–31\]](#page-13-9) (Tables [1,](#page-3-0) [2\)](#page-8-0). The total number of evaluated patients was 14,748 (with a minimum of 180 $[21]$ $[21]$ $[21]$ and a maximum of 7020 patients [[31](#page-13-9)]). All studies enrolled type 2 diabetes patients. Estimated glomerular fltration rate was above 30 ml/min/1.73 m^2 in all studies. The treatment arm

consisted of canaglifozin [[13](#page-13-3), [22,](#page-13-11) [25,](#page-13-12) [27,](#page-13-13) [30](#page-13-14)], dapaglifozin [\[10,](#page-13-15) [11,](#page-13-0) [19](#page-13-16)–[21,](#page-13-10) [23,](#page-13-17) [24](#page-13-18), [29](#page-13-19)]**,** empaglifozin [\[9](#page-13-2), [18](#page-13-8), [31](#page-13-9)] and ipraglifozin [[28\]](#page-13-20). The doses of the diferent SGLT2i were as follows: canaglifozin 50 mg [\[13](#page-13-3)], 100 mg [\[22,](#page-13-11) [25,](#page-13-12) [27](#page-13-13), [30](#page-13-14)], 200 mg [\[13\]](#page-13-3), 300 mg [[13,](#page-13-3) [22,](#page-13-11) [25,](#page-13-12) [27](#page-13-13), [30](#page-13-14)] and 300 mg [[13\]](#page-13-3); dapaglifozin 1 mg [[11,](#page-13-0) [24](#page-13-18)], 2.5 mg [[10,](#page-13-15) [11,](#page-13-0) [19](#page-13-16), [20](#page-13-21), [23](#page-13-17), [24,](#page-13-18) [30](#page-13-14)], 5 mg [[10,](#page-13-15) [11,](#page-13-0) [19](#page-13-16), [20](#page-13-21), [23,](#page-13-17) [24,](#page-13-18) [29](#page-13-19)], 10 mg [\[10](#page-13-15), [19–](#page-13-16)[21,](#page-13-10) [23,](#page-13-17) [24](#page-13-18), [29](#page-13-19)], and 20 mg and 50 mg [[10](#page-13-15)]; empaglifozin 10 mg and 25 mg [\[9](#page-13-2), [18](#page-13-8), [31\]](#page-13-9); ipraglifozin 12.5 mg, 50 mg, 150 mg and 300 mg [\[28](#page-13-20)].

All the included studies reported the outcomes as MD between baseline and post-intervention values measured at diferent timings across the study (at 12 weeks [[10,](#page-13-15) [13](#page-13-3), [24,](#page-13-18) [28\]](#page-13-20), at 24 weeks [[9,](#page-13-2) [11](#page-13-0), [18–](#page-13-8)[21,](#page-13-10) [23](#page-13-17)], at 26 weeks [\[22](#page-13-11), [27,](#page-13-13) [30](#page-13-14)], at 48 weeks [\[29](#page-13-19), [31](#page-13-9)] and at 52 weeks [\[25](#page-13-12)].

We evaluated all the included studies in terms of the risk of bias using the Cochrane risk of bias tool (supplementary table 2). All of the studies were double-blind trials. Seven of the included studies did not report the details of allocation concealment. There were no incomplete outcomes and selective reporting in the seventeen studies.

Outcome measures reporting

Efect of SGLT2i on hemoglobin

There were seven studies, five with canaglifiozin [[13,](#page-13-3) [22,](#page-13-11) [25](#page-13-12), [27](#page-13-13), [30\]](#page-13-14), one with empaglifozin [\[31](#page-13-9)] and one with ipraglifozin [[28](#page-13-20)] that evaluated the efect of SGLT2i therapy on hemoglobin levels. As shown in Fig. [2,](#page-10-0) SGLT2i therapy was shown to signifcantly increase hemoglobin when compared to placebo (MD 5.60 g/L, 95% CI 3.73–7.47 g/L, $P < 0.00001$, considerable heterogeneity— $I^2 = 94\%$) at 12–48 weeks of follow-up. Given the large heterogeneity, we, therefore, analyzed the efect on hemoglobin levels by SGLT2i class. As shown in Fig. [3](#page-10-1), empaglifozin, canaglifozin and ipraglifozin all signifcantly increased hemoglobin levels, with a trend for a further increase in hemoglobin

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for empagliflozin (MD 6.24 g/L, 95% CI 3.08–9.40, $P < 0.00001$, significant heterogeneity— $I^2 = 98\%$).

When analyzing separately the studies in which cana gliflozin was administered, no significant differences were observed between the 100 mg and the 300 mg (MD = − 0.25 g/L, 95% CI − 0.91–0.41, *P* = 0.46, reduced heterogeneity— $I^2 = 7\%$) [\[13](#page-13-3), [22](#page-13-11), [25](#page-13-12), [27](#page-13-13)].

Therefore, the large heterogeneity may be explained by the use of diferent molecules at diferent doses. Moreover, the included patients had diferent baseline eGFR, varying from normal renal function to stage 3 CKD. Another pos sible explanation is represented by the fact that none of the included studies was originally designed to assess the impact of SGLT2i on hemoglobin levels. The follow-up periods were also different and the effect may be time-dependent.

Efect of SGLT2i on hematocrit

In total, thirteen studies reported the mean change in hema tocrit level after treatment with SGLT2i: eight with dapa glifozin [[10,](#page-13-15) [11,](#page-13-0) [19](#page-13-16) –[21,](#page-13-10) [23,](#page-13-17) [24,](#page-13-18) [29](#page-13-19)], two with canaglifozin [[13,](#page-13-3) [30](#page-13-14)], three with empaglifozin [[9](#page-13-2), [18,](#page-13-8) [31](#page-13-9)] and one with ipragliflozin [[28](#page-13-20)]. SGLT2i treatment was significantly associated with increased hematocrit levels when compared to placebo (MD 1.32%, 95% CI 1.21–2.44, *P* < 0.00001, considerable heterogeneity— $I^2 = 99\%$) (Fig. [4](#page-10-2)) at 12–48 weeks of follow-up.

When analyzed individually, each SGLT2i led to a sig nifcant increase in the hematocrit level when compared to placebo (MD 2.19%, 95% CI 0.28–4.10, *P* < 0.00001, considerable heterogeneity— I^2 = 100%) (Fig. [5\)](#page-10-3) at 12–48 weeks of follow-up.

To determine whether there was a dose-dependent effect, we then analyzed each SGLT2i by dose.

For dapaglifozin, the 2.5 mg was non inferior to the 5 mg dose (MD 0.00%, 95% CI 0.00–0.01, *P* = 0.07, insignificant heterogeneity— $I^2 = 0\%$), but inferior to the 10 mg dose (MD 0.34%, 95% CI 0.00–0.67, *P* = 0.05, moderate heterogeneity— I^2 = 55%) (Supplementary Fig. 1) [[10,](#page-13-15) [11,](#page-13-0) [19](#page-13-16), [20](#page-13-21), [23](#page-13-17), [24](#page-13-18), [29](#page-13-19)]. Additionally, there were six studies [[10,](#page-13-15) [19](#page-13-16), [20,](#page-13-21) [23](#page-13-17), [24,](#page-13-18) [29](#page-13-19)] which compared the 5 mg with the 10 mg. This analysis showed no signifcant diference in hematocrit levels with the 10 mg dose (MD 0.00%, 95% CI 0.00–0.41, $P=0.37$, insignificant heterogeneity— $I^2=0\%$).

For canaglifozin, there was no signifcant diference between the doses of 100 mg and the 300 mg, in the two studies that reported data on hematocrit (MD − 0.12%, 95% $CI - 0.97 - 0.73$, $P = 0.78$, insignificant heterogeneity- $I^2 = 0\%$) [\[13](#page-13-3), [30](#page-13-14)].

Similarly, no significant dose-dependent effect was noted with the 25 mg of empaglifozin, when compared to the 10 mg (MD 0.20%, 95% CI − 0.08–0.48, *P* = 0.99, insignificant heterogeneity— $I^2 = 0\%$ [[9,](#page-13-2) [31](#page-13-9)].

Table 2 Characteristics of the studies of which investigated hemoglobin changes included in this meta-analysis **Table 2** Characteristics of the studies of which investigated hemoglobin changes included in this meta-analysis

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	SGLTi			Placebo				Mean Difference	Mean Difference
Study or Subgroup	Mean	SD.	Total	Mean	SD.		Total Weight	IV, Random, 95% CI	IV, Random, 95% CI
Canagliflozin	3.1178	4.95	466	-0.0693	6.59	127	23.2%	3.19 [1.96, 4.42]	——
Empagliflozin	4.5587	5.255	5461	0.6686	4.5627	2723	25.6%	3.89 [3.67, 4.11]	
Dapagliflozin	1.3982	2.39	2280	-0.3679	1.89	742.	25.6%	1.77 [1.60, 1.93]	
Ipragliflozin		0.02 0.0238	240	0	0.02	55	25.7%	0.02 [0.01, 0.03]	
Total (95% CI)	8447 3647						100.0%	2.19 [0.28, 4.10]	
Heterogeneity: Tau ² = 3.71; Chi ² = 1618.19, df = 3 (P < 0.00001); l^2 = 100%									-2° -4 Δ
Test for overall effect: $Z = 2.24$ (P = 0.02)								Favours [placebo] Favours ISGLTil	

Fig. 5 The group effect of sodium-glucose cotransporter 2 inhibitors on hematocrit level

Discussion

In this systematic review and meta-analysis, we investigated the efects of diferent types and doses of SGLT2i on hemoglobin and hematocrit levels. The treatment arm consisted of canaglifozin [[13,](#page-13-3) [22](#page-13-11), [25,](#page-13-12) [27](#page-13-13), [30\]](#page-13-14), dapaglifozin [[10](#page-13-15), [11,](#page-13-0) [19](#page-13-16)–[21](#page-13-10), [23,](#page-13-17) [24](#page-13-18), [29](#page-13-19)], empaglifozin [[9](#page-13-2), [18,](#page-13-8) [31\]](#page-13-9) and ipraglifozin [\[28](#page-13-20)]. We showed that hemoglobin and hematocrit levels were signifcantly increased with SGLT2i therapy when compared to placebo.

In addition to their glucose-lowering efects, SGLT2i reduce blood pressure levels and contribute to weight loss $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$. SGLT2i exert these effects through several mechanisms such as the activation of tubuloglomerular feedback leading to decreased intraglomerular pressures and diuresis leading to calorie and sodium losses [[32](#page-13-22)]. They also have anti-inflammatory effects and reduce the fibrotic, and hyperplastic responses of proximal tubular cells through the prevention of hyperfltration and glucose reabsorption in the renal proximal tubule [[32](#page-13-22)].

Previous studies have shown that anemia worsens the prognosis of many diseases such as type 2 diabetes mellitus, chronic kidney disease, chronic heart failure, chronic obstructive pulmonary disease, thus leading to increased morbidity and mortality [[4,](#page-12-3) [33\]](#page-13-23). In this analysis, SGLT2i therapies raise hemoglobin and hematocrit levels [[8](#page-12-6)], an efect that has been linked with cardiorenal protection, possibly by improving tissue oxygen delivery. The rise in hemoglobin and hematocrit could be partially explained by a decrease in plasma volume due to the diuretic efects of SGLT2i. Among the included studies Bailey et al. $[11]$ $[11]$ $[11]$, Rosenstock et al. $[13]$ $[13]$ $[13]$ and Kovacs et al. $[9]$ have all argued that the increase in hematocrit and hemoglobin levels could be explained by circulating volume contraction efects of SGLT-2i.

In contrast, several studies implied that other mechanisms engaged by SGLT2i could explain the increase in hemoglobin and hematocrit levels, besides volume depletion [[30](#page-13-14)]. One postulated mechanism would be the correction of pathologically decreased erythropoietin levels. Erythropoietin is a hormone synthesized mainly by renal interstitial fbroblasts, in an oxygen-dependent manner, via hypoxia-inducible factor (HIF) [[8,](#page-12-6) [34](#page-13-24)]. Glucose is cotransported with sodium (Na^+) ions via SGLT2 channels located on proximal renal tubules [[12\]](#page-13-1). This process is highly dependent on the $Na⁺$ ion gradient between tubule lumen and renal proximal tubular cells $[12]$ $[12]$. The Na⁺ ion gradient is maintained by $\text{Na}^+/ \text{K}^+/ \text{ATPase}$ channels con-suming a significant amount of ATP [[12](#page-13-1)]. In patients with type 2 diabetes, higher amounts of glucose are reabsorbed causing increased stress in renal interstitial cells due to relative depletion of oxygen levels, as most are consumed by the proximal renal tubular epithelium [[12](#page-13-1)]. This causes ischemia and further fbrosis of renal interstitial cells, leading to decreased erythropoietin levels, which could explain anemia in patients with kidney diseases [[12](#page-13-1)]. SGLT2i could prevent damage to the renal interstitial cells by reducing the activity of SGLT2 channels on proximal tubular cells, which would result in the preservation of adequate erythropoietin levels and subsequent increase in hemoglobin and hematocrit levels [[12\]](#page-13-1). In any case, this hypothesis is marred by the fact that hypoxia would acutely induce erythropoietin synthesis and the efects of SGLT2i on hemoglobin occurred relatively early [[35](#page-13-25)].

SGLT2 may also have direct efects on HIF metabolism. The two types of HIFs: HIF-1 α or HIF-2 α , although similar, possess diferent cellular actions and distribution patterns. Indeed, these two isoforms often have opposing actions. While HIF-1 α decreases oxygen use and increases angiogenesis, HIF-2 α is the primary stimulus for erythropoietin synthesis. It was suggested that SGLT2i inhibit HIF1α, but may increase SIRT1-mediated activation of HIF-2 α [[36](#page-13-26)]. Thus, SGLT2i may increase erythropoietin secretion directly and indirectly by decreasing renal fbrosis and enhancing the viability of erythropoietin secreting cells. Indeed, a recent study has shown that HIF-1 α is the therapeutic target of SGLT2i for diabetic kidney disease and tubulointerstitial fbrosis [[37\]](#page-13-27).

Last but not least, there are also studies that showed that the anti-infammatory actions of SGLT2i may contribute to increase hemoglobin and hematocrit. Dapaglifozin reduced circulating hepcidin and ferritin concentrations while increasing levels of the hepcidin inhibitor erythroferrone, and transiently increasing erythropoietin. Additionally, dapaglifozin increased plasma transferrin levels and expression of transferrin receptors 1 and 2 but there was no change in the expression of the iron cellular transporter, ferroportin [[38](#page-14-0)].

The important question is that to what extent these different mechanisms contribute to hemoglobin and hematocrit elevation. In fact, the mechanisms by which SGLT2 inhibitors improve hemoglobin levels in patients with diabetes and chronic kidney disease are not fully understood and there are also other suggested mechanisms. For example, it is postulated that SGLT2i have diuretic-like efects and reduce plasma volume (a probable cause of hemoconcentration and hemoglobin elevation) and increase EPO secretion by renal fibroblasts [\[39\]](#page-14-1). In addition, SGLT2i increase 5′ AMP-activated protein kinase and Sirtuin 1 which activates HIF-2 alpha the isoform responsible for the synthesis of EPO. Further studies are needed to highlight the contributions of these diferent mechanisms on anemia correction and whether mechanisms difered in diabetic and non-diabetic patients [[40](#page-14-2)].

We also analyzed each SGLT2i by dose to determine whether there was a dose-dependent effect on hemoglobin and hematocrit levels. The change in hematocrit and hemoglobin levels difered according to the type of SGLT2i used. Our analysis showed that only dapaglifozin led to a signifcantly greater increase in hematocrit levels when administered in higher doses [\[10](#page-13-15), [11,](#page-13-0) [23,](#page-13-17) [24](#page-13-18)]. However, there were no signifcant diferences in blood parameters between the varying doses of canaglifozin, empaglifozin and ipraglifozin [\[9](#page-13-2), [13](#page-13-3), [28](#page-13-20), [30](#page-13-14)].

It needs to be mentioned that the benefcial pleiotropic effects are valid for SGLT2i as a class effect. These effects (anti-infammatory, anti-fbrotic, hemoglobin and hematocrit elevation) are independent of blood glucose lowering. It is probable that patients without diabetes and with kidney disease probably get a similar beneft from SGLT2 inhibition. Indeed it was already demonstrated that SGLT2i also improved outcomes in non-diabetic CKD patients [[2\]](#page-12-1).

Limitations

We acknowledge several limitations of our meta-analysis. The changes in hemoglobin and hematocrit levels after SGLT2i administration were not investigated as primary outcomes in the included studies. Furthermore, studies had not reported baseline and/or follow-up levels of erythropoietin, hepcidin and infammatory markers following SGLT2i treatments. There was a wide heterogeneity between study populations and treatment protocols. Patients were not evaluated for diferent stages of kidney disease.

Conclusion

In conclusion, SGLT2i led to significant increases in hemoglobin and hematocrit levels when compared to placebo. These drugs can be used to prevent the adverse consequences of anemia and contribute to a better prognosis in patients with diabetes mellitus. Studies are needed to assess the impact of SGLT2i on anemia in patients with diferent stages of CKD and to further characterized the interaction of SGLT2i with iron availability. Furthermore, the potential relationship of changes in hemoglobin with outcomes should be explored in already available large clinical trials having clinical events as primary endpoints.

Supplementary Information The online version contains supplementary material available at<https://doi.org/10.1007/s11255-021-02943-2>.

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Declarations

Conflict of interest The authors declare that they have no confict of interest.

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