ORIGINAL PAPER

Quality Control Metrics to Assess MoS₂ Sputtered Films for Tribological Applications

Tomas F. Babuska^{1,2,3} · John F. Curry² · Michael T. Dugger² · Morgan R. Jones² · Frank W. DelRio² · Ping Lu² · Yan Xin⁴ · Tomas Grejtak^{1,3} · Robert Chrostowski^{6,7} · Filippo Mangolini^{6,7} · Nicholas C. Strandwitz⁵ · Md Istiaque Chowdhury⁵ · **Gary L. Doll8 · Brandon A. Krick[1](http://orcid.org/0000-0003-3191-5433)**

Received: 27 April 2022 / Accepted: 6 August 2022 / Published online: 30 August 2022 © The Author(s) 2022

Abstract

Pure molybdenum disulfide $(MoS₂)$ solid lubricant coatings could attain densities comparable to doped films (and the associated benefts to wear rate and environmental stability) through manipulation of the microstructure via deposition parameters. Unfortunately, pure flms can exhibit highly variable microstructures and mechanical properties due to processes that are not controlled during deposition (i.e., batch-to-batch variation). This work focuses on developing a relationship between density, hardness, friction, and wear for pure sputtered MoS_2 coatings. Results show that dense films ($\rho = 4.5$ g/cm³) exhibit a 100 \times lower wear rate compared to porous coatings (ρ = 3.04–3.55 g/cm³). The tribological performance of high density pure MoS₂ coatings is shown to surpass that of established composite coatings, achieving a wear rate $2 \times (k=5.74 \times 10^{-8}$ mm³/Nm) lower than composite $MoS₂/Sb₂O₃/Au$ in inert environments.

Keywords $MoS_2 \cdot Density \cdot XRD \cdot RBS \cdot TEM \cdot Hardness \cdot Nanoindentation$

1 Introduction

Molybdenum disulfide $(MoS₂)$ solid lubricants have historically been used as coatings in space applications because of their low friction coefficients (μ <0.05) and wear rates

 \boxtimes Brandon A. Krick bkrick@fsu.edu

- ² Material, Physical and Chemical Sciences Center, Sandia National Laboratories, Albuquerque, USA
- ³ Mechanical Engineering Department, Lehigh University, Bethlehem, USA
- ⁴ National High Magnetic Field Laboratory, Florida State University, Tallahassee, USA
- Materials Science and Engineering Department, Lehigh University, Bethlehem, USA
- Walker Department of Mechanical Engineering, The University of Texas at Austin, Austin, USA
- ⁷ Texas Materials Institute, The University of Texas at Austin, Austin, USA
- College of Engineering and Polymer Science, The University of Akron, Akron, USA

 $(k<1\times10^{-6}$ mm³/Nm) in inert and vacuum environments $[1-3]$ $[1-3]$. Pure MoS₂ coatings are not commonly used in terrestrial applications due to high wear rates and oxidation when exposed to water and oxygen [[4](#page-8-2)[–11](#page-8-3)]. To mitigate adverse interactions in terrestrial environments, dopants such as C, Sb_2O_3 , Au, Ni, Ta, and Ti are commonly added to improve the tribological performance and environmental robustness [\[12–](#page-8-4)[20\]](#page-8-5). While reported mechanisms for performance improvement of composite coatings vary depending on additives, composites such as $M_0S_2/Sb_2O_3/Au$ have been shown to help facilitate the expression and retention of $MoS₂$ at the interface through agglomeration of Au nanoparticles [[21](#page-8-6)]. Other composites such as $M_0S_2/C/Sb_2O_3$ have been shown to exhibit extremely low shear strengths. The sliding interface of $MoS₂/C/Sb₂O₃$ changes during sliding depending on the environment, with the surface becoming carbon-rich in humid testing conditions and $MoS₂-rich$ in dry, inert environments [[22\]](#page-8-7).

A common hypothesis for the improved environmental resilience of composite $MoS₂$ coatings involves densification and hardening imparted by dopants [[23](#page-8-8)[–28](#page-8-9)]. Sputtered pure $MoS₂ coatings have been shown to exhibit low den$ sities ($\rho \sim 3.3-4$ g/cm³, theoretical = 4.8–5.06 g/cm³ [\[29,](#page-8-10) [30\]](#page-9-0)), which varies depending on coating microstructure

¹ FAMU-FSU College of Engineering, Florida State University, Tallahassee, USA

[\[31\]](#page-9-1). Buck [[31\]](#page-9-1) observed the density of sputter-deposited amorphous coatings ($\rho \sim 3.3$ g/cm³) was lower than that of crystalline coatings $(\rho \sim 3.95 \text{ g/cm}^3 \text{ for basically oriented coat-}$ ings) due to the presence of microscopic vacancies and a high degree of disorder. Lince et al*.* [\[32](#page-9-2)] found that a higher oxygen content in $MoS_{2-x}O_x$ coatings led to an increase in flm density due to a reduction in crystallite size. Interactions between oxygen molecules and $MoS₂$ increased the defect density of the coating, thereby forming a disordered microstructure [\[32](#page-9-2)]. Techniques such as ion-beam assisted deposition (IBAD) have been shown to improve both the density of pure MoS_2 coatings ($\rho \sim 4.4$ g/cm³) and wear resistance in humid and dry environments [\[29](#page-8-10)].

A prevailing hypothesis for enhanced wear resistance of doped- $MoS₂$ is that it is linked to improved coating density [[23](#page-8-8)[–28](#page-8-9)], though there is little to no direct evidence or a well-developed fundamental understanding of the role of this relationship present in the literature. A major barrier to this understanding lies in the difficulty in depositing fully dense pure flms, or even flms with consistent density. Variability in coating morphology is one of the main challenges limiting the widespread commercial use of sputtered pure $MoS₂$ films in engineering applications.

From a *research* perspective, understanding temperature dependence, environmental resilience, or load-dependent friction behavior becomes even more challenging when coating microstructure varies from one deposition to the next. Relationships between detailed coating structure and deposition parameters such as substrate temperature [\[33,](#page-9-3) [34](#page-9-4)], argon partial pressure [\[31,](#page-9-1) [32](#page-9-2)], bias voltage [\[37\]](#page-9-5), and target-substrate distance [[8\]](#page-8-11) have been studied for decades. While many parameters are controllable during deposition, there exist other variables and/or by-products that cannot. For instance, Buck [[38\]](#page-9-6) studied the effects of water vapor in the plasma on the microstructure and tribological behavior of $MoS₂ coatings. By varying the partial pressure of water,$ he showed that increased water vapor produced low density, less crystalline coatings that exhibited poor wear resistance. A secondary source of water vapor was also found to be a result of substrate heating during deposition via desorption of water from surfaces. The study found this source of contamination also led to less wear resistant coatings. Interestingly, substrate heating is often changed to improve the performance of $MoS₂ coatings$, yet it can have a negative efect depending on the cleanliness of the system.

From an *applied* perspective, sputtered $MoS₂$ films are a preferred solid lubricant coating in inert environments, such as vacuum and space applications. However, despite the best efforts by coatings developers, batch-to-batch variations in film properties, which originate from difficulties in precisely controlling mutually infuencing deposition parameters, pose signifcant challenges for hardware engineers owing to the resulting variability in functional behaviors, including tribological performance. Typically, aerospace hardware manufacturers provide witness coupons for each coating batch and subject them to in-house testing designed to qualify the batch. In recent years, several high-performance $MoS₂$ based composites have fallen out of favor with hardware engineers due to the inability to reliably achieve the same caliber of tribological performance they once did. While the motivation for more wear resistant, lower friction, and environmentally agnostic materials always remains, there is an applied need to develop metrics to quantify what properties make universally "*good*" MoS₂ coatings and encourage an understanding of what variables during or prior to deposition may be at play to disrupt this. This information will be invaluable in ensuring the quality and consistency of $MoS₂$ films, as well as metrics that can enable future materials discovery and optimization of flm composition and structure for a range of applications.

Given that even experienced commercial suppliers of sputtered $MoS₂ coatings can produce films with varying$ structure and performance due to uncontrolled deposition parameters, a method is needed to efficiently inspect coatings for critical attributes that will insure adequate tribological performance in the intended application. The purpose of this work is to show that density and hardness can be used as quality control metrics to insure the tribological performance of pure sputtered $MoS₂ coatings.$

2 Experimental Methods

2.1 Materials Synthesis

Two manufacturers were asked to provide dense, nanocrystalline, pure $MoS₂ coatings$ in two separate deposition runs. These were requested to understand if (1) comparable coatings (i.e., orientation, porosity, tribological performance) could be made by separate manufacturers and, (2) if the same manufacturer could provide two identical batches of coatings. The samples include a "Low-Density Coating #1" designated here as LD-1, a "Low-Density Coating #2" designated LD-2, and a "High-Density Coating" designated HD-1; sample designations were based on the results of characterization presented later in this manuscript.

2.1.1 Deposition of LD‑1

Pure $MoS₂$ films were deposited in a vacuum deposition system (base pressure 5×10^{-6} torr) equipped with both radio frequency (RF) and direct current (DC) magnetrons. The substrates were affixed to a rotating stage that was biased at 50 VDC. The RF magnetron was used to sputter $MoS₂$ and the DC magnetron was used to sputter a 99.99% pure titanium (Ti) target. The Ti target was sputtered frst to create $a \sim 100$ nm thick Ti adhesion layer between the steel substrate followed by $a \sim 200$ nm gradient layer of Ti/MoS₂ and then a ~ 800 nm thick pure $MoS₂$ coating. Target powers were kept at 80 and 120 W, respectively.

2.1.2 Deposition of HD‑1 and LD‑2

Pure $MoS₂$ coatings (~ 1 µm thick) were deposited on polished 440C steel substrates (\sim 20 nm R_a roughness) with a 10 nm Cr adhesion layer (using arc evaporation) via DC magnetron sputtering using 1.5 mTorr Ar and a $3''$ MoS₂ target at 150 W and 30 V bias for 30 min. Identical processing conditions were used to produce HD-1 and LD-2.

2.2 Mechanical Test Methods

2.2.1 Hardness Measurements

Hardness values of $MoS₂$ films were determined via nanoindentation on a Hysitron TI980 equipped with a Berkovich tip. Prior to experimental testing, the tip area function and load frame compliance were calibrated over the entire load range of the instrument with fused silica as the reference material. 5×5 indent arrays with a 10 μ m spacing between indents were performed on each flm. The maximum load in the load function was 1 mN. For each indent, a CMX (continuous measurement of X) load function was used, consisting of a constant strain-rate load superimposed with a 220 Hz oscillating load. The strain rate was kept constant at 0.123 s⁻¹ to mitigate strain-rate effects and the oscillating load was employed to provide depth-dependent data. The instantaneous hardness *H* was calculated by $H = F_{\text{max}}/A$, where F_{max} is the maximum load and *A* is the contact area at each depth. *H* values were averaged over indentation depths between 40 and 100 nm to calculate the mean for each indent, as the mechanical properties in this regime were relatively constant. The reported value for each $MoS₂$ flm represents the mean and standard deviation from the 25 indents.

2.2.2 Tribological Testing

Tribological testing was performed on all three coatings simultaneously using a custom-built ball-on-fat high-throughput linear reciprocating tribometer in a controlled environmental chamber. A normal force of 1 N was applied to a 3 mm diameter 440C ball (~1 GPa Hertzian stress) on each sample by a load head consisting of a normal load cell connected perpendicular to the friction load cell (phidgets 100 g micro-load cell). The load head is then connected to a compliant titanium fexure driven by a stepper motor stage. The samples were mounted to a bidirectional linear reciprocating stage and tested at a sliding speed of 2 mm/s. Experiments were performed in

a dry N2 environment (Mbraun Labstar pro, O_2 < 0.5 ppm, $H₂O<0.5$ ppm) and air environments at 0%, 30% and 60% RH $(\pm 2\%$ RH) in a separate humidity-controlled enclosure.

2.3 Focused Ion Beam (FIB)/Transmission Electron Microscopy (TEM)

Cross-sections were prepared for transmission electron microscopy TEM analysis using a focused ion beam (FIB) in a Dualbeam ThermoFisher Helios. A 2 *µ*m thick protective Pt layer was deposited by frst the electron beam and then the ion beam to ensure the surface was not damaged by the FIB. The lamella was studied with the TEM at 200 kV (JEOL JEM-ARM200cF, Tokyo, Japan) and images were acquired with a Gatan Ultrascan CCD camera. Scanning TEM (STEM) dark-feld and bright-feld images were acquired with a probe size of 0.078 nm and the images were processed and analyzed in DigitalMicrograph (Gatan, Pleasaton, CA). TEM of LD-2 cross-sections was performed using an aberration corrected scanning TEM (FEI Titan[™] G2 80–200 STEM) operated at 200 kV and high-angle annular dark-feld (HAADF) imaging.

2.4 X‑ray Difraction (XRD)

A PANalytical Empyrean difractometer with a Cu X-ray tube at a wavelength of 1.541 Å was used to take the X-ray diffraction (XRD) measurements. A Bragg–Brentano HD mirror with suitable slits were used to shape the incident beam to maximize irradiation on the sample. The difracted beam was shaped with a 7.5 mm antiscatter slit and a soller slit and detected with a PIXcel3D-Medipix3 1×1 area detector in scanning line 1D mode. Symmetric θ-2θ (gonio) scans were taken with a step size of 0.0066° and counting rate of 25 s/step.

2.5 Rutherford Backscatter Spectroscopy (RBS)

Rutherford backscatter spectroscopy (RBS) was performed by Infnita Laboratories, Saratoga, CA. A beam of 1.9 MeV $4He+was$ used for RBS with detection at a 165° scattering angle. An average beam current of 5 nA with integrated charge of 2.0 μ C was used. Coating densities were calculated from RBS areal densities (atm/cm²), RBS measured composition, and TEM measured thickness. The spot size of the RBS has a diameter of \sim 10 mm, or \sim 78.5 mm² analysis region.

3 Results

3.1 Microstructure

3.1.1 Void Concentration

TEM of the films (Figs. $1a-c$ $1a-c$) shows the void concentration varying from sample to sample. LD-1 (Fig. [1](#page-3-0)a) and LD-2 (Fig. [1b](#page-3-0)) exhibit a high concentration of voids throughout the thickness of the coating while HD-1 appears to have significantly less features that could be interpreted as voids at this magnitude.

3.1.2 Crystallographic Orientation

XRD of the three $MoS₂$ $MoS₂$ $MoS₂$ coatings (Fig. 2a) indicates $P6₃/$ mmc hexagonal crystal structure (PDF 01–077-1716) with diferent orientations. LD-1 has little-to-no (0002) oriented lamella (basal orientation, *i.e.,* the basal plane of the hexagonal crystal is preferentially parallel to substrate) and shows a peak at 33.2° indicating that the coating consists of (10 1 0) oriented lamella (edge-oriented) relative to the substrate (*i.e.,* the edge plane is parallel to the substrate). The XRD spectra for LD-2 show no peak at 33.2° yet has a very broad peak at 13.48° likely due to (0002) oriented lamella relative to the substrate (i.e., parallel to the substrate). HD-1 shows three distinct MoS₂ peaks at 12.7°, 33.2° and 59.5° corresponding to (0002), (10 $\overline{1}$ 0) and (11 $\overline{2}$ 0) suggesting a more random crystallographic orientation compared to the

Fig. 1 A TEM micrographs of the LD-1 and **B** LD-2 coatings showing high density of voids. **C** TEM micrograph of HD-1 showing little to no voids throughout the coating

Fig. 2 A X-ray difraction of all three coatings. The HD-1 coating shows peaks for $MoS₂$ at (002), (100) and (110). The LD-1 coating only has a peak at (100) and LD-2 shows a peak only at (002). **B** Density measurements of all three coatings measured by RBS with reference to fully dense $MoS₂$ $(4.08 - 5.06 \text{ g/cm}^3 \text{ [29, 30]})$ $(4.08 - 5.06 \text{ g/cm}^3 \text{ [29, 30]})$

preferred orientation in LD-1 and LD-2. HD-1 has higher intensities than LD-1 or LD-2 suggesting that HD-1 is more crystalline.

3.1.3 Film Density and Stoichiometry

Density derived from RBS of all three coatings is shown in Fig. [2](#page-3-1)b. The LD-1 and LD-2 flms are substantially below the density for bulk crystalline $MoS₂$, while HD-1 has density close to the bulk value of $4.8-5.06$ g/cm³ [[29,](#page-8-10) [30](#page-9-0)]. Total oxygen content for all three flms was measured by RBS to be at or below 5 at%, a value close to the accuracy of the analytical method $(\pm 3$ at.%). The stoichiometry (S:Mo ratio) of the three coatings was calculated from the aerial densities obtained by RBS. HD-1 is closest to stochiometric with a S:Mo ratio of 1.94, followed by LD-2 (S:Mo=1.87) and LD-1 $(S:Mo=1.6)$.

3.2 Mechanical and Tribological Behavior

3.2.1 Hardness and Modulus

Coating hardness for HD-1 was \sim 2–2.75 \times greater than that for both LD-1 and LD-2. LD-1 had a measured hardness of 1.6 ± 0.2 GPa while LD-2 had a measured hardness of 2 ± 0.2 GPa. HD-1 exhibited the highest hardness with a value of 4.4 ± 0.6 GPa (shown in Fig. [3](#page-4-0) and Table [1\)](#page-5-0). The storage modulus of all three flms was measured and had a similar trend with hardness with HD-1 having the highest storage modulus of 83.6 ± 7.2 GPa followed by LD-2 $(E=67.8\pm4.5$ GPa) and LD-1 $(E=50.4\pm4.1$ GPa).

3.2.2 Friction Behavior in Diferent Environments

The tribological properties of LD-1, LD-2, and HD-1 in environments consisting of air with varying humidity and dry nitrogen are shown in Figs. [4a](#page-5-1)–e. Measurements in dry $N₂$ (Fig. [4a](#page-5-1)) all show MoS₂ behavior consisting of an initially higher cycle one coefficient of friction with a transition (run-in) to lower steady-state coefficient of friction with increased sliding cycles. While the steady-state friction coefficients were nearly identical after 5000 sliding cycles $(\mu \sim 0.05)$, the initial friction behavior varied between samples. LD-2 and HD-1 both exhibited lower friction $(\mu \sim 0.02)$ for the first 1000 sliding cycles than LD-1 (μ ~ 0.05), and then increased, possibly due to a wear event. The average coefficient of friction for HD-1 was measured in dry N_2 for 250,000 sliding cycles (Fig. [4e](#page-5-1)) and shows a gradual and minimal increase in the coefficient of friction over the duration of the test from 0.03 to 0.08 and shows no indication of coating failure.

Fig. 3 Average hardness values with corresponding uncertainty intervals for LD-1, LD-2, and HD-1 coatings. Hardness of each specimen was determined from 25 nanoindentation measurements, and the average and standard deviation in the hardness values was calculated for each sample

All three coatings exhibited similarly low friction in dry air with a steady-state coefficient of friction of 0.05 after 2000 sliding cycles (Fig. [4](#page-5-1)b). LD-2 and HD-1 showed very similar friction behavior for the entire test in dry air and ranin to low friction after 200 cycles. LD-1 showed prolonged run-in, with a friction coefficient above 0.1 for 600 cycles until it achieved its final steady-state friction coefficient of 0.05.

During tests run in 30% RH air (Fig. [4](#page-5-1)c) for 200 sliding cycles, LD-1 and HD-1 exhibited friction coefficient between 0.1 and 0.13 while LD-2 exhibited higher friction, μ ~0.2, before dropping to 0.08 for the last 60 sliding cycles. In 60% RH air (Fig. [4](#page-5-1)d), the coefficient of friction over 200 cycles for LD-1 and HD-1 was consistently around 0.1. The coefficient of friction for LD-2 started at 0.1 , but after 20 cycles the coefficient of friction increased to 0.25, where it varied sporadically suggesting complete failure of the coating.

3.2.3 Wear Behavior in Diferent Environments

Wear rates are shown in Fig. [5](#page-6-0) and are tabulated in Table [1](#page-5-0). HD-1 showed $10-100 \times$ lower wear than the lowdensity coatings throughout all environments. In dry N_2 , HD-1 achieved a wear rate of 5.74×10^{-8} mm³/Nm while

Table 1 Hardness, storage modulus, density, and wear rates in dry N₂, dry air, 30% RH air and 60% RH air. Note: Cycles to failure with an (*) indicates the maximum cycles coatings were run without failure

Sample	Hardness (GPa)	Storage Modulus (GPa)	Density (g/cm^3)	Wear Rate $\text{[mm}^3/\text{Nm}$, (Cycles to Failure)			
				Dry N ₂	Dry Air	30%RH Air	60% RH Air
Low-Density #1 $(LD-1)$	1.6 ± 0.2	50.4 ± 4.1	3.55	8.0×10^{-7} $\pm 6.5 \times 10^{-8}$ (50 k^*)	5.5×10^{-5} $\pm 6.7 \times 10^{-6}$ (5 k^*)	8.6×10^{-5} $\pm 7.1 \times 10^{-6}$ (672)	7.1×10^{-4} $\pm 9.7 \times 10^{-5}$ $(100*)$
Low-Density $#2$ (LD-2)	2.0 ± 0.2	67.8 ± 4.5	3.04	1.6×10^{-6} $\pm 1.6 \times 10^{-7}$. (8.6 k)	7.7×10^{-6} $\pm 1.2 \times 10^{-6}$ (2.6 k)	8.3×10^{-5} \pm 4.4 \times 10 ⁻⁶ (258)	9.6×10^{-5} $\pm 1.3 \times 10^{-5}$ $(100*)$
High-Density (HD-1)	4.4 ± 0.6	83.6 ± 7.2	4.5	5.7×10^{-8} $\pm 9.9 \times 10^{-9}$. $(250 k*)$	1.3×10^{-7} $\pm 1.2 \times 10^{-8}$ (50 k^*)	5.1×10^{-6} $\pm 5.0 \times 10^{-7}$ (5 k^*)	2.2×10^{-5} $\pm 1.4 \times 10^{-6}$ $(1 \; \mathrm{k}^*)$

Fig. 4 Friction coefficients of LD-1, LD-2 and HD-1 in dry N2 (**Aa**), dry air (**B**), 30% RH air (**C**), 60% RH air (**D**) and a 250 k cycles test of HD-1 in dry $N₂$. **E** The average coefficient of friction for HD-1 in dry N_2 over the entire 250,000 cycle test showing a sustained low coefficient of friction and no indication of failure. Note: Different X-scales

LD-1 had the second lowest wear rate $(7.98 \times 10^{-7} \text{ mm}^3)$ Nm), followed by LD-2 which had the highest wear rate $(1.59 \times 10^{-6} \text{ mm}^3/\text{Nm})$. The ultra-low wear rate of HD-1 is further highlighted by the low coefficient of friction sustained for 250,000 sliding cycles (Fig. [4](#page-5-1)e). In dry air, the wear rates for all three coatings increased, yet HD-1 experienced the smallest change in wear rate with an increase of $\sim 2 \times (1.28 \times 10^{-7} \text{ mm}^3/\text{Nm})$. The wear rate of LD-1 increased in dry air by almost two orders of magnitude $(5.47 \times 10^{-5} \text{ mm}^3/\text{Nm})$ and LD-2 increased by $7 \times \text{in}$ dry air $(7.71 \times 10^{-6} \text{ mm}^3/\text{Nm})$.

In 30% RH air, the wear rate for HD-1 $(5.09 \times 10^{-6} \text{ mm}^3)$ Nm) increased by $40 \times$ over its wear rate in dry air, and nearly two orders of magnitude over its wear rate in dry N_2 . The measured wear rates for LD-1 and LD-2 were comparable in 30% RH air $(8.60 \times 10^{-5} \text{ mm}^3/\text{Nm}$ and 8.30×10^{-5} mm3 /Nm, respectively), nearly an order of magnitude greater than measurements in dry air.

In 60% RH air, HD-1 had the lowest wear rate of the three coatings tested $(2.19 \times 10^{-5} \text{ mm}^3/\text{Nm})$ and had a lower wear rate than both LD-1 and LD-2 in 30% RH. The wear rates for the LD-1 and LD-2 were highest in 60% RH air

Fig. 5 Wear rate of LD-1, LD-2, and HD-1 in dry N₂, dry air, 30% RH air and 60% RH air. The wear rates are lowest in dry N₂, with HD-1 outperforming LD-1 and LD-2 with $10-100 \times$ lower wear rates in all environments

 $(7.10 \times 10^{-4} \text{ mm}^3/\text{Nm}$ and $9.55 \times 10^{-5} \text{ mm}^3/\text{Nm}$, respectively), yet the wear rate of LD-2 in 60% RH air was only slightly higher than in 30% RH air. The wear rate of LD-1 in 60% RH air increased by roughly an order of magnitude, more than LD-2.

4 Discussion

Both the LD-2 and HD-1 coatings were manufactured in the same deposition chamber with nominally the same (controllable) deposition parameters, and by the same technician, albeit on diferent days. Additionally, the LD-1 coating was manufactured in a diferent chamber but with similar deposition parameters as LD-1 and HD-1. One of the most striking and quantifable diferences between the coating batches is the density. HD-1 (ρ = 4.5 g/cm³) has a density close to that of bulk MoS_2 ($\rho = 4.8 - 5.06$ g/cm³), exceeding the average density of IBAD coatings $(\rho = 4.4 \text{ g/cm}^3)$ [[29\]](#page-8-10). Both LD-1 $(\rho = 3.55 \text{ g/cm}^3)$ and LD-2 ($\rho = 3.04 \text{ g/cm}^3$) have measured density values that are well below HD-1, likely due to the formation of the voids observed in the TEM (Fig. [1\)](#page-3-0).

Diferences in density and void formation could be due to variations in crystallite orientation and degree of crystallinity, as indicated by XRD. Buck observed that crystalline pure MoS_2 coatings ($\rho \sim 3.8-3.95$ g/cm³) are denser than amorphous coatings ($\rho \sim 3.3$ g/cm³), and that increased basal-orientation improves density due to decreased porosity [[31](#page-9-1)]. Though our results show that LD-1, which is edge-oriented (as indicated by the (10 1

0) peak), is denser than the basally oriented LD-2 coating, both coatings have low peak intensities corresponding to their preferential orientations. Orientation and crystallinity can infuence the friction and wear behavior of $MoS₂$, with highly crystalline, basally oriented coatings having lower initial friction coefficients and faster run-in to steady-state friction over amorphous microstructures [[39](#page-9-7), [40\]](#page-9-8). Although nanocrystal-amorphous coatings have been reported to have lower wear rates than nanocrystalline coatings [[41](#page-9-9)], in this study it is challenging to decouple the individual efects of orientation and crystallinity from density on the tribological behavior. The impact of orientation on density and void formation is supported by the growth kinetics of $MoS₂$ during deposition. Low density, porous coatings are a result of the formation of edgeoriented $MoS₂$ crystallites providing reactive edge-sites for new deposits leading to a high vertical growth rate and decreased horizontal growth rate. As larger, vertically oriented lamellae form, they cause a shadowing effect, blocking incoming deposits resulting in the formation of voids [[42,](#page-9-10) [43\]](#page-9-11). Void formation can greatly impact hardness. This phenomenon is widely studied in other material systems such as ceramics [[44](#page-9-12)[–49\]](#page-9-13) where it has been observed that hardness increases as porosity decreases.

Pure $MoS₂$ coatings deposited by PVD are typically sub-stoichiometric with a deficiency in sulfur [[35](#page-9-14), [50\]](#page-9-15) and can have high levels of oxygen in the bulk $(>10\%)$ [[32,](#page-9-2) [51\]](#page-9-16). Oxygen substituted into the crystal lattice of $MoS₂$ forms $MoS_{2-x}O_x$ by substituting sulfur and results in a peak shift of the $(10 1 0)$ due to a reduced lattice constant $[32]$ $[32]$ $[32]$. Though the coefficients of friction observed for oxygen rich films are not as low as pure $MoS₂$ coatings, films containing high amounts of oxygen have been shown to have increased density from a reduced crystallite size, thereby producing lower wear rates than that of pure $MoS₂ coat$ ings [[32](#page-9-2)]. Addition of oxygen, which can be viewed as a dopant, means that "pure" is a misnomer for $MoS₂$ films without dopants because of the added benefts oxygen can impose. In this work, no statistically signifcant diference in oxygen content in the coatings under investigation was detected. Notably, the oxygen content was very low and close to the accuracy of the analytical tool. While we do not believe that oxygen is contributing to the improved densifcation of HD-1, it is not unlikely that sources of contamination during the deposition process could introduce unwanted oxygen or water. Sources such as adsorbed water on the deposition chamber walls due to exposure to lab air during sample changing or transfer and a contaminated sputtering target could be key uncontrolled factors that infuence the coatings density and tribological behavior.

For $MoS₂$, relationships between coating porosity, hardness, and wear are not well established. Seynstahl et al*.* [[52\]](#page-9-17) varied the sample substrate rotation during deposition and observed that compact pure $MoS₂$ coatings with little to no porosity were harder $(H=5.69 \text{ GPa})$ and more wear resistant $(k \sim 1 \times 10^{-7} \text{ mm}^3/\text{Nm})$ than softer $(H \sim 0.06 - 0.25 \text{ GPa})$, porous films ($k \sim 5 \times 10^{-6}$ —2×10⁻⁵ mm³/Nm). Although the authors did not directly measure density, we observe a similar trend with HD-1 exhibiting a higher hardness $(H=4.4 \text{ GPa})$ and lower wear rate $(k=5.74 \times 10^{-8} \text{ mm}^3/\text{Nm})$ in dry N₂ than both LD-1 (H = 1.6 GPa, k = 7.98×10^{-7} mm³/ Nm) and LD-2 (H = 2 GPa, k = 1.59×10^{-6} mm³/Nm). While density is a major factor contributing to the increased hardness of HD-1, the discrepancy between hardness and density for LD-1 and LD-2 could be due to diferences in coating orientation. Though both LD-1 and LD-2 have weak peak intensities indicating nanocrystalline/amorphous microstructures, there is weak preferential vertical orientation of LD-1 (*i.e.,* basal planes parallel to the indentation axis), compared to the more basally oriented LD-2 (basal planes perpendicular to the indentation axis), allowing for deformation to occur between low shear strength basal planes as the coating is deformed. For vertically oriented coatings, the indenter tip can advance further into the coating by pushing the columns apart resulting in a lower measured hardness [[44\]](#page-9-12). The high hardness and density of HD-1 produced a greater wear resistance than that of widely established composite flms such as $MoS_2/Sb_2O_3/Au (k \sim 1 \times 10^{-7} \text{ mm}^3/\text{Nm}$ [\[21\]](#page-8-6)) in dry N_2 environments. Improvements in the wear rates imparted by density and hardness are also observed in humid environments (Fig. [5](#page-6-0)), suggesting that wear performance measured in humid air could be a metric for a quality $MoS₂$ coatings,

which for practical fight hardware, could be a useful metric if inert environments are unavailable or impractical to use.

Density as a driving factor for low wear $MoS₂$ coatings, and hardness as an indicator of coating density, provides a useful metric for the qualification of $MoS₂$ coatings to be used in practical applications. The low measured hardness of LD-1 and LD-2 would, for instance, indicate to an engineer that the batch of coatings will not meet specifcations and should not be used. By using hardness as a metric, timely tribological testing of coating batches or costly characterization techniques such as RBS can be avoided, and only performed on batches such as HD-1 which meet an adequate hardness threshold. Additional useful metrics such as crystallinity and orientation, measured by XRD, would help provide a fast and accurate indication of a quality flm.

5 Conclusions

Uncontrolled variation in mechanical properties of sputter deposited pure $MoS₂$ has limited their use in engineering applications. In this work, relationships between the mechanical properties and density of pure $MoS₂$ coatings were developed to establish deterministic parameters for high-quality coatings. Three batches of pure $MoS₂$ coatings were deposited using similar parameters, yet the wear rates of the coatings varied by ~ $100 \times$ in dry N₂. Density of the coatings showed that each coating batch varied in density with the highest density, lowest wear coating $(k=5.74 \times 10^{-8})$ mm³/Nm, $\rho = 4.5$ g/cm³) having little to no void formation and achieving a density close to bulk $MoS₂$. The other coatings both had low density ($\rho = 3.04$ and 3.55 g/cm³) and significant void formation throughout the coatings. Nanoindentation showed distinct hardness and modulus diferences between dense $(H = 4.4 \text{ GPa}, E = 83.7 \text{ GPa})$ and porous $(H = 1.6-2 \text{ GPa}, E = 50.4-67.8 \text{ GPa})$ coatings, correlating to changes in density and coating orientation. These results suggest that density is one of the dominant factors contributing to low wear rates for $MoS₂$ coatings and that the hardness of a coating is a key indicator of high-performance coatings. Furthermore, using hardness as a key metric to determine coating batch quality could help in reducing time consuming tribological testing or complex and expensive characterization.

Acknowledgements G.L.D. would like to acknowledge the assistance and contributions of the students and staff of the Timken Engineered Surfaces Laboratory at the University of Akron.

Funding This material is based upon work supported by the National Science Foundation under Grant No. 2027029, 1826251, and NSF GRFP No. 1842163. TEM work was performed at the National High Magnetic Field Laboratory, which is supported by National Science Foundation Cooperative Agreement No. DMR-1644779 and the State

of Florida. G.L.D. was supported by funding from the Center for Surface Engineering and Lubrication Research. F.W.D. was supported by the Center for Integrated Nanotechnologies, a Department of Energy office of Basic Energy Sciences user facility. This work was funded by the Laboratory Directed Research and Development (LDRD) program at Sandia National Laboratories, a multi-mission laboratory managed and operated by National Technology and Engineering Solutions of Sandia, LLC., a wholly owned subsidiary of Honeywell International, Inc., for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525. This paper describes objective technical results and analysis. Any subjective views or opinions that might be expressed in the paper do not necessarily represent the views of the U.S. Department of Energy or the United States Government.

Declarations

Competing interest The authors have no competing interests to disclose.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

References

- 1. Donnet, C., Martin, J.M., le Mogne, T., Belin, M.: Super-low friction of MoS₂ coatings in various environments. Tribol. Int. 29(2), 123–128 (1996)
- 2. Hilton, M.R., Fleischauer, P.D.: Applications of solid lubricant flms in spacecraft. Surf. Coat. Technol. **54–55**, 435–441 (1992)
- 3. Roberts, E.W.: Ultralow friction films of $MoS₂$ for space applications. Thin Solid Films **181**(1–2), 461–473 (1989)
- 4. Pritchard, C., Midgley, J.W.: The efect of humidity on the friction and life of unbonded molybdenum disulphide flms. Wear **13**(1), 39–50 (1969)
- 5. Serpini, E., Rota, A., Ballestrazzi, A., Marchetto, D., Gualtieri, E., Valeri, S.: The role of humidity and oxygen on $MoS₂$ thin films deposited by RF PVD magnetron sputtering. Surf. Coat. Technol. **319**, 345–352 (2017)
- 6. Panitz, J.K.G., Pope, L.E., Lyons, J.E., Staley, D.J.: Tribological properties of $MoS₂ Coatings$ in vacuum, low relative humidity and high relative humidity environments. J. Vacuum Sci. Technol. A **6**(3), 1166 (1987)
- 7. Zhao, X., Zhang, G., Wang, L., Xue, Q.: The tribological mechanism of $MoS₂$ film under different humidity. Tribol. Lett. $65(2)$, 1 (2017)
- 8. Vierneusel, B., Schneider, T., Tremmel, S., Wartzack, S., Gradt, T.: Humidity resistant $MoS₂$ coatings deposited by unbalanced magnetron sputtering. Surf. Coat. Technol. **235**, 97–107 (2013)
- 9. Fleischauer, P.D.: Efects of crystallite orientation on environmental stability and lubrication properties of sputtered moS. ASLE Trans. **27**(1), 82–88 (1984)
- 10. Zhao, X., Perry, S.S.: The role of water in modifying friction within MoS₂ sliding interfaces. ACS Appl. Mater. Interfaces 2(5), 1444–1448 (2010)
- 11. Khare, H.S., Burris, D.L.: Surface and subsurface contributions of oxidation and moisture to room temperature friction of molybdenum disulfde. Tribol. Lett. **53**(1), 329–336 (2014)
- 12. Scharf, T.W., Goeke, R.S., Kotula, P.G., Prasad, S.V.: Synthesis of $Au-MoS₂$ nanocomposites: thermal and friction-induced changes to the structure. ACS Appl. Mater. Interfaces **5**(22), 11762–11767 (2013)
- 13. Lince, J.R.: Tribology of co-sputtered nanocomposite $Au/MoS₂$ solid lubricant flms over a wide contact stress range. Tribol. Lett. **17**(3), 419–428 (2004)
- 14. Lince, J.R., Kim, H.I., Adams, P.M., Dickrell, D.J., Dugger, M.T.: Nanostructural, electrical, and tribological properties of composite Au-MoS₂ coatings. Thin Solid Films 517(18), 5516–5522 (2009)
- 15. Wahl, K.J., Seitzman, L.E., Bolster, R.N., Singer, I.L.: Lowfriction, high-endurance, ion-beam-deposited Pb-Mo-S coatings. Surf. Coat. Technol. **73**(3), 152–159 (1995)
- 16. Wahl, K.J., Dunn, D.N., Singer, I.L.: Wear behavior of Pb-Mo-S solid lubricating coatings. Wear **230**(2), 175–183 (1999)
- 17. Centers, P.W.: Tribological performance of MoS2 compacts containing $MoO₃$, $Sb₂O₃$ or $MoO₃$ and $Sb₂O₃$. Wear 122(1), 97–102 (1988)
- 18. Wang, X., Xing, Y., Ma, S., Zhang, X., Xu, K., Teer, D.G.: Microstructure and mechanical properties of $MoS₂/titanium$ composite coatings with diferent titanium content. Surf. Coat. Technol. **201**(9–11), 5290–5293 (2007)
- 19. Renevier, N.M., Fox, V.C., Teer, D.G., Hampshire, J.: Coating characteristics and tribological properties of sputter-deposited MoS2/metal composite coatings deposited by closed field unbalanced magnetron sputter ion plating. Surf. Coat. Technol. **127**(1), 24–37 (2000)
- 20. Serles, P., et al.: High performance space lubrication of $MoS₂$ with tantalum. Adv. Func. Mater. **32**(20), 1 (2022)
- 21. Scharf, T.W., Kotula, P.G., Prasad, S.V.: Friction and wear mechanisms in $MoS_2/Sb_2O_3/Au$ nanocomposite coatings. Acta Mater. **58**(12), 4100–4109 (2010)
- 22. Muratore, C., Voevodin, A.A.: Chameleon Coatings: Adaptive Surfaces to Reduce Friction and Wear in Extreme Environments, 2009
- 23. Renevier, N.M., Lobiondo, N., Fox, V.C., Teer, D.G., Hampshire, J.: Performance of $MoS₂/metal$ composite coatings used for dry machining and other industrial applications. Surf. Coat. Technol. **123**(1), 84–91 (2000)
- 24. Ding, X.Z., Zeng, X.T., He, X.Y., Chen, Z.: Tribological properties of Cr- and Ti-doped $MoS₂$ composite coatings under different humidity atmosphere. Surf. Coat. Technol. **205**(1), 224–231 (2010)
- 25. Gu, L., Ke, P., Zou, Y., Li, X., Wang, A.: Amorphous selflubricant $MoS₂-C$ sputtered coating with high hardness. Appl. Surf. Sci. **331**, 66–71 (2015)
- 26. Cai, S., et al.: Friction and wear mechanism of $MoS₂/C$ composite coatings under atmospheric environment. Tribol. Lett. **65**, 1 (2017)
- 27. Lincea, J.R., Hilton, M.R., Bommannavar, A.S.: Metal incorporation in sputter-deposited $MoS₂$ films studied by extended x-ray absorption fne structure. J. Mater. Res. **10**(8), 2091–2105 (1995)
- 28. Simmonds, M.C., Savan, A., Pfüger, E., van Swygenhoven, H.: Mechanical and tribological performance of $MoS₂$ co-sputtered composites. Surf. Coat. Technol. **126**(1), 15–24 (2000)
- 29. Bolster, R.N., Singer, I.L., Wegand, J.C., Fayeulle, S., Gossett, C.R.: Preparation by ion-beam-assisted deposition, analysis and

tribological behavior of MoS₂ films. Surf. Coat. Technol. 46(2), 207–216 (1991)

- 30. Haynes, W.M.: CRC Handbook of Chemistry and Physics, 92nd edn. CRC Press, Boca Raton, FL (2011)
- 31. Buck, V.: Structure and density of sputtered $MoS₂-films.$ Vacuum **36**(1–3), 89–94 (1986)
- 32. Lince, J.R., Hilton, M.R., Bommannavar, A.S.: EXAFS of sputterdeposited MoS₂ films. Thin Solid Films 264(1), 120–134 (1995) 33. Lince, J.R., Fleischauer, P.D.: Crystallinity of rf-sputtered $MoS₂$
- flms. J. Mater. Res. **2**(6), 827–838 (1987) 34. Spalvins, T.: Tribological properties of sputtered $MoS₂$ films in
- relation to flm morphology. Thin Solid Films **73**(2), 291–297 (1980)
- 35. Dimigen, H., Hübsch, H., Willich, P., Reichelt, K.: Stoichiometry and friction properties of sputtered MoS_x layers. Thin Solid Films **129**(1–2), 79–91 (1985)
- 36. Moser, J., Lèvy, F.: MoS_{2-x} lubricating films: structure and wear mechanisms investigated by cross-sectional transmission electron microscopy. Thin Solid Films **228**(1–2), 257–260 (1993)
- 37. Panitz, J.K.G., Pope, L.E., Hills, C.R., Lyons, J.E., Staley, D.J.: A statistical study of the combined efects of substrate temperature, bias, annealing and a $Cr₃Si₂$ undercoating on the tribological properties of r.f. sputtered $MoS₂ coatings$. Thin Solid Films 154(1–2), 323–332 (1987)
- 38. Buck, V.: Preparation and properties of diferent types of sputtered MoS2 flms. Wear **114**(3), 263–274 (1987)
- 39. Curry, J.F., et al.: Highly oriented $MoS₂$ coatings: tribology and environmental stability. Tribol. Lett. **64**(1), 1–9 (2016)
- 40. Curry, J.F., et al.: Impact of microstructure on $MoS₂$ oxidation and friction. ACS Appl. Mater. Interfaces. **9**(33), 28019–28026 (2017)
- 41. Serles, P., et al.: Structure-Dependent Wear and Shear Mechanics of Nanostructured MoS₂ Coatings. Adv. Mater. Interfaces 7(14), 1901870 (2020)
- 42. Moser, J., Liao, H., Levy, F.: Rapid Communication: Texture characterization of sputtered $MoS₂$ thin films by cross-sectional TEM analysis. J. Phys. D Appl. Phys. **23**(5), 624–626 (1990)
- 43. P. A. Bertrand, Orientation of rf-sputter-deposited MoS₂ films
- 44. Jang, B.K., Matsubara, H.: Infuence of porosity on hardness and Young's modulus of nanoporous EB-PVD TBCs by nanoindentation. Mater. Lett. **59**(27), 3462–3466 (2005)
- 45. Paneto, F.J., et al.: Effect of porosity on hardness of Al_2O_3 $Y_3AI_5O_{12}$ ceramic composite. Int. J. Refract Metal Hard Mater. **48**, 365–368 (2015)
- 46. Luo, J., Stevens, R.: Porosity-dependence of elastic moduli and hardness of 3Y-TZP ceramics. Ceram. Int. **25**(3), 281–286 (1999)
- 47. Milman, Y.V., Chugunova, S.I., Goncharova, I.V., Chudoba, T., Lojkowski, W., Gooch, W.: Temperature dependence of hardness in silicon-carbide ceramics with diferent porosity. Int. J. Refract. Met. Hard Mater. **17**, 361–368 (1999)
- 48. Soroka, I.: Interrelation of Hardness, Modulus of Elasticity, and Porosity in Various Gypsum Systems. J. Am. Ceram. Soc. **51**, 337–340 (1968)
- 49. Hoepfner, T.P., Case, E.D.: The infuence of the microstructure on the hardness of sintered hydroxyapatite. Ceram. Int. **29**(6), 699–706 (2003)
- 50. Weiss, V., et al.: Reactive magnetron sputtering of molybdenum sulfde thin flms: in situ synchrotron x-ray difraction and transmission electron microscopy study. J. Appl. Phys. **95**(12), 7665–7673 (2004)
- 51. Lince, J.R.: MoS2-xOx solid solutions in thin flms produced by rf- sputter- deposition. J. Mater. Res. **5**(1), 218–222 (1990). <https://doi.org/10.1557/JMR.1990.0218>
- 52. Seynstahl, A., Krauß, S., Bitzek, E., Meyer, B., Merle, B., Tremmel, S.: Coatings Microstructure, Mechanical Properties and Tribological Behavior of Magnetron-Sputtered MoS₂ Solid Lubricant Coatings Deposited under Industrial Conditions, 2021

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.