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Infuence of the Heat Transfer Field on Anomalous Lubricant Film Formation in Elastohydrodynamic Lubrication Conditions

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Abstract

The infuence of the heat transfer feld on anomalous flm formation under elastohydrodynamic lubrication (EHL) conditions was studied. Liquid lubricant flm shapes between a transparent disc and steel ball friction pair were investigated by white light optical interferometry. The fatty alcohol 1-dodecanol was used as the representative lubricant to develop anomalous flm shapes. A sapphire disc and glass disc, which have diferent thermal conductivities, were used as the transparent bounding surface. Experiments were performed wherein the applied load, sliding conditions and ambient temperature were varied. The temperature of the lubricant film was estimated by a simple model with the measured traction coefficient. The estimated temperature and maximum Hertzian pressure were compared with the phase diagram of 1-dodecanol obtained using a diamond anvil cell to investigate the phase state of the lubricant flm. It was found that the anomalous flm shape was stably formed in the solid-state regime of the phase diagram whereas the flm exhibited unique characteristics such as the collapse behaviour in high sliding conditions and liquid-like behaviour of the traction with a remaining thickened flm part in the liquid state regime.

Keywords Elastohydrodynamic · Film shape · Rheology · Phase transition · Solidifcation · Temperature

1 Introduction

Elastohydrodynamic lubrication (EHL) has been one of the most important topics in the tribological feld for over a century. Pressure develops at the entrance of the contact area and builds up to the order of several gigapascals. A signifcantly high pressure causes large elastic deformations of the bounding surfaces and an increase in the viscosity of the lubricant, termed as the piezoviscous effect $[1]$ $[1]$. The film formed in the lubricated area has a specifc shape with a fat part around the contact area and a constricted shape at the exit zone [[2\]](#page-9-1). A lubricant flm with a thickness of less than

1 μm is greatly sheared under the movement of the bounding surfaces at speeds of several metres per second.

For a better understanding of EHL, both the traction and the flm thickness are important information. Traction is generated in the contact area, where a lubricant flm of signifcantly high viscosity is sheared at a high shear rate of the order of 10^6 s⁻¹. A lubricant film under significant shearing force does not exhibit the Newtonian rheological behaviour. Instead, it shows shear thinning, viscoelastic, or elastoplastic behaviour [\[3–](#page-9-2)[8\]](#page-9-3). On the contrary, it has been recognised that the flm thickness is determined by the fow at the entrance with a great geometrical convergent shape [\[9](#page-9-4)]. The formed flm has a specifc shape; it is fat around the central area and constricted at the exit zone [[2\]](#page-9-1). Representative formulas have been suggested for the flm thickness at the centre and minimum flm thickness for conveniently designing the lubricated area [[10\]](#page-10-0). A fast numerical simulation algorithm has also been developed for solving the EHL problems [\[11](#page-10-1)].

Further, the infuence of the rheological characteristics of the lubricant within the lubricated area on the flm formation has attracted increasing interest over the last two decades. Signifcant variations in the flm shape were identifed under rolling/sliding conditions [\[12](#page-10-2)[–20\]](#page-10-3) and opposite sliding

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conditions at a zero entrainment speed $[21, 22]$ $[21, 22]$ $[21, 22]$, and in the cases of high-viscosity oil [\[23](#page-10-6)] and liquids with a clear melting point [[24–](#page-10-7)[30\]](#page-10-8). Variations in the flm shape under rolling/ sliding conditions $[12-20]$ $[12-20]$ and opposite sliding conditions [\[21,](#page-10-4) [22](#page-10-5)] were concluded to arise from the viscosity wedge action [\[31](#page-10-9)], whereas the flm shape variations in the cases of high-viscosity oils [\[23\]](#page-10-6) and liquids with a clear melting point [[24–](#page-10-7)[30\]](#page-10-8) remain to be fully understood.

Herein, we present the appearance of anomalous flm shapes in the case of a liquid with a clear melting point [[24](#page-10-7)[–30\]](#page-10-8). Anomalous flm shapes were discovered at the point contact area between a glass disc and a steel ball lubricated with a fatty alcohol, 1-dodecanol [\[24](#page-10-7)[–27](#page-10-10)]. Under pure rolling conditions, the flm was found to have the conventional shape [[2\]](#page-9-1). The shape of the flm however changed, resulting in a gradual increase in the flm thickness around the central zone with increasing slide-to-roll ratio. At high slide-to-roll ratios, the thickened part moved towards the entrance, and then a thinner part of the flm dominated. The flm shape depended on the sign of the slide-to-roll ratio at high slide-to-roll ratios; the thickened part tended to move towards the entrance at higher steel ball speeds, whereas it tended to remain in the lubricated area at higher glass disc speed. In ensuing studies, other fatty alcohols [[26](#page-10-11)], an acid, an amine, a chloride, and various alkanes [[28](#page-10-12)] were found to adopt the same flm shape as 1-dodecanol. Furthermore, the maximum traction coefficient was observed at a low slide-to-roll ratio, and the value decreased gradually with increasing slide-to-roll ratio for 1-dodecanol and other liquids that developed anomalous flm shapes. In contrast, liquids developing the conventional flm shape exhibited a gradual increase in the traction coefficient with increasing slide-to-roll ratio [\[28](#page-10-12)]. The shear rate of the maximum traction coefficient for liquids developing anomalous film shapes ranged from 10^5 to 10^7 s⁻¹, which is of the same order as those of traction fuids [[29\]](#page-10-13). The shear rate depends on the liquid type; for example, the shear rates for *n*-tetradecane and *n*-hexadecane (alkanes) are \sim 2.0 \times 10⁶ s⁻¹, whilst that of 1-dodecanol (an alcohol) is $\sim 4.0 \times 10^5$ s⁻¹. Reddyhoff et al. [\[30\]](#page-10-8) focused on the transition of the traction behaviour of 1-dodecanol with pressure and ambient temperature.

The anomalous film formation in the case of liquids with a clear melting point $[24-30]$ $[24-30]$ $[24-30]$ has been attributed to the solidifcation of the lubricant. In a previous study [\[25](#page-10-14)], a small temperature rise of \sim 30 °C was estimated using a simple temperature formula based on the assumption of semi-infnite bodies. However, the heat generated in the flm infuences the formation of anomalous flm shapes, because the possibility can be predicted based on the trend of the traction coefficient with increasing slide-to-roll ratio and a signifcant diference in the flm shapes at positive and negative slide-to-roll ratios at the glass–steel contact. Therefore, in the current study, we focused on the infuence of the heat transfer feld on the flm formation. White light optical interferograms of the flm formed at the point contact area between a transparent disc (glass or sapphire) and steel ball were captured. Sapphire and glass with diferent thermal conductivities were used as one of the surface materials to change the heat transfer feld of the friction surfaces. The formation of the anomalous flm shapes was investigated by changing the material of one of the bounding surfaces, sliding conditions, and ambient temperature.

2 Experimental Procedure

Tests were conducted using a ball-on-disc type test rig, as reported previously [[28](#page-10-12), [29](#page-10-13)], to study the variations in the lubricant flm thickness and traction. Figure [1](#page-2-0) shows a schematic of the test rig. A point contact area was created between a rotating transparent disc (diameter: 80 mm; thickness: 5 mm) and a rotating steel ball (diameter: 25.4 mm). SUJ2, which is equivalent to AISI52100, was used as the steel substrate. The rotational speeds of the disc and ball are independently controlled using AC servo motors to set desired slide-to-roll ratios. The ball and disc are placed in a chamber containing the lubricant. The temperature of the chamber is controlled using a heating system consisting of heat pipes, a thermistor, and a controller. The lubricant is supplied to the contact area by ball rotation. An optical microscope is installed above the test rig to measure the flm thickness via white light optical interferometry. White light from the microscope is illuminated onto the contact area, and the refected light is captured by a digital camera attached to the microscope. A thin chromium layer was deposited on the contact side of the disc surface to increase the contrast of the optical interferograms between the transparent disc surface and steel ball.

A BK7 glass disc or a sapphire disc was used as the transparent material. Both these materials are transparent to visible light, but have diferent thermal conductivities. Table [1](#page-2-1) lists the bulk properties of the materials of the two discs and steel ball counterpart. A fatty alcohol, 1-dodecanol, was used as the representative liquid lubricant that develops anomalous flm shapes, as demonstrated in previous studies [\[24–](#page-10-7)[28\]](#page-10-12).

The slide-to-roll ratio, *S* is defned as follows:

$$
S = \frac{u_{\rm b} - u_{\rm d}}{u_{\rm m}}\tag{1}
$$

where u_b is the ball speed and u_d is the disc speed. The entrainment speed, u_m is defined as $u_m = (u_b + u_d)/2$. When the ball speed is higher than the disc speed, *S* is positive, and when the ball speed is lower than the disc speed, *S* is negative. All tests were conducted at a constant u_m of 1.8 m/s.

Fig. 1 Schematic of the test rig

The phase diagram of 1-dodecanol was constructed using a diamond anvil cell device. Figure [2](#page-3-0) shows a schematic of the diamond anvil cell device. A gasket of stainless steel SUS304 (thickness: 0.5 mm) with a 0.6 mm diameter hole was sandwiched between the diamond anvils with a currete diameter of 1.2 mm. A small amount of the lubricant, 1-dodecanol, and a piece of ruby were introduced into the hole. A hydraulic pressure was applied to the lubricant by tightening a screw attached to the device. The temperature of the device was controlled using a heating system consisting of heat pipes, a K-type thermocouple, and a controller. The pressure was calculated on the basis of the fuorescence of ruby [\[32\]](#page-10-15). The phase state of the lubricant was observed with a transmission optical microscope. The phase state of the lubricant was confrmed from the appearance of the lubricant crystal grains in the visible images with increasing pressure at a constant temperature.

Fig. 2 Schematic of the diamond anvil cell device. **a** Full view of the device and **b** enlarged view of the diamond anvil cell

3 Results

Figure [3](#page-3-1) shows the optical interferograms of the 1-dodecanol flm at the glass–steel contact under diferent slideto-roll ratios. The operating conditions are the same as those used in previous studies [\[28,](#page-10-12) [29\]](#page-10-13). They allowed us to follow the trend of the flm thickness in detail. Under pure rolling conditions, the flm had a conventional shape with a flat part in the central zone and a constricted part at the exit zone. The flm thickness changed with increasing slide-to-roll ratio. At $S < 0.9$, the shapes of the lubricant film were similar at the same absolute value of *S*. At *S*>1.2, the flm collapsed around the central zone with an increasing *S* when *S* is positive, whereas it was thick when *S* is negative. Figure [4](#page-4-0) shows the optical interferograms of the flm at the sapphire–steel contact under diferent slideto-roll ratios. The general trend of the variation in the flm thickness was the same as that of the glass–steel contact. Three main diferences were observed in the flm formation between the glass–steel and sapphire–steel contact pairs. The frst is the size of the contact area; the contact area at the sapphire–steel contact was smaller than that at the glass–steel contact because of the higher elasticity

Fig. 3 Optical interferograms of the lubricant flm at the glass–steel contact at diferent slide-to-roll ratios under the applied load *W* of 40 N (the maximum Hertzian pressure and Hertzian radius were 0.57 GPa and 183 μm, respectively) and ambient temperature T_0 of 30 °C

Fig. 4 Optical interferograms of the flm at the sapphire–steel contact at diferent slide-to-roll ratios under the applied load *W* of 40 N (the maximum Hertzian pressure and Hertzian radius were 1.00 GPa and 138 μ m, respectively) and ambient temperature T_0 of 30 °C

Fig. 5 Variations in the traction coefficient with the slide-to-roll ratio *S* under the applied load *W* of 40 N and ambient temperature T_0 of 30 °C

of sapphire than that of glass, as shown in Table [1](#page-2-1). The second diference is the flm thickness; the flm was thinner at the sapphire–steel contact than at the glass–steel contact. The third diference is the symmetry of the flm formed at positive and negative *S* values; the flm thickness was not symmetrical with respect to *S* at the glass–steel contact, whereas the flm developed the same shape at the sapphire–steel contact, regardless of the sign of *S* (i.e., at positive *S* and negative *S*).

Figure 5 shows the variation in the traction coefficient with the slide-to-roll ratio. The traction coefficient had the maximum value at low *S*, and then it decreased monotonically with increasing *S*. The maximum values of the traction coefficient at the sapphire–steel contact were smaller than those at the glass–steel contact. However, the traction coefficient at the sapphire–steel contact was greater than that at the glass–steel contacts at greater *S*, because of the

marginal decrease in the traction coefficient with S at the sapphire–steel contact.

Figure [6](#page-5-0) shows the optical interferograms recorded to study the flm thickness variation at the glass–steel contact at the ambient temperatures T_0 of 30, 50, and 70 °C under diferent positive *S* values, whilst Fig. [7](#page-6-0) shows the variation under the same conditions under negative *S* values. Figure [8](#page-6-1) shows the variation in the traction coefficient under the same operating conditions as those of Fig. [6](#page-5-0) (i.e., at diferent positive *S* values). The tests were conducted to investigate the flm formation and traction behaviours of 1-dodecanol when the phase state changed from liquid to solid in the lubricated area. The phase state of 1-dodecanol was confrmed from the maximum Hertzian pressure, ambient temperature, and its phase diagram shown later. Both the flm thickness and the shape changed with increasing ambient temperature T_0 . Under pure rolling conditions, the flm thickness decreased to less than 70 nm at 70 °C because of the decrease in the viscosity of 1-dodecanol. However, the thicker part of the flm around the central zone remained even at the ambient temperature T_0 of 70 °C, when 1-dodecanol appeared to be in the liquid state. The thickened part of the flm showed a greater tendency to remain at a higher negative *S* than at positive *S* at the ambient temperature T_0 of 30 °C, whereas the trend was reversed at the ambient temperature T_0 of 70 $°C$. The traction coefficient decreased with increasing ambient temperature T_0 . The trend of the traction coefficient with respect to *S* at 70 °C was different from the trends at 30 and 50° C; the traction coefficient increased gradually with increasing *S* at 70 °C.

Figure [9](#page-7-0) shows the variation in the optical interferograms with a change in the ambient temperature T_0 at a constant *S* of 0.6 and constant maximum Hertzian pressure of 0.36 GPa, which is the minimum value that could be applied with the test rig used in this study. The flm thickness decreased

Fig. 6 Variations in the optical interferograms of the flm at the glass–steel contact under the applied load *W* of 20 N (the maximum Hertzian pressure was 0.45 GPa) at different positive slide-to-roll ratios *S* and ambient temperatures T_0 of **a** 30, **b** 50, and **c** 70 °C

with increasing ambient temperature T_0 , but the thickened part remained around the central zone.

4 Discussion

The tests revealed that the anomalous flm shape and traction coefficient of 1-dodecanol depend on the heat transfer conditions, such as the material of the bounding surfaces and ambient temperature. At the glass–steel contact, the shape/ thickness of the film and traction coefficient depend on the sign of *S* at the ambient temperature T_0 of 30 °C; a thin film part expanded over the lubricated area at a high positive *S* value with a higher ball speed, whereas a thick part of the flm remained at a high negative *S* value with a lower ball speed, as shown in Fig. 3 . The traction coefficient at the glass–steel contact was not symmetrical to the sign of *S*; it decreased at a higher rate with an increasing sliding speed at a negative *S*. The asymmetry of the flm shape to the sign of *S* disappears with an increasing ambient temperature T_0 , as shown in Figs. [6](#page-5-0) and [7](#page-6-0). In contrast, at the sapphire–steel contact, the shape/thickness of the flm and traction coeffcient were almost symmetrical with respect to the sign of *S*; a thick flm existed between the inlet zone and central zone, and the flm was slightly thinner at positive *S* than at negative *S*, as shown in Fig. [4.](#page-4-0)

These differences in the film formation and traction behaviours can be attributed to the diferent thermal conductivities of the glass and sapphire discs. The thermal conductivity of glass is signifcantly lower than that of sapphire (Table [1](#page-2-1)). It is well-recognised that the thermal properties of bounding surfaces greatly infuence the flm formation characteristics. One of the representative fndings on the infuence of the thermal properties of the bounding surfaces is a dimple discovered by Kaneta et al. [\[19](#page-10-16), [20\]](#page-10-3), which appeared under simple sliding contact conditions between a moving glass disc and stationary steel ball counterpart, but was not observed when the steel surface was slid against the stationary glass disc. The thick flm formation in the current study is the opposite trend to the dimpling phenomenon reported by Kaneta et al. [[19,](#page-10-16) [20\]](#page-10-3). The thermal conductivity of sapphire is closer to that of steel but difers from that of glass as shown in Table [1.](#page-2-1) However, Reddyhoff et al. [[33\]](#page-10-17) reported that the thermal conductivity of steel within a depth of \sim 10 µm was 21 W/mK, which is lower than the value of 46 W/mK cited in previous studies. Other studies [\[34](#page-10-18), [35\]](#page-10-19)

Fig. 7 Variations in the optical interferograms of the flm thickness at the glass–steel contact under the applied load *W* of 20 N (the maximum Hertzian pressure was 0.45 GPa) at different negative slide-to-roll ratios *S* and ambient temperatures T_0 of **a** 30, **b** 50, and **c** 70 °C

Fig. 8 Variations in the traction coefficient at the glass–steel contact under the applied load *W* of 20 N (the maximum Hertzian pressure was 0.45 GPa) at diferent slide-to-roll ratios *S* and diferent ambient temperatures T_0 of 30, 50, and 70 °C. The operating conditions were the same as those of Fig. [6](#page-5-0)

discussed the impact of the thermal properties on the traction behaviour. The thermal conductivity of 21 W/mK meas-ured by Reddyhoff et al. [\[33\]](#page-10-17) is close to that of sapphire. The

symmetrical behaviours of the flm and traction can probably be attributed to the comparable thermal conductivities between the surfaces.

A solid-like behaviour was indicated by the variations in the traction coefficient as well as the film thickness/shape. The maximum traction coefficient at a low *S*, and a gradual decrease in the traction coefficient with increasing *S* were observed, as shown in Fig. [5](#page-4-1). This is a typical trend observed in the cases of traction oils $[6–8]$ $[6–8]$ $[6–8]$, which were speculated to behave like elastoplastic materials. Further, a decrease in the traction coefficient with increasing ambient temperature T_0 , which is shown in Fig. [8](#page-6-1), has also been observed for traction fuids [[6](#page-9-5)[–8](#page-9-3)]. Notably, in Fig. [8,](#page-6-1) the gradual increase in the traction coefficient with *S* at the ambient temperature T_0 of 70 °C is diferent from the trend observed at the other lower ambient temperatures. The trend observed at 70 °C is similar to the trends of ethylene glycol and glycerol, which develop conventional flm shapes [\[28](#page-10-12), [29\]](#page-10-13). However, a thickened part of the flm appeared around the central zone at the ambient temperature T_0 of 70 °C, as shown in Figs. [6](#page-5-0) and [9](#page-7-0).

Figure [10](#page-7-1) shows the phase diagram of 1-dodecanol obtained using a diamond anvil cell. A change in the solid state was confrmed from the appearance of crystal grains

Fig. 9 Variations in the optical interferograms of the flm thickness at the glass–steel contact under the applied load *W* of 10 N (the maximum Hertzian pressure was 0.36 GPa) and constant slide-to-roll ratio *S* of 0.6 at different ambient temperatures T_0

Fig. 10 Phase states of the lubricated area, as estimated from the ambient temperature and maximum Hertzian pressure

with increasing pressure. Figure [11](#page-7-2) shows the visible images of 1-dodecanol in the liquid state at the atmospheric pressure and an appearance of crystal grains at a pressure of 30 MPa and the temperature of 30 °C. The phase states at the contact area, which were estimated from the ambient temperature and maximum Hertzian pressure under the current operating conditions, are plotted in Fig. [10](#page-7-1). The transition line between high friction and low friction estimated by Reddyhoff et al. [[30\]](#page-10-8) and the phase diagram obtained by Carareto et al. [[36\]](#page-10-20) are also depicted in Fig. [10](#page-7-1). The phase diagram obtained in this study is remarkably diferent from that obtained by Carareto et al. [[40](#page-10-21)]. This diference can be attributed to the diference in the measuring procedure of the melting point. Carareto et al. [[36\]](#page-10-20) measured the melting point based on the observation of the disappearance of crystal grains of solidifed 1-dodecanol with decreasing pressure; in contrast, in this study, the appearance of crystal grains with increasing pressure formed the basis to determine the melting point at diferent temperatures. The lubricant flm of 1-doecanol formed anomalous shapes under all the operating conditions in this study. Thus, the phase diagram obtained by Carareto et al. [[40\]](#page-10-21) may be more reasonable. However, liquid-like trends were observed in the solid regime of the phase diagram measured by Carareto et al. [\[40](#page-10-21)], such as the traction

Fig. 11 Visible images of 1-dodecanol pressurised by the diamond anvil cell at **a** the atmospheric pressure and **b** at 60 MPa under a constant temperature of 30 °C

behaviour at the temperature of 70 $^{\circ}$ C (Fig. [8\)](#page-6-1), and disappearance of the anomalous flm shape at 70 °C and a high *S* (Fig. [7](#page-6-0) (c)). Furthermore, the collapse of the film formation observed at a high *S* (Figs. [3](#page-3-1), [6](#page-5-0) and [7](#page-6-0)), which was also observed in past studies [[25](#page-10-14)], likely indicate a phase state diferent from the solid phase state of the phase diagram.

Further investigation of the phase state of the lubricant flm was conducted considering the heat generation in the flm. The temperature of the lubricant flm was also calculated using a simple model based on the assumption of semi-infnite bodies for bounding surfaces derived by Yagi et al. [\[25](#page-10-14)].

$$
t_{\rm f} = \frac{\mu p_{\rm hm} \Delta u h}{12k_{\rm f}} + \frac{t_{\rm b} + t_{\rm d}}{2},\tag{2}
$$

$$
t_{\rm b} = \frac{1.464q_{\rm b}a}{k_{\rm b}\sqrt{\pi \left(0.874 + Pe_{\rm b}\right)}}\tag{3}
$$

$$
t_{\rm d} = \frac{1.464q_{\rm d}a}{k_{\rm d}\sqrt{\pi \left(0.874 + Pe_{\rm d}\right)}},\tag{4}
$$

$$
Pe_{\rm b} = \frac{u_{\rm b}a}{2\kappa_{\rm b}}, Pe_{\rm d} = \frac{u_{\rm d}a}{2\kappa_{\rm d}},\tag{5}
$$

$$
q_{\rm b} = \frac{\mu p_{\rm hm} \Delta u}{2} + k_{\rm f} \frac{t_{\rm d} - t_{\rm b}}{h} \tag{6}
$$

$$
q_{\rm d} = \frac{\mu p_{\rm hm} \Delta u}{2} - k_{\rm f} \frac{t_{\rm d} - t_{\rm b}}{h} \tag{7}
$$

Melting temperature measured by Carareto et al. [36]

Transition temperature etimated by Reddyhoff et al. [30]

129 11 °C

T. \overline{a}

 $\mathbf{1}$

Low friction

High friction

Glass-steel contact

0.57 GPa

 $30 °C$

A Liquid

65.94

 $= 49.06 °$

 $\overline{0}$

Slide-to-roll ratio S

 $\overline{}$

 (a)

ပွ 120

Temperature.

160

140

100

80

60

40

20

 -2

← Liquid

Solic

 $k = 21$ W/mK

 \bigcirc k_b = 46 W/mK

Melting point measuered

 -1

in the present study

where *t* is the average temperature in the lubricated area, *Pe* is the Peclet number, q is the average heat flux flowing into the surface, a is the Hertzian radius, μ is the traction coefficient, ∆*u* is the sliding speed, *k* is the thermal conductivity, *κ* is the thermal difusivity, and subscripts of b, d and f denote the ball, disc and lubricant flm, respectively. The derivation process of the equation is described in a previous paper [[25](#page-10-14)]. For calculation of the temperature, the measured traction coefficients and central film thickness were incorporated into the equations.

Figure [12](#page-8-0) shows the estimated temperatures at diferent *S* for the glass–steel contact shown in Fig. [3](#page-3-1) and the sapphire–steel contact shown in Fig. [4](#page-4-0). The temperature was calculated for two thermal conductivities of bulk steel and that of the top surface measured by Reddyhoff et al. $[33]$ $[33]$. It was found that there is a diference in the flm formation at positive *S* values with respect to the melting point measured in this study. The temperature increased beyond the melting point with an increasing *S* for the glass–steel contact with the collapse of the flm at positive *S* values, whereas the temperature was lower than the melting point, expect for *S* = −1.5 and −1.8, for the sapphire–steel contact accompanied by the stable formation of the flm. For positive *S* values, the steel surface with a higher moving speed exhibited a lower temperature than the counter surface with a slower moving speed [\[18](#page-10-22), [25](#page-10-14)]. The temperature diference between both the mating surfaces probably infuences the yield phenomenon of the flm above the melting point. Therefore, the melting point measured in this study indicates a change in the phase state.

Regarding the solid-like behaviour of the flm for the glass–steel contact even in the liquid state of the phase diagrams obtained in this study, there are three possibilities for the increase in the melting temperature of 1-dodecanol in

 (b)

Fig. 12 Estimated temperature of the flm at diferent slide-to-roll ratios for **a** the glass–steel contact and **b** the sapphire–steel contact

 $\overline{2}$

the lubricated area. The frst is the alignment of the lubricant molecules along the sliding direction under high shear rates [\[37](#page-10-23)[–39\]](#page-10-24). 1-dodecanol has a simple linear chain structure, and thus tends to align along the sliding direction. The second possibility is the increase in the melting point of a thin flm confned between two solid surfaces. It has been reported that the melting point of a flm on a solid surface increases for a monolayer of 1-dodecanol [[40](#page-10-21)[–45\]](#page-10-25). This might be a possibility, although the flm is considerably thicker in the current study. The third possibility is the appearance of an α phase of metastable solid state with a rapid increase in the pressure whilst passing through the lubricated area, as reported by Reddyhoff et al. $[30]$ $[30]$ $[30]$. These three phenomena suppress the movement of the lubricant molecules; these are related to the adsorption of additives on surfaces as well as the solidifcation phenomenon described in the current study.

The current parametric study under varying slide-to-roll ratio, surface material, and ambient temperature in comparison with the phase diagram revealed the solid-like behaviour of the flm formation and traction. Additionally, the current study provides key guidelines for further studies on the phase state of the lubricant flm. Further studies on the mechanisms of the anomalous flm formation can aid understand the other aspects of boundary lubrication as well as EHL and hydrodynamic lubrication.

5 Conclusions

In this study, the flm formation behaviours and anomalous film shapes of a long-chain alcohol, 1-dodecanol, were investigated with a changing heat transfer feld. Parametric experiments were conducted with a focus on the infuence of the heat transfer conditions. BK7 glass and sapphire, which have signifcantly diferent thermal conductivities, were tested as one of the contact pairs. Optical interferograms of the flm thickness were captured by changing the slideto-roll ratio and ambient temperature. A phase diagram of 1-dodecanol was used to determine the phase state of the lubricant in the contact area. The following results were obtained:

When a sapphire disc was used as one of the contact surfaces, the shape and thickness of the anomalous flms, and the traction coefficient were almost symmetrical with respect to the sign of the side-to-roll ratio. In contrast, when a glass disc was used, they were not symmetrical at a low ambient temperature. In the case of a glass disc, thicker flms and smaller traction coefficients were observed at negative slide-to-roll ratios, whereas the flm collapsed at positive side-to-roll ratios. The asymmetrical flm shape approached a level of symmetry; this decreased the thickness of the flm with increasing ambient temperature. The diferences in the trends of the flm formation and traction behaviour with the changing material of one surface is attributable to the diferences in the thermal properties between sapphire and glass. Sapphire has a relatively higher thermal conductivity than glass and comparable to that of steel; thus, it forms a symmetrical heat transfer feld between the mating surfaces.

The phase state of the lubricant flm was investigated using a simple temperature estimation model in comparison with the phase diagram of 1-dodecanol. When the phase of the lubricant flm was in the solid state of the phase diagram, stable anomalous flms were formed and were observed at the sapphire–steel contact. Conversely, when the flm was in the liquid state of the phase diagram, some interesting phenomena were observed; the calculated temperature of the flm was higher than the melting point resulting from the heat generation when the flm collapsed at positive slide-toroll ratios. When the ambient temperature increased, the flm collapse behaviour was observed at negative as well as positive slide-to-roll ratios. The trend of the traction coefficient changed from that of a traction fuid to that of a viscous fuid with increasing ambient temperature; the traction coefficient increased monotonically with increasing slide-to-roll ratio. However, a small thickened part of the flm remained around the central zone.

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