



Optimal hemodynamic parameters for risk stratification in acute pulmonary embolism patients

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Abstract

Hemodynamic assessment of patients with pulmonary embolism (PE) remains a fundamental component of early risk stratification that in turn, influences subsequent monitoring and therapeutic strategies. The current body of literature and international evidence-based clinical practice guidelines focus mainly on the use of systolic blood pressure (SBP). The accuracy of this single hemodynamic parameter, however, and its optimal values for the identification of hemodynamic instability have been recently questioned by clinicians. For example, abnormal SBP or shock index may be a late indicator of adverse outcomes, signaling a patient in whom the cascade of hemodynamic compromise is already well underway. The aim of the present article is to review the current evidence supporting the use of SBP and analyze the potential integration of other parameters to assess the hemodynamic stability, impending clinical deterioration, and guide the reperfusion treatment in patients with PE, as well as to suggest potential strategies to further investigate this issue.

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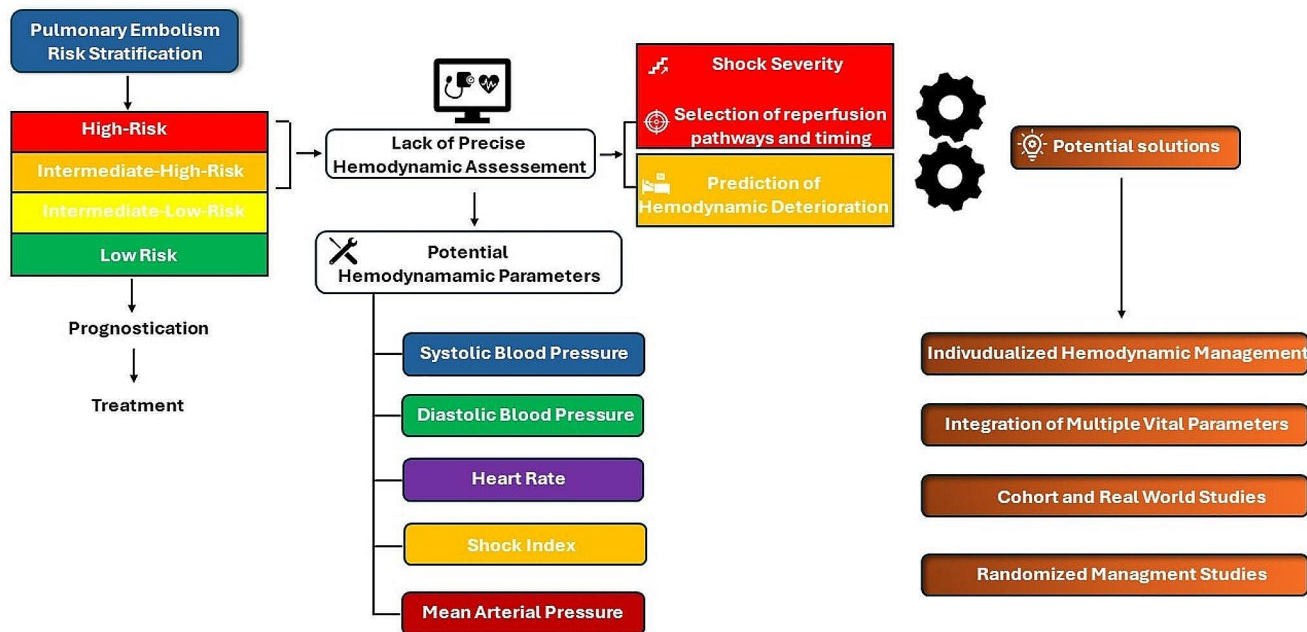
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Graphical Abstract



Hemodynamic assessment, and therefore risk stratification, of patients with pulmonary embolism influences subsequent monitoring and therapeutic strategies. The urgent need arises for the identification of precise hemodynamic parameters, or a set thereof, capable of non-invasively and reliably assessing the hemodynamic condition of patients with pulmonary embolism. These parameters should swiftly identify individuals at higher risk of hemodynamic instability and other immediate and long-term complications, while also facilitating the monitoring of changes in risk status over time

Keywords Pulmonary embolism · Risk stratification · Mortality

Introduction

Current international guidelines on the management of acute pulmonary embolism (PE) reaffirm the role of early risk stratification as a cornerstone for identification of patients with increased mortality and subsequent decision-making focused on management strategies [1–3]. While mortality in patients with PE has improved overall [1], PE-related mortality has increased in subpopulations, including young adults and those with hemodynamic compromise [4, 5]. As right ventricular (RV) failure represents the major cause of death in acute PE, the goal of such assessment is to use clinical surrogates to understand the state of this ventricle and its compensation to acutely increased afterload [1]. By consensus, a systolic blood pressure (SBP) <90 mmHg is currently used as the cutoff to identify hemodynamically unstable PE patients (high-risk or massive PE) which typically has a favorable risk-to-benefit ratio for early reperfusion treatment due the higher risk of short-term mortality [6]. However, the optimal cutoff for SBP level that defines a high-risk PE may need to be re-defined through rigorous scientific study in the context of our contemporary care of

patients with PE [7]. The landscape of PE management has changed considerably in recent years with widespread adoption of multidisciplinary PE response teams and rapid integration of advanced therapies for reperfusion including catheter-based intervention. Accordingly, a critical appraisal of our current risk stratification tools is warranted.

The RV is a thin-walled, compliant chamber that operates at low pressures and adapts poorly to acute increases in afterload. Such a rapid increase in pulmonary vascular resistance may trigger a series of events including RV pressure overload, changes in left ventricular morphology and filling, reduction in cardiac output, and decreases in coronary perfusion [1]. An array of factors contributes to the extent of RV compensation that may be clinically observed in PE, including its underlying function prior to PE, the presence of RV hypertrophy, and the degree of afterload increase related to the integration of clot burden, neurohumoral response, and hypoxic vasoconstriction [1, 8]. The frequently utilized SBP cut-off of <90 mmHg has been mainly derived from historical investigations. While predictive of mortality, this threshold may miss subtle or developing RV dysfunction, particularly when used in isolation

for initial risk stratification as opposed to a serial measures [6]. While an SBP reduction of ≥ 40 mmHg from baseline sustained for 15 min and related to only PE is also outlined in guideline definitions of high-risk PE, this measure suffers from similar limitations [1, 2]. Furthermore, patients with baseline systemic blood pressure abnormalities—and particularly those on anti-hypertensives—may be particularly vulnerable to misdiagnosis based on such a cut-off.

The potential for RV dysfunction is widely recognized even in PE with normal or mildly reduced blood pressure [8]. Studies in intermediate-risk PE patients have demonstrated there may be only a mild decrement in SBP with the presence of RV hypokinesis; as such, it has been suggested that an SBP between 90 and 110 mmHg may indicate systemic hypoperfusion and therefore a poor clinical prognosis [8]. Further challenging our use of SBP as a risk stratification tool is the observation that cardiogenic shock can occur in patients with PE who manage to maintain a technically normotensive blood pressure [9]. Over the latest years, different noninvasive hemodynamic parameters, such as the diastolic blood pressure (DBP), shock index (SI), Composite Pulmonary Embolism Shock (CPES) score, and mean arterial pressure (MAP) have been investigated with the aim to identify the most accurate index for the hemodynamic status in PE patients and guide their treatment [10–14]. The purpose of this review is to highlight the current evidence supporting the use of alternative parameters in assessing the hemodynamic status in PE patients, highlighting their strengths and limitations as well as summarizing areas of current knowledge gaps and clinical need.

Current recommendations

The current definitions of hemodynamic instability in acute PE patients, provided by international clinical practice guidelines [1–3], are shown in Table 1. As evidenced by these documents, the identification of hemodynamically unstable PE patients focuses primarily on the SBP measurement. High-risk (also known as massive) PE patients, including those with systemic arterial hypotension, should typically undergo prompt reperfusion therapy, as per guidelines [1]. In patients with advanced stages of cardiovascular decompensation such as refractory shock, salvage with mechanical circulatory support (MCS) may be considered [15]. Identification of patients with PE in the pre-shock state may permit intervention before systemic arterial hypotension with end-organ hypoperfusion leads to devastating complications including poor cerebral perfusion, acute kidney dysfunction, and less frequently hepatic injury, all of which may increase complexity of management of these already tenuous patients [16–18]. However, recognition of a pre-shock state has been hampered by lack of consensus on optimal tools to identify such patients.

Although not currently recommended by evidence-based guidelines, periodic reassessment of hemodynamic parameters, respiratory status, and organ hypoperfusion markers—including creatinine, urine output, temperature, liver function tests, serum lactate, and mental status—offers additional information. Firstly, the holistic assessment of global patient status ensures all potential contributors to hemodynamic derangements are recognized, a particularly salient feature in a patient population with high rates of predisposing comorbidities such as infection in malignancy. Secondly, certain values have the potential to provide incremental prognostic information. For example, baseline

Table 1 Definitions of hemodynamic instability in acute pulmonary embolism patients, provided by international guidelines

Guidelines	Category	Hemodynamic status
European Society of Cardiology (ESC, 2019) [1]	High-risk	Cardiac arrest Obstructive shock [Defined as a SBP < 90 mmHg or need of vasopressors support to achieve a SBP \geq 90 mmHg despite adequate filling status <i>And</i> End-organ hypoperfusion] Persistent hypotension [Defined as a SBP < 90 mmHg or systolic BP drop \geq 40 mmHg, lasting longer than 15 min and not caused by new-onset arrhythmia, hypovolemia, or sepsis]
American Heart Association (AHA, 2011) [2]	Massive	Sustained hypotension [Defined as a SBP < 90 mmHg for at least 15 min or requiring inotropic support, not due to a cause other than PE, such as arrhythmia, hypovolemia, sepsis, or left ventricular dysfunction, pulselessness, or persistent profound bradycardia (heart rate < 40 bpm with signs or symptoms of shock).
American College of Chest Physicians (Chest, 2021) [3]	Massive	SBP < 90 mmHg

ESC: European Society of Cardiology; AHA: American Heart Association; SBP: Systolic blood pressure; BP: Blood pressure; BP<: beats per minute

serum lactate correlates [19] with mortality in both septic and cardiogenic shock, and longitudinal evolution of this level carries important prognostic relevance, with an early decrease indicating a resolution of global tissue hypoxia and decreased risk of mortality [20, 21]. The use of this measure as an adjunct to risk stratification, however, requires rigorous validation in patients with PE [21].

Hemodynamic parameters

Over the years, several hemodynamic parameters, including SBP, have been proposed to assess the hemodynamic stability of PE patients and to guide subsequent treatment (Table 2).

Systolic blood pressure

SBP is currently recommended as the key parameter to identify hemodynamically unstable PE patients and is inversely associated with 30-day all-cause mortality [1]. Several large investigations, such as the International Cooperative Pulmonary Embolism Registry (ICOPER) [9], the Management Strategy and Prognosis of Pulmonary Embolism (MAPPET) Registry [22] and the Registro Informatizado de la Enfermedad Tromboembolica (RIETE) [23] have supported the use of this vital sign to identify PE patients who might benefit from reperfusion therapies. However, most of these studies analyzed prospective multicenter registries, focused on all-cause mortality rather than PE-related death, and ultimately relied upon data that predates the widespread implementation of multidisciplinary PE response teams and current reperfusion techniques [24, 25]. Therefore, the validity of SBP as a marker of hemodynamic instability in

acute PE, as well as its optimal cutoff, has recently come into question. From clinical perspective, arguments highlighting the limitation of SBP focus on the observations that blood pressure (BP) measurements may be normal or relatively normal despite concomitant finding of shock [25]. Indeed, compensatory mechanisms may preserve blood pressure through vasoconstriction, while tissue perfusion and oxygenation are already significantly compromised [26]. Furthermore, the evaluation of SBP allows the assessment of only one-third of the cardiac cycle (Figs. 1 and 2) [27], ignoring the diastolic phase, and is inherently subject to potential error related to differences in noninvasive BP cuff and invasive arterial line measures.

Diastolic blood pressure

DBP measurements may also represent a critical variable in ensuring adequate coronary perfusion, especially in patients with acute PE [13]. Moreover, an accurate DBP assessment remains difficult using non-invasive techniques. Ischemic electrocardiographic changes, such as negative T waves in anterior leads and ST-segment elevations or depressions, are frequently observed in patients with PE [28, 29]. Underlying coronary hypoperfusion is mainly due to the lower DBP in the setting of simultaneously increased right ventricular (RV) myocardial wall tension and results in RV dilatation, myocardial ischemia, and reflex vasoconstriction [28]. To this regard, previous analyses have demonstrated that a DBP < 65 mmHg at admission was associated with a higher 30-day mortality rate in PE patients, due to a higher prevalence of myocardial ischemia and positive biomarkers of cardiac injury [11, 13]. From a pathophysiological point of view, DBP is significantly influenced by the arterial

Table 2 Principal hemodynamic parameters, assessed for the evaluation of hemodynamic stability, and their main determinants, in pulmonary embolism patients

Hemodynamic index	Main determinants	Advantages	Limitations
SBP	Heart rate Stroke Volume Preload Contractility Afterload	<ul style="list-style-type: none"> • Easy to assess • Non-invasive • Repeatable 	<ul style="list-style-type: none"> • Consider only 1/3 of cardiac cycle; • Anti-hypertensive treatments • And beta-blockers may influence the baseline values and/or baroreflex compensatory mechanisms.
DBP	Arterial Elastance	<ul style="list-style-type: none"> • Easy to assess • Non-invasive • Repeatable 	<ul style="list-style-type: none"> • Consider only 2/3 of cardiac cycle
Heart rate	Autonomic innervation Age Exercise	<ul style="list-style-type: none"> • Simple • Non-invasive • Readily available 	<ul style="list-style-type: none"> • Influenced by medications, such as beta-adrenergic receptor antagonists • Influenced by body temperature, pain, and anxiety • Influenced by concomitant disease, including conduction disease and dysrhythmia
SI	Heart rate SBP	<ul style="list-style-type: none"> • Easy to assess • Non-invasive • Repeatable 	<ul style="list-style-type: none"> • Beta-blockers, dysrhythmia, and conduction disease may influence the baseline values and/or baroreflex compensatory mechanisms.
MAP	SBP DBP	<ul style="list-style-type: none"> • Easy to assess • Non-invasive • Repeatable 	<ul style="list-style-type: none"> • Should be calculated (most automatic BP monitors provide calculated MAP)

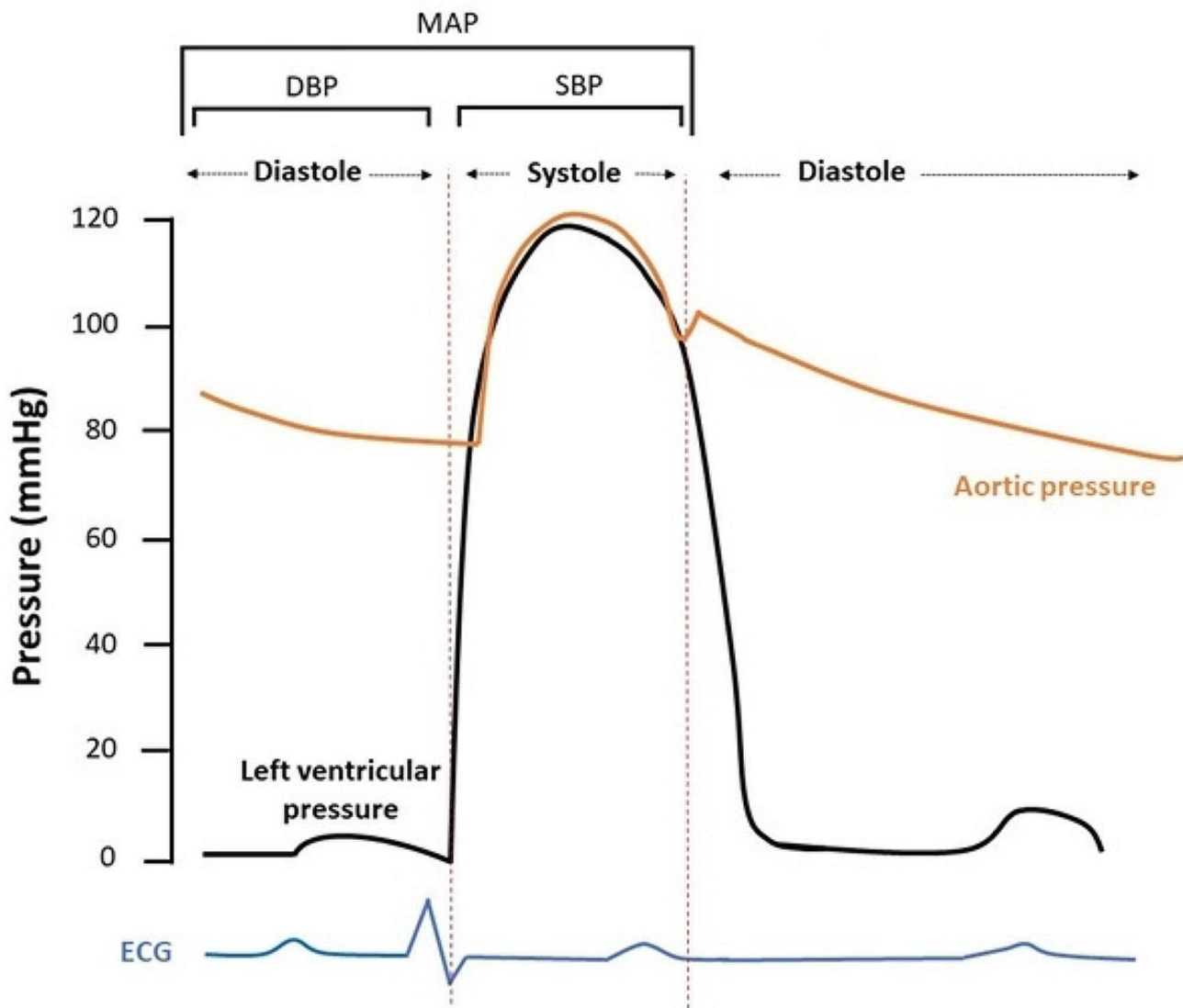


Fig. 1 Hemodynamic parameters in relation to the cardiac cycle. SBP: systolic blood pressure; DBP: diastolic blood pressure; MAP: mean arterial pressure

elastance which is, in turn, related to certain cardiovascular chronic processes such as long-standing hypertension and aging [30]. Therefore, its assessment and subsequent use for risk stratification could be inaccurate in a large proportion of PE patients, especially considering that the incidence is directly related with aging [31, 32]. Such features can also further alter measurement accuracy when taken by noninvasive BP cuff [25]. The evaluation of DBP informs hemodynamic status for only two-thirds of the cardiac cycle (Fig. 1).

Heart rate

HR, a simple and readily available vital sign, is widely recognized as an independent predictor of adverse outcomes in PE patients [33]. Over the years, different clinical PE

prognostic scores have included HR such as the Pulmonary Embolism Severity Index (PESI) [34], its simplified version (sPESI) [35], Bova [36] and H-FABP, Syncope, and Tachycardia (FAST) [37] scores. However, an optimal cut-off defining tachycardia in patients with PE has not yet determined [38]. From a pathophysiological perspective in PE patients, increased HR may result from neurohumoral factors, such as an adrenergic response, with the purpose of maintaining end-organ perfusion [39]. However, increased HR can also be due to pain, anxiety, and dysrhythmia, all of which can be encountered in PE. For example, atrial fibrillation with a rapid ventricular response in PE may result in tachycardia that is neither compensatory nor associated with hemodynamic deterioration. Tachycardia as a compensatory mechanism depends on the baseline cardiovascular

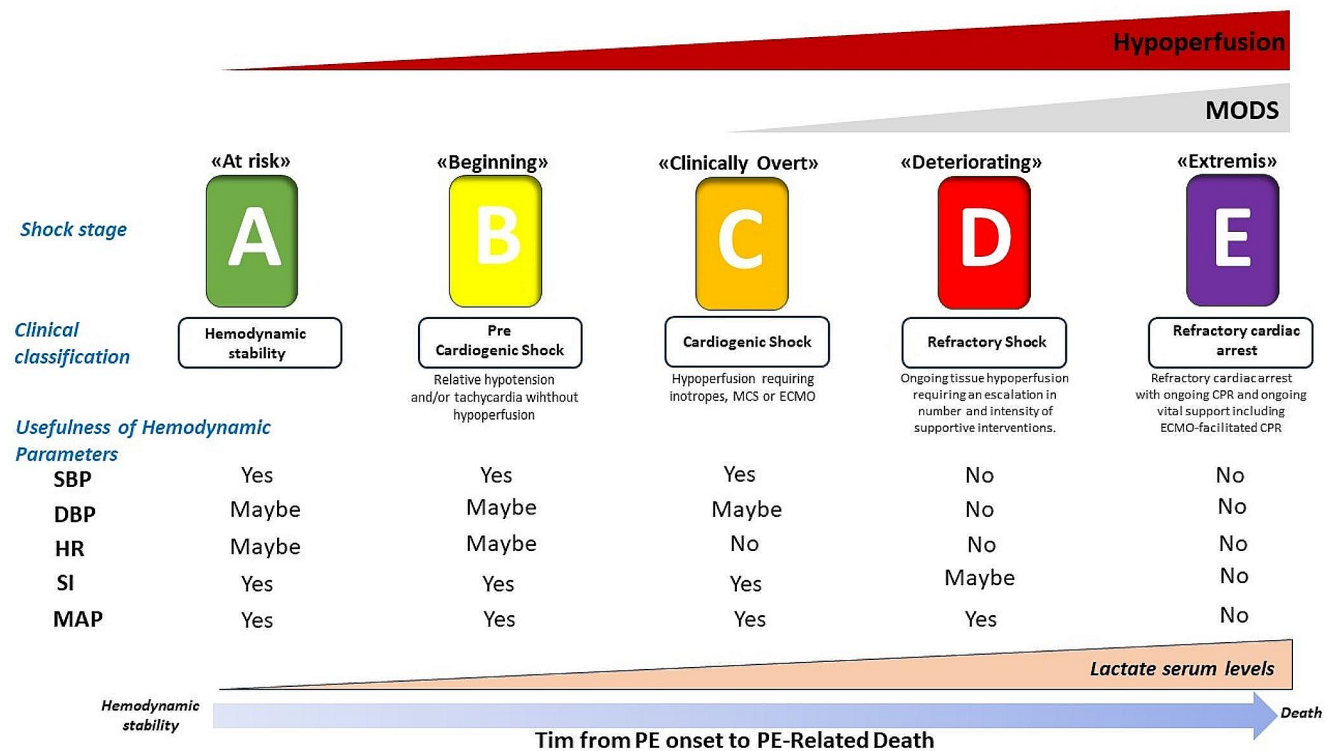


Fig. 2 Different stage of pulmonary embolism – related shock. Different hemodynamic parameters could be used in according to the different stages of hemodynamic condition in acute PE. MODS: multi-organ dysfunction syndrome; SCAI: Society for Cardiovascular Angiogra-

phy and Interventions; SBP: Systolic blood pressure; DBP: Diastolic blood pressure; HR: Heart rate; SI: Shock index; MAP: Mean arterial pressure, MCS: Mechanical circulatory support; ECMO: Extra-Corporeal Membrane Oxygenation; CPR: Cardiopulmonary resuscitation

functional status, adaptation of neurohumoral systems and chronic medications. In contrast, absence of tachycardia in a patient receiving atrioventricular nodal blocking agents, such as beta-adrenergic receptor antagonists, should not routinely be interpreted as reassuring. On the opposite end of the spectrum, the presence of significant bradycardia may signal progressive RV failure [2]. Therefore, due its wide variability and susceptibility of concomitant medications, HR cannot be used in isolation as a prognostic marker. Additionally, in rare cases, reflex bradycardia could be observed due to vagal stimulation which is in turn due to RV dilation and pressure overload or intensive pleuritic pain or in patients with pre-existing left bundle branch block (LBBB) who develop right bundle branch block (RBBB) with consequent high degree atrioventricular block [40]. Serial telemetry monitoring and progressive increase in HR may serve as a better marker of PE patients at higher risk of hemodynamic decompensation, but such a hypothesis remains to be tested [39, 41].

Shock index

The SI, defined as the ratio of heart rate to SBP (bpm/mmHg), has been described as independent predictor of 30-day mortality in PE patients [22]. The normal range for

this unitless measure is between 0.5 and 0.7, despite some evidence suggests that ratios up to 0.9 would be reassuring; conversely, values approaching ≥ 1.0 are indicative of worsening hemodynamic status and shock [42]. The prevalence of tachycardia increases with PE severity [43]. However, not all high-risk PE patients have tachycardia at admission, with frequencies ranging from 23 to 14% [23, 44, 45]. Notably, the SI was developed to assess the severity of hypovolemic shock. Over the years, however, it has been applied to many other medical conditions, such as cardiogenic shock [46]. A known limitation in cardiac patients, SI values may be largely influenced by antihypertensive therapy and atrioventricular nodal blocking agents (beta-blockers and calcium channel blockers, for example), thereby blunting its association with mortality. Furthermore, vital signs, such as heart rate, may depend on age and history of previous cardiac disease, in particular conduction disease [47]. Moreover, previous investigations comparing the SI with the simplified pulmonary embolism score index (sPESI), showed that the former had a lower sensitivity and negative predictive value for predicting 30-day mortality with respect to the latter [48].

Mean arterial pressure

The MAP is defined as the DBP plus one-third of the difference between SBP and DBP [11, 13]. This non-invasive hemodynamic parameter represents a more complete indicator of peripheral perfusion because it reflects the entire cardiac cycle (Fig. 1). Indeed, MAP represents the time-weighted integral of the instantaneous pressures derived from the area under the curve of the pressure–time waveform during the cardiac cycle [49]. Of particular importance is the contribution derived by the concomitant evaluation of DBP, due to its role in adequate coronary perfusion pressure (CPP) [50]. Therefore, its application in acute PE patients may be very useful in reflecting the severity of right coronary artery insufficiency [13]. Despite the wide use of MAP in the intensive care unit for the management and treatment of patients with other shock states [51, 52], its use is not endorsed by international clinical practice guidelines on acute PE. Furthermore, few observational investigations have focused on this hemodynamic parameter. Chen et al [53], showed that patients with intermediate high-risk and high-risk PE and a MAP between 80 and 90 mmHg had fewer adverse events, such as cardiogenic shock, need for

cardiopulmonary resuscitation, mechanical ventilation, and vasopressor requirement. Similarly, a recent post-hoc analysis of intermediate-high-risk PE patients enrolled in the Italian Pulmonary Embolism Registry (IPER) demonstrated that a $\text{MAP} \leq 81.5$ mmHg was an independent predictor of 48-hour clinical deterioration (Hazard ratio 3.25, 95% CI: 1.89 to 5.21, $p < 0.001$), with a sensitivity, specificity, positive, and negative predictive values of 77.5%, 95.0%, 63.2% and 97.7%, respectively [13]. The utility of MAP as a clinically informative hemodynamic parameter in PE patients—as well as the appropriate target based on comorbidities such as systemic hypertension—requires prospective confirmation [54].

Future directions

The mortality in acute PE remains around 7% [44], and several questions regarding the optimal hemodynamic assessment as well as early indicators of clinical deterioration remain unanswered [1–3] (Fig. 3). The SBP has certain intrinsic limitations and lacks specific data supporting its predictive role regarding PE-specific mortality [6]. In the light of investigations performed in cardiogenic shock and

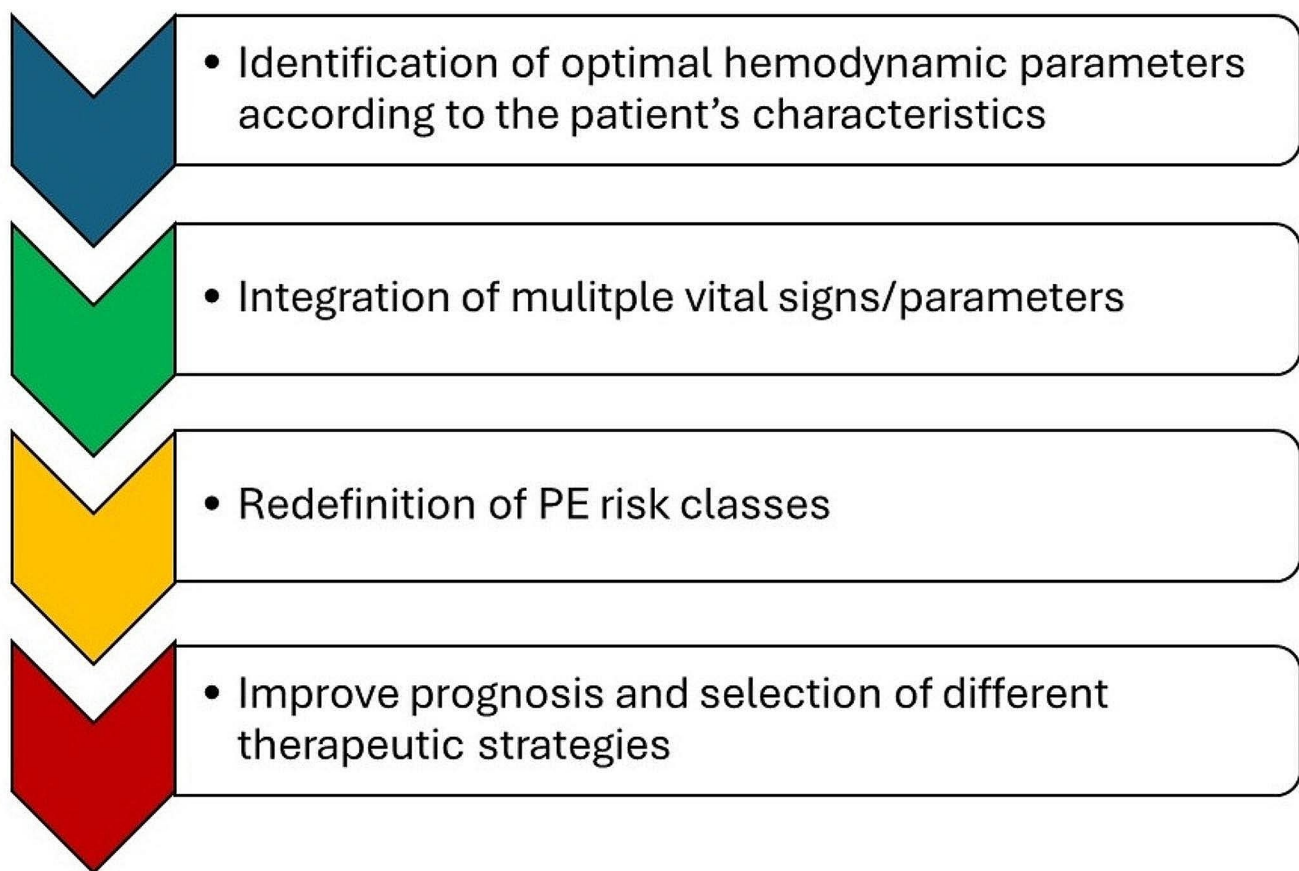


Fig. 3 Future actions required to implement the current risk stratification of patients with pulmonary embolism. PE: Pulmonary Embolism

the preliminary results available for PE patients, the MAP appears to be a valuable alternative, with a higher accuracy for mortality compared with SBP. This non-invasive evaluation of the entire cardiac cycle, also including an indirect assessment of coronary perfusion, may provide important insights to guide monitoring and therapeutic strategies in acute PE (Fig. 1). Furthermore, a perfusion index that can detect early hemodynamic decompensation, before systemic arterial hypotension and shock set in, is urgently needed [13]. An example of such an index, the CPES score has shown promise for identifying stable patients with PE who might be at risk for hemodynamic deterioration or death.

However, several gaps remain in the use of a measure like MAP, including its accuracy in identifying those at risk of early PE-related clinical deterioration within 48–72 h. The overall precision of MAP for in-hospital and 30-day mortality and its optimal values in various risk groups of acute PE are unclear. While MAP may currently offer the most comprehensive PE hemodynamic evaluation [55, 56], rigorous investigation of MAP and other parameters, perhaps within the construct of randomized controlled trials (RCTs), or individual patient data meta-analysis or large collaborative networks of multidisciplinary PE response team experiences, is sorely needed. MAP, other to be identified parameters, or a combination thereof may represent the key to early identification of patients with PE and increased risk of early adverse events and who may benefit from reperfusion therapy.

Importantly, it must be recognized that risk stratification systems based solely on blood pressure measures are inherently limited by the nature of this isolated measurement itself. In fact, there is likely a role for its broader interpretation within the overall clinical picture or within a risk stratification model. Progressive hypoxemia or respiratory failure in a hemodynamically stable patient, for example, may necessitate a more aggressive management strategy to avoid intubation [57]. In addition to SBP, multiple parameters of respiratory failure including oxygen saturation, tachypnea, and requirement for supplemental oxygen are incorporated in the National Early Warning Score (NEWS), a system designed to predict early clinical worsening and provide for early intervention. While devised from a general medical population, post-hoc analysis of the YEARS study recently demonstrated that the NEWS more accurately predicted short-term ICU admission and 30-day mortality in hemodynamically stable acute PE than either the PESI or sPESI [58]. As the development of hypotension signals that a chain of events leading to severe RV dysfunction or failure has already transpired [1], the use of such systems for early identification of clinical deterioration may be useful, particularly as reperfusion therapy may require additional time to arrange. Furthermore, delaying advanced

therapies for reperfusion until this late signal may substantially attenuate the benefit of such interventions on mortality and possibly long-term complications such as post-PE syndrome and chronic thromboembolic pulmonary hypertension [56].

Currently, hypoxemia, RV strain, and hypoperfusion are among the most pathophysiologically-based and heavily-utilized markers of adverse outcomes in PE patients. Hypoxemia can be evaluated using pulse oximetry or arterial blood gas analysis. In PE patients, oxygenation may be systematically assessed as part of a serial National Early Warning Score (NEWS) score evaluation [40]. While the ideal frequency of assessment remains uncertain, many patients undergo continuous oxygen monitoring to promptly detect any decline, particularly if they already have baseline hypoxemia. RV strain is typically diagnosed using echocardiography or chest CT. However, there are limited data supporting serial assessment and informing optimal timing of such evaluations. Furthermore, patients with chronic lung or cardiac conditions may already have baseline RV dysfunction, complicating the assessment. While point-of-care ultrasound can provide some insight, there are insufficient data to advocate for its routine serial use. The 2019 ESC evidence-based clinical practice guidelines favor a more formal echocardiographic evaluation [1]. Finally, hypoperfusion can be non-invasively and continuously assessed using the MAP [11]. Lactate levels may provide further insight into perfusion although are not formally incorporated into current algorithms. Again, the optimal timing for these serial assessments remains uncertain [11].

The refinement of current PE risk classes would have significant implications for the prognosis and selection of different therapeutic strategies, including systemic fibrinolysis, catheter-based intervention, surgery, and mechanical circulatory support (Visual Abstract). Pivotal clinical trials may inform the field on the prognostic value of these other markers of medical acuity with PE with focus on the occurrence of short-term PE-related and/or cardiovascular mortality, early clinical deterioration, and long-term complications. Moreover, dedicated analyses are also needed to identify the optimal hemodynamic indications for advanced treatments such as catheter-directed therapies (CDT and mechanical circulatory support) and a longitudinal monitoring strategy that weighs hemodynamics and other factors to detect subtle but potentially progressive RV dysfunction. For such purposes, both observational studies and registry randomized clinical trials (RRCTs), which are pragmatic trials using registries as a platform for case records, data collection, randomization, and follow-up, may represent other valid sources of data on these prognostic and risk stratification parameters. Specifically, observational studies, conducted using appropriate statistical methods for balancing data and reduce the effects

of covariates, such as propensity score matching, stratification, and regression adjustments may provide important findings in estimating novel prognostic strategies and relative treatment effects [59]. Similarly, RRCTs may allow the collection of ‘real-world’ data from patients in a daily clinical setting, many of whom would be excluded from RCTs. These pragmatic trials offer the further advantage of a rapid consecutive enrollment, are associated with lower cost, and may provide a more comprehensive longitudinal picture of patient treatment and outcomes compared with conventional randomized controlled trials [60, 61]. Moreover, PE responding team (PERT)-based registries and other cohort studies can aid in generating hypotheses. However, prospective data, such as that obtained from ongoing trials, like the HI-PEITHO [40] and the SONIC-PE (NCT06310018), will provide more rigorous data for applications of tools like the NEWS score, risk score derivation, and impact on clinical outcomes.

The international scientific community has expressed great interest in outcomes research focused on PE, as evidenced by the research support provided by the National Institute of Health (NIH) and industry, funding pivotal trials including the PE-TRACT (NCT05591118), HI-PEITHO (NCT04790370), PEERLESS (NCT05111613), PEERLESS 2 (NCT06055920) and STORM-PE (NCT05684796). The goal of more precise hemodynamic assessment is to guide the need and optimal pathway for reperfusion in PE patients. Such determinations based on patient symptoms, respiratory status, and hemodynamics would be aimed at reducing PE-related morbidity and mortality. Future trials focused on mechanical thrombectomy or catheter-based fibrinolysis may benefit from the identification of higher risk phenotypes.

Conclusions

The identification of more accurate hemodynamic parameters, or a collection of parameters, able to accurately and non-invasively assess the hemodynamic status of PE patients, to promptly identify subjects at higher risk of hemodynamic decompensation and other short- and long-term complications, and to monitor temporal transitions in risk status are sorely needed. With the goal of timely reperfusion to mitigate short- and long-term complications, investigation into such early measures of hemodynamic perturbation, before systemic arterial hypotension and shock have set in, will likely be critical.

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Declarations

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