

# **Enhancing HDFS with a full‑text search system for massive small fles**

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# **Abstract**

HDFS is a popular open-source system for scalable and reliable fle management, which is designed as a general-purpose solution for distributed fle storage. While it works well for medium or large files, it will suffer heavy performance degradations in case of lots of small fles. To overcome this drawback, we propose here a system to enhance HDFS with a distributed true full-text search system SAES of 100% recall and precision ratios. By indexing the meta data of each fle, e.g., name, size, date and description, files can be quickly accessed by efficient searches over metadata. Moreover, by merging many small fles into a large fle to be stored with better space and I/O efficiencies, the negative performance impacts caused by directly storing each small fle individually are avoided. An experimental study is conducted for function and performance tests on both realistic and artifcial data. The experimental results show that the system works well for fle operations such as uploading, downloading and deleting. Moreover, the RAM consumption for managing massive small fles is dramatically reduced, which is critical for good system performance. The proposed system could be a potential storage solution for massive small fles.

**Keywords** Lots of small fles · HDFS · Elasticsearch · Full-text search

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## **1 Introduction**

Data as lots of small fles (LOSF) such as pictures, logs and emails are widely observed in modern information applications. These small fles are of typical sizes from several thousands to millions bytes. Efficient storage of massive small files requires the underlying fle system to scale with increasing number and volume of fles. However, it has been recognized that existing general-purpose fle systems are commonly designed without specifc concerns for small fles. This inspires R&D eforts contributed to LOSF systems, the existing solutions are roughly classifed into three categories [\[28](#page-20-0)] as follows.

#### **1.1 Existing solutions**

The frst category is the proprietary distributed fle systems developed by a num-ber of institutes, e.g., Taobao File System (TFS)<sup>[1](#page-1-0)</sup> from Taobao and Cassandra [\[16](#page-20-1)] from Twitter. Specifcally, TFS is designed for managing small fles less than 1 MiB, and Cassandra maintains the meta data of all fles in RAM to speed up fle seeking. Because these systems are designed with specifc objectives to support applications in these institutes, eforts are required to deploy them in a third-party environment. As a result, these systems have not been widely adopted in the public domain.

The second category is to optimize existing frameworks of managing small fles [\[13](#page-20-2)]. For example, Priyanka et al. [\[26](#page-20-3)] designed a mechanism called Combine-FileInputFormat to improve the performance of accessing massive small fles based on MapReduce framework, then Chang Choi et al. [[6\]](#page-20-4) integrated CombineFileInputFormat with Java Virtual Machine (JVM) to run multiple mappers on a single JVM for reducing JVM creation time and improving MapReduce's processing performance for small fles. In addition, some researchers have modifed the fle managements of operating systems to scale with massive small fles [\[9](#page-20-5)]. While these systems provide stronger supports for small fles, their designs are tightly coupled with the underlying systems such as JVM and OS  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$  $[5, 10, 15, 20]$ . This makes difficulties for deploying these systems in practice.

Diferent from the aforementioned solutions, the third category uses a generalpurpose distributed fle system by compacting many small fles into a large fle to reduce the number of physical fles stored in the underlying fle system. For example, HDFS provides three mechanisms for storing small fles mainly for read-only accesses: (1) Hadoop Archive  $(HAR)^2$  $(HAR)^2$  for read-only archive purpose, which merges multiple small fles into a large fle with meta and fle data. Once a HAR is created, it is frozen and can not be modified any more. (2) SequenceFile<sup>[3](#page-1-2)</sup> for storing many small fles as a sequential stream of key-value records, where key and value for meta and fle data, respectively. The small fles in a SequenceFile can only be accessed

<span id="page-1-0"></span><sup>1</sup> [https://github.com/alibaba/tfs.](https://github.com/alibaba/tfs)

<span id="page-1-1"></span><sup>2</sup> [https://hadoop.apache.org/docs/current/hadoop-archives/HadoopArchives.html.](https://hadoop.apache.org/docs/current/hadoop-archives/HadoopArchives.html)

<span id="page-1-2"></span><sup>&</sup>lt;sup>3</sup> <https://hadoop.apache.org/docs/r2.7.5/api/org/apache/hadoop/io/SequenceFile.html>.



<span id="page-2-1"></span>**Fig. 1** The architecture of our proposed system for massive small fles, where the parts in dashed boxes are developed by this work to enhance HDFS with SAES

sequentially. (3) MapFile<sup>4</sup> built on Sequence File for faster accesses to small files using an index for meta data of small fles. The index is loaded into RAM and searched for locating each small fle to be accessed. Specifcally, all keys are sorted, then 1 per 128 keys is selected to build the index. MapFile can be considered as an accelerated alternative of SequenceFile.

Among these three categories, the third turns out to be more promising for the development of a general-purpose fle system for massive small fles. Currently, Hadoop and HDFS are the very popular open-source software for developing distributed data processing and storage systems [[4,](#page-20-10) [27](#page-20-11), [31\]](#page-21-0), HDFS is the choice of technology for building the underlying fle system. The three mechanisms currently provided by HDFS for storing small fle are mainly for read-only archive purpose, random access to small fles are not supported very well. Among them, MapFile provides the strongest support for random access. However, the index used by MapFile to store keys for locating small fles contains only a part of all keys, and it is required to be fully loaded in RAM for searches. This index scheme might be improved by another scalable one for managing massive small fles with fexible random operations such as adding, deleting and updating.

#### **1.2 Our solution**

A typical HDFS confguration is shown in the down-left box of Fig. [1,](#page-2-1) which includes: (1) a master node called NameNode to manage the namespace composed of meta data; and (2) multiple slave nodes called DataNodes to store fles as blocks. To store a fle, the metadata for accessing this fle from DataNodes is added to NameNode, and the file is segmented into blocks to be stored in DataNodes [[22,](#page-20-12) [30\]](#page-21-1).

<span id="page-2-0"></span><sup>4</sup> <https://hadoop.apache.org/docs/r2.6.2/api/org/apache/hadoop/io/MapFile.html>.

While HDFS is efficient for managing medium to large files of sizes over ten MiB, it sees challenges for managing massive small fles due to that both time and space requirements for fle management increase with the number of fles. For massive small fles, a heavy burden is put on NameNode for maintaining the fle management information in RAM, which brings negative performance impacts to NameNode and eventually becomes a performance bottleneck of the whole system.

With the default confguration of HDFS, the metadata maintained in RAM of NameNode consists of 250 bytes per fle and 368 per block of 3 replicas [\[3](#page-20-13), [33\]](#page-21-2). Each small fle occupies a block, managing one million small fles will consume  $(250 + 368) \times 10^{6} / 2^{20} = 589.3$  MiB RAM in NameNode, i.e., 2 million files will require about 1 GiB. Diferent from years ago, the cost of RAM has been reduced remarkably, and a large RAM such as 64 GiB can be reasonably assumed for NameNode. Even though the RAM's capacity can be increased, fle management operations in NameNode will become slower when the number of fles grows, and efficient managing massive small files on HDFS can not be done by simply using a NameNode of large RAM. To meet the demand of efficient storage of massive small fles, our recent attempt for enhancing HDFS with a full-text search system called SAES is reported here.

The key idea for us to develop the enhanced system is to merge many small fles into a big fle, and use a full-text search system to manage the meta data such as name, date, size, description for fle access. Given that the full-text search system is efficient enough to pace up with HDFS, we will have an enhanced HDFS with performance independent of file sizes, i.e., universally efficient for small, medium and big files. Currently, Elasticsearch<sup>[5](#page-3-0)</sup> with Lucene as search engine plays a key role for text search. Because Lucene uses the inverted index of data, searches in Elasticsearch are not true full-text, in terms of that searches are performed over predefned words instead of all data. Some results may not be found even if it exists and the recall ratio is not guaranteed to be 100%. For example, a sentence "This is a book" can be divided into a word set {This, is, a, book} by the standard tokenizer of Elasticsearch, and each word is added to the inverted index. Later on, this sentence can be found by searching these words over the inverted index. However, indexing words in this way can support searches over full words only, i.e., searches over partial words are not supported. For instance, the sentence can not be found by searching the substring "ok" of "book", because "ok" has not been added as a word to the inverted index. As a resort to support full-text searches, Elasticsearch may use the N-gram tokenizer<sup>6</sup> instead of the standard one, in the expensive cost of dramatically increased index size. This tokenizer can extract from data all N-grams with a given size as words to be indexed. However, a size-*n* text with gram size *k* will produce  $O(n)$  size-k words to be indexed, the required space  $O(nk)$  is too much to be employed for supporting full-text searches over massive data.

Currently, it is challenging for Elasticsearch with inverted index to support time and space efficient true full-text searches. Such a drawback prevents Elasticsearch

<span id="page-3-0"></span><sup>5</sup> <https://www.elastic.com/cn/blog/elastic-search-7-2-0-released>

<span id="page-3-1"></span><sup>6</sup> [https://www.elastic.co/guide/en/elasticsearch/reference/current/analysis-ngram-tokenizer.html.](https://www.elastic.co/guide/en/elasticsearch/reference/current/analysis-ngram-tokenizer.html)

from managing meta data of massive fles, since both precision and recall ratios of 100% are required for purpose of fle management. Recently, we built a true fulltext search system called SAES by replacing the inverted index in Elasticsearch with a suffix index. Given a size-*n* text, the suffix index requires a space of  $O(n)$ only. Using the suffix index, SAES supports efficient exact and approximate full-text searches demanded for fle management. Provided with HDFS and SAES, we need

to integrate them for working together to access a fle in two steps: (1) locating the fle by SAES to search answers for the given query; (2) access the located fle by HDFS.

# **1.3 Contributions**

This article presents our work on the R&D of a scalable distributed fle system for managing massive small fles. The contributions of this work mainly consist of two parts: (1) A system architecture is proposed to enhance HDFS with SAES by adding an integration layer on top of HDFS and SAES. Such an architecture allows both HDFS and SAES to evolve independently, so as to avoid possible fatal software engineering problems caused by the future developments of HDFS and SAES. (2) An experimental prototype system is built for functionality verifcation and performance evaluation. A set of experiments with realistic and artifcial data are conducted on this prototype system to assess the feasibility of our proposed solution for efficient storage of massive small files.

# **2 Our system architecture**

Figure [1](#page-2-1) shows the architecture of our proposed system for managing massive small fles. On top of HDFS and SAES, an integration layer of three modules for fle management, index management and fle search is added to provide application programming interface (API) for clients to access the system's services. A fle access request from the client is processed by the fle search module to produce searching tasks on SAES to fnd the fle's metadata, then the metadata is supplied to the fle management module to locate and access the fle stored in DataNodes. When a fle is added or deleted, the index management module produces index updating tasks to be executed in SAES. In this way, SAES serves as the middleware in between the client and HDFS, which translates a request of fle access into the tasks performed on HDFS. SAES provides true full-text searching capability necessary for fle management in this system. Such a searching capability is fundamental for the system to function correctly. To support full-text searches, a suffix index for the meta data of all fles is maintained in real-time. When a fle is added or deleted, the index is updated on-the-fy to track the fle's status for management.

Figure [2](#page-5-0) shows the fle storage scheme for merging many small fles into a large fle. Each large fle serves as a logical disk of many blocks. The occupation status of each block in a large fle is tracked by the fle management module. When a fle is added, depending on the fle's size, one or multiple idle blocks are allocated to store



<span id="page-5-0"></span>**Fig. 2** The storage scheme for merging many small fles into a large fle



<span id="page-5-1"></span>**Fig. 3** The data fow for adding a fle in our proposed system, where the upper part is processed by the full-text search engine while the functionalities in the lower part are provided by HDFS



<span id="page-6-0"></span>**Fig. 4** An example suffix array for data "animal book.txt"

the fle; when a fle is deleted, the fle is marked as obsolete and its blocks are freed by periodically reorganization of large fles. In order to avoid too many fragments in a large fle for better space utilization, the blocks of each large fle are reorganized periodically to cluster idle block together.

For more details of operations in the system, Fig. [3](#page-5-1) shows the data fows for adding fles. Basically, fles can be added one by one, but adding fles in this way is slow due to the communication delay for uploading each fle. To speed up the process for uploading multiple fles, these fles can be merged as a group to be uploaded as a whole to reduce the total communication delay. In this fgure, some fles are organized as a group, and some fles are uploaded individually. A document to be indexed is produced by extracting the meta data of each fle, which consists of a set of felds such as DocID, Filename, Path, Ofset, etc. To facilitate searching a feld for fle access, an index is dynamically built on documents for the feld. SAES allows the fexible defnitions of metadata for a fle, saying that any feld can be added, removed or indexed at anytime. With this distinct advantage, our system provides friendly user interface for accessing fles by exact or approximate searches on the indexed felds of metadata. In the rest of this section, we further explain how SAES is integrated with HDFS and the processes for fle operations, i.e., indexing, uploading, downloading and deleting.

#### **2.1 SAES system**

The architecture of SAES is shown in the down-right box of Fig. [1](#page-2-1), which uses suffx index for true full-text searches instead of inverted index for keyword searches in the original Elasticsearch. The three upper layers are inherited from Elasticsearch, and the two lower layers are revised for using suffix index. We further explain how the suffix index is built for metadata of files.

The index management module interacts with the index and suffix array (SA) modules in the service layer to maintaining the suffix index. When a file is added, a document for the fle's metadata is produced by the index management module for indexing. If this fle is deleted, the document is removed from the index. The suffix index consists of the SA for each indexed field of document. The SA of each field is built by lexicographically sorting all suffixes of the field data in each document, which was initially proposed in [[19](#page-20-14)] for online string searches, and has become a fundamental index data structure for full-text searches [[2,](#page-19-0) [11,](#page-20-15) [29](#page-20-16)]. Figure [4](#page-6-0) shows a suffix array example, where an arrow from the SA points to the corresponding suffix in data. Given the SA of each field, searching on the



<span id="page-7-0"></span>**Fig. 5** The process for retrieving a small fle via the system API

field is done by performing binary searches on the sorted suffixes in SA. Exactly searching a size-*m* substring in the size-*n* data is equivalent to fnding all the suffixes in data starting with the substring, which takes  $O(m \log n)$  time, given that a searching comparison needs to compare up to *m* characters.

Efficient construction of SA is critical for the usability of suffix index, many efficient suffix sorting algorithms have been proposed for different computing models by intensive researches during the past two decades, see [\[1,](#page-19-1) [7](#page-20-17)] for a quick survey. In particular, using the tools proposed in [[12](#page-20-18), [14](#page-20-19), [17](#page-20-20), [21,](#page-20-21) [23,](#page-20-22) [24](#page-20-23), [32\]](#page-21-3), SAES is capable of achieving an index building speed over millions bytes per second, which is fast enough to pace up with fle accesses to HDFS in most applications. Provided with the suffix index, SAES performs exact or approximate full-text searches to locate fles satisfying each client's request, then the located fle is accessed by HDFS to execute the related fle management operations.

#### **2.2 File indexing**

The metadata for each file is currently defined as {name, bigfile, offset, size, path, date}, and each element of metadata is a feld to be indexed. Using these felds' indexes, the system can locate a fle by exact or approximate searches over meta data, e.g., to access a fle by its name, size, date or their combination. Given a fle access request, locating the target fles is done in two steps: (1) SAES conducts searches to fnd the IDs of fles matching the request; and (2) fnd the locations of matching fles by IDs for retrieving the fles from HDFS.

In more detail, Fig. [5](#page-7-0) shows the process for retrieving a small fle via the system API. For each fle, its metadata is indexed and searched by SAES for accessing files according to the client's request. For each small file  $x$ , a record  ${ID}$ , ofset, size, Info} is indexed with ID as key, where ID refers to the host hyperfle storing the small file, offset and size give the position and length of  $x$  in the hyperfle, respectively. The host hyperfle is located in HDFS by its ID, and *x* is further accessed by offset and size.

HDFS manages its storage space in units of blocks and the typical default block size *L* is 128 MiB. Depending of fle size, a fle may occupy one or multiple blocks, and a part of tail block may not be used and wasted. One way to reduce the wasted space of a block is to merge small fles into a hyperfle. Moreover, the utilization status of each hyperfle is dynamically tracked when small fles are added to or deleted from the hyperfle. For hyperfles with wasted space more than a predefned threshold, all their remaining small fles are merged immediately or periodically to produce new hyperfles with high utilizations, and the index is updated accordingly.

In practice, multiple new fles likely arrive as a batch to the system, these fles can be packed as a group to speed up the uploading process. Specifcally, the maximum size of uploading group is set as *L*, i.e., the block size in HDFS, a fle will be directly uploaded if it is not smaller than *L*, or else it will be merged with its succeeding fles with the maximum merged size not more than *L*. Once a group has been received by the system, the fles in group are unpacked and stored in one or multiple hyperfles, and the metadata of fles are indexed for searches.

A greedy merging method is employed by Algorithm 1 for adding small fles to hyperfles. The space usage of current under-utilized hyperfle *H* is recorded, and  $F$  is the queue of newly arriving files. Whenever  $F$  is non-empty, the hyperfile  $H$  is checked to see if its free space is enough to accommodate the head file of *F*. If it is, the fle is stored in the hyperfle and the hyperfle's occupation status is updated, or else a new hyperfle is created to store the fle. The underutilized hyperfles are periodically merged by system maintenance jobs for better space efficiency.



Using this greedy merging method, a fle not less than *L* will cause the creation of a hyperfle to store it, and a small fle will likely be merged into the current under-utilized hyperfle for better space utilization. Even though this greedy method is not optimal, it works well in our experiments by signifcantly improving the space utilization of HDFS for massive small fles.

<span id="page-9-0"></span>**Fig. 6** The topology of our experimental platform, where the LOSF system is installed in a LAN, and the client communicates with the LOSF via campus network



<span id="page-9-1"></span>



## **2.4 File downloading and deleting**

The client can issue a fle downloading request by exact or approximate queries on the indexed meta data of fles, i.e., name, size, date, etc. The query is executed by SAES to fnd the access information of each fle meeting the query, and the access information of found fles is returned to the client. Given the access information of a fle, HDFS can quickly locate and retrieve the fle stored in a hyperfle.

The process for deleting a fle consists of two steps: (1) logically deleting the fle by marking it as obsolete in the fle's management record; and (2) physically deleting the obsolete fle by releasing its occupied space. Specifcally, given the name of a fle to be deleted, the fle's ID is found by SAES, then the fle's management record is found by the ID and updated to mark the fle as obsolete. Moreover, the system periodically executes maintenance jobs to clean up obsolete fles in hyperfles and merge hyperfles as needed for better space utilization.

## **3 Experiments**

Both HDFS and SAES are developed in Java, and our software system is also developed in Java as an application running on SAES and HDFS. The software is deployed on our experimental platform with network topology shown in Fig. [6,](#page-9-0) and the confgurations of servers and client are given in Table [1](#page-9-1). Three servers are used to build the HDFS of a NameNode and three DataNodes, i.e., Node 1 is used as NameNode and DataNode, Node 2 and 3 are used as DataNodes, and the small fles are stored in DataNodes. Moreover, Nodes 4, 5 and 6 are used to build the SAES for indexing the meta data of small fles. The system services are accessed by the client via Node 4. In particular, Node 4 accepts job requests from the client, produces the tasks for each request and assigns the tasks to their destined nodes for processing, then collects the processing results to respond to the client.

A series of experiments were conducted for function verifcation and performance evaluation of our experimental system on both realistic and artifcial datasets. The functions to be verifed are fle uploading, downloading, deleting and updating, the performance to be evaluated are time for retrieving fles and memory consumption for NameNode of HDFS. Table [2](#page-11-0) shows the realistic data composed of texts and pictures. For evaluating memory consumption of NameNode, we adopt the far more larger artifcial data of text, picture and XML fles generated by a model built on statistics collected from realistic data. Specifcally, the text, XML and log fles are produced with fle size distribution as datasets novel, annotations and logs, respectively, and the picture fles are produced with fle size distribution as datasets coco, voc2009 and pet. The artifcial dataset comprises one million fles with size distribution shown in Fig. [7](#page-12-0), where the volume percentages for picture, text, XML and log fles are 89.41%, 9.78%, 0.07% and 0.75%, respectively.

## **3.1 File Storing and Searching**

Table [3](#page-13-0) shows the experimental results for verifying whether the system can properly store and search small fles. All fles in dataset "pet" are uploaded to the system, then some exact or approximate queries are issued to retrieve fles by name, data or size for verifying with the original ones. For each query, the search time is for the system to search all matching fles, and the download time is for the client to download all found fles one by one.

After uploading the dataset, 6 hyperfles are generated and stored in HDFS, which is consist with the merging scheme. Moreover, the number and size of fles are checked to be correct. In order to test the correctness of fle indexes, the queries listed in Table [3](#page-13-0) are submitted to search fles. For each query, the found fles are checked to be identical with our statistics on the dataset. All the fles for each query are found correctly, both recall and precision ratios for each search are 100%, saying that a fle is in the searching results if and only if it meets the query. For example, the frst approximate query is to fnd each fle of name with "beagle" as prefx and ".jpg" as suffix, and a total of 200 files are found, which consists of all the matching

<span id="page-11-0"></span>



<span id="page-12-0"></span>**Fig. 7** The size distribution of one million fles in the artifcial data

fles in dataset. For all queries, the searching time do not vary much, but the download time are dependent of volumes of found fles. The 1st and 3rd queries have much faster mean download speeds than the other two, because their mean sizes of found fles are much larger. Given that the round-trip communication delay for fle download control is almost fxed, a larger fle will see a faster mean download speed.

## **3.2 File deleting and updating**

Table [4](#page-14-0) shows the results for deleting 3 fles. First, the fle of name "2007\_000676. xml" is deleted from dataset "annotations". Before the deletion, all fles in dataset "annotations" were uploaded on December 31, 2019, and there is only one fle named "2007\_000676.xml" in all datasets. The searches in top two rows are performed to verify this deletion: (1) the exact searches at row 1 for fle name "2007\_000676.xml" fnd 1 and 0 matching fle before and after deletion, respectively; (2) the exact searches at row 2 for "20191231" fnd 7818 and 7817 fles before and after deletion, respectively. This confrms that one fle has been deleted as expected. Next, the fles of name prefx "newfound" and sufx "jpg" are deleted from dataset "pet". Before the deletion, all 200 fles of name prefx "newfound" and suffix "jpg" are from dataset "pet" uploaded on December 30, 2019. The searches at rows 3 and 4 verify these deleting operations, i.e. 200 out of 7393 fles are removed. Similarly, the tests in last 3 rows are observed to work correctly.

Updating a fle can be done by deleting the fle and then uploading a new fle of the same name, our system also provides the updating function. Table [5](#page-15-0) shows the experimental results for testing fle updates. First, rows 1 and 2 delete fles of names "The Story-book of Science.txt" and "Flowers of the Sky.txt", respectively. The index information for each fle before and after updating show that the fle has been updated as expected, i.e., both fle size and date are modifed accordingly. Next, 17 fles in dataset "novel" are updated. When the fles in "novel" were uploaded to the system, they were merged to be stored in a hyperfle "MyLife.



<span id="page-13-0"></span>

<span id="page-14-0"></span>

<span id="page-15-0"></span>

txt20200104154634986". By updating, these 17 fles are removed from this hyperfle shown at row 3, then their modifed copies are uploaded and stored into a new hyperfle called "NewGrubStreet.txt20200104155545693" shown at row 4. The index information for hyperfle is invisible for client and shown here only for verifcation. The experiment results in this table confrm the correctness of updating operations.

#### **3.3 File reorganizing**

In our system, a hyperfle with space usage less than 50% is marked as underutilized. A fle reorganization is triggered when the number of underutilized hyperfles exceeds a given threshold or a periodical system maintenance schedule happens. For better space utilization, the threshold should not be too large and some values around ten are reasonable. Table [6](#page-17-0) shows the experiments for fle reorganization, where the thresholds for underutilized hyperfles are ranging from 12 to 6. At row 1, the small fles in 12 underutilized hyperfles are merged to 2 new hyperfles with the average space usage improved from 14.8 to 88.2%. For the other rows, signifcant space usages are also observed to be improved from around 35% to beyond 75%. The improvement for threshold 6 is substantially less than that for larger thresholds. The reason should be that more small fles are stored in more underutilized fles, and merging more small fles will likely increase the space usage of a hyperfle.

Given the merging mechanism in our system, the number of hyperfles after reorganization can be estimated as  $[(S_t - S_f)/L]$ , where  $S_t$  and  $S_f$  are the total and free sizes of hyperfles for reorganization, respectively, and *L* is set as the default block size of 128 MiB. By this formula, the numbers of hyperfles after reorganization are estimated as {2, 4, 4, 3}, which are consistent with the experiment results shown in the table and can be considered as a verifcation for the reorganizing operations.

### **3.4 Performance of NameNode**

The NameNode can become a performance bottleneck of HDFS. When more fles are stored in HDFS, more RAM are required in the NameNode to maintain fle management information and the response for a fle access will become slower. By merging multiple small fles into a hyperfle, the number of fles managed by HDFS is dramatically reduced. Consequently, the burden on HDFS is decreased and the NameNode can keep working efficiently. Figure [8](#page-18-0) shows the RAM consumptions of managing metadata in NameNode with or without merging small fles in the artifcial dataset with size distribution given in Fig. [7](#page-12-0), where the RAM consumptions are given in logarithmic scale. The gap between two lines is very large, i.e., merging small fles can reduce the RAM consumption to be less than 1% of that in the original HDFS. Since the RAM consumption of metadata is reversely proportional to the performance of NameNode, HDFS in our system will see far more less negative performance impacts caused by small fles, i.e., the system's performance can remain stable for fles of various size distributions.



<span id="page-17-0"></span>



<span id="page-18-0"></span>**Fig. 8** The memory consumptions of metadata for NameNodes of HDFS with or without merging fles

Query	Operation	Threads	Throughput	Response time
Abyssinian_66.jpg	Search	20	115.0	0.1
		60	160.4	0.3
		100	169.5	0.5
		200	172.9	1.0
	Download	20	7.2	2.8
		60	8.0	6.9
		100	6.8	14.3
		200	4.0	48.1
Abyssi*66.jpg	Search	20	170.9	0.1
		60	187.5	0.3
		100	177.0	0.5
		200	162.6	1.1
	Download	20	3.7	5.4
		60	3.0	20.1
		100	4.1	24.4
		200	3.2	62.5

<span id="page-18-2"></span>**Table 7** Stress testing for concurrent searching and downloading fles in dataset "pet"

# **3.5 Stress testing**

The Apache JMeter<sup>[7](#page-18-1)</sup> is a widely used tool for stress testing, which is employed to generate concurrent tasks for searching and downloading fles in dataset "pet".

<span id="page-18-1"></span><sup>7</sup> [https://jmeter.apache.org/.](https://jmeter.apache.org/)

Table [7](#page-18-2) shows the experimental results for retrieving fles by names given as exact or approximate queries. The number of concurrent threads for searching a query and downloading the matching fles varies from 20 to 200. The throughput is the average number of fnished tasks per second, and the response time is the average execution time for each task. For each query, all the concurrent tasks were done successfully, i.e., no failed task was observed. The throughputs and response time are reasonable for our experiment platform, which are constrained by the network bandwidth between the client and system.

## **4 Conclusion**

HDFS has seen rich successes as a scalable solution for distributed fle storage. File access jobs in HDFS are typically streaming, saying that a batch of fles instead of a single fle are uploaded or downloaded. In the original HDFS, a heavy burden is put on the NameNode for managing lots of small fles. In order to avoid the NameNode to be overloaded, we adapt a distributed full-text search system SAES recently developed in our laboratory for merging multiple small fles into a large hyperfle to be managed as ordinary fles by the NameNode. SAES is built for true full-text searches by replacing the inverted index in Elasticsearch with the suffix index and hence inherits the good scalability of Elasticsearch. The design of our experimental system for enhancing HDFS by SAES is presented in this article, and a series of experiments have been conducted for function verifcation and performance evaluation of this system. The experiment results show that the capability of SAES for true full-text searches is efficient enough to enhance HDFS for massive small files. Given the popularity of HDFS, instead of revising HDFS, an integration layer with three modules is developed to enhance HDFS by SAES, and our system is built as an application on HDFS and SAES. Such a system design allows HDFS and SAES to evolve independently, which helps reduce the burden for software engineering of our system. As a next step to apply our solution in practice, we are currently improving the system for higher performance, e.g., designing better algorithms for merging small fles and refning the code. We hope that this work suggests a potential solution for enhancing HDFS to provide efficient storage for massive small files.

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