

# **The survey on ARM processors for HPC**

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# **Abstract**

The ongoing efort to reach the exascale computing barrier has led to a myriad of research and publications in the topic of alternative energy-efficient architectures, such as ARM, for HPC systems. The staggering pace at which ARM architectures have evolved has increased the volume of publications on this topic even more. A complex subject as the race to exascale touches on several aspects such as foatingpoint performance, scalability issues in coupled workloads, net energy consumption and ratio of energy to performance. In this context, we see the opportunity to contribute to this subject by: (1) analyzing the state of the art to identify essential papers; (2) highlighting important developments of ARM architecture in support to HPC; (3) discussing both positive and negative trends observed regarding the use of ARM for HPC; and (4) listing key topics concerning the use of ARM for exascale computing, along with distinguished references for each one.

**Keywords** Exascale · ARM · High-performance computing · Energy efficiency · Heterogeneous computing

# **1 Introduction**

For High-Performance Computing (HPC), the "performance" is crucial. Performance to solve larger problems faster is the driving force guiding its development. The exascale computing barrier  $(10^{18}$  floating-point operations per second (flops)) is

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a sought-out goal by the scientifc HPC community. Unfortunately, due to the law of diminishing returns, a simple aggregation of computing nodes and cores of current technology is not enough. From a fnancial perspective alone, this option proves to be prohibitive in operating costs due to the growth of energy consumption [\[119](#page-32-0)]. In this context, alternative architectures with low power consumption, such as ARM and GPGPU, are being developed and studied to build HPC systems.

The number of scientifc papers published dealing with the energy issue on HPC has increased dramatically in the last couple of years, highlighting the importance of the relationship between performance and power consumption in the development of HPC systems. However, the number of papers alone and a lack of structure in categorizing such papers could lead to missed opportunities.

The contributions of this survey are as follows:

- Compile, categorize and analyze a set of infuential and distinguished papers about the subject of power efficiency in HPC systems in general, and in the use of ARM processors for HPC in particular. This work should aid in a better understanding of this subject and guide the research toward the exascale barrier.
- Provide a narrative that describes the evolution of server-class ARM architecture over time, contrasting the projections of past research with the actual results observed, discussing how the observed bottlenecks either have been tackled or persist.
- Discuss both positive and negative trends observed throughout the ongoing research and development of server-class ARM processors, particularly for ARMv8 and its prospects as one of the leading architectures for the future exascale HPC systems.

The remaining sections are: Sect. [2](#page-1-0) presents a brief explanation of the topics addressed and some common terms used; Sect. [3](#page-3-0) discusses related works; Sect. [4](#page-4-0) contains a detailed review of distinguished papers that address the use of ARMv7 instruction set architecture (ISA) for HPC; Sect. [5](#page-8-0) contains a detailed review of distinguished papers that focus on the use of ARMv8 ISA for HPC; Sect. [6](#page-12-0) contains a detailed review of distinguished papers that address the use of ARM, co-processors and SIMD extensions for HPC; Sect. [7](#page-13-0) highlights both negative and positive trends observed in this study; Sect. [8.1](#page-19-0) categorizes important references for the advance-ment of the topic addressed; Sect. [9](#page-25-0) summarizes the results of this survey.

# <span id="page-1-0"></span>**2 Background**

Since the 1960, Moore's law has been able to correctly predict the increase in the number of transistors in integrated circuits doubling roughly every 2 years [\[117\]](#page-32-1). This allowed chips manufacturers to improve the speed of processors and implement more instructions on hardware than in earlier generations. In particular, the x86 complex instruction set computer (CISC) architecture, heavily used for HPC systems, followed this law to improve performance. However, this increase in transistor numbers and reduced area also generated greater heat and power consumption. Heat is a problem that could be dealt with by using even more power to dissipate it, worsening the power issue.

Reduced instruction set computer (RISC) architectures, such as ARM, were developed with a diferent approach. Because of power consumption constraints that those processors had to deal with, such as in mobile phones with limited power supply, they used to have fewer instructions, needing fewer transistors to implement its instruction set. As such, they have reduced heat dissipation and power consumption when compared to x86 processors.

As evidenced in 2003 by [[128](#page-33-0)], the "Power Wall" would not allow this growth to continue indeterminately. A famous quote from Erik P. DeBenedictis from the Advanced Device Technologies department at Sandia National Laboratories, in Albuquerque, stated that "we could build an exascale computer today, but we might need a nuclear reactor to power it."

In this scenario, low-power-consumption processors emerge as an alternative to x86 architectures, particularly when paired with specialized processors such as GPUs. The prospect of this alternative being able to deliver similar performance capabilities with lower power requirements, when compared to x86 processors, is fueling intense development and research.

### **2.1 TOP500 [[115](#page-32-2)] and Green500 [\[38](#page-28-0)]**

The TOP500 List is a ranking of the highest performance supercomputer. Since 1993, it has been publishing two lists annually, every June and November. It uses the High-Performance LINPACK (HPL) benchmark, a linear algebra problem, for ranking in terms of fops that a system can achieve. As of November 2018, the highest-ranking supercomputer is IBM's Summit, at Oak Ridge National Laboratory, with 143.5 Petafops.

The year of 2018 has been an important year for alternative architectures in large HPC systems. Summit is based on the IBM Power9 processor, a RISC processor that complies with the Power ISA specifcation by OpenPOWER foundation. A similar supercomputer (Sierra) occupies the second place. The third place goes to Sunway TaihuLight, which is based on the many-core 64-bit RISC Sunway architecture, and was ranked frst for two years.

Since the growing environmental concern, in November 2007 the Green500 List has been published alongside the TOP500 List. It also uses HPL, but machines are ranked by the ratio of performance per wattage, or Flops/W. In November 2018, the frst position belonged to Shoubu system B, a ZettaScaler-2.2 system with Xeon D-1571 16C operating at 1.3GHz and achieving 17.600 Megafops/W. It is noteworthy to point out that this system is ranked 375 in the Top 500 list, while the Power9-based Summit and Sierra are ranked as third and sixth in the Green500 list, respectively.

# <span id="page-3-0"></span>**3 Related work**

The work presented in [[41\]](#page-28-1) tries to analyze the current shift in paradigm experience in HPC, that is, the increased focus on energy-efficient system. This paper used the study and comparison of current and past top ranking HPC systems (TOP500 and GREEN500) to verify disparities between the two lists. It also states that the trend of the TOP500 is toward increased maximum power consumption while at the same time improving the energy efficiency. The work analyzes different architectural designs, including heterogeneous systems with energy-efficient co-processors, and their impact in performance and energy efficiency, pointing out that many systems have signifcant diferences between the theoretical and actual performance obtained with the HPL benchmark. Our work confrms the suggested trend of HPC systems aiming at higher energy efficiency as means of achieving more scalable performance. We also present a more up-to-date analysis of power-efficient heterogeneous systems, with a focus on ARM.

The work [[58\]](#page-29-0) is a survey on software-based methods to improve HPC energy efficiency. The work is a comprehensive study on the state of the art of the use of software to achieve improved overall system utilization focusing on energy efficiency. The authors mention ARM big.LITTLE strategy as means of addressing the issue of energy constraints as the number of cores per processor increases, and remark that heterogeneous computing is a trend that is going to continue in the future of energy-efficient supercomputing. Regarding the evolving architectural innovation, the authors highlight the need for the software layer to evolve alongside it, to facilitate the desired levels of energy efficiency. Our work complements this survey by providing a historical narrative of the evolution of heterogeneous ARM systems and discussing both positive and negative trends observed in the evolution of hardware and software of alternative HPC architectures.

The author in  $[125]$  $[125]$  recognizes the power wall as the main factor limiting the goal of HPC at the exascale level. Thus, to contribute to the current research, it studies trends and analyzes perspectives that factor in the power wall on the development of HPC systems. First, it concludes that in order to assess energy efficiency, not only the performance per watt should be used as a metric, as in the GREEN500, but the power-usage efectiveness (ratio between total facility energy and IT equipment energy) should also be reported. The article then highlights two new transistor technologies of interest in this feld: the near-threshold voltage  $(NTV)$  and silicon photonics. The NVT approach aims to improve energy efficiency by lowering voltage to approach circuit threshold limits; it is however not ready for HPC due to increased gate delay. The silicon photonics aims to improve energy efficiency by using light propagating in optical fibers instead of electrical signals. The work also points to embedded chips as a research perspective to optimize energy efficiency, mentioning the ARM-based Mont-Blanc research project. Our current survey provides insight into the three latest Mont-Blanc projects, while exploring other initiatives based on ARM processors that aim at tackling the HPC power wall.

# <span id="page-4-0"></span>**4 Articles based on ARMv7**

The ARMv7 architecture, with its ARMv7-A microarchitecture, marked the beginning of the "application" profle for ARM architectures, which enable full-fedged operating systems for general purpose, user-oriented applications. Its main new features over its predecessor ARMv6 include out-of-order execution and the single instruction, multiple data (SIMD) engine called NEON, which only supported single-precision (SP) operations [[20\]](#page-27-0). Its signifcant performance improvements started gathering the interest of the scientifc community, starting of research on the possibility of HPC workloads on ARM.

Below follows a more in-depth analysis of some key papers regarding the ARMv7 architecture.

# **4.1 Power struggles: revisiting the RISC versus CISC debate on contemporary ARM and x86 architectures [\[21\]](#page-27-1)**

This paper studies the decades-long argument between RISC and CISC ISA; however, unlike the previous generations of processors focused on optimizing performance, nowadays manufacturers are equally concerned with energy efficiency. Even though ARM RISC processors currently reign in the world of smartphones and tablets, the authors note that there exist two growing trends: the growing interest for ARM in the server market, and in counterpart the interest for x86 in the mobile market. Thus, the article evaluates the impact of the instruction set architecture in the actual power consumption.

Although large gaps in performance were observed between the two architectures in the experiments executed by the study, the authors designed a methodology to normalize performance by accounting for diferent clock speeds among the tested processors. The experiments point to performance being architecture (RISC or CISC) agnostic.

It should be noted that although ARM is classifed as RISC and x86 as CISC architectures, many of the defning aspects of such classifcation are recently being interchangeably used between the two ISA. For example, ARMv7 contains the THUMB2 extension for 16-bit instructions, while x86 ISA translates instructions into RISC like micro-ops.

### **4.2 Supercomputing with commodity CPUs: are mobile SoCs ready for HPC? [\[95](#page-31-0)]**

This paper assesses the possibility of employing ARM-based mobile System on Chip (SoC) for HPC. It compares the current trend of migrating x86 supercomputer systems to ARM to similar earlier trends, such as vector and SIMD architectures being superseded by RISC ISA processors in the early 1990s, and then in turn replaced in the mid-2000s by x86 CISC processors. They argue that the x86, marketed for desktop computers, succeeded over RISC not because of performance (an order of magnitude slower) but because of price, because the RISC processors at the time were about  $30\times$  more expensive. The world is living an equivalent transition nowadays. Low-power, mass produced processors are beginning to close the gap in performance while their cost is approximately  $70\times$  lower than x86 processors marketed for HPC systems.

To validate their claims, a series of experiments were executed with benchmarks on four diferent systems: NVIDIA Tegra 2 with dual core ARM Cortex-A9 clocked at 1GHz, NVIDIA Tegra 3 with quad-core ARM Cortex-A9 clocked at 1.3GHz, Samsung Exynos 5250 with dual core ARM Cortex-A15 clocked at 1.7 GHz and Intel Core i7-2760QM with quad-core Intel Sandy Bridge processor.

Although already competitive by a purely energy-efficient standpoint, the experiments highlighted the following limitations in adopting ARM-based mobile SoCs for HPC: lack of error-correcting code (ECC) capable memory, larger bandwidth I/O capabilities, better networking performance, memory addressing limitations (32 bits address) and unnecessary mobile-specifc components.

### **4.3 Tibidabo: making the case for an ARM‑based HPC system [[97](#page-31-1)]**

This article presented the frst large-scale (256 cores) cluster build with ARM processors, the Tibidabo. The cluster is composed of 16 blades, each hosting eight nodes with the Nvidia Tegra2 SoC, a dual core Cortex-A9 running at 1GHz with 1GB DDR2 of memory.

To evaluate this cluster, they frst analyzed a single node of the proposed system, comparing both the performance and energy to solution against Intel mobile corei7 processors over a set of benchmarks. For the Dhrystone performance was 7.8× slower, for CINT2006 it was 9× slower, and for the CFP2006 performance was 9.4× slower. The energy to solution was shown in all cases to be slightly better for the ARMv7 node. Finally, the STREAM benchmark showed that the Cortex-A9 had a 50.6% copy and 27% add efficiency when compared to the 2666MB/s theoretical bandwidth, while Core-i7 had a  $40.5\%$  copy and  $41\%$  add efficiency when compared to the 17066MB/s theoretical bandwidth.

Because the Nvidia Tegra2 is marketed mobile, only about 35% of the die area of the SoC is used by HPC applications. The rest, even if not used, can contribute to power consumption due to leaks. Another aspect is that, even when overestimating, only 16% of the power is spent on computation components and the remaining 84% is overhead used to interconnect the computation components with other components in the system.

The cluster was then evaluated using weak and strong scalability tests. The former was performed with the HPL benchmark, showing that its 120 Megafops/W on 96 nodes is competitive with AMD Opteron 6128 and Intel Xeon X5660-based clusters, but about  $21 \times$  slower than Intel Xeon Phi (November 2012 Green500 #1). Regarding the latter tests, good strong scalability (decreasing time-to-solution) was observed with HYDRO, GROMACS and SPECFEM3D benchmarks up to 96 nodes. On the other hand, the PEPC benchmark did not scale well after 32 nodes.

The article also includes projections extrapolated from results of HPL running in 192 cores of the Cortex-A15 SoC. Extrapolations include a 4× faster clock, and

up to 16 cores per SoC, taking into account a model for intra-node communication with the DIMEMAS simulator. Results showed that the increase in the number of cores could lead to a 1046 Megafops/W rate when using Cortex-A15 with 16 cores per chip clocked at 2 GHz while making it competitive with the x86 Sandy Bridge in terms of energy efficiency. However, it should be noted that new unforeseen bottlenecks could appear such as cache and communication delays. Also, the authors stress that the 1 Gb/s bandwidth and 50  $\mu$  latency of the Tibidabo's Ethernet interconnect were sufficient to support projections, but clock frequencies beyond 2 GHz would require more bandwidth, and larger clusters would require reduced latencies.

The article clearly sets some prerequisites for a successful ARM-based HPC system: the need of a HPC-ready solution (higher core density in the chips and stripped of unnecessary components), architecture optimized software such as MPI and algebra libraries, improved support to double-precision foating-point operations as specifed in ARMv8 (instead of the ARMv7 used in this study) and the need of better networking solutions, such as InfniBand.

## **4.4 Performance and energy efciency analysis of HPC physics simulation applications in a cluster of ARM processors [\[20\]](#page-27-0)**

This article contributes by evaluating two physics simulation applications, Ondes3D and N-Body, in a small, 8-node ARM cluster, and comparing time-to-solution and energy to solution with a traditional x86 HPC system. The ARM cluster, named Yggdrasil, is built with the Cubietruck SoC, a dual core ARM Cortex-A7 processor board. The article evaluates real applications taking into account the number of processes, compilation fags and clock frequencies.

When dealing with diferent compilation fags, three scenarios were compared against the baseline of no optimization fags: (1) with O3; (2) with O3 and ARMspecifc fags; (3) with O3, ARM-specifc and NEON optimization fags. For Ondes3D, the time-to-solution and energy to solution decreased about 50% for all three optimizations when compared to baseline, with (3) yielding the best result marginally. For N-body, the time-to-solution decreased about 60%, while the energy to solution did so by [51–58%].

For clock frequencies, the article realized experiments with two profles: performance (time-to-solution) and powersaving (energy to solution). The performance profle for Ondes3D presented a decrease in time-to-solution of about 20% when compared to powersaving, with similar energy-to-solution metrics. For N-Body, the time-to-solution presented comparable results, yielding slightly worse energy-tosolution experiment for performance over powersaving. Scalability tests showed that the most appropriate setting was four processes, with 50% less time-to-solution and same energy as with two processes. However, the trend was interrupted when moving to 16 processes, presumably due to communication overheads. As the number of processes increased, the speedup gets further away from a linear speedup for both applications, also the impact of compilation fags is greater with fewer nodes used.

When compared to a traditional x86 cluster, Yggdrasil presented higher timeto-solution (5.5 to 8.4  $\times$  worse), albeit with lower energy-to-solution (2.9 to 4.5 $\times$  better). The authors reach the conclusion that the execution of scientifc applications is viable on low-power devices from an energy-to-solution standpoint, and point to the necessity of double-precision support, not available in Cubietruck.

### **4.5 The Mont‑Blanc prototype: an alternative approach for HPC systems [\[96](#page-31-2)]**

The Mont-Blanc project is a joint efort of the European scientifc community and major HPC technology vendors to develop an exascale HPC system until 2020 with focus on energy efficiency. The Mont-Blanc prototype is an ARM HPC system developed in the frst and second stages of the project. It consists of a system with 1080 nodes, 2160 Cortex-A15 CPU cores running at 1.7GHz and 4320 Mali T604 GPU cores at 533MHz. Each node uses 4GB of DDR3 main memory with 12.8GB/s bandwidth. The nodes are aggregated into groups of 15 composing a blade interconnected with 1 GbE.

The Mont-Blanc prototype system is theoretically capable of 107.7 Terafops in Single Precision (SP). For double precision (DP), the system peaks at 30.3 Terafops, of which 6.8 Gigafops come from the CPU and 21.3 Gigafops from the GPU of a single node.

On a core by core comparison with a traditional HPC system composed of Intel Xeon E5-2670 running at 2.6GHz (MareNostrum III), the Mont-Blanc prototype is 2.2 $\times$  to 12.7 $\times$  slower. On a node-by-node analysis, it is between 9 $\times$  and 18 $\times$  slower. The best performance was achieved with heterogeneous computation (CPU+GPU) with OmpSs  $[37]$  $[37]$ . The energy efficiency of Mont-Blanc prototype can be up to  $40\%$ better if compared to MareNostrum III when using heterogeneous computations.

Analyzing the entire system, the strong scalability showed that the Mont-Blanc prototype can scale up to 16 nodes to compensate for performance, some applications such as SMMP (molecular thermodynamics) may not scale further. When testing weak scaling, all applications achieve  $\geq 60\%$  of ideal performance at the maximum problem size, with a majority scaling at *>* 70% and with some application scaling at  $> 90\%$  efficiency. Compared to the traditional HPC system, Mont-Blanc was on average 3.5× slower and consumed only 9% less energy. However, those applications were not optimized for GPU and OmpSs (the best result for Mont-Blanc).

The authors point out the Mont-Blanc prototype needs to scale about  $10\times$  more to match the performance of x86. As such, the Ethernet-based interconnect produces signifcant overhead in the TCP/IP protocol stack. In addition to the lack of DP SIMD processing, the paper highlights the importance of having built-in energy proflers, and the software to support them.

### **4.6 Summary of works on ARMv7**

Given that the main appeal of the ARMv7 is low power consumption, the majority of the research focused on energy efficiency. Additionally, many of the noteworthy works have explored the combination of ARMv7 cores with GPGPU for processing HPC workloads [\[11](#page-27-2), [29](#page-28-3), [44,](#page-29-1) [48,](#page-29-2) [95,](#page-31-0) [97,](#page-31-1) [99,](#page-31-3) [106,](#page-32-3) [113,](#page-32-4) [114\]](#page-32-5). These articles will be

further discussed in Sect. [6](#page-12-0). Other articles focused on comparing the performance and energy consumption with the x86 architecture [[7,](#page-27-3) [9](#page-27-4), [21](#page-27-1), [27,](#page-28-4) [28](#page-28-5), [30](#page-28-6), [49,](#page-29-3) [57](#page-29-4), [72\]](#page-30-0). The consensus of these evaluations is that the ARMv7 was not ready for HPC workloads, not only due to their low fops count (at least one order of magnitude slower) but also because of several bottlenecks related to memory bandwidth and interconnect. This is somewhat expected considering that the existing hardware (e.g., Tegra K1) was designed for mobile usage instead of HPC. At the same time, there is general agreement that the energy to solution is already somewhat comparable to x86 architecture for small scales.

### <span id="page-8-0"></span>**5 Articles based on ARMv8 ISA**

The ARMv8 architecture provides signifcant improvements over its predecessor, the ARMv7. Not only it supports the new Aarch64 execution state with 64-bit registers and memory addressing, but also boosts the NEON SIMD with double-precision foating point and IEEE-754 arithmetic [[108\]](#page-32-6). In addition to the server-class reference implementations ofered by ARM (Cortex-A5x and Cortex-A7x families), several manufacturers are developing ARMv8 SoCs geared toward performance, most notably the processor families: AppliedMicro's X-Gene, NVIDIA's Tegra and Jetson, Qualcomm's Kryo, and Cavium ThunderX.

Below follows a more in-depth analysis of some key papers about the ARMv8 architecture.

### **5.1 Characterization and bottleneck analysis of a 64‑bit ARMv8 platform [[68\]](#page-30-1)**

This paper studies the X-Gene processor and compares it to other three x86 processors: the High-performance processors Xeon E5-2670v1 (Sandy Bridge) and Xeon E5-2667v3 (Haswell), and the low performance low consumption Atom C2758. Each AppliedMicro's X-Gene 1 processor has eight cores and was developed using 40nm lithography. The authors rely on a framework called Partial Least Squares (PLS) Path Modeling to analyze both performance and power consumption of these processors.

The results of executing over 400 workloads show that X-Gene is in average 2.3 $\times$  slower than Sandy Bridge, 3.4 $\times$  slower than Haswell and approximately 7% faster than Atom. Energy wise, Sandy Bridge consumes 1.2× the energy of X-Gene. However, X-Gene consumes 1.3× more energy than Haswell and 3.5× the energy of Atom.

To understand those results, PLS Path Modeling is employed. In this model, latent variables are defned as points of architectural or micro-architectural interest such as cache, TLB and SIMD execution. The PLS algorithm is based on the calculation weights for the linear combination of input variables used to estimate each latent variable. The PLS allows to identify and measure the relationship between the result of the experiments and each latent variable. This study allowed identifying the memory hierarchy as the main architectural-factor-limiting performance.

Besides the results presented, the authors also noted that due to its licensing model ARM processor can achieve better performance due to an easier integration with accelerators. Greater maturity of ARMv8 software stack, such as compilers, can lead to improved performance. Future many-core ARMv8 implementations can achieve better results if memory bandwidth supports it and ARMv8 can further beneft from shrunken die printing (such as X-Gene2 that will use 28nm instead of the current 40nm). The results from this study suggest that for X-Gene to reach energydelay product (EDP) parity with other platforms, it needs to improve performance by  $1.4\times$  or reduce energy consumption by  $2\times$ .

#### **5.2 Quantifying energy use in dense shared memory HPC node [\[93](#page-31-4)]**

This article features the AsianCat, one of the frst many-core HPC systems built with the ThunderX processor. The ThunderX is Cavium's first server-class processor, designed with a 28nm process. The processor is designed as a dual-socket SoC with two ThunderX ARMv8 CPU, each with 48 cores (96 total). To allow for cache coherency in such a large number of cores, it implements the Cavium Coherence Protocol Interconnect (CCPI).

According to the article, designers for exascale will need to consider HPC systems under three aspects: performance, energy efficiency and programmability. The proposed system needs to be monitored and managed dynamically at system level. Each ThunderX is measured to be capable of 240Gfops in DP.

Both Splash-2 and PARSEC benchmarks suites were used to measure the system, specifcally, their parallel execution stages. The benchmarks evaluated in this study are grouped in three sets: (1) benchmarks that once allocated reach peak power and stay stable at that level, (2) benchmarks for which power varies with periodic oscillation with efficient load balancing among threads; and (3) benchmarks with periodic oscillation and large load imbalances among threads. The fuctuations in power and the categorization lead to the possibility of energy savings through Dynamic Voltage Frequency and Scaling (DVFS).

The study also discovered that the CCPI did not infuence the power level for the benchmark suites. Thus, the AsianCat system shows an energy-efficient L2 level cache coherent many-node system.

This work meticulously measured performance and power consumption of the ThunderX processor, even mapping PMU directly to avoid the perf or the OProfle overhead. The research on evaluating a many-core system and its cache interconnect highlight the viability of a future ARMv8 solution appropriate for HPC.

### **5.3 Advanced performance analysis of HPC workloads on Cavium ThunderX**

The authors of this work [\[26](#page-28-7)] also evaluate the Cavium ThunderX processor. The evaluation relies on an environment for performance monitoring, developed by the Barcelona Supercomputing Center (BSC), and focuses on a Lattice Boltzmann HPC production code.

To obtain performance metrics on the ARMv8 cores, the Extrae instrumentation library and the Paraver trace analyzer were ported. These in turn rely on PAPI, which provides a standardized interface to hardware performance counters. The hardware used for the evaluation is a four-node cluster, each housing a two Cavium ThunderX SoCs with 48 cores each.

As an initial evaluation, the application was executed on a single processor, using 48 OpenMP threads and a single MPI process. Performance metrics revolved around two key portions of the application, the "propagate" and the "collide" functions. The propagate function reached its highest bandwidth of 12.6 GB/s (38% of STREAM available bandwidth) when using 16 threads, while the collide function achieved 73Gfops (38% of theoretical peak) when using 48 threads. These percentages are contrasted with 75% and 28% bandwidth for the propagate function when using Intel E5-2630-v3, and Intel Xeon Phi 7120X, respectively; also, with 36% and 30% of peak performance for the collide function for those x86 processors.

The authors then used their performance toolset to assess the nature of the bottlenecks that hindered performance of the propagate function in the ThunderX processor. Two main bottlenecks were identifed, the frst being the saturation of the L2 cache (which plateaus at 24 threads), which is shared among all 48 cores and is the last level cache; the second being a high TLB miss ratio for 32 and 48 threads. This analysis led them to choose a diferent data structure, resulting in a bandwidth of 20.5GB/s (52% of STREAM bandwidth), a 64% performance increase.

In addition to the promising results of executing HPC production code, this article provides a detailed description of how to identify performance bottlenecks on an ARMv8 processor. After porting existing tools, it is shown that it is possible to evaluate ARMv8 SoCs in a fashion similar to other multi-core processors such as the Xeon Phi. This adaptability may also translate to performance optimization such as switching data structures. While the authors did not present performance results for the whole cluster of four nodes, they recognize that the analysis is preliminary, and that a second release of the ThunderX processor is expected for HPC.

# **5.4 A performance analysis of the frst generation of HPC‑optimized Arm processors [[82](#page-30-2)]**

The authors in [\[82](#page-30-2)] discuss the benefts and short comings of using ARM-based nodes in HPC systems, when compared against modern x86 processors (Broadwell, Skylake). The evaluation spans a diverse range of mini-apps and scientifc applications, as well as diferent compilers and performance libraries.

To achieve their goal, the Isambard cluster was built, a cabinet comprising of 42 blades of the XC50 "Scout" system. Each blade packs four nodes with the Cavium (Marvell) ThunderX2 processor, and each node includes two 32-core ThunderX2 processors running with a frequency of 2.1GHz and 2666MHz DDR4 memory channels, for a total of 10752 high-performance Armv8 cores.

The main conclusion of this effort is that the Cavium ThunderX2 processor can now be considered a viable alternative to x86 CPUs, especially when considering the cost of the hardware, measured as performance per dollar. A highlight is that compilers such

as GCC7 and GCC8 still lack support for scientifc source codes, not only in matters of performance (such as the FFT library compiled with GCC), but also in compilation issues that prevent execution, particularly with GCC8. Between ARM HPC Compiler and the Cray Compiler (CCE), the former provided better build support, because CCE lacked support for some intrinsic and inline assembly.

It is important to stress that the results presented are all for a single node, without showing any scalability results. We believe this is a missed opportunity to provide more interesting comparisons against x86 HPC clusters. Also, while there are very important results for compilers, and the developers cared to detail which combinations of compilers and math libraries were available, the authors did not include information about which libraries were ultimately used to obtain the published results. Our revision of the source code and results suggests that the ARM Performance Libraries and the Cray Programming Environment (CPE) are able to signifcantly improve mini-app performance.

#### **5.5 Summary of ARMv8**

Since late 2017, there have been numerous scientifc publications aiming at evaluating the performance of ARMv8 processors. There is of course a sustained interest in energy efficiency [[14](#page-27-5), [33](#page-28-8), [45](#page-29-5), [59,](#page-29-6) [68,](#page-30-1) [92](#page-31-5), [93](#page-31-4), [110,](#page-32-7) [111,](#page-32-8) [118](#page-32-9)]. Notwithstanding, the vast majority of power consumption metrics available for this survey belong to processors and SoCs geared toward mobile instead of HPC clusters. We argue that this is due to energy metrics being available through hardware-specifc instrumentation devices, which are more easily installed in small or development nodes, e.g., for NVIDIA's boards. On the other hand, ARMv8 HPC clusters with power monitoring capabilities are still under development [[82\]](#page-30-2), for example the Dibona ARMv8 cluster built for the Mont-Blanc 3 project already enables energy metrics via a customized solution based on FPGAs [\[16\]](#page-27-6).

Previous studies focused mainly on 32-bit ARM processors and, as those studies showed, due to architectural limitations such as 32-bit memory addressing, lack of ECC memory, slower interconnects and missing support for double-precision computation, that the 32-bit ARM processors lacked several crucial features needed for HPC viability. We can see that implementations such as AppliedMicro's X-Gene3 and Marvell's ThunderX2 processors remedy these limitations.

Regarding comparisons against the x86 architecture, we have compiled several works that evaluate the ARMv8 architecture: comparisons: [[6,](#page-27-7) [15,](#page-27-8) [26,](#page-28-7) [39](#page-28-9), [59](#page-29-6), [63,](#page-29-7) [82,](#page-30-2) [92,](#page-31-5) [110](#page-32-7), [118](#page-32-9)]. If we consider the state-of-the-art ThunderX2, the fndings can be summarized as this processor having a performance signifcantly better as the Broadwell processor and somewhat inferior to a top-of-the-line Skylake processor. In Sect. [7,](#page-13-0) we elaborate on how this processor compares to existing x86 offers.

# <span id="page-12-0"></span>**6 Articles that used ARM with co‑processors**

### **6.1 Computational and memory analysis of tegra SoCs [\[84](#page-30-3)]**

This article evaluates how ARM SoCs with embedded GPUs can be used to leverage high performance with reduced energy consumption for some HPC workloads. Recent developments in the mobile industry required the execution of graphical demanding applications and games, leading to GPU accelerators manufactures such as NVIDIA to develop SoCs with embedded GPUs, such as the Tegra K1 (Kepler architecture) and X1 (Maxwell architecture) SoCs. These High-Performance Embedded Computing (HPEC) boards have CUDA ready GPU units as well as energy-efficient ARM processors.

To study these SoCs, the article used the theoretical capabilities of the board alongside the computational density (CD) and external memory bandwidth (EMB) metrics as well as benchmark execution with the realizable utilization (RU) metric and HPC kernel execution. To compare theoretical results with measured benchmark, they used the previously developed CD-RU metric, a normalized ratio between computations benchmark and CD, and developed the EMB-RU, a normalized ratio between memory benchmark and EMB. Traditional HPC NVIDIA devices are also used for comparing the HPEC devices.

The GPU of the K1 SoC has CD of 182.40 SP giga operations per second (GOPS) and 7.60 DP GOPS and EMB of 14.93 GB/s with a Thermal Design Power (TDP) of 8 Watts, while X1 has 256 SP GOPS, 8 DP GOPS and 25.6 GB/s with a TDP of 10 Watts. Comparing those metrics with the traditional HPC Kepler GPU, the authors point to a performance gain of  $11.76\times$  and over  $20\times$ higher memory bandwidth with the Kepler K40, however noting that the latter has 15 Stream Multiprocessing (SMX) cores and consumes 29.38× more power. The Maxwell HPC equivalent (Titan X SC) shows an improved performance of 13.52x and 13.14x higher memory bandwidth than X1; however, it used 25x the power of the X1.

The computational intensity (CI) of each kernel executed displayed a very varied range. From the matrix transpose (MT), that does not use computation power, only memory reordering, to matrix multiply (MM) that vary from 25% to over 90% depending on the dataset size and FFT1D that has fxed 49% CI disregarding the dataset size and FFT2D that ranges between 45% and 55%.

For MM, CD-RU rises as the memory bound dominated by small datasets grows to enable for reuse of data with on-device caching. In addition, EMB-RU declines quickly due to the same reason. Both K1 and X1 reach saturation in the experiment, and K1 has lower CD-RU due to slower DDR3 memory. CD-RU and EMB-RU showed that both SoCs have similar results to the HPC ones and X1 and to a lower extent K1, with enough scaling, are feasible for HPC CPU-bound applications with SP. However, the results for DP show that those SoCs are not designed for DP computations.

The almost perfect 50% division of CPU and memory execution of FFT1D mixes the results of memory operations and computation for all devices (SoC and

traditional). The results also show that for small datasets, CD-RU and EMB-RU score is low for all devices, but that K1 and X1 could be efectively scaled into clusters and match performance of the HPC devices. Results with FFT2D are similar but with a positive CD-RU trend.

The MT kernel, that has CI of 0%, shows that due to their smaller memory and layout, K1 and X1 SoCs support a matrix of up to 16MB size. Both K1 and X1 and Titan X show a peak of approximately 80% RU. This workload helps identify the bottleneck of K1 as being the DDR3 memory speed (X1 has DDR4, while Titan X has GDDR5). The limited EMB points to poor scalability of SoCs GPUs with the MT kernel.

This article showed that the ARM processor with embedded GPU counterpart is a viable option for HPC, sometimes surpassing the traditional GPU approach. Weak points to watch out for in this computational model should be memory-bound kernels, that due to lower speeds cannot scale very well, as well as DP computations which are not well supported.

# **6.2 High‑precision power modeling of the tegra K1 variable SMP processor architecture [\[114\]](#page-32-5)**

Motivated by the inefficiency in accuracy of rate-based power models (up to  $30\%$ ) for the CPU and 70% for the GPU and memory), this study aims to deliver a model for the Tegra K1 SoC that takes into account individual architectural discrepancies of the SoC, such as two distinct cores the Low Power (LP) and the High-Performance(HP), caches and main memory while also taking into account the application infuence to deliver an extremely high-precision-power model, with almost 100% accuracy efficiency. The precision of the rate-based models is entirely dependent on the DVFS frequencies. Thus, generic models fail to take into account important aspects that infuence the power model of the SoC, such as heterogeneous cost performance metrics (instructions, cycles, etc.) and power gating.

The work published on [\[114](#page-32-5)] improved on an earlier model developed for the GPU, CPU, and memory, published on [\[113](#page-32-4)], limited by hardware measurements specifc for CPU. The new approach estimates average capacitive load per instruction on a per-process basis. While not useful as a generic model for the Tegra K1, this application specifc model is a successful trade-of to achieve a precise model. These works also contributed by pointing out how the model can be applied to identify system-specifc power usage for each application and how to use these data to improve the efficiency of the SoC.

### <span id="page-13-0"></span>**7 ARM for HPC perspectives**

Throughout this review, that encompassed the analysis of a large set of publications, white papers and public available information, some trends could be observed. A selected group of articles that distinguishes itself by its innovations and analysis were analyzed in depth.

This section is dedicated to analyzing major trends observed in the evolution of the ARM architectures, its feasibility as a venue for HPC and the evolution of the several hardware and software components that are being pieced together to provide a suitable execution environment for high-performance applications on ARM architectures.

## <span id="page-14-0"></span>**7.1 Architectural evolution**

The introduction of the energy-efficient ARMv7 processors was seen as an opportunity to execute scientifc application in an alternative architecture [\[41](#page-28-1), [96\]](#page-31-2). However, even though a necessary step toward HPC support, this architecture was found to be immature for scientifc applications in key aspects:

- The SIMD vector unit only provides support for single-precision foating-point numbers. While double-precision foating-point operations are possible via the Vector Floating Point (VFP) unit [\[12](#page-27-9)], no vectorization is possible. Also, the implementations are limited by the architecture to support only IEEE 754-1985, and not the more updated IEEE 754-2008 standard.
- Projections made based on observed scalability of scientific applications on ARMv7 hardware already pointed out that the interconnect would become the bottleneck of several memory-intensive and network-intensive workloads, not only for ARMv8 but also for faster ARMv7 processors [[97\]](#page-31-1). However, the SoCs manufactured at the time were geared toward mobile computing, so the available SoCs lack the necessary I/O interfaces to support high-bandwidth interconnect [\[95](#page-31-0)]. For example, the Tegra X1 board supports 5-lane PCIe 2.0 [[89\]](#page-31-6), while Mellanox Infniband expects either PCIe 3.0 or 16-lane PCIe 2.0 [[83\]](#page-30-4). As a result, no high-bandwidth interconnects formally support the ARMv7 operating system architecture.
- The 32-bit size registers present the important limitation of having limited addressable memory (theoretical maximum of 4GB), a concern that was also raised in some works [\[8](#page-27-10), [95,](#page-31-0) [97\]](#page-31-1). Here, it is important to point out that ARM has taken steps to mitigate this limitation by extending ARMv7 to support 40-bit addressing with the Large Physical Address Extension [\[23](#page-27-11)].

These limitations haven been remedied with the advent of the ARMv8 architecture. Not only does the improved SIMD unit support double-precision foating-point numbers, thus effectively doubling performance [\[95](#page-31-0)], it is also fully compliant with IEEE 754-2008 standard [[108\]](#page-32-6).

The interconnect capabilities of ARMv8 processors have also improved signifcantly. Processors such as the ThunderX2 and the X-Gene3 have full support for the PCIe 3.0 ports, with 32-lane [\[40](#page-28-10)] and 42-lane [[51\]](#page-29-8) implementations, respectively. As such, both the Mellanox Infniband [[16\]](#page-27-6) and Cray's Aries interconnect [[82\]](#page-30-2) have been successfully used in ARMv8 HPC clusters.

It is difficult to establish direct comparisons between recent x86 CISC architecture developments and the ARMv8 architecture. Careful analysis of the ISA [[21\]](#page-27-1)

suggests that one ISA is not inherently superior to another, and that metrics such as single-thread performance and power efficiency are ultimately dependent on implementation. Regarding comparisons between the state-of-the-art ThunderX2 processor and recent Intel processors, we see that performance results vary throughout different workloads, depending on how the applications can leverage the strengths of each processor. For example, the ThunderX2 has a signifcant higher core count and memory bandwidth, potentially improving results for memory-bound applications [\[82](#page-30-2)]. On the other hand, Intel processors are equipped with wider vectors (512-bit AVX versus 256-bit NEON), which can translate into peak foating-point advantage for compute-bound workloads, as well as more efficient L1 cache utilization.

In the context of scalability, the ThunderX2 processor seems to perform better under-saturating of the sockets: The STREAM benchmark showed best results when using a thread count of half the core count [[82\]](#page-30-2), already besting a Skylake processor in memory bandwidth efficiency, thanks to the higher number of channels (8 against 6). A similar fnding was observed with the HPCG benchmark [[16\]](#page-27-6), showing that multi-node scalability was satisfactory (80% Rmax) for up to the tested 1024 cores.

The architectural refnement of the ARMv8 continues, and currently the frst implementation of the ARMv8.2-A ISA corresponds to the A64FX processor, developed by Fujitsu using a 7nm process and with close collaboration with ARM [[66\]](#page-30-5). At the time of writing, this processor has not yet been thoroughly evaluated, but initial performance reports  $[126]$  $[126]$  indicated over 90% efficiency (from theoretical peak) in the DGEMM benchmark, and over 80% efficiency in the STREAM Triad benchmark, using a 512-bit wide SIMD with SVE support.

#### **7.2 Core software components**

In 2010, an initiative to enhance OS support for ARM processors was established in the form of the engineering organization called Linaro [\[4](#page-27-12)]. It brings together industry and open-source community to work on free and open-source software such as the Linux kernel, the GNU Compiler Collection (GCC), and power management for the ARM architecture family.

Although the focus of Linaro has been mainly to enable a common software foundation for all modern Linux-based mobile devices running on ARM processors, their work has broadened to include software stacks and tools in networking, servers, and the Internet of Things (IoT). Relevant to this survey are efforts toward kernel functionalities such as input–output memory management unit (IOMMU), huge pages, DVFS, hardware performance counters, specifc support for SoCs, among others. Additionally, Linaro continually improves the efficacy and efficiency of the GCC compiler, in terms of intrinsic support, auto-vectorization and instruction scheduling [\[2](#page-26-0)]. Unfortunately, the list of improvements produced by Linaro is not readily available as a changelog, and requires examination of each monthly release.

Another initiative that is cementing the HPC ecosystem for ARM is the OpenHPC project [[104\]](#page-31-7). OpenHPC is a Linux Foundation Collaborative Project that aims to provide a reference collection of open-source HPC software components and best

practices, including provisioning tools, resource management, I/O clients, development tools, and a variety of scientifc libraries.

OpenHPC began providing simple confguration recipes for HPC clusters, but ongoing eforts are focusing on providing automation for more advanced confguration and tuning to address scalability, power management, and high availability concerns [[104\]](#page-31-7). OpenHPC fully supports ARMv8 architecture since its v1.2 release, packaging several key core components such as the OpenMPI library  $(v3.x)$ , SLURM cluster manager (v18.x), Boost C++ libraries (v1.69), Nagios monitoring solution (v4.x), among others  $[5]$  $[5]$ .

#### **7.3 Libraries and applications**

A new system architecture presents the challenge of not only compiling existing source codes, but also the code executing correctly and efficiently. This is of particular importance to scientifc applications, which often have special requirements such as particular library dependencies.

Several key papers discussed in this survey have been developed in the context of the Mont-Blanc project, the European initiative to arrive at an exascale supercomputer by leveraging ARM architectures. The frst phase of the project focused on providing initial ports for scientifc libraries, developer tools and runtimes to the ARMv7 architecture [\[75](#page-30-6)].

A noteworthy port was the Automatically Tuned Linear Algebra Software (ATLAS) [[122\]](#page-32-10), containing routines from Basic Linear Algebra Subprograms (BLAS) and Linear Algebra Package (LAPACK). As for developer tools, we highlight the port of Scalasca, which supports the performance optimization of parallel programs by measuring and analyzing their runtime behavior, as well as Score-p measuring infrastructure. Regarding runtimes, the OmpSs programming model [\[35](#page-28-11)] was ported in the scope of the Mont-Blanc project (OpenMPI, MPI and OpenCL were ported by other groups). It extends OpenMP to support heterogenous architectures and thus directly enables ARM SoCs.

The second phase of the Mont-Blanc project saw the improvement in the software ports, broadening the supported functionality. While this phase focused on the development of the hardware prototype [\[96](#page-31-2)], several applications were evaluated on this platform, which also had to be ported to allow compilation and to leverage vectorization. Among these scientifc applications, we highlight: i) BigDFT, a simulation software for electronic orbitals that depends on BLAS and LAPACK; ii) Quantum Espresso, a suite for modeling nanoscale materials that relies on several scientifc libraries including FFTW.

The third phase of the project was characterized by the introduction of the ARMv8, for both hardware and software [\[16](#page-27-6)]. It was verifed that porting the applications and libraries to ARMv7 considerably helped to execute scientifc code in ARMv8, but some more fne tuning was needed. During this phase, ARM developed two important pieces of software for ARMv8: the ARM HPC Compiler and the ARM Performance Libraries. The ARM Performance Libraries is a set of highperformance mathematical libraries, and, along with the ARM HPC Compiler, has been shown to consistently provide higher performance than GCC 7.1 for the HPCG benchmark [\[102](#page-31-8)].

Additionally, the ARM Allinea Studio [\[73](#page-30-7)] was released. It comprises MAP, a performance tool to profle an application using statistical sampling; and Performance Reports, a tool that summarizes resource and energy utilization. Also in the context of the Mont-Blanc project, the HPCToolkit performance suite [[10\]](#page-27-14) was ported to ARMv8, enabling low-overhead performance metrics based on statistical sampling and source code analysis. This effort required the port of several other libraries, as well as the enhancement of the Performance API (PAPI) to support the ThunderX2 processor.

To change the architecture on which HPC has developed in the last decade will demand not just the replacement of the processors, but a complete redesign of traditional libraries and tools used for HPC to achieve better performance on those new architectures. Not to mention that the applications will need to be ported and optimized for the new technologies.

### **7.4 The ARM scalable vector extension**

The Scalable Vector Extension (SVE) is the evolution of the SIMD support on ARM processors [\[112](#page-32-11)] and builds upon the latest NEON extension to accommodate the needs of new markets that showed increased interest in the architecture. SVE aims to attend demands such as gather-load and scatter-store, per-lane predication, and longer vectors, in fact, giving most of the vector machines capabilities, albeit in a reduced scale, to ARM processors. This signifcant evolution clearly demonstrates ARM's interest for HPC.

One of the most interesting aspects of the extension is the fact that it does not defne a size for the vector registers, allowing the manufacturer to choose between 128 bits to 2048 bits, with 128-bit wide increments. In accordance with the ARM licensing model, this allows to produce custom-made processors tailored for a specifc market.

Another change from the previous ARM SIMD extension is the use of predication instead of branching. This allows for reduced number of instructions, consequently faster code, in the SIMD loops. Other SIMD extensions for diferent architectures that also employ predication such as the x86's AVX occupy registers for comparison. To avoid wasting a register for control, SVE included while instructions that make use of scalar counts and limit registers. The inclusion of those instructions and support register also helps auto-vectorization, which tends to align all the SIMD data to the size of the largest variable, thus resulting in wasted throughput when the size of the induction variable is greater than that of the data processed.

The SVE proposition opens the possibility of porting code between processors with diferent widths, without the need to recompile the code. To deal with this, the SVE introduces specifc instructions such as *index* to initialize the variable, *inc* to increment based on current vector length and element size and *fadda* that allows for ordered foating-point reductions when the order must be preserved for correctness.

To assess SVE performance, a model was executed with a medium-sized, outof-order processor (not related to any real one), using an experimental compiler with SVE support. Capabilities such as gather-load and scatter-store and per-lane predication enable vectorization of more complex structures and loops, and thus higher utilization of the SIMD, when compared to NEON. When using the same register width (128 bits), SVE achieves up to  $3x$  speedup, and up to  $7x$  speedup with a width of up to 512 bits.

SVE represents an answer to the AVX-512 SIMD instructions [[3\]](#page-27-15) present on x86 processors, such as the Xeon Phi 7200. The AVX-512 is an expansion of AVX-256, doubling the capacity of vector computations of the NEON 128-SIMD extension available in most ARMv8 implementations. SVE aims at extending (instead of replacing) NEON, and was developed specifcally for vectorization of HPC scientifc workloads, in particular for applications in Machine Learning [\[54\]](#page-29-9). The SVE not only supports the claim that SIMD is increasing in importance for performance, but also attests the development of ARM processors focused on intensive computing applications for HPC. The frst implementation of an ARMv8-A processor with SVE support is the A64FX, by Fujitsu, described in Sect. [7.1](#page-14-0).

### **7.5 ARM licensing program**

Although the energy efficiency of ARM processors is regarded as the main factor for proposing its adoption in HPC, one important aspect that is frequently overlooked by studies supporting the adoption of ARM is its licensing program. ARM processors allow for manufacturers to license its architectures and modify it to better suit the computational needs that the processors are aiming to achieve. The custom-made processor needs only to pass through an architecture compatibility test, leaving plenty of room for specialization.

Examples of this fexibility are the NVIDIA's Denver processor and Qualcomm's Kryo 280. On the one hand, the Denver processor is an ARMv8-based processor optimized for using with GPU co-processors. This processor is available on the Jetson TX2 System-on-Module (SoM). This 7.5W average consumption module has 2 Denver, 4 Cortex-A57 and 256 Pascal Cuda cores. Due to its capabilities of hot plug cores (that is, turning cores on or of during runtime), it can power off some of those cores to allow for extremely high energy-efficient Single Instruction, Multiple Thread (SIMT) processing. On the other hand, the Kryo 280 is an ARMv8-based (supposedly based on the Cortex-A73) processor built with 10nm lithography aiming for high performance on mobile devices. This processor clocked up to 2.45GHz aims to deliver faster mobile experience. The Kryo 280 is available on the Snapdragon 835. The Snapdragon has an SoC with 8 Kryo 280 cores with 4 clocked at 1.9GHz for use in most applications to conserve battery lifetime and 4 clocked at 2.45GHz for intensive computing applications. While both processors are based on the same architecture, there are stark diferences that attest to the licensing fexibility.

We argue that this freedom should be explored for HPC systems to deliver custom-made processors that are energy efficient to specific workload types while still maintaining good performance.

## **8 Summary of the scientifc literature**

In this section, we report a list of articles, white papers and other references with topics that address the current research to reach the HPC exascale barrier. We also extracted relevant performance metrics from these papers and present these metrics in graphs and a table.

### <span id="page-19-0"></span>**8.1 Recommended articles**

Table [1](#page-19-1) lists more than 100 articles, which are mainly focused on the use of ARM processors to reduce the energy consumption of HPC infrastructure.

Table [1](#page-19-1) presents some categories that are crucial to the use of ARM in HPC and improving computational capacity while keeping energy consumption low. The references listed in each category are works that are distinguished contributions for the topic. Most articles appear in more than one category, and it should also be noted that a work not appearing in another category does not equate to that work not

Category	Papers
ARM <sub>v</sub> 7	$[7-9, 11, 19-21, 25, 27-30, 32, 33, 42-44, 48, 49, 52, 56, 57, 60-62, 64,$ 69–72, 76, 77, 85, 87, 88, 90–92, 94–101, 105–107, 113, 114, 120, 124, 1271
ARM <sub>v</sub> 8	$[1, 6, 14-16, 22, 26, 33, 36, 39, 42, 52, 59, 60, 63, 67, 68, 74, 82, 86, 92, 93,$ 102, 110, 111, 118, 121, 123]
<b>SIMD</b>	[13, 20, 54, 77, 82, 112]
<b>GPGPU</b>	$[11, 14, 19, 28, 29, 32, 33, 44, 48, 69, 74, 84, 87, 90, 96, 100, 106, 107, 111,$ 113 114 1271
	Heterogeneous computing [6, 18, 27–29, 31, 43, 49, 58, 74, 85, 99, 109, 120, 129]
<b>HPC</b>	$[1, 6-9, 11, 14, 16, 18, 20, 26, 29-32, 39, 41-49, 57, 59, 64, 68, 72, 76-78,$ 82, 84, 85, 87, 90, 92, 93, 95-97, 99, 101, 102, 106, 107, 109, 110, 113, 114, 116, 118, 121, 125]
Big data	$[50, 61-63, 70, 98, 127, 129]$
Virtualization	[19, 60, 64, 91, 94, 120]
Mobile	[14, 30, 52, 95, 100, 106]
Energy efficiency	$[7, 9, 11, 14, 15, 19-21, 25, 27, 29-31, 33, 36, 41, 44-46, 49, 52, 56-59,$ 61–63, 68–72, 74, 76, 77, 84, 85, 87, 88, 91–93, 95–97, 99–101, 105–107, 109–111, 113, 114, 116, 118, 120, 123, 125]
ARM/x86 comparison	$[6-9, 14, 15, 20, 21, 26-31, 33, 39, 42, 49, 57, 59, 63, 67-74, 77, 82, 85, 87,$ 88, 90, 92, 95, 97, 99, 100, 106, 110, 111, 116, 118, 120, 127]

<span id="page-19-1"></span>**Table 1** Articles recommendations

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contributing to the subject; it is only that we believe that its main contributions lie in other categories.

The ARMv7 and ARMv8 categories relate to articles that contribute to the research by analyzing those ISA in depth. The SIMD category deals with the use of SIMD instructions. The GPGPU category addresses the use (combined or not) of Graphics Processing Units to optimize execution time with reduced energy consumption. The Heterogeneous Computing category comprises works that use a variety of combined architectures (such as CPU, GPGPU, FPGA and ASIC) to contribute to the research. In the HPC category, there are works contributing with crucial aspects for large-scale computing such as scalability, shared and distributedmemory computing and intercommunication. The Big Data deals with the use of ARM in support of Big Data applications. Virtualization group works that study the hardware virtualization of ARM processors in particular for Cloud Computing. The Mobile category collects work that does not address the use of ARM for large-scale computing directly, but deals with subjects that can be of interest for the research on this topic. The Energy Efficiency category deals with work that contributes to the research of reducing the energy consumption of new architectures and methods. Finally, the ARM/x86 Comparison category deals with the work that present comparisons between ARM and x86 ISA, mainly highlighting diferences in performance and energy efficiency.

# **8.2 Performance using the STREAM benchmark**

To provide an overview of the performance and efficiency of ARM processors, and their relationship with the x86 architecture, we constructed Table [2](#page-21-0). This table presents the measurements of the memory bandwidth obtained from executing the STREAM benchmark [\[81](#page-30-16)], as reported by other articles and whitepapers that have been referenced in the table. Reports spanning the years 2010 to 2018 are presented, comprising the ARMv7, ARMv8, x86\_64 and MIC (Xeon Phi) architectures. The following methodology was used to build the table:

- We include results from the Triad vector kernel, which is consistently used in the literature. In some cases, the value was only available from graph, at other times the metric was expressed as a ratio or percentage of other metrics. In these cases, we either approximate or calculate the actual value, as indicated in the comment column.
- Table [2](#page-21-0) includes the theoretical memory bandwidth of the processor. If available, this information is retrieved from the respective manufacturers. Otherwise, it is calculated using the reported memory speed, the number of memory channels and the memory bus width.
- We also show the Thermal Design Power (TDP) in Watts, as specified by the respective manufacturers. This represents the maximum allowed heat that is dissipated by a processor when working at full capacity. However, we were unable to fnd TDP values from some ARM processors. In these cases, we use the TDP of the board instead, as indicated in the comment column.



<span id="page-21-0"></span> $\underline{\textcircled{\tiny 2}}$  Springer



- For each processor, we report the bandwidth corresponding to a single processor. If the source specifes the metric of a dual-socket node, we halve the reported value. This was done to provide a more meaningful ratio with the TDP, which is related to a processor.
- The table includes the ratios between measured and theoretical bandwidths, as well as between the measured bandwidth and the TDP.

We justify the usage the STREAM benchmark and the TDP as performance metrics, given the lack of extensive data usable for meaningful comparisons between diferent processors. Ideally, a benchmark such as LINPACK could also be presented, as well as the actual power consumption by either the compute node or the processor. However, the combination of these metrics is somewhat sparse in the literature, and what is available would not present a compelling trend in graphs. Given this scenario, we argue that the TDP can also be used as a standard energy footprint to enable comparisons between architectures and implementations.

The information contained in Table [2](#page-21-0) is also presented in graph form. Figure [1](#page-23-0) indicates that, before ARMv8 architecture, the memory bandwidth of ARMv7 lagged almost an order of magnitude behind Intel's Xeon and Core i7 architectures. However, ARMv7 nodes progressively reduced the gap, and is on par for the frst generations of ARMv8 machines. But now, memory bandwidth is surpassing the top-of-the-line Xeon x86 processors. As discussed in Sect. [5](#page-8-0), we attribute this to higher count of memory controllers. The new A64FX is discussed in the next fgure. Also important to take into account is the ability of the Intel Compiler to produce optimized code for AVX-512 processors. The Xeon Phi 7250 has maintained a very large lead; this can be explained by the use of a diferent type of memory, MCDRAM, which has a higher bandwidth but at a reduced size (DRAM yielded only 90GB/s instead [\[103](#page-31-19)]).



<span id="page-23-0"></span>**Fig. 1** Memory bandwidth for STREAM Triad by date of processor release, grouped by architecture

The bandwidth efficiency against the theoretical peak is presented in Fig. [2.](#page-24-0) The variance in values for x86\_64 given a fxed date indicates that Intel released several processors at similar dates, each with diferent performance profles, with Xeon Platinum yielding the highest performance for x86 64. From the figure trends, we infer that improvement in memory efficiency is difficult. Low efficiency for early ARMv8 processors may be attributed to insufficient last level cache, as memory saturation was reached before exhausting the cores with threads [\[16\]](#page-27-6). Recent signifcant improvements in ARMv8 in this regard may also be explained with better compilers, but this requires verifcation. The Xeon Phi 7250 once again holds the lead with its dedicated MCDRAM and optimized compilation process, but Fujitsu's A64FX now claims a similar high efficiency.

Finally, Fig. [3](#page-25-1) shows the ratio between measured bandwidth and the TDP, as published by manufacturers. We can see much larger variations in the curves (Exynos 5410 is an outlier for ARMv7, and Jetson TX1 for ARMv8) which can be attributed to many things. We believe that these processors have been designed to be more efficient toward memory utilization than toward CPU processing. It is interesting to note that the Xeon Platinum was surpassed by a Xeon Gold here, hinting at this node being more energy efficient. However, aside from the workload-specifc Xeon Phi and these outliers, the bandwidth per dissipated Watt appears somewhat fat over time. We argue that can mean that processors are becoming increasingly CPU-dominant, and that improvements in CPU efficiency are evolving faster than those for memory management, a view that has already been expressed [\[80\]](#page-30-19). We could not add the A64FX to this fgure, as the TDP has not yet been disclosed.



<span id="page-24-0"></span>Fig. 2 Efficiency of memory bandwidth for STREAM Triad by date of processor release, grouped by architecture



<span id="page-25-1"></span>**Fig. 3** Ratio between memory bandwidth for STREAM Triad and TDP reported by manufacturers

# <span id="page-25-0"></span>**9 Conclusion**

The need for energy efficiency to reach an Exaflop HPC system is driving intense research in the use of alternatives architectures and systems to replace the current, power hungry x86-based HPC. The number of proponents, as well as the volume of information and research about the viability of the ARM processor for HPC, is growing rapidly. In this context, we felt motivated to present a comprehensive study in the state of the art of the usage of ARM architecture for HPC. Our main contribution is to condense information on key aspects such as power efficiency, energy to solution, scalability, and support for specifc architectural operations. Knowing that HPC is a moving target, we have strived to include the most recent developments regarding the ARMv8 architecture, including the latest A64FX processor by Fujitsu.

There have been many concerns and challenges for porting high-performance applications to ARM architectures, as an alternative to x86 in HPC systems. Architectural limitations such as lack of I/O ports for high-bandwidth interconnect, and lack of parallelization of double-precision foating points, compounded with the general poor state of the HPC software stack and need for porting scientifc applications, all of these challenges required years of work. Here, we highlight the efforts of initiatives such as the Mont-Blanc project and Linaro, which have helped fll the software gap, at the same time driving necessary hardware changes into the ARMv8 architecture while maintaining an energy-efficient profile.

Besides experiments replacing x86 for ARM processors, the literature concerning this topic expanded into power models, scalability models, state of libraries and toolsets for ARM in support to scientifc applications, use of co-processors and others. Although the use of the ARM architecture on HPC is recent, the literature is already quite extensive. Motivated by the volume of information available, this review highlighted the main topics concerning the use of ARM, telling the story of the evolution of its architecture and development of the HPC ecosystem.

The use of co-processors on HPC has permitted major gains in performance and energy efficiency on recent HPC systems. The use of co-processors allows for specialized, computation-intensive applications to be offloaded to be processed on the co-processor. As seen in examples such as the Denver custom-made ARM processor for the NVIDIA TegraX2, the ARM architecture can be a better ft for those systems. From the collected results of memory bandwidth, we conclude that many-core CPUs such as the Xeon Phi can achieve very high efficiency, and that ARMv8 processors are following a more pronounced trend toward higher core count and efficiency compared to x86\_64 processors. While the most recent server-class ARMv8 processors have been designed without GPUs, we see that there is a potential market for GPU-enabled SoCs that can achieve high performance with modest energy budget.

The introduction of the ARMv8 architecture has made ARM processors even more ubiquitous and beyond the realm of mobile computing. Already Amazon ofers ARM-based compute instances for Cloud Computing, powered by Graviton processors that are modifed Cortex-A72 versions [\[17](#page-27-20)]. Also in the server-side, the recent Kunpeng 920 processor by Huawei [\[53](#page-29-18)] is setting out to dominate the Big Data market with its 64 cores developed with 7nm process. ARM is also widening its oferings with a processor based on a new architecture, geared toward machine learning operations with low energy budget [[24\]](#page-28-19). Compounded to the more aggressive lithography improvements in ARMv8 processors and cheaper processor prices, when compared to Intel, we can easily see ARM HPC becoming prevalent with its matured software ecosystem.

As the gap in single-threaded performance decreases, scalability concerns will become increasingly important. While the scientifc community has already tested the scalability of ARMv8-powered clusters with a thousand cores, clusters with higher core counts are currently being built and evaluated, and so their scalability at hundreds of thousands of cores is still an open question.

Nonetheless, additional benefts of the ARM approach such as its licensing model, the improved capability to use it integrated together with co-processors and history of developing power-efficient processors are placing the ARM as a viable solution for the exascale power wall.

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