



An investigation of the regio-, chemo-, and stereoselectivity of cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene and its 3-phenylsulfonyl derivative: a DFT study

Soheyla Heydari¹ · Mina Haghdadi¹ · Mahshid Hamzehloueiian² · Hassan Ghasemnejad Bosra¹

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Abstract

The cycloaddition reactions of 2-sulfonyl dienes with some alkenes have been investigated using density functional theory (DFT)-based reactivity indices and activation energy calculations at the MPWB1K/cc-pVDZ level of theory. Two modes of [4+2] and [2+4] cycloaddition reactions can occur from the results of cross-Diels-Alder reactions of 2-sulfonyl dienes, with 2,3-dimethyl butadiene and or cyclopentadiene. The energy results indicated that the [2+4] cycloaddition reactions are the most favorable pathways. The considerable difference in the electron deficiency can lead to the different reactivity of the two C-C double bonds of the 2-sulfonyl diene. Moreover, the phenylsulfonyl group is a much more powerful directing element than the phenylsulfonyl group (SO₂Ph) for the control of the regioselectivity of cycloaddition reactions. The reactions take place via an asynchronous one-step mechanism with a polar character, and an analysis of the conceptual DFT indices explains the polar character of these reactions. The electron reorganization along the most preferred pathway of the [2+4] cycloaddition reaction between 2-phenylsulfonyl-1,3-butadienes and cyclopentadiene have been studied using the topological analysis of the electron localization function (ELF). The ELF analysis revealed that this reaction proceeds through a *two-stage one-step* mechanism.

Keywords Cross-Diels-Alder · DFT method · 2-phenylsulfonyl dienes · Chemoselectivity · Reactivity indices · ELF

Introduction

Sulfonyl dienes are interesting as Diels-Alder (DA) dienes [1–7] and are useful building blocks in organic synthesis. These reagents may act as Michael acceptors with nucleophiles, [8–10] or be transformed into 1,4-difunctionalized olefins [8] and also undergo regioselective [4+2] cycloaddition reactions with both electron-rich and electron-deficient olefins to give functionalized cyclic systems [8–10]. Accordingly, the sulfonylated dienes belong to the category of conjugated dienes with both a normal and inverse electron demand.

Alternatively, these sulfonylated dienes can be viewed as dienophiles because they are olefins with electron-withdrawing sulfonyl and vinyl groups. Therefore, these dienes are ideal candidates to react in the cross-DA (CDA) reactions as both the diene and the dienophile. 2-arylsulfonyl-1,3-dienes are an example of these dienes which have generated much attention in DA reactions [8–10] due to their reasonably good reactivity despite electron deficiency.

2-arylsulfonyl-1,3-dienes have two double bonds with different reactivity; one of the double bonds is almost electron-rich, while the other is electron-deficient [11]. Regioselectivity of 2-arylsulfonyl-1,3-diene at each double bond can be achieved by taking advantage of their electron density, where only the double bond directly attached to the sulfonyl group can react as the dienophile part. Also, in the cycloaddition reactions of 2-arylsulfonyl-1,3-dienes with unsymmetrical dienophiles, the sulfonyl group is an effective directing element favoring the formation of *para* adducts [8–10]. These reactions are highly stereoselective with the vinyl group or the sulfonyl group on the *endo* or *exo* face.

✉ Mina Haghdadi
mhaghdadi2@gmail.com

¹ Department of Chemistry, Islamic Azad University, Babol Branch, Babol, Iran

² Department of Chemistry, Islamic Azad University, Jouybar Branch, Jouybar, Iran

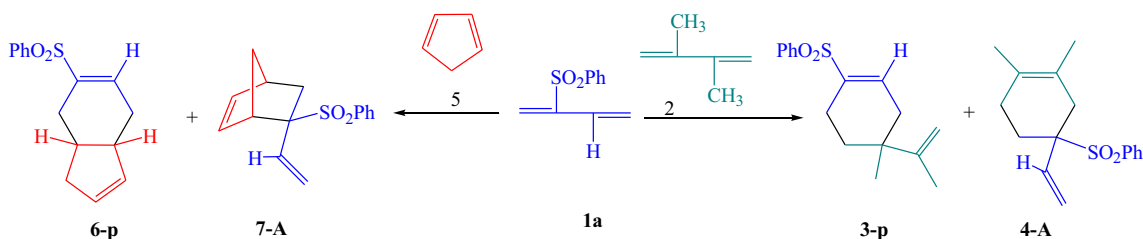
In this regard Chou et al. describe their findings on the CDA reactions of substituted 2-phenylsulfonyl-1,3-butadienes, **1**, as both dienes and dienophiles with a variety of electron-rich alkenes [12] (Scheme 1). When the 2-phenylsulfonyl-1,3-butadienes, **1a**, were reacted with 2,3-dimethyl-1,3-butadiene, **2**, two types of cycloadducts, **3a-p** and **4a-A**, in a 1:3 ratio were produced. Moreover, reaction of 2-phenylsulfonyl-1,3-butadienes, **1a**, with cyclopentadiene, **5** at r.t. led to the formation of the bicyclic compound **7a-A** (55%) as the major product and **6a-P** as the minor component (16%), while the CDA reaction of cyclopentadiene, **5**, with **1a** at 130°C produced only one product **6a-p**, in good yield.

As pointed to the experimental results [12], 2-phenylsulfonyl-1,3-butadiene, **1a**, can act not only as dienes [4+2] but also as dienophiles [2+4] in the cycloaddition reactions. Therefore, the purpose of the present study is to clarify whether 2-phenyl sulfonyl-1,3-butadiene, **1a**, and 2-phenyl sulfonyl-3-phenylsulfonyl-1,3-butadiene **1b** prefer to participate as diene or dienophile in the cycloaddition reactions and to a better understanding of the influence of sulfonyl and sulfanyl substituents on the stereoselectivity of these reactions. Herein, we first studied the cycloaddition reaction of 2-phenyl sulfonyl-1,3-butadiene **1a** with 2,3-dimethyl-1,3-butadiene, **2**, and cyclopentadiene, **5**, which reported by Chou et al. [12], and then extended our experimental investigations by assessing the influence of SPh substituent on the selectivity of reactions. Therefore, the cycloaddition reaction of 2-phenyl sulfonyl-3-phenylsulfonyl-1,3-butadiene **1b**, which are experimentally untried, are also studied as a suggestion reaction.

The mechanistic details were explored through computational analysis by the DFT method, as well as the origin of the selectivity in the experimental observations was investigated through the analysis of the global and local indices and Parr functions. Finally, the mechanism and selectivity of the [2+4] cycloaddition reaction between 2-phenylsulfonyl-1,3-butadiene and cyclopentadiene have been studied using the electron localization function (ELF) [13–15].

Computational methods

We optimized all species of the aforementioned cycloaddition reactions using MPWB1K exchange-correlation functionals



Scheme 1 The cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, with 2,3-dimethyl-1,3-butadiene, **2**, and cyclopentadiene **5** in benzene solvent [12]

[16] together with the standard cc-pVDZ basis set. The intrinsic reaction coordinate (IRC) paths were traced [17]. The solvent effects of benzene were calculated using full optimization of the gas phase structures using new solvation model density (SMD) solvent model, which is based on the polarized continuous quantum mechanical charge density of the solute [18, 19]. Values of thermodynamic parameters, enthalpies, entropies, and Gibbs free energies were calculated at 298 K and 1 atm [20]. The global electron density transfer (GEDT) [21, 22] at the TSs was computed through a natural population analysis (NPA) [23]. The global electrophilicity index, ω , is given in terms of the electronic chemical potential, μ , and the chemical hardness, η , $\omega = \mu^2/2\eta$ [24]. These two quantities are evaluated in terms of the energies of the frontier molecular orbitals HOMO and LUMO, ϵ_H and ϵ_L , as $\mu = (\epsilon_H + \epsilon_L)/2$ and $\eta = \epsilon_L - \epsilon_H$, respectively [25, 26]. The global nucleophilicity index, N [27, 28], based on the HOMO energies [29] is defined as $N = \epsilon_{H(\text{NU})} - \epsilon_{H(\text{TCE})}$, with tetracyanoethylene (TCE) as a reference. In 2013, the nucleophilic, P_k^- , and electrophilic, P_k^+ , Parr functions, [30] proposed by Domingo, are based on the atomic spin density (ASD) at the radical cation and radical anion. The local electrophilicity indices, ω_k [31], and the local nucleophilicity indices, N_k [31], were calculated using $\omega_k = \omega P_k^+$ and $N_k = N P_k^-$, respectively. All computations were carried out with the Gaussian 09 suite of programs [30].

The ELF topological analysis was carried out on the selected points of the IRC profile of **TS4-Ae** using the TopMod program [32].

Results and discussion

In the first part, energy aspects, transition states (TSs), and their electronic structures in terms of bond orders and global electron density transfer (GEDT) for the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with some alkenes, **2** and **5**, are analyzed. Next the global and local DFT reactivity indices of the reactants are calculated in order to determine the electronic character and the regio- and chemoselectivity of the reactions. Finally, ELF topological analysis carried out for the cycloaddition reaction between **1a** and **5**.

Mechanistic study of the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with 2,3-dimethyl-1,3-butadiene, **2**.

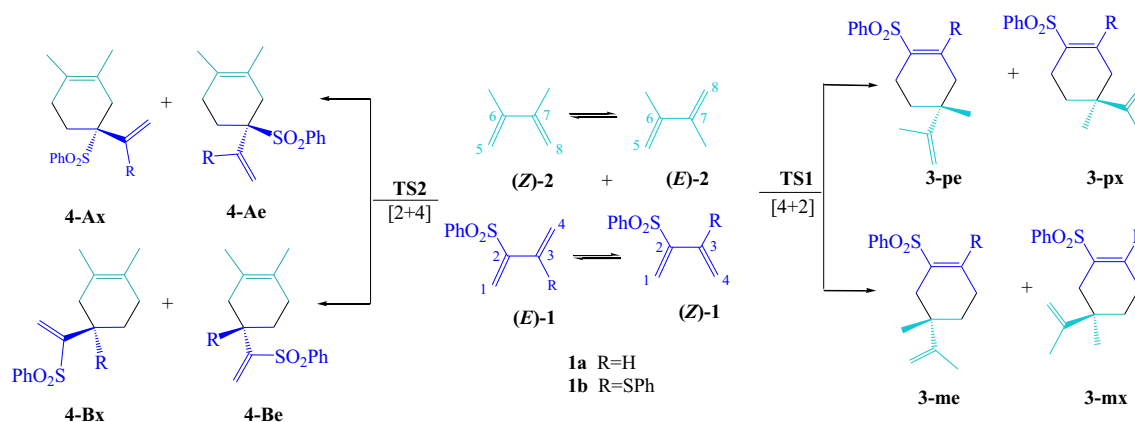
Due to the presence of two possible roles of **1**, as diene or dienophile, and two reactive sites in **1**, C=C-SO₂Ph and C=C-R, two regioisomeric [4+2] reaction pathways and two chemoisomeric [2+4] reaction pathways may occur, respectively. When sulfonyl alkenes **1a** and **1b** act as diene, the regioselectivity of the cycloadducts are associated with the formation of the C₁-C₅ and C₄-C₆ single bond, *para* (denoted *p*), and the formation of the C₁-C₆ and C₄-C₅ single bond, *meta* (denoted *m*). On the other hand, a significant difference in electron deficiency between the two double bonds of **1** can lead to the two possible chemoselectivity pathways through two approach modes of the C=C-SO₂Ph (**A**) and C=C-R (**B**) of **1** (as dienophile) with butadiene **2** (Scheme 2). For each pathway, the two stereoisomeric approach modes of the vinyl group of dienophiles relative to dienes produced two stereoisomeric, *endo* (*e*) and *exo* (*x*). Therefore, along the *endo* pathway, the vinyl group is placed over the double bond of diene framework. Also, the stereoselectivity in the [2+4] and [4+2] reaction pathways are considered with respect to the sulfonyl and vinyl groups, respectively.

Moreover, in order to obtain the best results, a conformational analysis was performed on the reactants. The calculation results indicated that the sulfonyl alkenes **1a** and **1b** can approach to the dienes through two possible conformers; *s-trans* and *s-cis*, which the (*E*)-**1a** and (*E*)-**1b** are more stable than (*Z*)-**1a** and (*Z*)-**1b**. The energy barriers for these processes are 3 and 2 kcalmol⁻¹, respectively. However, both isomers (*E*)- and (*Z*) are formed in the process, and the (*E*)-isomer is a much more reactive electrophile than the (*Z*)-isomer in the [2+4] cycloaddition reaction. Moreover, the conformational analysis of the 1,3-butadiene **2** indicated two conformations, *s-cis* and *s-trans*, of which due to the steric effect of the methyl

substituents the *s-trans* conformer is preferred to the *s-cis* conformer in the [4+2] cycloaddition reaction.

Firstly, we investigated the selectivity of the [4+2] and [2+4] cycloaddition reactions of the sulfonyl alkenes **1a** (R=H) and **1b** (R=SPh) with butadiene, **2**. When the sulfonyl alkene, **1**, acts as diene, it can take four possible approaches, *para*, *meta*, *endo*, and *exo* to butadiene **2** and can proceed via four transition states, **TS1-pe**, **TS1-px**, **TS1-me**, and **TS1-mx**, respectively. Moreover, when the sulfonyl alkenes, **1**, approach to the dienic system as dienophile, four cycloadducts of **4-Ae**, **4-Ax**, **4-Be**, and **4-Bx** can be produced through **TS2-Ae**, **TS2-Ax**, **TS2-Be**, and **TS2-Bx**, respectively. The stationary points corresponding to these cycloaddition reactions are presented in Scheme 2, together with the atom numbering, and the relative energies are summarized in Table 1 and Table S1. Thus, as can be seen in Table 1, the most stable regio- and chemoselectivity pathways can take place through *exo* approaches of dienophiles to dienes. It is clear that the formation of *exo* cycloadducts with a lower energy barrier than the *endo* one originates from the reduced steric effect of the SO₂Ph with the methyl group at TSs.

The calculated energy results in Table 1 indicated that for the [4+2] cycloaddition reaction of **1a**+**2**, the energy barrier of the *para/exo* approach mode (**TS1a-px**) are lower than for the *meta/exo* ones (**TS1a-mx**) by 3.47 kcal mol⁻¹. Moreover, the presence of the phenyl sulfonyl group on **1b** cannot change the regioselectivity, and so the *para/exo* approach mode (**TS1b-px**) is more stable than the *meta* ones by 2.7 kcal mol⁻¹. It can be concluded that the phenyl sulfonyl group (SO₂Ph) is a much more powerful directing element than the phenyl sulfonyl group (SPh) for the control of the regioselectivity of these reactions. Moreover, in the [2+4] cycloaddition reactions of **1b**+**2**, the relative energy of the **TS2b-Ax** at 6.7 kcal mol⁻¹ is lower than that of **TS2b-Bx** at 9.7 kcal mol⁻¹, and **TS2a-Ax** at 7.8 kcal mol⁻¹ is more stable than **TS2a-Bx** at 12.1 kcal mol⁻¹ in the reaction of **1a**+**2**. Based on the relative energies, the electron-withdrawing nature of the sulfonyl



Scheme 2 The possible reaction pathways for the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with 2,3-dimethyl-1,3-butadiene **2**

Table 1 Calculated activation energies ($\Delta E^\ddagger/\text{kcal mol}^{-1}$), reaction energies ($\Delta E_r/\text{kcal mol}^{-1}$), activation Gibbs free energies ($\Delta G^\ddagger/\text{kcal mol}^{-1}$), and reaction Gibbs free energies ($\Delta G_r/\text{kcal mol}^{-1}$), in the gas phase of the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with 2,3-dimethyl-1,3-butadiene, **2**, at the MPWB1K/cc-pVDZ level of theory

Reaction	TSs	ΔE^\ddagger	ΔG^\ddagger	ΔE_r	ΔG_r
(Z)- 1a +(E)- 2 → 3a-pe	TS1a-pe	11.1	24.4	-32.4	-18.1
(Z)- 1a +(E)- 2 → 3a-px	TS1a-px	10.7	23.9	-33.5	-19.2
(Z)- 1a +(E)- 2 → 3a-me	TS1a-me	14.6	27.9	-33.5	-18.6
(Z)- 1a +(E)- 2 → 3a-mx	TS1a-mx	14.2	27.4	-34.8	-20.2
(E)- 1a +(Z)- 2 → 4a-Ae	TS2a-Ae	9.7	23.3	-33.2	-17.9
(E)- 1a +(Z)- 2 → 4a-Ax	TS2a-Ax	7.8	22.8	-36.8	-22.4
(E)- 1a +(Z)- 2 → 4a-Be	TS2a-Be	13.5	26.4	-34.8	-20.7
(E)- 1a +(Z)- 2 → 4a-Bx	TS2a-Bx	12.1	25.9	-35.3	-21.1
(Z)- 1b +(E)- 2 → 3b-pe	TS1b-pe	11.5	25.1	-28.1	-15.9
(Z)- 1b +(E)- 2 → 3b-px	TS1b-px	9.7	24.3	-30.6	-18.3
(Z)- 1b +(E)- 2 → 3b-me	TS1b-me	13.0	27.6	-31.0	-16.2
(Z)- 1b +(E)- 2 → 3b-mx	TS1b-mx	12.4	27.2	-33.7	-18.4
(E)- 1b +(Z)- 2 → 4b-Ae	TS2b-Ae	7.2	23.5	-31.8	-16.1
(E)- 1b +(Z)- 2 → 4b-Ax	TS2b-Ax	6.7	22.3	-38.3	-22.8
(E)- 1b +(Z)- 2 → 4b-Be	TS2b-Be	11.3	25.5	-29.5	-15.8
(E)- 1b +(Z)- 2 → 4b-Bx	TS2b-Bx	9.7	23.7	-31.8	-17.9

group results in a considerable difference in the dienophilicity of the two double bonds of **1** so that the double bond directly attached to the sulfonyl group is more reactive as a dienophile.

Comparing the two regioselective and two chemoselective pathways of these reactions, it can be concluded that the formation of **4a-Ax** and **4b-Ax** via the TS2a-Ax and TS2b-Ax, respectively, are the most favorable reaction pathways. These observations are in good agreement with the experimental results of **1a**+**2**, in which the stereoisomer of **4a-Ax** has the highest yield [12], and also it can be concluded that the **4b-Ax** can be produced through the suggested cycloaddition reaction with acceptable yield. All of the processes are strongly exothermic with ΔE_r between -28.1 and $-38.3 \text{ kcal mol}^{-1}$, so they can be considered irreversible. Therefore, a comparison of the relative energies shows that the [2+4] pathways are more favorable than the [4+2] pathways, both thermodynamically and kinetically.

Moreover, the values of thermodynamic parameters associated with the four reactive pathways are given in Table 1 and Table S1 in the supporting information. Analysis of the activation Gibbs free energies and the activation enthalpies shows a preference of the [2+4] pathway, in complete agreement with the calculated activation energy barriers.

The comparison between the lengths of the two forming bonds at the TSs reveals that the *para* TSs are more asynchronous than *meta* ones. Accordingly, the most asynchronous

transition state for the formation of the [2+4] cycloadducts is TS2b-Bx, with the lengths of the two forming bonds of C₃-C₅ (2.598 Å) and C₄-C₈ (1.994 Å) (Fig. 1). The extent of bond formation along reaction pathways is also provided by the concept of bond order (BO) [23]. Analysis of the C-C BO values indicates that the steric effect of the substituents in TSs delayed the formation of the C₁-C₆, C₄-C₆, C₂-C₈, and C₃-C₅ bonds relative to C₄-C₅, C₁-C₅, C₁-C₅, and C₄-C₈ bonds, respectively. The BO values validate the main conclusions, which are obtained from the analysis performed on the geometrical parameters.

Moreover, the electronic nature of these reactions is evaluated by computing the global electron density transfer (GEDT) [21, 22] at the TSs associated with the four reactive pathways. Reactions with GEDT values of 0.0e correspond to nonpolar processes, while values higher than 0.2e correspond to polar processes [21, 22]. As can be seen in Fig. 1, the GEDT values computed at the most stable pathways varied from 0.035 to 0.227 e. These values indicate that the processes have slightly polar character and the polarity of the preferred *exo* pathways is higher than the *endo* ones.

In addition, the energy calculations at MPWB1K/cc-pVDZ level of theory were utilized to study the solvent effect on the regio-, chemo-, and stereoselectivity of these reactions. As shown in Tables S3 and S4, inclusion of solvent effects of benzene in the geometry optimization does not produce appreciable changes in the gas-phase energies and does not change the low selectivity obtained in the gas phase. This convinces us that the accuracy of the calculations in the gas phase is enough to address the selectivity and mechanistic details.

Mechanistic study of the competitive reaction paths associated with cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with cyclopentadiene **5**

In this section, an exhaustive exploration of the cycloaddition reactions of sulfonyl alkenes **1a** and **1b** with cyclic conjugated diene **5** allowed us to find several reactive paths associated with the formation of formal [4+2] and [2+4] CAs (Scheme 3). The approach of the sulfonyl dienes **1** to **5** could lead to eight reactive pathways; two regioisomeric (*p/m*) and two chemoisomeric (*A/B*) possibilities, with *endo/exo* approaches for each pathway named in the standard way based on the orientation of the dienophile with respect to the diene system.

Therefore, eight cycloadducts, **6-me**, **6-mx**, **6-pe**, **6-px**, **7-Ae**, **7-Ax**, **7-Be**, and **7-Bx**, and their corresponding TSs, TS3-me, TS3-mx, TS3-pe, TS3-px, TS4-Ae, TS4-Ax, TS4-Be, and TS4-Bx, were considered for each reaction of **1a**+**5** and **1b**+**5**. The activation and relative energies are given in Table 2 and Table S2 in the supporting information, and the

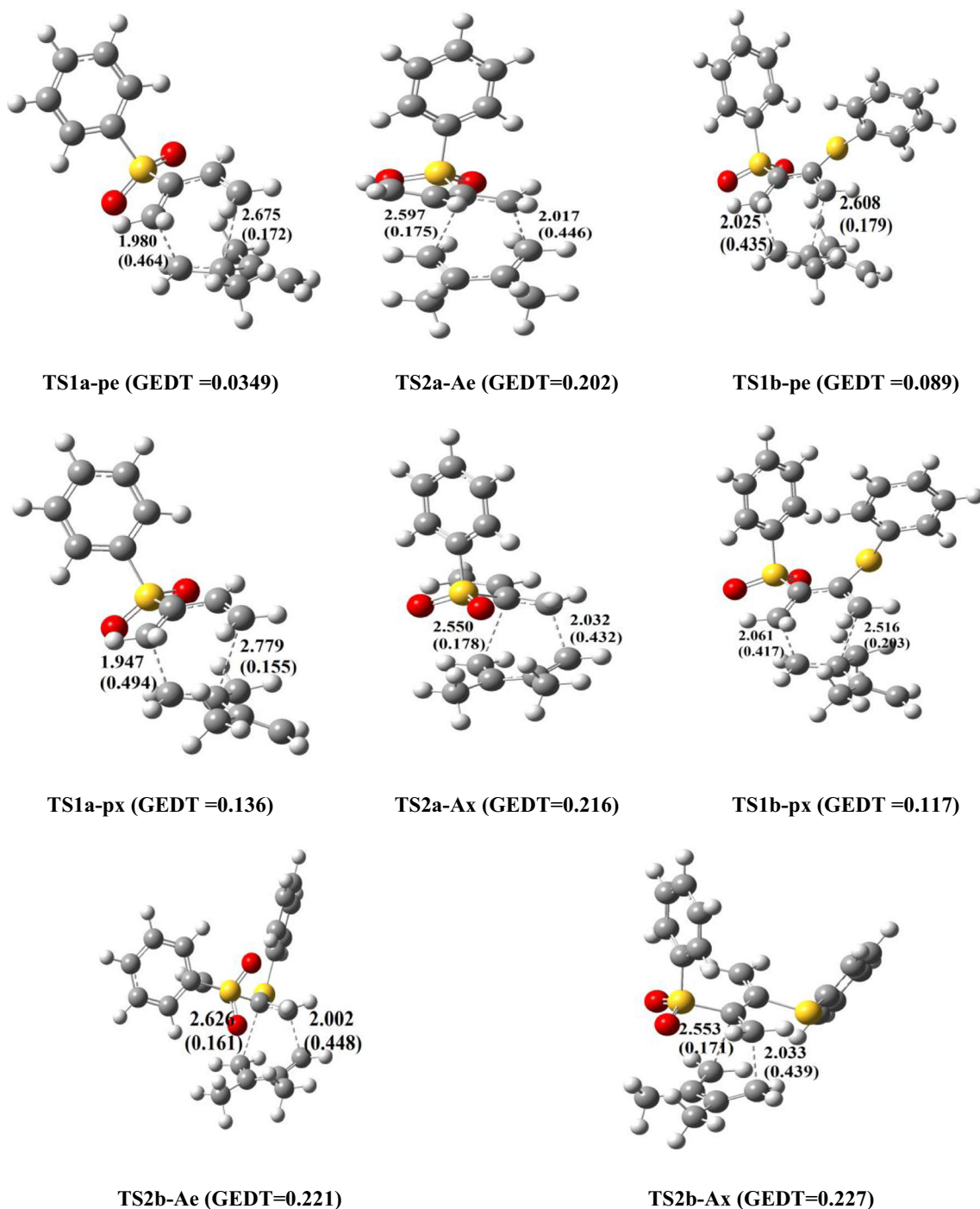


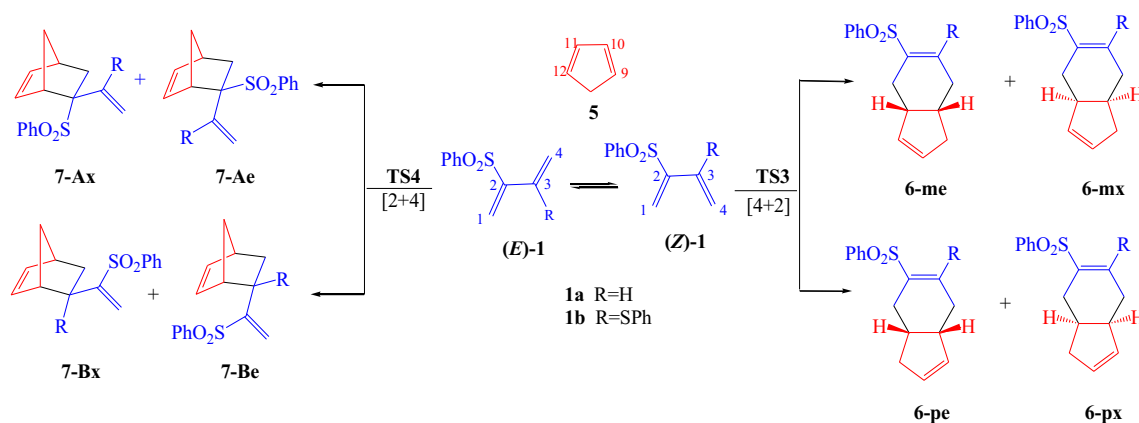
Fig. 1 The geometry optimized transition states for the [4+2] and [2+4] cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with 2,3-dimethyl-1,3-butadiene, **2**, along the most stable pathways at the MPWB1K/cc-

pVDZ level of theory. Bond distances are given in Å, Wiberg bond indices are given in parentheses, and the GEDT of TSs are also given (for a full comparison of geometries, see [supporting information](#))

geometries of TSs are shown in Fig. 2 and Fig. S1 in the supporting information.

Firstly, the [4+2] cycloaddition reaction of sulfonyl alkenes **1** with cyclopentadiene **5** is investigated. As shown in Scheme 2, sulfonyl alkene **1** can act as a diene via two regioselective, *para* and *meta*, and two stereoselective, *endo* and *exo*,

pathways. The relative energy results in Table 2 indicate that the reaction pathways are completely *para* regioselective, as *para* transition states lie lower in energy than the corresponding *meta* ones. Moreover, the cycloadducts formed from the *exo* approach in *para* pathways are more stable than that of formed from the *endo* ones. It can be concluded that the sulfonyl group



Scheme 3 The possible reaction pathways for the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfonyl-1,3-butadiene, **1b**, with cyclopentadiene **5**

is an effective directing element favoring the formation of “*para*” adducts. Additionally, the presence of the $-\text{SPh}$ group in **1b** causes the stereoselectivity of the *para* pathways to be increased for the cycloaddition reaction of **1b** with **5** relative to **1a** with **5** ($\Delta\Delta E^\ddagger_{1a/5}=2.3$ kcal mol $^{-1}$, $\Delta\Delta E^\ddagger_{1b/5}=3.8$ kcal mol $^{-1}$). Therefore, the *para/exo* (*px*) cycloadducts of **6a-px** and **6b-px**, with relative energies of -30.88 and -32.06 kcal mol $^{-1}$, are more favorable than *meta* ones.

Secondly, the [2+4] cycloaddition reaction of **1** with cyclopentadiene **5** is studied through two chemoselective

Table 2 Calculated activation energies (ΔE^\ddagger /kcal mol $^{-1}$), reaction energies (ΔE_r /kcal mol $^{-1}$), activation Gibbs free energies (ΔG^\ddagger /kcal mol $^{-1}$), and reaction Gibbs free energies (ΔG_r /kcal mol $^{-1}$), in the gas phase of the cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-sulfonyl-1,3-butadiene, **1b**, with cyclopentadiene, **5**, at the MPWB1K/cc-pVDZ level of theory (the relative energies in solvent are given in parentheses)

Reaction	TSs	ΔE^\ddagger	ΔG^\ddagger	ΔE_r	ΔG_r
(Z)- 1a + 5 → 6a-me	TS3a-me	14.4	28.9	-29.3	-14.2
(Z)- 1a + 5 → 6a-mx	TS3a-mx	10.8	25.0	-29.2	-13.7
(Z)- 1a + 5 → 6a-pe	TS3a-pe	10.8	25.2	-29.6	-14.4
(Z)- 1a + 5 → 6a-px	TS3a-px	9.4	21.5	-30.9	-15.2
(E)- 1a + 5 → 7a-Ae	TS4a-Ae	8.2	22.0	-17.5	-2.1
(E)- 1a + 5 → 7a-Ax	TS4a-Ax	10.1	24.0	-15.6	0.0
(E)- 1a + 5 → 7a-Be	TS4a-Be	11.8	26.0	-14.5	0.4
(E)- 1a + 5 → 7a-Bx	TS4a-Bx	13.9	27.6	-16.0	-1.5
(Z)- 1b + 5 → 6b-me	TS3b-me	13.4	27.7	-31.2	-16.1
(Z)- 1b + 5 → 6b-mx	TS3b-mx	9.4	25.5	-31.0	-15.8
(Z)- 1b + 5 → 6b-pe	TS3b-pe	12.8	27.0	-31.2	-16.3
(Z)- 1b + 5 → 6b-px	TS3b-px	9.0	23.9	-32.1	-17.1
(E)- 1b + 5 → 7b-Ae	TS4b-Ae	10.1	24.3	-19.4	-3.4
(E)- 1b + 5 → 7b-Ax	TS4b-Ax	8.1	23.0	-18.4	-3.6
(E)- 1b + 5 → 7b-Be	TS4b-Be	17.1	30.0	-11.2	2.8
(E)- 1b + 5 → 7b-Bx	TS4b-Bx	13.0	28.7	-11.5	2.9

and two stereoselective pathways (Scheme 3). Therefore, for these cycloaddition reaction pathways, four TSs, **TS4-Ae**, **TS4-Ax**, **TS4-Be**, and **TS4-Bx**, and four cycloadducts, **7-Ae**, **7-Ax**, **7-Be**, and **7-Bx**, are considered. The relative energies in Tables 1 and 2 show that these cycloaddition reactions present a total chemoselectivity, as **TS4a-A** and **TS4b-A** are lower in energy than **TS4a-B** and **TS4b-B**. Therefore, we can conclude that the C-C double bond directly attached to the sulfonyl group of **1** (C-C-SO $_2$ Ph) is more reactive than C-C-R double bond as the dienophilic part. The significant difference in electron deficiency between the two C-C double bonds of **1** can affect the reactivity of these C-C double bonds. Moreover, two stereoselective pathways, namely, *endo* and *exo*, can be observed for each chemoselective pathway. Therefore, comparing the most stable pathways, while **TS4b-Ae** has a higher activation energy than **TS4b-Ax** by 2.0 kcal mol $^{-1}$, **TS4a-Ae** is more stable than **TS4a-Ax** by 1.9 kcal mol $^{-1}$. Indeed, the presence of SPh group on **1** can change the stereoselectivity from *endo* to *exo*, which is probably due to the steric effect and secondary orbital overlap.

An analysis of the energetic results of the [2+4] and [4+2] cycloaddition reactions indicated that the [2+4] reaction of **1a**+**5** is in terms of the kinetic stability and the major product is **7a-A**, but **6a-p** is also present. On the other hand, the [4+2] cycloaddition reaction of **1a**+**5** is in terms of the thermodynamic stability, which is in agreement with the experimental results (at 130°C), and the reaction becomes reversible with the only **6a-p** product.

Moreover, the thermodynamic parameters for these cycloaddition reactions are calculated and given in Table 2 and Table S2 in the supporting information. Accordingly, the activation enthalpies of the [2+4] pathways are the lower than other pathways, in line with the calculated trends of the calculated activation energies.

The geometries of all TSs in these cycloaddition reactions are shown in Fig. 2 and Fig. S2 in the supporting information. An analysis of the lengths of the two forming bonds and BO

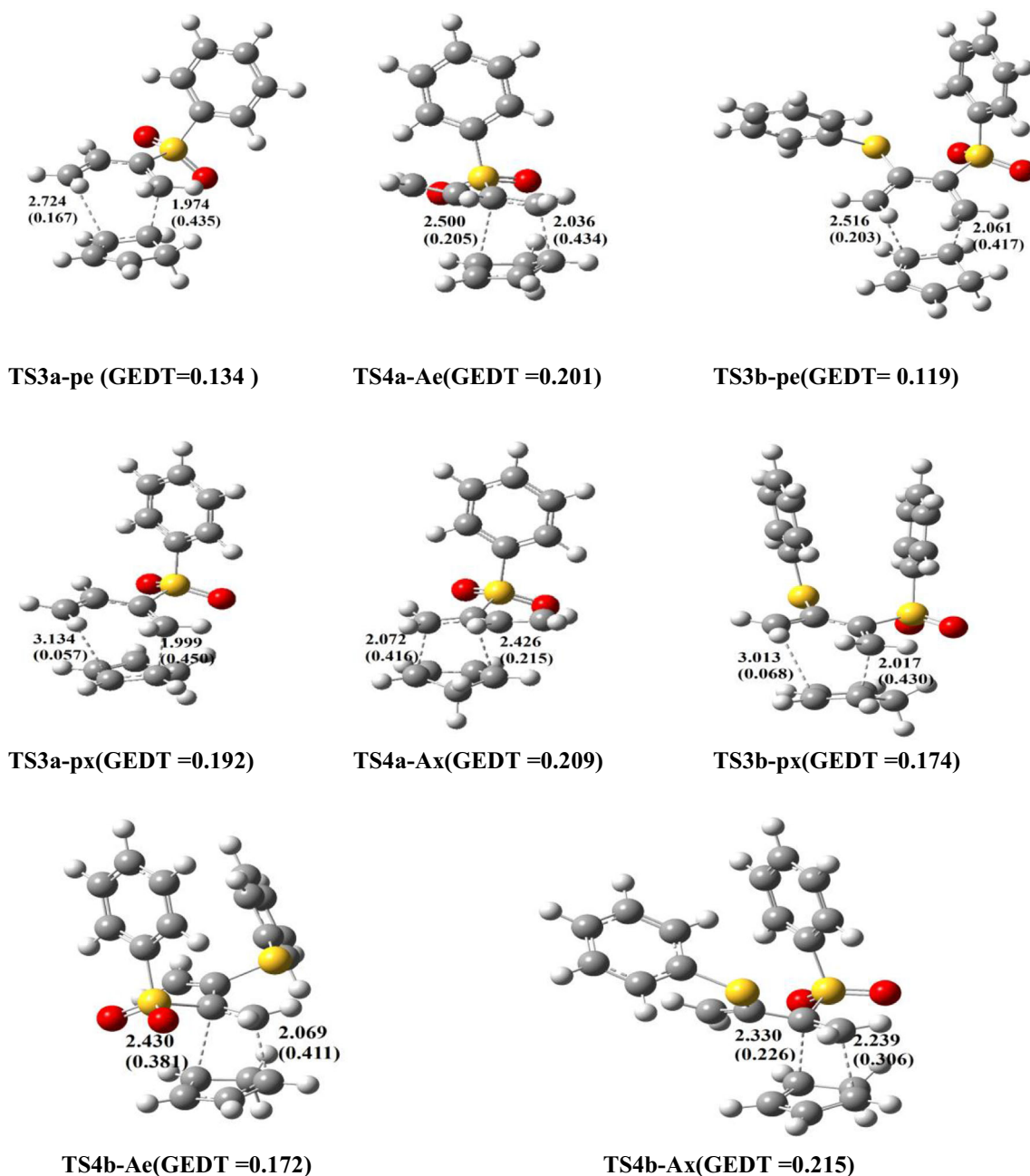


Fig. 2 The optimized geometry of the transition states of [4+2] and [2+4] cycloaddition reactions of 2-phenylsulfonyl-1,3-butadiene, **1a**, and 2-phenylsulfonyl-3-phenylsulfanyl-1,3-butadiene, **1b**, with cyclopentadiene, **5**, along the most stable pathways at the MPWB1K/

cc-pVDZ level of theory. Bond distances are given in Å, Wiberg bond indices are given in parentheses, and the GEDT of TSs are also given (for a full comparison of geometries, see supporting information)

values at the TSs of the processes reveals that the most asynchronicity is observed for the TSs associated with the formation of the [4+2] cycloadducts. Moreover, the bond order values indicate that the formation of the C₁-C₉ bonds at the most favorable TSs, **TS4a-Ax** and **TS4b-Ae**, is more advanced than the C₂-C₁₂ bond, which may be due to the steric effect of SO₂Ph and SPh groups.

Finally, in order to evaluate the polar nature of the reactions of **1** with **5**, the GEDT at the more favorable TSs was

analyzed. In the [4+2] cyclization reactions of **1** with **5**, the GEDT values at the most favorable **TS3a-px** and **TS3b-px**, which fluxes from **5** to **1**, are 0.192 and 0.174 e, respectively. Also, in the [2+4] cyclization reactions of **1** with **5**, the GEDT values at the most favorable **TS4a-Ae** and **TS4b-Ax** are 0.205 and 0.215 e, respectively. These values indicate that the *exo* and **A** pathways are the most polar in character and are in agreement with the computed low activation energies. Also, a comparison between the GEDT values was associated with

the reactive pathways of **1** with **2**, and **5** indicates that the polarity of the [2+4] cycloaddition reactions of sulfonyl alkenes **1** with 1,3-butadiene **2** is the highest.

Analysis of the global reactivity indices

Numerous studies devoted to DA reactions have shown that analysis of the reactivity indices defined within condensed DFT (CDFT) [33–35] is a powerful tool to understand organic chemical reactivity. Consequently, in order to characterize the reactivity of 2-sulfonyl-1,3-butadiene, **1a**, and 2-sulfonyl-3-sulfonyl-1,3-butadiene, **1b**, with 2,3-dimethyl butadiene, **2**, and cyclopentadiene, **5**, in the cycloaddition reactions, the global reactivity indices are analyzed and reported in Table 3. The global reactivity indices include electronic chemical potential, μ ; chemical hardness, η ; global electrophilicity, ω ; and global nucleophilicity, N .

As can be seen from Table 3, the electronic chemical potentials of (**Z**)-**1a**, $\mu=-4.27$ eV; (**E**)-**1a**, $\mu=-4.21$ eV; (**Z**)-**1b**, $\mu=-3.69$ eV; and (**E**)-**1b**, $\mu=-3.76$ eV are lower than (**Z**)-**2**, $\mu=-3.12$ eV; (**E**)-**2**, $\mu=-3.30$ eV; and **5**, $\mu=-3.01$ eV. Therefore, the GEDT for these cycloaddition reactions will take place from the studied alkenes, **2** and **5**, to the 2-sulfonyl diene, **1**.

According to the global electrophilicity, ω , and global nucleophilicity, N , 2-phenylsulfonyl dienes, (**Z**)-**1a** ($\omega=1.65$, $N=2.09$ eV) and (**E**)-**1a** ($\omega=1.66$, $N=2.24$ eV), are classified as strong electrophiles and moderate nucleophiles based on the electrophilicity [36] and nucleophilicity scale [37]. Also, 2-phenylsulfonyl-3-phenylsulfonyl diene, (**Z**)-**1b** with $\omega=1.44$ and $N=3.07$ eV and (**E**)-**1b** with $\omega=1.54$ and $N=3.07$ eV, are classified as moderate electrophiles and strong nucleophiles. The higher nucleophilicity index of **1b** relative to **1a** can be due to the presence of the sulfanyl group (SPh) in **1b**. Moreover, (**Z**)-**2**, (**E**)-**2**, and **5** with marginal electrophilicity values, $\omega=0.81$, 0.96, and 0.83 eV, and high nucleophilicity values, $N=2.98$, 3.00 and 3.37 eV, respectively, are classified

Table 3 HOMO energies/eV, LUMO energies/eV, electronic chemical potential (μ /eV), chemical hardness (η /eV), global electrophilicity (ω /eV), and nucleophilicity (N /eV) for the reactants obtained at the B3LYP/6-31g(d) level of theory

Species	E_{HOMO}	E_{LUMO}	μ	η	ω	N
(Z)- 1a	-7.02915	-1.51348	-4.27	5.51	1.65	2.09
(E)- 1a	-6.88139	-1.53579	-4.21	5.34	1.66	2.24
(Z)- 1b	-6.04901	-1.33143	-3.69	4.72	1.44	3.07
(E)- 1b	-6.05363	-1.46749	-3.76	4.59	1.54	3.07
(Z)- 2	-6.13989	-0.10041	-3.12	6.04	0.81	2.98
(E)- 2	-6.11867	-0.47347	-3.30	5.65	0.96	3.00
5	-5.75377	-0.27048	-3.01	5.48	0.83	3.37

as marginal electrophiles and strong nucleophiles in the electrophilicity and nucleophilicity scales.

Moreover, from the CDFT analysis performed in this section, we can conclude that the highly electrophilic character of **1a** and **1b** and the high nucleophilic activation of **2** and **5** point to polar character and, consequently, low activation energy for these cycloaddition reactions.

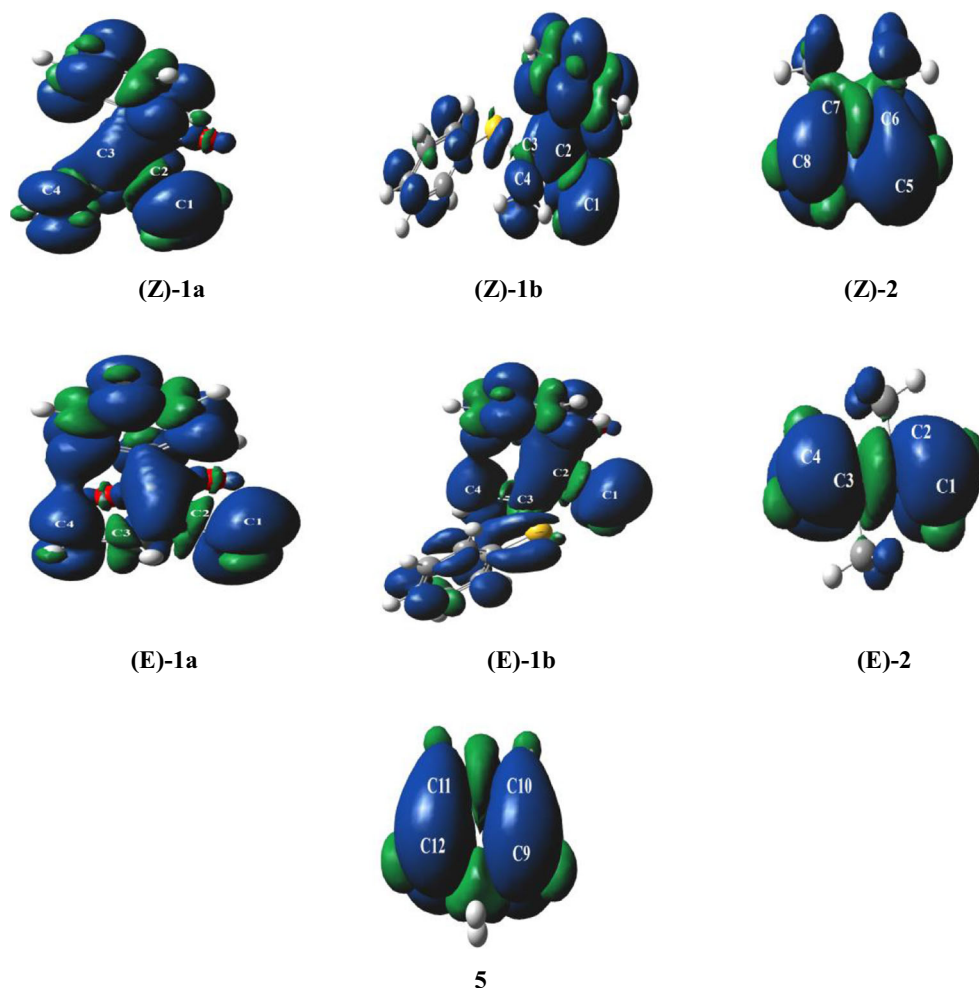
Prediction of the chemoselectivity of the studied cycloaddition reactions using Parr functions and local reactivity indices

The regio- and chemoselectivity of these reactions has also studied through the DFT-based reactivity descriptors, such as Parr functions, local electrophilicity, and nucleophilicity indices [29]. For the polar reactions, the most favorable reactive pathways involve the initial interaction between the most electrophilic center with the most nucleophilic center of the corresponding reactants [27, 28]. Domingo et al. proposed the electrophilic, P_k^+ , and the nucleophilic, P_k^- , Parr functions, which are obtained from the ASD distribution in the radical anions and the radical cations of the reactants [27, 28, 31].

Table 4 The Parr functions (P_k^-/au , P_k^+/au), local electrophilicity indices (ω_k/eV), and local nucleophilicity indices (N_k/eV) at the reactive sites of the reactants, calculated at the B3LYP/6-31g(d) level of theory

Species	k	P_k^-	P_k^+	ω_k	N_k
(Z) - 1a	C ₁	0.27	0.41	0.68	0.56
	C ₂	0.03	0.03	0.05	0.06
	C ₃	0.06	0.00	0.10	0.13
	C ₄	0.25	0.15	0.25	0.52
(E) - 1a	C ₁	0.29	0.45	0.75	0.65
	C ₂	0.03	0.03	0.05	0.07
	C ₃	0.08	0.00	0.00	0.18
	C ₄	0.27	0.15	0.25	0.60
(Z) - 1b	C ₁	0.01	0.36	0.52	0.03
	C ₂	0.01	0.03	0.04	0.03
	C ₃	0.06	0.015	0.02	0.18
	C ₄	0.46	0.04	0.06	1.41
(E) - 1b	C ₁	0.04	0.38	0.59	0.12
	C ₂	0.02	0.03	0.05	0.06
	C ₃	0.03	0.02	0.03	0.09
	C ₄	0.44	0.09	0.14	1.35
(Z) - 2	C ₅ , C ₈	0.45	0.40	0.32	1.34
	C ₆ , C ₇	0.07	0.14	0.11	0.21
(E) - 2	C ₅ , C ₈	0.49	0.44	0.42	1.47
	C ₆ , C ₇	0.04	0.11	0.11	0.12
5	C ₉ , C ₁₂	0.47	0.40	0.33	1.58
	C ₁₀ , C ₁₁	0.08	0.10	0.08	0.27

Fig. 3 Maps of ASD of the radical anion and the local electrophilic Parr function of **1a** and **1b** and ASD of the radical cation and the local nucleophilic Parr function of **2** and **5**



Therefore, a simple analysis of the Parr functions and ASD allows us to characterize the most electrophilic and the most nucleophilic centers in the reactants and to study the chemoselectivity of the studied reactions. Accordingly, the electrophilic, P_k^+ , Parr functions of **1a** and **1b**, based on the ASD in the radical anion, and the nucleophilic, P_k^- , Parr functions of butadiene **2** and cyclopentadiene **5**, based on the ASD in the radical cation, are analyzed (see Fig. 3 and Table 4). While the electrophilic Parr functions of **1a** and **1b** are mainly concentrated at C1 (Parr functions of 0.41–0.45), C4 also present considerable electrophilic Parr functions of 0.150, respectively. The electrophilic Parr functions of C2 are also higher than C3. Therefore, the C1 and C2 of the C=C-SO₂Ph framework have been more electrophilically activated than the C3 and C4 of the C=C-R framework. These behaviors indicated that the reactivity of the C₁=C₂-SO₂Ph framework of **1** toward diene **2** is higher than the C=C-R framework which can explain the observed chemoselectivity of these reactions.

The nucleophilicity Parr function and analysis of the ASD of the radical cations of (Z)-**2** and (E)-**2** indicated that spin density is located mainly at C5 with $P_{C5}^- = 0.45$ and 0.49,

respectively, which will be preferred position for a nucleophilic attack to the C1 atom of **1**. Therefore, the most favorable electrophile–nucleophile interaction along the nucleophilic attack of **2** on **1a** and **1b** will take place between the C1 atom of **1a,b** and the C5 atom of **2** leading to the formation of the most stable regioisomer of **3-px**.

For the cycloaddition reactions of **1a** and **1b** with **5**, the most favorable interaction occurs between the C₁ atom of **1** and C₉ (or C₁₂) atom of **5** ($P_{C9}^- = 0.47$, $N_{C9} = 1.58$), which indicates that the C₁-C₉ bond formation is more advanced than the C₄-C₉ one. This behavior is similar to that found in analysis of the ASD of the radical cations of **5**. Analysis of the Parr functions and ASD for the cycloaddition reactions of **1+5** can explain the source of the regio- and chemoselectivities observed.

ELF analysis of the [2+4] cycloaddition reaction between 2-phenylsulfonyl-1,3-butadiene and cyclopentadiene

An appropriate tool to study the molecular mechanism of reactions is the ELF analysis along the reaction path [13–15].

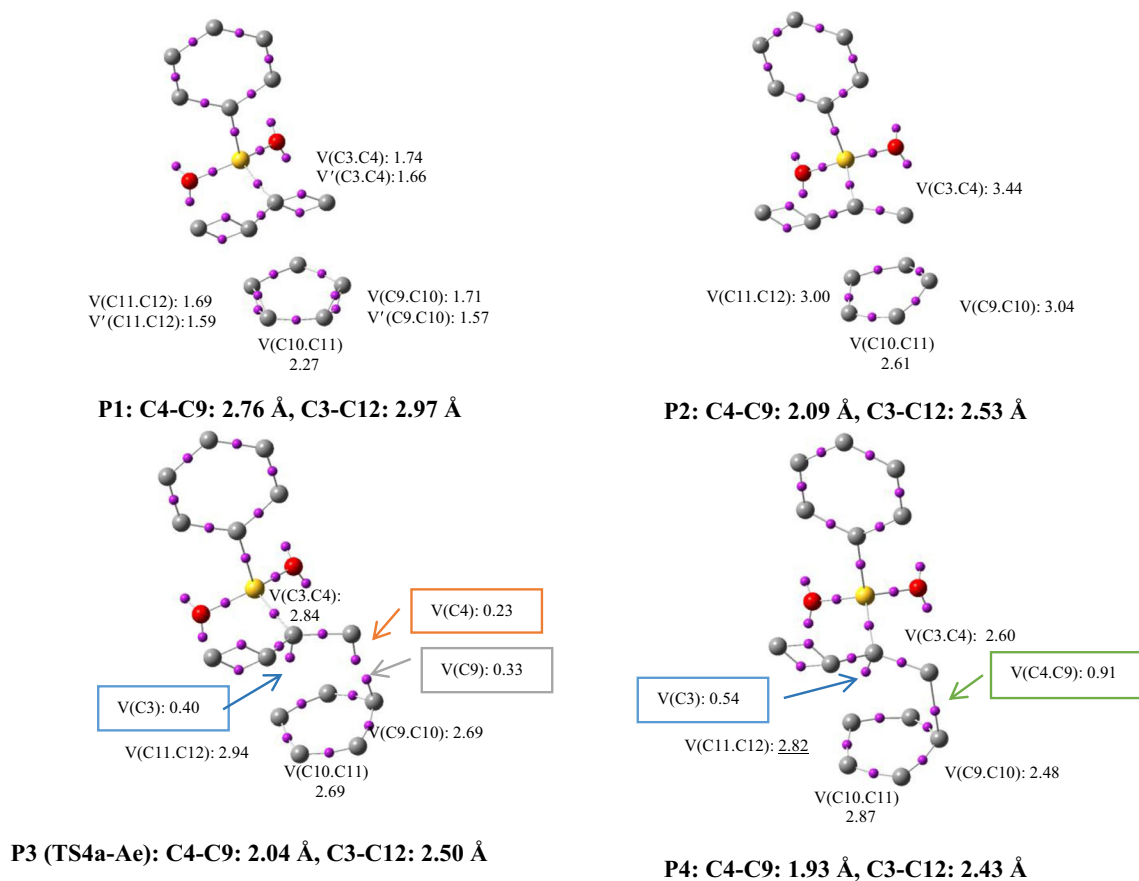


Fig. 4 Schematic representation of the ELF attractors of selected points of IRC path of the most favored pathway of CA reaction of 2-phenylsulfonyl-1,3-butadienes **1a** and cyclopentadiene **5**

The maximum probability of finding electron pairs, classified as core and valence basins, can result from the ELF analysis. Monosynaptic and disynaptic basins characterize valence basins which involve single and bonding pairs, respectively [38, 39]. The mechanism of the [2+4] cycloaddition reaction between **1a** and **5** and C-C bond formations along this reaction have studied using the ELF analysis of the selected structures in the IRC curve of **TS4a-Ae** at MPWB1K/cc-pVDZ level of theory [40]. The related ELF valence attractors of the most important points together with their populations are shown in Fig. 4.

ELF topological analysis of the first point of IRC map show two disynaptic basins $V(C3, C4)$ and $V'(C3, C4)$ in **1a** fragment with a total population of 3.4e, and a disynaptic basin $V(C10, C11)$ integrating 2.27e as well as two pairs of disynaptic basins $V(C9, C10)$ and $V'(C9, C10)$ and $V(C11, C12)$ and $V'(C11, C12)$ in **5** fragment with a total population of 3.28e. However, at the C4-C9 distance of 2.09 Å (and C3-C12, 2.53 Å), three disynaptic basins $V(C3, C4)$, $V(C9, C10)$, and $V(C11, C12)$ have been observed related to the double bonds of **1a** and **5**. As shown in Fig. 4, the population associated with $V(C3, C4)$, $V(C9, C10)$, and $V(C11, C12)$ in **TS4-Ae** decrease, and three monosynaptic basins $V(C4)$, $V(C9)$, and $V(C3)$ emerge with the population of 0.23e, 0.33e, and

0.40e, respectively. In Fig. 4 for points after **TS4a-Ae** and at a C4-C9 distance of 1.93 Å, two monosynaptic basins $V(C4)$ and $V(C9)$ merge to a disynaptic basin $V(C4, C9)$ with the population of 0.91e where the first single bond has formed. At this point, the population of $V(C10, C11)$ and $V(C3)$ increased to 2.87e and 0.54e, respectively.

At C3-C12 distance of 2.28 Å, the second monosynaptic basin required to form another single bond, $V(C12)$, has appeared with a population of 0.20e. At this point, the population of $V(C3)$, $V(C4,C9)$, and $V(C10, C11)$ increase to 0.76e, 1.41e, and 3.15e, whereas the population of $V(C3,C4)$, $V(C9,C10)$, and $V(C11, C12)$ decrease to 2.26e, 2.20e, and 2.44e. Finally, bicyclic compound has formed by merging two *pseudoradical* centers $V(C3)$ and $V(C12)$ to create disynaptic basin $V(C3,C12)$ with population of 1.14e at C3-C12 distance of 2.17 Å. However, the population of $V(C3, C12)$ and $V(C4,C9)$ increase to 1.83e and 1.77e at **7-Ae**. According to ELF results, the reaction has a *one-step two-stage* mechanism [40].

Conclusion

The CDA reaction of sulfonyl diene **1a** with alkenes **2** and **5** has been carried out in order to explain the experimental outcomes

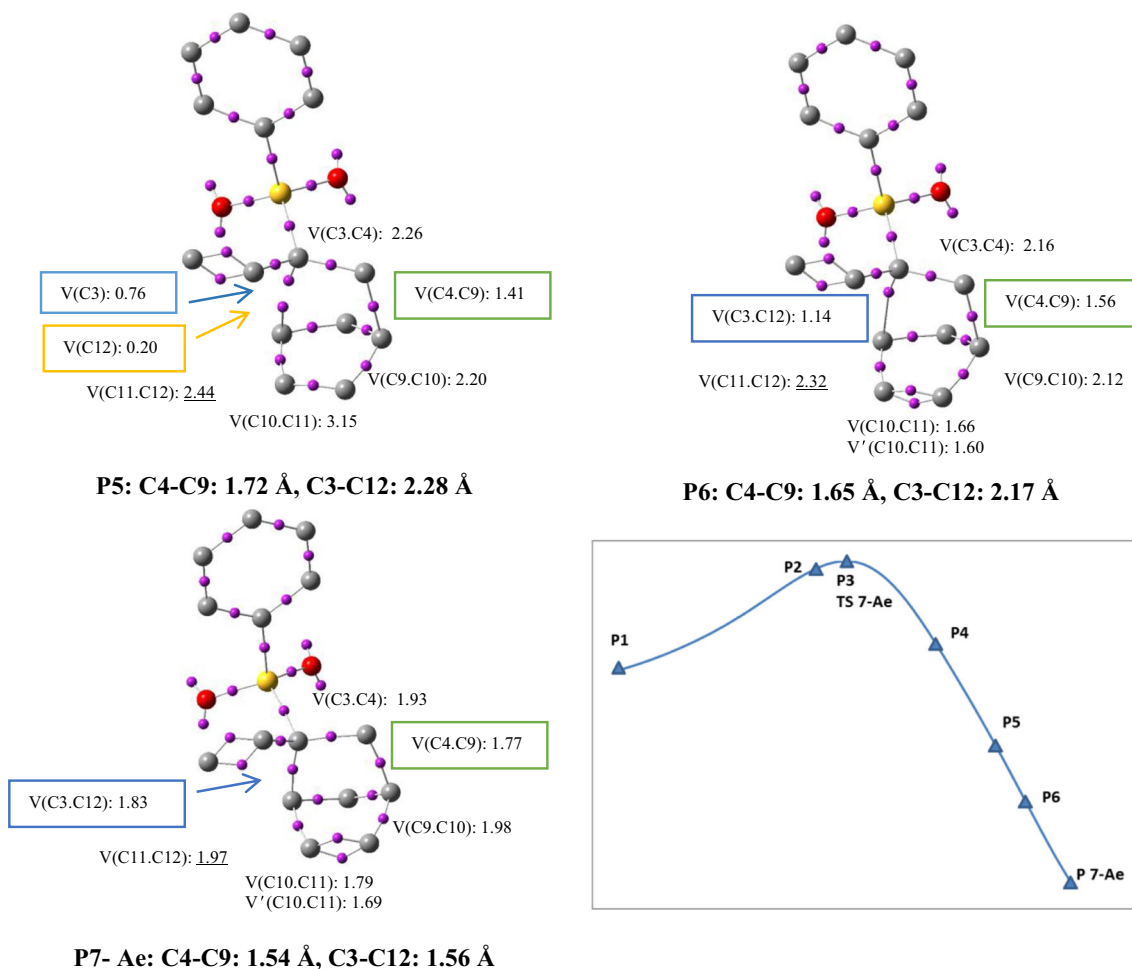


Fig. 7 continued.

observed by Chou et al. through DFT calculations at the MPWB1K/cc-pVDZ computational level. In addition the cycloaddition reaction of sulfonyl diene **1b** has been also analyzed in order to investigate the role of the SPh of **1b** in these reactions.

It is known that in the cycloaddition reactions of unsymmetrical **1** with the studied alkenes, up to 16 competitive reaction paths are feasible. The chemo-, regio-, and stereoisomeric reaction pathways involving the two C-C double bonds of sulfonyl dienes **1** have studied. The chemoselectivity results revealed that the significant difference in electron deficiency makes the double bond attached to the sulfonyl group a more reactive dienophile than the other double bond.

Analysis of the relative energies and thermodynamic parameters indicates that these cycloaddition reactions are completely chemo- and stereoselective and take place via a polar and asynchronous process. In the studied CDA reactions of **1** with **2** and **5**, the most stable pathways are related to the [2+4] reactions in terms of the kinetic stability. The substituent effect on the regioselectivity indicated that the phenyl sulfonyl group (SPh) is a much more powerful directing element than the phenyl sulfonyl group (SO₂Ph) for the control of the regioselectivity of reactions.

Analysis of the reactivity indices shows that 2-sulfonyl dienes **1a** and **1b** present a strong electrophilic character and studied alkenes **2** and **5** have a strong nucleophilic character, explaining the polar character of these cycloaddition reactions, which is established by analysis of the GEDT computed at the TS of the reactions. The ELF analysis revealed that the [2+4] cycloaddition reaction between **1a** and **5** proceeds through a *two-stage one-step* mechanism.

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Author contribution All authors contributed to the study conception and design. Calculations and optimization of structures, data collection, and analysis were performed by Soheyla Heydari, Mina Haghdadi, and Mahshid Hamzehloueian. Mina Haghdadi and Hassan Ghasemnejad Bosra wrote the first draft of the manuscript, and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

Data availability All data generated or analyzed during this study are included in this published article.

Declarations

Ethics approval The paper is not currently being considered for publication elsewhere. The paper reflects the authors' own research and analysis in a truthful and complete manner. No data, text, or theories by others are presented as if they were the author's own.

Consent to participate All authors consent to participate in the research project, and the following has been explained to us: the research may not be of direct benefit to us. My participation is voluntary.

Consent for publication All authors approved the version to be published. All authors agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Conflict of interest The authors declare no competing interests.

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