

Introduction of antimony triiodide $(SbI₃)$ as a new and efficient catalyst for synthesis of polyfunctionalized piperidines

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Abstract In the present work, an efficient and convenient one-pot multi-component reaction is reported for the synthesis of highly diversified piperidines by tandem condensation reaction of aromatic aldehydes, anilines, and β -keto esters in the presence of a catalytic amount (15 mol%) of SbI₃ in EtOH at 50 °C. The advantages of this protocol are that it is easy to work up and inexpensive, the catalyst and solvent are readily available, and it results in a favorable yield.

Sbl_3 (15 mol %) 4 EtOH, 50°C $NH₂$ $NH₂$ Five-component synthesis of functionalized piperidines

Graphical Abstract

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Introduction

The synthesis and chemistry of heterocyclic compounds are appealing areas of research in organic chemistry, in particular because a significant number of natural compounds contain a heterocyclic portion. The application of heterocycles in biology, pharmacology, material sciences, and medicine, as well as agriculture is very well-known [[1\]](#page-7-0). Additionally, the majority of antibiotics and vitamins include heterocyclic rings in their structure [\[2](#page-7-0)].

In recent years multi-component reactions (MCRs), defined as reactions in which more than two reactants are combined to give a product that comprises a majority of the involved atoms, have played an outstanding role in synthetic organic chemistry. These reactions are powerful tools for the construction of novel and complex molecular structures with a minimum number of synthetic steps. Distinguishing features of MCRs include high efficiency, simplicity, time-saving processes, and atom economy $[3-6]$.

Piperidines and their analogues are an essential building block for numerous natural products, and for pharmaceutically relevant molecules and organic fine chemicals [[7–14\]](#page-7-0). They also behave as pharmaceutical factors and exhibit diverse biological features [[15\]](#page-8-0) such as anti-hypertensive [[16\]](#page-8-0), anti-bacterial [[17\]](#page-8-0), anti-HIV [\[18](#page-8-0)], anti-malarial [[19\]](#page-8-0), anti-inflammatory [\[20](#page-8-0)] and diagnostic properties [[19\]](#page-8-0). Additionally, piperidines and their derivatives are used as therapeutic agents in the treatment of some diseases such as cancer metastasis [[21,](#page-8-0) [22\]](#page-8-0), diabetes [[23,](#page-8-0) [24\]](#page-8-0), and

Fig. 1 Some biologically active compounds bearing a piperidine skeleton

influenza [[25–27\]](#page-8-0). The applicability of piperidine as a biologically active moiety is represented in Fig. [1.](#page-1-0)

Due to excessive use of piperidines in various areas over the years, diverse protocols have been reported for the synthesis of substituted piperidines in the presence of a plethora of catalysts such as L -proline $[19]$ $[19]$ $[19]$, $InCl₃$ $[14–28]$ $[14–28]$ $[14–28]$, bromodimetheyl sulfonium bromide (BDMSB) $[29]$ $[29]$, $ZrOCl_2·8H_2O$ $[30]$ $[30]$, VCl_3 [\[31](#page-8-0)], Cerium Ammonium Nitrate (CAN) [\[32](#page-8-0)], BF₃. SiO₂ [\[33](#page-8-0)], Mg(HSO₄)₂ [[34\]](#page-8-0), $Zn(HSO_4)$ ₂ [[35\]](#page-8-0), PEG-embedded KBr₃ [\[36](#page-8-0)], NiCl₂.6H₂O [\[37](#page-8-0)], Bi(NO₃)₃.5H₂O [[38\]](#page-8-0), and tetrabutylammonium tribromide (TBATB) [\[39](#page-8-0)]. However, some of these procedures suffer from some drawbacks such as unsatisfactory yields, long reaction times, expensive reagents or catalysts, and harsh reaction conditions. Therefore, there is a need to provide a new, effective, and convenient approach in order to eliminate weaknesses and disadvantages of the previous works.

Antimony triiodide is a ruby-red solid compound. Despite the numerous applications of metal halides as catalyst in organic transformations $[40, 41]$ $[40, 41]$ $[40, 41]$ $[40, 41]$, there has been no report on the use of SbI₃ as a catalyst for organic reactions. Following our previous works on the synthesis of piperidines $[42-48]$, herein we report for the first time a SbI_3 -catalyzed synthesis of highly functionalized piperidines via an easy and simple five-component procedure resulting in corresponding products with favorable yields.

Experimental

General

All reagents were purchased from Merck (Darmstadt, Germany) and Acros (Geel, Belgium), and were used as received without further purification. Melting points were measured on an electrothermal 9100 apparatus. The ¹H NMR spectra were recorded on a Bruker DRX-300 Avance instrument with CDCl₃ as solvent. The mass spectra (MS) were recorded on a shimadzu GCeMS-QP5050 mass spectrometer, operating at an ionization potential of 70 eV.

General procedure for synthesis of highly functionalized piperidine 4a–4o

To a magnetically stirred solution of aromatic amine (2 mmol) in ethanol (5 mL), β ketoester (1 mmol) and SbI_3 (0.015 mmol) were added and allowed to react for 20 min at ambient temperature. Next, the aromatic aldehyde (2 mmol) was added and the temperature was raised to 50 $^{\circ}$ C. After completion of the reaction, determined through thin-layer chromatography (TLC) monitoring, the reaction mixture was cooled to room temperature. Then the precipitate was filtered off and recrystallized with hot ethanol to give the pure product. Physical and spectral data for selected products are represented as follows.

Ethyl 1,2,6-triphenyl-4-(phenylamino)-1,2,5,6-tetrahydropyridine-3-carboxylate (4a) White solid; m.p. = 167-172; ¹H NMR (300 MHz, CDCl₃): $\delta = 1.36$ (3H, t,

 $J = 7.2$ Hz), 2.68 (1H, dd, $J = 20.7$, 2.1 Hz), 2.80 (1H, dd, $J = 15.2$, 5.7 Hz), 4.16–4.27 (1H, m), 4.30–4.38 (1H, m), 5.05 (1H, d, $J = 3.3$ Hz), 6.17 (2H, t, $J = 5.1$), 6.34 (1H, s), 6.40 (2H, d, $J = 8.4$ Hz), 6.47 (1H, t, $J = 7.5$ Hz), 6.91–7.02 (5H, m), 7.04–7.29 (10H, m), 10.17 (1H, D₂O-exchanged). MS (EI, 70 eV): m/z (%) M + 474(45 %), 395(100 %), 289(62), 179(42), 103(38), 76(91), 28(91).

Methyl 1,2,6-triphenyl-4-(phenylamino)-1,2,5,6-tetrahydropyridine-3-carboxylate (4b) White solid; m.p. = 155–163; ¹H NMR (400 MHz, CDCl₃): δ = 3.86 (3H, s), 5.06 (1H, d, $J = 3.9$ Hz), 6.20 (2H, dd, $J = 9$, 1.5 Hz), 6.38 (1H, s), 6.45 (2H, d, $J = 8.4$ Hz), 6.53 (1H, t, $J = 7.2$ Hz), 6.96–7.26 (17H, m), 10.18 (1H, D₂Oexchanged) ppm. MS (EI, 70 eV): m/z (%) M^+ 460 (87 %), 380(100), 178(77), 103(59). 76(89), 43(47), 28(88).

Ethyl 1-(4-bromophenyl)-4-((4-bromophenyl)amino)-2,6-diphenyl-1,2,5,6-tetrahy*dropyridine-3-carboxylate* (4c) White solid; m.p. = $198-202$ °C; ¹H MNR (300 MHz, CDCl₃): $\delta = 1.50$ (3H, t, $J = 6.8$ Hz), 2.74 (1H, d, $J = 15.2$ Hz), 2.89 (1H, dd, $J = 15.2, 5.6$ Hz), 4.35–4.40 (1H, m), 4.46–4.52 (1H, m), 5.13 (1H, d, $J = 4$ Hz), 6.14 (2H, d, $J = 8$ Hz), 6.41 (1H, s), 6.42 (2H, d, $J = 8$ Hz), 7.14–7.34 (14H, m), 10.26 (1H, D₂O-exchanged) ppm. MS (EI, 70 eV): m/z (%) M^+ 631(89 %), 555(100), 295(75), 216(65), 154(64), 128(45), 77(48), 29(96).

Methyl 2,6-bis(4-bromophenyl)-1-(4-chlorophenyl)-4-((4-chlorophenyl)amino)- 1,2,5,6-tetra hydropyridine-3-carboxylate (4h) White solid; m.p. $= 155-$ 157 °C; ¹H MNR (300 MHz, CDCl₃): $\delta = 2.63$ (1H, dd, $J = 15.3, 5.7$ Hz), 2.75 (1H, dd, $J = 15.3$, 5.7 Hz), 3.90 (3H, s), 5.01 (1H, d, $J = 3.3$ Hz), 6.21 (2H, d, $J = 8.7$ Hz), 6.30 (2H, d, $J = 9.0$ Hz), 6.90–7.20 (12H, m), 10.12 (1H, D₂Oexchanged). MS (EI, 70 eV): m/z (%) M^+ 684(37 %), 531(100), 291(89), 214(20), 110(88), 74(42), 28(99).

Methyl 2,6-bis(4-chlorophenyl)-1-phenyl-4-(phenylamino)-1,2,5,6-tetrahydropyridinr-3-carboxyate (4k) White solid; m.p. = 183-187 °C; ¹H NMR (300 MHz, CDCl₃): $\delta = 2.66$ (1H, dd, $J = 15.3$, 2.7 Hz), 2.75 (1H, dd, $J = 15.0$, 5.4 Hz), 3.85 $(3H, s), 5.02$ (1H, d, $J = 2.4$ Hz), 6.28 (1H, s), 6.32 (2H, dd, $J = 7.2$, 1.8 Hz), 6.38 (2H, d, $J = 8.1$ Hz), 6.57 (2H, t, $J = 7.2$ Hz), 6.98–7.12 (6H, m), 7.15–718 (7H, m), 10.18 (1H, D₂O-exchanged). MS (EI, 70 eV): m/z (%) M^+ 528(80 %), 416(100), 276(49), 251(89), 103(48), 76(93), 28(90).

Methyl 1-(4-bromophenyl)-4-((4-bromophenyl)amino)-2,6-bis(4-methoxyphenyl)- 1,2,5,6-tetrahydropyridine-3-carboxylate (41) White solid; m.p. $= 170-174$ °C; ¹H NMR (300 MHz, CDCl₃): $\delta = 2.72$ (1H, dd, $J = 16.2, 2.1$ Hz), 2.86 (1H, dd, $J = 20.4, 5.4$ Hz), 3.81 (3H, s), 3.83 (3H, s), 3.96 (3H, s), 5.07 (1H, d, $J = 3.3$ Hz), 6.22 (2H, d, $J = 8.4$ Hz), 6.31 (1H, s), 6.41 (2H, d, $J = 9.0$ Hz), 6.85 (4H, dd, 8.7, 3.6 Hz), 7.07 (2H, d, $J = 8.4$ Hz), 7.14–7.20 (4H, m), 7.24–7.29 (2H, d, $J = 8.7$ Hz), 10.24 (1H, D₂O-exchanged) ppm. MS (EI, 70 eV): m/z (%) M⁺ 676(7 %), 525(20), 384(93), 285(100), 216(20), 133(21), 75(34).

Results and discussion

In the initial experiment, the reaction of benzaldehyde (2 mmol), aniline (2 mmol), and ethyl acetoacetate for the synthesis of corresponding piperidine 4a was performed in the presence of various Brönsted or Lewis acid as catalysts in EtOH at room temperature (Scheme 1) and results are listed in Table 1.

Obviously from Table 1, among the numerous Lewis/Brönsted acids tried in this reaction, only SbI_3 afforded a product with a satisfactory yield. With SbI_3 as a known suitable catalyst, the model reaction was then conducted to optimize other conditions, and the obtained results are summarized in Table [2](#page-5-0).

Optimization for the amount of catalyst was carried out and showed that increasing the SbI_3 loading up to 15 mol% significantly enhanced the reaction yield (Table [2](#page-5-0), Entries 1–4). Further increase in the amount of catalyst did not exhibit any effect on efficiency (Table [2,](#page-5-0) Entry 5). In the next step, reaction temperature changes were fulfilled in order to find the best conditions, and our resulting observations identified 50 \degree C as the preferred temperature for the subsequent reactions. An increase in the temperature to 60 \degree C did not demonstrate a remarkable improvement in the yield, and once the reaction reached 70 \degree C, it actually resulted in a loss of efficiency (Table [2,](#page-5-0) Entries 6–9). On the other hand, replacement of ethanol with other solvents such as methanol, $EtOAc$, $CH₃CN$, and DMF decreased

Scheme 1 Reaction of benzaldehyde (2 mmol), aniline (2 mmol) and ethyl acetoacetate (1 mmol)

Entry	Catalyst (20 mol\%)	Solvent (r.t.)	Time (h)	Yield $(\%)^a$	
1	Lactic acid	EtOH	24	Trace	
2	Cerium ammonium sulfate	EtOH	24	Trace	
3	Fumaric acid	EtOH	24	27	
$\overline{4}$	NiCl ₂	EtOH	24	31	
5	SbI ₃	EtOH	24	71	
6	SrCl ₂	EtOH	24	15	

Table 1 The effect of various catalysts on efficiency of piperidine synthesis

^a Isolated yield

Catalyst loading Entry $(mod \, \%$)		Solvent	Temperature $(^{\circ}C)$	Time (h)	Yield $(\%)$
1		EtOH	50	24	15
$\overline{2}$	5	EtOH	50	8	40
3	10	EtOH	50	6.5	54
$\overline{4}$	15	EtOH	50	5.5	80
5	20	EtOH	50	5.5	82
6	15	EtOH	r.t.	24	69
7	15	EtOH	40	7	77
8	15	EtOH	60	5.5	81
9	15	EtOH	70	6	40
10	15	MeOH	50	10	61
11	15	EtOAc	50	5	40
12	15	CH ₃ CN	50	6	20
13	15	DMF	50	6	50
14	15	H_2O	50	24	10
15	15	H ₂ O/EtOH (1:1)	50	24	13

Table 2 Screening of different conditions for the reaction of benzaldehyde (2 mmol), aniline (2 mmol), and ethyl acetoacetate (1 mmol) in the presence of SbI_3 as a catalyst

the yield. Utilization of H_2O or a 1:1 mixture of EtOH/ H_2O also lowered the efficiency of the model reaction.

With the completion of the optimization process, in order to confirm the generality and versatility of our protocol, several derivatives of highly-substituted piperidine were successfully prepared from the reaction of substituted benzaldehydes, substituted anilines, and ethyl/methyl acetoacetate catalyzed by $SbI₃$, the results of which are presented in Table [3](#page-6-0).

Evidently, numerous aromatic aldehyde and amine derivatives containing electron-releasing and electron-withdrawing groups pushed the reactions forward to afford corresponding products with satisfactory yields. Additionally, both methyl and ethyl acetoacetate were found to afford piperidine derivatives successfully. Further, the consistency of the observed melting point with literature reports affirmed our synthesis of piperidine derivatives. Based on the provided data, the efficiency of our presented protocol and specifically SbI_3 was such that all derivatives of piperidines were obtained with high yield without any dependency on reactants (Scheme [2](#page-6-0)).

An acceptable mechanism for the formation of piperidine is depicted in Scheme [3.](#page-7-0)

Based on a mechanism that has previously been presented in the literature [[50\]](#page-8-0), as a Lewis acid SbI_3 first accelerates the formation of enaminone 6 and imine 7 intermediates via coordination with carbonyl groups. Then, Knoevenagel condensation of enaminone 5 with aldehyde leads to obtaining intermediate 6a, which is in equilibrium with reactive form 6b. Eventually, an aza-Diels–Alder reaction of 6b with the prepared imine in step 1 gives the intended piperidine.

Entry	R ¹	\mathbb{R}^2	R^3	Product	Time (h)	m. $p^{\circ}C$	Lit. m. $p^{\circ}C$	Yield $(\%)^a$
$\mathbf{1}$	H	Н	Et	4a	5.5	170-172	$173 - 176$ [46]	80
$\overline{2}$	H	H	Me	4b	6	$161 - 163$	$171 - 173$ [46]	82
3	H	$4-Br$	Et	4c	7	198-200	197-198 [43]	85
$\overline{4}$	Η	$4-C1$	Et	4d	7	199-201	196-198 [42]	81
5	H	$4-F$	Me	4e	5.5	$171 - 173$	$176 - 177$ [48]	78
6	$3-Br$	Н	Et	4f	8	158-160	$163 - 165$ [42]	75
7	$3-Br$	4-F	Et	4 _g	5.5	186-188	187-188 [46]	71
8	$4-Br$	$4-C1$	Me	4h	6	155-157	$160 - 169$ [32]	80
9	$3-Cl$	4-OMe	Et	4i	6	173-175	$167 - 169$ [42]	77
10	$3-Cl$	4-OMe	Me	4j	5	172-175	$160 - 161$ [44]	84
11	$4-C1$	Н	Me	4k	5.5	185-187	188-190 [43]	75
12	4-OMe	$4-Br$	Me	41	5	172-174	177-179 [43]	76
13	4-OMe	$4-C1$	Et	4m	5.5	$167 - 170$	179-180 [48]	81
14	$4-OM$	$4-C1$	Me	4n	5	189-191	193-195 [48]	86
15	$2-NO2$	Н	Me	40	6	209-211	214-217 [49]	69

Table 3 Synthesis of diverse poly-substituted piperidine derivatives 4a–4o

^a Isolated yield

Scheme 2 Five-component synthesis of poly-substituted piperidines

Conclusion

In brief, a one-pot five-component reaction has been developed for the synthesis of highly substituted piperidines via a reaction of aromatic aldehydes, aromatic amines, and β -keto esters in EtOH at 50 °C. In this approach, for the first time, SbI₃ was exhibited as a beneficial catalyst and facilitated the formation of substituted piperidines. The above protocol also offered several advantages such as mild reaction conditions, simple purification steps, an inexpensive catalyst, and the absence of toxic solvents, as well as its generality for various aromatic aldehydes, amines, and β -keto esters.

Scheme 3 Plausible mechanism for SbI_3 -catalyzed synthesis of piperidines

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