



# Kinetics and thermodynamics studies of the ring-opening polymerization of $\epsilon$ -caprolactone initiated by titanium(IV) alkoxides by isothermal differential scanning calorimetry

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## Abstract

The structure–reactivity relationship of titanium(IV) alkoxides ( $\text{Ti}(\text{OR})_4$ ; R = *n*-propoxide, *n*-butoxide, *tert*-butoxide and 2-ethylhexoxide) in the ring-opening polymerization of  $\epsilon$ -caprolactone ( $\epsilon$ -CL) has been successfully investigated by differential scanning calorimetry (DSC) technique. Based on isothermal method, the kinetic results demonstrated that the polymerization rate decreased with increasing chain length and bulkiness of alkoxy group of  $\text{Ti}(\text{OR})_4$ . The determined activation energy ( $E_a$ ) from autocatalytic model ( $f(\alpha) = \alpha^m(1 - \alpha)^n$ ) for titanium(IV) *n*-propoxide, *n*-butoxide, *tert*-butoxide and 2-ethylhexoxide were found to be 77.7, 97.2, 105.2 and 97.9 kJ/mol. From thermodynamics analysis of transition state (TS) formulation, the obtained activation enthalpy ( $\Delta H^\ddagger$ ) values revealed that the titanium(IV) *n*-propoxide required the lowest energy to form the TS with  $\epsilon$ -CL. From the obtained activation entropy ( $\Delta S^\ddagger$ ) values, it was found that the stability of TS of  $\epsilon$ -CL with titanium(IV) *n*-propoxide was higher than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. From the overall results, it is clearly indicated that the steric hindrance of  $\text{Ti}(\text{OR})_4$  initiators plays an important role on the kinetics and thermodynamics of polymerization process. The reactivity of  $\text{Ti}(\text{OR})_4$  initiators was determined in the order of: titanium(IV) *n*-propoxide > *n*-butoxide  $\approx$  2-ethylhexoxide > *tert*-butoxide. The polymerization mechanism of all  $\text{Ti}(\text{OR})_4$  initiators with  $\epsilon$ -CL was proposed through the coordination-insertion mechanism.

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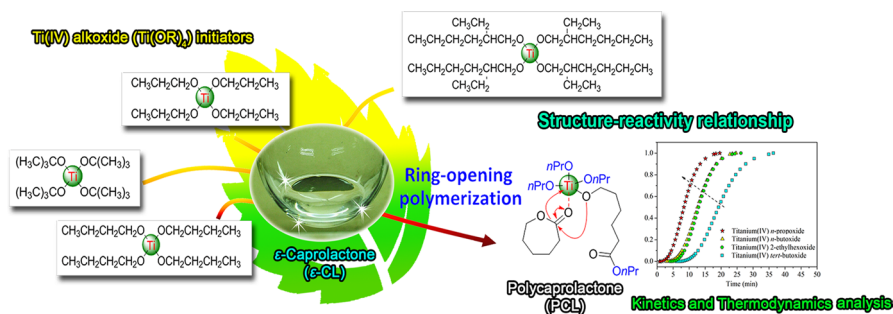
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## Graphical abstract



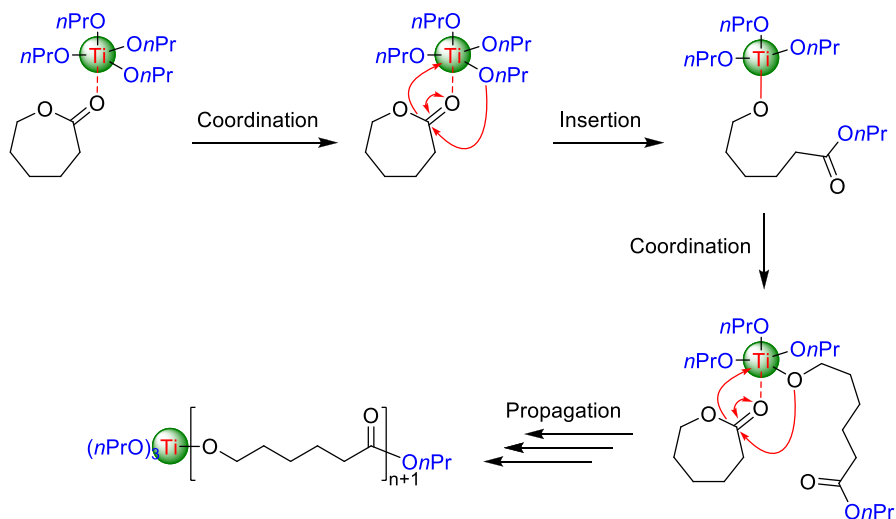
**Keywords** Titanium(IV) alkoxide ·  $\epsilon$ -Caprolactone · Ring-opening polymerization · Kinetics · Thermodynamics · DSC · Structure–property relationship

## Introduction

Recently, the biodegradable polymers such as poly( $\epsilon$ -caprolactone) (PCL), poly(L-lactide) (PLL), poly(D-lactide) (PDL) and polyglycolide (PGA) have become the most attractive biodegradable polymeric materials which are studied and developed by many researchers [1–3]. These polymers can be utilized in versatile applications in which their chemical, physical and mechanical properties can be controlled by synthesis conditions [4, 5]. The ring-opening polymerization (ROP) through the coordination-insertion mechanism is an effective synthetic route that can provide a good molecular architecture, microstructure and molecular weight of biodegradable polymers [4, 6]. A large number of metal-containing initiator has been developed for utilizing as coordination initiator which can effectively control the molecular weight and molecular architecture of polymer products [7–11]. Metal alkoxides (MOR) and carboxylates (MCOOR) with active metal centers such as Al [7], Sm [8], Zr [9] and Zn [10] and etc. can be used as coordination initiator for the ROP of cyclic esters monomers, especially tin(II) octoate ( $\text{Sn}(\text{Oct})_2$ ) which is the most popular initiating system [12]. Nowadays, the modern trend has concerned about the toxicity of Sn residual in the polymers due to its toxicity to human body and environment. Yamada et al. [13] reported that  $\text{Sn}(\text{Oct})_2$  is cytotoxic to astrocytes from in vitro study. They found that  $\text{Sn}(\text{Oct})_2$  reduced the mitochondrial activity about 16%. Furthermore, the presence of Sn in the biodegradable foil and wrapping material based on poly(lactic acid) (PLA) causes the increasing of Sn residual in the environment that toxic to aquatic organism [14]. This impacts our attention for developing the Sn-free initiator. From literatures review, we found that titanium(IV) alkoxide ( $\text{Ti}(\text{OR})_4$ ) is one of interesting candidates that can be used as initiator for the ROP of cyclic esters. This type of initiator shows some advantages such as: (i) high polymerization rate, (ii) high solubility in monomers and organic solvents and (iii) it can be used to create the advance structure of polymer (star branch polymer). Li et al. [15] reported

the preparation of well define titanium(IV) alkoxides based on benzotriazol phenoxide ligands as initiator for the ROP of  $\epsilon$ -caprolactone ( $\epsilon$ -CL). These designed Ti-initiators gave the low molecular weight of PCL. The polymerization kinetics was investigated by the proton-nuclear magnetic resonance spectroscopy ( $^1\text{H-NMR}$ ) technique. The results showed the increasing polymerization rate with initiator concentration. Kim et al. [16] studied the ROP of L-lactide (LL) with heterogeneous silica supported titanium alkoxide catalysts. They found that these combined initiating systems produced the higher molecular weight polymer than titanium alkoxides. Furthermore, the increasing of reaction temperature also increases the polymerization rate. Li et al. [17] reported the new titanium alkoxide bifunctional initiator for the ROP of  $\epsilon$ -CL and radical polymerization of *n*-butyl acrylate. The synthesized  $\text{Ti}(\text{OCCCH}_2\text{CCl}_3)_4$  could be used as initiator for synthesizing the copolymer derived from  $\epsilon$ -CL and *n*-butyl acrylate. The kinetic parameters were determined from first order reaction model. The results demonstrated that the polymerization proceeded through the coordination-insertion mechanism and all four alkoxide groups in  $\text{Ti}[\text{OCH}_2\text{CCl}_3]_4$  share a similar activity on initiating the polymerization of  $\epsilon$ -CL. Li et al. [18] investigated the kinetics of the ROP of  $\epsilon$ -CL with the synthesized  $\text{Ti}(\text{O}(\text{CH}_2)_4\text{OCH}=\text{CH}_2)_4$  initiator using differential scanning calorimetry (DSC) technique. They found that the polymerization rate depended on initiator concentration and temperature. The polymerization activation energy ( $E_a$ ) obtained from both non-isothermal and isothermal methods are in the same trend. Our previous work, we have compared the polymerization rate of  $\text{Ti}(\text{OR})_4$  ( $\text{R} = n$ -propoxide, *n*-butoxide, *tert*-butoxide and 2-ethylhexoxide) initiators in the ROP of  $\epsilon$ -CL using non-isothermal DSC technique [19]. Under the dynamic condition, the  $E_a$  values of titanium(IV) *n*-propoxide is lower than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. Therefore, it is obvious that the kinetics information is necessary to shed more light on the catalytic behavior of initiator in the ROP of cyclic esters. The ROP of cyclic esters initiated by metal alkoxides initiator are generally occurred through the coordination-insertion mechanism [4, 6, 20, 21]. From mechanistic consideration, the ROP of  $\epsilon$ -CL initiated by all  $\text{Ti}(\text{OR})_4$  initiators was also proceeded through this well-known mechanism. The example of the coordination-insertion ROP of  $\epsilon$ -CL with titanium(IV) *n*-propoxide is illustrated in Fig. 1. The polymerization occurred by the coordination of  $\epsilon$ -CL with the reactive Ti–O bond resulting in the more reactive carbonyl carbon of monomer. Then, the alkoxy group (OR) of initiator attacked this carbonyl carbon of  $\epsilon$ -CL yielding acyl-oxygen bond cleavage. Then, the opened cyclic monomer inserted into this Ti–O bond forming the propagating species that still contain the reactive Ti–O bond. The propagation step was also occurred through this mechanism and the PCL was obtained after the propagation step was completed. However, the thermodynamics can also be considered as the supportive information for comparing the reactivity of initiator in the ROP of cyclic esters. We found that the thermodynamics of titanium(IV) alkoxides initiated the ROP of cyclic esters has not been mentioned and discussed before.

Therefore, the objective of this work is to expand more understanding on the effect of alkoxy group of titanium(IV) alkoxides ( $\text{Ti}(\text{OR})_4$ ;  $\text{R} = n$ -propoxide, *n*-butoxide, *tert*-butoxide and 2-ethylhexoxide) on the ROP of  $\epsilon$ -CL. The kinetics and thermodynamics of transition state formulation are investigated by isothermal



**Fig. 1** A plausible reaction mechanism of the coordination-insertion ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide adapted from literature [19]

DSC technique. The catalytic performance of all  $\text{Ti}(\text{OR})_4$  initiators in the ROP of  $\epsilon$ -CL will be compared and discussed using the obtained apparent rate constant ( $k_{\text{app}}$ ),  $E_a$ , activation enthalpy ( $\Delta H^\ddagger$ ) and activation entropy ( $\Delta S^\ddagger$ ).

## Experimental

### Material preparations

Commercial  $\epsilon$ -caprolactone ( $\epsilon$ -CL) (Acros Organics, 99.0%) was purified by vacuum distillation before used. Titanium(IV) alkoxides ( $\text{Ti}(\text{OR})_4$ ) such as titanium(IV) *n*-propoxide (Fluka, 99%), titanium(IV) *n*-butoxide (Fluka, 99%), titanium(IV) *tert*-butoxide (Sigma-Aldrich, 99%) and titanium(IV) 2-ethylhexoxide (Fluka, 99%) were used as received. The chemical structures of all  $\text{Ti}(\text{OR})_4$  initiators are summarized in Table 1.

### Isothermal DSC polymerization

Kinetics and thermodynamics of the ROP of  $\epsilon$ -CL initiated by all  $\text{Ti}(\text{OR})_4$  initiators were investigated on a Perkin-Elmer DSC-7. The polymerization mixtures were prepared by mixing of  $\epsilon$ -CL (2 g) with 1.0 mol% of  $\text{Ti}(\text{OR})_4$  initiators in a dry vials and stirred vigorously for 10 min. For each DSC run, the sample (7–8 mg) was weighed into aluminum pan and sealed. The sample was placed at standby temperature of 20 °C for 3 min and then heated up to 150–180 °C at a heating rate of 100 °C/min. The sample was held at the designed temperatures for 120 min under a flowing

**Table 1** The chemical structure of  $\text{Ti}(\text{OR})_4$  used as initiator for the ROP of  $\epsilon\text{-CL}$ 

Initiators	Chemical structures
Titanium(IV) <i>n</i> -propoxide	$\text{CH}_3\text{CH}_2\text{CH}_2\text{O}-\text{Ti}-\text{OCH}_2\text{CH}_2\text{CH}_3$
Titanium(IV) <i>n</i> -butoxide	$\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{O}-\text{Ti}-\text{OCH}_2\text{CH}_2\text{CH}_2\text{CH}_3$
Titanium(IV) <i>tert</i> -butoxide	$(\text{H}_3\text{C})_3\text{CO}-\text{Ti}-\text{OC}(\text{CH}_3)_3$
Titanium(IV) 2-ethylhexoxide	$\begin{array}{c} \text{CH}_3\text{CH}_2 \\   \\ \text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}-\text{CH}_2\text{O}-\text{Ti}-\text{OCH}_2\text{CH}(\text{CH}_2\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3 \\   \\ \text{CH}_3\text{CH}_2 \end{array}$

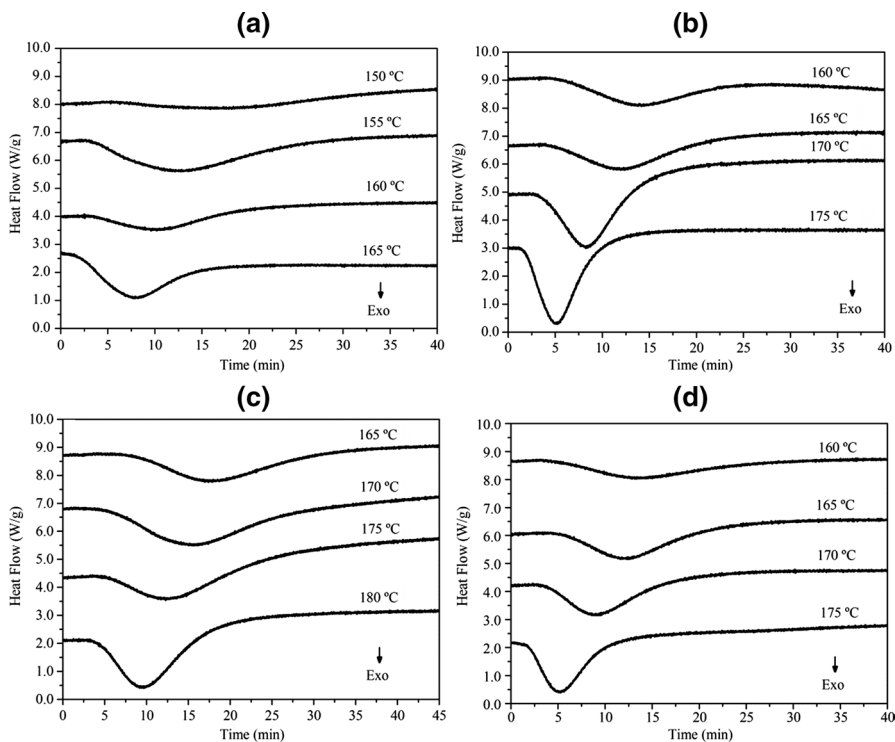
nitrogen atmosphere (20 ml/min) without sample mass loss. The data was processed under the Pyris DSC-7 program.

## Results and discussion

Generally, the ROP of cyclic ester is an exothermic process because the monomer converts to polymer by the covalent bond formation. This process can be observed by the occurrence of polymerization exotherms in DSC measurement under non-isothermal and isothermal mode [18, 19, 22]. In this work, the progress of ROP of  $\epsilon\text{-CL}$  with all  $\text{Ti}(\text{OR})_4$  initiators is investigated by isothermal DSC technique. In this technique, the polymerization occurs under a constant temperature as shown in Fig. 2.

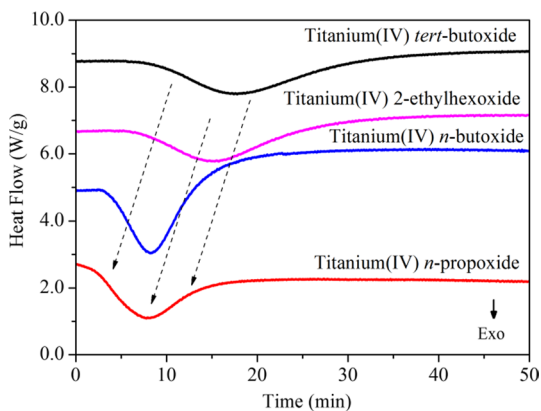
The results show the dependency of polymerization exotherms with temperature. They occurred and completed in a short period of time as temperature increases [18]. The obtained polymerization exotherm is board when the temperature decreases indicating the slower polymerization is obtained. When compare the polymerization exotherms at an identical temperature as illustrated in Fig. 3, the polymerization exotherm for the ROP of  $\epsilon\text{-CL}$  obtained from titanium(IV) *n*-propoxide occurs at lower temperature than titanium(IV) *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide.

From the results obtained from Figs. 2 and 3, the heat of polymerization can be obtained by integrating the DSC exotherms. In isothermal DSC experiment, the heat liberated from polymerization reaction can be used to determine the progress of reaction. The conversion of monomer ( $\alpha$ ) can be determined from the heat released at any time ( $\Delta H_t$ ) divided by heat of polymerization ( $\Delta H$ ) as described in literatures [18, 23]. Generally, the obtained polymerization exotherm from DSC is directly related to the rate of reaction ( $da/dt$ ) [24]. After obtaining  $da/dt$ ,  $\alpha$  can be



**Fig. 2** The isothermal DSC curves for the ROP of  $\epsilon$ -CL initiated by 1.0 mol% of  $\text{Ti}(\text{OR})_4$  initiators: **a** titanium(IV) *n*-propoxide, **b** titanium(IV) *n*-butoxide, **c** titanium(IV) *tert*-butoxide and **d** titanium(IV) 2-ethylhexoxide

**Fig. 3** The isothermal DSC curves for the ROP of  $\epsilon$ -CL initiated by 1.0 mol% of  $\text{Ti}(\text{OR})_4$  at polymerization temperature of 165 °C

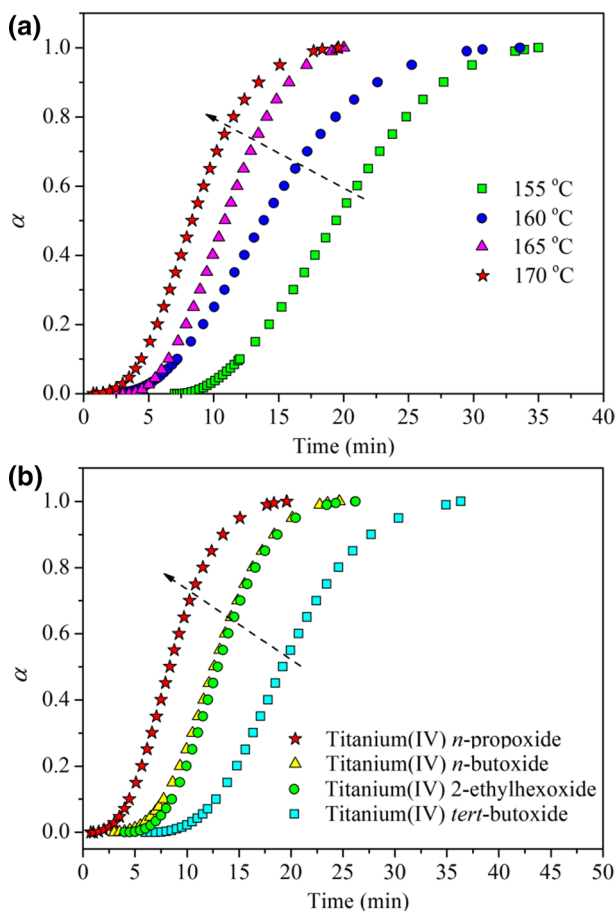


determined. The extent of polymerization can be determined from the ratio of  $\Delta H_t$  and  $\Delta H$  for a given temperature as shown in the following equations [23].

$$\Delta H_{\text{total}} = \int \left( \frac{dH}{dt} \right)_{\text{sample}} dt \quad (1)$$

$$\alpha = \frac{\Delta H_t}{\Delta H} \quad (2)$$

The plots of monomer conversion against time for the ROP of  $\epsilon$ -CL initiated by  $\text{Ti}(\text{OR})_4$  initiators are displayed in Fig. 4. The results from Fig. 4a show that the polymerization of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide completed faster at high temperature. From Fig. 4b, it is found that the conversion for the

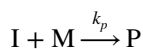


**Fig. 4** **a** The plots of monomer conversion against time for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide at different temperatures and **b** the plots of monomer conversion against time for the ROP of  $\epsilon$ -CL initiated by  $\text{Ti}(\text{OR})_4$  initiators at a temperature of 165 °C

ROP of  $\epsilon$ -CL at 165 °C with titanium(IV) *n*-propoxide approaches 1 faster than *n*-butoxide, 2-ethylhexoxide and *tert*-propoxide, respectively.

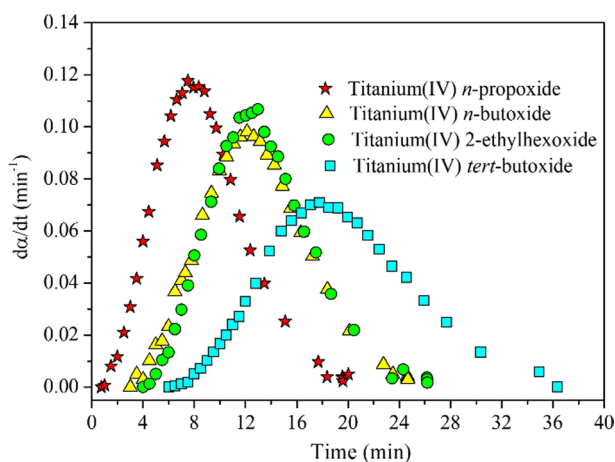
To support the results from Fig. 4, the rate of polymerization ( $da/dt$ ) is used to compare the reactivity of  $Ti(OR)_4$  initiators in the ROP of  $\epsilon$ -CL. The plots of  $da/dt$  against time for the ROP of  $\epsilon$ -CL initiated by  $Ti(OR)_4$  initiators at a temperature of 165 °C are illustrated in Fig. 5. The results clearly demonstrate that the performance of  $Ti(OR)_4$  initiators in the ROP of  $\epsilon$ -CL is found to be in the following order: titanium(IV) *n*-propoxide > *n*-butoxide  $\approx$  2-ethylhexoxide > *tert*-butoxide. From the obtained results, it is obvious that the chemical structure affects the polymerizability and reactivity of  $Ti(OR)_4$  initiators in the ROP of  $\epsilon$ -CL. The high steric hindrance  $Ti(OR)_4$  initiator, the low polymerization rate of  $\epsilon$ -CL is obtained. To clarify the influence of  $Ti(OR)_4$  structure on the reactivity, the kinetics and thermodynamics information are utilized.

For kinetics study by isothermal DSC, the progress of polymerization can be investigated by measuring the liberated heat at different time under a constant temperature. To derive the rate equation for the polymerization of  $\epsilon$ -CL with  $Ti(OR)_4$ , the M, I and P are represented as the monomer, initiator and polymer. The polymerization reaction of  $\epsilon$ -CL with  $Ti(OR)_4$  is displayed in the following equation. Generally, the ROP of  $\epsilon$ -CL is the first order with respect to monomer concentration. Therefore, the rate equation can be written as Eq. 3.



$$r_p = k_p[M][I]^n \quad (3)$$

Here  $r_p$ ,  $k_p$ ,  $[M]$ ,  $[I]$  and  $n$  are the polymerization rate, the polymerization rate constant, the monomer concentration, the initiator concentration and the reaction order



**Fig. 5** The plots of  $da/dt$  against time for the ROP of  $\epsilon$ -CL initiated by  $Ti(OR)_4$  initiators at a temperature of 165 °C



with respect to initiator concentration. By combining the constant of  $k_p$  with  $[I]^n$ , the new rate expression is written as Eq. 4:

$$r_p = k_{app}[M] \quad (4)$$

Here  $k_{app}$  is the apparent rate constant of polymerization. In DSC kinetics study, the  $[M]$  can be replaced by the function of monomer conversion ( $f(\alpha)$ ) as shown in Eq. 5.

$$r_p = k_{app}f(\alpha) \quad (5)$$

This  $f(\alpha)$  depends on the nature of polymerization reaction. The plots of monomer conversion against time (Fig. 4) for the ROP of  $\epsilon$ -CL with all  $Ti(OR)_4$  initiators show a sigmoidal curve. These indicate that the polymerization occurred with autocatalytic behavior as described in literatures [25, 26]. Therefore, the  $f(\alpha)$  is replaced by the autocatalytic model ( $f(\alpha) = \alpha^m(1 - \alpha)^n$ ) similar to our previous work [25]. The  $r_p$  can also be replaced by  $d\alpha/dt$  therefore the new rate expression is obtained as shown in Eq. 6.

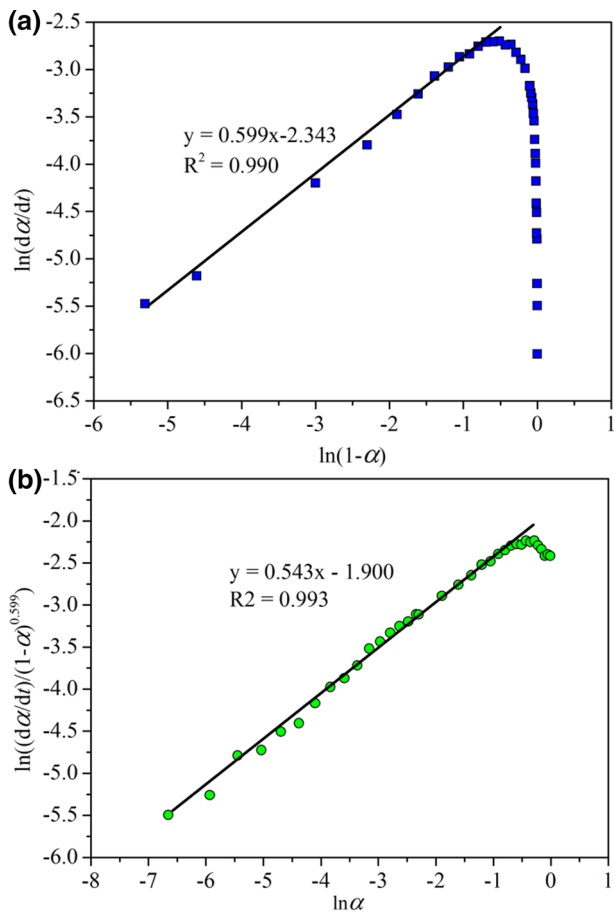
$$\frac{d\alpha}{dt} = k_{app}\alpha^m(1 - \alpha)^n \quad (6)$$

By taking the natural logarithm to the both side of Eq. 6 yielding Eq. 7. The value of  $n$  is obtained from the slope of the plots of  $\ln(d\alpha/dt)$  against  $\ln(1 - \alpha)$ . By rearranging Eq. 6, the value of  $m$  and  $k_{app}$  can be determined from the slope and intercept of the  $\ln((d\alpha/dt)/(1 - \alpha)^n) - \ln\alpha$  plots (based on Eq. 8). The example of the plots of  $\ln(d\alpha/dt)$  against  $\ln\alpha$  and  $\ln(1 - \alpha)$  for the ROP of  $\epsilon$ -CL initiated by titanium(IV)  $n$ -propoxide initiator are illustrated in Fig. 6 and the determined kinetic parameters are summarized in Table 2.

$$\ln\left(\frac{d\alpha}{dt}\right) = \ln(k_{app}\alpha^m) + n \ln(1 - \alpha) \quad (7)$$

$$\ln\left(\frac{(d\alpha/dt)}{(1 - \alpha)^n}\right) = \ln k_{app} + m \ln \alpha \quad (8)$$

From the obtained results, it is found that the overall reaction order of monomer ( $m + n$ ) close to 1 which is obvious for the ROP of cyclic esters as described. Furthermore, the  $k_{app}$  values are found to increase with increasing temperature due to the higher driving force in system at high temperature. At identical temperature, the  $k_{app}$  value obtained from the ROP of  $\epsilon$ -CL with titanium(IV)  $n$ -propoxide is higher than those of  $Ti(OR)_4$  initiators. This suggests that the titanium(IV)  $n$ -propoxide is the most reactive initiator in this work. From the obtained  $k_{app}$  values at different temperatures, the polymerization activation energy ( $E_a$ ) can be determined by replacing  $k_p$  with Arrhenius equation as shown in Eq. 9 [27]. Taking the natural logarithm into Eq. 9, the value of  $E_a$  can be determined from the slope of the plot of  $\ln k_{app}$  against  $1/T$  based on Eq. 10. The plot of  $\ln k_{app}$  against



**Fig. 6** Plots of **a**  $\ln(d\alpha/dt)$  against  $\ln(1-\alpha)$  and **b**  $\ln((d\alpha/dt)/(1-\alpha)^{0.599})$  against  $\ln\alpha$  for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide at 155 °C

$1000/T$  for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide is illustrated in Fig. 7.

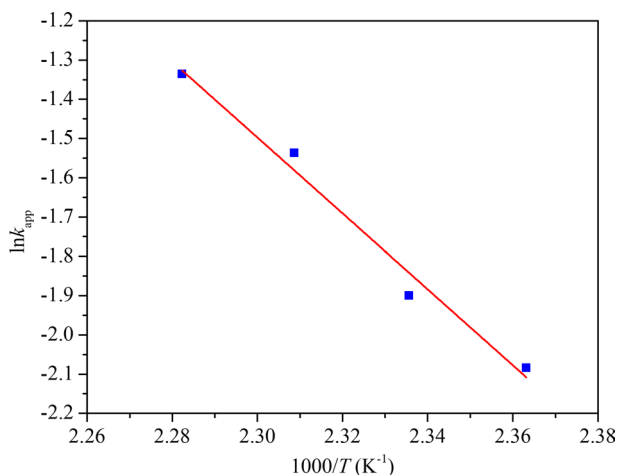
$$k_{\text{app}} = k_p [I]^n = A \exp\left(\frac{-E_a}{RT}\right) [I]^n \quad (9)$$

$$\ln k_{\text{app}} = \ln(A[I]^n) - \frac{E_a}{RT} \quad (10)$$

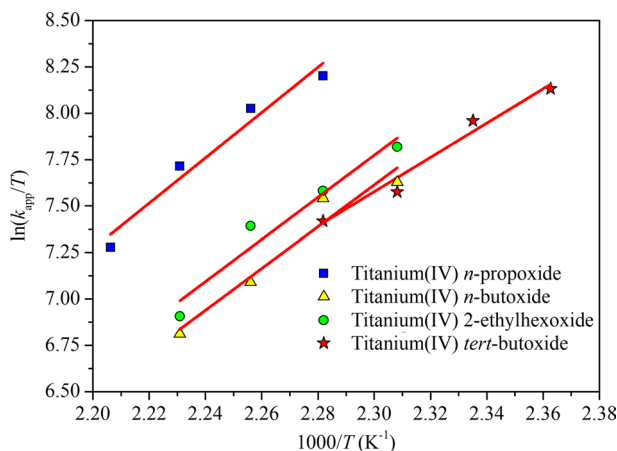
From the results, it is important that the  $E_a$  value for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide is lower than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. This reveals that the high steric hindrance of  $\text{Ti}(\text{OR})_4$  yields the lower

**Table 2** Isothermal DSC kinetic parameters obtained from the ROP of  $\epsilon$ -CL initiated by  $\text{Ti}(\text{OR})_4$  initiators at different temperatures using autocatalytic model

Initiators	T (°C)	$n$	$m$	$m+n$	$k_{\text{app}}$ ( $\text{min}^{-1}$ )	$E_a$ (kJ/mol)
Titanium(IV) <i>n</i> -propoxide	150	$0.50 \pm 0.06$	$0.49 \pm 0.05$	$0.99 \pm 0.06$	$0.124 \pm 0.064$	77.7
	155	$0.60 \pm 0.06$	$0.54 \pm 0.05$	$1.14 \pm 0.06$	$0.150 \pm 0.064$	
	160	$0.60 \pm 0.06$	$0.50 \pm 0.05$	$1.10 \pm 0.06$	$0.222 \pm 0.064$	
	165	$0.49 \pm 0.06$	$0.59 \pm 0.05$	$1.08 \pm 0.06$	$0.263 \pm 0.064$	
Titanium(IV) <i>n</i> -butoxide	160	$0.56 \pm 0.07$	$0.66 \pm 0.06$	$1.22 \pm 0.04$	$0.211 \pm 0.132$	97.2
	165	$0.63 \pm 0.07$	$0.63 \pm 0.06$	$1.26 \pm 0.04$	$0.230 \pm 0.132$	
	170	$0.69 \pm 0.07$	$0.60 \pm 0.06$	$1.29 \pm 0.04$	$0.369 \pm 0.132$	
	175	$0.71 \pm 0.07$	$0.55 \pm 0.06$	$1.26 \pm 0.04$	$0.494 \pm 0.132$	
Titanium(IV) 2-ethylhexoxide	160	$0.67 \pm 0.06$	$0.61 \pm 0.03$	$1.28 \pm 0.06$	$0.174 \pm 0.120$	97.9
	165	$0.61 \pm 0.06$	$0.56 \pm 0.03$	$1.17 \pm 0.06$	$0.223 \pm 0.120$	
	170	$0.65 \pm 0.06$	$0.55 \pm 0.03$	$1.20 \pm 0.06$	$0.273 \pm 0.120$	
	175	$0.76 \pm 0.06$	$0.53 \pm 0.03$	$1.29 \pm 0.06$	$0.449 \pm 0.120$	
Titanium(IV) <i>tert</i> -butoxide	165	$0.50 \pm 0.06$	$0.48 \pm 0.06$	$0.98 \pm 0.11$	$0.120 \pm 0.086$	105.2
	170	$0.61 \pm 0.06$	$0.57 \pm 0.06$	$1.18 \pm 0.11$	$0.145 \pm 0.086$	
	175	$0.50 \pm 0.06$	$0.60 \pm 0.06$	$1.10 \pm 0.11$	$0.200 \pm 0.086$	
	180	$0.60 \pm 0.06$	$0.63 \pm 0.06$	$1.23 \pm 0.11$	$0.313 \pm 0.086$	

**Fig. 7** The Arrhenius plot of  $\ln k_{\text{app}}$  against  $1000/T$  for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide

polymerization rate of  $\epsilon$ -CL. The results from this work close to the ROP of  $\epsilon$ -CL with tributyltin(IV) alkoxides ( $\text{Bu}_3\text{SnOR}$ ) initiator reported in our previous work [22]. The steric hindrance around reactive Ti–O center reduces the coordination ability with monomer resulting in the decreasing of polymerization rate. From kinetics consideration, the reactivity of  $\text{Ti}(\text{OR})_4$  alkoxide initiators is determined



**Fig. 8** The plots of  $\ln(k_{app}/T)$  against  $1000/T$  for the ROP of  $\epsilon$ -CL initiated by  $Ti(OR)_4$  initiators

**Table 3** The determined activation parameters for the ROP of  $\epsilon$ -CL initiated by  $Ti(OR)_4$  initiators

Initiators	$\Delta H^\ddagger$ (kJ/mol)	$\Delta S^\ddagger$ (J/mol K)
Titanium(IV) <i>n</i> -propoxide	77.8	−61.2
Titanium(IV) <i>n</i> -butoxide	93.5	−25.5
Titanium(IV) 2-ethylhexoxide	94.3	−25.2
Titanium(IV) <i>tert</i> -butoxide	101.6	−14.3

in the following order: titanium(IV) *n*-propoxide > *n*-butoxide  $\approx$  2-ethylhexoxide > *tert*-butoxide. The obtained  $E_a$  values for all  $Ti(OR)_4$  in the ROP of  $\epsilon$ -CL are in the similar trend to the non-isothermal DSC kinetics analysis reported by Meelua et al. [19].

To support the results obtained from kinetic information, the thermodynamics of transition state (TS) formulation between  $\epsilon$ -CL and  $Ti(OR)_4$  initiators is investigated to describe the stability of transition state. In this work, the obtained values of  $k_{app}$  are utilized to calculate the thermodynamic parameters of activation. In the most of kinetics works, the rate constant of reaction is frequently replaced by Arrhenius equation. In our previous study, we have replaced the rate constant by the Eyring equation [28] resulting the equation that can be used to determine the activation parameters from isothermal DSC polymerization as shown in Eq. 11.

$$\ln\left(\frac{k_{app}}{T}\right) = \ln\left(\frac{k_B}{h}\right) + \frac{\Delta S^\ddagger}{R} + \ln[I]_0 - \frac{\Delta H^\ddagger}{RT} \quad (11)$$

Here  $k_B$  is the Boltzmann constant,  $h$  is the Plank's constant,  $\Delta H^\ddagger$  is the activation enthalpy,  $\Delta S^\ddagger$  is the activation entropy and  $[I]_0$  is the initial concentration of initiator. From the Eq. 11, the values of  $\Delta H^\ddagger$  and  $\Delta S^\ddagger$  can be calculated from the slope and intercept of the linear plot of  $-\ln(k_{app}/T)$  versus  $1000/T$ . The plots of  $\ln(k_{app}/T)$

against  $1000/T$  for the ROP of  $\epsilon$ -CL initiated by  $\text{Ti}(\text{OR})_4$  initiators are illustrated in Fig. 8 and the obtained thermodynamic parameters are summarized in Table 3.

The  $\Delta H^\ddagger$  value shown in Eq. 11 is the heat required to form the TS between  $\epsilon$ -CL and  $\text{Ti}(\text{OR})_4$ . The higher  $\Delta H^\ddagger$  value indicates that the system (monomer + initiator) needs higher energy to form the TS. From the obtained results, it is found that the  $\Delta H^\ddagger$  value for the ROP of  $\epsilon$ -CL initiated by titanium(IV) *n*-propoxide is lower than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. This clearly demonstrates that the chemical structure of  $\text{Ti}(\text{OR})_4$  is not only affect the kinetics but also the thermodynamics of transition state formulation. The TS of  $\epsilon$ -CL with titanium(IV) *n*-propoxide has lower energy than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. Therefore, the conversion of  $\epsilon$ -CL to PCL is rapidly occurred when titanium(IV) *n*-propoxide is utilized as initiator. From the obtained  $\Delta S^\ddagger$  values, it is found that the values of  $\Delta S^\ddagger$  for the ROP of  $\epsilon$ -CL with all  $\text{Ti}(\text{OR})_4$  are negative indicating the high order of the TS between  $\epsilon$ -CL and  $\text{Ti}(\text{OR})_4$  [29]. From the obtained results, the  $\Delta S^\ddagger$  of the TS of  $\epsilon$ -CL with titanium(IV) *n*-propoxide is lower (more negative) than *n*-butoxide, 2-ethylhexoxide and *tert*-butoxide. This reveals that the highest order TS is obtained when the titanium(IV) *n*-propoxide is used. This may be caused by the lower steric hindrance of titanium(IV) *n*-propoxide than other  $\text{Ti}(\text{OR})_4$  initiators. In the case of titanium(IV) *tert*-butoxide, the lowest order of TS is obtained due to its highest steric hindrance around Ti–O active center that dramatically reduces the coordination ability with  $\epsilon$ -CL. From these, the long or bulky alkoxy group (–OR) of  $\text{Ti}(\text{OR})_4$  initiator can reduce the electron density around Ti–O active center resulting in the lower reactivity than short or small OR group. When comparing the reactivity of titanium(IV) *n*-propoxide and titanium(IV) *n*-butoxide, the lower reactivity of titanium(IV) *n*-butoxide may be attributed to the more prevention in the coordination of  $\epsilon$ -CL with Ti–O active center due to the flexible bond in *n*-butoxy group.

The obtained kinetics and thermodynamics results can be supported by the results obtained from computational technique reported by Silawanich et al. [30]. They reported the comparison for the reactivity of  $\text{Ti}(\text{OR})_4$  initiators in the ROP of  $\epsilon$ -CL by the density functional theory (DFT). Basing on B3LYP/LANL2DZ calculation, they found that the potential energy barrier for the TS1, the rate determining step, of  $\epsilon$ -CL and  $\text{Ti}(\text{OR})_4$  was in the following order: titanium(IV) *n*-propoxide (14.22 kcal/mol) < *n*-butoxide (15.58 kcal/mol) < 2-ethylhexoxide (22.82 kcal/mol) < *tert*-butoxide (28.47 kcal/mol). This reveals that the high steric hindrance of  $\text{Ti}(\text{OR})_4$  increases the potential energy barrier which is caused by the blocking of Ti–O reactive center with  $\epsilon$ -CL. Furthermore, the lowest potential energy barrier of titanium(IV) *n*-propoxide results in the highest stability of reaction intermediate (the lowest energy of intermediate (INT)) which corresponds to the  $\Delta S^\ddagger$  values obtained in this work. From the thermodynamics analysis, it is found that the steric hindrance of  $\text{Ti}(\text{OR})_4$  initiators destabilizes the TS of  $\epsilon$ -CL and  $\text{Ti}(\text{OR})_4$  resulting the lower rate of polymerization.

## Conclusions

The effect of steric hindrance of  $\text{Ti}(\text{OR})_4$  ( $\text{R} = n$ -propoxide,  $n$ -butoxide, *tert*-butoxide and 2-ethylhexoxide) on the ROP of  $\epsilon$ -CL was completely investigated by isothermal DSC technique. Kinetics and thermodynamics information were completely utilized to evaluate the relationship of  $\text{Ti}(\text{OR})_4$  chemical structure and reactivity in the ROP of  $\epsilon$ -CL. From kinetics analysis, the  $k_{\text{app}}$  and  $E_a$  values obtained from autocatalytic model revealed that the reactivity of titanium(IV)  $n$ -propoxide was higher than  $n$ -butoxide, 2-ethylhexoxide and *tert*-butoxide. From thermodynamics analysis, the steric hindrance around reactive Ti–O bond of  $\text{Ti}(\text{OR})_4$  initiators destabilizes the transition state. For the higher steric hindrance of  $\text{Ti}(\text{OR})_4$ , the higher value of  $\Delta H^\ddagger$  of the transition state formulation was obtained. Moreover, the values of  $\Delta S^\ddagger$  demonstrated that the order of transition state decreased with increasing bulkiness of alkoxy group of  $\text{Ti}(\text{OR})_4$  initiators. The overall results demonstrated that the steric hindrance of  $\text{Ti}(\text{OR})_4$  affects both of kinetics and thermodynamics of the ROP of  $\epsilon$ -CL. The results obtained from this work will be useful for describing the reactivity of others initiating systems in the ROP of cyclic esters.

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