

## International Society for Quality of Life Research commentary on the draft European Medicines Agency reflection paper on the use of patient-reported outcome (PRO) measures in oncology studies

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**Abstract** In 2014, the European Medicines Agency (EMA) released for comment a draft reflection paper on the use of patient-reported outcome (PRO) measures in oncology studies. A twelve-member International Society for Quality of Life Research (ISOQOL) taskforce was convened to coordinate the ISOQOL response. Twenty-one ISOQOL members provided detailed comments and suggestions on the paper: 81 % from academia and 19 % from industry. Taskforce members consolidated and further refined these comments and shared the recommendations with the wider ISOQOL membership. A final response was submitted to the EMA in November 2014. The impending publication of the

EMA reflection paper presents a valuable opportunity for ISOQOL to comment on the current direction of EMA PRO guidance and strategy. The EMA paper, although focused on cancer, could serve as a model for using PROs in other conditions, as it provides a useful update surrounding some of the design issues common to all trial research including PRO endpoints. However, we believe there are a number of additional areas in need of greater consideration. The purpose of this commentary is therefore to highlight the strengths of this timely and potentially useful document, but also to outline areas that may warrant further discussion.

**Keywords** International Society for Quality of Life Research · European Medicines Agency · Patient-reported outcomes · PROs · Health-related quality of life · HRQL · Oncology

The European Medicines Agency (EMA) has released for comment a reflection paper on the use of patient-reported

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outcome (PRO) measures in oncology studies [1]. This updates their 2005 publication [2]. The purpose of the proposed reflection appears twofold: to ‘spur an open discussion on the value of PRO data in the development of medicinal products’ in oncology and to present recommendations surrounding optimal PRO trial design—both with a focus on the regulatory perspective.

The EMA invited public comments on the draft reflection paper in June 2014. An International Society for Quality of Life Research (ISOQOL) taskforce (authors listed on this commentary) was convened to coordinate the ISOQOL response. Twenty-one ISOQOL members provided detailed comments and suggestions on the EMA reflection paper: 81 % from academia and 19 % from industry. Taskforce members consolidated and further refined these comments and shared the recommendations with the ISOQOL members through its member listserv. A final response was submitted to the EMA in November 2014 [3].

The impending publication of the EMA reflection paper presents a valuable opportunity to comment on the current direction of EMA PRO guidance and strategy. The purpose of this commentary is therefore to highlight the strengths of this timely and potentially useful document, but also to outline areas that may warrant further discussion.

### Signs of encouragement

We note that the EMA’s use of terminology has shifted from health-related quality of life (HRQL) to the umbrella term PROs. This change reflects the broader context for the capture of patient experiences and perspectives as, in addition to HRQL, they may also include such domains as symptom burden, functional impact, treatment concordance, treatment satisfaction and global health status.

Within the document, the EMA extols the virtues of rigorous PRO trial design. In particular, they highlight the importance of: a strong rationale, supporting both PRO collection itself and the timing of assessment; comprehensive training of trial staff and patients involved in PRO measurement; implementation of methods to maximize compliance; and the formulation of a detailed, PRO-specific, statistical analysis plan addressing special issues such as multiplicity and missing data. This approach is welcome: both experience and empirical research suggest that a failure to incorporate these design features during trial planning may result in PRO data that are uninformative or inappropriate for evaluating the harms and benefits of the intervention under study [4, 5]. The EMA recommendations also align with those presented in other contemporary PRO guidance documents, including those produced by the Center for Medical Technology Policy [6] and the US Food and Drug Administration (FDA) [7]. The

apparent harmonization of EMA and FDA guidance is encouraging, and it is hoped that further alignment in the coming years may allow sponsors to adopt a unified PRO claim strategy across the two agencies. Harmonization on PRO guidance would also benefit from the involvement of perspectives from researchers in industry and academic institutions and from patient groups. As a good model, the US National Cancer Institute convened a Clinical Trials Planning Meeting in 2011 that included researchers, regulators and patient representatives to recommend a core set of symptoms to measure in adult cancer clinical trials [8]. The core set will promote consistent assessment of patient-centered and clinically relevant symptoms to capture in oncology research.

### Areas requiring greater focus

Although the EMA reflection paper rightly highlights the importance of PRO trial design, a greater consideration of the issues surrounding PRO reporting is required. Poor reporting of PRO data—which limits their use to inform clinical care, guidelines and health policy—has been identified as a particular problem in trials research [9, 10]. Therefore, we believe that the EMA should also outline the importance of transparent and high-quality reporting of PRO endpoints in the final version of their reflection paper, and formally lend its support to the use of the 2013 CONSORT PRO extension [10] to address this issue. ISOQOL, through its ‘Best Practices for PROs in Randomized Clinical Trials’ taskforce [11], is currently undertaking work to tackle both poor PRO trial design and reporting: including the development of a protocol checklist which will facilitate optimal design of PRO endpoints in trials, and of user-centered tools for implementing the CONSORT PRO extension. Greater collaboration between the EMA and ISOQOL is encouraged to facilitate future improvements in PRO trial design, implementation and reporting.

In their draft reflection, the EMA questions the value of longitudinal PRO data, stating that they have ‘...rarely been informative from a licensing perspective... a main reason being the absence of demonstrated difference between the study arms’ [1]. We understand that lack of difference in PROs between study arms might be seen as a challenge. However, we also emphasize that if the PRO data (1) are of high quality and (2) arise from a robustly designed and adequately powered PRO substudy, with a clear and comprehensive trial protocol and (3) the results are appropriately reported in later publications, the information derived—even if it is a ‘no PRO difference’ result—may effectively inform clinical decision-making when considered with other clinical endpoints evaluating overall treatment impact. There are pivotal trials, for

example, in brain cancer patients, where only marginal differences in PROs between treatment arms have been found; yet these have contributed to a better understanding of the ‘value’ of the new treatment under investigation [12]. We urge the EMA to recognize that the lack of difference in PROs between treatment arms should not be seen, *per se*, as a factor limiting the use of PRO data in informing licensing decisions. Further, a finding of no HRQL difference does not imply a lack of difference between treatment arms in relevant and more specific PRO domains, such as symptoms.

We also encourage the EMA to provide transparent data surrounding historical PRO labeling claims, alongside more detailed information regarding the final decision. Ideally, it would be useful to know how many products had PROs in the labels, but also how many had requested PROs, and the reasons why PRO labels were not approved. This information would be of major interest to readers, as it would shed light on the current value of PRO data in interpreting treatment effectiveness. Presentation of case studies, outlining successful PRO labeling claims, would also be of great benefit to the research community and would help guide future improvements in PRO trial design.

While we recognize that this is a reflection paper, it may also be a useful medium to consider contemporary challenges in oncology PRO trial design. For example, while it is quite straightforward to link PRO assessment to specific clinical events in case of a conventional chemotherapy-based trial (e.g., administering questionnaires in conjunction with the clinical visit), newer therapies pose challenges that investigators need to consider when developing a protocol. For instance, issues around ‘timing’ and adherence become more challenging in trials investigating modern targeted therapies such as tyrosine kinase inhibitors (TKIs), as these treatments are usually taken by patients on a daily basis (and in most cases for a prolonged period of months or years). We take for granted that the patient has received the recommended dose of chemotherapy or radiotherapy, as the patient has to attend their hospital and receive treatment in the clinic. However, anticancer-targeted therapies are typically administered orally, not requiring a hospital visit. It has been shown that adherence with targeted agents (e.g., leukemia patients) is not optimal and might undermine maximum benefit of therapy [13]. Patient-reported measures may be used to capture both the extent of medication adherence *and* reasons for non-adherence, which may include such issues as treatment toxicity, costs or forgetting the medication. Thus, EMA consideration of the challenges and opportunities associated with PRO evaluation in targeted therapies would be helpful.

Finally, the last decade has seen increasing interest in the contribution of patients as active partners in health

research. Growing evidence reflects the beneficial impact of patient engagement in enhancing the quality, relevance and validity of such research [14, 15], and in particular within patient-centered outcomes research [16, 17]. For example, recent research in this area has sought to identify outcomes that really matter to patients [18] and improve the relevance and validity of PRO measures [19], with the aim of enhancing the acceptability of PRO-based assessment and improving compliance. The EMA reflection paper raises issues associated with ‘respondent burden’ and PRO selection, but fails to outline that these can be usefully explored and addressed with appropriate, active patient engagement. Of note, for many patients, completion of a relevant and appropriate measure may indeed be empowering; respondent burden may be more readily associated with completion of irrelevant and inappropriate measures [4]. We suggest that the EMA considers the value of involving patient stakeholders in the co-production of PRO trial components, with particular emphasis on: informing the selection of appropriate patient-centered endpoints; identifying relevant, acceptable and relatively un-burdensome measures of those endpoints; enhancing compliance with PRO assessment; and aiding interpretation of PRO findings and dissemination of the results.

## Summary

The EMA draft reflection paper, although focused on cancer, could serve as a model for using PROs in other conditions: The paper provides a useful update surrounding some of the design issues common to all trial research including PRO endpoints. However, there are a number of additional areas in need of greater consideration, including the importance of the CONSORT PRO extension in driving up standards of reporting; the value of ‘negative’ PRO findings; the need for comprehensive information surrounding historical labeling decisions; and the role of patients in the PRO trial design and implementation. Importantly, there is also an opportunity for the EMA to outline how they might look to tackle future opportunities and barriers in the field of PROs research and how to make best use of PRO data.

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